

Waveform Constraints on the Eurasian Lithosphere Boundary within the Mantle Wedge above the Ryukyu Subduction beneath NE Taiwan

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Abstract

We re-examine data from a deep earthquake beneath NE Taiwan as recorded by the Formosa Array to explain mechanisms of a significant later P phase. For 2D simulations, we set up an initial velocity structure with (A) a high V_p anomaly in the mantle wedge above Ryukyu subduction, and (B) a slightly high V_p Eurasian lithosphere to the west with a sub-vertical boundary – based on results of tomographic studies. We conclude that (1) the small-amplitude first P phase is attributed to the energies radiated near the nodal point of the focal mechanism and propagated through (A), (2) those of the significant later P phase are analogous to a head wave that propagates and generates spherical waves along (B) that are received at the surface. Accordingly, stations of zero delay time between the first and second P provide a first-ever portrayal of the Eurasian lithosphere boundary by waveform constraints.

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3

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8

9 **Key Points:**

- 10 • The major waveform characteristics of the significant later *P* phase are simulated in 2D
11 profile.
- 12 • We explain the significant later *P* phase as a head wave propagating along the Eurasian
13 Lithosphere boundary.
- 14 • The Eurasian Lithosphere boundary beneath NE Taiwan are portrayed by waveform
15 constraints.

16 Abstract

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18 Array to explain mechanisms of a significant later P phase. For 2D simulations, we set up an
19 initial velocity structure with (A) a high V_p anomaly in the mantle wedge above Ryukyu
20 subduction, and (B) a slightly high V_p Eurasian lithosphere to the west with a sub-vertical
21 boundary – based on results of tomographic studies. We conclude that (1) the small-amplitude
22 first P phase is attributed to the energies radiated near the nodal point of the focal mechanism
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24 wave that propagates and generates spherical waves along (B) that are received at the surface.
25 Accordingly, stations of zero delay time between the first and second P provide a first-ever
26 portrayal of the Eurasian lithosphere boundary by waveform constraints.

27 **Keywords:** Formosa Array, two-phase first P arrivals, high-velocity mantle wedge and Eurasian
28 Lithosphere Boundary

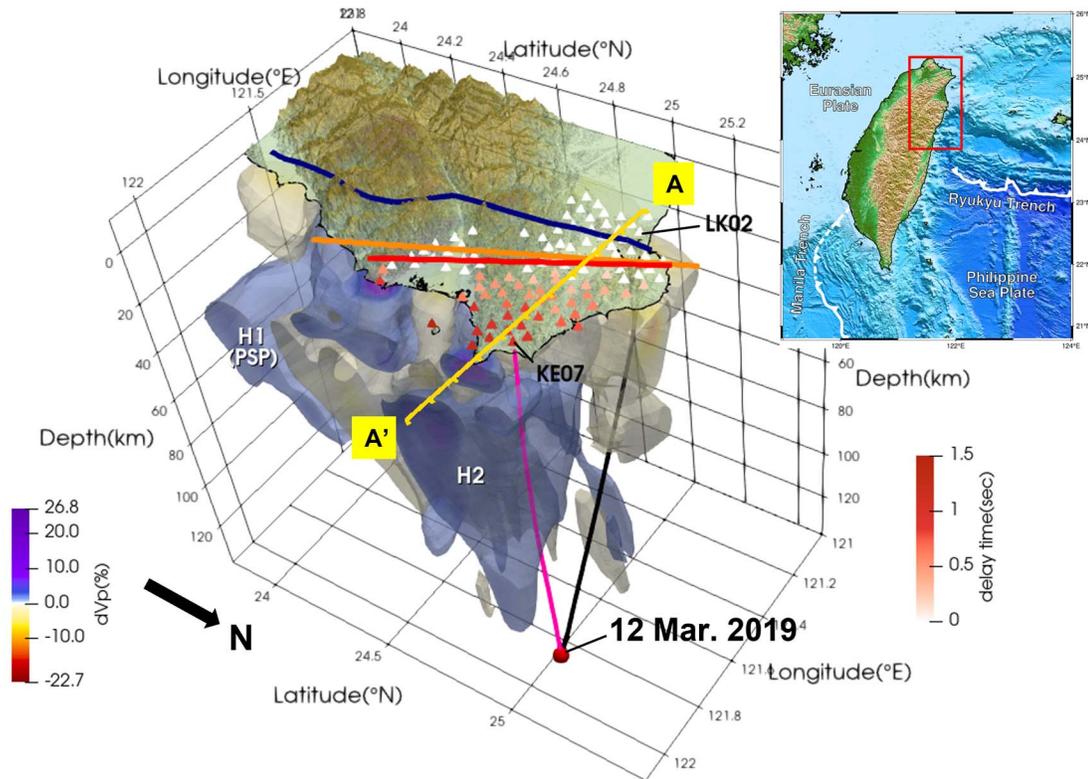
29

30 1 Introduction

31 The termination of the Ryukyu Trench offshore NE Taiwan heralds the ensuing NW
32 indentation of the Philippine Sea Plate (PSP) into the Eurasian Plate (EUP), which may have been
33 torn by the NW movement of PSP (Lallemand et al., 2001) or detached by the negative buoyancy
34 of EUP slab posterior to arc-continent collision (Teng 1996). While the former suggests a passive
35 role of EUP on the flipping of subduction polarity in Taiwan – from the east-dipping Manila Trench
36 offshore to the south to the north-dipping Ryukyu Trench offshore to the northeast (Figure 1), the
37 latter calls for an active role of EUP (Su et al., 2019). Knowing the upper mantle structures beneath
38 NE Taiwan is key to discriminating between different geodynamical models of flipping of
39 subduction polarity as well as *in situ* tectonic evolution (Lallemand et al., 2001; Teng, 1996; Wu
40 et al., 2009); i.e., the existence of EUP boundary favors an active role of EUP over a passive one.

41

42 Typical slab edges (e.g., the Kamchatka subduction zone) exhibit lateral mantle flow and
43 melting of subducting oceanic lithosphere characterized by seismic anisotropy (Peyton et al., 2001)
44 and adakite rocks (Yogodzinski et al., 2001), respectively. However, the mantle wedge beneath
45 NE Taiwan adjacent to the SW edge of Ryukyu slab is likely atypical as a result of coupling with
46 the thick EUP lithosphere to the west and exhibits cold and sluggish rheology, as attested by
47 observations of low seismic wave attenuation (high Q) (Ko et al., 2012), high V_p anomalies (Fan
48 et al., 2021; Huang et al., 2014a; Kuo-Chen et al., 2012; Su et al., 2019), and low V_p/V_s ratios
49 (Huang et al., 2014b).



50

51 **Figure 1.** High resolution 3D P -wave velocity anomalies beneath North Taiwan adopted from
 52 Su et al. (2019), with H1 and H2 denoting high V_p anomalies of PSP slab and in the mantle
 53 wedge, respectively. Circle indicates earthquake hypocenter. Triangles are distributions of FA
 54 stations with $P1$ - $P2$ delay times color-keyed. KE07 is a representative station for two P
 55 observations with ray paths traced (Rawlinson et al., 2006), LK02 for a single P observation.
 56 Yellow line: 2D profile (AA') for waveform simulations. Red line: EUP-PSP boundary by
 57 stations of zero $P1$ - $P2$ delay time. Orange line: surface projection of NW PSP termination
 58 from Ko et al. (2012). Blue line: the same as orange line but from Wu et al. (2009). Inset:
 59 Tectonic setting of Taiwan. Red box marks study area.

60

61 The deployments of the Formosa Array (FA) – a dense broadband seismic array operating
 62 over northern Taiwan since 2017 (Figure 1) – make it possible to capture the waveform imprints
 63 of the mantle wedge beneath NE Taiwan. Data from a few deep earthquakes (55-152 km) offshore
 64 NE Taiwan indeed exhibit significant later P waves (Lin et al., 2019, 2021). Unlike those of seismic
 65 waves guided inside the subducted lithosphere (Chiu et al., 1985), by the subducted plate as a
 66 whole (Chen et al., 2013), by subducted oceanic crust (Martin et al., 2003; Wu & Irving, 2018), or
 67 by the heterogeneity structure of the subducted plate (Furumura & Kennett, 2005), for which the
 68 two P phases are commonly observed by stations at fore-arc side with the later P possessing
 69 relatively high frequency contents, the aforementioned FA observations neither require fore-arc
 70 stations nor possess higher frequency later P . Using ray tracing and based solely upon arrival time

71 patterns, mechanisms of later P are attributed to either a strong seismic reflector within the mantle
72 wedge (Lin et al., 2019) or various diapirs in the mantle wedge for each and every event (Lin et
73 al., 2021).

74

75 In recognition of waveform sensitivity over travel times to structural boundaries and spatial
76 resolvability of FA, in this study we not only re-examine data from the event (12 Mar. 2019, Figure
77 1) that exhibit the most prominent later P in the studies of Lin et al. (2019, 2021), but we also
78 conduct a thorough compilation of waveform characteristics among FA observations. The resultant
79 patterns are then simplified in a 2D profile that we deem representative of overall patterns and
80 where waveforms are simulated by finite-difference schemes. By successfully simulating the
81 waveform patterns, we attribute the later P phase to an analogous head wave propagating along a
82 sub-vertical Eurasian lithosphere boundary to the west of the hypocenter.

83 **2 Materials and Methods**

84 2.1 Waveform and Arrival Time Characteristics

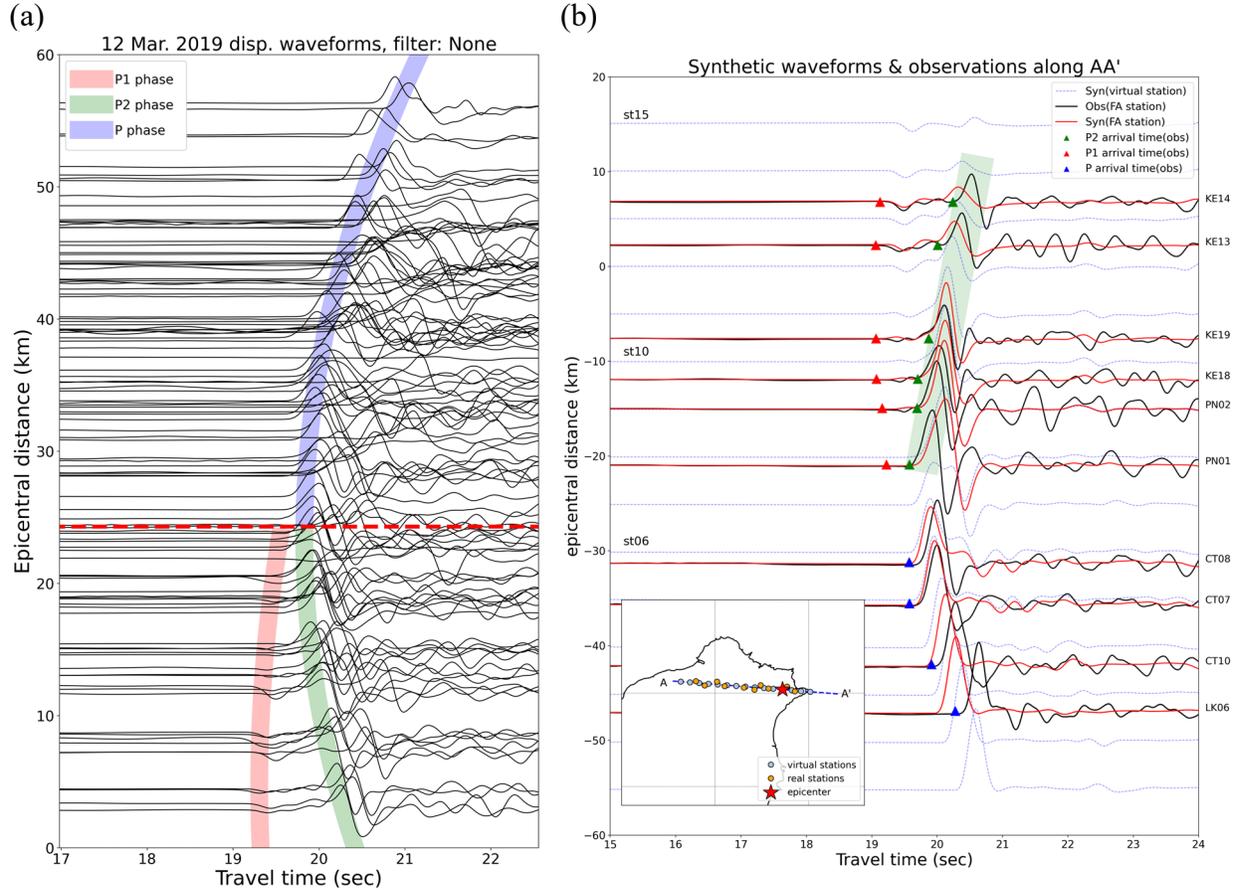
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86 Having deconvolved with instrument response, we plot the ground displacements recorded
87 by FA stations, as a function of epicentral distance (ED). It is clear that only stations with ED less
88 than 25 km exhibit two P phases (labelled as $P1$ and $P2$). On the other hand, stations with ED
89 greater than 25 km appear to exhibit single-phase first arrivals (labelled as P), either by merging
90 of the two phases or by missing one phase (Figure 2a). We determine the $P1$ - $P2$ delay times (Figure
91 2b) and color-code the resulting 0 to ~ 1.45 sec range on each station (Figure 1). The delay times
92 are in general inversely proportional to ED (Figure 2a), and based on their patterns, we extract
93 observations along profile AA' (Figure 1) as a simplified representation for waveform simulations
94 (Figures 2b).

95

96 The waveform and arrival time characteristics are compiled as follows. (1) Although arrival
97 times of $P1$ seem to follow the trend of P indicating less travel time with smaller ED, the
98 amplitudes of $P2$ indeed bear much more resemblance to those of P (Figures 2a and 2b). (2) The
99 arrival times of $P2$ exhibit a reverse pattern with greater travel time on smaller ED, resulting a
100 delay time between $P1$ and $P2$ anticorrelated with ED (Figures 1, 2a and 2b). (3) The amplitudes
101 of $P1$ are much smaller than those of $P2$ and P . The phase of $P1$ is nearly opposite to that of P and
102 the phase differences between $P2$ and P are only minor (Figures 2a and 2b). However, the particle
103 motions of $P1$, $P2$ and P are all predominantly in the vertical component.

104



105 **Figure 2.** (a) Vertical displacements of the 2019/03/12 event as recorded by all FA stations, with
 106 *P*, *P1*, and *P2* labeled to indicate distinctive first-arrival patterns separated by red dashed line. (b)
 107 Observation (black) and synthetic (red) waveforms of stations along profile AA' (epicentral
 108 distance positive to the right). Dashed traces are synthetics for virtual stations. The picked arrival
 109 times for *P*, *P1*, and *P2* phases are shown in blue, red, and green triangles, respectively. Inset:
 110 AA' profile with epicenter, real FA stations, and virtual stations shown.
 111

112 2.2 2D waveform modelling

113 Having simplified the observations with a representative 2D profile (AA'), we aim at
 114 reproducing the aforementioned characteristics with proper velocity structures. We employ an
 115 Open-source Seismic Wave Propagation Code (OpenSWPC, Maeda et al., 2017) for 2D (*P-SV*)
 116 simulation in viscoelastic media based on the finite difference method. The attenuation is
 117 frequency-independent as modelled by the Generalized Zener Body (JafarGandomi & Takenaka,
 118 2007). While the top side is a free-surface boundary, the other three sides are absorbing boundaries
 119 using a Perfectly Matched Layer condition with an implementation proposed by Zhang and Shen
 120 (2010).
 121

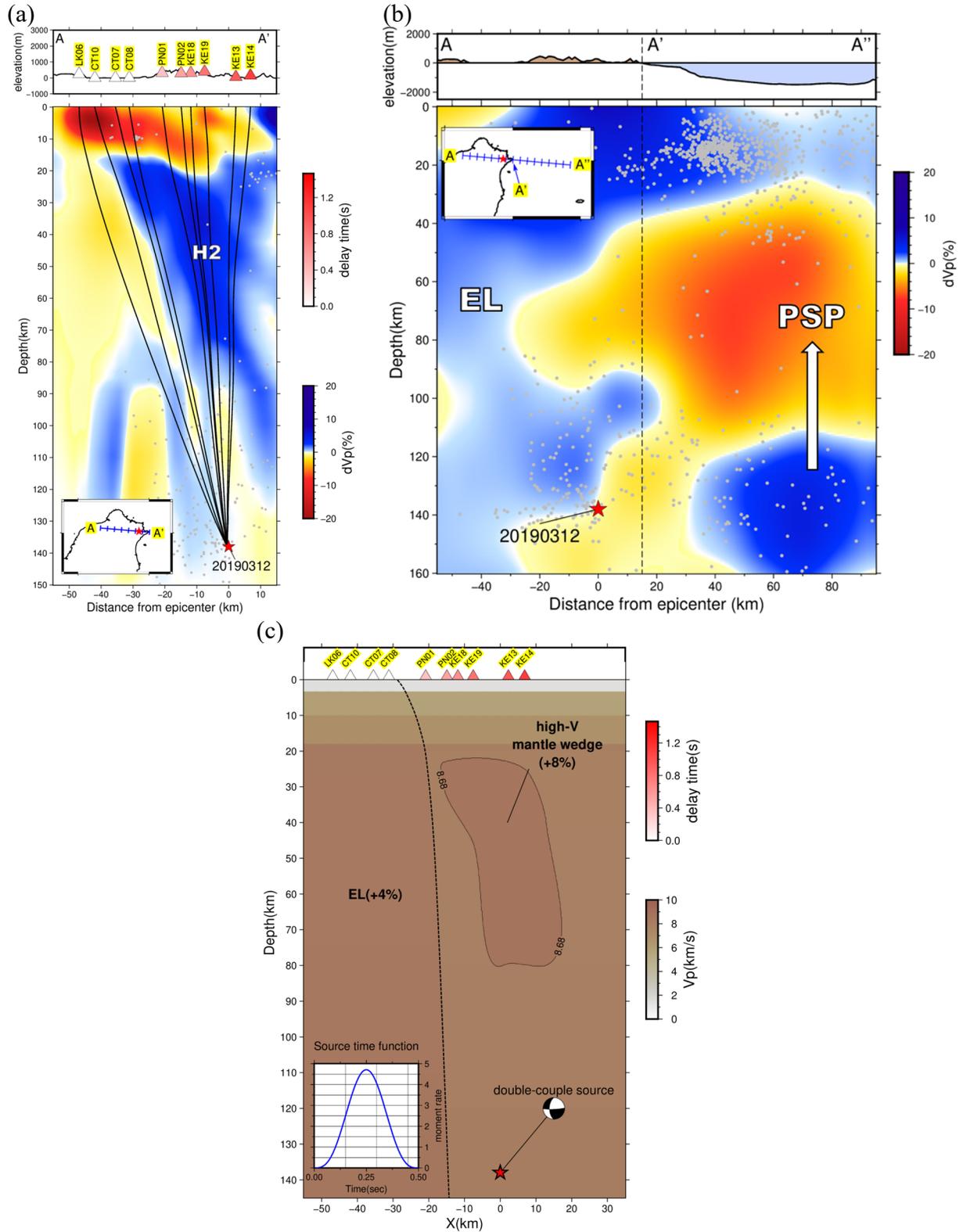
122 For proper heterogeneous velocity structures, we, based on a 1D velocity model (ak135,
 123 Kennett et al., 1995), refer to results of tomographic studies on profile AA' and determine three
 124 key features with preliminary percentages of velocity anomalies – (1) a slight overall reduction
 125 (~2%) in velocity for depth greater than 20 km to account for warmer mantle wedge, (2) a high
 126 velocity anomaly (~8%) in the mantle wedge to account for H2 in Su et al. (2019) (Figure 3a), and

127 (3) a slightly high velocity anomaly ($\sim 3\%$) in the left domain with a nearly vertical boundary to
128 imitate the EUP lithosphere (EL) and the EUP-PSP boundary (EPB), respectively, as suggested by
129 Huang et al. (2014a) (Figure 3b).

130

131 Using the Küpper's wavelet of a single cycle (Mavroeidis & Papageorgiou, 2003) with a 0.5
132 sec rise time as source time function and using the moment tensor solutions determined by Central
133 Weather Bureau (<https://scweb.cwb.gov.tw/en-us/earthquake/details/EE2019031304191553016>)
134 (Figure 3c), we conduct simulations of 2D wave propagation as recorded, on the surface, by a
135 virtual array of FA stations along AA'. Having examined the interactions of waves with the key
136 features, a theory on the mechanisms of the three phases ($P1$, $P2$, and P) was established (in
137 Results). The main parameters to describe heterogeneity of the three key features are: (1)
138 percentage of V_p reduction for depth greater than 20 km; (2) horizontal location, depth extent, and
139 percentage of V_p high anomaly in the mantle wedge; (3) horizontal location and depth extent of
140 EPB, percentage of V_p high anomaly for EL. Based on the theory, we systematically vary each of
141 the main parameters, with others being fixed, and investigate the impact on resulting patterns of
142 waveform and travel time characteristics.

143



144 **Figure 3.** (a) Velocity anomalies across profile AA' (position shown in inset) adopted from Su et al. (2019), with hypocenter (red star) and rays (black lines) to stations along profile AA' (top
 145 panel, with color from Fig. 2(b)) shown. Gray dots are background seismicity relocated by Wu et
 146

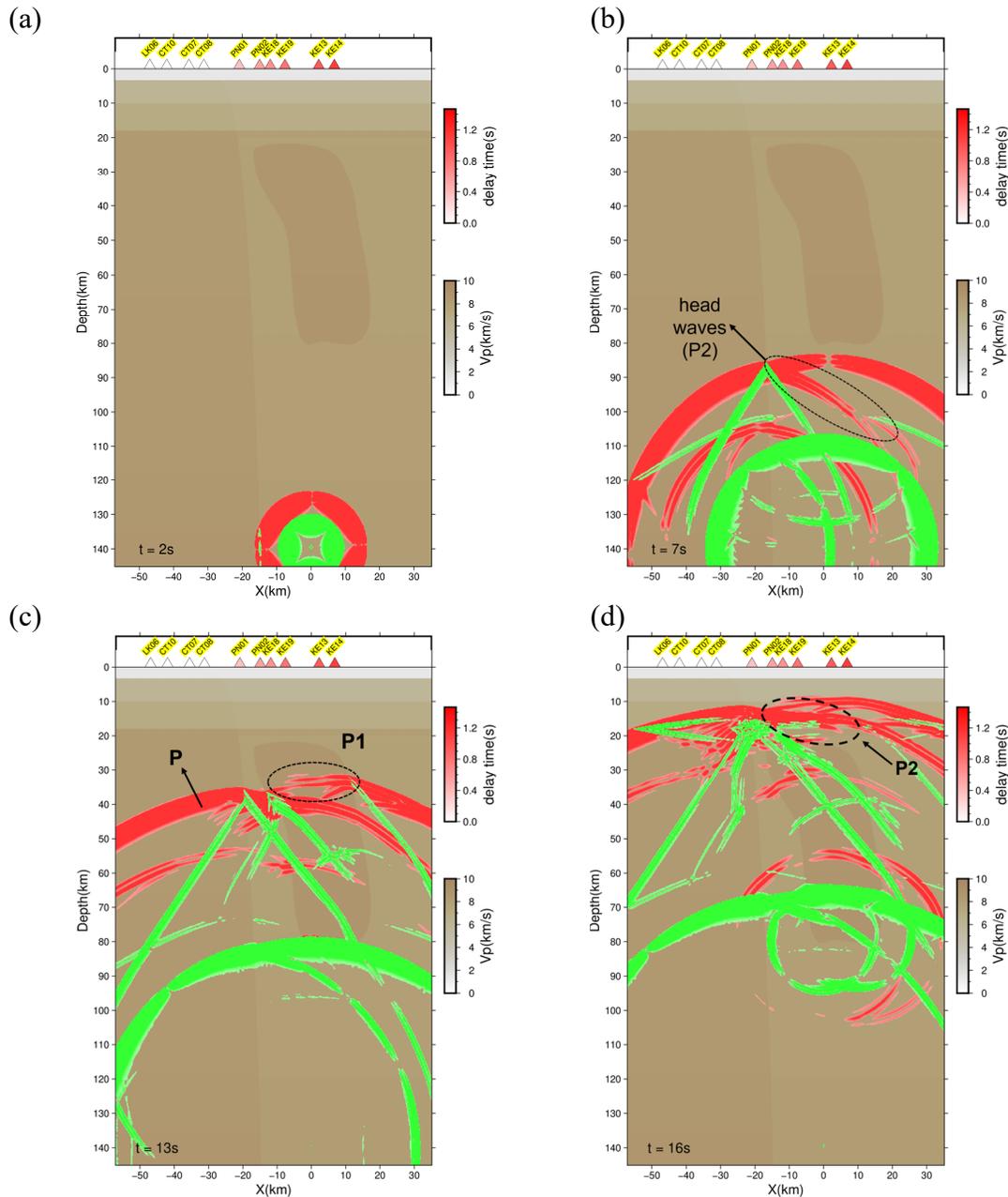
147 al. (2008) (b) Similar to (a) with the profile easterly extended to A'', as opposed to A' (black
148 dashed line) and the velocity anomalies adopted from Huang et al. (2014a). The corresponding
149 Eurasian lithosphere (EL) and Philippine Sea Plate (PSP) are marked. (c) The final velocity
150 structures for 2D waveform simulations to reproduce observations along AA' profile. Main
151 heterogeneous features are high velocity mantle wedge (solid line) and EPB (dashed line).
152 Radiation pattern and source time function are shown in bottom right and left.
153

154 3. Results

155 The exercise of systematically varying the main parameters helps us gain experience in
156 adjusting the key heterogeneous features for waveform fitting. The resultant features and the
157 waveform fitting are shown in Figure 2b. Although they are not fitting exactly, the simulated
158 waveforms do capture all major characteristics of the observations. Figure 4 shows snapshots of
159 wave propagation at 2, 7, 13, and 16 seconds after the occurrence of the earthquake (Figure S1 for
160 animation), where we establish the theory on the mechanisms of the three phases.
161

162 We first note that the horizontal divide of ($P1$, $P2$) and P coincides with location of EPB on
163 the AA' profile (~25 km to the west of hypocenter) (Figures 2a, 2b, and 3c). Secondly, the nodal
164 point (referring to the one of upward propagation hereafter) of the earthquake is pointing exactly
165 upwards on AA' (Figure 4a), resulting in opposite polarity of initial phases between those easterly
166 and westerly propagating upwards. Since the high V_p anomaly in the mantle wedge is just above
167 the hypocenter with the main content to the right (Figure 3c), the energy radiated to the right of
168 and proximal to the nodal point will have the most ray path within the high V_p anomaly and arrive
169 first to the surface as $P1$ phase. While the small amplitudes of $P1$ are easily conceptualized as
170 radiation near the nodal point, the arrival time patterns of $P1$ (Figures 2a and 2b) are mainly
171 determined by the shape and depth of upper bound of the high V_p anomaly (Figures 3c) that
172 constrain our final result (Figures 2a and 4).
173

174 The scenario of westerly upward propagation is analogous to that of two-layer structures in
175 refraction seismology (Stein & Wysession, 2001) – a low-velocity horizontal layer on top of a high
176 velocity half space – but with a 90° clockwise rotation with a sub-vertical EPB. As a result, those
177 waves with incident angles smaller than the critical angle between mantle and EL are refracting
178 into EL and recorded by stations west of EPB as P phase (Figure 4c). On the other hand, the waves
179 with incident angle equal to the critical angle propagate along the EPB as a head wave and radiate
180 energy back all the way with the critical angle, which are recorded by stations to the east of EPB
181 as $P2$ phase (Figure 4d). The proposed mechanisms for P and $P2$ phases explain (1) the reverse
182 travel times of $P2$ initiated near horizontal location of EPB, (2) the positive polarity and relatively
183 great amplitudes of P and $P2$ (radiated from the west of the nodal point), (3) the slight phase
184 differences between P and $P2$ (refracted vs. head waves). A virtual array of 15 stations was set up
185 along AA' to better follow the spatial variation of synthetic $P1$, $P2$, and P phases (Figure 2b).
186
187



188 **Figure 4.** Snapshots of wave propagation at 2, 7, 13, and 16 secs after the occurrence of the
 189 earthquake, with red color for *P* waves and green for *SV* waves. The wavefronts of
 190 corresponding phases (*P*, *P1*, and *P2*) are marked.

191 **4. Discussion**

192 Although FA data from the same event have been previously studied with the source of later
 193 *P* attributed to a strong reflector in the mantle wedge (Lin et al., 2019) or mantle wedge diapirs
 194 (Lin et al., 2021), we re-examine the data with additional attention on waveform characteristics
 195 and conclude that the later *P* (*P2*) is analogous to a head wave propagating along a sub-vertical
 196 EPB. Despite the 2D simplification and the inherited non-uniqueness of the forward trial-and-error
 197 approach, the legitimacy of the resultant model is appealing in its capability of reproducing not

198 only arrival time but also waveform characteristics. By the same token, the model is more complete
199 than those of tomographic studies (e.g., Huang et al., 2014a; Su et al., 2019), simply due to
200 waveforms being more sensitive to structural boundaries.
201

202 The sluggish rheology of adjacent mantle wedge attributable to coupling with EL (Ko et al.,
203 2012) is evidenced by the waveform-detected EPB beneath NE Taiwan. More importantly, the
204 existence of EPB beneath NE Taiwan provides evidence of detached EUP slab (Teng, 1996) and
205 is not consistent with tearing of EUP by NW indentation of PSP (Lallemand et al., 2001). Here, we
206 intend to constrain the surface projection of EPB to better formulate the active role of EUP on
207 evolution of Taiwan tectonics. Based on our theory of the mechanisms of $P1$, $P2$, and P , the
208 horizontal divide of ($P1$, $P2$) and P coincides with horizontal distance of sub-surface EPB, i.e.,
209 ~ 25 km west of the hypocenter on AA'. If we connect the horizontal divides by following those of
210 FA stations with zero delay time, the sub-surface EPB in NE Taiwan is thus determined (Figure 1).
211 Note that the waveform-constrained EPB in this study is consistent with the NW termination of
212 PSP determined by distributions of deep seismicity (Ko et al., 2012). However, the EPB does not
213 necessarily have to be a vertical one. According to our systematic tests, the best horizontal distance
214 of EPB at depth to fit waveforms of $P2$ is at 15 km west of the hypocenter, resulting in EPB in the
215 final result curving slightly to the west near the surface (Figure 3c). Having tested various depth
216 extents of EPB, the depth range between 60 and 100 km is the most sensitive for the generation of
217 $P2$ phase.

218 **5 Conclusions**

219 The additional constraints of waveforms provide an alternative explanation for the
220 mechanisms of a significant later P phase that is more comprehensive than those based only on
221 travel time patterns. Upon simulating waveform and travel time characteristics of a deep
222 earthquake beneath NE Taiwan (12 Mar. 2019) across a 2D profile of FA, we confirm the existence
223 of a high V_p anomaly in the mantle wedge above Ryukyu subduction and a sub-vertical EPB to
224 the west. We propose that the small amplitudes of $P1$ result from a near-nodal-point-of-earthquake
225 radiation pattern and $P2$ represents the phase of a head wave propagating along the sub-vertical
226 EPB to the west of the hypocenter. Phase differences of observations are also accounted for by the
227 proposal. Accordingly, the horizontal divides between ($P1$, $P2$) and P indicate the sub-surface
228 location of EPB, which is portrayed by connecting zero $P1$ - $P2$ delay times of FA stations.
229

230 **Acknowledgments**

231

232 **Open Research**

233 All the waveform data are available on the website of Formosa Array:

234 <https://fmarray.earth.sinica.edu.tw/>

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