# Modulation of North American Heat Waves by the Tropical Atlantic Warm Pool

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#### Abstract

Heat waves are among the deadliest natural hazards affecting the United States (US). Therefore, understanding the physical mechanisms modulating their occurrence is essential for improving their predictions and future projections. Using observational data and model simulations, this study finds that the interannual variability of the tropical Atlantic warm pool (AWP, measured as the area enclosed by the 28.5°C sea surface temperature isotherm) modulates heat wave occurrence over the US Great Plains during boreal summer. For example, a larger than normal AWP enhances atmospheric convection over the Caribbean Sea, driving an upper tropospheric anticyclonic anomaly over the Gulf of Mexico and Great Plains, which strengthens subsidence, reduces cloud cover, and increases surface warming. This circulation anomaly thus weakens the Great Plains low-level jet (GPLLJ) and associated moisture transport into the Great Plains, leading to drought conditions and increased heat wave occurrence for most of the US east of the Rockies.

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11	
12	Key Points:
13	• This work investigates oceanic drivers of interannual variability of North American
14	heat waves
15	• A larger than normal tropical Atlantic warm pool increases the likelihood of heat
16	wave occurrence
17	• The inherently longer predictability of tropical Atlantic SST anomalies could extend
18	predictions of US heat waves
19	
20	

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#### Abstract

Heat waves are among the deadliest natural hazards affecting the United States (US). 22 Therefore, understanding the physical mechanisms modulating their occurrence is essential for 23 24 improving their predictions and future projections. Using observational data and model simulations, this study finds that the interannual variability of the tropical Atlantic warm pool 25 26 (AWP, measured as the area enclosed by the 28.5°C sea surface temperature isotherm) modulates 27 heat wave occurrence over the US Great Plains during boreal summer. For example, a larger than 28 normal AWP enhances atmospheric convection over the Caribbean Sea, driving an upper 29 tropospheric anticyclonic anomaly over the Gulf of Mexico and Great Plains, which strengthens 30 subsidence, reduces cloud cover, and increases surface warming. This circulation anomaly thus 31 weakens the Great Plains low-level jet (GPLLJ) and associated moisture transport into the Great 32 Plains, leading to drought conditions and increased heat wave occurrence for most of the US east 33 of the Rockies.

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#### **Plain Language Summary**

Extreme heat is responsible for the most weather-related deaths in the US. Using observations and numerical model experiments, this study investigates the potential predictability of heat waves determined by the state of the tropical Atlantic sea surface temperature (SST). During boreal summer (June-July-August; JJA), a larger than normal area of warm SST produces an atmospheric response over the US Great Plains, leading to increased clear-sky conditions, rainfall deficits, surface temperature, and heat wave events. The results of this study suggest a potential seasonal predictability of high-impact extreme heat events, owing to the longer prediction skill of SST.

42

#### 43 **1. Introduction**

The climate community has been interested in the study of North American heat waves for the 44 past several decades, owing to the fact that heat extremes are the number one weather-related cause 45 46 of death in the United States (US, https://www.weather.gov/hazstat/). These extreme heat events 47 are often linked to large-amplitude atmospheric circulation patterns, such as blocking events 48 (Petoukhov et al. 2013), which operate on weather timescales (i.e., 7-10 days) and are often 49 accompanied by persistent drought and soil moisture deficits (Atlas et al. 2013; Fischer et al. 2007; 50 Donat et al. 2016). Recent advancements in the understanding of these extremes have also led to potential improvement in their predictions. For example, Teng et al. (2013) identified specific 51 teleconnection patterns that modulate US heat waves, which could be forewarned up to 15-20 days 52 53 in advance. On seasonal timescales, the Pacific North American pattern has been identified as a 54 potential predictor for drought and extreme heat over the western US (Lin et al. 2017; Piao et al. 55 2016). Sutton and Hodson (2005) identified that Atlantic Ocean sea surface temperature anomalies 56 (SSTA) could influence extreme heat occurrence over North America and Europe. Recently, Lopez et al. (2019) found that enhanced convective activity over the East Asian Monsoon forces 57 58 a mid-latitude wave train across the Pacific, leading to an enhanced blocking pattern which 59 promotes the occurrence of heat waves in the US Great Plains.

In addition to internal atmospheric processes, land-atmosphere coupling has been shown to modulate heat wave occurrence through soil moisture deficit (Jia et al. 2016; Donat et al. 2016). This is particularly important in the Great Plains, a region where soil moisture and surface air temperature are strongly correlated through longwave radiation, sensible, and latent heating (Koster et al. 2006). A significant amount of the moisture transport and thus precipitation into the Great Plains in boreal spring and summer is through the Great Plains low-level jet (GPLLJ),

which is responsible for about one-third of the total moisture transport (Jiang et al. 2007; Cook et
al. 2008; Malloy and Kirtman, 2020), where a stronger GPLLJ leads to more precipitation in the
Great Plains. Thus, the strength of the GPLLJ is a potential modulator of surface air temperature
in the Great Plains.

70 Several studies have found an increasing trend in summer precipitation over the Great Plains 71 (Kunkel et al. 2013; Janssen et al. 2014), owing to a strengthening of the GPLLJ (Feng et al. 2016). 72 Future projections from CMIP5 models suggest that the GPLLJ strength will increase (Tang et al. 73 2017), driven by differential heating between land and the adjacent ocean (Cook et al. 2008). Lopez 74 et al. (2018) found a negative correlation between the strength of the GPLLJ and heat wave 75 occurrence over the Great Plains, and argued that in the future, a larger amplitude and enhanced 76 interannual variability of the GPLLJ will add uncertainty in future projections of heat extremes 77 in the Great Plains. That is, an enhanced GPLLJ could alleviate some effects of temperature 78 increase due to anthropogenic climate change by delaying the time of emergence of the 79 anthropogenic signal on top of natural variability.

80 Interannual variability of the GPLLJ has been linked to tropical Atlantic SSTA variability. 81 Specifically, the Atlantic Warm Pool (AWP, Wang and Enfield 2001), a region encompassing the western tropical Atlantic, Caribbean Sea, and Gulf of Mexico, has been shown to modulate 82 83 regional precipitation (Wang and Enfield 2001, 2003; Wang et al. 2006, 2008a) as well as tropical 84 cyclone activity (Wang et al. 2006). In addition, Wang and Lee (2007) found that a larger than 85 normal AWP weakens the GPLLJ and associated moisture transport in response to a weakening of 86 the western edge of the North Atlantic Subtropical High, thus modulating moisture transport and 87 precipitation in North America (Algarra et al. 2019; Bishop et al. 2019; Kim et al. 2020). A larger 88 (smaller) than normal AWP is linked to less (more) precipitation in the Great Plains and more (less) Atlantic tropical cyclones (Wang et al. 2006; Wang and Lee 2007; Misra and Li 2014; Liu
et al. 2015). A composite analysis based on observed number of heat wave days (see Methods for
heat wave definition) over the Great Plains (area averaged from 100°W-85°W and 35°N-45°N)
suggests a concurrent warm SST anomaly signal over the Caribbean and tropical Atlantic over the
AWP region, as well as a moderately cold SST anomaly over the mid-latitudes in the Pacific Ocean
around 40°N (Fig. 1).

95 Variations of the AWP are predominantly intrinsic to the Atlantic and independent of remote 96 forcing from El Niño-Southern Oscillation (ENSO, Wang et al. 2006; Misra and Chan 2009). 97 While this study focuses on the interannual variability of the AWP, it is worth noting that the AWP 98 has a significant decadal and multidecadal component, owing to its relation to the Atlantic 99 Multidecadal Oscillation (AMO, Enfield et. al. 2001; Wang et al. 2008b). For example, the AWP 100 has been shown to modulate precipitation deficit and heat wave occurrence over northern Mexico 101 and the southwestern US on multidecadal timescales (Ruprich-Robert et al. 2018). However, the 102 interannual variability of heat wave occurrences over the Great Plains in relation to the tropical 103 Atlantic SST has not been investigated. In addition, future projections of surface air temperature 104 show remarkably large uncertainties over the Great Plains relative to other regions, predominantly 105 driven by large natural variability (Supplementary Fig. 1), demonstrating the need to identify 106 natural factors influencing extreme heat events.

107 This work builds upon the current knowledge of AWP variability and regional atmospheric 108 circulation, tailored towards improving our basic understanding of high impact extreme heatwave 109 events in the US. The remainder of this paper is organized as follows: data and methods are 110 described in section 2, observational links between heat waves and the AWP state are described in

111 section 3, an atmospheric general circulation model (AGCM) experiment prescribing the influence

- 112 of the AWP is presented in section 4, while conclusion and discussion are presented in section 5.
- 113 **2.** Data and Methods

114 Observed SSTs are obtained from the Hadley Centre HadSSTv2 product at a 1-degree 115 horizontal resolution for the period of 1900-2019 (Rayner et al. 2003). Atmospheric variables (e.g., 116 vertical profiles of temperature, moisture, geopotential height, wind, and heating rates) are 117 obtained from monthly and daily mean from the Japanese 55-year Reanalysis (JRA55, Kobayashi 118 et al. 2015) for the period of 1955 – present. Extreme temperature and precipitation indices (e.g., 119 highest maximum temperature, drought indices) are obtained from the Hadley Centre (Donat et al. 120 2013). Daily maximum temperatures are obtained for several meteorological observations over the Great Plains from the NOAA/National Climate for Environmental Information from 1950 -121 122 present.

123 In order to define the AWP index and thus what constitutes large versus small events, we 124 follow the definition of Wang et al. (2006), based on the area-averaged SST exceeding the 28.5°C 125 threshold over the tropical Atlantic basin for each June-July-August (JJA). This area-average is 126 then standardized by the climatological AWP area and then multiplied by 100. This presents the AWP index as a percentage area deviation from the climatological AWP size. As this work is 127 128 focused on the interannual variations of the AWP, a seven-year running average is removed from 129 the area index to exclude the multidecadal signal of the AWP variability, which is predominantly 130 the AMO component (Supplementary Fig. 2). All analyses are composites of the large and small 131 AWP, determined by the upper and lower terciles of the interannual AWP index respectively 132 (Supplementary Figs. 2 and 3a).

We assess heat waves by the Excess Heat Factor (EHF, Nairm 2009) used in operational forecasts as well as in research studies (Perkins, S. & Alexander 2013). EHF is based on a combination of two excess heat indices as described in (1 and 2):

136 
$$EHI(accl.) = \frac{(T_i + T_{i-1} + T_{i-2})}{3} - \frac{(T_{i-3} + \dots + T_{i-32})}{30}$$
(1)

137 
$$EHI(sig.) = \frac{(T_i + T_{i-1} + T_{i-2})}{3} - T_{95}$$
(2)

Here,  $T_i$  corresponds to the mean daily surface temperature for day *i* and  $T_{95}$  is the 95<sup>th</sup> percentile temperature for that given day. *EHI(accl.)* index describes the temperature acclimatization over a 3-day window against the preceding month. *EHI(sig.)* index depicts the anomaly of the same 3day window against a 95<sup>th</sup> percentile threshold for that period. This threshold is dependent on the day of the year and geographical location. The *EHF* index is defined by combining equations (1) and (2):

$$EHF = max[1, EHI(accl.)] \times EHI(sig.)$$
(3)

Based on (3), a positive *EHF* characterizes heat wave conditions that persist for a minimum ofthree days.

#### 147 **3. Observed Atlantic Warm Pool and heat waves**

148 The SST changes associated with variations in the AWP size are on the order of 0.5°C relative 149 to climatology (Supplementary Fig. 3e). This SST anomaly, while small, can modulate 150 atmospheric convection and thus circulation given the relatively warm SST mean state (Wang et 151 2006), and is also very similar to the SST anomaly concurrent with heat wave occurrence al., 152 over the Great Plains (Fig. 1). In addition, the SSTA associated with the large AWP 153 (Supplementary Fig. 3c) is typically larger in magnitude than the small AWP (Supplementary 154 Fig. 3d), evident by the significant skewness in the AWP index (Supplementary Fig. 3a). That is,

155 small AWP are not too distinct from the climatological AWP, whereas the large events consist of 156 mostly an eastward extension of the 28.5°C isotherm away from the Caribbean and Gulf of 157 Mexico. Given the large skewness in the AWP area index, the analysis presented in this work relies 158 on composite averaging rather than regression in order to assess the AWP state (e.g., large versus 159 small) and associated atmospheric circulation.

A composite analysis is performed on several extreme temperature and rainfall indices (Fig. 2) based on the observed state of the AWP. When the AWP is large, the occurrence of warm extremes increases for most of the US Great Plains and is reduced over the Northwestern US. This largescale pattern is consistent among different extreme temperature indices, e.g., maximum-maximum temperature (Fig. 2b), diurnal temperature range (Fig. 2c), extreme temperature range (Fig. 2d), and number of days above the 90<sup>th</sup> percentile (Fig. 2e). There is also an associated increase in the number of drought events (Fig. 2f).

167 To further assess changes in summer extreme heat, we computed the probability density 168 function (PDF) based on the stochastically generated skewed (SGS) distribution of daily maximum 169 temperature during JJA for three stations in the Great Plains (i.e., Chicago, Illinois; St. Louis 170 Missouri; and Oklahoma City, Oklahoma). The SGS distribution measures the non-Gaussian 171 aspect of surface temperature and provides a means to quantify the influence of large versus small 172 AWP on maximum summer temperature, aiding in the assessment of the statistical moments of 173 summer temperature and its PDF. This method was developed to model observed non-Gaussian 174 weather and climate statistics via stochastic noise (Sardeshmukh & Sura 2009) and has been 175 employed to investigate the changes in the statistics of daily wintertime atmospheric circulation 176 (Sardeshmukh et al. 2015) and daily summertime temperature (Lopez et al. 2018). There is a 177 significant shift in the maximum temperature PDF during large AWP periods at all three stations

(Fig. 3), showing an increase in the mean temperature that is statistically significant at the 95<sup>th</sup> percentile. In addition, summers with larger than normal AWP exhibit enhanced variance (i.e., broadening of the PDF), mostly owing to heavier warm temperature tails. These factors contribute to a decrease in the return period of extreme warm temperature conditions (i.e., extreme temperature days becoming more common) during large AWP summers.

183 A composite difference of large minus small AWP summers of JJA area-averaged (100°W-184 85°W and 35°N-45°N) vertical profiles of temperature and geopotential height show a classic 185 "heat-dome" warm anomaly and positive geopotential height anomaly throughout the column up 186 to 150 hPa (Supplementary Fig. 4). Analysis of different estimated heating rates from JRA55 187 shows that adiabatic heating (i.e., subsidence) dominates up to 200 hPa, with significant longwave 188 cooling rates and diffusive heating rates at low levels, likely associated with clear sky and 189 significant surface warming. Overall, the total heating rate profile indicates a net warming 190 (cooling) above (below) 700 hPa, with a maximum heating rate anomaly around 350 hPa, which 191 explains the observed "heat-dome" structure in the temperature and geopotential height profiles.

192 To assess circulation changes associated with the state of the AWP, a composite analysis is 193 performed during large minus small AWP summers for the barotropic (Fig. 4a; 850hPa + 200hPa) 194 and baroclinic (Fig. 4b; 850hPa - 200hPa) streamfunction responses to the AWP index. Note that 195 there is strong ridging over the eastern half of the US associated with a barotropic anticyclonic 196 response to the large AWP (Fig. 4a), which also produces a baroclinic Gill-type atmospheric 197 response (Gill 1980) over the Gulf of Mexico (Fig. 4b). This is accompanied by a zonal dipole in 198 the 200 hPa velocity potential (Supplementary Fig. 5b), with upper-level divergence (convergence) 199 over the tropical Atlantic (Pacific) and associated rising (sinking) motion centered at 15°N 200 (Supplementary Fig. 5d). The ridge over the Great Plains is part of a larger anticyclonic anomaly,

201 which extends from the Northern Caribbean and Gulf of Mexico (Supplementary Fig. 5a). This 202 anticyclonic anomaly serves as an "omega block" pattern with cyclonic anomalies upstream and 203 downstream, blocking the climatological westerly flow from 30°N-50°N over the Great Plains and 204 eastern US. Analysis of the Rossby wave source finds both tropical and extratropical origins for 205 the anomalous circulation (Supplementary Fig. 5c). The tropical Rossby wave source shows a 206 dipole structure with cyclonic (anticyclonic) sources over the equator in the Atlantic (Pacific) 207 basin, primarily driven by planetary vorticity advection associated with the divergent wind 208 anomaly. In contrast, the mid-latitude features an anticyclonic (cyclonic) Rossby wave source over 209 the Gulf of Mexico and eastern US (eastern extratropical Pacific), which explains the "omega-210 like" streamfunction response around 40°N.

211 The circulation anomaly extracted from compositing the AWP size is consistent with the 212 observed increase in heat wave occurrence over the Great Plains during large AWP summers (Fig. 213 2). However, it remains unclear whether this anomalous pattern is a significant feature of the regional boreal summer circulation. As such, we perform an empirical orthogonal function (EOF) 214 215 analysis of the 200 hPa streamfunction anomalies over JJA. The principal component (i.e., 216 timeseries) is then regressed onto relevant circulation anomalies and Rossby wave sources. It is 217 noted that the second most dominant EOF mode (Supplementary Fig. 6), which explains ~10% of 218 the total variance of JJA 200 hPa streamfunction anomalies, resembles the anomalous circulation 219 and Rossby wave sources associated with the AWP size (Supplementary Fig. 5). In addition, this 220 second EOF mode is related to a tropical Atlantic warm SST anomaly (Supplementary Fig. 7) 221 similar to the SST anomalies concurrent with heat wave occurrence (Fig. 1) and those associated 222 with large AWP (Supplementary Fig. 3e). That is, the correlated circulation anomalies associated 223 with the AWP state are a dominant mode of interannual JJA variability and responsible for heat wave modulation. Nonetheless, it remains to be shown whether the AWP is actively drivingthis atmospheric response, which is discussed next.

226 In addition to circulation changes, the composite of large minus small AWP shows reduced 227 precipitation, enhanced moisture transport divergence, and a negative standard precipitation index 228 (Supplementary Fig. 8) indicative of drought conditions. To further assess the origin of the drought 229 conditions, a cross section analysis along 30°S from 110°W to 85°W is performed to depict the main core region of the GPLLJ. Supplementary Fig. 8d shows the JJA climatological meridional 230 moisture transport  $(vq)_{climo}$  (black contour) and large minus small AWP  $(vq)_{Large AWP}$  – 231  $(vq)_{Small AWP}$  (color), where v is the meridional wind and q depicts specific humidity. There is 232 233 a reduction in the moisture transport during summers where the AWP is large. Further analysis shows that changes in the circulation (e.g., changes in the meridional low-level flow. 234 235 Supplementary Fig. 8e) rather than changes in moisture (e.g., changes in specific humidity, 236 Supplementary Fig. 8f) are responsible for the reduction in GPLLJ-related moisture transport 237 associated with AWP area index variability.

#### 238 **4. AGCM experiment**

239 The changes in atmospheric circulation presented earlier could be influenced by factors external to the AWP. For example, the GPLLJ has been shown to be influenced by tropical Pacific 240 241 SSTAs (Weaver et al. 2009; Krishnamurthy et al. 2015). In addition, decadal variability of US 242 drought occurrence could also be influenced remotely by Pacific SSTAs (Mo et al. 2009). Kim et 243 al. (2020) showed that the interbasin SST contrast between the tropical Pacific and Atlantic 244 modulates the GPLLJ and thus late summer and early fall US precipitation, while Zhang et al. 245 (2021) showed that Gulf Stream - North Atlantic Subtropical High (NASH) interactions affect 246 southeast US rainfall and moisture transport from the Gulf of Mexico. Therefore, in order to isolate

247 the effect of the interannual AWP area index, we perform several atmospheric general circulation 248 model (AGCM) experiments. We prescribe SSTA to the Community Atmosphere Model version 249 6 (CAM6) coupled to the Community Land Model version 5 (CLM5), which are both part of the 250 Community Earth System Model version 2 (CESM2). First, the control case is integrated by 251 prescribing the SST climatology globally based on the 1979-2018 observing period. Then, a model 252 experiment is conducted where SST is prescribed based on cyclical 1979-2018 SST only over the 253 tropical Atlantic and North Pacific and is held to climatology elsewhere (Supplementary Fig. 9). 254 Variations in North Pacific SSTs are included in the AGCM run because it is a recurrent feature 255 associated with AWP variability (Fig.1 and Supplementary Fig. 7) and thus could be key in setting 256 up the large-scale atmospheric pattern associated with heatwaves. Both model experiments are 257 integrated for 200 years under a year-2000 anthropogenic atmosphere composition (i.e., CESM2 258 component set F2000; https://www.cesm.ucar.edu/models/cesm2/config/compsets.html). Note that the AGCM is able to accurately simulate the observed 95<sup>th</sup> percentile threshold of 2-meter air 259 260 temperature, which is the temperature threshold used here in defining heat waves (Supplementary Fig. 10), as well as the mean JJA upper-level circulation (Supplementary Fig. 11). 261

262 Analysis of these AGCM experiments is presented here in terms of the differences between 263 large and small AWP years. Note that similar to observations, the AGCM model experiment 264 depicts a barotropic anticyclonic circulation anomaly and a blocked flow pattern over central North 265 America when the AWP is large (Fig. 4c) and a Gill-response over the Gulf of Mexico (Fig. 4d). 266 The upper-level divergent flow and the Rossby wave sources are consistent with the observed 267 composite analysis (Fig. 4a and b). However, the AGCM response to SST forcing appears to have 268 a slight north and west shift in the 200 hPa streamfunction response (Supplementary Fig. 12) when 269 compared to observations (Supplementary Fig. 5), which may be caused by a slight northward bias

in the mean JJA zonal and meridional wind as well as an easterly bias in the tropics (Supplementary
Fig. 11). Nevertheless, it is evident that the AWP is indeed a modulator of a major mode of
interannual variability responsible for heat wave occurrence over the US.

273 To quantify the impact on the number of heat wave days, we followed equations 1-3, where 274 the daily T<sub>95</sub> percentile temperature is computed from the 200-year control simulation. Then, an 275 excess heat factor is computed for the AGCM model experiments relative to the T<sub>95</sub> from the 276 control simulation. Supplementary Figure 13 shows the relative change in the number of heat wave 277 days during JJA, computed by the difference in heat wave days between the large minus small 278 AWP composite and normalized by the total heat wave days. Note that there is a  $\sim 40\%$  increase 279 in the heat wave days over most of the Great Plains and Southeastern US for boreal summers where 280 the AWP is larger than normal. This is consistent with the observed results, validating the 281 hypothesis that a large AWP leads to more extreme heat in the US Great Plains during boreal 282 summer.

#### 283 **5. Discussion**

284 Enhancing our understanding of the physical mechanisms controlling the occurrence of high 285 impact extreme events such as heat waves is important, not only for improving their predictions 286 but for understanding their future projections. This work identifies the interannual variability of 287 the AWP as a natural modulator of heat wave occurrence over the US Great Plains, aided by the 288 use of observational records and atmospheric model experiments from a state-of-the-art general 289 circulation model. Based on an area index, we show that when the AWP is larger than normal, 290 enhanced atmospheric convection over the Caribbean Sea produces an upper troposphere zonal 291 divergence pattern with divergence (convergence) over the Atlantic (Pacific) basin centered 292 around 15°N and a Gill-type atmospheric response (Gill 1980) over the Gulf of Mexico (Fig. 4).

293 This in turn modulates Rossby wave sources that produce an anticyclonic blocking pattern over 294 the Great Plains, which enhances subsidence, clear skies and significant surface warming, leading 295 to a heat dome. In addition, a large AWP weakens the GPLLJ and associated moisture transport 296 into the Great Plains, eventually leading to drought conditions and increased heat wave occurrence 297 for most of the US east of the Rockies. As the Great Plains is a region of strong coupling between 298 surface air temperature and soil moisture where soil moisture is a limiting constraint on latent 299 heating (Koster et al., 2006; Seneviratne et al. 2010), reduced precipitation increases the likelihood 300 of extreme temperature and heat wave days.

301 These results present a useful mechanism identifying natural drivers of heat wave occurrence 302 over the Great Plains on interannual timescales. While the internal atmospheric dynamics 303 involving heat waves (e.g., Rossby wave breaking, blocking events) and coupled land-atmospheric 304 processes (e.g., soil moisture-air temperature coupling) operate on relatively fast timescales from 305 days to a few weeks, tropical Atlantic SSTA associated with the AWP have been shown to possess 306 skillful predictions on seasonal timescales (3-4 months, Misra and Li 2014). Therefore, the 307 inherently longer predictability of tropical Atlantic SSTA could serve as an opportunity to extend 308 the predictions of US heat waves beyond the synoptic and sub-seasonal timescales. In addition, 309 since future projections of heat waves are more uncertain for the Great Plains (Lopez et al. 2018) 310 due to the large natural variability in surface temperature (Supplementary Fig. 1), identifying 311 natural factors (e.g., AWP size) modulating these extremes will aid in not only their prediction but 312 better understanding of their future projections.

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314

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#### 320 **Open Research**

- Hadley Centre HadSSTv2 product for the period of 1900-2019 was obtained from
   https://www.metoffice.gov.uk/hadobs/hadisst/ (Rayner et al. 2003). The Japanese 55-year
- 323 Reanalysis was obtained from <u>https://rda.ucar.edu/datasets/ds628.0/</u> (JRA55, Kobayashi et al.
- 324 2015) for the period of 1955 present. Extreme temperature and precipitation indices were
- 325 provided by the Met Office Hadley Centre <u>https://www.metoffice.gov.uk/hadobs/hadex2/</u> (Donat
- 326 et al. 2013). Daily maximum temperatures are obtained for several meteorological observations
- 327 over the Great Plains from the NOAA/National Climate for Environmental Information from 1950
- 328 present <u>https://www.ncdc.noaa.gov/cdo-web/search?datasetid=GHCND</u>. Details on installing
- and running the CESM2 can be found at <u>https://github.com/ESCOMP/CESM</u>.

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#### 459 **Figure captions**

- 460 **Figure 1.** Composite difference of heat wave day percentage changes (%, contour over land) and
- 461 SST anomalies (°C, shading over oceans) based on heat wave occurrence over the Great Plains.
- 462 The composite is presented in terms of the difference between the upper and lower terciles of the
- 463 number of heat wave days averaged from 100°W-85°W and 35°N-45°N.

Figure 2. Composite difference of large minus small Atlantic Warm Pool years during JJA for: a) number of heat wave days, b) highest maximum temperature, c) diurnal temperature range, d) extreme temperature range, e) number of days with maximum temperature exceeding the 90<sup>th</sup> percentile, and f) number of drought days. Panels a, e, and f have units of percentage as defined by the number of events during large minus small AWP divided by the total events and multiplied by 100 such that a 100% increase translates into a doubling of the events.

470 Figure 3. Probability density function (PDF) of daily maximum temperature during JJA for large
471 (red) and small (blue) AWP years for three stations in the Great Plains and Midwest; a) Chicago

472 O'Hare International Airport, Illinois, b) St. Louis, Missouri, and c) Oklahoma City, Oklahoma. 473 The mean maximum temperature ( $\mu$ ) and confidence interval at 95% level based on a student-T 474 test, standard deviation ( $\sigma$ ), and return period (days) of the 95<sup>th</sup> percentile temperature is also 475 shown.

Figure 4. Composite difference of large minus small AWP years during JJA for observed a) barotropic streamfunction response (850hPa + 200hPa,  $10^6 \text{ s}^{-1}$ ) and b) baroclinic streamfunction response (850hPa - 200hPa,  $10^6 \text{ s}^{-1}$ ). Vectors depict the rotational wind component associated with the streamfunction composites. Panels c) and d) are similar to a) and b) but for the AGCM experiment.

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## Geophysical Research Letters

### Supporting Information for

## Modulation of North American Heat Waves by the Tropical Atlantic Warm

Pool

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Supplementary Figures S1 to S13



Supplementary Figure 1. Surface air temperature multi-model ensemble spread [°C] for a) the CESM-Large Ensemble and b) several CMIP5 and CMIP6 models. For CESM-LENS, the ensemble spread is a measure of natural variability. For CMIP5 and CMIP6, the ensemble spread measures natural variability and differences in model physics.



Supplementary Figure 2. June-July-August (JJA) Atlantic Warm Pool (AWP) area index and its decomposition into the linear trend, decadal and multidecadal, and interannual components. The normalized AMO index is also included for reference (purple). For the interannual AWP component, the years of large (red circle), near normal (open circle) and small (blue circle) AWP are highlighted. The AWP indices are shown as % change from the climatological AWP size.



Supplementary Figure 3. a) June-July-August (JJA) Atlantic Warm Pool (AWP) area index. The years of large (red circle), near normal (open circle) and small (blue circle) are highlighted. (b-d) Composite SST during large, neutral, and small AWP area index. (e) Composite difference between large and small AWP. Large and small AWP are defined as the upper and lower terciles of the AWP area index. Contours greater than 28.5°C are stippled white. SST data from Hadley Centre.



Supplementary Figure 4. Composite difference of large minus small AWP years during JJA for vertical profiles of different heating rates, geopotential height, and temperature anomalies. All variables are area-averaged over 100°W-85°W and 35°N-45°N, which comprises the US Great Plains region. All heating rates are obtained from JRA55.



Supplementary Figure 5. Composite difference of large minus small AWP years during JJA for a) 200 hPa streamfunction (color,  $10^6 \text{ s}^{-1}$ ) and 200 hPa rotational wind (vector,  $m \text{ s}^{-1}$ ), b), 200 hPa velocity potential (color,  $10^6 \text{ s}^{-1}$ ) and 200 hPa divergent wind (vector,  $m \text{ s}^{-1}$ ). c) 200 hPa Rossby wave source where positive (negative) values indicate cyclonic (anticyclonic) source. d) cross section of vertical velocity (color,  $10^{-2} Pa \text{ s}^{-1}$ ) and zonal and vertical wind (vector) averaged from 5°N-25°N.



Supplementary Figure 6. Regression of the second principal component of JJA 200 hPa streamfunction anomaly onto a) 200 hPa streamfunction (color,  $10^6 \text{ s}^{-1}$ ) and 200 hPa rotational wind (vector, m s<sup>-1</sup>), b), 200 hPa velocity potential (color,  $10^6 \text{ s}^{-1}$ ) and 200 hPa divergent wind (vector, m s<sup>-1</sup>). c) 200 hPa Rossby wave source where positive (negative) values indicate cyclonic (anticyclonic) source. d) cross section of vertical velocity (color,  $10^{-2} \text{ Pa s}^{-1}$ ) and zonal and vertical wind (vector) averaged from 5°N-25°N. This second model explains 10% of the total variance of JJA 200 hPa streamfunction anomalies.



Supplementary Figure 7. Same as Supplementary Fig. 6 but for the regression of the second principal component of JJA 200 hPa streamfunction anomaly onto observed SST anomalies.



Supplementary Figure 8. Composite difference of large minus small AWP years during JJA for a) precipitation (*mm day*<sup>-1</sup>), b) vertically-integrated moisture transport divergence ( $Kg m^{-2} s^{-1}$ ) with negative values indicating divergence, c) standard precipitation index. d) cross section of meridional moisture transport composite difference of large minus small AWP (color) and its JJA climatology (black contour) at 30°N from 110°W-85°W, which is highlighted by red line in panel b). e) same as d) but only accounting for changes in meridional wind during large minus small AWP. f) Same as d) but only accounting for changes in moisture during large minus small AWP.



Supplementary Figure 9. a) Regression of June-July-August (JJA) sea surface temperature anomalies (SSTA, °C) and AWP area index masked over the tropical Atlantic and extra-tropical Pacific regions used to force the AGCM experiments. b) Observed (1979-2017) SSTA at 15°N for the Atlantic and 40°N for the Pacific basins used to force the AGCM experiments. Note that in all other regions, SSTs are held to their climatology.



Supplementary Figure 10. Ninety-fifth percentile (T<sub>95</sub>) threshold of daily mean surface temperature for a) observed and b) CAM6 model used here to perform AGCM experiments.



Supplementary Figure 11. Observed JJA mean a) 200 hPa zonal and b) 200 hPa meridional wind. c) and d) are similar to a) and b) but for the AGCM model simulation respectively.



Supplementary Figure 12. Same as Supplementary Fig. 5 but for the AGCM model simulation. All plots are composite differences of large minus small AWP years.



Supplementary Figure 13. Composite difference of large minus small Atlantic Warm Pool years during JJA for a) number of heat wave days and b) number of days with maximum temperature exceeding the 90<sup>th</sup> percentile from the 200-year AGCM model simulation.