A Novel Population of Slow Magnetosonic Waves and a Method for the Observation of the Roots of Plasma Bubbles in the Lower Ionosphere

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Abstract

Using data from the Van Allen Probe and Swarm-Bravo satellites, evidence for a persistent population of slow magnetosonic waves in the ionosphere is presented. Dispersion relations from two-fluid analyses of waves in warm plasma are used to interpret and explicate these observations. These waves appear to be continuously present and globally distributed. Their amplitudes systematically decrease with increasing altitude. The amplitudes are also correlated with longitude in a manner consistent with the global distribution of lightning strikes. Evidence for narrow resonances in the Swarm data consistent with doppler shifted Schumann resonance frequencies is presented. In addition, nearly dispersionless fast magnetosonic waves are sometimes also seen. A new method for the analysis of these waves suggests they show the existence of "foamy" plasma bubble "roots" at the base of the ionosphere.

1 2 3 4	A Novel Population of Slow Magnetosonic Waves and a Method for the Observation of the Roots of Plasma Bubbles in the Lower Ionosphere Charles L. Bennett ¹
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7	Key Points:
8 9	• Globally distributed slow magnetosonic waves in the ionosphere have been found with amplitudes decreasing vs altitude
10 11	• It is suggested that these are produced by lightning generated waves impacting the Earth Ionosphere waveguide upper boundary
12 13 14	• Unusual low dispersion whistlers suggest the existence of plasma depletion "roots" extending to the base of the ionosphere

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- 17 population of slow magnetosonic waves in the ionosphere is presented. Dispersion relations from
- 18 two-fluid analyses of waves in warm plasma are used to interpret and explicate these
- 19 observations. These waves appear to be continuously present and globally distributed. Their
- 20 amplitudes systematically decrease with increasing altitude. The amplitudes are also correlated
- 21 with longitude in a manner consistent with the global distribution of lightning strikes. Evidence
- 22 for narrow resonances in the Swarm data consistent with doppler shifted Schumann resonance
- 23 frequencies is presented. In addition, nearly dispersionless fast magnetosonic waves are
- sometimes also seen. A new method for the analysis of these waves suggests they show the
- existence of "foamy" plasma bubble "roots" at the base of the ionosphere.

26 Plain Language Summary

- 27 Using satellite data, just as the acoustic noise from distant lightning is heard to rumble,
- sometimes a considerable time later, for a much longer duration than the visible flashes, an even
- 29 more greatly delayed and spread-out series of plasma-sound waves are found in the earth's
- 30 ionosphere after every lightning bolt. The noise of these plasma-sound waves comes in two
- forms. One set of plasma-sound waves is slow and is found to be always present over the entire
- 32 globe. Properly accounting for this noise in satellite electromagnetic field measurements could
- improve the quality of measurements of the earth's magnetic field from space, and lead to a
- 34 better understanding of our earth's magnetic field and its ionosphere. A second set of plasma-
- 35 sound waves is fast, and more sporadic in appearance. These fast plasma-sound waves are
- 36 associated with plasma bubbles that can interfere with radio wave communications around the
- 37 globe. Better understanding these fast waves and bubbles could possibly allow for better radio

38 communication processes.

39 **1 Introduction**

54

The mean global rate of lightning is 60 flashes/s (Burgesser, 2017) and is concentrated most strongly in the mid-latitude continental regions. The high global rate of lightning strokes, together with the low attenuation at low frequencies leads to the establishment of standing wave resonances within the Earth Ionospheric Waveguide (EIWG). Within the EIWG, the wave attenuation in the frequency range below 100 Hz is roughly 0.5 dB/Mm according to (Chapman

- et al.,1966), so that such low frequency waves may travel several times around the globe before
- 46 losing most of their energy. The propagation of electromagnetic waves in the EIWG is discussed
- most extensively by Nickolaenko and Hayakawa (2002), see also (Budden, 1957; Jackson, 1975;
 Schumann, 1952). The resonances of the EIWG are known as the Schumann resonances (SRs).
- Schumann, 1952). The resonances of the EIWG are known as the Schumann resonances (SRs).
 The transient vertical electric and horizontal magnetic fields at great distances from an individual
- strong lightning stroke, designated Q-bursts by (Ogawa et al., 1967), appear as bipolar pulses in
- the time domain according to Nickolaenko et al. (2004), comprising a series of diminishing

52 intensity delayed pulses corresponding to multiple Earth circuits.

53 If the EIWG was a lossless, perfectly spherical cavity, the SR eigenfrequencies would be

$$f_n = \frac{c}{2\pi R_e} \sqrt{n(n+1)} , \qquad (1)$$

- so where R_e is the Earth's radius, c is the speed of light and n is the number of the eigenmode. In
- the actual EIWG, the frequencies of the lowest eigenmodes are only slightly lower than the

values given by equation 1, with observed values for the five lowest eigenmodes of 7.8, 14.1,

- ⁵⁸ 20.3, 26.3 and 32.5 Hz as listed in table 1 of (Chapman et al., 1966). The corresponding quality
- ⁵⁹ factor Q values are 4, 4.5, 5, 5.5 and 6 for these resonances. Q values for a resonance at a given
- 60 frequency are commonly defined as the ratio of the resonance frequency to the width of the
- resonance. The SR intensities observed at a fixed location have significant diurnal and seasonal variations in amplitude, sometimes over a factor of two (Fullekrug M., 1995) as the global rate
- of lightning varies as the subsolar point crosses the three main continental regions (Rodriguez-
- 64 Camacho et al., 2021; Satori, 1996). From the quality of the correlation between the observed
- 65 intensity of the SRs and the instantaneous lightning rate (Boldi et al., 2017) no evidence is found
- 66 for contributions other than lightning to the intensity of the SRs in the EIWG. Measurements of
- 67 the magnetic field intensity of the lowest SR at ground level are typically less than 1 $pT/Hz^{1/2}$,
- e.g. (Boldi et al., 2017; Fullekrug, 1995; Fullekrug & Fraser-Smith, 1996; Price, 2016;

69 Rodriguez-Camacho et al., 2021; Salinas et al., 2016; Sentman, 1987).

- Some portion of the low frequency electromagnetic energy of the SRs may penetrate 70 through the EIWG upper boundary (EIWGUB) in the form of plasma waves. Evidence for this 71 was sought and first claimed by (Ni & Zhao, 2005) based on measurements of electric and 72 magnetic field data from the Aureol-3 satellite. The Aureol-3 satellite polar orbit covered an 73 altitude range from 400 km to 2,000 km with an inclination of 82.5°. The claims of Ni and Zhao 74 were not believed by (Surkov et al., 2013) for a couple of reasons. First, the Ni and Zhao spectral 75 amplitudes at 8 Hz were *thought* to be too high: $B \sim 45 \text{ pT/Hz}^{1/2}$ and $E \sim 20 \text{ }\mu\text{V/m/Hz}^{1/2}$. Second, 76 the peak frequencies seen in the magnetic fields did not match SR frequencies measured at 77 ground level. However, Surkov et al., (2013) did not consider either the profound impact of 78 doppler shifts on the SR frequencies or the possibility of passage through plasma bubbles. It will 79 be shown below that these factors could possibly have played a role in the Ni and Zhao 80 observations. 81
- Later analysis by (Simoes et al., 2011) of electric field data from the C/NOFS satellite 82 provided a more compelling case for the presence of SR electric field signatures in the 83 ionosphere. The C/NOFS satellite had a 401 km perigee, 852 km apogee and 13° inclination. 84 These signatures were observed throughout the ~3-year lifetime of the C/NOFS satellite with a 85 typical electric field spectral density of 0.3 (μ V/m)/Hz^{1/2}, which is nearly three orders of 86 magnitude weaker than the observations near the earth's surface of the SR standing wave 87 amplitudes. The great weakness of the ionospheric electric field SRs observed by (Simoes et al., 88 2011) is consistent with the (Surkov et al., 2013) calculation. 89
- The three Swarm satellites, Alpha, Bravo and Charlie, (SwA, SwB and SwC) launched in 90 November 2013 by the European Space Agency had the mission objective to provide the best 91 ever survey of the geomagnetic field and its temporal evolution, (Friss-Christensen et al., 2006). 92 In a comparison (Finlay et al., 2020) of the quality of the agreement between a sophisticated 93 94 model of the time-dependent near-earth geomagnetic field and the Swarm, CryoSat-2, CHAMP, SAC-C and Oersted satellites, the Swarm data indeed had the smallest rms differences between 95 96 model and observations. The mean Swarm rms value for along-track field differences over all 97 three satellites and all three field components was only 0.26 nT, while CHAMP's mean was 0.39 nT. The high quality of the Swarm magnetic field measurements was achieved despite early 98 challenges with unexpected Sun-driven disturbances (Toffner-Clausen et al., 2016). 99
- 100 At 21:01:30 on 14 March 2014 two days after SwB was raised to its operational altitude 101 of 525 km, a mysterious chirping in the high rate SwB VFM-y channel data suddenly appeared.

Exactly at the time that this chirping appeared, the overall noise level also suddenly increased
 slightly. This and every other time in this article not explicitly labeled as Local Solar Time (LST)

104 is given as Universal Time (UT). This overall noise level was not significantly different between

the dayside and nightside of the orbits and did not depend on longitude. The mysterious chirping just as suddenly ceased at 11:17:53 on 25 June 2014. The cessation of chirping coincided with a

manual power cycling of the VFM instrument on SwB. According to (European Space Research

and Technology Centre, 2018), at the time that the chirping disappeared from the data, it is stated

109 "70pT noise in y-measurement since [14 March 2014]". After this power cycling, the overall

background noise level in the y channel returned to that seen before the onset of chirping. The

overall background noise levels in the x and z channels did not significantly change after the power cycle. It will be suggested below that this mysterious chirping might be associated with

113 SRs.

Throughout the CHAMP satellite mission, a mysterious chirping noise feature was found 114 (Yin et al., 2015), having so called "W" and "V" shaped variations in spectrograms like those 115 seen in the SwB data described in the previous paragraph with a similar amplitude. This chirping 116 was found to be correlated with regions of small magnetic declination, but it was stated "This 117 good correlation between the two very different quantities suggest that the V- and W-shaped 118 signals in the By component are artificial". Yin et al. (2015) state: "we strongly suggest that it 119 [the mysterious chirping] reflects an oscillation of the y-component ADC at the crossover from 120 negative to positive readings." Beyond this explanation for the correlation between W- and V-121 shaped events and small magnetic declination, there is no detailed explanation for the shape of 122 these features. In the present paper, the same model that describes the SwB chirping also 123 quantitatively reproduces the shape of both the V- and W-shaped events in the CHAMP data. 124

125 Although primarily designed to study the magnetosphere (Mauk et al., 2013) rather than the ionosphere, the perigee of the Van Allen Probes A and B (VAP-A and VAP-B) of 126 approximately 575 km is close to the SwB altitude. In the last months of the VAP mission in 127 128 2019, the perigees of VAP-A and VAP-B were lowered to approximately 275 km and lower ionospheric data were acquired. Because of the higher sensitivity and higher sampling rate of the 129 VAP-A & B Electric and Magnetic Field Instrument Suite (EMFISIS) (Kletzing et al., 2013) 130 detectors, near perigee these data can be used to investigate and corroborate the nature of the 131 132 mysterious SwB chirping and to detect plasma depletion regions (PDRs) or plasma bubbles. The "roots" of PDRs are defined as depletion regions that extend all the way to the bottom of the 133 134 ionosphere.

Plasma bubbles were originally suggested by Woodman and Hoz (1976) to explain 135 136 plumelike features in ionospheric irregularities responsible for the Spread-F phenomenon in the F-layer of the ionosphere (Woodman, 2009) and may be observed by various techniques. Radar 137 observations of plasma bubbles (e.g. Abdu et al., 2012; Hysell et al., 2005; Kudeki & 138 Bhttacharyya, 1999; Narayanan et al., 2014; Patra et al., 2005; Tsunoda, 1983; Yokoyama et al., 139 2011) require the presence of ionospheric irregularities having sizes comparable to the radar 140 wavelength. Without the conversion of large-scale density variations to small-scale irregularities 141 such as can be produced by turbulent activity, the presence of possible non-turbulent PDR roots 142 at the bottom of the ionosphere prior to the process of buoyant rising in the form of bubbles 143 through the E- and F-layers of the ionosphere is unlikely to be detected by radar. Plasma bubbles 144 may alternatively be detected as emission depletion bands in optical observations, (e.g., Immel et 145 al., 2003; Kil et al., 2004; Makela & Kelley, 2003; Martinis et al., 2003; Mendillo & 146

Baumgardner, 1982; Pimenta et al., 2003; Shiokawa et al., 2004). Recent observations (Adkins 147 & England, 2022; Karan et al., 2020) of plasma bubbles as seen in the far-ultraviolet under the 148 Global-scale Observations of the Limb and Disk (GOLD) satellite enable detailed occurrence 149 rate, drift rate and separation measurements. The conjunction of in-situ SWARM measurements 150 with GOLD observations of plasma depletions (Rodriguez-Zuluaga et al., 2020) demonstrates 151 the validity of the GOLD detections of plasma bubbles. However, none of these methods: radar, 152 optical or in-situ satellite measurements (necessarily restricted to sustainable orbits) are sensitive 153 to non-turbulent depletions in the plasma density at the interface between the neutral atmosphere 154 and the bottom of the ionosphere. In discussing the benefits of very low earth orbit (Crisp et al. 155 2020) show in their Figure 4 that at altitudes below 200 km, orbital lifetimes drop to mere days 156 at best. 157

Rocket experiments (Abdu et al., 1991; Hysell et al., 2005) are capable of in situ probing 158 in this region, but they obviously have extremely limited spatial and temporal coverage. In one 159 typical case of a rocket flight coincident with developing spread F radar observations (Hysell et 160 al., 2005) observe strong electron density variations at an altitude of about 100 km, c.f. their 161 Figure 2, while at the same time substantial coherent scatter from irregularities is seen near 100 162 km, c.f. their Figure 1, but the rocket and radar observations are not at the same location. In the 163 present work, a novel method is presented for the detection and analysis of non-turbulent PDR 164 165 roots undetectable by any other observational method known to this author.

In this article, evidence is presented that the mysterious SwB chirping as well as the 166 CHAMP chirping could be associated with a globally distributed population of slow 167 magnetosonic waves present throughout the ionosphere that is also seen in VAP data. To this 168 author's knowledge, this population has not been previously recognized in the literature. It is 169 suggested that these are lightning generated waves that have been partially converted to slow 170 magnetosonic waves upon passage into the ionosphere. In section 2 of the present work, a 171 discussion of various theoretical models is given to better understand and interpret the satellite 172 173 observations. First, the two-fluid model of De Jonghe & Keppens (2020a) is reviewed as it provides an illuminating picture of the nature of the plasma waves that may propagate in the 174 ionosphere. Then the importance of doppler shift effects for plasma waves having speeds 175 comparable to or much less than satellite speeds is discussed. Concluding the theoretical section, 176 an overview of the propagation of Lightning Generated (LG) waves from strike to satellite is 177 presented. In section 3 analysis of data from the VAP satellite mission leads to the conclusion 178 179 that slow magnetosonic noise is present in the ionosphere throughout the seven-year lifetime of the VAP mission. In section 4 analysis of the Swarm data is provided. It is suggested that the 180 mysterious chirping is consistent in frequency with Schumann resonances that have been doppler 181 182 shifted by the relative velocity between satellite and waves.

183 2 Theoretical Analysis

184 2.1 Two Fluid Plasma Model

In De Jonghe and Keppens (2020a), using a fully relativistic treatment for a two-fluid warm ion-electron plasma, a polynomial dispersion relation of sixth degree in the squared frequency ω^2 and fourth degree in squared wavenumber k² results. This dispersion relation is a function of five parameters: the electron and ion cyclotron frequencies, the electron and ion sound speeds and the propagation angle between the wavevector **k** and the ambient magnetic 190 field B_0 vector. These authors provide comprehensive expressions for the polynomial

191 coefficients in terms of these five parameters, so that explicit solutions to the dispersion relation

are found for a given wavenumber as roots of the sixth order polynomial in ω^2 . It is shown in De

193 Jonghe & Keppens (2020a) that for oblique propagation angles, the frequency ordering of the six 194 modes corresponding to the six roots of the sixth order polynomial are fixed in the order

195
$$\omega_S \le \omega_A \le \omega_F \le \omega_M \le \omega_Q \le \omega_X. \tag{2}$$

The S, F and A labels refer to the Magnetohydrodynamic (MHD) slow magnetosonic 196 (MS), fast MS and Alfven waves, while M stands for the modified electrostatic waves, O 197 198 represents "ordinary" and X represents "extraordinary" electromagnetic modes. In the following discussion of the lower frequency waves propagating in the earth's ionosphere, only the MHD 199 wave types are of present interest. In the figures and text these three MHD wave modes are green 200 201 for S slow MS, red for A Alfven and blue for F fast MS waves. Representative dispersion 202 relations using De Jonghe and Keppens (2020a) model for a typical ionospheric composition are shown in Figure 1. The specific values shown were computed using the International Reference 203 204 Ionosphere (IRI) model-2016 (Blilitza et al., 2016) estimates for the case of the data acquisition shown in Figure 5 below. For these conditions, the wave normal surfaces are shown in Figure 2 205 for frequencies below, near and above the transition between the short and long wavelength 206 limits. 207

In Figure 1 four regions of dispersionless behavior are seen in 1c, 1f and 1i: for a limited 208 range of frequencies above $\Omega_x A$ waves are nearly dispersionless, and below Ω_x all three modes 209 F, A and S become dispersionless in the long wavelength limit. Five regions of dispersive or 210 "whistling" behavior are seen: descending frequency \mathbf{F} whistling above Ω_x , ascending frequency 211 A whistling below and asymptotic to Ω_x from below, ascending frequency A whistling starting a 212 few orders of magnitude above Ω_x , descending frequency A whistling above and asymptotic to 213 Ω_x from above, and finally ascending frequency S whistling below and asymptotic to $\Omega_x \cos(\theta)$ 214 from below. For typical ionospheric conditions, although the *F* wave dispersion constant 215 depends on plasma density and magnetic field strength, it is relatively insensitive to ion species 216

217 or temperature.

The specific angle choice in Figure 1 and the specific wavenumber choices in Figure 2 are chosen to illustrate the transitions in the nature of the wave propagation from long wavelength to short wavelength behavior for each of the wave types. It can be seen from 2a, 2dand 2g for F waves that they undergo a transition from isotropic to anisotropic behavior as the wavenumber crosses the ion cyclotron resonance. In contrast, for both A and S waves in the low wavelength limit, c.f. (Goedbloed et al., 2019) Figure 5.3, energy only flows directly along magnetic field lines as the relation

- 225
- $V_p = V_g \cdot \cos(\theta) \tag{3}$
- 227

226

between phase and group velocity holds. For *A* waves this relation is independent of temperature,

while for S waves, this relation holds for ion thermal speeds much less than the speed of light.

2.2 Doppler Shifts 230 In general, the observed frequency of a plasma wave seen by an observer moving at 231 velocity V_o relative to the plasma is 232 233 $\omega_{\alpha} = \omega - \boldsymbol{k} \cdot \boldsymbol{V}_{\alpha} = \omega - k \, V_{\alpha} \, \hat{\boldsymbol{k}} \cdot \hat{\boldsymbol{V}}_{\alpha} \, .$ 234 (4) 235 The observed frequency relative to the emitted frequency can be written in terms of the 236 magnitude of the phase velocity $V_p = \omega/k$ as 237 238 $\frac{\omega_o}{\omega} = 1 - \frac{V_o \, \hat{k} \cdot \hat{V}_o}{V_n} \, .$ (5) 239 240 For A and S wave types following equation 3, the observed to emitted doppler frequency ratio 241 DFR is 242 243 $DFR = \frac{\omega_o}{\omega} = 1 - \frac{V_o \ \hat{k} \cdot \hat{V}_o}{V_a \ \hat{k} \cdot \hat{B}_o}.$ (6) 244 245 For \mathbf{k} uniformly but randomly distributed over all directions, and for an angle \mathbf{y} between 246 \hat{V}_{o} and \hat{B}_{0} , the probability distribution function pdf of *DFR* derived from expression 6 (details of 247 this derivation are in the supporting information) is a Lorentzian function 248 249 $pdf \propto \frac{1}{\left(DFR-1+\frac{V_O}{V_O}\cos(\gamma)\right)^2 + \left(\frac{V_O}{V_O}\sin(\gamma)\right)^2}.$ (7)250 251 252 Satellite speeds and possible plasma drift speeds in the ionosphere are so much less than

fast plasma waves that their DFR values are only narrowly distributed about unity. In stark 253 contrast, *slow* ionospheric plasma wave speeds may be comparable to (for H⁺ plasmas) or 254 substantially less than (for O^+ or NO^+ plasmas) ionospheric satellite speeds. For slow waves the 255 256 distribution of DFR values is thus strongly dependent on the orbital inclination angle. For satellites in low inclination orbits, such as the Van Allen Probes, γ is nearly $\pm 90^{\circ}$, so that *DFR* 257 values are peaked near unity, but have distribution HWHM (half width at half max) = V_0/V_g 258 values that may become very broad, such as for waves in a predominantly O^+ plasma. For 259 satellites in nearly polar orbits, such as the Swarm satellites, γ is near 0° at the ascending node 260 and near 180° at the descending node. In either case the widths, being proportional to $sin(\gamma)$, are 261 much narrower. As a result, for the Swarm satellites, near the ascending nodes, for slow H 262 plasma waves for which V_0/V_g is near unity, DFR values near 0 dominate, while near the 263 descending nodes, DFR values near 2 are dominant. This rather surprising difference between 264

ascending and descending nodes seems to appear in some Swarm satellite data, as discussed in
 section 4.

Finally, at the magnetic poles, occasionally crossed by satellites having high inclination 267 orbits, the satellite velocity becomes perpendicular to the magnetic field direction, so that the 268 mean DFR value become unity and the underlying frequencies of possible resonance may be 269 270 seen, albeit with increased widths. The derivation of the Lorentzian distribution, based on the assumption of wavevectors uniformly distributed over all directions may no longer be valid in 271 the polar region however, since lighting strikes are primarily concentrated in a band some tens of 272 degrees wide about the equator. Thus, most lightning generated waves reaching the polar regions 273 would have meridionally aligned wavevectors. 274

275 2.3 Random Phase Approximation for Phase Velocity

For the analysis of superpositions of large numbers of waves having uncorrelated phases the random phase approximation (RPA) has been found (Shapiro & Campillo, 2004) particularly useful. In RPA, off diagonal elements of spectral correlations are neglected. For the electric and magnetic components having frequency f, angular frequency $\omega = 2\pi f$, Faraday's law leads to

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$$\boldsymbol{k} \times \boldsymbol{E}(\mathbf{f}) = \boldsymbol{\omega} \boldsymbol{B}(\mathbf{f}). \tag{8}$$

281 282

Thus, the dot product of equation (8) with the conjugate magnetic field amplitude divided by the magnitude k of the wave vector in RPA leads to the expression

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$$V_p = \omega/k = \hat{\boldsymbol{k}} \times \boldsymbol{E}(f) \cdot \boldsymbol{B}^*(f) / [\boldsymbol{B}(f) \cdot \boldsymbol{B}^*(f)], \qquad (9)$$

(10)

 $V_p = \sin(\alpha)\cos(\beta) |\boldsymbol{E}(\mathbf{f})| / |\boldsymbol{B}(\mathbf{f})| \le |\boldsymbol{E}(\mathbf{f})| / |\boldsymbol{B}(\mathbf{f})| ,$

287 which can be written in terms of the angles α between \hat{k} and \hat{E} and β between $\hat{k} \times \hat{E}$ and \hat{B} as

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- 289
- 290

for the magnitude of the phase velocity. The ratio of electric to magnetic magnitudes thus

provides an upper limit to V_p . From this expression, together with the observation that slow plasma wave speeds V_s are typically orders of magnitude less than fast plasma wave speeds V_f in

the ionosphere, slow waves are more readily detected in the magnetic field amplitudes than in the electric field amplitudes and vice versa for fast waves.

296 2.4 Lightning Generated (LG) Energy Propagation into the Ionosphere

The energy produced by a lightning stroke passes through a wide variety of conditions as it propagates away from the source region and enters the ionosphere as illustrated in Figure **3**. Energy radiates away from the source in a complex pattern. Electromagnetic Pulses (EMPs) from a single lightning stroke in the near field region propagate approximately isotropically (above the earth's surface) at the speed of light for distances less than the height of the ionosphere. At distances up to a few 100 km, a complex superposition of direct and multipath waves is found with a great variety of waveforms (Wang et al. 2020). At greater distances, the Earth Ionosphere
 waveguide (EIWG) bounded by solid earth below and the EIWG upper boundary (EIWGUB)

above, substantially affects EMP propagation, lowering its speed and acting as a low pass filter.

According to (Nickolaenko et al., 2008), the expanding circular wavefront within the EIWG

307 starts to converge after passing the "equatorial arc distance" of 10 Mm, reaches a local minimum

amplitude at 15.5 Mm, then subsequently increases in amplitude from geometrical focusing,
 finally reaching a local maximum in intensity at the 20 Mm antipodal location. After passing the

antipodal location, the circular wavefront again expands, passes the second equatorial distance,

and again converges to return to the point of origin and repeat the cycle. Such "Q-burst" wave

312 propagation following Nickolaenko et al. (2004) is illustrated in supplemental Figure S1. EMP 313 propagation through the EIWG is well approximated by a speed of 245 Mm/s, as shown in

Figure **S1**, along the arc distance through the EIWG.

315 Where conditions are conducive to penetration through the EIWGUB and continuation to an ionospheric detector, EMPs in the EIWG may convert to F mode plasma waves at the 316 EIWGUB and travel along nearly vertical paths (Jacobson et al., 2011; Santolik et al., 2009) as 317 indicated by the three upward directed blue arrows extending from the EIWGUB to the Van 318 Allen probe altitude at three locations along its orbit in Figure 3. Because conditions are not 319 always conducive, not every EMP produces F waves observable by satellites in the ionosphere. 320 The total propagation time ΔT from source to satellite detector may be written as the sum of the 321 propagation time through the EIWG, ΔT_0 , and the remaining propagation time from the bottom 322 of the ionosphere up to the satellite. As seen in Figures 1c, 1f and 1i, the group velocity V_g in the 323 ionosphere for frequencies above the relevant ion cyclotron frequency is proportional to the 324 inverse square root of frequency. The group velocity is also proportional to $\sqrt{B/n_e}$ with electron 325 density n_e and magnetic field strength B a function of distance along the traversed path. As a 326 result ΔT may be written in terms of an overall dispersion constant DC as 327

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 $\Delta T = \int \frac{ds}{V_g(s)} = \Delta T_0 + DC / \sqrt{f} , \qquad (11)$

330

331 where DC is proportional to the integral:

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 $DC \propto \int \sqrt{n_e/B} \, ds$ (12)

334

The IRI values of n_e and B shown in Figure 4 may be used to compute DC values for vertically propagating F waves as a function of altitude. These IRI model estimates are compared with the dispersion constants determined from observed whistlers in the VAP data (as described below) for a representative perigee crossing in Figure 6g. Most of the VAP observed DC values are found to be proportional to the IRI estimate, however, in certain cases unusually low values of dispersion are found.

The lower ionosphere is a highly complex region that sporadically exhibits plasma density fluctuations, such as plasma bubbles (PB) as described by (Woodman, 2009). PBs are extended regions of low-density plasma that tend to extend along magnetic field lines (Rodriguez-Zuluaga et al., 2022). It is suggested here that the unusually low dispersion events in
the scalogram data are observed when the VAP happens to be in a plasma bubble. Evidence that
PBs may sometimes extend to the base of the ionosphere, as illustrated in Figure 3 is shown by
the observation of Q-burst waveforms that have suffered no discernible extra dispersion beyond
that already accounted for in the Q-burst waveform.

349 In Figure 4, the plasma conditions computed using the IRI model (Bilitza et al., 2016) are shown as a function of altitude for a representative time and location corresponding to the data 350 shown in Figures 5, 6 and 7 at the altitude highlighted with asterisks in Figure 4. The magnetic 351 field in this IRI model is given by IGRF-13 coefficients (Alken et al., 2021). Also shown in this 352 figure are the two-fluid estimates for the fast and slow MS speeds V_f and V_s as a function of ion 353 species. Because there are generally one or more local minima in V_f as a function of altitude, 354 "trapping regions" (Chen & Thorne, 2012) such as indicated by the horizontal dashed line in 4d, 355 may form, within which plasma waves may reflect one or more times between upper and lower 356 altitude limits. Such reflections can produce "echoes" (Chum et al., 2009) such as those 357

appearing in Figure 5.

In contrast to F waves, low frequency S and A waves travel paths constrained to follow magnetic

field lines. One such representative field line is indicated in Figure **3** by a dashed red line. In all

cases in this work, the Alfven speed for A waves is nearly identical to V_f. Within PB regions the

362 electron density may drop several orders of magnitude below surrounding plasma values and the

fast speed V_f may rise by orders of magnitude. Slow wave speeds V_s , in contrast to V_f , are relatively unaffected by such plasma bubbles, and for this reason *S* waves travel along magnetic

365 field lines unimpeded by the presence of plasma bubbles.

366 **3 Plasma Wave Observations Using Van Allen Probe Data**

367 3.1 Van Allen Probe Observations in the Ionosphere

The pair of Van Allen Probes A and B (VAP-A and VAP-B) were launched on 30 August 368 2012 into highly elliptical orbits with apogee approximately 30.6 Mm, inclination approximately 369 18° and perigee altitudes of approximately 575 km. In the last months of the VAP mission in 370 2019, the perigees were lowered to approximately 275 km. Because of the high sensitivity and 371 high sampling rate by the Van Allen probe (Mauk et al., 2013) EMFISIS (Kletzing et al., 2013) 372 373 detectors, their data are most useful for plasma wave observations. One of the EMFISIS data products comprises a series of "onboard survey mode" acquisitions at 6 second intervals derived 374 from the first 0.4681 seconds of each survey interval. These acquisitions provide the full set of 375 376 magnetic (Bu, Bv, Bw) and electric (Eu, Ev, Ew) field cross spectral matrix elements, with 6 diagonal power spectral densities (PSDs) and 15 off-diagonal elements over a logarithmically 377 distributed range of frequencies. The VAP satellites spin with a rotational period of 378 379 approximately 11 seconds, and the spinning UVW coordinate system has the W axis along the spin axis, with the U and V axes perpendicular to W and to each other. The W axis is always 380 381 maintained to lie within 27° of the sun's direction (Mauk et al., 2013) to keep the solar panels in the U-V plane well illuminated. 382

Another EMFISIS data product comprises a series of "burst mode" acquisitions, with 35 kHz sampling of all three components of the electric and magnetic fields over a period of 6 seconds. Each such burst comprises a set of 208,896 samples at a rate of 35 kHz. Contiguous bursts have a dead time gap of 0.0315 s between bursts. During the VAP mission, long (~10 minute) intervals of contiguous bursts were usually not acquired. Occasionally, as in a lightning
study (Zheng et al., 2015), such burst series were acquired near perigee. In the last 10 days of the
VAP mission, with perigees in the lower ionosphere, such burst series were acquired for almost
every perigee passage.

It is useful to compare radar probes of ionospheric density variations with the present 391 392 methods. Ground-based radar ionosonde data typically involve vertically directed, brief pulses of nearly monochromatic electromagnetic energy swept over frequencies in the MHz range. As can 393 be seen in Figure 1a, 1d or 1g, such frequencies for the ordinary **O** and extraordinary **X** waves 394 have a strong cutoff at the plasma frequency, and radar pulses originating from ground level are 395 reflected at the altitude where the local plasma frequency cutoff matches the radar frequency. 396 The radar echo delay is given by the path integral of the inverse propagation speed, as in the left-397 hand equality of expression 11 above. As a result, the reflection time (or "virtual altitude" = the 398 speed of light times the reflection time) as a function of radar frequency can be exploited to 399 produce the variation of electron number density with true altitude. Similarly, in the present case, 400 according to expression 12, the satellite data enables a measure of the path integral of the inverse 401 propagation speed for each burst containing LG data along the satellite orbit down to the 402 relevant sub-satellite location. Ground-based soundings necessarily require a nearby radar site. 403 Satellite-based data are not so limited. 404

Just as more sophisticated, phase sensitive analysis of radar data (e.g., for the determination of such observables as plasma drift speed) is possible, similar phase sensitive analysis of the satellite data is possible (Bennett, C.L. 2023), but is beyond the scope of the current article.

409 3.2 Scalograms of VAP data bursts

The Matlab[®] continuous wavelet transform (CWT) function applied to burst mode level 2 410 (L2) waveform data directly produces complex amplitudes over a logarithmically distributed 411 range of frequencies. The CWT has the advantage over the more familiar fast Fourier transform 412 (FFT) analysis, described in the following section, that higher temporal resolution information is 413 produced for higher frequencies, while FFT analysis provides spectral information over a much 414 coarser and fixed time-period associated with the sample used in the FFT computation. 415 Scalogram plots in this work display the absolute value of the CWT amplitudes as a function of 416 frequency at 28.6 µs intervals such as in Figure 5. The L2 waveform data are calibrated in 417 amplitude at 1kHz only and has no phase calibration applied. Since calibration factors 418 (University of Iowa, 2022) are only available for frequencies up to 11962.89 Hz, scalogram 419 analysis is performed using L2 waveform data without phase calibration to examine frequency 420 components all the way to the Nyquist frequency 17.5 kHz. The quality of the agreement 421 422 between the dispersion curve and the nearly dispersionless whistler at 9:16:03.389 prior to the interpolated patch in this figure demonstrates that the lack of phase calibration at the highest 423 frequencies is unimportant. 424

The 0.0315 s dead time gap between successive bursts is filled in using linear interpolation between the last sample of a given burst and the first sample of an immediately succeeding burst. The representative scalogram shown in Figure 5 involves a pair of bursts concatenated with such linear interpolation. The primary artifact produced by this linear interpolation and concatenation is a suppression of high frequency components near the time of the interpolated patch of data, as best seen near the center time of the electric field scalograms in Figure 5. In addition, the linear interpolation can sometimes enhance low frequency components,

- as best seen in **5f** near the center time, where there happens to be less confusion with other lowfrequency structures.
- 434 3.3 Fully Calibrated Spectrograms of Contiguous Bursts of VAP data

Fully calibrated spectra for successive series of 16384 data point samples are calibrated 435 using the FFT method and coefficients described in (University of Iowa, 2022). Each individual 436 set of 16384 points produces a spectrum representing a 0.468 s time interval. As the number of 437 samples in a burst divided by 16384 = 12.75, approximately every 13^{th} spectrum in a series of 438 consecutive bursts is affected by the linear interpolation over the 0.0315 s interval between 439 bursts. The PSDs from contiguous data bursts are then integrated over the same series of 440 logarithmically spaced bins as the onboard survey spectra to yield time and frequency dependent 441 spectrograms of the mean square field values. Spectrogram plots display the mean square field 442 443 values as a function of frequency at 0.468 s intervals. A representative spectrogram from a set of 100 consecutive burst acquisitions near a typical perigee pass located over the mid-Pacific Ocean 444 is shown in Figure 6. 445

446

3.4 Periodic Artifacts in Electric Field Data and a Mitigation Approach

A known (Kletzing et al., 2013) periodic artifact occurs when the axial boom on the side 447 of the spacecraft pointing away from the Sun is periodically shadowed twice per spin period by 448 the two magnetometer booms. This shadowing produces a pulse of approximately 0.3 s in the E_w 449 component due to the sudden change in photoelectron current from the probe. In addition to this 450 artifact, other disturbances appear at integer multiples of the spin period that primarily affect the 451 E_w measurements. One of these artifacts manifests as brief intervals of increased scalogram 452 intensity near $cos(\lambda) = \pm 1$ and 0 in Figure 5f between 3 and 30 Hz that recurs 4 times per spin 453 period. Another artifact appears in Figure 5f is a pair of spikes extending up to the maximum 454 frequency located at 9:16:08.4 and 9:16:09.3 that appear once per spin period for several cycles 455 456 before and after the time shown in this figure. These artifacts wax and wane over series of bursts and produce features in E_w spectra that are not true plasma wave activity. However, because of 457 the regularity of the periodic artifacts from burst to burst over successive cycles, their temporal 458 extent within a given burst can be estimated and avoided. Artifacts produced by interpolation can 459 also be avoided by avoiding the dead time between bursts. Several examples of fully calibrated 460 spectra extracted from time intervals free of such artifacts are shown in Figure 7. 461

462 3.5 Identification and Classification of Events and Waves

As can be seen in Figures 5, 6 and 7, the electric and magnetic fields exhibit all forms of MHD activity. These include examples of all three modes F, A and S of MHD waves. In the next two sub-sections, the F and S cases are discussed. The A mode case is represented by the spectra shown in Figure 7d and e, but further discussion is beyond the scope of this article.

467 3.5.1 Observation of *F* Waves, Echoes and Plasma Bubbles in Scalograms

By virtue of the high temporal resolution of the scalograms, lightning strokes detected by the World-Wide Lightning Locator Network (WWLLN) may be unambiguously identified with events in the VAP data. WWLLN is a global Very Low Frequency (VLF; 3-30kHz) lightning location system capable of finding the radiated energy, time and location of individual lighting

strokes with ~ 10 km spatial accuracy, ~ 10 µs temporal accuracy and $\sim 90\%$ efficiency for high 472

peak current strokes (Abarca et al. 2010; Holzworth et al. 2019; Hutchins et al. 2012; Jacobson et 473

al. 2006; Rodger et al. 2006). In Figure 5 three well isolated lightning strokes are seen. With the 474

- scalogram temporal resolution (28.6 µs at the highest frequencies) the accidental correlation of 475
- these whistlers with the incorrect WWLLN lightning stroke (global detection rate = 7 Hz476 averages 10% of the total lightning strike rate) is highly unlikely.
- 477
- 3.5.1.1 Echoes 478

As evidenced by their adherence to dispersion curves of the form $\Delta T = DC/\sqrt{f}$ in **5a-f** 479 for the strike at 9:16:03.893 located at an angular distance of 23.5° from the sub-satellite point, F 480 mode waves are clearly being seen. The multiple whistlers produced by this stroke have 481 dramatically differing dispersion functions. Each dispersion curve has been delayed by the 0.01 s 482 propagation delay through the EIWG from the stroke location to the sub-satellite point. The four 483 more highly dispersed whistlers are identified as subprotonospheric whistlers (Chum et al., 2009) 484 which are reflected echoes within the ionosphere as discussed earlier regarding the trapping 485 region illustrated in Figure 4. The curves shown have DC = 0.1, 12.6, 12.6*2, 12.6*3 and 12.6*4486 $Hz^{1/2}$ s, consistent with dispersion constants for the echoes being proportional to the number of 487 reflections. The simple linearity of the successive echo DC values suggests that the satellite 488 altitude is not far from the lower altitude reflection location, as is consistent with the IRI model 489 derived trapping region indicated in Figure 4d. 490

Further evidence that the more highly dispersed whistlers are echoes of waves that have 491 travelled to higher altitude and back are the "gaps" in whistler intensity starting just below $\Omega_{\rm H}$ 492 and extending almost halfway to Ω_{He} which is midway between Ω_{H} and Ω_{O} on the logarithmic 493 scale. These gaps are best seen for the DC = 12.6 and 12.6*2 Hz^{1/2} s whistlers in **5d** and **f**. As 494 495 first pointed out by (Gurnett et al., 1965), but using De Jonghe and Keppens (2021b) nomenclature, these gaps correspond to regions where F waves have been converted to A waves 496 by passage through plasma having a significant concentration of H^+ ions. As shown in Figure 4a, 497 the concentration of H⁺ ions are expected to be negligible at and below the VAP altitude during 498 the acquisition of the data shown in Figure 5, thus indicating that the wave echoes have travelled 499 to higher altitude with higher H⁺ concentrations prior to detection. The absence of ascending 500 501 frequency A wave whistlers that would normally be seen in the gaps (Gurnett et al., 1965) in Figure 5 could be attributed to their attenuation along the echoing path. 502

503

3.5.1.2 Determination of Dispersion Constants and/or Pulse Widths

A closeup of the temporal variation of the electric field components, shown both as 504 scalograms and time resolved functions for the DC = 0.1 whistler from the strike at 9:16:03.893 505 is shown in Supplemental Figure S2. This figure illustrates that the (Nickolaenko et al. 2004) 506 507 model for the radial electric field variations accurately predicts the propagation delay between the time of the lightning strike and the pulse arrival time at the satellite detectors. It is also clear 508 that for dispersion constants much less than 0.1 $Hz^{1/2}$ s, the determination of DC from the degree 509 of whistling in the scalograms becomes difficult. For cases below $DC = 0.003 \text{ Hz}^{1/2}$ s, the degree 510 of dispersion is preferably measured directly in the time domain. In the case in this supplemental 511 figure, the *model* dispersion shown in **S2g** is characterized by the full width at half maximum 512 (FWHM) of 0.89 s. The FWHM of the earliest peak predicted by the (Nickolaenko et al. 2004) 513 model varies linearly with the arc-distance of propagation. 514

For perigee passes directly over a region of active lightning activity, a much larger 515 516 number of intense F wave whistlers can be detected in the VAP data. Supplemental Figure S3 shows an example of a single burst mode acquisition over South America in which dispersion 517 curves for every WWLLN detected lighting stroke are plotted using $DC = 4.9 \text{ Hz}^{1/2} \text{ s}$. This case 518 illustrates that echoes may only be present for a minority of whistlers. This case also illustrates 519 that "normal" non-echoing whistlers have only a slight variation in the dispersion constant DC 520 value over a single burst of VAP data. This case also illustrates that almost every WWLLN 521 detected lightning stroke appears as a whistler in the VAP data, but that many of the whistlers in 522

523 the VAP data are not detected by the WWLLN.

524 3.5.1.3 Evidence for Plasma Bubbles

For each of the 100 burst datasets taken near the Mid-Pacific perigee pass exemplified by 525 the case shown in Figure 5, determinations of the non-echoing whistler dispersion constants have 526 527 been made. These dispersion constants are plotted in Figure 6g. Also plotted in 6g is the IRI model dispersion constant value computed as a function of altitude from expression 12. Clearly 528 the altitude variation of the observed dispersion constants rather closely follows the IRI model 529 530 estimate, with notable exceptional regions of extremely low and unusual dispersion. Within these regions of unusual dispersion, the magnitude of the electric field fluctuations is sometimes three 531 orders of magnitude greater than in regions of "normal dispersion" (defined as having DC values 532 approximately consistent with the IRI model estimate). The unusually strong electric field 533 fluctuations for these extremely low dispersion events imply that at the VAP-A location the 534 535 phase velocity was orders of magnitude faster than the fastest IRI model estimated phase speeds shown in Figure 4d. The unusually low dispersion implies that the integrated plasma density 536 along the path traversed between the source and VAP-A was orders of magnitude less than 537 "normal". The high phase velocity, together with the unusually low dispersion suggests the 538 presence of a plasma bubble extending over most of the path from the EIWGUB to the satellite, 539 such as schematically illustrated in Figure 3. 540

The scalograms shown in Figure 8 from the burst of data at the Eastern edge of the region 541 of strong electric field activity seen in Figure 6d, e, and f, (marked by the blue arrow labeled 542 Figure 8) corresponding to the last column of spectra in Figure 7 illustrates the transition from 543 inside to outside a suggested plasma bubble. Inside this plasma bubble, many intense nearly 544 dispersionless spikes appear but no normally dispersed whistlers. Outside, the intense nearly 545 dispersionless spikes disappear and normally dispersed whistlers reappear. Near a relatively 546 isolated nearly dispersionless spike, such as that indicated in 8f, the time dependence of the Ew 547 fields follows the (Nickolaenko et al., 2004) waveform. Even clearer examples of such 548 waveforms are obtained in other perigee passes, as discussed in the following section. The 549 observed irregular variation in the DC values on the western side of the bubble seen in Figures 3 550 and 6 is consistent with the structuring of the West walls of bubbles originally described by 551 Tsunoda (1983). 552

553 3.5.1.4 A Distinctive Lightning Flash

It is apparent from the comparison between the number of nearly dispersionless spikes seen in Figure 8 that far more spikes are seen than were detected by the WWLLN. Another peculiarity is that there is poor correlation between the timing of the WWLLN spikes and the spikes observed in the three electric field components. The E_w time dependence of the strongest

spike in this burst, observed near 9:17:02, has no correlated WWLLN event. Over the first 558 second of the data in Figure 8, for example, there are only three WWLLN events (indicated by 559 the vertical white dashed lines extending upwards from the Oxygen cyclotron frequency) while 560 there are numerous spikes less intense than the 9:17:02 spike but having the same shape. The 561 number of such "extra" spikes in the electric field scalogram plots in Figure 8d, 8e and 8f is 562 clearly more than 20. The nearly dispersionless nature of these "extra" spikes manifests as the 563 spikes extending directly vertically in the scalogram plots, without significant delay of the lower 564 frequency portions relative to the higher frequency portions. 565

The Geostationary Lightning Mapper (GLM) (Bateman et al., 2020; Goodman et al., 566 2013; Rudlosky et al., 2019) mission is designed to provide continuous lightning measurements 567 over most of the Western Hemisphere. A lightning flash, according to the Goodman et al., 2012, 568 consists of "groups" of "events" located within 0.15° arc distance and no more than 330 s 569 difference in time between the groups in a flash. During the first 9 months of GLM observations 570 (Rudlosky et al., 2019) the mean number of groups per flash was 16.4 with a mean area of 180 571 km². The rate of GLM groups is qualitatively consistent with the number of nearly dispersionless 572 spikes seen in Figure 8, as well as in similar bursts of data from those cases in Figure 6g marked 573 as having extremely low and unusual dispersion. 574

Because of the high rate of nearly dispersionless spikes in such cases, it is generally 575 difficult to connect individual spikes with specific lightning events. An exceptional case is 576 shown in Figure 9. The time interval marked by the broader bracket (labeled Figure 10) 577 corresponds to a single lightning flash observed at 9.20°N 84.75°W. Within this time interval 19 578 groups were detected by the GLM associated with this flash. These groups were distributed in 579 time as shown in supplemental Figure S4. The supplemental figure also shows the timing and 580 energies of the 10 WWLLN detected strokes near this location. Using the GLM clustering 581 algorithm, all 10 of the WWLLN strokes in Figure S4b would be classified as originating from 582 this single flash. For this flash, 9 of the 10 WWLLN strokes coincide in time with GLM detected 583 584 groups shown in S4c. The single WWLLN stroke not detected by GLM was among the weakest. On the other hand, 8 of the 19 GLM groups in S4c were not found in the WWLLN data, 585 including the second most intense GLM group. This flash is fortuitously timed to coincide with 586 the passage through a hypothetical plasma bubble. 587

The sum of the (Nickolaenko et al. 2004) model magnetic field amplitudes from all 588 WWLLN detected strokes is compared in Figure 10 with the ground based measured magnetic 589 fields at the Patagonia site of the World ELF Radiolocation Array (WERA). WERA is described 590 by Mlynarczyk et al. 2017, see also (Kulak & Mlynarczyk 2011; Kulak et al. 2012; Marchenko 591 592 et al. 2022). The 10 WWLLN strokes produce 7 resolved pulses seen in Figure 10f in the time domain and in **10e** as a scalogram. The WWLLN pulses seen in **10f** agree in relative strength 593 $\pm 10\%$ with the WERA pulses seen in **10d**, although the weaker pulses are somewhat 594 contaminated by noise in the WERA data. This validates the use of the WWLLN detected 595 locations and energies together with the (Nickolaenko et al. 2004) model, at least for cases in 596 which both the lighting strike and the detector are on the night side of the globe. To account for 597 the observed dispersion in the WERA data a value of $A=(1/6-0.0073i)/2\pi$ in the notation of 598 599 (Nickolaenko et al. 2004) was used. The arrival times of the model pulses in **10f** appear to be consistently later by 1 ms than the WERA observed pulses, corresponding to a observed 600 propagation speed through the EIWG of 255 Mm/s over the 63° arc-distance from flash to 601 602 detectors.

For the 0.3 s interval in Figure 11 three WWLLN detected lightning strokes were 603 detected, and just as in prior scalogram plots, the dispersion curves for the three WWLLN 604 whistlers are shown by the red curved dashed lines superimposed on the scalograms. Dispersion 605 curves for the four pulses at the GLM times are indicated by the white dashed lines. Using the 606 WWLLN measured energy for these strokes, with the assumption that all this energy is conveyed 607 to the Q-bursts diverging away from the location of each stroke, the (Nickolaenko et al., 2004) 608 Q-burst radial electric field is shown in Figure 11g. As the VAP spin axis is most closely aligned 609 with the vertical direction, the model time dependence of 11g is best compared with the observed 610 electric field variation in **11f**. In contrast to the case for normally dispersed whistlers, there is a 611 substantial discrepancy between the WWLLN/GLM derived arrival times, and the VAP observed 612 arrival times as indicated in 11e. The relative amplitudes of the three WWLLN detected pulses in 613 11f to the model pulse amplitudes in 11g exhibit a correlation with the propagation delay. The 614 greater the delay, the greater the attenuation relative to the model. However, even the most 615 delayed pulses have no discernable extra dispersion beyond that already accounted for by the 616 (Nickolaenko et al., 2004) model with the value of $A=(1/6-0.0073i)/2\pi$ used to fit the width of 617 the pulses in the WERA magnetic field data. 618

- 619 3.5.1.5 Are the Roots of Plasma Bubbles Foamy?

The apparent strong variation in both the propagation delay and pulse attenuation, but 620 without significant additional dispersion for the Q-bursts passing through plasma bubbles 621 described above suggests that the plasma bubbles may have a micro-structure analogous to that 622 of foamy liquids. Such foamy materials exhibit significant variations in both acoustic wave 623 velocity and attenuation with composition, as described by Pierre et al. (2013) for example, but 624 625 without significant dispersion as a function of frequency. Here the appellation "foamy" is meant to apply to regions of size no smaller than the minimum wavelength associated with F wave 626 propagation through "normal" plasma but containing numerous embedded field aligned bubbles. 627 A more detailed examination of this hypothesis is given in (Bennett, C.L., 2023). 628

629 3.5.2 Observation of *S* waves in Magnetic Field Scalograms

630 In contrast to the electric field scalograms, the magnetic field fluctuations are generally much less dynamic and much more systematic in the ionosphere. The scalograms in Figure 5 631 show that the B_u and B_v fluctuations have components with clear periodic behavior that are 90° 632 out of phase with each other. The VAP spin period during these data is 10.76 s and is identical 633 634 with the B_u and B_v fluctuation period seen directly in their variations in **5a** and **b**. Similar variations correlated with the spin angle are seen in Figures 8 & 9. The clear periodicity and 90° 635 phase difference in the B_u and B_v fluctuations can also be seen in Figure 6a and b, as well as 636 their "insensitivity" to the substantial variations in the electric field variations. 637

These fluctuations at the VAP spin period in the magnetic scalograms of Figure 5a & b 638 639 are identified as S waves based on their speed. The upper limit on the speed of these waves derived from periods not having significant F wave activity such as in Figure 7c and 7i is so 640 much less than $V_f = 609$ km/s for a primarily O⁺ plasma (cf. Figure 1f) that they can only be 641 from S waves. Although *emitted* frequencies for V_s waves in an O⁺ plasma do not extend above 642 $\Omega_{\rm O}$, the large *DFR* factors of **expression 6** for V_o/V_g = 9.6/1.4 "kick" the *observed* frequencies 643 far above Ω_0 and could plausibly produce the $1/f^2$ spectral variation generally seen in the B_u and 644 B_v spectra in Figure 7a, d, g and j extending to a white noise floor at high frequency. The S 645

- mode assignment for these waves is further confirmed by their angular distribution. The absence
- 647 of B_u activity near $\cos(\lambda) = \pm 1$ in **5a**, when the U axis is aligned with \hat{B}_0 , and the absence of B_v
- activity near $\cos(\lambda)=0$ in **5b** when the V axis is aligned with \hat{B}_0 , is clear in these plots. As seen
- 649 in **2c**, low frequency **S** wavevectors become insignificant in directions perpendicular to \hat{B}_0 , so 650 that **S** wave magnetic field fluctuations (that must be perpendicular to \hat{k}) become insignificant in
- that S wave magnetic field fluctuations (that must be perpendicular to k) become insignificant ir directions parallel to \hat{B}_0 . The EMFISIS magnetic field fluctuation noise floor can be assessed
- directions parallel to \hat{B}_0 . The EMFISIS magnetic field fluctuation noise floor can be assessed from the intervals near the absence of S wave activity in the B_u data near cos(λ)=±1 in **5a** or in
- the B_v data near $\cos(\lambda)=0$ in **5b**. In these intervals, the EMFISIS B field noise floor is found to be
- below 0.1 pT for frequencies between 3 and $\Omega_{\rm H}$.
- The large doppler shift effects on the *S* wave activity precludes the possibility of observing possible resonance peaks in the VAP magnetic field spectra. However, the dependence of the doppler shifts on the orbital inclination suggests that magnetic field data from satellites in low earth *polar* orbits might be better suited for analysis of the spectral content of *S* wave activity. High-rate Swarm magnetic field data are particularly useful in this regard as discussed in section 4 below.
- 661 3.6 Systematics of *S* Wave Variations

The characteristic S wave activity seen in Figure 5a & b is seen throughout the VAP 662 mission and throughout the ionosphere. Figure 12 showing the electric and magnetic field survey 663 mode PSDs averaged over altitudes less than 1 Mm makes this clear. The intensity of this 664 activity has clear correlation with geodetic location, as shown in Figure 13. In this figure, the rms 665 magnetic field fluctuations were computed from the calibrated spectra for every set of 666 667 consecutive 16,384 samples available from perigee crossings during the last 10 days of the VAP mission. Altogether a total of 26,892 such rms values were available. The average rms values 668 within 10 km wide altitude bins, 30° wide longitude bins, and 1° wide magnetic latitude bins 669 were computed for the plots shown. The peak seen in Figure 13 near 90°E, 15°S corresponds to a 670 local maximum (Cecil et al., 2014) in the lightning rate, as expected for LG S waves. The 671 systematic decrease of the intensity with altitude is consistent with these waves being generated 672 673 below the satellite and experiencing some degree of attenuation as they propagate upwards.

674 **4 Swarm Satellite Observations of Plasma Waves in the Ionosphere**

The Swarm constellation of three nominally identical satellites: Alpha, Bravo and 675 Charlie, (SwA, SwB and SwC) packed into a single bus were launched into a near polar orbit on 676 22 November 2013. By mid-March 2014, SwB was raised to its design altitude of approximately 677 525 km. The core instrument of the Swarm mission (Olsen et al., 2013) is the Vector Field 678 Magnetometer (VFM). The VFM is a triaxial fluxgate magnetometer (Merayo, 2014; Primdahl & 679 Jensen, 1981), consisting of three concentric spherical coils having mutually perpendicular axes. 680 Three orthogonal sensor core coils within the spherical coils are provided to measure the three 681 682 components of the magnetic field in directions determined by the coil orientation and highly insensitive to possible misalignments of the sensor coils. The sample rate of the VFM data is 50 683 Hz, thus a Nyquist frequency of 25 Hz. This frequency range of magnetic field fluctuations is 684 especially well suited for the detection of S wave activity. The computations of scalograms and 685 spectrograms from these data are performed as described above for the Van Allen Probe data. 686

For the first couple of months of the Swarm mission, SwA, B & C had a "beads on a string" orbital geometry, following each other very closely in space & time. During this phase, the spacing between the satellites gradually increased. Over the course of the next few months, SwA was lowered to its working altitude, SwB was raised to its working altitude and SwC was lowered to its working altitude. The orbital changes during this initial phase of the Swarm mission are indicated in supplemental Figure **S5**.

At 21:01:30 on 14 March 2014 two days after SwB was raised to its operational altitude 693 of 525 km, a mysterious chirping in the high rate SwB VFM-y channel data as seen in Figure 694 14b suddenly appeared. Exactly at the time that this chirping appeared, the overall noise level 695 also suddenly increased in the y channel, as can be seen in the spectrograms. Near the time of 696 this change in the y channel data, there was no similar change in either the x or z VFM channels. 697 A systematic diurnal variation in the noise level in the x channel was seen, with greater noise in 698 the afternoon and less noise in the pre-dawn. The overall increased SwB y channel noise level 699 was not significantly different between the dayside and nightside of the orbits and did not depend 700 on longitude. The mysterious chirping is found to be correlated with the alignment of the SwB 701 velocity vector to the ambient magnetic field direction, as can be seen by comparison of Figure 702 14 sections **b** and **d** in this and in each of the similar figures shown in the supplemental 703 materials. 704

This chirping just as suddenly ceased at 11:17:53 on 25 June 2014 as shown in 705 supplemental Figure **S6**. The cessation of chirping coincided with a manual power cycling of the 706 VFM instrument on SwB. According to (European Space Research and Technology Centre, 707 2018), at the time that the chirping disappeared from the data, it is stated "70pT noise in y-708 measurement since [14 March 2014]". After this power cycling, the overall background noise 709 level in the y channel returned to that seen before the onset of chirping shown in Figure 14. The 710 overall background noise levels in the x and z channels did not significantly change after the 711 power cycle. 712

Between 5:50 on 8 May 2014 and 7:20 on 9 May 2014, a series of four 90° yaw slew 713 maneuvers of the SwB satellite were conducted and after each of the 90° vaw slews the observed 714 715 chirping *apparently* transforms back and forth between the East-West and North-South directions. Throughout the entire time the chirping is observed, however, it is confined to the 716 single VFM-y channel. Data from the interval around the first yaw slew are shown in Figure S7. 717 During the slew process the various resonant frequencies are disturbed. After the slew completes, 718 the character of the resonance variations matches the character before the slew began. Very 719 similar variations happen for the subsequent three slew maneuvers. 720

It is suggested here that the unusual SwB VFM-y signals are not instrumental artifacts, 721 but rather signals produced by doppler shifted resonances. In support of this, the centroid of the 722 distribution of doppler shifted frequencies using expression 7 for the lowest Schumann 723 resonance frequency of 7.8 Hz is plotted with the assumption of a *fixed* value for the ratio of 724 V_0/V_s . With the SwB speed being 7.6 km/s, and with a speed for H⁺ plasma waves at the 525 km 725 SwB altitude of approximately 5 km/s, as shown in Figure 4 for example, V_o/V_g is approximately 726 1.5, but without detailed measurements of the ionospheric composition and temperature, this is 727 only an estimate. Even so, the strongest of the resonance features seen in Figure 14 qualitatively 728 729 follows the behavior of the doppler shifted frequency variation. Note, for example, that the observed frequency of this resonance in 14b appears to pass through zero, reaching a minimum 730 negative value near 21:40, but because the measured frequencies are restricted to positive values 731

between 0 and 25 Hz, the would be negative "valley" appears as a positive peak. Also, at times
that the spacecraft passes over the magnetic poles, where the local magnetic field is vertical, such
as at the times 22:05, 22:52 and 23:40, according to expression 7, the centroid of the doppler
shift distribution is unshifted and the width of the distribution becomes maximal.

Surrounding the crossing of the magnetic poles, upon passage through the auroral regions 736 737 as described by (McGranaghan et al., 2017), field aligned currents (FACs) produce significant fluctuations in the magnetic fields. These disturbances are seen in all VFM components, but 738 there is a region inside the auroral oval where the FAC disturbance is not so dominant, and the 739 appearance of the unshifted, but broadened fundamental Schumann resonance frequency 740 becomes apparent. Among the polar crossings in Figure 14, the case at 22:05 shows the clearest 741 evidence for the lowest SR frequency with the case at 23:40 displaying similar behavior. In the 742 supplemental Figure S6, at 8:06 a particularly clean auroral oval center region shows the lowest 743 SR frequency quantitatively following the simple doppler shift model. It can generally be seen 744 that the resonances indeed appear broader near the poles than near the equator, as predicted by 745 expression 7. 746

There are several resonance features in the SwB VFM-y channel data beyond the SR 747 fundamental. Without more accurate knowledge of the ionospheric composition, its temperature 748 and possible bulk plasma drift velocities, it is not feasible to precisely model these features, such 749 as the higher SR resonances or other possible ionospheric resonances. Finally, a more subtle 750 feature of the chirping in the data is that each of the resonance features appears to have a fainter 751 "echo" at exactly 25 Hz minus the frequency of the resonance. This is clearest in 14b near 21:00, 752 for example, but this echo is present throughout Figure 14, and supplemental figures S6 and S7. 753 It is suspected that these echoes are indeed instrumental artifacts. 754

Less direct evidence in support of the reality of the existence of the resonances in the 755 SwB data is that the rms magnetic field fluctuations seen in the SwB VFM-y channel data during 756 the time that the mysterious resonances are seen are typically between 0.1 and 0.2 nT. This value 757 is consistent with the magnetic field fluctuations measured at the SwB altitude with the VAP, as 758 shown in Figure 13. On the other hand, for the other VFM channels, and for the other Swarm 759 satellites, the magnetic field noise level is much less, and is NOT consistent with the 760 expectations from the far more sensitive EMFISIS data. It appears that for most of the Swarm 761 mission, there was apparently an effective low pass filter involved in the data processing that 762 precludes the ability to measure the resonances described here. 763

Further evidence for an apparent low pass filter afflicting most of the Swarm mission is 764 the presence of the chirping seen in the CHAMP data (that presumably did not have a similar 765 low pass filter) and discussed by Yin et al. (2015). The W-shaped features these authors show in 766 their Figure 12, for example, have the same shape as the model shown here in Figure 14d for the 767 7.8 Hz fundamental Schumann Resonance frequency. Quantitatively, even the magnitude of the 768 peak value of the center of the W-shape can be reproduced by slightly raising the V_0/V_g 769 parameter. The explanation given for these chirp features by Yin et al. (2015) was that they were 770 produced as the B_v component of the magnetic field passed through zero. This explanation does 771 not work for the SwB data. The VFM_v measurements do not pass through zero at the time the W-772 shapes are present, as seen in Figure 14e. 773

Finally, the most compelling evidence for the presence of a low pass filter in the Swarm VFM archived data is provided by the clear observation of strong whistler events in the Swarm Absolute Scalar Magnetometer (ASM) data that *SHOULD* also be seen in the VFM data but are

777 missing. On the website (Coisson, 2022) an example of a strong whistler seen in ASM data from

the SwB satellite at 11:30:57 on 19 Jan 2014 is shown. The scalograms derived from the SwB

VFM data for a four-hour period including the time of this whistler is shown in supplemental
Figure **S8**. Despite the proven existence of the whistler in the ASM data at a level well above

 $100 \text{ pT}^2/\text{Hz}$, nothing above the VFM background level ~1 pT^2/\text{Hz} appears in the VFM data at the

same time. Apparently, for some unknown reason, the low pass filter on the single VFM-y

channel data on the single SwB satellite was not in effect for the period of the mysterious

- 784 chirping.
- 785

786 **5 Conclusions**

Evidence for a persistent population of slow magnetosonic waves in the ionosphere has 787 been presented. Evidence for the presence of a small number of resonances in these waves has 788 also been found. The intensity of the electric field disturbances seen in the Van Allen probe data 789 790 near suggested plasma bubbles are consistent with the intensities of (Ni & Zhao, 2005). The intensity of the magnetic field resonances seen in Swarm Bravo data is also consistent with their 791 792 results. The strong dependence on doppler shift effects on the inclination of satellite orbits can explain differences between Van Allen probe and Swarm observations of low-speed magnetic 793 794 field plasma waves. Although the point that the magnetic field resonances seen here in the Swarm data and by Ni & Zhao cannot be simple leakage of magnetic Schumann Resonances 795 796 from the Earth ionosphere waveguide (EIWG) is well taken since they are so strong, this does not prove that these waves could not have been produced by the conversion of electric field 797 oscillations to slow magnetosonic waves in the complex interaction region of the EIWG upper 798 boundary (EIWGUB). In the EIWGUB region with a strongly increasing value of β with altitude 799 as seen in Figure 4e, according to (Akhtar et al., 2021) collisional effects could play a significant 800 role in converting LG energy in the EIWG to slow magnetosonic waves able to propagate 801 upwards into the ionosphere. Since LG energy in the EIWG is ubiquitous and omnipresent, such 802 a conversion process could lead to ubiquitous and omnipresent slow magnetosonic waves in the 803 ionosphere. 804

If the suggestions of this work are accepted, some of the discrepancy between model and along-track magnetic field difference observations tabulated by (Finlay et al., 2020) could perhaps be produced by these waves. Better knowledge of these hitherto unremarked plasma structures in the ionosphere could perhaps help better understand and interpret past and future satellite measurements of the earth's magnetic field and ionospheric plasma wave activity.

For satellites at the low altitude, as for the perigees during the last eight months of the Van Allen probe mission, the discussion above illustrates a new method for the investigation of plasma bubble structure. As roughly half of the Van Allen perigees passed through plasma bubbles, based on inspection of data such as shown in Figure **6**, much more analysis of the roots of plasma bubbles remains to be explored.

Finally, if the suggestions of this work are accepted that a low pass filter is present in the analysis chain of high rate VFM Swarm data and if it is possible to remove this filter, a new tool for the investigation of slow magnetosonic waves in the ionosphere may become available for the remainder of the Swarm satellite mission.

- 819
- 820

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- 827

828 Open Research

- 829 Van Allen Probe data used in this paper can be found in the EMFISIS archive
- 830 (http://emfisis.physics.uiowa.edu/data/index). In this index file, descriptions of each of the
- relevant data sets, including the file naming format, are provided. The specific level 2 data
- 832 products involved in the present work include the "WFR-waveform-continuous-burst_emfisis-
- L2", "WFR-spectral-matrix-diagonal emfisis-L2", "magnetometer uvw emfisis-L2". The
- specific level 3 data products are "magnetometer_hires-geo_emfisis-L3". Swarm data used in
- this paper is provided by the European Space Agency and can be accessed online at
- 836 <u>https://swarm-diss.eo.esa.int</u>. The high rate VFM data was taken from the level 1b
- ⁸³⁷ "latest_baselines" folder containing "MACx_HR" files for each of the three Swarm satellites.
- WERA data used in this paper is described in detail on the WERA project website:
- 839 <u>http://www.oa.uj.edu.pl/elf/index/projects3.htm</u> and may be freely available for scientific
- analysis by contacting the WERA personnel. WWLLN data was purchased from the University
- of Washington (https://wwlln.net). GLM data is available at no cost from the Geostationary
- 842 Operational Environmental Satellites-R Series web site (<u>https://www.goes-r.gov</u>), but the user
- must register to obtain the GOES-R Series GLM L2+ Data Product "GRGLMPROD" and must
- select an appropriate time range for data access on the web-page:
- 845 https://www.avl.class.noaa.gov/saa/products/search?datatype_family=GRGLMPROD.

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- 1078
- 1079
- 1080

Figure 1. Dispersion relations computed from the De Jonghe and Keppens (2021a) two-fluid
 model are shown. The plasma parameters in the figure title are typical ionospheric conditions
 that correspond approximately to the conditions for the data shown in Figure 5. The angle

between the magnetic field and wavevector direction is θ . The three MHD wave modes are

shown in green for S slow MS, red for A Alfven and blue for F fast MS waves; also shown in cyan for O ordinary, black for X extraordinary electromagnetic and magenta for M modified

electrostatic waves. In \mathbf{a} , \mathbf{d} and \mathbf{g} , the wave frequency is shown as a function of the wavenumber

1088 for the ion species listed in the legends. The cyclotron frequencies for each ion species are

1089 indicated next to the Ω_x labels. In **b**, **e** and **h** the frequency vs. phase velocity V_p is plotted with

1090 low frequency limit values for the slow, Alfven and fast velocities (V_s , V_a and V_f) indicated on 1091 each plot. In **c**, **f** and **i**, the frequency vs. inverse group velocity V_g is plotted. The dashed lines in

each plot. In **c**, **f** and **i**, the frequency vs. inverse group velocity V_g is plotted. The dashed lines i **b**, **e**, **h** and **c**, **f**, **g** show that the dispersion constants indicated in the legends reasonably fit the

1093 whistling regions for all three ion species.

1094

Figure 2. The wave normal surfaces for phase and group velocities in pure O⁺ plasma are shown 1095 using the same plasma parameters as the previous figure. The coordinate plane is chosen to 1096 contain the phase and group velocity vectors (Vp and Vg) as well as the magnetic field vector 1097 1098 with the x axis along the ambient magnetic field direction. In **a**, **d** and **g** are shown the wave normal surfaces for the *F* waves for three choices of wavenumber. In **b**, **e**, and **h** the wave 1099 normal surfaces for *A* waves are shown while in c, f, and i the *S* wave normal surfaces are 1100 shown. In each of the subplots a characterization of the general behavior is given in the legend 1101 1102 title.

1103

Figure 3. The propagation of lightning generated (LG) waves through the atmosphere to their 1104 detection in the ionosphere is illustrated. The coordinates in this figure are altitude and latitude 1105 1106 with longitude perpendicular to the plane of the page. The near field spherical wavefronts, from a representative strike at ground level and -24°N latitude, are indicated by the black semi-ovals 1107 (the coarse latitude scale distorts the circles). The trajectory of the Van Allen probe for the 1108 specific perigee pass involved in later figures 5,6,7, and 8 is shown by the magenta line with 1109 1110 circles drawn at the location of each data burst acquired during the perigee pass. The circle radii are proportional to the dispersion constant determined from whistlers within each data burst. For 1111 bursts having unusual nearly dispersionless spikes, black asterisks are plotted instead of magenta 1112 circles. The neutral region between the Earth's surface and the bottom of the ionosphere forms 1113 the Earth ionosphere waveguide (EIWG), in which most of the power of LG electromagnetic 1114 waves propagate. Within the EIWG, at long range, LG waves propagate as Q-bursts described by 1115 1116 (Nickolaenko et al., 2004) and illustrated in supplemental Figure S1. Inside the region sketched in the figure as a hypothetical plasma bubble, nearly dispersionless spikes appear in the VAP 1117 scalograms. At the EIWG upper boundary (EIWGUB), energy in the form of plasma *F* waves 1118 1119 refracts nearly vertically, as dictated by the much slower propagation speed at the entrance to the ionosphere than in the EIWG, and as seen in Figure 4. Three examples of such F waves are 1120 illustrated by the blue arrows. The first blue arrow shows F waves that are longitudinally behind 1121 1122 the plasma bubble. The second blue arrow shows F waves that reach the VAP while the VAP is located inside a plasma bubble. The third blue arrow over the higher latitude portion of the VAP 1123 trajectory is in a region of normal dispersion. Normal dispersion of F waves is proportional to 1124

- the integral $\int \sqrt{n_e/B}$ along the path from EIWGUB to the VAP detectors. Low frequency
- plasma S waves and A waves constrained by the magnetic field follow paths such as indicated by the representative dashed red line emerging from the EIWGUB near -16.4°N. With increasing
- the representative dashed red line emerging from the EIWGUB near -16.4°N. With increasing altitude, the ionospheric composition changes substantially as plotted quantitatively in Figure 4.
- At the EIWGUB entrance to the ionosphere, NO⁺ ions dominate the composition, so that the
- 1130 cyclotron frequency for NO^+ dictates the relevant cutoff frequencies shown in Figure 1i. As the
- plasma parameters change with altitude, the wave propagation slow and fast speeds V_s and V_f
- 1132 change but the qualitative separation between nearly vertical fast speed *F* waves and field
- aligned low frequency *S* and *A* waves persists. The Swarm-Bravo (SwB) altitude at the time of
- 1134 mysterious chirping is indicated by the green dashed line.
- 1135

1136 **Figure 4**. The plasma conditions are shown as a function of altitude for the time and location

- specified in the figure title. In **a**, the ion species percentages, and the ion, electron, and neutral
- temperatures are shown. In **b** the magnetic field strength and plasma density are shown. In **c** the
- 1139 two-fluid estimates for the slow speed V_s are shown for the three dominant ion species. In **d** the
- 1140 two-fluid estimates for the fast speed V_f are shown. In **e** the plasma β parameter is plotted as a
- 1141 function of altitude. The rapid increase in β at the entry to the ionosphere, together with the
- 1142 (Akhtar et al., 2021) theory in which S waves grow, while F waves shrink as β increases, 1143 suggests a mechanism to produce the globally distributed population of S waves in the
- 1144 ionosphere claimed in the present work.
- Figure 5. Scalograms for a representative consecutive pair of bursts are shown. In a, b, and c 1145 scalograms for the U, V, and W components of magnetic field data are shown. In **d**, **e**, and **f** the 1146 electric field scalograms are shown. In **g** the orientation of the probe spin vector is shown by the 1147 cyan sin(δ) and magenta cos(λ) curves which become unity when the W / U axes respectively 1148 1149 align with the local magnetic field as indicated in the 5g legend. Just over one full rotation of the VAP probe occurs over the 12 s period in this figure. The location of VAP-A at the start of this 1150 period is indicated in geodetic coordinates. Horizontal white dashed lines in the scalogram plots 1151 are drawn at the cyclotron frequencies $\Omega_{\rm H}$ and $\Omega_{\rm O}$. The curved dashed white lines drawn over the 1152 scalograms have $\Delta T = DC/\sqrt{f}$ with various dispersion constants (DC). The minimum DC value 1153 is indicated in the upper left-hand corner of each scalogram. The two early low dispersion 1154 (DC=0.1) whistlers seen near 9:16:01 are marked with white vertical dashed lines extending only 1155 up to Ω_0 in order not to obscure their signals at higher frequency. At frequencies below the cone 1156 1157 of influence (COI) indicated by the curved black dashed lines superimposed on each scalogram plot, the amplitudes are derived under the assumption that the time variations in the burst data 1158 1159 are symmetric about the boundaries at the start and end of the burst data. Below the COI, 1160 scalogram amplitudes must be viewed with caution. The nearly vanishing amplitudes seen in all components at the middle of the scalogram plots is an artifact of the linear interpolation across 1161 the dead time gap between successive bursts. 1162
- 1163

Figure 6. Spectrograms of the electromagnetic field from EMFISIS data are shown from a series of 100 successive bursts of Van Allen Probe-A (VAP-A) data. In **a**, **b**, and **c**, spectrograms for the three components (U, V and W) of the magnetic field are displayed. In **d**, **e** and **f**, electric field spectrograms are displayed. In **g** are plotted the *DC*s (dispersion constants) determined to fit

- 1168 individual whistlers clearly correlated with specific lightning strokes occurring within each burst
- period. In a few cases after 9:19, no clearly correlated whistler/lightning stroke pair is found, and
- a *DC* value is not plotted. The model *DC* values are computed using IRI (with IGRF-13
- 1171 coefficients) magnetic field and plasma densities along vertical paths to the indicated altitude. In 1172 h the altitude and longitude of VAP-A for each burst are plotted as a function of time with the
- 1173 latitudes for the first and last bursts indicated in the legend title. The specific bursts shown as
- 1173 failudes for the first and last bursts indicated in the legend file. The specific bursts shown
- scalograms in Figures **5** and **8** are indicated by blue arrows.
- 1175

Figure 7. Representative fully calibrated spectra are displayed for four samples of data from the
perigee pass spectrograms shown in the previous figure. Magnetic field spectra are shown in a,
d, g and j with the time interval involved in each spectrum listed in the legend title for each case.

- **d**, **g** and **j** with the time interval involved in each spectrum listed in the legend title for each case Electric field spectra from the same four periods are shown in **b**, **e**, **h** and **k**. The RPA estimated
- 1180 upper limit on phase velocity as a function of frequency is shown in **c**, **f**, **i** and **l**.
- 1181

Figure 8. Scalograms with the same layout as Figure **5** for the burst represented by the spectra in

- the fourth column of Figure 7. For most of this burst, many dispersionless spikes are seen, but
- only a single significant whistler near the end of the burst is significant. None of the 49 WWLLN
- detected lighting strokes (at times indicated by the white dashed curves using the *DC* value
- indicated in the figure title) are seen as whistlers in this plot. One low dispersion whistler not
- 1187 detected by the WWLLN is seen near the end of this time interval.
- 1188

Figure 9. Scalograms with the same layout as Figure 5 for the burst corresponding to a passage through a particularly strong flash. This flash comprised 10 strokes detected by the WWLLN and 19 groups detected by the GLM. The timing and intensities of these strokes and groups are

- 1192 shown in supplemental Figure S4.
- 1193
- 1194

Figure 10. Scalograms for the two WERA magnetic field components, along with their time 1195 1196 resolved values are shown for the 0.57 s interval containing all the GLM groups associated with 1197 the single flash described in the previous figure. In **a** and **c** scalograms for the North/South (NS) & East/West (EW) components of magnetic field are shown. Dispersion curves using the DC 1198 value in the figure title are superposed for each of the 10 WWLLN detected strokes during this 1199 interval. In **b** and **d** the NS and EW magnetic fields are plotted as a function of time. In **f** the 1200 summation of the azimuthal magnetic field contributions from the 10 WWLLN detected strokes 1201 1202 during this time using the (Nickolaenko et al. 2004) model is plotted. In e the scalogram of the 1203 temporal function plotted in \mathbf{f} is shown.

1204

Figure 11. Scalograms for the three electric field components, along with their time resolved

- values are shown for a 0.3 s interval for which three strong WWLLN detections are found while
- VAP lies within the hypothetical plasma bubble. In **a**, **c**, and **e** scalograms for the U, V, and W components of electric field data are shown. Superposed over the scalogram plots are the

1209 dispersion curves for whistlers using the *DC* value in the figure title together with propagation

- delay from the WWLLN detected location to the VAP sub-satellite point. In **b**, **d**, and **f** the U, V,
- 1211 and W components of electric field data are shown as a function of time. In **g** the summation of
- the radial electric field contributions from the three WWLLN detected lightning strokes using the(Nickolaenko et al., 2004) theory for O-bursts is plotted as a function of time.
- 1214

Figure 12. The long-term variations in electric and magnetic ionospheric PSDs derived from the survey data are shown. For the 1st and 14th of each month throughout the VAP mission, the mean PSD over altitudes less than 1 Mm is computed form the survey mode data and displayed as a function of frequency. In **a**, **b**, and **c** the B_u , B_v and B_w PSDs are shown. In **d**, **e**, and **f** the E_u , E_v , and E_w PSDs are shown. In **g** the latitude and local solar time of perigee are shown. In **h** the altitude of perigee is shown.

1221

- Figure 13. The correlation in rms magnetic field fluctuations with location is shown. The correlation with altitude is shown in **a**, with longitude in **b**, and with magnetic latitude in **c**.
- 1224

Figure 14. Spectrograms of data from the VFM magnetometers of the SwB satellite are shown 1225 for a six-hour period around the onset of chirping. In **a**, **b** and **c**, the VFM-x, -y and -z channel 1226 spectrograms are shown. In **d** the cosine of the angle between the local magnetic field and the 1227 1228 satellite velocity vector is plotted in red with the ordinate scale on the right-hand side. Also plotted in black with ordinate on the left-hand side is a model of the doppler shifted fundamental 1229 1230 Schumann resonance frequency. In e the magnitude of the VFM-y channel is plotted as a 1231 function of time. In **f** the latitude and longitude of SwB and the magnitude of the local magnetic 1232 field is plotted as a function of time. At each ascending or descending node (marked with 1233 asterisks) the local solar time and longitude are called out.

1234 1235 Figure 1.

$$N_e = 75 \text{ mm}^{-3} \text{ B} = 31 \mu \text{T} \text{ T}_i = 864 \text{K} \text{ T}_e = 864 \text{K} \text{ A}$$



ltitude 237 km (Fig. 5 Case)

Figure 2.



Figure 3.



Figure 4.



Figure 5.



Figure 6.



Oct 13, 2019

Figure 7.



Figure 8.



Magnetic & Electric Field Scalograms (Dispersion Constant = 0.003 s \sqrt{Hz})

Whistler

Figure 9.



Magnetic & Electric Field Scalograms (Dispersion Constant = 0.003 s \sqrt{Hz})

Figure 10.



Figure 11.



Figure 12.





Figure 13.



Figure 14.





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Supporting Information for

A Novel Population of Slow Magnetosonic Waves and a Method for the Observation of the Roots of Plasma Bubbles in the Lower Ionosphere

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Contents of this file

Text S1 Figures S1 to S8

Introduction

The text S1 in this supporting information provides a derivation of expression 7 in the main text.

The figures in this supporting information file supplement the main document.

Text S1.

Expression 6 from the main text is

$$DFR = \frac{\omega_o}{\omega} = 1 - \frac{v_o \ \hat{k} \cdot \hat{V}_o}{v_g \ \hat{k} \cdot \hat{B}_0}.$$
(6)

This involves the ratio of the dot products of unit vectors $\hat{k} \cdot \hat{V}_o$ and $\hat{k} \cdot \hat{B}_0$. The velocity and magnetic field vectors determine a plane. In the following, let the x axis be along the magnetic field direction and let the velocity vector be at an angle γ with respect to the magnetic field direction. The unit velocity vector in the x-y plane has coordinates

$$[\cos(\gamma), \sin(\gamma)]$$

so that for a unit wavevector \hat{k} in the x-y plane given by $[\cos(\theta), \sin(\theta)]$, the ratio of the dot products in expression (6) is

$$[\cos(\gamma)\cos(\theta) + \sin(\gamma)\sin(\theta)]/\cos(\theta) = \cos(\gamma) + \sin(\gamma)\tan(\theta)$$

Since components of the wavevector in the z direction orthogonal to the x-y plane make no difference to the DFR, without loss of generality, it can be assumed that the wavevector is confined to the x-y plane. The expression for the DFR in (6) has a central value that is independent of the wavevector direction θ given by

DFR=1-
$$\cos(\gamma) V_o/V_g$$
.

The spread of the DFR values about this central value is determined by t=tan(θ). Although angles θ near $\pm \pi/2$ produce very large DFR values, the resulting DFR values are widely spread per unit change in θ . For a uniformly distributed random set of wave vector directions, the density of DFR values is given by the derivative of the arctangent function

$$\frac{d}{dt}\arctan(t) = \frac{1}{(1+t^2)}.$$

After supplying the offsets and scaling factors, this leads to the probability distribution in expression 7 of the main text

$$pdf \propto \frac{1}{\left(DFR-1+\frac{V_o}{V_g}\cos\left(\gamma\right)\right)^2 + \left(\frac{V_o}{V_g}\sin\left(\gamma\right)\right)^2}.$$
(7)



Figure S1. The evolution of a Q-burst is shown. In **a**, the time vs. distance of the peak of the earliest pulse is plotted. In **b**, the relative amplitudes for both electric and magnetic fields are plotted as a function of distance. In **c**, the electric field waveform at the equatorial arc-distance 10 Mm is plotted as a function of time. In **d**, a scalogram of the waveform shown in **c** is plotted. The scalogram intensity near t=0 is an artifact of the fast Fourier transform computation of the scalogram associated with the artificial jump in the electric field between the last and first times. The horizontal black lines superimposed on the scalogram show the even numbered Schumann Resonance frequencies, and illustrate that with full temporal resolution, even a single pulse contains Schumann Resonance information.



Figure S2. A closeup of the low dispersion event corresponding to the lightning strike at 9:16:03.389 shown in Figure **5** is displayed. The Nickolaenko et al. (2004) model radial electric field is plotted in **S2g**, with the strike time and width of the peak indicated. The dispersion curves for the *DC* value listed in the figure title are superimposed on the scalograms for the three components of the electric field in **S2a**, **S2c** and **S2e**. The electric fields as a function of time are plotted in **S2b**, **S2d** and **S2f**. As for Figure **11** in the main text, the red dashed line is the dispersion curve using the WWLLN measured time with a propagation speed through the EIWG of 245 Mm/s, while the white dashed lines are dispersion curves using the two GLM measured group times.



Figure S3. Scalograms from a single burst acquisition over a South American thunderstorm are plotted with the same layout as Figure **5**. In this case many whistlers having nearly identical dispersion constants (the dashed lines shown assume the *DC* value listed in the figure title) are seen. In addition, only a few echo whistlers, such as the example marked "Reflected", with significantly larger dispersion are seen.



Figure S4. The single lightning flash at 9.20°N 84.75°W and its associated GLM groups and WWLLN strokes are displayed. Every GLM detected group associated with this flash is plotted in **S4c** as the measured group intensity versus time. Every WWLLN stroke associated with this flash is plotted in **S4b** as the measured energy versus time. The locations of every WWLLN stroke and GLM group over the one second interval 8:26:25 to 8:26:26 are plotted in **S4a**. Histograms of the angular distances to the reference location for every WWLLN stroke during this second are plotted in **S4d**. Histograms of the distances for every GLM flash during this second are in **S4e**, while histograms of distances for every GLM group during this second are in **S4f**.



Figure S5. The initial development of the Swarm constellation configuration is illustrated. In **a**, the altitudes for SwA, B and C are shown as a function of time. In **b**, the local time of the ascending and descending nodes for the SwB satellite are shown.



Figure S6. Spectrograms of data near the time of the cessation of chirping with the same layout as Figure 14 (except without the magnitude of VFM_y) are shown.



Figure S7. VFM spectrograms are shown near the first yaw slew maneuver. The layout is the same as the previous figure.



Figure S8. VFM spectrograms from around the time of a strong ASM whistler at 11:30:57. The layout is the same as the previous figure.