Interacting wind- and tide-forced boundary-layers in a large strait

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November 30, 2022

Abstract

Observations of the spatio-temporal structure of turbulent mixing in a large, energetic strait were used to examine the interactions between wind- and tidally-forced boundary layers in a coastal environment. Te Moana-o-Raukawa (Cook Strait) of Aotearoa (New Zealand) is a relatively wide, energetic strait, known to experience substantial tidal currents and wind stress. A turbulence-enabled ocean glider mission measured O(40,000) turbulence samples that passed QAQC including the use of a vehicle-mounted speed through water sensor. The observations were compared to one-dimensional models of turbulence to understand the mechanisms that regulates the vertical structure of mixing. Tidal flows of O(1 m/s) and wind speeds of O(10 m/s) enhance dissipation to ε =O(10⁺{-5} W/kg) through boundary drag, shear-driven production of turbulent kinetic energy (P) and to a minor extent buoyancy flux (G). The benthic and wind-driven boundary layers behaved reasonably predictably when considering a 1D perspective. The interaction between the two boundary layers depended on mid-water column stratification which is to a large degree an externally-prescribed condition. Transient stratification can stabilize the mean flow (median Ri_g=0.6(>1/4)) and reduce both turbulence intensity (Re_b) and diapycnal diffusivity (K_z) by up to two orders of magnitude in the middle of the water column, insulating bottom and surface mixing-layers. Mid-water dissipation rate levels tend to be associated with marginal dynamical stability (median Ri_g=0.22(⁻1/4)) and canonical mixing efficiency (median R_f=0.1), while elevated levels are connected to unstable mean flow conditions (median Ri_g=0.14(<1/4)) and reduced mixing efficiency (median R_f=0.1(<0.17)) that promotes turbulence growth.

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9	Key	Points:
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10	٠	$\mathcal{O}(40,000)$ estimates of turbulence from glider microstructure sampling and di-
11		rect flow-through-water spectral conversion show interactions between wind and
12		tidally-forced boundary-layers throughout the water depth
13	•	Enhanced dissipation is associated with sub-marginal (i) dynamical stability (me-
14		dian $Ri_g = 0.14 < 1/4$ in observations) and (ii) mixing efficiency (median $R_f =$
15		0.1 < 0.17 in 1D turbulence model results)

• Transient stratification reduces diapycnal mixing (by up to two orders of magnitude), stabilizes the mean flow (median $Ri_g = 0.61 > 1/4$) and creates turbulence anisotropy ($\mathcal{O}(100)$ samples with $Re_b < 10^2$)

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25 water sensor. The observations were compared to one-dimensional models of turbulence 26 to understand the mechanisms that regulates the vertical structure of mixing. Tidal flows 27 of $\mathcal{O}(1\,\mathrm{m\,s^{-1}})$ and wind speeds of $\mathcal{O}(10\,\mathrm{m\,s^{-1}})$ enhance dissipation to $\epsilon = \mathcal{O}(10^{-5}\mathrm{W\,kg^{-1}})$ 28 through boundary drag, shear-driven production of turbulent kinetic energy (P) and to 29 a minor extent buoyancy flux (G). The benchic and wind-driven boundary layers behaved 30 reasonably predictably when considering a 1D perspective. The interaction between the 31 two boundary layers depended on mid-water column stratification which is to a large de-32 gree an externally-prescribed condition. Transient stratification can stabilize the mean 33 flow (median $Ri_q = 0.6(> 1/4)$) and reduce both turbulence intensity (Re_b) and di-34 apycnal diffusivity (K_z) by up to two orders of magnitude in the middle of the water col-35 umn, insulating bottom and surface mixing-layers. Mid-water dissipation rate levels tend 36 to be associated with marginal dynamical stability (median $Ri_q = 0.22(\sim 1/4)$) and 37 canonical mixing efficiency (median $R_f = 0.17$), while elevated levels are connected to 38

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41 Plain Language Summary

The coastal oceans are very active regions of the planet because of how tides and 42 meteorology interact in shallow water to create ocean mixing. Consequently there is a 43 lot of biological activity as well as absorption of CO₂. However, these highly energetic 44 waters also means it is hard to measure mixing. In this study we describe results from 45 a robotic underwater glider that drifted through the very turbulent waters of Te Moana-46 o-Raukawa (Cook Strait) that separates the two main islands of Aotearoa (New Zealand). 47 The glider was configured to measure ocean properties that allow us to estimate how en-48 ergy is transferred from the winds and tides to processes that aid biological production 49 and CO_2 absorption. We found that boundary-layers formed by wind and tides typically 50 can impact the water from surface to seafloor, except when pockets of fresher or warmer 51 water find their way into the region. 52

⁵³ 1 Introduction

Turbulent mixing in the coastal ocean regulates stratification, air-sea exchanges and 54 nutrient fluxes for a key region of the Earth system (Sandstrom & Elliott, 1984; Aikman, 55 1984; Sharples et al., 2001; MacKinnon & Gregg, 2005b), thus having global implications 56 for biological productivity and the uptake of atmospheric CO₂ (Simpson & Sharples, 2012; 57 Muller-Karger et al., 2005; Borges et al., 2005; Bianchi et al., 2005; Thomas et al., 2004; 58 Becherer et al., 2022). Primary drivers for this mixing come from currents and wind -59 processes that operate independently but interact. Enhanced mixing in the bottom bound-60 ary layer has shown to impact the transport of sediments that shape seafloor topogra-61 phy (Cacchione et al., 2002; Zulberti et al., 2020) and to facilitate the supply of nutrient-62 rich waters to the pycnocline to support primary production (Becherer et al., 2022). Wind 63 stress in the surface boundary layer can promote gas exchanges and heat uptake (Thomas et al., 2004), enrich the euphotic zone with nutrients (Chiswell et al., 2017) and accel-65 erate the destruction of stratification in shallow shelf seas thermocline especially dur-66 ing storms (Schultze et al., 2020). 67

The study of turbulent mixing in the ocean lies in the intersections between observations and energetics budgets (Polzin & McDougall, 2022). For Turbulent Kinetic Energy (TKE, notation k), the balance equation can be represented by Reynolds decomposition in idealized Boussinesq-fluid formulation (Polzin & McDougall, 2022; Umlauf et al., 2012) as:

$$\dot{k} = T_k + P + G - \epsilon \quad [W \, \mathrm{kg}^{-1}], \tag{1}$$

where \dot{k} is the material derivative (includes temporal derivative and advection terms) of TKE. P, G and ϵ are the rates of shear production, buoyancy production/destruction and dissipation of TKE, respectively. T_k represents the viscous and turbulent transport. To quantify buoyancy fluxes across isopycnals Osborn (1980) assumed a statistically steady balance between total production and dissipation of TKE ($P+G \sim \epsilon$) and ignored transport terms (Gregg et al., 2017; Caulfield, 2020) to formulate the vertical diffusivity of mass: $K = -\frac{R_f}{1 + \epsilon} \frac{\epsilon}{1 + \epsilon} \frac{1}{2} e^{-1}$

$$K_{z} = \frac{R_{f}}{1 - R_{f}} \frac{\epsilon}{N^{2}} \,[\mathrm{m}^{2} \,\mathrm{s}^{-1}],\tag{2}$$

where $R_f = -G/P$ is the flux Richardson number, often referred to as the mixing ef-

ficiency: the proportion of change in background potential energy from an expended amount of energy, generally assumed as a constant of $R_f = 0.17 (R_f/(1 - R_f) = 0.2)$ (see Gregg et al. (2017) for a review). Here, $\epsilon = \nu/2 . (\partial_j u_i + \partial_i u_j)^2$ [W kg⁻¹] and $N^2 = -g/\rho_0 . \partial_z \rho$ [s⁻²], with ν the kinematic viscosity of seawater, $\partial_j u_i$ and $\partial_i u_j$ the velocity shear components, g the gravitation constant and ρ (ρ_0) is density (at a reference depth), are two

readily observable quantities in the ocean using measurements of microstructure shear

(R. Lueck, 2002; Wolk et al., 2009) and temperature-conductivity-depth. From those mea-

sures one can estimate the buoyancy Reynolds number

$$Re_b = \frac{\epsilon}{\nu N^2} \left[-\right],\tag{3}$$

which quantifies the intensity (or level of activity (Schultze et al., 2017)) of turbulencedriven mixing, where high values $Re_b > 1 \times 10^2$ indicate fully developed and isotropic turbulence (Schultze et al., 2017; Bouffard & Boegman, 2013; Shih et al., 2005). Additionally, the dynamical stability of the water column (Ri_g , the gradient Richardson number) as represented by the balance of large scale velocity shear $S^2 = (\partial_z U)^2 + (\partial_z V)^2$ $[s^{-2}]$ (U and V are the mean flow horizontal velocity components) against the stabilizing stratification:

$$Ri_{g} = \frac{N^{2}}{S^{2}} [-], \tag{4}$$

which can indicate weak stratification $(Ri_g < 1)$ and shear instability $(Ri_g < 1/4)$ has also shown to influence mixing efficiency (Salehipour et al., 2016; Caulfield, 2020) and the state of criticality of stratified turbulence (Smyth et al., 2019), indicating that this quantity should be routinely reported on with field measurements when possible (Gregg et al., 2017).

Analysis of turbulent mixing, a patchy and intermittent process in the ocean (Waterhouse 101 et al., 2014), and the interplay of turbulent mixing-layers under strong wind and tidal 102 stress have been recently facilitated by ocean gliders. Gliders are autonomous underwa-103 ter vehicles that use internal buoyancy adjustements to dive and climb in the ocean in-104 terior (Jones et al., 2005) and can be set up to sample near-surface waters even in strong 105 winds (Fer et al., 2014; Peterson & Fer, 2014; Schultze et al., 2020). Measurements of 106 mean flow properties and turbulence-driven mixing from mounted sensors on gliders have 107 led to significant advances in understanding turbulence in the near-surface (St. Laurent 108 & Merrifield, 2017; Lucas et al., 2019), shelf seas (Schultze et al., 2017, 2020) and deep 109 ocean (Naveira Garabato et al., 2019). These extensive datasets can be combined with 110 results from one-dimensional turbulence models as GOTM (General Ocean Turbulence 111 Model, Umlauf and Burchard (2005)), to quantify the vertical transport of TKE (Eq. 1) 112 and the rates at which turbulence is produced or destroyed, and thus analyse shelf seas 113

stratification and mixing variability from wind and tides forcing (Rippeth et al., 2001;
Simpson et al., 2002; Rippeth et al., 2009; Becherer et al., 2022).

Here we present observations and model results of turbulent mixing in a coastal 116 ocean system, Te Moana O Raukawa - Cook Strait, the oceanic passage that separates 117 the two main islands of Aotearoa - New Zealand. Cook Strait is a relatively wide strait, 118 topographically complex, a portion of Greater Cook Strait, a region of considerable sub-119 mesoscale variability (Stevens, 2014; Jhugroo et al., 2020). Stevens (2018) sampled tur-120 bulent mixing in the region using a loose-tethered Vertical Microstructure Profiler (VMP, 121 Rockland Scientific Instruments) and showed high levels of dissipation rates (linear av-122 erage of $\epsilon = 2 \times 10^{-6} \,\mathrm{W \, kg^{-1}}$ in a low stratification $(1 \times 10^{-7} < N^2 < 1 \times 10^{-4} \,\mathrm{s^{-1}})$ 123 environment. This amounted to intense turbulence (peak of the Re_b distribution around 124 5×10^4) and high values of diapycnal diffusivity (K_z peaking close to $1 \,\mathrm{m^2 \, s^{-1}}$) (Osborn, 125 1980). Surprisingly, however intense the mixing and homogenization of the water col-126 umn, persistent stratification has been observed in the strait (Stevens, 2014). 127

This paper uses microstructure data from an ocean glider, background flow veloc-128 ities from an Acoustic Doppler Profiler (ADCP), wind records from weather stations and 129 one-dimensional model results to address the impacts of geophysical forcings on the spa-130 tio temporal variability of turbulent mixing in a tidal channel, Cook Strait. After intro-131 ducing the datasets and methodology (Section 2), presenting observations and models 132 (Section 3), we discuss how our results address the following questions: (Section 4.1) How 133 do the surface and bottom mixing-layers compare with one-dimensional paradigms? (Sec-134 tion 4.2) Does weak stratification in the strait act as a vertical barrier to mixing? (Sec-135 tion 4.3) Do dynamical instability and critical mixing efficiency drive elevated dissipa-136 tion rates? (Section 4.4) How does the mixing observed in Cook Strait compare with ob-137 servations from broadly similar systems? Finally we draw conclusions for this study and 138 outline future work (Section 5). 139

140 2 Methods

¹⁴¹ **2.1** Location

Cook Strait Narrows is an ideal location to study interacting boundary layers as 142 it experiences very fast tidally driven flows (e.g. flows as fast as $3.4 \,\mathrm{m\,s^{-1}}$ during spring 143 tides (Stevens et al., 2012)) and high winds (Vennell & Collins, 1991; Zeldis et al., 2013). The Narrows are on average 210 m and at most 350 m deep, 22 km wide, about 20 km 145 long (see Figure 1). Tidal currents are dominated by the M_2 constituent (Heath, 1986; 146 Vennell & Collins, 1991) as the elevation tide travels as a progressive wave around the 147 continental shelf of Aotearoa-NZ and cause a 140° phase difference between the $150 \,\mathrm{km}$ 148 distance separating the limits of Greater Cook Strait (Heath, 1978). Sea surface height 149 at both ends of a 40 km segment crossing the Narrows can be out-of-phase by 100°, and 150 is considered a non-divergent short channel (Vennell, 1998a, 1998b). Winds funnelled 151 through the strait are known to be strong as it was one of a few gaps in a mountain range 152 that spans over $\sim 1000 \,\mathrm{km}$ from the centre of the North Island to the southern edge of 153 the South Island (Vennell & Collins, 1991; Zeldis et al., 2013). While some systematic 154 differences were found between weather stations records at the eastern and western ends 155 of the narrows during southerlies winds, most likely caused by headland protection (Stevens, 156 2014), winds are predominantly along the North-South axis (Zeldis et al., 2013). 157

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2.2 Overview of the experiment

The analysis presented here was built on observations of 1) background flow conditions from a moored acoustic doppler current profiler (ADCP), 2) wind forcing from an atmospheric weather station (AWS), 3) turbulence from an ocean microstructure glider

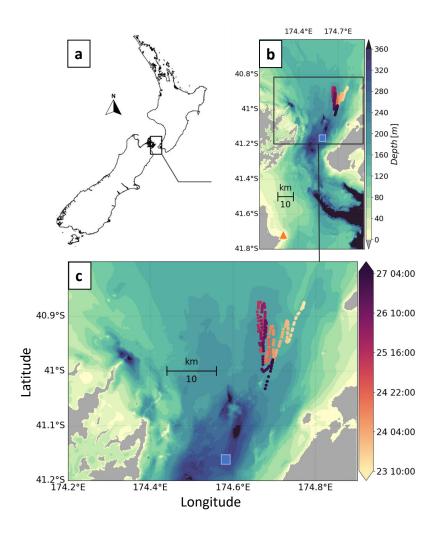


Figure 1. Maps of (a) Aotearoa - New Zealand; Location of (b) the ADCP (blue square marker), the Cape Campbell atmospheric sampling station (orange triangle marker), the glider surfacing locations (colored circular markers) and the topography of Te Moana o Raukawa - Cook Strait (colorbar); (c) a zoomed-in window showing the current profiler and the glider surfacing tracks coloured per time of the sampling window.

(OMG), and model results of 4) turbulence balance terms calculated using the General
 Ocean Turbulence Model (GOTM) calibrated using a set of observational constraints.

Mooring and glider observations were gathered between the 23 and the 27 June, 164 2020 as part of Project CookieMonster (acronym for Cook strait Internal Energetics MON-165 intoring and SynThEsis Research), using RV Kaharoa to sample north of the Cook Strait 166 narrows, broadly representative of the Greater Cook Strait region. The mooring assem-167 bly of an upward-looking ADCP (Nortek instruments) and a SBE 37 Conductivity-Temperature-168 Depth sensor (CTD, SeaBird Electronics) was deployed on the seabed in the Cook Strait 169 narrows $(174.5813^{\circ}E, 41.1651^{\circ}S)$ for 6 days spanning 22-27 June. The Ocean Microstruc-170 ture Glider (OMG) was deployed in central Cook Strait and completed a 20 day mis-171 sion, between the spanning 23 June -13 July. This study focuses on a first four day pe-172 riod (23-27 June) when the sampling was conducted in an area of $\sim 20 \,\mathrm{km} \times 20 \,\mathrm{km}$ sur-173 rounding the initial deployment site (see Figure 1). This region and period best repre-174

175 sented wind and tidally generated turbulence, and associated vertical and horizontal in-176 teractions.

177 **2.3 Mooring**

The upward facing ADCP sampled flow velocities at 1 Hz, in 5 m bins between 35 m 178 (surface bins unsampled due to side-lobe interference) and 295 m water depth (instru-179 ment at ~ 15 m above the seabed) (Valcarcel et al., 2022). Velocities were filtered us-180 ing an hourly first order low pass filter and decomposed into along and cross-strait com-181 ponents. Vertical shear was computed using the along and cross-strait velocity compo-182 nents respectively. The measurements from the CTD mounted underneath the ADCP 183 flotation casing was used to determine the precise depth of the seabed (311.5 m). Bot-184 tom stress was calculated as: 185

$$\tau_b = C_d \rho_b \|U_b\|^2 \,[\mathrm{N}\,\mathrm{m}^{-2}],\tag{5}$$

with the bottom drag coefficient $C_d \in [1 \times 10^{-3}; 2.5 \times 10^{-3}]$ (Vennell, 1998a; MacKinnon & Gregg, 2005a), ρ_b and U_b the deepest measurements above the bottom boundary layer of density and speed respectively.

189 2.4 Ancillary measurements

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Hourly atmospheric measurements from the Cape Campbell Atmospheric Weather Station, $\mathcal{O}(50 \text{ km})$ away from the area of interest were included in this analysis (Valcarcel et al., 2022). Along- and cross-strait components of wind speeds at 10m from the water surface were then estimated using a Hellman power law (Hellmann, 1919; Haas et al., 2021). Wind stress was calculated as:

$$\tau_w = \rho_{air} C_d U_{10}^2 \,[\mathrm{N}\,\mathrm{m}^{-2}],\tag{6}$$

where $\rho_{air} = 1.3 \text{ kg m}^{-3}$ was the air density, U_{10} was the wind speed at 10 m above the surface, and the associated drag coefficient was $C_d = 1.14 \times 10^{-3}$ if $U_{10} > 10 \text{ m s}^{-1}$ or $C_d = (0.49 + 0.065U_{10}) \times 10^{-3}$ if $U_{10} < 10 \text{ m s}^{-1}$ (Watanabe & Hibiya, 2002). Additionally, daily averages of satellite measurements of Sea Surface Temperature (SST) produced on a 0.01° grid (JPL MUR MEaSUREs Project, 2015) were used to assess the presence of surface fronts on the path of the OMG.

2.5 Glider-based turbulent microstructure

The sampling platform was an Autonomous Underwater Vehicle (AUV) (Slocum 202 Glider 2, Teledyne Webb), on which was mounted a MicroRider-1000EM turbulence pack-203 age (MR, Rockland Scientific Instruments) and a CTD (SeaBird Electronics) (O'Callaghan 204 & Elliott, 2022). The relatively novel Electro-magnetic (EM) sensor attached adjacently 205 to the shear probes on the nose of the MR allowed direct measurements of flow past the 206 sensors, and thus provided an indirect estimate of platform speed through the water. A 207 total of 257 profiles of depth of variables of state and microstructure shear and temper-208 ature are presented here. While starting on the 24/06/2020 both upcasts and downcasts 209 of microstructure measurements were recorded, CTD observations were only obtained 210 during dives during the mission to save power. Unsampled salinity during climbs were 211 determined using downsampled microstructure temperature measurements and the T-212 S relationship from each preceding dive. 213

²¹⁴ High frequency (512 Hz) measurements of the ~ 5 mm-scale orthogonal $(\partial w/\partial x, \partial v/\partial x)$ components of velocity shear in the reference frame of the glider were gathered ²¹⁶ using the two orthogonally-mounted airfoil shear probes of the MicroRider-1000EM pack-²¹⁷ age (O'Callaghan & Elliott, 2022). Estimates of turbulent kinetic dissipation rates ϵ and ²¹⁸ kinematic viscosity ν were computed using the Matlab codes developed by the manu-²¹⁹ facturer (RSI Odas library version 4.3.08), following the standard technical procedure of Lueck (2016). The isotropy of turbulence was assumed (the validity of which will be revisited in the Results) and implies that, for each probe, the dissipation rate of turbulent kinetic energy ϵ can be estimated from the shear spectra following Oakey (1982):

$$\epsilon = \frac{15}{2}\nu \left(\frac{\partial u_i}{\partial x}\right)^2 = \frac{15}{2}\nu \int_0^\infty \Phi(k)dk \; [\mathrm{W\,kg^{-1}}],\tag{7}$$

where $u_i = \{v; w\}$ were the velocity components orthogonal to the path of the glider in the *x* coordinate. Integration of shear spectra are computed in segments of 8 s with 4 s overlap and a 2 s Fast Fourier Transform segment length, yielding 5690 ϵ estimates with on average an estimate every 0.61 m.

A major challenge with glider-based microstructure is quantification of the sensor 227 speed through water. The EM sensor measurements permitted raw counts to be converted 228 into physical units of shear and frequency into wave number (k) for spectral analysis. The speed at which the vehicle moves through the water U was generally computed us-230 ing a flight model for the glider, given the pressure gradient and angle of attack of the 231 vehicle (Merckelbach et al., 2010, 2019). In this study, direct EM current measurements 232 of the speed past the sensors are used to quantify U. This has been shown to improve 233 by 10% the accuracy of shear-based ϵ estimates, where most U differences were attributed 234 to flow variability (Merckelbach et al., 2019), a bias presumably exacerbated in energetic 235 flows as is the case here. 236

Unreliable ϵ estimates were removed from the analysis if: (1) $U < 0.2 \,\mathrm{m \, s^{-1}}$ to 237 remove internal vibrations from the inflection procedure between dives and climbs (14.8%)238 of total points removed); (2) each probe estimate differ by an order of magnitude or more. 239 with the greater estimate being disregarded (QC3 of Scheifele et al. (2018); 1.5% of to-240 tal points removed); (3) $U < 5(\epsilon/N)^{1/2}$, that is the glider's speed is at least 5 times lower 241 than turbulent flow velocities, suggesting that Taylor's frozen field hypothesis is invalid 242 (Fer et al., 2014) (QC4 of Scheifele et al. (2018); 2.3% of total estimates removed). A 243 total of 43,300 reliable ϵ estimates are included herein. 244

245 **2.6** Mixing analysis

Conservative temperature CT, absolute salinity S_A , density ρ , potential temper-246 ature θ and potential density σ_{θ} were computed from *in situ* measurements of temper-247 ature and practical salinity using the Gibbs SeaWater TEOS-10 formulation 1 (Ioc et 248 al., 2010). Potential density profiles were re-ordered to be monotonically increasing with 249 depth, and used to compute the squared buoyancy frequency squared N^2 . For each pro-250 file, we identify the edges of the surface and bottom boundary mixing-layers (defining 251 a mid-layer analogous to a weak thermocline) using a potential temperature threshold 252 of $\Delta \theta = 0.05$ °C under the assumption that it was a reliable proxy for homogeneity within 253 a layer (Inall et al., 2021) as: $z_{top} = z(\theta > \theta_0 - \Delta \theta)$ [m] and $z_{bottom} = z(\theta < \theta_b - \Delta \theta)$ 254 [m] where θ_0 and θ_b were the shallowest and deepest points in the profile respectively. 255

The sites for the measurements of the vertical structure of the flow (ADCP), and 256 samples of the characteristics of stratification and dissipation (OMG) were separated by 257 27.6 km on average (between 19.7 and 35.9 km). In order to assess the link between the 258 vertical shear configuration, the dynamical stability of the water column and turbulent 259 mixing generation, we consider that tidal forcing dominates the flow and allows the two 260 datasets to be connected. Firstly, the tidal excursion length $L \equiv v_{peak} \cdot T/\pi \in [16.5; 20.6]$ km 261 quantifies the distance over which a fluid particle travels at peak flow speed ($v_{peak} \in$ 262 [1.2; 1.5] m s⁻¹) during one tidal cycle ($T \sim 12$ h), which was comparable to the aver-263 age distance between sampling sites. Secondly, the observed lag between depth-averaged 264

¹ https://github.com/TEOS-10/GSW-Python

along-strait flow speed measured by the ADCP and the glider was on average of 37 min-265 utes. This was more than twice yet of the same order of magnitude than the 16 min phase-266 lag inferred from (Vennell, 1998a), the difference arguably could be attributed to the fact 267 that the observations presented here come from a wider section of the strait where flows 268 were less constricted and the tidal wave travels slower. For those reasons, we assume that 269 the vertical flow structure at the OMG mission area can be inferred from the measure-270 ments of flow velocities in the ADCP site shifted by the measured 37 min lag. Addition-271 ally, the amplitudes of depth-averaged flow velocity were different between sites, the glider 272 measured flow speeds on average 73% of the amplitude of the ADCP measurements. Thus 273 we use scaled magnitudes of the ADCP measured speeds to estimate bottom stress mag-274 nitudes, drag laws and GOTM mean tidal amplitudes. 275

Profiles of N^2 were interpolated to the ϵ and ν samples to compute the buoyancy 276 Reynolds number that represents the intensity of turbulence (see Eq. 3). Similarly, to 277 complement the analysis in several Discussion points, profiles of velocity shear S^2 were 278 interpolated to match the profiles of N^2 and compute the gradient Richardson number 279 (see Eq. 4). Time-averaged profiles of the variables of mixing relative to a normalized 280 profile depth were displayed using the Seaborn library (Waskom, 2021) and correlation 281 coefficients were estimated using Spearman's rank formulation (Zwillinger & Kokoska, 282 2000), to discuss the mean impact on turbulent mixing of wind and tidal forcing, and 283 transient stratification in Section 4.1 and 4.2 respectively. 284

285 2.7 1D models of turbulence

286 2.7.1 Law of-the-wall

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Bottom (ϵ_b) and surface (ϵ_s) dissipation rates are estimated using

$$\epsilon_b = \frac{C_d^{3/2} U_{250}^3}{k z_{ab}}, \ \epsilon_s = \frac{C}{k z} \left(\frac{\tau_w}{\rho_0}\right)^{3/2} \ [\mathrm{W \, kg^{-1}}], \tag{8}$$

respectively. For bottom estimates, $C_d = 2 \times 10^{-3}$ (Vennell, 1998a) is the drag coeffi-288 cient, U_{250} the measurement of speed at 250 m depth (65 m away from the seabed, the 289 first bin of average depth away from bottom influence), k = 0.4 Von Kármán's coeffi-290 cient and z_{ab} the distance above the bottom (MacKinnon & Gregg, 2005b). For surface 291 boundary estimates, C = 1.76 is an empirical scaling factor for wind-induced surface 292 mixing (Lombardo & Gregg, 1989), k = 0.4 was Von Kármán's coefficient, z the depth, 293 τ_w the wind stress (see Eq. 6) and ρ_0 the reference density at the surface (MacKinnon 294 & Gregg, 2005b). 295

296 **2.7.2** GOTM

GOTM is a one-dimensional model that computes solutions for the vertical Reynolds-Averaged Navier–Stokes equation for momentum, and temperature and salinity transport equations. A choice of closure schemes are available to calculate turbulent tracer flux Umlauf and Burchard (2005); Umlauf et al. (2012). In this application we use the two equation $(k-\epsilon)$ model: solving for turbulent kinetic energy and a dissipative length scale (Canuto et al., 2001).

In order to understand general patterns of ϵ in a tidal- and wind-driven environ-303 ment, there was minimal tuning of model parameters, similar to the approach for Liv-304 erpool Bay (Rippeth et al., 2001; Simpson et al., 2002; Verspecht et al., 2009). Salinity 305 and temperature equations are solved, with a specified time-scale for relaxation to pre-306 scribed observations (interpolated to the GOTM timestep) (Rippeth et al., 2001; Simp-307 son et al., 2002; Verspecht et al., 2009). Mean flow variables (horizontal velocity com-308 ponents, pressure, salinity, temperature) and turbulence variables (most notably the terms 309 of the balance of turbulent kinetic energy equation: the rates of shear production P, buoy-310

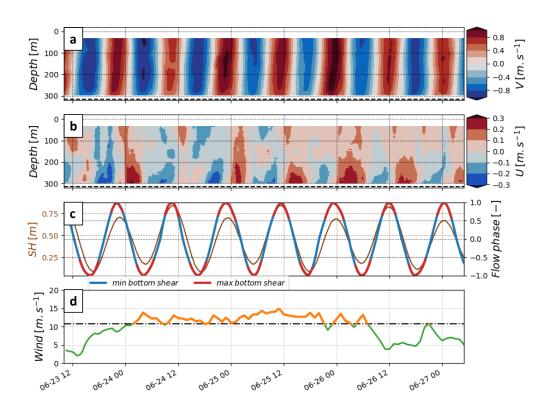


Figure 2. Large scale forcing of turbulent mixing at the study site, a combination of fast tidal flows and a strong wind perturbation. The figure shows depth-time series of (a) along and (b) cross-strait flow speeds (along the semi-major and semi-minor axes of the tidal ellipse respectively); time series of (c) sea height (brown axis and line) and along-strait tidal phase (red and blue mark maxima and minima in bottom shear respectively); time series of (d) Cape Campbell AWS wind speed at 10 m below (green) and above (orange) the 10.8 m s⁻¹ threshold (dashed line).

ancy production/destruction G and dissipation ϵ) were computed over 100 evenly-spaced 311 levels of a 193 m depth (maximum water depth in this subset of the OMG deployment) 312 with a $10 \,\mathrm{s}$ integration time step. Mean depth-averaged amplitudes of the dominant M2 313 tidal flows were used to force the external pressure gradient from tidal constituents. Air-314 sea interactions at the surface boundary were forced only by horizontal momentum fluxes 315 using wind stress time series input from Eq. 6. Seabed interactions at the bottom bound-316 ary were forced with a typical bottom roughness length input that entails a hydrody-317 namical drag coefficient $C_D \sim 2 \times 10^{-3}$. Temperature and salinity glider profiles were 318 interpolated to the GOTM timestep and used to force stratification. 319

320 3 Results

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3.1 Background conditions

3.1.1 Tidal forcing

Flow speeds through the strait were dominated by the semi-diurnal spring tides currents, with along- and cross-strait speed components in the $\pm 1.5 \,\mathrm{m\,s^{-1}}$ and $\pm 0.5 \,\mathrm{m\,s^{-1}}$ ranges respectively (see panels (a)-(b) of Figure 2). Flows were dominated by the alongstrait component, which axis was angled 7° from true North (not shown here). The cross-

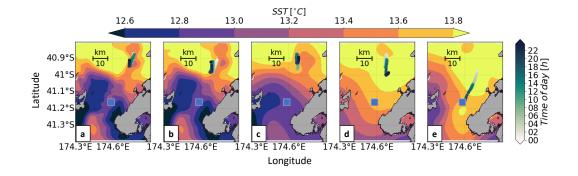


Figure 3. Overlay of OMG tracks and satellite-based SST measurements shows the connection between the observed "low density surface waters" and a larger scale surface temperature front. Maps of Te Moana o Raukawa - Cook Strait with satellite SST fields (horizontal colorbar), ADCP site (blue square marker) and OMG surfacings per time of day (circular markers, vertical colorbar) for the days (a) 23^{rd} , (b) 24^{th} , (b) 25^{th} , (b) 26^{th} , (b) 27^{th} of June.

strait component presents a clear tidal signal with velocities lower by a factor of 3 com-327 pared to the along-strait component, with distinctly more variability in the vertical struc-328 ture. Moreover, a phase progression of maximal along-strait axis over cross-strait axis 329 flow components was observed. This allowed most evidently for maximal cross-strait -330 eastward flows to happen during the transition from along-strait - northward to along-331 strait - southward peaks flows. Nevertheless, the mean vertical structure of shear was 332 similar for both the positive and negative along-strait primary components. Herein, we 333 focus on two flow phases of maximum and minimum bottom shear (on average $1.6 \times 10^{-5} \, \mathrm{s}^{-2}$ 334 and $3.9 \times 10^{-6} \,\mathrm{s}^{-2}$ respectively, in the deepest 20 m of the dataset), to understand the 335 impact of tidal flows on the mean near-bed structure of mixing (see Figure 2 (c) and Fig-336 ure 4 (a)). 337

338 3.1.2 Wind forcing

344

Wind speeds were estimated at 10 m above the water surface through the sampling period (see panel (d) of Figure 2). An event of strong Southwesterlies funnelled through the strait was detected, with wind speeds stronger than 10.8 m s^{-1} , a threshold used by Schultze et al. (2020) to characterize storm-like conditions and wind-induced surface mixing in a shallow stratified system.

3.1.3 Stratification

Density was dominated by temperature during the field experiment with occasional 345 warming of the upper half of the water column (panels (a) and (b) of Figure 4). Here 346 the focus was placed on analysing the variability of potential temperature θ . Weak strat-347 ification was observed through out the period with 12.5 < θ < 14 $^{\circ}\mathrm{C}$ and top to bot-348 tom differences of $\Delta \theta < 1$ °C and $N^2 < 5 \times 10^{-5} \,\mathrm{s}^{-2}$ within a profile (3 × 10⁻⁹ < 349 $N^2 < 5 \times 10^{-5} \,\mathrm{s}^{-2}$ over the full period). Boundary limits of a weak thermocline-like 350 mid-layer can be identified during most of the period with mid water column temper-351 atures warmer (colder) by $\Delta \theta = 0.05$ °C from the reference surface (bottom) temper-352 ature, as represented by the black (red) lines in Figure 4. On average, the maximum depth 353 of surface mixing-layer and the minimum depth of the bottom mixing-layer were 43 m 354 and 106 m respectively. A mid-layer of 125 m and 63 m for maximum and mean thick-355 ness, respectively, was evident. At the top and bottom edges of the mid-layer the buoy-356 ancy frequency squared was on average 3×10^{-6} and 1.8×10^{-6} s⁻² respectively, both 357

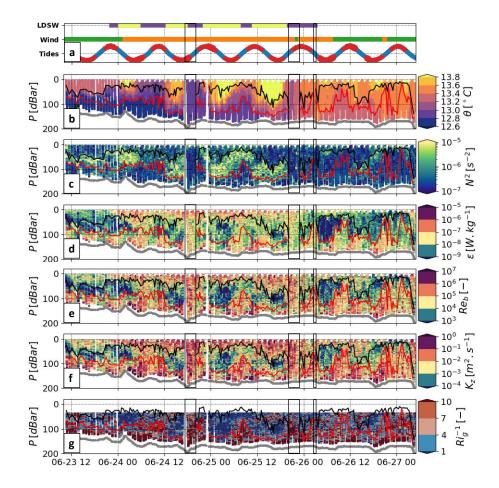


Figure 4. Variability of turbulence-driven mixing in a temperature-layered water column. Panel (a) shows the profile averaging bins for the low density surface waters (LDSW) events (purple and yellow top line), wind speed (green and orange middle line), tidal shear (red and blue bottom sinusoid). Panels (b)-(g) show the depth time series of Ocean Microstructure Glider observations of (b) potential temperature θ ; (c) buoyancy frequency squared N^2 ; (d) dissipation rates ϵ ; (e) turbulence intensity Re_b ; (f) diapycnal diffusivity K_z ; (g) dynamical stability Ri_g^{-1} . For the (b)-(g) panels, the black (red) lines indicate the top (bottom) edge of the mid-layer and the thick black dashed line indicates the seabed. For all panels the black rectangles mark full water column homogeneity.

higher by factors of 2.7 and 2.9 than the mean in the respective adjacent mixing-layer. 358 Observations show relatively high values of $N^2 > 1 \times 10^{-6} \,\mathrm{s}^{-2}$ within the mid-layer 359 limits during most of the campaign. Mean N^2 was higher in the mid-layer by factors of 360 2.3 and 4.1 than the mean buoyancy frequency in the surface and bottom mixing-layers, 361 respectively. Nevertheless, episodes of quasi-homogeneity were observed, when the dif-362 ference between top and bottom temperature in the profiles were less than the mid-layer 363 delimitation threshold (dark rectangles in Figure 4), associated with relatively weak strat-364 ification with $N^2 < 1 \times 10^{-6} \,\mathrm{s}^{-2}$ throughout the water column. 365

Several occurrences of notable low density (high temperature) surface waters that extended from the surface down to ~ 100 m were observed (Figure 4(b)). The vertical extent and duration of those low density surface waters increase with each occurrence until the whole water column appears well mixed at the end of the represented time pe-

riod. Pockets of relatively strong stratification $N^2 > 1 \times 10^{-6} \,\mathrm{s}^{-2}$ were detected at the 370 deep edges of the low density surface waters, marked by sharp gradient of temperature-371 density. The last 36 h of the campaign (from the last hours of the 25^{th}) were character-372 ized by mostly low values of $N^2 < 3 \times 10^{-6} \,\mathrm{s}^{-2}$ representing weak stratification and a 373 well-mixed water column. Strengthened stratification associated with the presence of low 374 density surface waters in the dataset caused a second peak at $N^2 \sim 1 \times 10^{-5} \,\mathrm{s}^{-2}$ in 375 the distribution of buoyancy frequencies within the mid-layer (see panel (a) of Figure 4). 376 These relatively higher temperature events were likely related to the path of the glider 377 crossing a surface front several times, as daily averaged SST fields reveal a trend of warm 378 surface waters from Greater Cook Strait being advected southward through the narrows 379 (see panel (c) of Figure 3) a pattern consistent with the observations of Stevens (2014). 380 While the overall top to bottom density gradients were weak (differences of the order of 381 $0.05 \,\mathrm{kg}\,\mathrm{m}^{-3}$), N^2 levels in the mid-layer (i.e. the deep edge of the low density surface wa-382 ters) were up to 2 orders of magnitude larger than in the surface and bottom mixing-383 layers. 384

385 3.2 Turbulence

3.2.1 Dissipation rates

Evolution of the rate of dissipation of turbulent kinetic energy ϵ for four days is 387 shown in Figure 4(c). There was evidence of the intensification of surface mixing within 388 the upper ~ 50 m, mostly confined to the surface mixing-layer (defined above). Elevated 389 values of TKE dissipation rates predominantly $> 1 \times 10^{-7} \,\mathrm{W \, kg^{-1}}$ were observed within 390 the surface layer, and high dissipation rates of $\epsilon > 1 \times 10^{-6} \,\mathrm{W \, kg^{-1}}$ were also episodi-391 cally detected in the first 10 m. Bottom-driven turbulent mixing pulses that follow the 392 semi-diurnal tidal $\sim 6 h$ frequency were also observed. Predominantly, these ascend-393 ing patches of elevated dissipation with $1 \times 10^{-7} < \epsilon < 1 \times 10^{-4} \,\mathrm{W \, kg^{-1}}$ originate from 394 the seabed and propagate upward in the water column, mostly within the bottom mixing-395 layer extending up to 75 m from the seabed. In the mid-layer lower levels of dissipation 396 were observed, however with several episodes of elevated values of dissipation $(1 \times 10^{-7} <$ 397 $\epsilon < 1 \times 10^{-6} \,\mathrm{W \, kg^{-1}}$). The definition of the layer breaks down during most of those 398 episodes, and low levels of buoyancy frequency, a high degree of temperature homogene-399 ity and relatively high values of dissipation are observed throughout the water column 400 (see black rectangles in Figure 4). 401

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386

3.2.2 Mixing parameters

Intense turbulence as quantified using the buoyancy Reynolds number Re_b , the ra-403 tio of energy dissipation ϵ and the strength of stratification N^2 (see Eq. 3), as shown in panel (d) of Figure 4. Values spanning over 8 orders of magnitude were measured, with 405 $2 < Re_b < 7 \times 10^8$ indicating intense turbulence mixing. Scenarios where (i) low dissi-406 pation rates overcoming a very weak stratification background or (ii) strong dissipation 407 overpowering a relatively strong stratification strength produce intense turbulence. Sev-408 eral periods of more than 3 hours of fully turbulent water column with $Re_b > 10^4$ were 409 observed, with 4 instances matching the weakly stratified - relatively high dissipation episodes 410 when a mid-layer was not detected anymore. 74% of the dataset was characterized by 411 $Re_b > 1 \times 10^4$ with 80 very high values of $Re_b > 10^7$ also detected, from both bottom 412 and surface driven mixing. Out of those periods, the mid-layer region was mainly char-413 acterized by relatively low intensity with 84% of $Re_b < 10^5$. 414

Elevated levels of vertical diffusivity of mass K_z , computed using Eq. 2 the Osborn (1980) formula with a constant coefficient for the efficiency of mixing $R_f/(1-R_f) =$ 0.2 (i.e. $R_f = 0.17$), were observed throughout the dataset (see panel (f) of Figure 4). Because ν the kinematic viscosity of seawater was almost constant ($\nu \in [1.2; 1.3] \times 10^{-6} \text{m}^2 \text{s}^{-1}$), K_z was proportional to Re_b by a factor of $\sim 2.5 \times 10^{-7}$ and thus also shares the same features of variability. While portions of the mid-layer show moderate levels of diapycnal diffusivity and K_z can be as low as $8.2 \times 10^{-7} \text{ m}^2 \text{ s}^{-1}$, 92% of the dataset was in a regime of vertical diffusivity an order of magnitude or more higher than $3 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$, the global average for the upper 1000 m of the ocean (Waterhouse et al., 2014). Highest ϵ values were found near the seabed, where the elevated pulses of ϵ were met with very weak levels of stratification.

Weak stratification $(Ri_g^{-1} > 1)$ and conditions for shear instability $(Ri_g^{-1} > 4)$ were observed (Figure 4(g)). 52% of observations were weakly stratified and 35% were greater than the critical value of 4 for shear instability. Most super-critical values were detected near the seabed but small subsets were also detected higher up in the water column, as high as 35.5 m below the surface.

3.2.3 Vertical structure of mixing

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The levels of dissipation were observed to vary by over 7 orders of magnitude be-432 tween 2.3×10^{-11} and $2.7 \times 10^{-5} \text{W kg}^{-1}$. Around 70% of measurements were above 433 $1 \times 10^{-8} \,\mathrm{W \, kg^{-1}}$ indicating relatively strong levels of energy dissipation for a coastal 434 system (Figure 5(a)-(b)). On average, ϵ decreased by more than one order of magnitude 435 between the surface mixing-layer and the top of the mid-layer, with the sharpest decrease 436 observed in the first 10% of the water column (Figure 5(a)). Similarly, dissipation rates 437 decrease with distance from the seabed in the bottom mixing-layer, by more than one 438 order of magnitude between deepest and shallowest depths. Overall dissipation values 439 are similarly distributed between surface and bottom mixing-layers, with $\hat{\epsilon}$ of $2.9 \times 10^{-8} \,\mathrm{W \, kg^{-1}}$ 440 and $3.8 \times 10^{-8} \,\mathrm{W \, kg^{-1}}$ respectively, and 26% and 27% number of samples of $\epsilon > 1 \times$ 441 $10^{-7} \,\mathrm{W \, kg^{-1}}$ respectively (Figure 5(b)). Relatively lower levels of dissipation were ob-442 served in the mid-layer with 89% of values below $1 \times 10^{-7} \,\mathrm{W \, kg^{-1}}$ and a mean of $1.1 \times$ 443 10^{-8} W kg⁻¹, 2.7 to 3.5 times lower than the surface and bottom mixing-layers mean val-444 ues respectively, with depth average values decreasing only minimally with depth. 445

Differences in behaviour of the buoyancy frequency squared within each layer were 446 highlighted in averaged profiles and distributions in panels (c)-(d) of Figure 5. The sur-447 face layer exhibited a relatively stable configuration with values oscillating around a mean 448 of $N^2 = 3.6 \times 10^{-7} \,\mathrm{s}^{-2}$, outside a sharp increase towards the surface with relatively 449 high first percents of the normalized water column. The bottom layer was characterized 450 by more variability with depth and a narrower distribution of values around a lower mean 451 of $2.7 \times 10^{-7} \,\mathrm{s}^{-2}$. The mid-layer was characterized by a wider distribution with two peaks 452 around a mean of $8.4 \times 10^{-7} \, \text{s}^{-2}$, 2.4 and 3.1 times higher than in the surface and bot-453 tom mixing-layers respectively. 454

The intensity of turbulence reflects the ratio of the evolution with depth of dissi-455 pation and buoyancy frequency squared (Eq 3). The first and last 25% of the water col-456 umn exhibit high values with mean $Re_b > 5 \times 10^4$ (Figure 5(e)). Re_b decreases by al-457 most an order of magnitude from each mixing-layer to a mean of 1.1×10^4 in the mid-458 layer. Interestingly, elevated average values of N^2 near the boundaries attenuate the steep 459 increase of dissipation towards the limit, so that the trend reverses for a few percents 460 of the water depth near each boundary. While distributions in both mixing-layers are 461 similarly log-normal, the mean in the bottom layer of 1.2×10^5 is 1.73 higher than in 462 the surface layer, reflecting the larger number of $Re_b > 10^5$ samples in the bottom layer 463 where notably lower levels of N^2 were detected (Figure 5(f)). Both layers show much 464 higher numbers of samples of intense mixing with $Re_b > 10^5$ (44% and 53% of points 465 in the surface and bottom mixing layer respectively) than in the mid-layer (16%). Ad-466 ditionally, while 98.9% of total samples were $Re_b < 1 \times 10^2$, a larger number of val-467 ues $< 1 \times 10^2$ were observed within this layer (2.7% of samples compared to 0.4% and 468 none in the surface and bottom layers respectively). 469

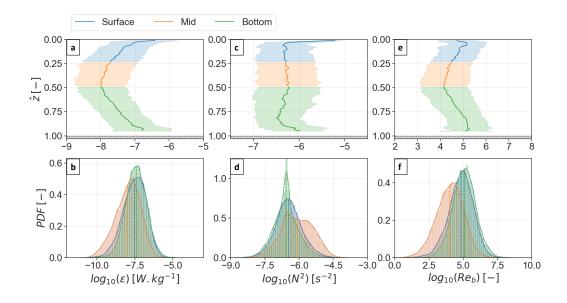


Figure 5. Intense mixing from elevated dissipation and weak stratification in boundary mixing-layers and relatively less active mixing from stronger stratification and lower dissipation rates in the mid-layer. Log-averaged profiles along normalized water column (\hat{z} , top row) and probability density function (*PDF*, bottom row) of (a)-(b) dissipation rates ϵ , (c)-(d) buoyancy frequency squared N^2 and (e)-(f) turbulence intensity Re_b . For all panels, blue indicates the surface mixing-layer, orange the mid-layer and green the bottom mixing-layer. In the top row, the dark lines indicate the mean values and the lighter envelope show the ± 1 standard deviation intervals. In the bottom row, the solid lines indicate the curve fit of the underlying lighter coloured histograms, and the dashed lines mark the mean value of each distribution.

470

3.3 Comparison with modelled turbulence distributions

Elevated dissipation rates were observed in the surface and bottom mixing-layers (Figure 5(a)). Comparisons to one-dimensional water column models of steady boundary stress -driven dissipation (the law of-the-wall) and of the transport equations of momentum, salt and heat with resolved turbulent fluxes (GOTM) were undertaken in order to evaluate relative roles and scales.

476

3.3.1 Law of the wall

Temporal variability of elevated near-bed dissipation rates was well represented by 477 one-dimensional models. However, differences in magnitude and vertical variability were 478 observed (Figure 6(a)-(b)). While the deepest estimates were within one or two orders 479 of magnitude of the observed rates, the rate at which ϵ decreases away from the bound-480 ary was underestimated by 1D models. Notably, the upward plume-like propagation of 481 dissipation described in Section 3.2.1, a characteristic feature of the bottom mixing-layer 482 observations is absent in the steady model. Furthermore, the law overestimates dissipa-483 tion rates almost everywhere in the surface layer, as shown in panels (a)-(b) of Figure 6). 484 Both the magnitudes and rate at which ϵ decreases with depth were overestimated, ex-485 cept for the weakest winds periods in the dataset (for example, when the wind speed was 486 less than $5 \,\mathrm{m\,s^{-1}}$ at the beginning of the sampling window before 2pm on the 23 and be-487 tween 11am and 1pm on the 26, see Figure 2(d)). Very high values of ϵ (>1×10⁻⁵ W kg⁻¹) 488 were estimated for the first few percents of the normalized water column, which matches 489

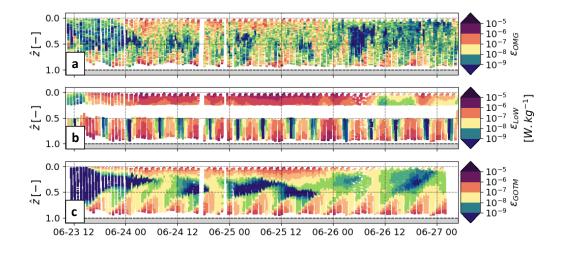


Figure 6. One-dimensional models of dissipation rate qualitatively match some aspects of the variability in the observations and compare well quantitatively to some portions of the observations. Depth-time series of dissipation rates ϵ as (a) microstructure (OMG) observations; (b) law of-the-wall (LoW) estimates; (c) turbulence model (GOTM) estimates.

some of the observed surface values. Interestingly, elevated wind-driven dissipation rates
 from the law extend outside of the observed high wind period.

3.3.2 GOTM

492

GOTM estimates of dissipation rate compared reasonably well with observed mag-493 nitudes and variability of ϵ (Figure 6(a)&(c)). Broadly, surface-driven dissipation was 494 within an order of magnitude of the observed ϵ . The exception was during the weak winds 495 forcing in the strait (e.g. 23 June) where surface points are systematically overestimated 496 by the model. Bottom-driven dissipation was also within two orders of magnitude of ob-497 served ϵ . Largest differences were typically observed near the seabed outside of the pulses 498 of elevated rates. The upward propagation of dissipation was qualitatively well repre-499 sented, which was a clear improvement from the steady state depiction by the law of-500 the-wall. The connection in the middle of the water column of surface and bottom driven 501 turbulence was the least well described feature of the observations, the vertical extent 502 of the elevated surface and bottom driven mixing envelopes were mostly underestimated, 503 with much lower estimates observed in the middle of the water column. This was most 504 evident during the periods of water column homogeneity marked by the black rectan-505 gles, where the estimates show a separation between surface and bottom driven elevated 506 dissipation that was not observed in the measurements. 507

508 4 Discussion

Turbulence in a weakly-stratified, energetic strait was examined using autonomous profiling observations and a one-dimensional model of turbulent kinetic energy transport. The intersection of tidally and wind -driven turbulence resulted in high levels of dissipation and weak stratification within boundary mixing layers, separated by a relatively less active mid-layer. In order to quantify the impact of background conditions on the vertical structure of turbulence as well as the differences between observations and model outcomes to study the processes involved in production/destruction of TKE, we anal-

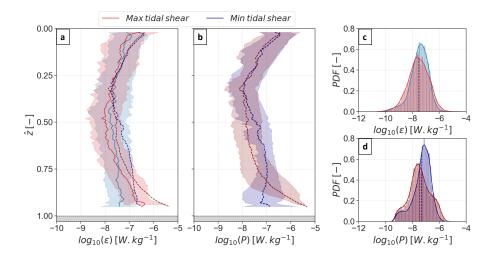


Figure 7. Two regimes of shear-driven dissipation rates in the bottom mixing-layer, compared to GOTM estimates of dissipation and shear production. (a) log-averaged profiles along normalized depth (\hat{z}) of dissipation rates ϵ from the observations (light-colored continuous lines, with ±1 standard deviation interval shaded), and GOTM estimates (dark-colored dashed lines). (b) log-averaged profiles along normalized depth (\hat{z}) of GOTM estimates of shear production rates P (dark-colored dashed lines, with ±1 standard deviation interval shaded). Probability density function (*PDF*) of observed ϵ (c) and GOTM estimates of P (d) in the bottom mixing-layer.

yse subsets of time-averaged data using thresholds on tidally-driven bottom shear, wind speed and surface density variability (Figure 4(a)).

518 519

4.1 How do the surface and bottom mixing-layers compare with onedimensional paradigms?

520 4.1.1 Tidally driven mixing

Evidence of the interaction of tidal flows with the seabed, associated with bottom 521 drag and enhanced vertical shear, driving TKE dissipation in the bottom mixing-layer 522 is shown in Figure 7(a)&(c) and Figure 9. Maximum shear regime drive weaker ϵ aver-523 age levels than the minimum shear regime in the shallower portion of the bottom layer 524 $(0.5 < \hat{z} < 0.6)$, increasing by an order of magnitude to $\epsilon = 2.2 \times 10^{-7} \,\mathrm{W \, kg^{-1}}$ (3.4) 525 times higher than for the minimum shear regime) in the deepest depth averages ($\hat{z} >$ 526 0.9). This further represents the pulses of upward propagation of TKE and subsequent 527 dissipation, an expected feature in tidally forced bottom mixing-layers (Schultze et al., 528 2017, 2020). The sevenfold increase in the number of $\epsilon > 1 \times 10^{-6} \,\mathrm{W \, kg^{-1}}$ values ob-529 served in the maximum versus minimum shear regimes may provide further indication 530 of the detection of low-maturity dissipation events (Smyth et al., 2002; Gregg et al., 2017). 531 Strong rank correlation (> 0.5) between shear or bottom stress and dissipation rates 532 where $\hat{z} > 0.85$ or > 0.9 respectively, consistent with MacKinnon and Gregg (2005b), 533 is additional evidence of turbulence enhancement from flow-seabed interaction. 534

GOTM overestimates average dissipation in the bottom boundary-layer by up to an order of magnitude in either regime, however GOTM ϵ are within one standard deviation of the observations (Figure 7(a)). As noted, GOTM reproduces the upward propagation of the bottom dissipation pulses, which appears in the crossing of the regime averaged ϵ profiles. The average depth at which this happens was 7% deeper in the wa-

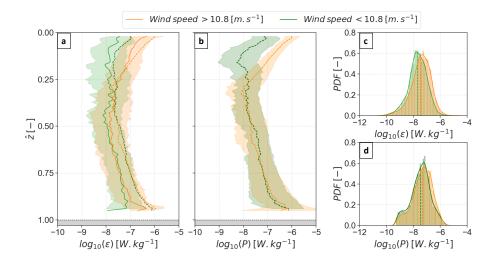


Figure 8. Two regimes of wind-driven dissipation rates in the surface mixing-layer, compared to GOTM estimates of dissipation and shear production. (a) log-averaged profiles along normalized depth (\hat{z}) of dissipation rates ϵ from the observations (light-colored continuous lines, with ±1 standard deviation interval shaded), and GOTM estimates (dark-colored dashed lines). (b) log-averaged profiles along normalized depth (\hat{z}) of GOTM estimates of shear production rates P (dark-colored dashed lines, with ±1 standard deviation interval shaded). Probability density function (*PDF*) of observed ϵ (c) and GOTM estimates of P (d) in the surface mixing-layer.

ter column in the model estimates, suggesting that the speed at which TKE propagates 540 upward and dissipates was underestimated by the model. Modelled shear production of 541 TKE P seems to be the major source of TKE in either shear regime in the deepest points 542 of the layer $(\hat{z} > 0.75)$, as P/ϵ ratios are on average 34 (16) and 30 (11) higher than 543 G/ϵ for observed and modelled ϵ respectively in the maximum (minimum) shear regime 544 (Figure 7(b)-(c)). This suggests that convective motions is not playing a major role in 545 the tidal cycle of stratification and dissipation through buoyancy production of TKE in 546 our observations (Simpson et al., 2002). Interestingly, the depth away from the seabed 547 at which P becomes lower in the maximum versus minimum shear regime (i.e. the in-548 dication of the rate at which pulses propagate upward in the water column) is higher than 549 for modelled ϵ , further indicating that the vertical transport of TKE is underestimated 550 in the model. Model underestimates of energy dissipation can impact the accurate rep-551 resentation of vertical fluxes within the bottom mixing-layer, having documented impli-552 cations for nutrient transport in stratified shelf seas subsurface (Becherer et al., 2022). 553

554 4

4.1.2 Wind driven mixing

Wind stress drives substantial mixing in the upper half of the water column, down 555 to the bottom mixing-layer (Figure 8(a)). High winds induce elevated dissipation rates 556 in the surface mixing layer, with higher average by an order of magnitude and 20 times more numerous values of $\epsilon > 1 \times 10^{-6} \,\mathrm{W \, kg^{-1}}$ (Figure 8(c)). A significant shift in dis-557 558 tributions between high and low winds is also observed in the middle (surface) layer, with 559 2 (4) times higher mean ϵ . Schultze et al. (2020) observed a consistent increase during 560 a storm (wind speeds > 10.8 m s⁻¹) of similar duration (~ 2 days) in a ~ 4 times shall 561 lower shelf sea water column, associated with an overall 67% increase in surface mixed 562 layer chlorophyll-a fluorescence. Although the enhanced thermocline turbulence was forced 563 by $\sim 25\%$ faster maximal winds and resulted in 5 times higher mean ϵ , their study sug-564

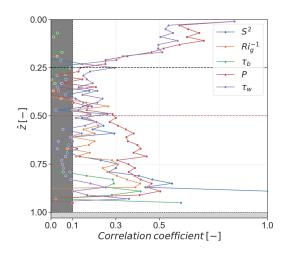


Figure 9. Strength of the relationship as rank correlation coefficients between ϵ and colorcoded variables along the normalized water depth \hat{z} . Dark grey shaded area indicates weak correlation, light grey indicates the seabed. Black and red dashed lines indicate the average top and bottom edge of the mid-layer, respectively.

gests a comparable impact on chlorophyll-a transport and primary production in our system. Additionally, observed ϵ were strongly (> 0.5) and moderately (> 0.3) correlated to wind stress in the surface mixing-layer, in the first 15% and 20% of the normalized water column, respectively (see panel (d) of Figure 8). This is consistent with MacKinnon and Gregg (2005b) even though the sampled water column was 50% shallower in our study and with the caveat that our observations only span one high winds event.

Average GOTM ϵ estimates were in agreement with the glider ϵ and, within a stan-571 dard deviation through the surface mixing-layer and parts of the mid-layer for both wind 572 regimes (Figure 8(a)). Disagreements between observed and modelled $\hat{\epsilon}$ were found from 573 the surface down to the first half of the average mid-layer ($\hat{z} < 0.35$), where GOTM 574 underestimates dissipation by up to an order of magnitude for the low winds regime. How-575 ever, while GOTM underestimates weak wind-stress driven dissipation everywhere in the 576 surface mixing-layer but the shallowest percents, GOTM overestimates dissipation in the 577 strong wind tress regime down to 3/4 of the surface mixing-layer. Shear production is 578 strongly > 0.5 correlated to observed dissipation in the first 2/3 of the surface mixing-579 layer (Figure 9), which echoes the very similar means and distributions observed between 580 both variables in the high winds regime, and the differences present in the last third of 581 the layer (Figure 8). Interestingly, in the weak winds regimes for $\hat{z} > 0.15$ in the layer, 582 P is higher by up to an order of magnitude than modelled ϵ while buoyancy production 583 (G > 0) and destruction (G < 0) are only 6 and 2 times lower on average than P, sug-584 gesting a more important role for convective-driven turbulence and re-stratification in 585 the layer than in the bottom mixing-layer. 586

587 588

4.1.3 Influence of horizontal gradients on the vertical structure of mixing

The vertical structure of P estimates matches the vertical structure of observed ϵ in both boundary mixing-layers, and buoyancy production plays a relatively minor role (Figure 7-8). Depth averaged dissipation rates from observations and model output are within ± 0.4 and ± 0.3 orders of magnitude of modelled total production (P+G) respec-

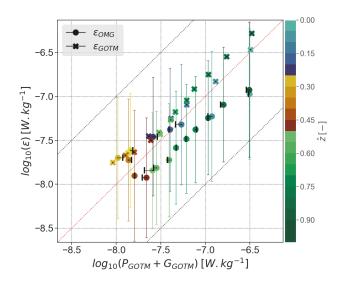


Figure 10. Total production estimates from GOTM compare well to observed dissipation rates, especially near the boundaries of the normalized water column. The figure shows colorcoded scatter of normalized depth (\hat{z}) averaged values between estimates of total production from GOTM (P+G) and observed dissipation ϵ (circular markers with standard deviation error bars) or ϵ estimates from GOTM (cross markers). Black bars indicate the average values of shear production P alone.

tively, suggesting relative steady balance between production and dissipation (Figure 10). 593 the hypothesis used to formulate Eq. 2 (Osborn, 1980; Gregg et al., 2017; Polzin & Mc-594 Dougall, 2022). To note here, the average contribution of G through production (G > C)595 0) and destruction (G < 0) of TKE varies with depth and affects each layer differently 596 (Figure 10). While G > 0 in the larger portions of both surface and bottom mixing-597 layers, two depth bins at the base of the surface mixing-layer show elevated negative G598 values, potentially linked to the observed G < 0 in the mid-layer. Furthermore, most 599 of the observed dissipation in the water column is lower that total production while the 600 deepest levels of the surface mixing-layer and the shallowest levels of the mid-layer show 601 observed dissipation higher that total production. This pattern is not present with the 602 modelled ϵ where viscous and turbulent vertical transport is resolved, indicating 3D com-603 plexity not represented in a 1D model. 604

The influence of submesoscale horizontal variability in Greater Cook Strait on bound-605 ary mixing layer interactions in the vertical can be discussed. Evidence of a SST front 606 advected $\sim 40 \,\mathrm{km}$ south during 25-27 June is shown here (Figure 3). Furthermore, at 607 the submesoscale in Greater Cook Strait, surface mixed layer baroclinic instabilities and 608 fronts of typical length scale 0.1 - 1.6 km can have an advection timescale of 0.2 - 8 h 609 (Jhugroo et al., 2020), comparable to the 6h period of tidal generation of bottom bound-610 ary layer in a strait. These features have been shown to strengthen vertical stratifica-611 tion to $\mathcal{O}(1 \times 10^{-4} \, \mathrm{s}^{-2})$, reduce mixed-layer depth and decrease diapycnal mixing (Jhugroo 612 et al., 2020). Moreover, the interaction of surface momentum fluxes and horizontal gra-613 dients can affect advection patterns in Greater Cook Strait (Jhugroo et al., 2020) and 614 wind-driven mixing through wind straining in broadly similar systems (Verspecht et al., 615 2009). To note, static approximations of large scale horizontal gradients of $\theta - S_A$ can 616 be prescribed to GOTM and notably analyse the impact of tidal straining on the strat-617 ification cycle (Rippeth et al., 2001; Simpson et al., 2002). Nevertheless, the choice has 618

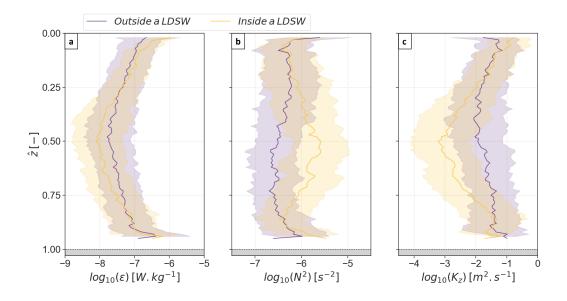


Figure 11. Low density surface waters in the dataset linked to a surface front of high SST advected through the narrows, isolates bottom and surface mixing-layers by significantly reducing the vertical diffusivity of mass. Panel (a) shows average profiles with normalized depth (\hat{z}) of the canonical vertical diffusivity of mass (K_z) during a low density surface waters event or not (yellow or purple respectively) as indicated in panel (a) of Figure 4. In panel (a), the dark lines indicate the mean values and the lighter envelope show the 95% confidence intervals. Panel (b) show the *PDF* of diapycnal diffusivity according to each bin, where the solid lines indicate the curve fit of the underlying lighter coloured histograms, and the dashed lines mark the mean value of each distribution.

been made here to not prescribe static gradients, as they would fail to represent the doc umented higher degree of variability of horizontal gradients in Cook Strait (Stevens, 2014,
 2018; Jhugroo et al., 2020).

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4.2 Does the stratification in the mid water column act as a vertical barrier to mixing?

Mean flows in Cook Strait have been described as weakly stratified (Stevens, 2014, 624 2018), however, transient stratification can inhibit turbulence-driven mixing in the mid 625 water column and isolate surface from bottom driven diapycnal mixing (Figure 11). For 626 $0.25 < \hat{z} < 0.8$, while ϵ was 1.7 times weaker, N^2 was 4.2 stronger, translating to 7.3 627 times weaker K_z low density surface waters events. The largest difference was at the av-628 erage interface depth between bottom mixing-layer and mid-layer ($\hat{z} \sim 0.5$) with 15.7 629 times lower diffusivity. GOTM results show the role of the base of the surface layer and 630 the major portion of the mid-layer where G negatively affects total production and de-631 stroys turbulence (Figure 10). Becherer et al. (2022) similarly observe G < 0 at the base 632 of the pycnocline (i.e. a relatively different definition for a mid-layer) and highlight the 633 combined roles of P and vertical transport of TKE to balance observed dissipation rates. 634 Moreover, 18.1 times more elevated buoyancy destruction of TKE (G < 0) balancing 635 P estimates of the same order $\sim 3 \times 10^{-10} \,\mathrm{W \, kg^{-1}}$ inside a LDSW as opposed to out-636 side where P outweighs G < 0 by a factor of 4 (not shown here). This highlights the 637 insulating role of transient stratification, as full water column intense mixing (Re_b > 638 1×10^4) and dynamical instability $(Ri_q^{-1} > 4)$ throughout the mid water column are 639

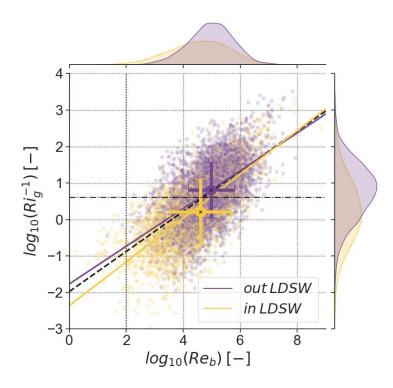


Figure 12. Presence of low density surface waters stabilizes the mean flow and reduces turbulence intensity. Scatter plot and marginal distribution envelopes of turbulence intensity (Re_b) against dynamical stability (Ri_g^{-1}). Regression lines for the full dataset (black, dashed), in (yellow, continuous) and out (purple, continuous) of LDSW are indicated. Horizontal and vertical dashed lines indicate the critical value for dynamical stability $Ri_g^{-1} = 4$ and the transitional value towards isotropic turbulence $Re_b = 1 \times 10^2$, respectively.

only evident outside the episodes of strengthened mid water column stratification. Con-640 spicuously, on three occasions (first three black rectangles in Figure 4) the mid-layer def-641 inition breaks down and each boundary-driven mixing entrains TKE in the relatively weakly 642 stratified mid water column and sustains diapycnal mixing. Transient stratification in 643 Cook Strait thus affects the vertical transport of TKE generated in the bottom and surface mixing-layers into the mid-layer. It is thus likely to have an unexpected, major im-645 pact on local diffusive fluxes of mass and arguably of trace gases (Peeters et al., 1995), 646 suspended sediments (Zulberti et al., 2020) and nutrients (Williams et al., 2013; Becherer 647 et al., 2022) across isopycnals. 648

Transient stratification from the intensified gradients of density associated with the 649 presence of low density surface waters stabilizes the mean flow, and is coupled with re-650 duced dissipation rates and turbulence intensity (Figure 12). Median (mean) Ri_q^{-1} is re-651 duced by a factor of 4 (3.5) and thus becomes sub-critical when LDSW are detected com-652 pared to when they are not. Jointly, median (mean) turbulence intensity is reduced by a factor of 2.4 (2.6), with similar power-law fits of $Ri_g^{-1} = 0.017 Re_b^{0.52}$ and $Ri_g^{-1} = 0.004 Re_b^{0.6}$ 653 654 for samples outside or inside a LDSW event, respectively. Moreover, in the mid-layer, 655 a small subset of samples show low intensity levels with $Re_b < 1 \times 10^2$, indicating anisotropic 656 mixing (Shih et al., 2005; Smyth et al., 2002), see Figure 5 and Section 3.2.3. The small 657 number of anisotropic turbulence samples supports the hypothesis for Eq. 7, however their 658 presence suggests that fluid particles in the mid-layer were in the transitional regime, 659 in which turbulence was not fully isotropic but was active enough to mix stratification 660

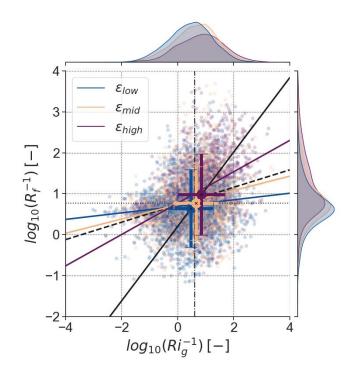


Figure 13. Elevated observed dissipation rates linked to the state of criticality of both the observed mean flow dynamical stability (Ri_g^{-1}) and the modelled mixing efficiency (R_f^{-1}) . Scatter plot and marginal distribution envelopes of dynamical stability (Ri_g^{-1}) as a function of mixing efficiency (R_f^{-1}) , for 3 color-coded equi-partitioned intervals of the distribution of dissipation rates ϵ (ϵ_{low} , ϵ_{mid} and ϵ_{high} in the $[0; 33^{rd}]$, $[33^{rd}; 66^{th}]$ and $]66^{th}; 100^{th}]$ percentile intervals; with transition values $\epsilon_{33^{rd}}$ and $\epsilon_{66^{th}}$ of 1.7×10^{-8} and 6.5×10^{-8} W kg⁻¹), with Ri_g^{-1} - R_f^{-1} log-averages and ± 1 standard deviations indicated by marker and error bar respectively. Regression lines for all ϵ values (dashed, black), each ϵ interval (continuous, color-coded), and median values (continuous, black) are indicated. Vertical and horizontal dashed lines indicate the critical values for dynamical instability ($Ri_g^{-1} > 4$) and turbulence growth ($R_f^{-1} > 0.17^{-1}$), respectively.

(Schultze et al., 2017; Bouffard & Boegman, 2013; Shih et al., 2005). 5-20% of those 661 points were even indicative of quiescent flow, where $Re_b < 7 - 20$ and turbulence ac-662 tivity does not induce diapycnal mixing (Schultze et al., 2017). Further to the point of 663 the stabilizing role that the mid-layer can play with transient stratification, 97% of sub-664 critical and low intensity samples are observed during the presence of a LDSW (Figure 12). 665 Nevertheless, 49% and 26% of overall samples in the thermocline-like mid-layer indicate 666 weak stratification $(Ri_g^{-1} > 1)$ and dynamical instability $(Ri_g^{-1} > 4)$ respectively, with average $Ri_g^{-1} = 2$, twice the mean in Rippeth et al. (2009) where the authors argue for 667 668 the importance of intermittently-measured shear spikes and associated dynamical insta-669 bility in enhancing mixing and nitrate fluxes across the thermocline. 670

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4.3 Do dynamical instability and critical mixing efficiency drive elevated dissipation rates?

⁶⁷³ Supercritical values of observed dynamical stability and modelled mixing efficiency ⁶⁷⁴ are associated with observations of elevated dissipation rates (Figure 13). Modelled flux ⁶⁷⁵ Richardson numbers as $R_f \equiv -G/P$ are calculated here considering only negative val-

ues of G, with $G \equiv \langle w'b' \rangle$, the averaged product of vertical velocity and buoyancy 676 fluctuations (Umlauf et al., 2012). G > 0 (i.e. w' and b' are positively correlated) rep-677 resents naturally-occurring convection and the conversion of potential energy to kinetic 678 energy. Oppositely, G < 0 (i.e. w' and b' are negatively correlated) represents the rate at which stratification taxes turbulence (Caulfield, 2020). Considering only G < 0, thus 680 a sum of irreversible (i.e. sign-definite) mixing rates and reversible (i.e. sign-indefinite, 681 but here sign-constrained) stirring rates, allows to exclusively represent one-way exchanges 682 between kinetic and potential energy reservoirs (Winters et al., 1995; Salehipour & Peltier, 683 2015; Caulfield, 2020). Equi-partitioned sub-ensembles of ϵ values are used to highlight 684 transitional states of flow stability and mixing efficiency (Figure 13). Increasing sub-ensemble 685 levels of ϵ are detected with increasing levels of Ri_g^{-1} and R_f^{-1} , with median (mean) values associated with ϵ_{low} and ϵ_{high} levels increasing by factors of 2.4 (2.4) and 2.2 (3), respectively. Regression lines show laws of $Ri_g = \beta R_f^{\alpha}$ with $\beta \in [0.4; 0.5]$ and increas-688 ing $\alpha = \{0.1, 0.2, 0.4\}$ for the increasing ϵ intervals, potentially supporting the hypoth-689 esis that flow instability sets the upper bound of mixing efficiency (Holleman et al., 2016). 690 The median values are characterized by a law of $Ri_g = 1.6R_f^{1.1}$ which represent well 691 weak stratification with $Ri_g \sim R_f$ and a turbulent Prandtl number $Pr_t = 1.6 \sim 1$, 692 suggesting similar mixing for heat and momentum (Smyth et al., 2019; Caulfield, 2020) 693 Interestingly, the most common ϵ conditions (ϵ_{mid} in this study) are associated with a median value of $R_f = 0.17$, giving further statistical support for using the canonical 695 mixing efficiency coefficients for "average" turbulence conditions (Osborn, 1980; Gregg 696 et al., 2017). Furthermore, the increasing ϵ intervals show statistical transitions between 697 the observed states of low dissipation rates from dynamically stable flow $(Ri_q > 1/4)$ 698 and decaying turbulence $(R_f > 0.17)$, to average ϵ from near-critical stability $(Ri_g =$ 699 $0.22 \sim 1/4$) and efficiency ($R_f = 0.17$), to elevated ϵ from unstable flow ($Ri_g < 1/4$) 700 and growing turbulence $(R_f < 0.17)$ (Smyth et al., 2019). 701

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4.4 How does the mixing observed in Cook Strait compare with observations from similar systems?

Depth-averaged turbulence intensity and vertical diffusivity were up to an order 704 of magnitude higher in Cook Strait than in Gibraltar strait (Wesson & Gregg, 1994), the 705 canonical strait at this scale (Stevens, 2018). Indeed, depth-averaged dissipation rates 706 in this portion of Cook Strait were comparable, within a factor of 3, to Gibraltar lev-707 els while N^2 depth-averages can be three orders of magnitude lower. When comparing overall ranges, the levels of mixing presented here can be up to three orders of magni-709 tude higher than in tidal channels of varied constrictional width and depths forced by 710 similar tidal flow speeds (Alford et al., 2011; Tanaka et al., 2014; Itoh et al., 2010). While 711 Itoh et al. (2010) report similar levels of shear, stratification and thus Ri_a^{-1} to Cook Strait, 712 the sampled water column was almost four times deeper and ϵ maxima only reach 1× 713 $10^{-6} \,\mathrm{W \, kg^{-1}}$. Methodological biases can obscure the comparisons with other systems, 714 especially with the aforementioned studies which measured turbulence with vertical pro-715 filers which fail to capture near-surface waters and are by design limited in their sam-716 pling in space and time. This emphasizes how autonomous platforms offer a methodolog-717 ical pathway to better sample boundary layers and capture the sporadic very high lev-718 els of dissipation associated with the initial stages of wave breaking and turbulence on-719 set (Smyth et al., 2002). 720

Extensive autonomous sampling of turbulence in a broadly similar shelf sea envi-721 ronment of the North Sea off the coast of Germany, revealed a comparable range of el-722 evated dissipation rates with $\epsilon \in [1 \times 10^{-11}; 1 \times 10^{-5}]$ W kg⁻¹ in a ~ 4 times shallower 723 and averaged N^2 higher by up to three orders of magnitude than in this present work 724 (Schultze et al., 2017). Although their measurements showed average dissipation rates 725 twice as high in the thermocline layer (2-3 times higher for the whole water column), 726 the much stronger stratification $(1 \times 10^{-3} < \overline{N^2} < 1 \times 10^{-2} \,\mathrm{s}^{-2}$ and most bulk Ri727 samples > 1) resulted in notably different distributions of turbulence intensity. Indeed, 728

6% of measurements showed isotropic turbulence in the thermocline layer (69-81% for 729 the full water column) compared to 97.3% (98.9%) in this study (see Section 3.2.3), re-730 iterating the role of mid water column stratification in dampening turbulence and in-731 sulating surface and bottom mixing layers. Furthermore, similarly to this study, storm 732 conditions have been shown to increase ϵ by an order of magnitude in both surface and 733 thermocline layers, highlighting periods of marginal stability (bulk Ri < 1) and rapid 734 homogenization of the water column (Schultze et al., 2020). Moreover, when compared 735 to GOTM results, extensive OMG measurements demonstrate the importance of shear 736 instabilities on the entrainment of nutrients from the bottom mixed layer into the py-737 cnocline (Becherer et al., 2022), further emphasizing the importance of studying the in-738 terplay between turbulence-driven mixing layers in shelf sea systems. 739

⁷⁴⁰ 5 Concluding remarks

Observations of enhanced bottom tidally-driven shear and strong surface wind stress 741 are combined with in situ Ocean Microstructure Glider (OMG) measurements of back-742 ground stratification and dissipation rates to report on elevated turbulence in relatively 743 well defined boundary mixing-layers. Large-scale advection of low density surface wa-744 ters through the strait provide transient stratification that intermittently stabilizes an 745 otherwise weakly stratified mid water column, and weakens turbulence intensity and di-746 apycnal mixing. One-dimensional model results of the partition of turbulent kinetic en-747 ergy balance terms allow to identify the mechanisms influencing the observed vertical 748 structure of mixing. Observed dissipation rates compare well with modelled total pro-749 duction of TKE and elevated values in the boundary mixing layers are predominantly 750 related to enhanced shear production of TKE, while transient stratification in the mid 751 water column is linked to buoyancy destruction (negative production) of TKE. Further-752 more, the statistics of increasing dissipation rates levels are contextualized in the frame-753 work of critical transitions towards states of dynamical instability of the mean flow and 754 mixing efficiency allowing for turbulence growth. 755

Here we present a sizable dataset of 43,300 measurements of elevated turbulence 756 from an ocean glider, among the largest obtained to date. This work showcases the value 757 of autonomous sampling platforms to capture intermittent mixing processes and char-758 acterize the vertical structure of mixing in shelf seas. When combined to one-dimensional 759 models of turbulence, albeit neglecting horizontal variability notably from submesoscale 760 scalar gradients, these measurements have improved understanding of the intersections 761 between turbulent kinetic energy balance and observations of dynamical stability, tur-762 bulence intensity and diapycnal diffusivity. The broad range of turbulence parameters 763 in this energetic weakly-stratified system is promising to scrutinize the variability of the 764 efficiency of turbulent mixing in future works. Finally, as revealed by continuous sam-765 pling, the interplay of boundary-influenced mixing layers regulates diffusive fluxes in the 766 coastal ocean, influencing local primary productivity and air-sea interactions with far-767 reaching implications for Earth's climate. 768

769 6 Acknowledgements

We acknowledge funding for Project CookieMonster by the Royal Society Te Apārangi
Marsden Fund (NIW1702) and the NIWA capex investment programme, deployments
oversight by Fiona Elliott and Brett Grant and assistance from the crew of RV Kaharoa.
We also acknowledge technical support from Rockland Scientific to process the OMG data,
specifically from Justine McMillan and Evan Cervelli. Wind and flow speeds (Valcarcel
et al., 2022) and ocean microstructure glider (O'Callaghan & Elliott, 2022) datasets are
open access. GOTM versions, test cases and documentation are available at https://gotm.net.

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