

# Effect of plankton composition shifts in the North Atlantic on atmospheric pCO<sub>2</sub>

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## Abstract

Marine carbon cycle processes are important for taking up atmospheric CO<sub>2</sub> thereby reducing climate change. Biological production is an important pathway of carbon from the surface to the deep ocean where it is stored for thousands of years. Climate change can interact with marine ecosystems via changes in the ocean stratification and ocean circulation. In this study we use the Community Earth System Model version 2 (CESM2) results to assess the effect of a changing climate on biological production and plankton composition in the high latitude North Atlantic Ocean. We find a shift in plankton type dominance from diatoms to small phytoplankton which reduces net primary and export productivity. Using a conceptual carbon-cycle model forced with the CESM2 results, we give a rough estimate of a positive plankton composition-atmospheric CO<sub>2</sub> feedback of approximately 60 GtCO<sub>2</sub>/K warming in the North Atlantic which affects the 1.5K and 2.0K warming safe carbon budgets.



## Abstract

Marine carbon cycle processes are important for taking up atmospheric CO<sub>2</sub> thereby reducing climate change. Biological production is an important pathway of carbon from the surface to the deep ocean where it is stored for thousands of years. Climate change can interact with marine ecosystems via changes in the ocean stratification and ocean circulation. In this study we use the Community Earth System Model version 2 (CESM2) results to assess the effect of a changing climate on biological production and plankton composition in the high latitude North Atlantic Ocean. We find a shift in plankton type dominance from diatoms to small phytoplankton which reduces net primary and export productivity. Using a conceptual carbon-cycle model forced with the CESM2 results, we give a rough estimate of a positive plankton composition-atmospheric CO<sub>2</sub> feedback of approximately 60 GtCO<sub>2</sub>/K warming in the North Atlantic which affects the 1.5 K and 2.0 K warming safe carbon budgets.

## Plain Language Summary

The marine carbon cycle is important for taking up carbon from the atmosphere and thereby lowering atmospheric CO<sub>2</sub> concentrations. One of the ways the marine carbon cycle transports carbon from the surface to the deep ocean is biological production. Once in the deep ocean, carbon can be stored for thousands of years. Biological production is dependent on environmental conditions such as nutrient availability and ocean temperature, which can be affected by increasing atmospheric CO<sub>2</sub> concentrations. This can lead to a positive (negative) feedback loop, where increasing CO<sub>2</sub> concentration decrease (increase) biological production which in turn decreases (increases) uptake of CO<sub>2</sub> by the ocean, effectively increasing (decreasing) atmospheric CO<sub>2</sub> concentrations. Here, we find in an Earth System Model that under a high emission scenario, biological production decreases significantly in the high latitude North Atlantic Ocean which is primarily the result of a shift in dominant phytoplankton type in this region. Larger diatoms, which are relatively efficient in exporting carbon, are replaced by small phytoplankton which are less efficient. By using a conceptual carbon cycle model, we identify a positive feedback loop where the decrease in biological production increases atmospheric CO<sub>2</sub> by approximately 60 GtCO<sub>2</sub> per degree warming.

## 1 Introduction

To avoid tipping behavior in the Earth system, it is important to keep warming of our planet to a maximum of 1.5 to 2°C (Lenton et al., 2019). Policymakers need to know how much carbon we can still emit before we exceed this warming. However, estimates of this safe carbon budget are difficult and subject to large uncertainties because the Earth system has many processes and feedbacks that are not completely understood yet (Matthews et al., 2021).

The marine carbon pumps (physical, biological and carbonate) are currently responsible for taking up 25-40 percent of anthropogenic carbon (Sabine et al., 2004; DeVries et al., 2017). It is estimated that biological production in the ocean exports approximately 11 GtC yr<sup>-1</sup> to the deep ocean (Sanders et al., 2014) and that without this export, atmospheric pCO<sub>2</sub> values would be 200-400 ppm higher (Ito & Follows, 2005; Henson et al., 2022). This export production (EP) is dependent on the net primary productivity (NPP). It also depends on plankton composition, since different plankton species have different remineralization depths (Morán et al., 2010; Li et al., 2009; Marinov et al., 2013).

Both EP and NPP are strongly dependent on temperature, ocean circulation, stratification and nutrient input (Doney et al., 2011) which can all affect plankton composition. This is important because changes in plankton composition can transfer through

62 the food web affecting fish and mammals (Richardson & Schoeman, 2004; Beaugrand,  
63 2009) which can affect fishery yields. Furthermore, plankton composition also affects EP  
64 which indirectly influences the air-sea gas exchange of CO<sub>2</sub> that could result in a pos-  
65 itive feedback loop under climate change (Cabr e et al., 2015). In such a feedback higher  
66 CO<sub>2</sub> levels change plankton composition, reducing EP and thus the uptake of CO<sub>2</sub> by  
67 the ocean. Finally, a changing plankton composition is one of the hypotheses suggested  
68 to explain (part of) the atmospheric pCO<sub>2</sub> (80-100 ppm) variations in the Pleistocene  
69 glacial-interglacial cycles (Archer & Maier-Reimer, 1994; Kohfeld et al., 2005), showing  
70 the potential of this feedback to affect the climate.

71 Though climate change is likely to affect plankton stocks, the extent is still uncer-  
72 tain (Osman et al., 2019). Expected effects of global warming are changes in NPP (Behrenfeld  
73 et al., 2006), reduced nutrient concentrations in the surface ocean due to stronger strat-  
74 ification (Bopp et al., 2001, 2005; Fu et al., 2016), and plankton composition changes,  
75 the latter also due to changing co-limitation of light and nutrients (Marinov et al., 2013;  
76 C. M. Moore et al., 2013). Furthermore, on longer timescales, severe nutrient trapping  
77 in the Southern Ocean is possible, which reduces biological production over most of the  
78 ocean (J. K. Moore et al., 2018). However, uncertainties remain in most (model) stud-  
79 ies. This is because the complex timing of blooms are difficult to simulate in highly sea-  
80 sonal regions such as the high latitudes (Martinez et al., 2011), and also because ecosys-  
81 tem models that have more extensive plankton dynamics show larger community com-  
82 position shifts with climate change (Dutkiewicz et al., 2013; Fu et al., 2016). Compar-  
83 ing CMIP6 models with CMIP5 models, we see an increase in intermodel spread in both  
84 NPP and EP (Kwiatkowski et al., 2020; Tagliabue et al., 2021; Henson et al., 2022), high-  
85 lighting the complexity of the system.

86 One of the regions that is projected to be affected significantly by climate change  
87 is the North Atlantic, a region where biological processes are known to be important for  
88 gas exchange of CO<sub>2</sub> (Bennington et al., 2009). Observational studies suggest that plank-  
89 ton composition shifts are already occurring in this region (Hilligs e et al., 2011; Rivero-  
90 Calle et al., 2015). Model simulations under high emission scenarios project that the fu-  
91 ture North Atlantic will have relatively low warming rates, relatively high acidification  
92 rates and a (medium to large) decrease of subsurface oxygen (Bopp et al., 2013). In ad-  
93 dition, the stratification and ocean circulation are projected to change, such as a decrease  
94 in the strength of the Atlantic Meridional Overturning Circulation (AMOC), which could  
95 possibly result in a collapse of plankton stocks in the North Atlantic (Schmittner, 2005).  
96 These projected changes would lead to large decreases of NPP and EP (Steinacher et  
97 al., 2010; Bopp et al., 2013).

98 The main novel aspect of this study is to provide an estimate of the plankton composition-  
99 atmospheric pCO<sub>2</sub> feedback (Cabr e et al., 2015) using climate model simulations for a  
100 high emission scenario (SSP5-8.5). We aim to answer the following questions: How does  
101 biological production respond to higher pCO<sub>2</sub> levels? Does this lead to a positive or a  
102 negative feedback on atmospheric CO<sub>2</sub>? And lastly, what does this imply for the safe  
103 carbon budget? To investigate these issues we have used two models, the Community  
104 Earth System Model v2 (CESM2) and the Simple Carbon Project Model v1.0 (SCP-M)  
105 as described in Section 2. Results are shown in Section 3 and are summarized and dis-  
106 cussed in Section 4.

## 107 2 Method

108 We analyse output from the Community Earth System Model v2 (CESM2, Danabasoglu  
109 et al. (2020)) simulations as used in the Coupled Model Intercomparison Project 6 (CMIP6,  
110 Eyring et al. (2016)). This model includes the CAM6 atmospheric model, the CLM land  
111 model, the CICE sea-ice model, the POP2 ocean circulation model, and the MARBL ocean  
112 biogeochemistry model. Both POP2 (Smith et al., 2010) and MARBL (Long et al., 2021)

113 are run on a displaced Greenland pole grid at a nominal  $1^\circ$  horizontal resolution, with  
 114 60 non-equidistant vertical levels. In MARBL, several elemental cycles, three explicit phy-  
 115 toplankton functional groups (small phytoplankton, diatoms, and diazotrophs), one im-  
 116 plicit phytoplankton group (calcifiers), and one zooplankton group are simulated. We  
 117 analyse output of a single member CESM2 simulation (Danabasoglu, 2019) which is driven  
 118 by greenhouse gas emissions according to the SSP5-8.5 scenario (B. C. O’Neill et al., 2020;  
 119 Green et al., 2021). Since it is an emission driven case, atmospheric  $p\text{CO}_2$  is affected by  
 120 feedbacks from the land and ocean reservoirs of carbon. The simulation period is from  
 121 2015-2101 and analysis results in section 3 are based on monthly and yearly mean data.

122 Generally, CESM2 is one of the better performing Earth System Models when ocean  
 123 biogeochemistry is considered globally (Séférián et al., 2020). MARBL, however, has some  
 124 biases due to deficiencies in the ocean circulation model (POP2). In particular, the too  
 125 sluggish deep ocean circulation in POP2 causes nutrient trapping in the deep North Pa-  
 126 cific Ocean. In MARBL, this results in low abundances of oxygen throughout the deep  
 127 Pacific Ocean, which causes organic matter to be remineralized via nitrogen, negatively  
 128 affecting the quality of the nitrogen cycle simulation. This large bias was fixed by ad-  
 129 justing the stoichiometric ratios of organic matter in the Pacific Ocean so less oxygen  
 130 is consumed by organic matter remineralization; with this fix, only local biases remain  
 131 (Long et al., 2021).

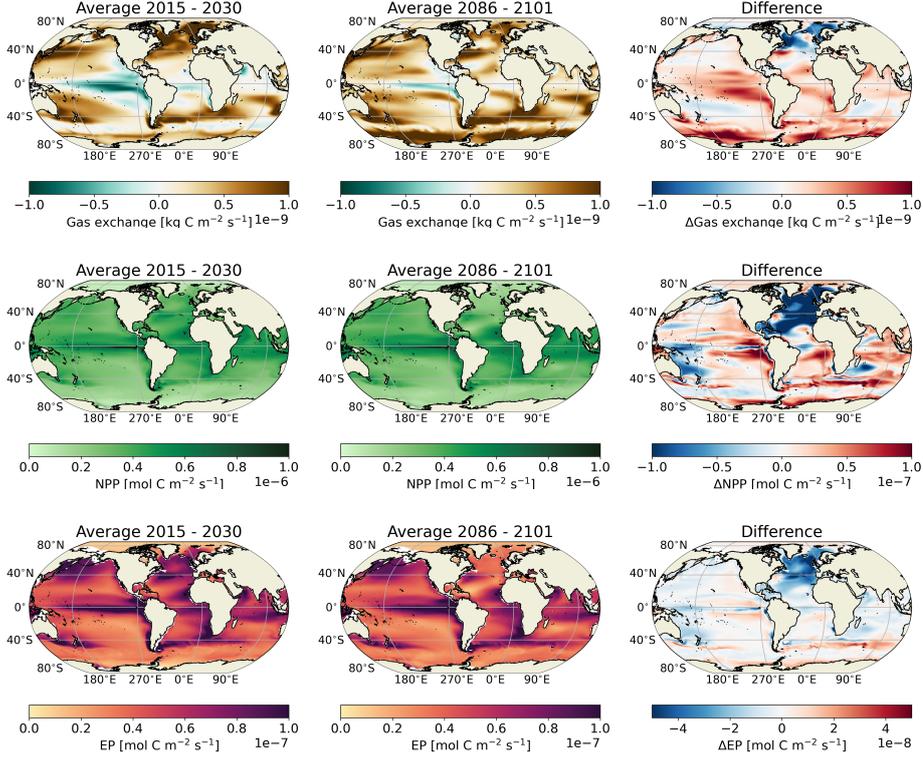
132 The single CESM simulation is less suited to study the feedback processes between  
 133 the marine carbon cycle and atmospheric  $\text{CO}_2$  as, for example, the effects of the EP on  
 134 outgassing cannot be easily isolated. To study these feedback processes in more detail,  
 135 we use the Simple Carbon Project Model v1.0 (SCP-M) (C. M. O’Neill et al., 2019) as  
 136 described in the Supplementary Information including adaptations from (Boot et al., 2022).  
 137 The SCP-M consists of seven ocean boxes, one atmosphere box and two terrestrial reser-  
 138 vvoirs of carbon (Fig. S1) in which many carbon cycle processes are captured in a param-  
 139 eterized way. Processes in the model that affect dissolved inorganic carbon (DIC) and  
 140 alkalinity (Alk) are the ocean circulation, biological production, calcium carbonate pro-  
 141 duction and dissolution, river fluxes, sediment fluxes and the air-sea gas exchange of  $\text{CO}_2$   
 142 (DIC only).

### 143 3 Results

144 The prescribed emissions (in  $\text{Pg CO}_2$  per year) top in the year 2085 and decrease  
 145 afterwards (Fig. S2a). By the year 2100, 88 GtC has been emitted into the atmosphere  
 146 (Meinshausen et al., 2020). Under the influence of the emissions and the exchange with  
 147 the land and the ocean, the atmospheric  $\text{CO}_2$  concentration in the CESM simulation in-  
 148 creases from 400 ppm in 2015 to 1069 ppm in 2100 (Fig. S2b). Part of this carbon (Fig. S3a)  
 149 is taken up by the terrestrial biosphere (6 %), and part by the ocean (6 %). Over time,  
 150 relatively less carbon is taken up by these two reservoirs, which means more remains in  
 151 the atmosphere (Fig. S3b).

152 The changes in the global air-sea gas  $\text{CO}_2$  exchange are shown in the upper pan-  
 153 els of Fig. 1, where a positive sign indicates  $\text{CO}_2$  transfer into the ocean. Whereas al-  
 154 most the entire ocean takes up more (or gasses out less) carbon at the end of the cen-  
 155 tury compared to present-day, the North Atlantic actually takes up less. This becomes  
 156 even more clear when we compare globally integrated gas exchange with the gas exchange  
 157 integrated over the North Atlantic. Air-sea gas exchange increases globally until the end  
 158 of the century but in the North Atlantic, it starts to decrease around the year 2040 (Fig. S4),  
 159 suggesting substantial carbon cycle changes in the North Atlantic.

160 The response in the North Atlantic stands out for several reasons. First of all, the  
 161 warming rate of Sea Surface Temperature (SST) is relatively low in the North Atlantic,  
 162 and SSTs even decrease locally (Fig. S5). There are also large changes in the annual max-



**Figure 1.** Changes in carbon-cycle relevant quantities in the CESM2 SSP5-8.5 emission driven simulation. Top row: gas exchange in  $\text{kg C m}^{-2} \text{s}^{-1}$ . Middle row: Net Primary Production integrated over top 100m in  $\text{mol C m}^{-2} \text{s}^{-1}$ . Bottom row: Export Production at 100m depth in  $\text{mol C m}^{-2} \text{s}^{-1}$ . Note the different scaling per row; within a row, each subplot is scaled in a similar way. Left column: averages over period 2015-2030; middle column: averaged over period 2086-2101; right column: differences between the two (middle - left).

163 inum mixed layer depth in the deep water formation regions around Greenland (Fig. S6).  
 164 These are related to ocean circulation changes in particular a decrease in AMOC strength  
 165 at  $26.5^\circ\text{N}$  from 17 Sv to 10 Sv over the simulation period (Fig. S7). We also see a stronger  
 166 upper ocean stratification (Fig. S8), where stratification is measured here by the density  
 167 difference between 200m depth and the surface.

168 There is a large decrease in both NPP and EP (at 100 m depth) in the North At-  
 169 lantic region and especially in the deep water formation areas around Greenland (Fig. 1).  
 170 The decrease in EP cannot completely be explained by a decrease in NPP, since EP de-  
 171 creases more than NPP (Fig. S9). This suggests that the plankton functional types (PFTs)  
 172 in MARBL respond differently in this region to climate change. Diazotrophs do not play  
 173 a role here due to temperature limitation and hence we focus on changes in diatoms and  
 174 small phytoplankton. We can determine the effect of these two PFTs by using the equa-  
 175 tion (used in CESM2)  $NPP_i = \mu_{ref} T_f L_i V_i P_i$ . Here  $i$  refers to the two PFTs (small  
 176 phytoplankton and diatoms),  $\mu_{ref}$  is the maximum C-specific growth rate (which is the  
 177 same for both PFTs) and  $T_f$  is a temperature dependent function. Furthermore,  $L_i$  is  
 178 a light limitation function,  $V_i$  a nutrient limitation function, and  $P_i$  is the biomass of PFT  
 179  $i$ . Both diatoms and small phytoplankton are limited by nitrogen (N), phosphorus (P),  
 180 and iron (Fe); diatoms are also limited by silicate (Si).

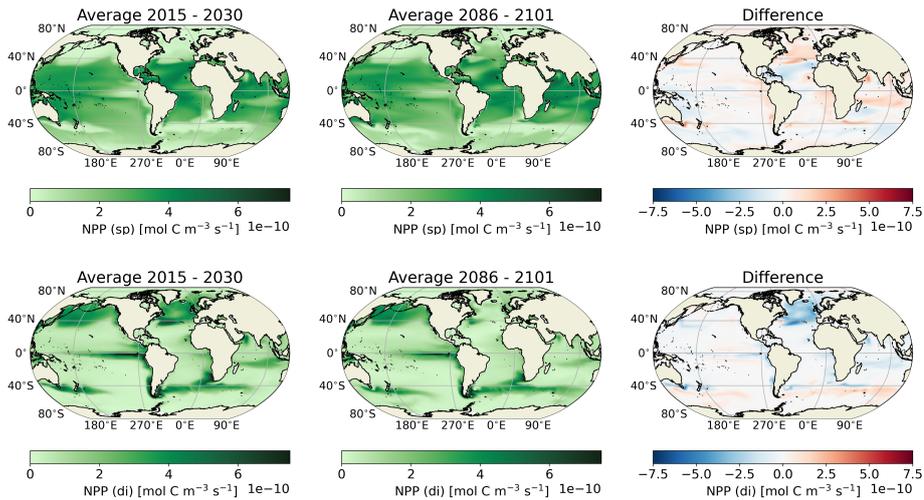
181 In the beginning of the 21<sup>st</sup> century we see that diatoms are dominant in the high  
 182 latitude North Atlantic (Fig. 2), whereas small phytoplankton are not very abundant.  
 183 However, at the end of the century we see a change in PFT dominance as diatoms have  
 184 almost completely disappeared, while the small phytoplankton NPP and biomass (Fig. S15)  
 185 have increased. The large decrease in diatom NPP decreases total NPP in this region.  
 186 This shift in plankton type dominance also explains why the EP decreases faster than  
 187 the NPP (Fig. S9) since diatoms are more efficient in exporting carbon than small phy-  
 188 toplankton. Since diatoms become less abundant, the carbon transport from the surface  
 189 to the deep ocean also becomes less efficient.

190 To investigate why the plankton composition changes in the North Atlantic, and  
 191 why diatom NPP decreases, we look into what determines the production of the differ-  
 192 ent PFTs. Both PFTs are generally nitrogen limited in this region, though nitrogen lim-  
 193 itation is stronger for diatoms. Light limitation decreases by a small amount for the di-  
 194 atoms in the beginning of the 21<sup>st</sup> century before becoming more or less stable. Light  
 195 limitation for small phytoplankton decreases throughout the entire century. The differ-  
 196 ence in light limitation explains mostly the co-limitation: diatom co-limitation of light  
 197 and nutrients increases, whereas for small phytoplankton it decreases (Figs. S10-S11).  
 198 We can also see this in the growth rate (NPP divided by biomass) of both PFTs (Fig. S12):  
 199 the growth rate of diatoms peaks around 2035 and then gradually decreases, and the growth  
 200 rate of small phytoplankton keeps on increasing.

201 The reduced growth rate of diatoms does not completely explain the decrease in  
 202 NPP and biomass, since the biomass of diatoms decreases throughout the entire period  
 203 (Fig. S13f), while the growth rate of diatoms first increases (Fig. S12). Another reason  
 204 for the decrease in biomass is advective transport of diatoms out of the North Atlantic  
 205 (Fig. S13). Looking at the advective fluxes of diatom biomass over the region ( $45^{\circ}$ - $70^{\circ}$ N  
 206  $\times$   $270^{\circ}$ - $0^{\circ}$ E), we can see that in the beginning of the 21<sup>st</sup> century relatively much biomass  
 207 is lost due to advection over the southern and eastern boundaries of this region which  
 208 explains the decreasing NPP over this time period. Total advection decreases mostly due  
 209 reduced biomass concentrations near the southern boundary (Fig. S13d, e). Small phy-  
 210 toplankton biomass is not affected by this advection, because biomass concentrations are  
 211 low in the beginning of the boundary of this region century and only increase at the south-  
 212 ern boundary of the region when diatom biomass decreases (Fig. S14). Eventually, due  
 213 to the reduced growth rate, the biomass of diatoms does not recover. This causes the  
 214 decrease in diatom NPP and explains why small phytoplankton are able to outcompete  
 215 diatoms in this region utilizing the nutrients not used by diatoms anymore.

216 The changes in NPP and EP affect the concentrations of DIC and Alk. These two  
 217 tracers affect the pCO<sub>2</sub> of the surface ocean, and thus the gas exchange with the atmo-  
 218 sphere. Within CESM, it is difficult (without further simulations) to determine the ef-  
 219 fect of the reduced NPP and EP on the air-sea gas exchange and atmospheric pCO<sub>2</sub> as  
 220 the latter quantity is determined by many other processes which cannot be separated.  
 221 This effect, however, is crucial for establishing the sign of the plankton composition at-  
 222 mospheric CO<sub>2</sub> feedback associated with changes in EP. To assess the feedback strength,  
 223 we use the SCP-M model in combination with the CESM2 data.

224 Compared to the original model (C. M. O'Neill et al., 2019), we updated the SCP-  
 225 M forcing files for the period 2015-2101 to represent the SSP5-8.5 scenario. We initial-  
 226 ize the SCP-M with DIC, Alk and atmospheric CO<sub>2</sub> data from the CESM2 simulation  
 227 of the year 2015. For the other tracers and the terrestrial biosphere initial conditions are  
 228 taken from a run performed up until 2014 with historical emissions. The SCP-M cap-  
 229 tures less dynamics than the CESM2 due to reduced model complexity. We therefore first  
 230 determine how large this term is to be able to separate this from the feedback strength  
 231 (Fig. 3e,f). The relative uncaptured processes by the SCP-M amounts up to approximately  
 232 8% of the total atmospheric CO<sub>2</sub> concentration of the CESM2. After determining the  
 233 uncaptured dynamics, we determine the feedback strength by allowing both the DIC and



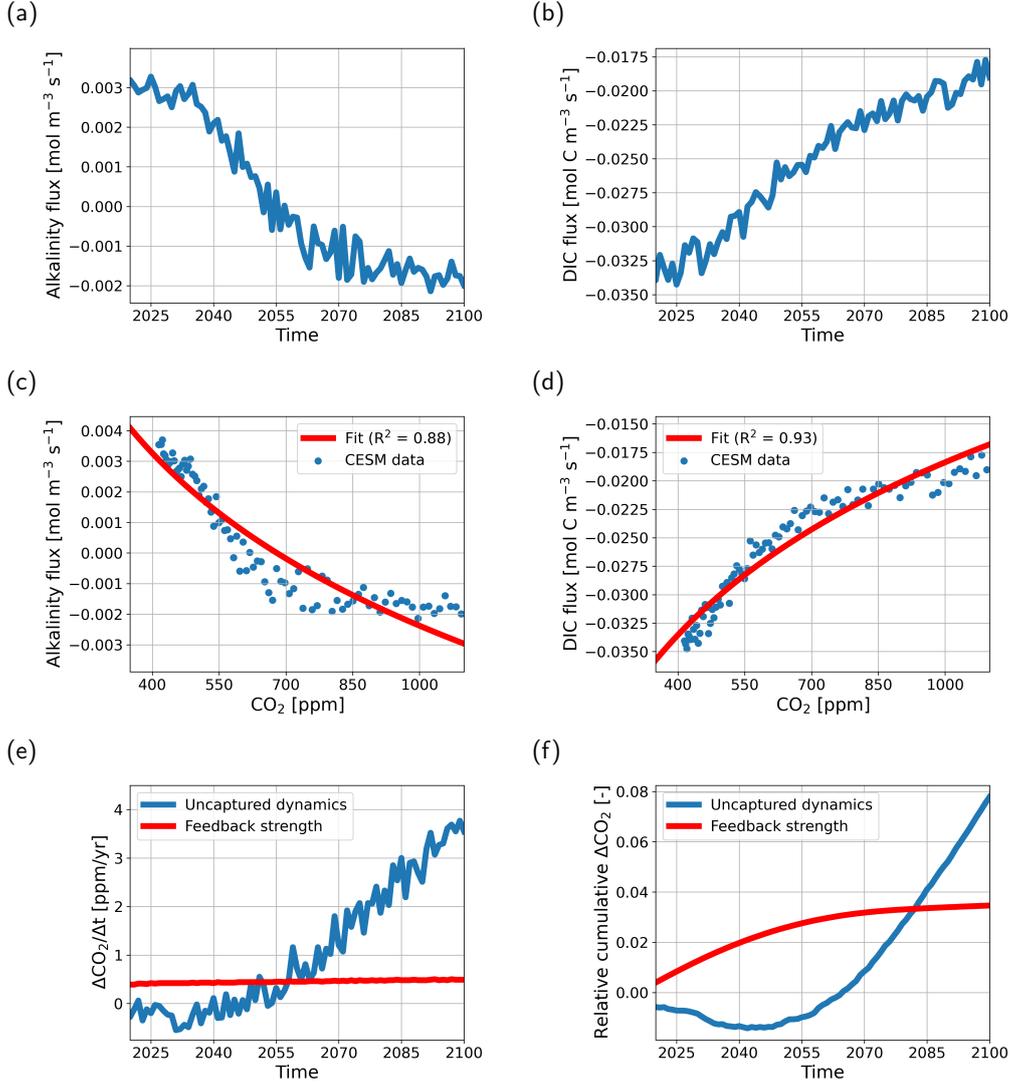
**Figure 2.** NPP averaged over top 100m in  $\text{mol C m}^{-3} \text{s}^{-1}$  for small phytoplankton (top row) and diatoms (bottom row) for the period 2015-2030 (left), the period 2086-2101 (middle) and the difference between the two (right).

234 Alk biological fluxes to vary as a function of atmospheric  $\text{pCO}_2$  following a fit to CESM2  
 235 output (Fig. 3c,d). This fit represents the rate of change of DIC and Alk due to biological  
 236 activity and the CESM2 output variables are averaged over the region  $40^\circ\text{-}60^\circ\text{N} \times$   
 237  $270^\circ\text{-}30^\circ\text{E}$  in the top 150m of the water column (Fig. 3a,b).

238 Using our method we can give a first estimate of the order of magnitude of the feed-  
 239 back. We find that the effect of reduced NPP and EP causes variability in both DIC and  
 240 Alk which results in a cumulative flux of approximately 294  $\text{GtCO}_2$  extra in the atmo-  
 241 sphere in the year 2100, resulting in a 37.9 ppm higher  $\text{CO}_2$  concentration in the atmo-  
 242 sphere (Fig. 4). Over this time period global mean surface temperature rises 4.9 K (av-  
 243 erage 2096-2100 minus average 2015-2019). This process hence represents a positive feed-  
 244 back with a strength of 60 ( $\frac{294}{4.9}$ )  $\text{GtCO}_2/\text{K}$  warming where, due to increasing  $\text{CO}_2$  con-  
 245 centrations, changes in the physical system such as an increase in stratification in the  
 246 North Atlantic, result in an unfavorable environment for diatoms while small phytoplank-  
 247 ton profit. This change in plankton composition decreases the flux of carbon from the  
 248 surface to the deep ocean which increases DIC and decreases Alk concentrations in the  
 249 surface ocean. The combined effect results in a decrease in the uptake of  $\text{CO}_2$  in the ocean,  
 250 further increasing atmospheric  $\text{CO}_2$  concentrations.

## 251 4 Summary and discussion

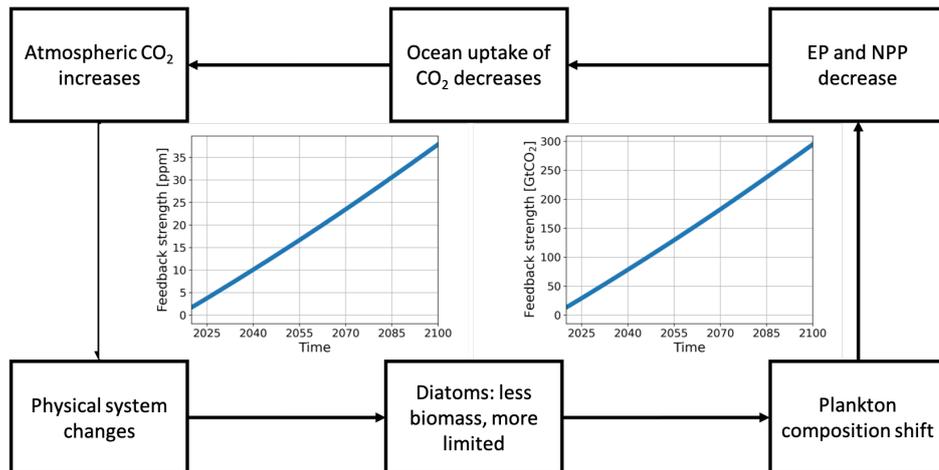
252 In this study, we investigated the interaction of atmospheric  $\text{pCO}_2$  and biological  
 253 production in the Atlantic Ocean north of  $45^\circ\text{N}$  in an emission driven SSP5-8.5 scenario  
 254 simulation in CESM2. We found that under these high emissions, net primary produc-  
 255 tion (NPP) and export production (EP) decrease in this region. Similar results on NPP  
 256 and EP have been obtained in CMIP5 simulations under the RCP8.5 scenario (Bopp et  
 257 al., 2013). It was shown that in the CESM2 simulation, this could be attributed to re-  
 258 duced productivity of diatoms which could be explained by increasing co-limitation of  
 259 light and nitrogen and decreasing biomass stocks. The increasing limitation was the re-  
 260 sult of stronger stratification in the North Atlantic, which could be partly explained by  
 261 increasing atmospheric temperatures due to increasing atmospheric  $\text{CO}_2$  levels. The shift



**Figure 3.** (a) Change of Alk flux in the region 40°-60°N × 270°-30°E in the top 150m of the water column due to biological activity in the CESM2 versus time. (b) As in (a) but for DIC. (c) Blue markers represent CESM2 data as in (a) but versus atmospheric CO<sub>2</sub> concentration, and the red line represents a logarithmic fit to this data. (d) As (c) but for DIC. (e) The uncaptured dynamics for atmospheric CO<sub>2</sub> concentrations in ppm/yr in the SCP-M with respect to CESM2 in blue, and the feedback strength in red. (f) As in (e) but cumulative and relative to the atmospheric CO<sub>2</sub> concentration.

262 in plankton composition from larger diatoms to small phytoplankton is in agreement with  
 263 theory and model results suggesting that small phytoplankton outcompete diatoms under  
 264 increased nutrient stress (Marinov et al., 2013).

265 The results indicate the existence of a positive carbon cycle feedback where plankton  
 266 composition plays a central role as shown in Fig. 4. Using an idealized carbon cycle  
 267 model, we have made a first attempt to put an order of magnitude on the feedback



**Figure 4.** Positive feedback loop on how biological activity in the North Atlantic Ocean is coupled to atmospheric  $p\text{CO}_2$ . Left graph in the loop represents the increase of atmospheric  $p\text{CO}_2$  due to the feedback loop in ppm. The right graph represents the equivalent cumulative change in air-sea gas exchange of  $\text{CO}_2$  in  $\text{GtCO}_2$ .

268 strength. Obviously this method has several caveats since the SCP-M captures less dy-  
 269 namics than the CESM2 and it is therefore difficult to assess the reliability of the results.  
 270 In our method, the uncaptured dynamics is quite sensitive to initial conditions and pa-  
 271 rameter values. However, the actual feedback strength is only sensitive to the original  
 272 strength of the biological flux. Using this simple method, it was shown that this feed-  
 273 back results in an increase in atmospheric  $\text{CO}_2$  of 37.9 ppm in 2100, which is equivalent  
 274 to approximately 294  $\text{GtCO}_2$ . To put this into perspective, this is slightly smaller than  
 275 the increased  $\text{CO}_2$  storage of  $\sim 336 \text{ GtCO}_2$  in the North Atlantic in the Last Glacial Max-  
 276 imum due to a more efficient biological carbon pump (Yu et al., 2019), meaning that the  
 277 order of magnitude of our feedback strength is in a realistic range. This positive feed-  
 278 back (Cabr e et al., 2015) seems to be relatively small, but it needs to be taken into ac-  
 279 count when estimating the safe carbon budget in future climate change. The safe car-  
 280 bon budget is estimated to be 308  $\text{GtCO}_2$  for a peak global warming of 1.5 K, and 994  $\text{GtCO}_2$   
 281 for a warming of 2 K (van der Ploeg, 2018). Assuming the assessed feedback strength  
 282 is correct, this feedback accounts for approximately 29% and 12% of the 1.5 K and 2.0 K  
 283 warming safe carbon budget respectively. In summary, biological activity in the ocean  
 284 is able to interact with the physical system and have an impact on variables such as global  
 285 mean surface temperature via atmospheric  $\text{CO}_2$  concentrations, with a substantial im-  
 286 pact on our safe carbon budget. Furthermore, we want to stress that the numbers pre-  
 287 sented here should be viewed as an estimate on the order of magnitude of the feedback  
 288 strength and not as an exact result because the used method to asses the feedback strength  
 289 has multiple caveats.

290 Certainly this study has its limitations, as only a single Earth System Model with  
 291 only a single member simulation for only one emission scenario is used. It might be very  
 292 interesting to repeat such simulations and analysis with models having different plank-  
 293 ton dynamics since responses of Earth System Models depend on the complexity of this  
 294 dynamics (Dutkiewicz et al., 2013; Fu et al., 2016) and since there exists a large inter-  
 295 model spread in NPP and EP among CMIP6 models (Kwiatkowski et al., 2020; Tagli-  
 296 abue et al., 2021). Furthermore, it would be useful to extend such simulations to, for ex-  
 297 ample, 2300 to see whether the increased productivity of the small phytoplankton groups

298 are able to dampen the positive feedback, or whether they will also become more lim-  
 299 ited due to increasing stratification in the North Atlantic.

## 300 Appendix A Open Research

301 The Community Earth System Model v2 (CESM2) output can be downloaded from  
 302 the Earth System Grid Federation (ESGF) ([https://esgf-node.llnl.gov/search/](https://esgf-node.llnl.gov/search/cmip6/)  
 303 [cmip6/](https://esgf-node.llnl.gov/search/cmip6/)) (Danabasoglu, 2019). Processed datasets, scripts for plotting, and scripts re-  
 304 lated to the Simple Carbon Project Model (SCP-M) used for this study can be found  
 305 at [10.5281/zenodo.6770132](https://zenodo.org/record/6770132) (Boot et al., 2022). We have also included a list of datasets  
 306 downloaded from the ESGF which are necessary to create the processed datasets, and  
 307 the figures. The original SCP-M V1.0 can be found at [10.5281/zenodo.1310161](https://zenodo.org/record/1310161) (C. M. O’Neill  
 308 et al., 2018).

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# Supporting Information for ”Effect of plankton composition shifts in the North Atlantic on atmospheric pCO<sub>2</sub>”

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## Contents of this file

1. The Simple Carbon Project Model v1.0
2. Figures S1 to S14

**Introduction** The supporting information contains a more elaborate explanation of the Simple Carbon Project Model v1.0 (SCP-M). Furthermore, it contains a figure of the model structure of the SCP-M and more results of the CESM2.

**The Simple Carbon Project Model v1.0** In this study, we have used the Simple Carbon Project Model v1.0 (SCP-M) and the model description is based on earlier work (Boot et al., 2022). For a complete description we refer the reader to the original paper (C. M. O’Neill et al., 2019). The SCP-M is a carbon cycle box model with a focus on the carbon cycle in the ocean. Several tracers are resolved in the ocean among which

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dissolved inorganic carbon and alkalinity. In the ocean, circulation, air-sea gas exchange, biological production, calcium carbonate production and dissolution, river fluxes and sediment fluxes are resolved but mostly in a very parameterized way. Besides an oceanic part, there are also two terrestrial biosphere carbon stocks and anthropogenic emissions to the atmosphere.

The model consists of 10 boxes: 1 atmosphere box, 7 ocean boxes, and 2 boxes in the terrestrial biosphere (Fig. S1). There is no explicit sediment box in this model. This is a 2D box model with dimensions in the vertical and latitudinal direction, meaning that there is no dependence on longitude or ocean basins. Box 2 represents the northern high latitude box and therefore the North Atlantic.

Carbon and alkalinity in Box 2 are affected by the Atlantic Meridional Overturning Circulation (AMOC,  $\psi_2$ , orange arrow in Fig. S1), biological production (green arrow in Fig. S1), calcium carbonate production and dissolution (light gray arrow and wiggle in Fig. S1), and air-sea gas exchange (carbon only; dark gray arrow in Fig. S1). From these processes the AMOC, the biological and calcium carbonate production are constant in the model. Calcium carbonate dissolution is saturation dependent and therefore dependent on the pH of the ocean water. This pH is determined using a direct solver which uses the pH from the previous time step as a first estimate (Follows et al., 2006). To increase accuracy we run the solver twice each time step (note that in the original model the solver is run once). With the pH, oceanic  $p\text{CO}_2$  can also be determined which is important for the air-sea gas exchange of  $\text{CO}_2$ , which is also dependent on the  $\text{CO}_2$  concentration in the

atmosphere. Temperature is affected by anthropogenic forcing, but this is prescribed and not dependent on atmospheric  $p\text{CO}_2$ ; the salinity is constant.

For the purpose of studying the feedback processes we have made slight adaptations to the SCP-M. First of all, we have included biological fluxes that affect alkalinity following

$$A_{bio} = -\frac{16}{106}C_{bio} \quad (1)$$

Where  $A_{bio}$  is the biological alkalinity flux,  $C_{bio}$  the biological carbon flux, and the fraction  $\frac{16}{106}$  represents the uptake of nitrate following constant stoichiometric ratios. Just as the biological DIC flux, it is constant. Secondly, we have updated the anthropogenic forcing to represent SSP5-8.5 instead of RCP8.5 (B. C. O'Neill et al., 2020; Green et al., 2021). And lastly, we have included the option for variable biological fluxes in the North Atlantic as a function of atmospheric  $p\text{CO}_2$ . This function is determined from fitting CESM2 biological fluxes to CESM2 atmospheric  $p\text{CO}_2$  (Fig. 3c,d in the main text) and scaled to the original, constant fluxes in the SCP-M:

$$C_{bio,2} = \frac{p\text{CO}_2 * 0.0165 - 0.133}{p\text{CO}_{2,0} * 0.0165 - 0.133} \times -2.87 \times 10^{-10} \quad (2)$$

$$A_{bio,2} = \frac{-p\text{CO}_2 * 0.00616 + 0.0402}{|-p\text{CO}_{2,0} * 0.00616 + 0.0402|} \times \frac{16}{106} \times 2.87 \times 10^{-10} \quad (3)$$

To determine the feedback strength we choose initial conditions in the SCP-M for the year 2015 from CESM2 output for DIC and Alk averaged over the regions corresponding to the boxes. First, we determine the uncaptured dynamics in the SCP-M with respect to the CESM2 with regard to atmospheric  $p\text{CO}_2$  for every time step. For this we use constant biological fluxes for all boxes in the SCP-M. Initial conditions for each timestep

are adapted with a uncaptured dynamics parameter  $Y(t)$ , following

$$pCO_2^{SCPM*}(t) = pCO_2^{SCPM}(t) + Y(t) \quad (4)$$

Where we determine  $Y(t)$  using a secant method, such that

$$pCO_2^{SCPM}(t+1) = pCO_2^{CESM}(t+1) \quad (5)$$

After determining the uncaptured dynamics, we can determine the feedback strength. To do this, we use the variable biological fluxes in the North Atlantic box as a function of atmospheric  $pCO_2$  (Eq. 2 and 3). We then determine the feedback strength  $X(t)$  for each time step following a similar method:

$$pCO_2^{SCPM*}(t) = pCO_2^{SCPM}(t) + Y(t) + X(t) \quad (6)$$

Where we search for  $X(t)$ , again using a secant method, such that

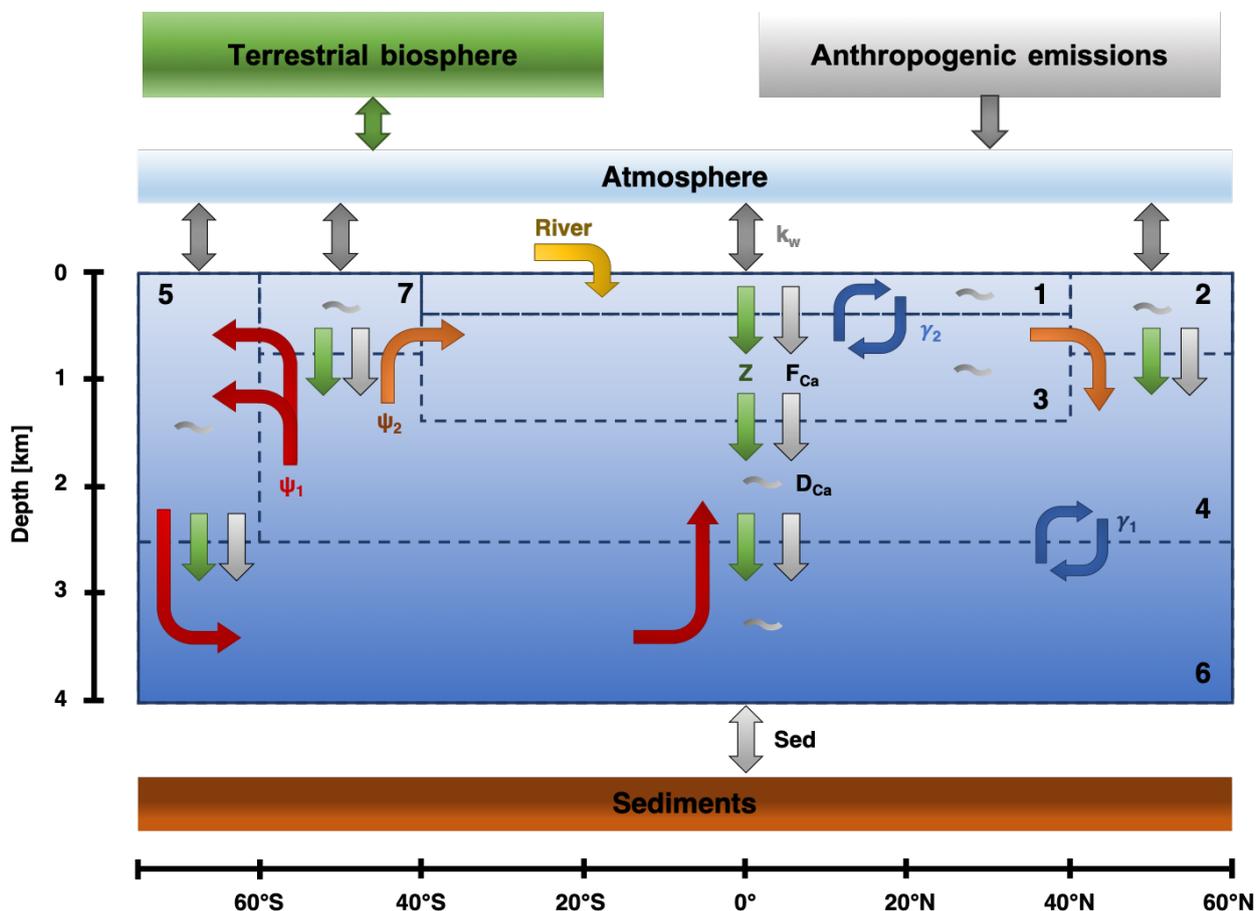
$$pCO_2^{SCPM}(t+1) = pCO_2^{CESM2}(t+1) \quad (7)$$

Note that if  $X(t)$  is negative, the feedback strength is positive, i.e. we have to lower our 'initial' atmospheric  $CO_2$  concentration, because the ocean takes up less carbon causing more atmospheric  $pCO_2$  to reside in the atmosphere.

## References

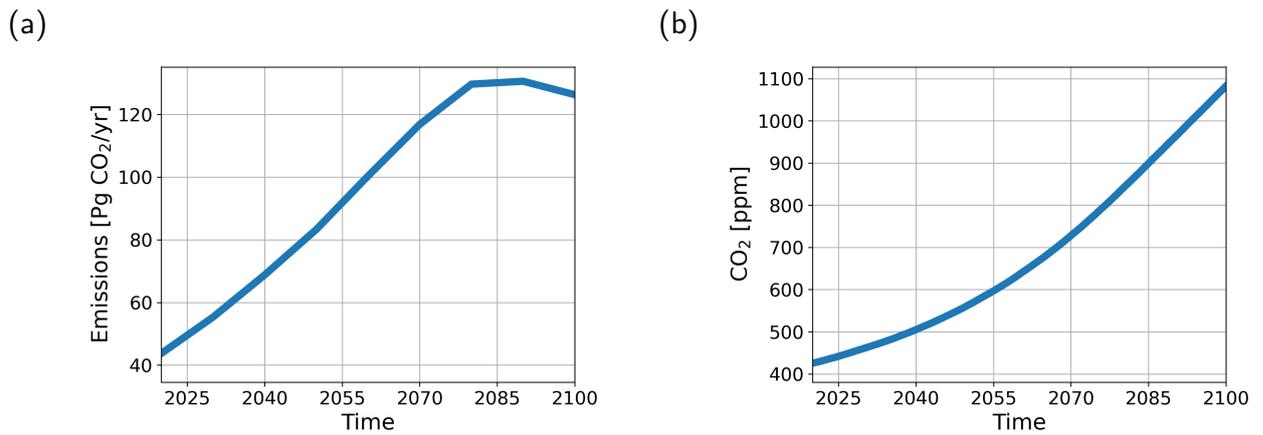
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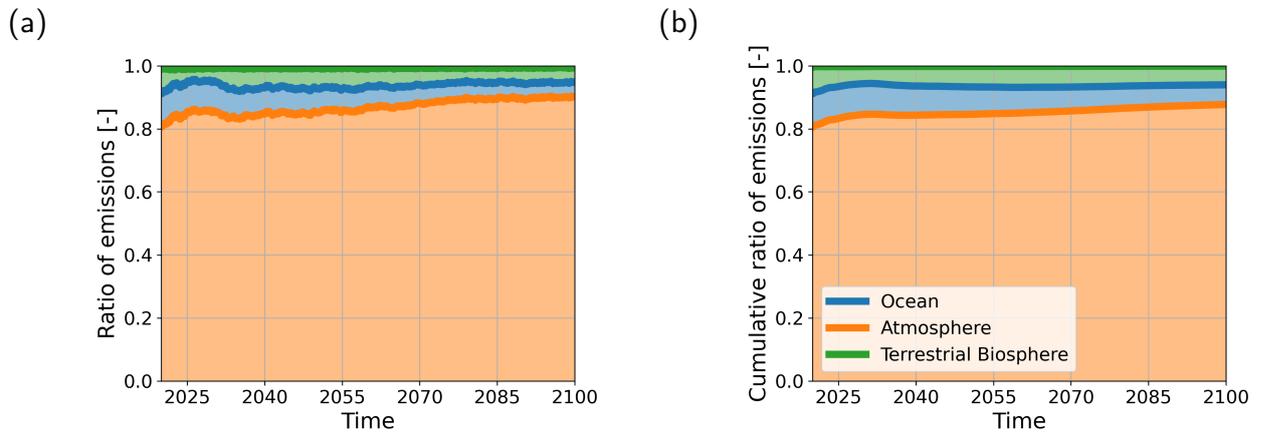


**Figure S1.** Box structure of the SCP-M. Box 2 represents the northern high latitude ocean.

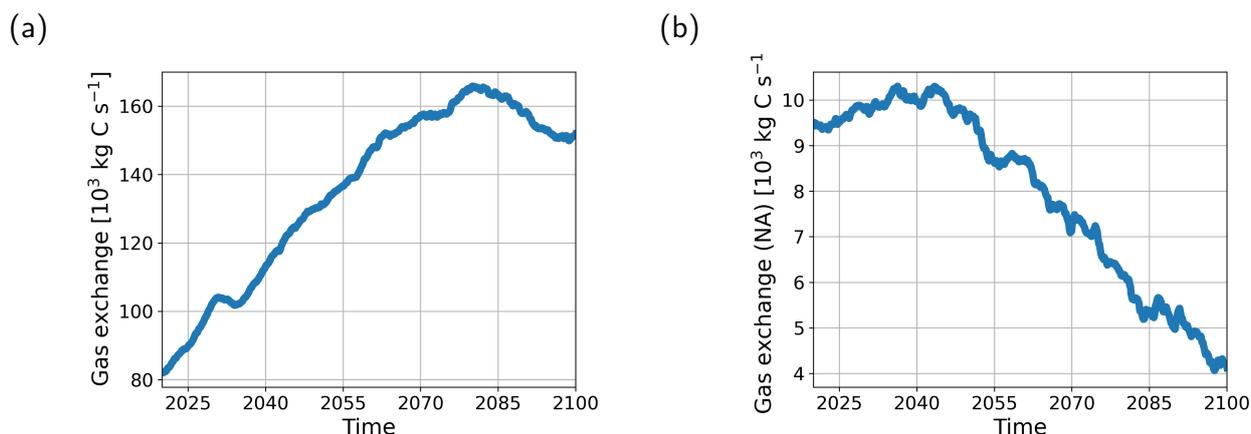
Figure adapted from (Boot et al., 2022).



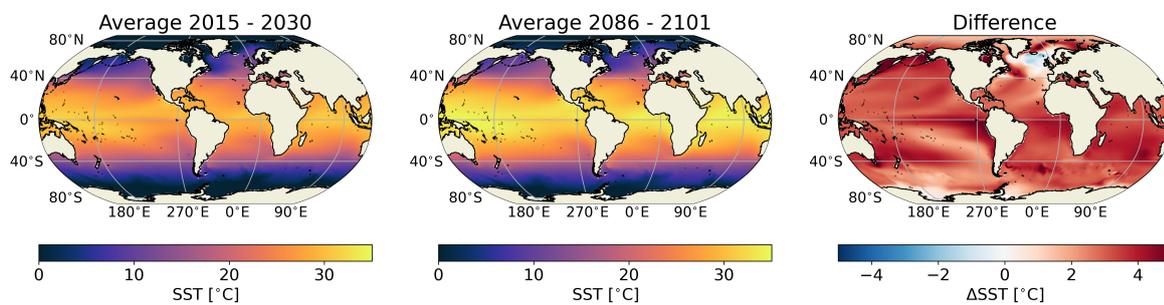
**Figure S2.** (a) Emissions of CO<sub>2</sub> in Pg CO<sub>2</sub> per year in the SSP5-8.5 scenario. (b) CO<sub>2</sub> concentrations in ppm as simulated in CESM2.



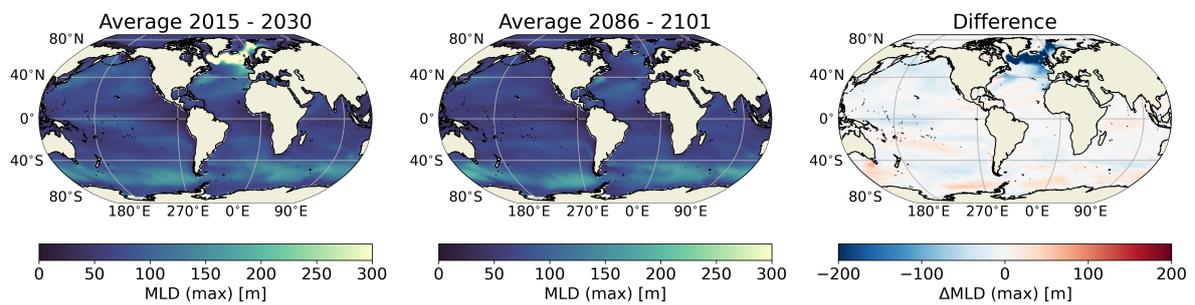
**Figure S3.** (a) Ratio of how the emitted CO<sub>2</sub> is distributed over the three different reservoirs atmosphere (orange), ocean (blue) and terrestrial biosphere (green) per time step in CESM2. (b) As in (a) but cumulative.



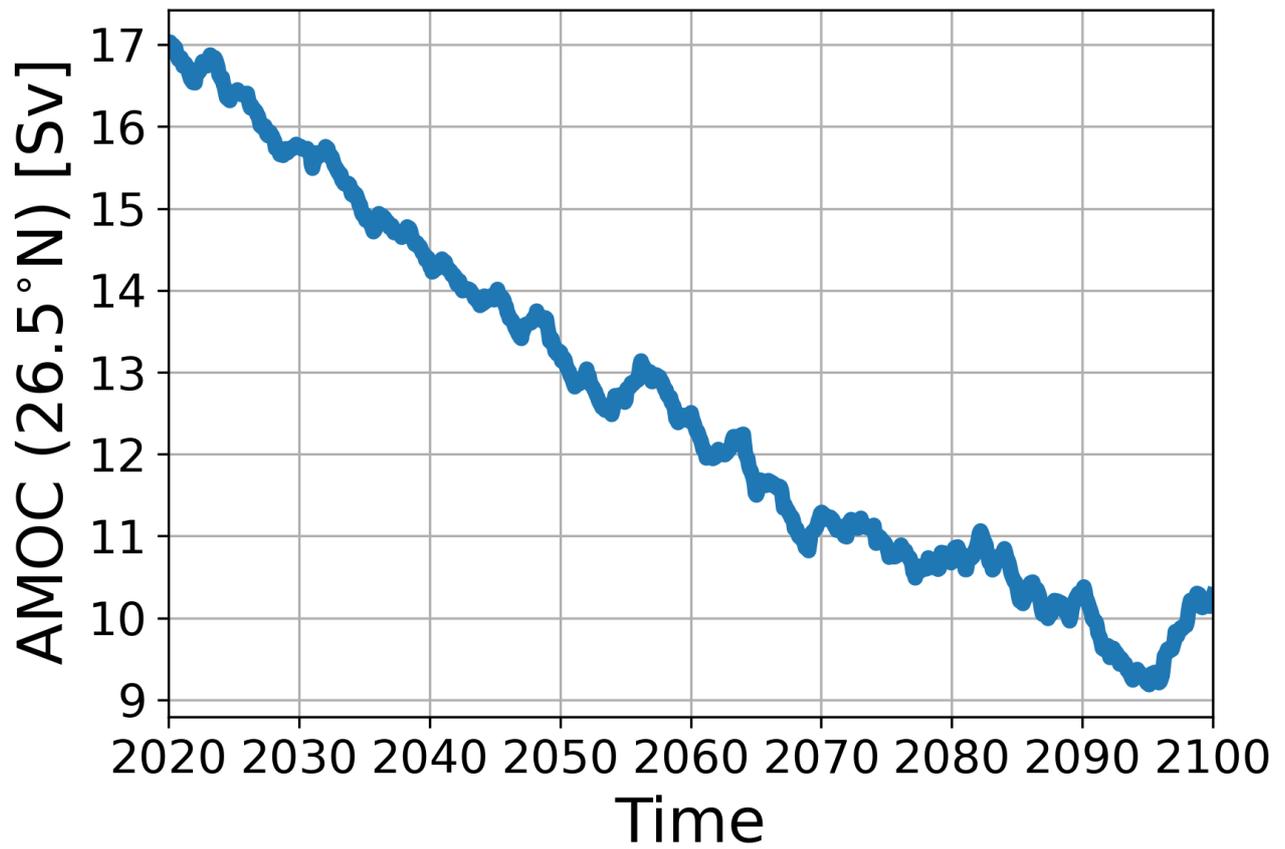
**Figure S4.** (a) Globally integrated air-sea gas exchange in  $10^{-3} \text{ kg C s}^{-1}$  in CESM2. (b) As in a, but integrated over the high latitude North Atlantic ( $45^\circ\text{-}70^\circ\text{N} \times 270^\circ\text{-}30^\circ\text{E}$ ).



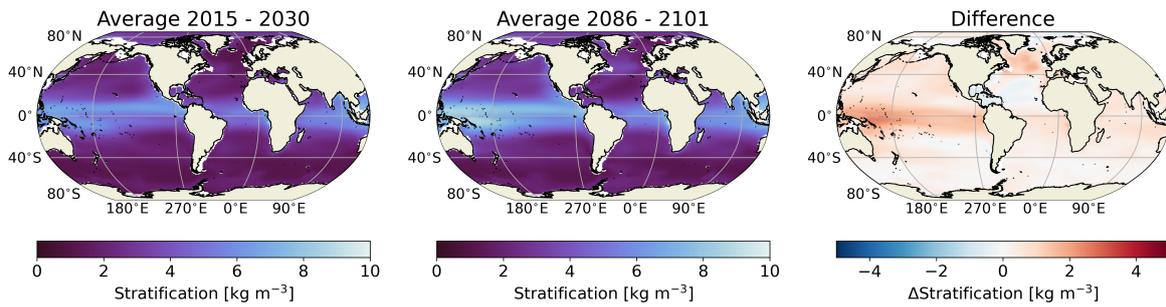
**Figure S5.** (a) Sea surface temperatures averaged over 2015-2030 in  $^\circ\text{C}$  in CESM2. (b) As in (a) but for the period 2086-2101. (c) The difference between the two. Red colors represent warming over the century, blue colors cooling.



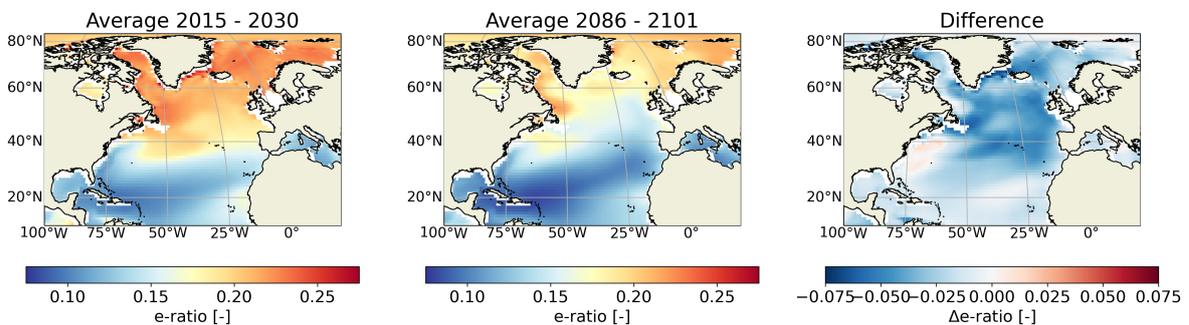
**Figure S6.** (a) Annual maximum mixed layer depth in m averaged over 2015-2030 in CESM2. (b) As in (a) but for the period 2086-2101. (c) The difference between the two. Red colors represent increasing depth over the century, blue colors decreasing depth.



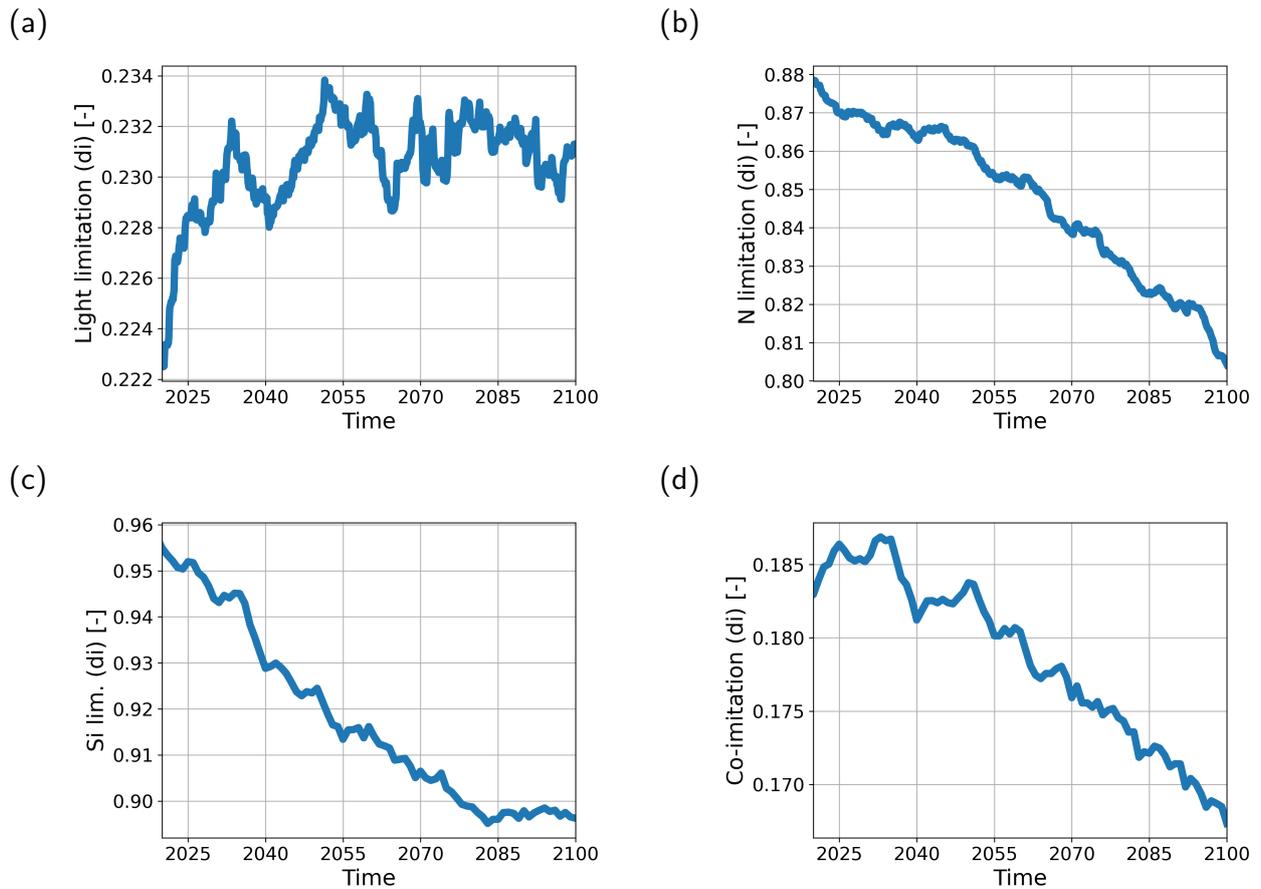
**Figure S7.** AMOC strength at 26.5°N in Sv as simulated in CESM2.



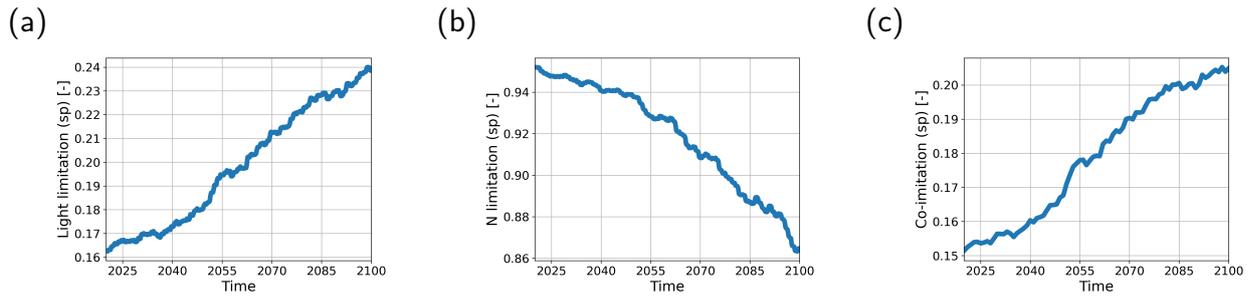
**Figure S8.** (a) Stratification in  $\text{kg m}^{-3}$  averaged over 2015-2030 in CESM2. Stratification is measured as the density difference between the surface and  $z=200$  m. (b) As in (a) but for the period 2086-2101. (c) The difference between the two. Red colors represent increasing stratification over the century, blue colors decreasing stratification.



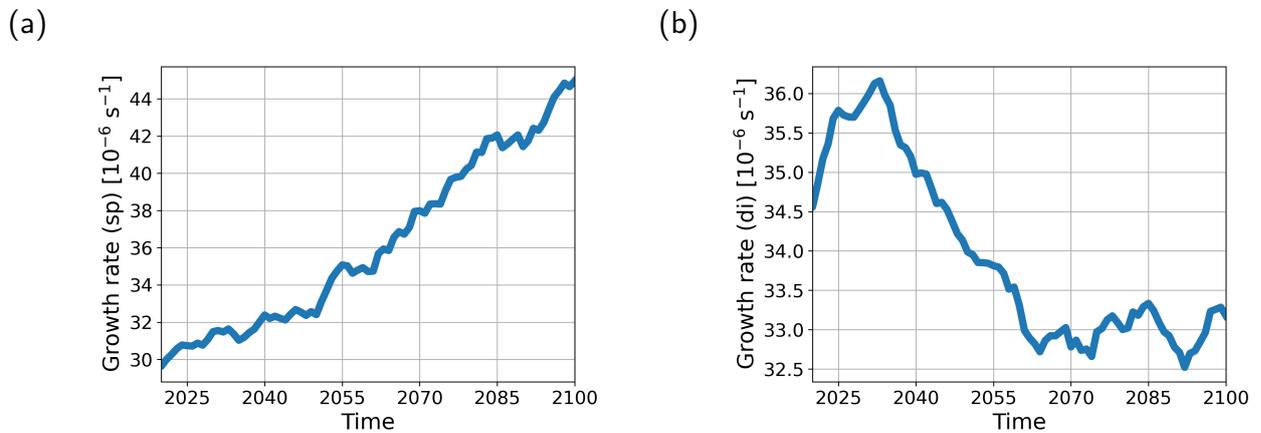
**Figure S9.** (a) Export production divided by Net Primary Production in the North Atlantic averaged over 2015-2030 in CESM2. (b) As in a but for the time period 2086-2101. (c) The difference between the two.



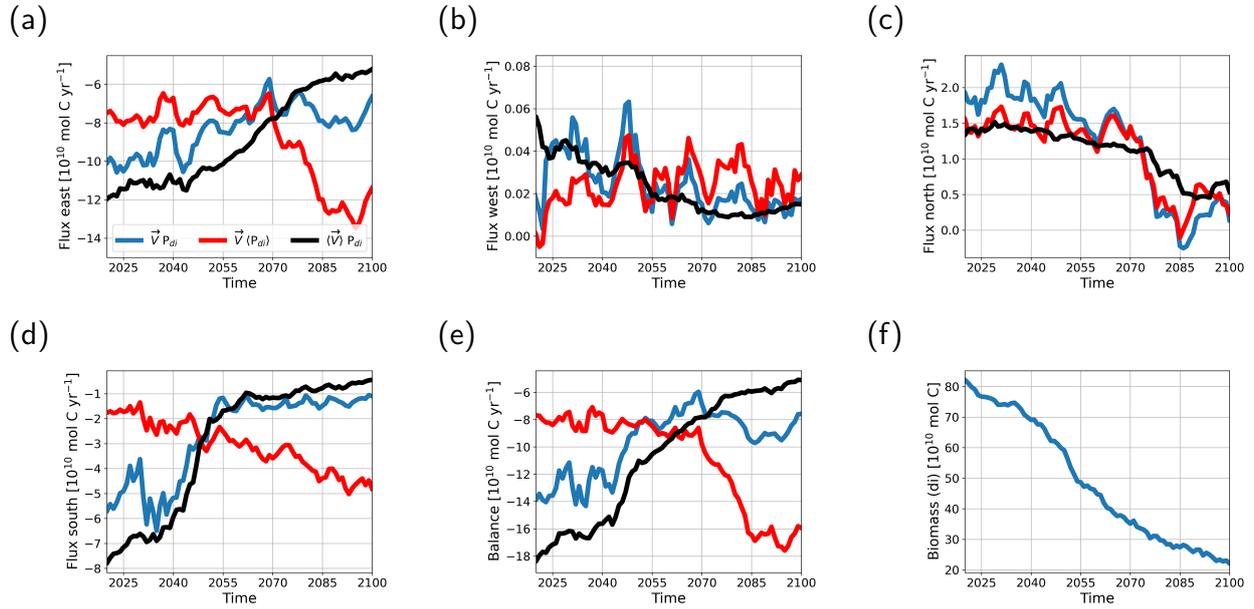
**Figure S10.** (a) Light limitation for diatoms in the region  $45^{\circ}$ - $70^{\circ}$ N  $\times$   $270^{\circ}$ - $30^{\circ}$ E in CESM2. Lower limitation values represent more limitation. (b) As in (a) but for nitrogen. (c) As in (a) but for silicate. (d) Nutrient-light co-limitation in the same region. Note that nitrogen is not necessarily the limiting nutrient in the entire domain for each time step. Different nutrient limitations are taken into account before averaging over mentioned region. Therefore the co-limitation is not simply the product of (a) and (b).



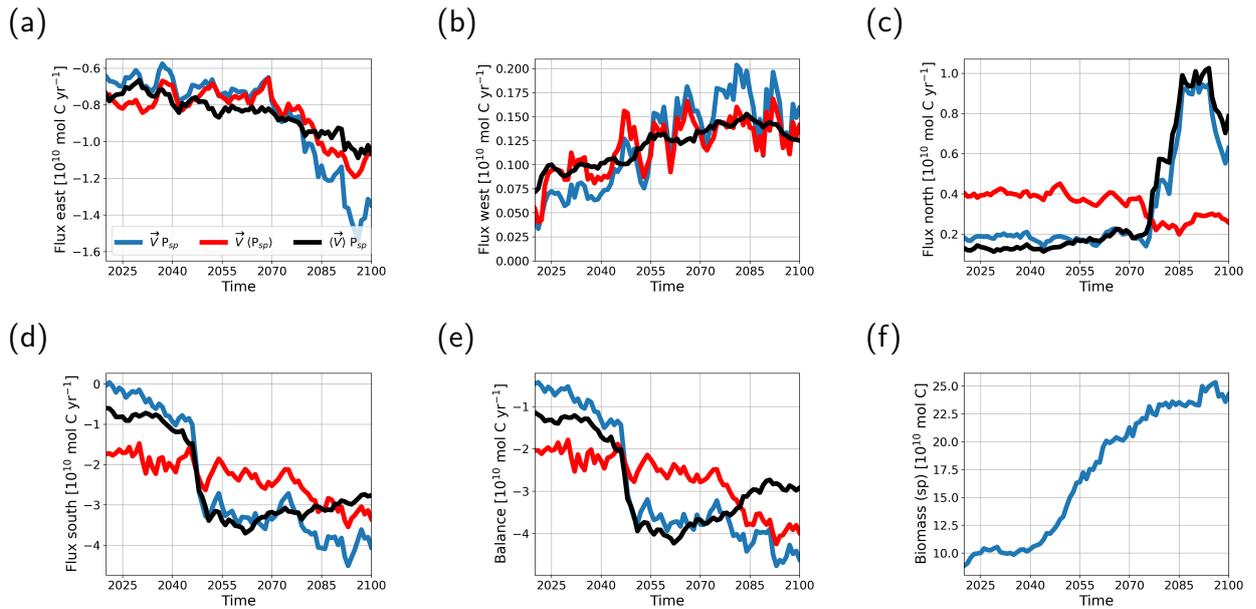
**Figure S11.** (a) Light limitation for small phytoplankton in the region  $45^{\circ}$ - $70^{\circ}$ N  $\times$   $270^{\circ}$ - $30^{\circ}$ E in CESM2. Lower limitation values represent more limitation. (b) As in (a) but for nitrogen. (c) Nutrient-light co-limitation in the same region. Different nutrient limitations are taken into account before averaging over mentioned region. Therefore the co-limitation is not simply the product of (a) and (b).



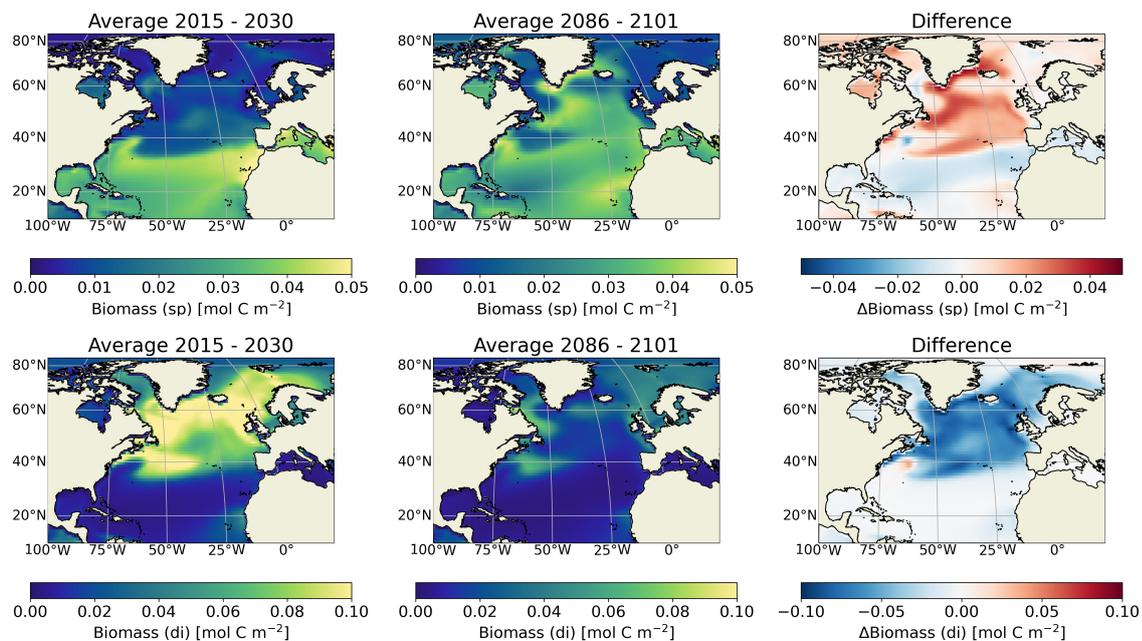
**Figure S12.** (a) Growth rate of small phytoplankton in  $10^{-6} \text{ s}^{-1}$  averaged over the top 100m in the region  $45^{\circ}$ - $70^{\circ}$ N  $\times$   $270^{\circ}$ - $30^{\circ}$ E in CESM2. (b) As in (a) but for diatoms.



**Figure S13.** Advective fluxes of diatom biomass in and out of the region  $45^{\circ}$ - $70^{\circ}$ N  $\times$   $270^{\circ}$ - $0^{\circ}$ E for the top 150m of the water column for (a) the eastern boundary, (b) the western boundary, (c) the northern boundary, (d) the southern boundary, and (e) the sum of the four in CESM2. (a-d) In  $10^{10}$  mol C yr $^{-1}$ . (e) In  $10^{10}$  mol C yr $^{-1}$ . (f) The biomass content of this same region in  $10^{10}$  mol C. Blue lines represent the actual calculated flux, red lines represent a flux where the biomass is time averaged over the entire period, and the black line a flux where the velocity field is time averaged over the entire period.



**Figure S14.** As in Fig. S13 but for small phytoplankton instead of diatoms.



**Figure S15.** Phytoplankton biomass in mol C m<sup>-2</sup> over the top 150 m for small phytoplankton (top row) and diatoms (bottom row) in CESM2. Note the different scaling for the diatoms. The left panels represent the average over 2015-2030, the middle panel the average over 2086-2101, and the right panel the difference between the two.