Estimation of maize yield incorporating the synergistic effect of climatic and land use change: A case study of Jilin, China

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Abstract

Yield forecasting can give early warning of food risks and provide theoretical support for food security planning. Climate change and land use change directly influence the regional yield and planting area of maize, but few existing studies have examined their synergistic impact on maize production. In this study, we combine system dynamic (SD), the future land use simulation (FLUS) and a statistical crop model to predict future maize yield variation in response to climate change and land use change. Specifically, SD predicts the future land use demand, FLUS simulates future spatial land use patterns, and a statistical maize yield model based on regression analysis is utilized to adjust the per hectare maize yield under four representative concentration pathways (RCPs). A phaeozem region in central Jilin Province of China is taken as a case study. The results show that the future land use pattern will significantly change from 2030 to 2050. Although the cultivated land is likely to reduce by 862.84 km2, the total maize yield in 2050 will increase under all four RCP scenarios due to the promotion of per hectare maize yield gap between different counties will be further widened, which necessitates the differentiated policies of agricultural production and farmland protection, e.g., strengthening cultivated land protection and crop management in low-yield areas, as well as taking adaptation and mitigation measures to coordinate climate change and crop production.

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10 Abstract

11 Yield forecasting can give early warning of food risks and provide theoretical support for food security planning. Climate change and land use change directly influence the regional yield and 12 planting area of maize, but few existing studies have examined their synergistic impact on maize 13 production. In this study, we combine system dynamic (SD), the future land use simulation 14 (FLUS) and a statistical crop model to predict future maize yield variation in response to climate 15 change and land use change. Specifically, SD predicts the future land use demand, FLUS 16 simulates future spatial land use patterns, and a statistical maize yield model based on regression 17 analysis is utilized to adjust the per hectare maize yield under four representative concentration 18 pathways (RCPs). A phaeozem region in central Jilin Province of China is taken as a case study. 19 The results show that the future land use pattern will significantly change from 2030 to 2050. 20 Although the cultivated land is likely to reduce by 862.84 km², the total maize yield in 2050 will 21 increase under all four RCP scenarios due to the promotion of per hectare maize yield. RCP4.5 22 will be more beneficial to maize production than other scenarios, with a doubled total yield in 23 24 2050. Notably, the yield gap between different counties will be further widened, which necessitates the differentiated policies of agricultural production and farmland protection, e.g., 25 26 strengthening cultivated land protection and crop management in low-yield areas, as well as taking adaptation and mitigation measures to coordinate climate change and crop production. 27

28

29 Keywords

30 Maize yield forecast; land use simulation; RCP scenarios; models

32 **1 Introduction**

33 Agriculture plays a vital role in food security, poverty elimination and sustainable development (Loboguerrero et al., 2019). With the remarkable growth of the global population, 34 agricultural production has faced a significant challenge in meeting the increasing food demand 35 36 and varying diet structure of human beings. Moreover, farmland loss and degradation caused by urban expansion and economic development have exacerbated this situation (Vermeulen et al., 37 2012). In this context, forecasting food production can give an early warning of food risk and 38 support agricultural land use activities and the corresponding policy making ([Preprint] Wen et al., 39 2022). 40

41 The existing yield prediction methods can be categorized into statistical models and 42 process-based models. The traditional statistical models have been commonly employed to 43 forecast seasonal variations of crop yield, e.g., linear and non-linear regression analysis and their integration with principal component analysis. Currently, machine learning approaches, e.g., 44 45 random forest (Sakamoto, 2020), XGBoost, long-short-term memory (LSTM), and convolutional neural network (CNN), have received more and more attention due to their ability to describe 46 47 complicated relationships of crop production and the driving forces (Hengl et al., 2017; Kang et al., 2020; Leng and Hall, 2020; Poornima and Pushpalatha, 2019; Yang et al., 2019; Zhong et al., 48 49 2019). These statistical models can relate historical yield data with the agrometeorological variables, for example, march temperature difference, daily relative humidity changes, sunshine 50 hours, and the remote sensing-based variables (Banakara et al., 2019; Camberlin and Diop, 1999; 51 Giri et al., 2017; Sharma et al., 2017), such as Normalized Difference Vegetation Index (Peralta 52 53 et al., 2016), Vegetation Condition Index (Kowalik et al., 2014), and Vegetation Health Index (Wang et al., 2010). 54

Process-based crop models employ integrated mathematical methods to describe crop growth status driven by climate, nutrient and water cycling, soil properties and agricultural management practices (Basso et al., 2016). This type of models includes CERES-Millet, EARS-CGS, PUTU, WOFOST and SWAP (Manatsa et al., 2011; Roebeling et al., 2004; Rojas, 2007; Tripathy et al., 2013), which have been applied to maize, wheat, barley, and millet prediction. Although these models have been proven efficient in practice, they still suffer from significant uncertainties because of complex parameters calibration and initialization (Kolotii et al., 2015).

For example, a number of these models will be calibrated using genetic information of plants that is hardly quantified. In contrast, statistical models allow us to capture essential processes that may be overlooked in the process model, including the impact of extreme temperatures on canopy transpiration and photosynthesis and the damage to crops caused by weather, pests, and diseases (<u>Urban et al., 2012</u>). Therefore, this study adjusted a statistical model to predict maize yield per hectare instead of a process-based crop model.

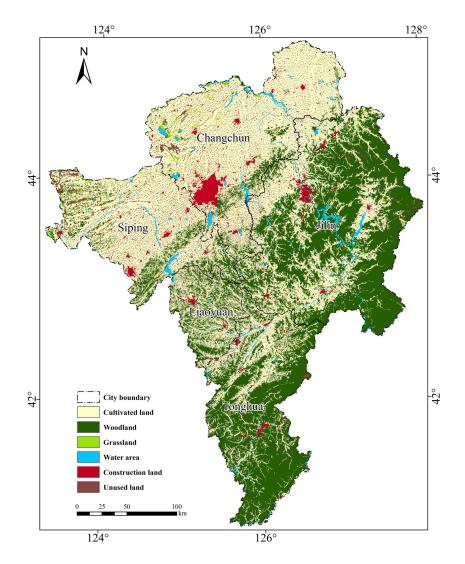
Climate and land use change have been regarded as two worldwide influencing factors of 68 69 maize production (Basso and Liu, 2019). Climate change affects crop growth by changing 70 temperature, precipitation, CO₂, nitrogen, and other critical ecological factors, during the growing season. Land use change analysis can improve yield forecasts' accuracy by identifying 71 the chop's changed planting areas (Vancutsem et al., 2013). However, a better understanding of 72 the synergistic effect of climate change and land use change on maize yield in a spatially explicit 73 74 way is still lacking at present. Combining statistical models and spatial land use simulation 75 models have been proven promising to address this issue. Land use simulation approaches 76 originated from cellular automata enable us to project changes in quantity and spatial pattern of agricultural land, and incorporate the effect of land use change into the crop yield estimation 77 (Akpoti et al., 2019; Liu et al., 2017). Moreover, these simulation models can be equipped with 78 79 various complex approaches, e.g., neural network, multi-agent system, and multinomial logistic 80 regression, to pursue better simulation performance (Basse et al., 2014; Mustafa et al., 2018; Yeldan et al., 2012). Due to the flexible model framework, numerous driving factors can also be 81 incorporated into maize yields, like urbanization, agricultural machinery advancement, and 82 population economic growth, etc. (Abate and Kuang, 2021; Takeshima et al., 2013; Yu et al., 83 2020; Zhang et al., 2017b). 84

We demonstrated a new crop prediction framework based on the integration of a statistical 85 crop yield approach and a spatial land use simulation model, and examined the synergistic 86 effects of climate change and land use change on maize yields. Further, we designed four future 87 scenarios based on representative concentration paths (RCPs) to examine the direct effects of 88 89 climate change and socio-economic development on maize yield per hectare. We conducted a case study in the phaeozem region of central Jilin Province, China, and validated the proposed 90 91 model. Our work is expected to provide a generic framework for the spatially explicit forecast of 92 maize yield.

93 **2 Materials and Methods**

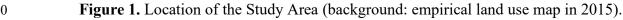
94 **2.1 Study area**

A phaeozem region in central Jilin Province of China was selected as the study area, 95 consisting of Changchun, Jilin, Siping, Liaoyuan, and Tonghua City (Figure 1). This region is 96 located in the major golden maize belts across the world, and plays an irreplaceable role in 97 national food security as one of the primary grain production bases and commodity grain export 98 99 bases in China (Asseng et al., 2013; Li et al., 2020). The rain-fed maize system was selected as the research object to eliminate the effect of irrigation on crop yield (Urban et al., 2012). 100 The region features a short growing season of maize from May to September (Feng et al., 101 2021; Jiang et al., 2021; Yang et al., 2007). Over the past 50 years, the average annual 102 103 temperature has increased significantly by 0.38°C per decade, precipitation has decreased slightly, and droughts and floods have become more frequent (Liu et al., 2009; Yin et al., 2016). 104 105 Climate change will directly affect maize production. Existing studies have also shown that climate change has an indirect impact on land use (Pan et al., 2020; Yang et al., 2020). Therefore, 106 it is necessary to assess the future impact of climate change and land use change on maize yields 107 108 to support the decision-making of agricultural production.



109





111 2.2 Data source

The data collected in this study includes climate data, land use maps, socio-economic data 112 and geographical information. Future climate data, i.e., precipitation and surface temperatures, 113 were collected from WDCC (https://doi.org/10.1594/WDCC/ETHr2), which were generated 114 using general circulation model the Beijing Climate Center Climate System Model version 1.1 m 115 116 (BCC CSM1.1 m)(Knutti, 2014). The data are at a T106 horizontal resolution (1.125°×1.125°) (Liu et al., 2021; Wu et al., 2010), and have been widely used to explore maize, wheat and other 117 grain planting systems in northeast China (Gao et al., 2020; He et al., 2018; Jiang et al., 2021). 118 Meanwhile, a time series of historical climate data was downloaded from the China 119

120 Meteorological Data Network (http://data.cma.cn). 121 Empirical land use maps in 2000, 2005, 2010 and 2015 were derived from the Chinese Academy of Sciences (CAS; http://www.resdc.cn), categorized into six land use/cover types: 122 123 cultivated land, woodland, grassland, construction land, unused land and water area(Ning et al., 2018). Socio-economic data, including urban/rural population, agriculture production, forestry, 124 animal husbandry and fishery, were obtained from the Statistical Yearbook of Jilin Province 125 (2000-2015). The raster datasets of population density and GDP(Xinliang, 2017a, b), and other 126 geographic maps, including administrative boundaries, roads and railways, were derived from 127 the Chinese Academy of Sciences database (http://www.resdc.cn/DOI). On the ArcGIS 10.5 128 platform, all spatial data were converted into raster maps at a spatial resolution of 30m. See 129 Table 1 for detailed data information. 130

131 Table 1

132 *Research data and sources*

Data	Data type	Temporal	Source
		coverage	
Expenditure and production value of	Excel	2000-2015	Jilin Province
agriculture, forestry, animal husbandry and			Statistical Yearbook
fishery			
Total mechanical power, total grain			
production			
The proportion of urban population, total			
urban and rural population			
Science and technology expenditure			
County-level maize yield data			
Historical climate data	Excel	2000-2015	http://data.cma.cn/
Annual average precipitation and annual	NetCDF	2006-2100	https://doi.org/10.15
average temperature			94/WDCC/ETHr2
Land use map	TIFF	2000-2015	http://data.casearth.c
			n/
GDP spatial distribution		2000, 201	http://www.resdc.cn
Spatial distribution of population density			

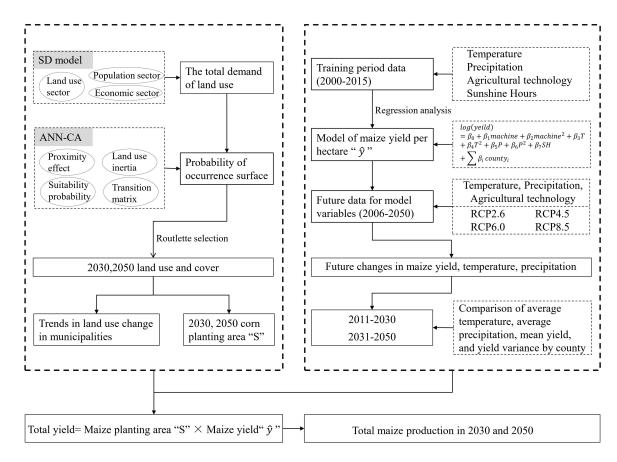
Digital Elevation Model (DEM)		5	/
Road network	shapefile		https://www.openstr
			eetmap.org/
Administrative boundary	shapefile	2015	http://www.resdc.cn
			/

133

134 **3 Methods**

135 **3.1 Integrated assessment framework**

To examine the effect of climate change and land use changes on regional maize yield, we 136 proposed an analytical framework based on the integration of system dynamics (SD), cellular 137 automata (CA) and a statistical maize yield model (Figure 2). The SD projects land use demands 138 from a top-down perspective based on socio-economic development and policy planning. The 139 CA simulates spatial land use patterns from a bottom-up perspective. The integration of SD and 140 CA enable us to predict land use changes in the study area from 2015 to 2050. Next, the 141 statistical maize yield model was incorporated to predict maize yield per hectare under the 142 143 impact of temperature, precipitation, agricultural technology and sunshine hours in four Representative Concentration Pathways (RCPs). Then, total maize yields under different 144 scenarios were assessed based on the product of the simulated maize planting area and the 145 predicted maize yield per hectare, and compared at two time periods of 2011-2030 and 2031-146 147 2050.





148

Figure 2. The analytical framework of future maize yield.

150 3.2 Future climate scenario design

151 Future scenarios are designed based on four RCP descriptions in CMIP5, a standard experiment protocol to define a series of coupled atmosphere-ocean general circulation models 152 153 developed by Climate Modeling Groups, World Climate Research Project (WCRP), and International Geosphere-Biosphere Project (IGBP) (Kriegler et al., 2014; O'Neill et al., 2014; 154 155 Pan et al., 2020; van Vuuren and Carter, 2014). The four RCPs reflect the radiative forcing levels of 2.6, 4.5, 6.0 and 8.5 W/m² by 2100. Each RCP pathway describes a range of climatic and 156 socio-economic characteristics related to different levels of carbon emissions (van Vuuren and 157 Carter, 2014), i.e., average temperature and precipitation in the growing season (Figure A1), and 158 159 agricultural mechanization promotion (Rotz et al., 2019). Average temperature and precipitation under four RCPs were set according to historical and projected climate datasets. The growth 160 rates of agricultural technology under four RCPs were determined to simulate the future maize 161 vield based on the actual development of Jilin Province and previous research experience (Table 162 2). 163

Table 2

Scenarios		Growth rate
RCP 2.6	Level	High
	Growth rate	+7%
RCP 4.5	Level	Relatively high
	Growth rate	+5%
RCP 6.0	Level	Moderate
	Growth rate	+3%
RCP 8.5	Level	Low
	Growth rate	0

165 The growth rate of agriculture technology

167 **3.3 Projection of future land use demand**

The prediction of the planting area of maize consists of two steps: land use demand projection and spatial pattern allocation. In the first step, future land use demands were projected using the system dynamic (SD) model. The SD model enables us to simulate the complex evolution process of the land system through the feedback and interaction between different elements (Akhtar et al., 2013).

The SD model in this study comprises three sections: population, social economy, and land 173 use (Figure 3). The population section represents urban and rural changes related to socio-174 economic development and land use demands for urban and rural settlements and agricultural 175 176 production. The socio-economic section considers the effect of agricultural technology development and fixed asset investment change on agriculture, forestry, and fishing production. 177 178 Further, the land use section illustrates land use conversions and their driving forces in terms of population, socio-economic development and interaction among various land use types (Liu et al., 179 180 2017). For example, cultivated land may expand due to a series of farmland supplementation measures, e.g., the consolidation of rural settlements and the reclamation of wild grassland, and 181 182 will decline because of farmland reforestation and urban encroachment. The interaction and 183 feedback among the three sections are defined through regression methods. The time range of the SD model in this study is from 2011 to 2050, and the time step is one year. Outputs of the SD 184 were used to limit land use quantities in the spatial land use pattern allocation. 185

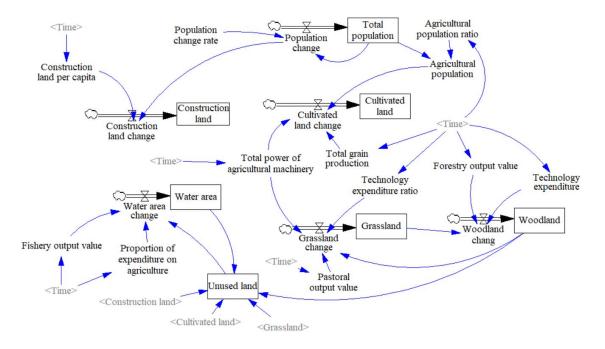






Figure 3. Interaction and feedback relationships in the system dynamic model.

188 **3.4** Allocation of spatial land use pattern

189 The spatial pattern of land use was allocated using the FLUS model based on the land use demand from the SD. The FLUS consists of two modules (Liu et al., 2017): (1) estimating the 190 191 occurrence probability of each land use type on a specific grid unit based on a three-layer artificial neural network (ANN); (2) determining the land use type of each grid cell based on the 192 193 cellular automata (CA) approach. Specifically, the three-layer ANN was trained using the empirical land use data and various driving factors that combine socio-economic and natural 194 195 effects, including population density, GDP, elevation, slope, aspect, distance to main highways, distance to primary railways, distance to rivers, and distance to cities (Yang et al., 2020). The 196 CA calculates the combined probability of a specific land use type on each grid cell based on the 197 product of the occurrence probability, land use conversion cost, spatial neighborhood effect and 198 land use inertia coefficient (Li et al., 2017), and then allocates the suitable land use type to each 199 grid cell using the roulette selection method (Pan et al., 2020). See Yang et al. (2020) for detailed 200 201 model descriptions and parameterizations.

202 3.5 Estimation of maize yield per hector

Maize yield per hector was estimated using a regression analysis based on the historical data 203 of maize production from 2000 to 2015. A series of essential factors for photosynthesis and plant 204 growth in terms of county-level differences, socio-economic development and physical 205 conditions were selected as independent variables, including the mean and variance of 206 temperature and precipitation in the growing season(Lobell et al., 2011; Urban et al., 2012), the 207 total power of agricultural machinery, and sunshine hours (Murchie and Niyogi, 2011). 208 209 Considering the non-linear relationship between climate variables and maize yields and moderately/strongly skewed distribution of maize yields (Huang et al., 2021), the logarithm of 210 the maize yield rather than the yield per se was used as the dependent variable. Moreover, the 211 quadratic function has been proved promising in simulating the dynamic relationship between 212 climate conditions and maize yield (Grassini et al., 2009; Lobell and Burke, 2010). 213

214 The regression model for the estimation of per unit maize yield can be expressed as follows:

215
$$\log(\hat{y}) = \beta_0 + \beta_1 machine + \beta_2 machine^2 + \beta_3 T + \beta_4 T^2 + \beta_5 P + \beta_6 P^2 + \beta_7 SH + \sum_i \beta_i county_i$$
(1)

where *T*, *P*, and *SH* represent the temperature, precipitation, and sunshine hours during the
growing season from May to September. *county* is a dummy variable to capture the spatially
heterogeneous influence of physical and socio-economic factors at the county level, such as soil
quality and agronomic. *machine* accounts for an improvement in agricultural mechanization.
Square terms of independent variables denote a certain degree of nonlinearity (see Text A1 and
Table A1 for detailed parameters).

Moreover, the average change of maize yield often accompanies its variance change. The variance of per hectare yield can measure the stability of the inter-annual production of maize, which is significant in maintaining the steady income of farmers and ensuring regional food security. The yield variance can be calculated in the following:

227
$$Var(y) = (E[\log(\hat{y})])^2 \times Var(\log(\epsilon)) + (E[\log(\epsilon)])^2 \times Var(\log(\hat{y})) + Var(\log(\hat{y})) \times Var(\log(\epsilon))$$
(2)

229 where Var(y) refers to the variance of yield per hectare in each county, and ϵ refers to the 230 residual yield per hectare. 231 We used the residuals of training data (**Table A2**) to calculate the expected Var(y).

232 Therefore, we assumed that the yield residual would not change with the change of predicted

233 climate. To verify this hypothesis, we conducted least square regression between the yield

residuals' square $[log(\epsilon)]^2$ and the average *T* and *P* in the training period. The results showed that climate change causes a slight change in $[log(\epsilon)]^2$ (Figure A2). Therefore, in this study, the

assessment results of yield variation under future climate will be relatively conservative.

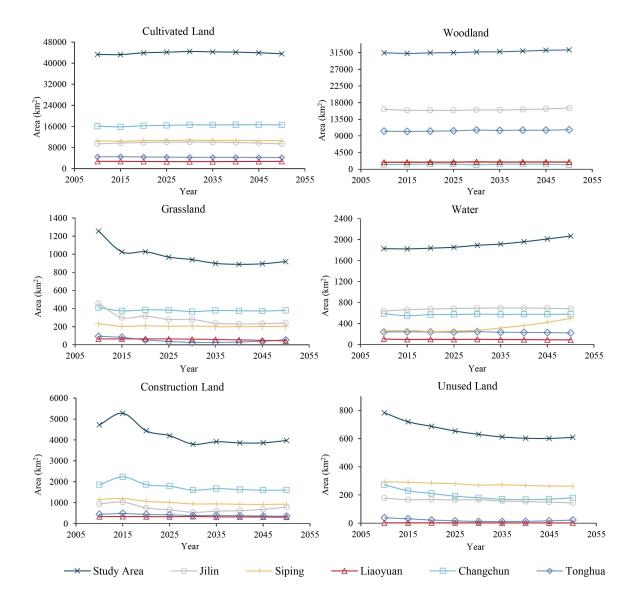
237 **3.6 Model implementation and evaluation**

The SD model was built with Vensim (https://vensim), and the FLUS was performed in the 238 GEOSOS platform. The empirical land use data in 2000 and 2015 were used to train and validate 239 the simulation model. Kappa coefficient was used to evaluate the accuracy of land use simulation. 240 Overall, the average accuracy rate exceeds 80%, and the Kappa coefficient reaches 0.65, 241 indicating the positive performance of the FLUS. Further, regression analysis was conducted in 242 SPSS. The standardized residuals of the regression model obey the normal distribution, and R² 243 244 equals 0.436. These experimental results indicate the good performance of the proposed framework for maize yield projections. 245

246 **4 Results & discussion**

247 *4.1 Dynamic land use changes*

The study area will experience slight changes in cultivated land and woodland, and 248 remarkable changes in construction land, grassland, water areas and unused land by 2050. Land 249 use changes will exhibit evident spatially differences across the study area (Figure 4 and Figure 250 A3). As for cultivated land, the total area will slightly increase from 43,321.70 km² in 2010 to 251 43,556.00 km² in 2050, with an inverted U-shaped trend. Specifically, the cultivated land will 252 increase to 44,424.08 km² in 2030 and then drop by 867.61 km² from 2030 to 2050. However, 253 the trend will differ from at the city level. The cultivated land in Changchun and Liaoyuan will 254 increase by 485.68 km² and 19.62 km² in 2010-2050, while those in Tonghua, Jilin and Siping 255 will decrease by 252.12 km², 11.33 km², and 3.88 km². 256



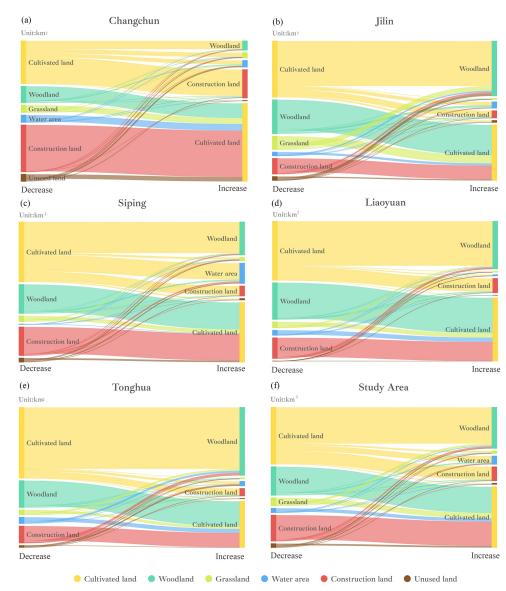


257

Figure 4. The changes in land use quantities from 2010 to 2050.

The gain and loss of cultivated land will be 3,796.69 km² and 3,561.53 km², respectively 259 (Figure 5f). Specifically, 43.09% and 40.03% of farmland gain will be attributed to the reduction 260 of woodland and construction land, for example, the consolidation of scattered rural settlements 261 originating from rural population shrinkage (Liu et al., 2013b). In turn, 63.71% of farmland loss 262 263 will be attributed to farmland reforestation, which indicates the Chinese government's emphasis on ecological protection (Shan et al., 2020). At the city level, Changchun has the highest 264 farmland gain (Figure 5a). The gain of cultivated land will be 1,080.04 km², and 59.45% comes 265 from the consolidation of construction land. As a central city in Northeast China, increasing 266 cultivated land will alleviate the pressure of increasing population on food production (Zhang et 267

- al., 2012). Conversely, Tonghua has the largest reduction of arable land (Figure 5e). The gain
 and loss of cultivated land will be 471.00 km² and 722.52 km², respectively. It can be observed
 that 627.28 km² of cultivated land in this city will be converted into forest land. Liaoyuan, Siping
 and Jilin are likely to experience slight farmland gain or loss; these changes are less than 20 km²
- 272 (**Figure 5b, c, and d**).



273

274

Figure 5. Land use conversions from 2010 to 2050.

4.2 Changes in maize yield per hectare in different scenarios

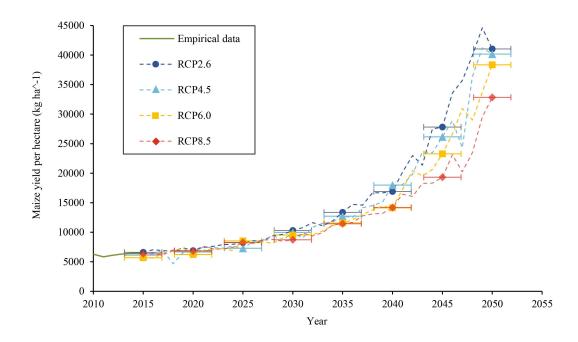
The maize yield per hectare is likely to exhibit a two-stage upward trend from 2011 to 2050

277 (Figure 6). From 2011 to 2030, it will moderately increase by 76.32%, 70.63%, 63.278%,

49.66% under RCP2.6, 4.5, 6.0, 8.5, respectively. From 2031 to 2050, however, it will

experience a corresponding sharp promotion of 280.74%, 344.91%, 299.64%, and 233.352%.

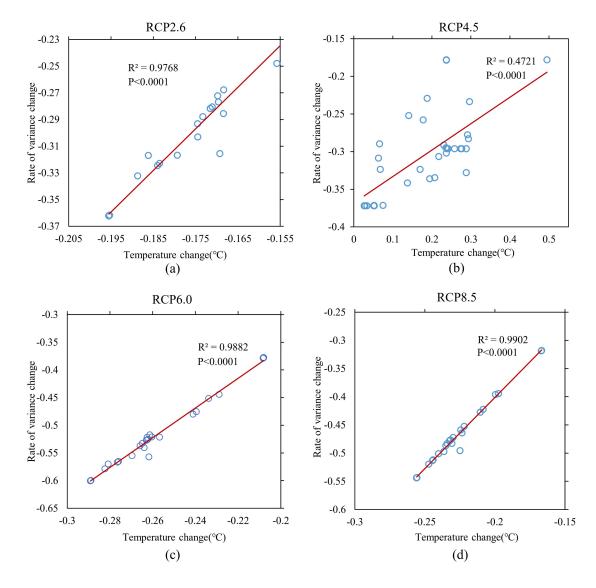
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281

Figure 6. Changes in average maize yield per hectare under four RCP scenarios from 2011 to
2050. Standard Errors of Mean (SEM) of RCP 2.6, 4.5, 6.0, and 8.5 are 1575.51, 1401.41,
1252.26, and 975.38 kg ha^-1, respectively.

Climate change (Figure A4) may exert different effects on per unit maize yield over time. 285 RCP 2.6 will have the maximum annual growth rate of the per-unit yield up to 34.73%, with a 286 mean value of 14175.00 kg ha^-1. Conversely, RCP 8.5 is likely to exhibit the minimum increase 287 of the per-unit yield by 11324.47 kg ha^-1 with an annual growth rate of 33.78%. A positive 288 correlation between the per-unit yield promotion and the radiative forcing levels caused by 289 290 greenhouse gas emissions can be observed, and a growing gap in the per unit yields under four RCP scenarios will also arise over time. We further found that temperature strongly correlates 291 292 with the changing rate of the maize yield variance (Figure 7). In RCP2.6, RCP6.0, RCP8.5, R² can reach up to 0.99 (p<0.0001), while that in RCP4.5 is only 47.21%. The temperature changes 293 294 primarily lead to yield variance.



295

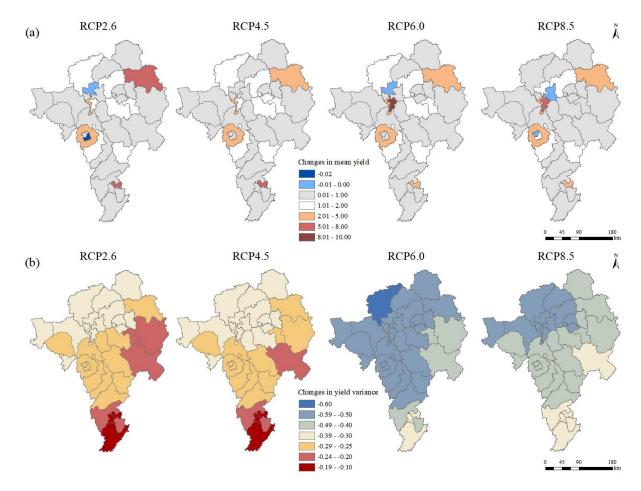
Figure7. Correlation analysis between temperature and variance transformation rate under four
RCP scenarios.

At the county level, the yield variations under the four RCPs range from 0.72 to 32.82 from 298 299 2011 to 2030, varying from 0.82 to 32.87 in 2031-2050. In contrast, the mean per unit yield gap 300 in the four RCPs will be much greater from 2031 to 2050. For example, the range of RCP2.6 in 2031-2050 can expand to 10 times that in 2011-2030. Despite the different distribution of values, 301 302 the mean yields still exhibit a positive correlation with the variances. The spatial distribution of relative change in the mean yield per hectare and its variance in these two periods are similar, 303 304 with a significant increase in the northern and central regions and a slight increase or decrease in the western region. Most counties had a similar change rate of average yield under the four RCPs, 305

306 but the gaps under RCP2.6 and RCP6.5 are much larger (Figure 8a). From the perspective of the

distribution area, RCP6.5 and RCP8.5 have a greater relative reduction of variance from 2011-

308 2030 to 2031-2050 (**Figure 8b**).



309

Figure 8. Rate of changes in means (a) and variances (b) of the per unit maize yield during the periods of 2011-2030 and 2031-2050.

312 4.3 Changes in total maize yield

The total maize yield will significantly increase from 2011 to 2050, with a growth rate of 78.71% (RCP2.6), 79.40% (RCP4.5), 79.01% (RCP6.0) and 78.63% (RCP8.5). In the first two decades, the total yield under RCP2.6, RCP4.5, RCP6.0, and RCP8.5 moderately increase by 38.61%, 35.61%, 30.03% and 18.28%, then exhibit sharp promotion to 124.92%, 149.01%, 148.19% and 161.00% in the latter twenty years. The total maize yields under four RCP scenarios will remarkably differ. Specifically, RCP 2.6 has the maximum total yield of 24.02 megatons in 2030, but it will rank third in 2050. RCP4.5 ranks second in 2030 with 23.50

- megatons of maize yield, while it will reach the highest value of 58.52 megatons in 2050.
- Notably, the total maize yield under RCP 8.5 will remain the minimum in 2030 and 2050 (Table
- 322 **3**).

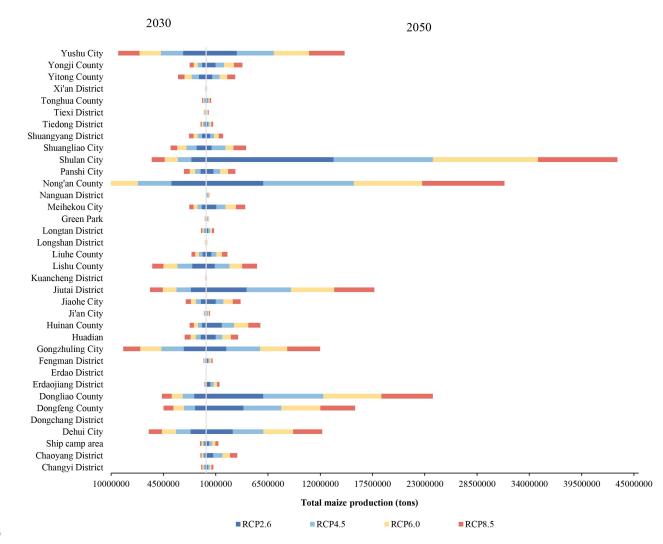
323 Table 3

Scenarios	2030(megatons)	change rate 2011-2030	2050(megatons)	change rate 2030-2050
RCP2.6	24.02	38.61%	54.03	124.92%
RCP4.5	23.50	35.61%	58.52	149.01%
RCP6.0	22.54	30.03%	55.93	148.19%
RCP8.5	20.50	18.28%	53.50	161.00%

324 Total maize yields in 2030 and 2050 under four RCP scenarios

325

Changes in total maize yields will be simultaneously influenced by the per-unit yield and 326 327 the planting area. In urban areas, e.g., Changchun, Jilin, and Chaoyang, Nanguan and Erdao District of Liaoyuan only have low total yields of maize even if the per-unit yield is at the middle 328 329 or upper level. In contrast, some counties, such as Nong'an and Gongzhuling, with low per-unit yields will feature higher maize production due to their larger maize planting areas (Figure 9). 330 From 2030 to 2050, 67% of counties will experience a decline in cultivated land (Figure A5), 331 but the total maize yields of these counties will increase due to the promotion of per hectare 332 333 maize yield. Furthermore, climate change will alter the orders of some counties with large planting areas of maize in terms of total yields, e.g., Liuhe, Lishu, Fengman, Dongliao, and 334 Dongfeng County. Under PCR2.6, a slowdown of growth rate in maize yield per hectare in these 335 counties leads to the decline of the total yield ranking. Conversely, RCP8.5 will ensure that most 336 counties have a high total production ranking due to its relatively high growth rate of per-unit 337 yield. 338



339

340

Figure 9. Total maize production at the county level under four scenarios.

341

342 **5 Discussion**

343 5.1 Comprehensive impact on maize yield

Unlike the previous study, our framework examines the synergistic effects of climate change and land use change on the yield of rain-fed maize in a phaeozem region of Jilin Province. The results show that there appears to be a clear contrast in total yield, potential increment, and spatial pattern between different scenarios, and balanced development is more conducive to maintaining a steady increase in total maize production. For example, Potential maize yield per hectare will significantly increase under the four climate change scenarios from 2011 to 2050,

ranked as: RCP2.6> RCP4.5> RCP6.0> RCP8.5. However, RCP2.6 and RCP6.0 will have 350 differences in the maize yield among counties, while RCP4.5 will exhibit a balanced regional 351 352 pattern of maize production (Figure 8a). The total maize yield in 2050 will peak under the RCP4.5 scenario, suggesting the combined effect of temperature, precipitation, and technological 353 progress on maize growth is the best. This scenario's moderate carbon emissions and population 354 and economic growth will help coordinate the conflicts between farmland protection and 355 vegetation conservation and increase overall maize production simultaneously (Hou and Li, 356 <u>2021</u>; <u>Zhang and Qi, 2010</u>). Notably, an increase in per hectare yield could mitigate the impact 357 of farmland loss on maize yields. The total yield of RCP2.6, RCP4.5, RCP6.0, and RCP8.5 will 358 reach 54.03, 58.52, 55.93, and 53.50 megatons by 124.92%, 149.01%, 148.19% and 161.00% 359 from 2030 to 2050. Although a large amount of cultivated land will be occupied by forest and 360 361 grassland, the total maize yield under all scenarios still increased exponentially.

The variance of temperature and precipitation during the growing season will affect yield variance (Urban et al., 2012). With the increase in precipitation variance, the variance of maize yields during the period of 2031-3050 will get higher than that in 2011-2030. Under the threat of maize yield reduction caused by variable or extreme climates (Feng et al., 2021; Malik et al., 2021), how to formulate adaptation and mitigation strategies will be a challenging long-term issue for land managers (Iglesias and Garrote, 2015; Zobeidi et al., 2021).

368 5.2 Policy implications

369 Our study suggested several implications for agricultural land use and maize production. We can solve many uncertain problems in agricultural production by considering the present and 370 371 predicted near future land-use, economic and climate scenarios. For example, agricultural 372 technology development can balance land use change, climate change and maize production due to its positive impact on per unit yield (Rojas-Downing et al., 2017). Previous studies suggested 373 that diversification of maize varieties can improve maize resistance to external disturbances 374 375 caused by extreme weather events and human activities (Altieri and Nicholls, 2017). Maize breeding and biotechnology also have the enormous biological potential to increase grain yield 376 377 (Foulkes et al., 2011). Researchers have proven that organic matter enhances underground biodiversity, thereby creating suitable conditions for plant roots (Diaz-Zorita et al., 1999; 378 379 Morugan-Coronado et al., 2022). And proper agricultural management, such as organic

agriculture, residue management and crop rotation, can also improve soil quality(Morugan<u>Coronado et al., 2022</u>).Moreover, regular training and technical guidance for farmers can
improve their risk awareness and ability to deal with the risk (<u>Olesen et al., 2011</u>). We suggest
that the investment in maize variety and planting technology development should be encouraged
to promote the per unit yield of maize. Indeed, accurate prediction of climate change and rational
planning of planting scale and planting pattern can advance the reasonability of agricultural
management strategies.

387 **5.3** Advantages and limitations

By combining the FLUS and the statistical yield model, this research framework can better 388 389 describe the joint impact of climate change and land use change on maize yield. Meanwhile, the framework is flexible and can be used as a general decision-making tool for land planning and 390 391 maize management in different situations. This study documented that climate change will positively impact maize yields in the study area, which is consistent with other simulation studies 392 393 (Liang et al., 2019; Pu et al., 2020; Zhang et al., 2017a). Since the study area locates in the cold temperate zone, global warming could reduce cold damage and extend the growing season, 394 395 which will benefit maize yields (Zongruing et al., 2007). From an optimistic point of view, we 396 expect further improvement in planting efficiency (maize yield) as agricultural technology 397 advances and planting management improves in the future. Moreover, the effect of human irrigation on maize growth has been excluded by selecting the study area in a rain-fed region. 398

This work still has several limitations. First, uncertainty in future climate change will 399 impact the simulation accuracy. The climate conditions shown by different general circulation 400 models (GCMs) in the same region may be quite different (Liu et al., 2013a; Tatsumi et al., 401 2011). The BCC CSM1.1 m model was selected for this study to better eliminate the possible 402 403 errors in the prediction results. Although the BCC has been applied to a number of studies on grain production in northeast China (Pu et al., 2020; Xie et al., 2020), there is still room for 404 improvement. Second, existing studies have shown that incorporating remote sensing into 405 statistical models can improve forecasting accuracy, especially for large-scale regions (Laudien 406 407 et al., 2020).

408

410 6 Conclusion

This study proposes an integrated framework for maize yield prediction by combining the SD and the FLUS model with the statistical model. Future maize yield change can be simulated under the four RCP scenarios. The proposed framework is flexible and suitable for applications in any other regional studies. The simulations help provide scientific guidance for the decisionmaking of agricultural management.

We conclude that an increase in per-unit yield in the study area will mitigate the negative impact of farmland loss on the total maize yield. Although cultivated land is likely to decrease from 2030 to 2050, the total maize yields under RCP2.6, 4.5, 6.0, and 8.5 will still increase by 124.92%, 149.01%, 148.19% and 161.00%. Under the four RCPs, disparities in total maize yields will differ across the region, especially under RCP2.6. In comparison, RCP 4.5 features more balanced and stable, which will be conducive to ensuring maize yields and benefitting regional sustainable development in the future.

Facing the threat of variable or extreme climates and the further widened yield gap between different counties, we need to implement the differentiated policies of agricultural production and farmland protection, including strengthening cultivated land protection and crop management in low-yield areas, as well as adoption of adaptation and mitigation measures.

427 Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Estimation of maize yield incorporating the synergistic effect of climatic and land use change: A case study of Jilin, China

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Text A1.

The improvement in agricultural mechanization (*machine*, *machine*²) and county-fixed effects (*county*) explain 40.3% of the county-level yield variance, which reflects the mean and the rapid improvement pace of crop have presented uneven spatial distribution since 2000. *T*, *P*, and their square terms explain 3.3% of the county-level production variance. Sunshine hours (*SH*) has an insignificant coefficient of determination, and is excluded in the final model (**Equation A1**). **Table A1** shows the model coefficient and significance test.

 $log (yeild) = 0.000128 * machine^{2} - 0.0055 * machine + 1.598 * T - 0.043 * T^{2} + 0.006394 * P - 0.0000262 * P^{2} - 6.234$ (A1)

Considering the error value, the model can be written as:

$$log(y_{county,year}) = log(\hat{y}_{county,year}) + log(\epsilon_{county,year})$$
(A2)

The hat symbol (^) indicates the estimated value of yield production. Assume that the error is independent of the estimated value log (ϵ). All terms in the above equation are logarithmic. We first take the exponents on both sides of (Equation A2) to calculate the yield per hectare.

$$y = e^{\log(\hat{y})} e^{\log(\epsilon)} \tag{A3}$$

It is crucial to consider the yield error when comparing the yield variance between 2011-2030 and 2031-2050. We can calculate the variance of the final production, substituting the variance values of the residuals at all levels (Attached **Table A2**):

$$Var(y) = (E[\log(\hat{y})])^2 \times Var(\log(\epsilon)) + (E[\log(\epsilon)])^2 \times Var(\log(\hat{y})) + Var(\log(\hat{y})) \times Var(\log(\epsilon))$$
(A4)

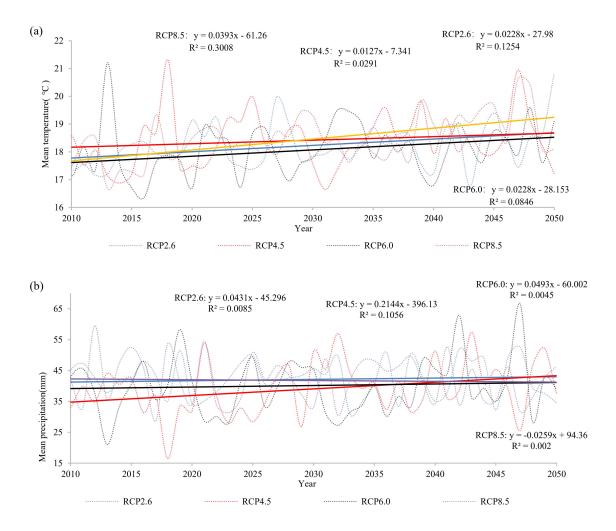


Figure A1. (a)Average temperature in the study area from May to September under RCPs; (b) Average precipitation in the study area from May to September under RCPs.

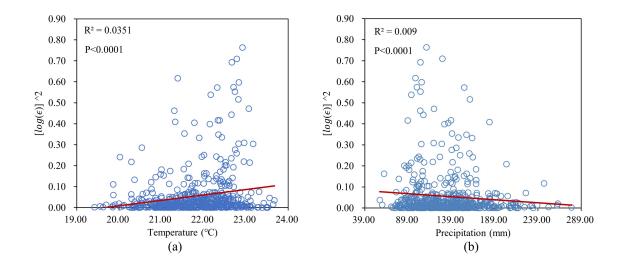


Figure A2. Least-squares regression diagram of the square of the production residuals and the average T and P during the training period.

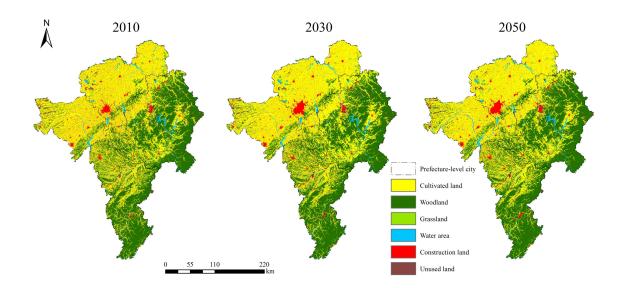


Figure A3. Land use maps in 2010, 2030 and 2050.

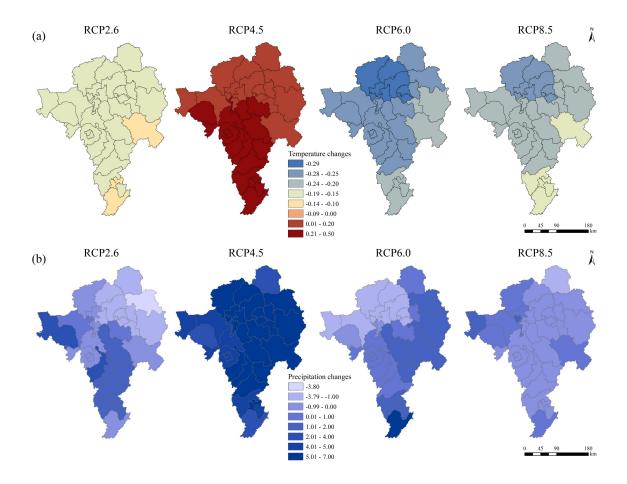


Figure A4. (a) Temperature variation by county from 2011-2030 to 2031-2050; (b) Precipitation varies by county from 2011-2030 to 2031-2050.

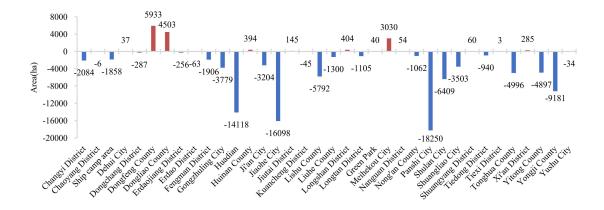


Figure A5. Changes in cultivated land areas at county level from 2030 to 2050.

Table A1

Regression coefficients.

M - 1-1	Unstandardized coefficient			 C:_
Model	В	Standard error	t	Sig.
(constant)	-6.226	7.759	-0.802	0.423
Т	1.598	0.788	2.029	0.043
T^2	-0.043	0.020	-2.163	0.031
Р	0.006	0.003	2.254	0.025
<i>P</i> ²	-2.622E-05	0.000	-2.146	0.032
machine	-0.006	0.001	-4.633	0.000
machine ²	1.284E-04	0.000	3.153	0.002
SH	2.750E-04	8.02 E-04	0.342	0.732
Area=Dongfeng County	0.243	0.104	2.329	0.020
Area=Dongchang District	0.265	0.135	1.971	0.049
Area=Dongliao County	0.251	0.105	2.392	0.017
Area = Fengman District	0.095	0.115	0.821	0.412
Area=Jiutai City	0.035	0.104	0.342	0.733
Area = Erdao District	-0.409	0.102	-4.025	0.000
Area = Erdaojiang District	0.148	0.134	1.105	0.269
Area=Yitong County	0.267	0.102	2.623	0.009
Area=Gongzhuling City	0.652	0.105	6.212	0.000
Area=Nong'an County	0.431	0.105	4.085	0.000
Area = Nanguan District	-0.019	0.109	-0.173	0.863
Area=Shuangyang District	0.316	0.108	2.920	0.004
Area = Kuancheng District	-0.400	0.102	-3.920	0.000
Area=Dehui City	0.298	0.106	2.813	0.005
Area=Changyi District	0.092	0.103	0.888	0.375
Area=Chaoyang District	-0.106	0.113	-0.942	0.346
Area = Liuhe County	0.255	0.112	2.263	0.024
Area = Huadian City	-0.020	0.121	-0.167	0.867
Area=Meihekou City	0.087	0.104	0.834	0.405
Area = Lishu County	0.724	0.105	6.916	0.000
Area = Elm City	0.313	0.104	3.019	0.003
Area=Yongji County	0.028	0.103	0.268	0.788
Area=Panshi City	0.106	0.103	1.024	0.306
Area = Green Park	0.055	0.119	0.461	0.645

Area = Shulan City	0.200	0.111	1.810	0.071
Area = Ship Camp Area	0.063	0.105	0.599	0.549
Area = Jiaohe City	0.102	0.116	0.877	0.381
Area = Xi'an District	-0.042	0.103	-0.412	0.680
Area=Huinan County	0.351	0.112	3.130	0.002
Area=Tonghua County	-0.051	0.110	-0.460	0.646
Area=Tiedong District	0.236	0.107	2.200	0.028
Area = Tiexi District	0.449	0.185	2.427	0.016
Area = Ji'an City	-0.098	0.109	-0.894	0.372
Area = Longshan District	-0.055	0.102	-0.538	0.591
Area=Longtan District	0.091	0.105	0.868	0.386

Note: B and Beta are regression coefficients; Sig. is the P-value, which represents the significance in the hypothesis test.

Table A2

Variance of county residual error.

region	$Var(\log(\epsilon))$	region	$Var(\log(\epsilon))$
Changyi District	0.025572075	Liuhe County	0.044541441
Chaoyang District	0.195618882	Yongsan District	0.088251022
Ship Camp Area	0.014275893	Longtan District	0.019158748
Dehui	0.033632781	Green Park	0.249584034
Dongchang District	0.014318566	Meihekou	0.009294256
Dongfeng County	0.026302486	Nanguan District	0.14462959
Dongliao County	0.049171297	Nong'an County	0.011689685
Erdaojiang District	0.031237137	rock city	0.01400162
Erdao District	0.431996676	Shulan	0.01074008
plump area	0.048536536	Shuangliao	0.038542727
Gongzhuling	0.010237088	Shuangyang District	0.072074432
Huadian	0.026221195	Tiedong District	0.039590128
Huinan County	0.070030835	Tiexi District	0.079287917
Ji'an	0.023745877	Tonghua County	0.011766734
Jiaohe	0.046481507	Xi'an District	0.344605244
Jiutai District	0.0508169	Yitong County	0.071153589
Kuancheng District	0.28749462	Yongji County	0.043845527
Lishu County	0.030609236	Elm City	0.012829379