A synthetic spring-neap tidal cycle for long-term morphological modelling

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Abstract

Existing tidal input reduction approaches applied in accelerated morphodynamic simulations aim to capture the dominant tidal forces in a single or double representative tidal cycle, often referred to as a "morphological tide". These heavily simplified tidal signals fail to represent the tidal extremes, and hence poorly allow to represent hydrodynamics above the intertidal areas. Here, a generic method is developed to construct a synthetic spring-neap tidal cycle that (1) represents the original signal; (2) is exactly periodic; and (3) is constructed directly from full-complexity boundary information. The starting point is a fortnightly modulation of the semi-diurnal tide to represent spring-neap variation, while conserving periodicity. Diurnal tides and higher harmonics of the semi-diurnal tide are included to represent the asymmetry of the tide. The amplitudes and phases are then adjusted to give a best fit to histograms of water levels and water level gradients. A depth-averaged model of the Ems estuary (The Netherlands) demonstrates the effects of alternative tidal input reduction techniques. Adopting the new approach, the shape of the tidal wave is well-represented over the entire length of the estuary, leading to an improved representation of extreme tidal conditions. In particular, representing intertidal dynamics benefits from the new approach, which is reflected by hydrodynamics and residual sand transport patterns that approach non-schematized tidal dynamics. Future morphodynamic simulations forced with the synthetic signal are expected to show a more realistic exchange of sediment between the channels and tidal flats, likely improving their overall predictive capacity.

A synthetic spring-neap tidal cycle for long-term morphodynamic models

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Key Points:

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9	• A new approach to devise periodic tidal boundary conditions for long-term mor-
10	phodynamic simulations is developed
11	• The new method better represents tidal water level dynamics, bed shear stress,
12	and residual sand transport

• The pros and cons of both the new and existing approaches are evaluated

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14 Abstract

Existing tidal input reduction approaches applied in accelerated morphodynamic sim-15 ulations aim to capture the dominant tidal forces in a single or double representative tidal 16 cycle, often referred to as a "morphological tide". These heavily simplified tidal signals 17 fail to represent the tidal extremes, and hence poorly allow to represent hydrodynam-18 ics above the intertidal areas. Here, a generic method is developed to construct a syn-19 thetic spring-neap tidal cycle that (1) represents the original signal; (2) is exactly pe-20 riodic; and (3) is constructed directly from full-complexity boundary information. The 21 starting point is a fortnightly modulation of the semi-diurnal tide to represent spring-22 neap variation, while conserving periodicity. Diurnal tides and higher harmonics of the 23 semi-diurnal tide are included to represent the asymmetry of the tide. The amplitudes 24 and phases are then adjusted to give a best fit to histograms of water levels and water 25 level gradients. A depth-averaged model of the Ems estuary (The Netherlands) demon-26 strates the effects of alternative tidal input reduction techniques. Adopting the new ap-27 proach, the shape of the tidal wave is well-represented over the entire length of the es-28 tuary, leading to an improved representation of extreme tidal conditions. In particular, 29 representing intertidal dynamics benefits from the new approach, which is reflected by 30 hydrodynamics and residual sand transport patterns that approach non-schematized tidal 31 dynamics. Future morphodynamic simulations forced with the synthetic signal are ex-32 33 pected to show a more realistic exchange of sediment between the channels and tidal flats, likely improving their overall predictive capacity. 34

35 Plain Language Summary

The time-scales of erosion and deposition processes in estuaries and tidal basins 36 are several orders of magnitude larger than the time scales of the changing flows (years 37 versus hours, respectively). To efficiently simulate years of erosion and deposition, an 38 acceleration factor is applied to estuarine and coastal models that simulate the long-term 39 bed level developments. Tidal information used to force these accelerated models at the 40 seaward boundary requires an exactly repetitive signal to avoid inconsistencies in the up-41 scaling approach. A tidal input reduction technique is required to cope with the fact that 42 successive spring-neap cycles are never identical. In this paper, a tidal input reduction 43 method is developed that yields a synthetic, periodic tidal signal representing the vari-44 ation of amplitudes and asymmetries present in a multiyear tidal signal. These varia-45 tions are not captured well in existing, more limited, approaches for tidal input reduc-46 tion. The results from a numerical model forced with the synthetic tidal signal shows 47 that intertidal dynamics and residual sand transports are simulated more realistically, 48 compared to existing approaches. The new tidal input reduction method should improve 49 the exchange between the channels and intertidal areas in long-term estuarine and coastal 50 models, presumably allowing for a more realistic assessment of erosion and deposition 51 in these areas. 52

⁵³ 1 Introduction

The long-term or multi-decadal evolution of estuaries and tidal basins is largely con-54 trolled by the interaction between hydrodynamic forcing and the sediment bed (De Swart 55 & Zimmerman, 2009). This morphodynamic dependence on hydrodynamic controls al-56 lows for a quantitative investigation on the evolution of tidal basins using process-based 57 numerical models. Such morphodynamic tools in turn allow to simulate the evolution 58 of deltaic environments, which are increasingly influenced by anthropogenic impacts (Syvitski 59 et al., 2009) jeopardizing ecosystem services and potentially leading to morphological in-60 stability (Hoitink et al., 2020). Although numerical bed evolution models are often de-61 veloped to predict the direct morphological response to engineering measures (De Vriend 62 et al., 1993), they appear to be more realistic when the time scales related to the inves-63

tigated changes (T_c) and the time-scale at which the model attains dynamic equilibrium (T_e) are longer (Dam et al., 2016). Often, the developments from the initial conditions towards the model's dynamic equilibrium obscure the morphodynamic impact of the interventions where the model was originally designed for. As a consequence, process-based models are increasingly used to investigate not only decadal but also centennial and even millennial morphological evolution of estuarine and tidal environments (e.g.; Dastgheib et al., 2008; Van der Wegen & Roelvink, 2012; Nnafie et al., 2018; Braat et al., 2017).

Long-term morphodynamic modelling requires appropriate up-scaling of the effects 71 72 of hydrodynamic processes that typically fluctuate within hours or days to the time periods relevant for morphological changes. Various techniques exist to reduce the com-73 putational costs for the slow bed level evolution, while accounting for the shorter hydro-74 dynamic variability. These techniques range from postponed morphological updating, 75 based on gradients in the tide-averaged residual transport, to constructing simplified sed-76 iment balances that express bottom change in terms of sediment transport gradients de-77 pending only on the local water depth (Latteux, 1995; De Vriend et al., 1993; Roelvink, 78 2006; Roelvink & Reniers, 2011). The most commonly used morphological updating tech-79 nique is the fully coupled approach (Roelvink, 2006), where the bed level is updated ev-80 ery hydrodynamic time step. Such continuous updating includes short-term interactions 81 between flow, sediment transport, and morphology, resulting in a stable bed evolution, 82 also in intertidal areas which are inundated during high water conditions only. From a 83 physical point of view, the hydrodynamics should be resolved as detailed as possible. For 84 reasons of computational efficiency, long-term morphological evolution is often modelled 85 with the additional use of a so-called morphological timescale factor (or MorFac, MF), 86 essentially a multiplication factor for the depth change (Roelvink & Reniers, 2011). At 87 each hydrodynamic time step, the calculated bed level change is multiplied with this fac-88 tor, reducing the required simulation time with a factor MF. This accelerated approach 89 resolves morphodynamic processes operating at intratidal timescales, while maintaining 90 the speed, stability, and accuracy of tidally averaged updating approaches (Van der We-91 gen et al., 2008). In idealized geometric configurations, the MF approach can produce 92 stable bed evolution patterns for values up to O(1000) that do not deviate significantly 93 to the patterns simulated with smaller values of MF. A pre-requisite for stability is that 94 the bed level changes are small compared to water depth, so that no irreversible changes 95 develop within a phase of the tidal cycle (Van der Wegen & Roelvink, 2008). 96

Accelerated long-term simulations require schematized boundary conditions with 97 limited extremes, because the sediment transport fields for bed level adaptation are ex-98 trapolated with the MF approach (and may not exceed critical values within a compu-99 tational timestep). The time-series of boundary conditions need to be represented by a 100 reduced number of conditions consisting of a repetitive pattern that includes the dom-101 inant forcing conditions, but excludes intermittent events (e.g. storms) that may exag-102 gerate the bed evolution. The goal of input reduction is therefore to derive a limited rep-103 resentative subset of forcing conditions that approach the residual transport and asso-104 ciated morphological change patterns compared to a simulation forced with the full time-105 series over the period of interest (i.e. a 'brute-force' simulation). 106

Existing methods for tidal input reduction aim at capturing the dominant tidal dy-107 namics in a single tide (e.g.: Dastgheib et al., 2008; Van Maanen et al., 2013) or with 108 two representative tidal cycles (e.g.: Latteux, 1995; Lesser, 2009). Such simplified tidal 109 signals have been shown to reasonably reproduce morphological changes of tidal chan-110 nels (Van der Wegen & Roelvink, 2012; Van Der Wegen et al., 2011; Dissanayake et al., 111 2009; Dastgheib et al., 2008). However, heavily simplified tidal signals fail to represent 112 the tidal extremes (the tidal elevation above Mean High Water and below Mean Low Wa-113 ter), because they neglect these variations. They poorly represent intertidal areas, which 114 exert a major impact on the development of tidal asymmetry (Friedrichs & Aubrey, 1988). 115 Although the tide-averaged transport of non-cohesive sediments in the main estuarine 116

channels is captured well with solely a semi-diurnal tide and relevant overtides (Van de 117 Kreeke & Robaczewska, 1993), the long-term morphological development of tidal basins 118 is driven by tidal asymmetries resulting from the combination of multiple tidal constituents 119 (Guo et al., 2016). Preserving asymmetries present in the original tidal signal, as well 120 as providing the hydrodynamic conditions necessary for the development of intertidal 121 areas, is therefore a key requirement for the tidal input reduction approach. Despite its 122 importance for long-term morphological modelling, the impact of tidal input reduction 123 methods has rarely been systematically investigated. 124

125 A systematic investigation of tidal input reduction techniques preferably correlates such techniques to morphological output. However, morphological models are sensitive 126 to parameterizations (e.g. the sediment transport formula) and settings (grid size, bed 127 slope effect) used in the morphodynamic model (Van Maanen et al., 2011; Baar et al., 128 2019). Although the morphological output is steered by the simulated hydrodynamics, 129 it is also strongly influenced by morphodynamic calibration parameters, diffusing the ef-130 fect of the boundary schematization. In this paper we therefore refrain from morpho-131 dynamic simulations and focus on the effect of the tidal input reduction approach on hy-132 drodynamic model parameters considered relevant for morphodynamics. 133

The aim of this paper is twofold. First, a tidal input reduction technique is intro-134 duced that yields a synthetic, periodic spring-neap tidal signal representing the tidal ex-135 tremes as well as tidal asymmetry. Second, the effects of both existing and the new tidal 136 input reduction approaches are systematically investigated, since such an evaluation is 137 missing in the literature. Simulations forced with the original tidal signal (as a reference) 138 and simulations forced with schematized tides are evaluated in terms of tidal asymme-139 try, bed shear stress, inundation of intertidal flats, and residual sand transports. For this 140 latter purpose, we develop and apply a morphostatic (i.e. no bed level updating) model 141 of the Ems estuary (The Netherlands). 142

The structure of the remainder of this paper is as follows. We first review existing tidal input reduction techniques and explain the new methodology (Section 2). We then develop a numerical model of a real-world estuary (The Ems estuary, Section 3) and apply this to examine the effect of various types of tidal input reduction techniques on simulated hydrodynamics and sand transport (Section 4). The implications of simplifying tidal signals are discussed in Section 5, and conclusions are drawn in Section 6.

¹⁴⁹ 2 Tidal input reduction

¹⁵⁰ 2.1 The morphological tide

The goal of tidal input reduction is to create simplified representative tidal boundary conditions for up-scaling bed level changes in process-based morphological models. The aim is to represent the original tidal series in a simplified signal in a sense that it produces the same residual transport or initial morphological change patterns for a defined period and region of interest. The simplified tide is constructed as a periodic signal, so that a sequence of the same synthetic tidal signals is continuous. Such a simplified tide is often referred to as the "morphological tide" (Latteux, 1995).

The most common method to derive a morphological tide can be summarised as 158 follows (Roelvink & Reniers, 2011). The morphological development over a sufficiently 159 long time period (e.g.: several spring-neap cycles) is executed with both full hydrody-160 namic forcing and with several accelerated simulations, each forced with a single tidal 161 cycle, selected from the time-series. The simulated patterns of residual transport or bed 162 level adaptations resulting from reduced input simulations and from the full forcing sim-163 ulations are subsequently compared based on a correlation coefficient, and the slope of 164 the regression. The tidal cycle that produces simulated results that best resemble the 165 results from a full forcing simulation is then considered to be most representative. 166

Lesser (2009) demonstrated that such a simplified tide fails to correctly represent 167 residual transport in some cases, because it neglects the asymmetry resulting from in-168 teraction between the main semi-diurnal constituent (M_2) and the main diurnal constituents 169 $(O_1 \text{ and } K_1)$. Hoitink et al. (2003) demonstrated that in diurnal, or mixed mainly di-170 urnal regimes a residual transport can develop resulting from the tidal asymmetry that 171 arises from these primary constituents because they have angular frequencies that con-172 sist of sums and differences of two of the basic astronomical frequencies (see Pugh, 1987), 173 leading to substantial residual transport and morphological changes (Van Maren et al., 174 2004; Van Maren & Gerritsen, 2012). In these regimes, the residual transport that arises 175 from the triad interaction of K_1 , O_1 and M_2 can be more important than the residual 176 transport caused by the non-linear interaction of the main semi-diurnal component (M_2) 177 with its first overtide (M_4) (Song et al., 2011), often considered to be the dominant mech-178 anism for shallow water tides (e.g., Friedrichs & Aubrey, 1988; Van de Kreeke & Robaczewska, 179 1993). Lesser (2009) therefore included this triad interaction by defining an artificial con-180 stituent C_1 with half the frequency of the M_2 tidal constituent. The resulting *double tide* 181 consists of C_1 , M_2 and its overtides, and may include an additional scaling factor for the 182 amplitude of M_2 and/or C_1 , to account for the presence of a residual flow. 183

A literature review on publications that apply online-updated accelerated process-184 based morphodynamic models in tide-dominated settings was performed to provide an 185 overview of current tidal forcing approaches (Table 1). The 40 publications reviewed re-186 veal that tidal forcing is often reduced to the M_2 tidal constituent (17 publications). All 187 of these studies comprise idealised model configurations. In modelling studies that give 188 a more realistic representation of the estuarine environment, the tide is usually repre-189 sented by M_2 and its overtides (4 publications), the empirically derived morphological 190 tide (2 publications), or the morphological double tide (4 publications). These studies 191 aim at capturing the dominant tidal forces in a single or double representative tidal cy 192 cle. However, the (1D) simulated long-term morphodynamic development of estuarine 193 environments is governed by the combined effects of asymmetries resulting from the in-194 teraction of multiple tidal constituents and river-tide interaction (Guo et al., 2016). Par-195 ticularly, the omission of the S_2 constituent reduces the effects of river-tide interaction 196 and tidal asymmetry, leading to an underestimation of tide-induced residual transport. 197 Yet, the effects of ignoring significant constituents in simplified tides are not well stud-198 ied for 2D morphodynamics. Presumably because of the unknown effects of oversimpli-199 fying tides in 2D morphodynamic simulations, the authors of 13 publications chose to 200 overcome the considerations for tidal input reduction by forcing the full tide (Table 1). 201 However, using this approach in accelerated simulations the morphodynamic time can-202 not accurately be interpreted, because the sum of the tidal periods imposed lacks peri-203 odicity. The interval for integration of the residual transport is inconsistent and there-204 fore the transport is not accurately averaged over the tidal periods in the signal. These studies that did not apply tidal input reduction focused on decadal time-scales and such 206 an imperfect sediment balance may be acceptable with small acceleration factors. For 207 long-term (i.e. longer than decadal) simulations using larger acceleration factors, a sim-208 plified cyclic tide representing all significant tidal constituents (and therefore their in-209 teractions) would be an important advance over earlier simplified tides because (the in-210 teraction between) each significant tidal constituent plays a role in driving tidal resid-211 ual transport, and therefore in morphodynamic development (Guo et al., 2016). 212

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2.2 A synthetic representative signal

We aim to develop a generic method to construct a representative tidal signal that incorporates tidal extremes in a synthetic spring-neap cycle, while remaining periodic. The target synthetic spring-neap cycle: (1) sufficiently represents the original signal to preserve asymmetries; (2) is periodic, to ensure consistency in the start and end of consecutive cycles and to control the relative phasing with other types of forcings (e.g.: wind, waves, river discharge, ecology); and (3) is derived directly from the boundary informa-

Tidal forcing	Literature
M ₂	Bolla Pittaluga et al. (2015), Braat et al. (2017), Elmilady et al. (2022, 2020), Geleynse et al. (2011), Guo et al. (2015), Hibma et al. (2003), Leonardi et al. (2013), Marciano et al. (2005), Nahon et al. (2012), Van der Wegen et al. (2010), Van der Wegen and Roelvink (2008), Van der Wegen et al. (2008), Van Maanen et al. (2013), Xie et al. (2017), Yu et al. (2014), Zhou et al. (2014)
$M_2 + M_2$ overtides	Dissanayake et al. (2009), Dast gheib et al. (2008), Nnafie et al. (2018), Nnafie et al. (2019)
Morphological tide	Chen et al. (2022), He et al. (2022)
Morph. double tide	Elmilady et al. (2019), Van Der Wegen et al. (2011), Van der Wegen and Roelvink (2012), Van der Wegen and Jaffe (2014)
Full tidal forcing	Dam et al. (2008), Dam et al. (2016), Ganju and Schoellhamer (2010), Ganju et al. (2011), Ganju et al. (2009), George et al. (2012), Luan et al. (2017), Styles et al. (2016), Van der Wegen and Jaffe (2013), Van der Wegen et al. (2017), Weisscher et al. (2022), Zhang and Mao (2015), Zheng et al. (2021)

 Table 1. Tidal forcing approaches used in online-updated accelerated morphodynamic simulations.

tion, to avoid the empirical procedure required for the *morphological tide*, which introduces a dependency on the parameters and the locations chosen for the analysis. The
aim for the procedure is to provide a synthetic signal that resembles the original tidal
signal, excluding variations resulting from non-tidal processes.

The construction of the synthetic signal starts with a fortnightly modulation of the amplitude of the semi-diurnal tide to represent spring-neap variations. A synthetic signal with the duration of a fortnight resembles more accurately the real-world amplitude and phase variation than a single or double tide. Higher harmonics of the semi-diurnal tide are included to represent the asymmetry of the tide. Diurnal tides are included, following the method of Lesser (2009) to account for the O_1 -K₁-M₂ interaction while maintaining periodicity of the signal. The synthetic signal is given by:

$$\zeta(t) = \left(\overline{A_{D_2}} + A_{D_{sn}}\cos(\omega_{sn}t)\right)\cos(\omega_{D_2}t - \phi_{D_2}) + \overline{A_{D_4}}\cos(\omega_{D_4}t - \phi_{D_4}) + \overline{A_{D_6}}\cos(\omega_{D_6}t - \phi_{D_6}) + \overline{A_{D_8}}\cos(\omega_{D_8}t - \phi_{D_8}) + \overline{A_{C_1}}\cos(\omega_{C_1}t - \phi_{C_1})$$
(1)

where $A_{D,n}$ is the amplitude, $\omega_{D,n}$ the angular frequency, and $\phi_{D,n}$ the phase of the n^{th} tidal constituent. The angular frequency ω_{D_2} is taken equal to ω_{M_2} , and all other angular frequencies are an integer product or one over an integer product of this primary forcing frequency. The diurnal C₁ constituent has an amplitude of $\sqrt{2A_{O_1}A_{K_1}}$ and the phase average of ϕ_{O_1} and ϕ_{K_1} . The overbar denotes time-averaging and t is time. The amplitude of D_{sn} modulates $\overline{A_{D_2}}$ and is equal to the amplitude of the second largest peak in the semi-diurnal frequency band, which corresponds to S₂ or N₂. The length of the ²³⁸ "morphological spring-neap cycle" we introduce is given by the closest even number (de-

noted by i) of D_2 cycles that fit into the length of the spring-neap period induced by M_2 -

 S_2 interaction; exactly 28 semi-diurnal cycles. The angular frequency of the fortnightly

²⁴¹ modulation is then given by

$$\omega_{sn} = \frac{2\pi}{28T_{D_2}}\tag{2}$$

where T_{D_2} is the period of the D_2 constituent.



Figure 1. Step-wise construction of the synthetic spring-neap cycle, adding constituents in panel a-d, and scaling in panel e. For each step the resulting time-series (subscripted by 1) are shown in black and the added tidal constituent in red. The panels subscripted by 2 and 3 show the histograms of the synthetic signal (dashed line) and the full tidal signal (gray patch) for ζ and $d\zeta/dt$, respectively.

The step-wise construction of the morphological spring-neap cycle is illustrated in Figure 1, using a 19-year record of water level observations collected in the Dutch North Sea (monitoring station Wierumergronden). The synthetic signal is compared with the

full tidal signal using histograms of the free surface elevation (ζ) and the surface level 246 gradient $(d\zeta/dt)$. The histogram of ζ indicates asymmetry in tidal peaks, i.e. tidal peak 247 asymmetry, and the histogram of $d\zeta/dt$ indicates asymmetry in the duration of the ris-248 ing and falling limbs of the surface elevation time-series. The latter is also referred to 249 as tidal duration asymmetry and is highly relevant for the direction and magnitude of 250 residual bed-load transport of non-cohesive sediment (Van de Kreeke & Robaczewska, 251 1993). This approach based on histograms concisely characterises tidal asymmetry re-252 sulting from the interaction of all constituents, in contrast to the harmonic method that 253 characterises the asymmetry resulting from two or more interacting constituents. The 254 histograms in Figure 1 illustrate how the addition of the individual terms of Equation 255 1 provide a signal that progressively better resembles the nearly complete tidal signal 256 (reconstructed with 68 significant constituents resolved through harmonic analysis, see 257

Pawlowicz et al. (2002)).



Figure 2. Histograms of ζ (a) and $d\zeta/dt$ (b) for the observed signal (dashed line), a tidal prediction including 68 resolvable tidal constituents (gray patch), and the simplified tidal signals (coloured) previously used for long-term morphological modelling. Histograms are constructed using a bin width of 0.2 m and $\frac{1}{6}$ m/hr for the the histogram of ζ and $d\zeta/dt$, respectively.

Applying basic trigonometry, the synthetic signal is rewritten as a linear combination of sines and cosines with zero phases, which facilitates the optimisation. This equation is fitted to the full astronomical tidal signal using scale factors to the amplitudes of the sines and cosines of D_2 , D_{sn} , C_1 , and D_4 (higher harmonics of D_2 are not scaled because of time efficiency in the algorithm). A combined Root-Mean-Squared-Error (RMSE) for the histogram of ζ and $d\zeta/dt$ is computed for each individual scaling factor. The error values are stored in a matrix to optimise the combination of scaling factors for the amplitudes of each tidal constituent.

267 Histograms of (1) the observed water levels at monitoring station Wierum gronden, (2) water levels from a full tidal reconstruction, and (3) water levels from the syn-268 thetic spring-neap cycle and other simplified tidal signals are shown in Figure 2. Rep-269 resenting the full tide with a single M_2 constituent clearly oversimplifies the signal as this 270 M_2 tide is completely symmetric. Although this is slightly improved by adding an M_4 271 constituent, tidal extremes are not yet captured. These extremes are better represented 272 when spring-neap variations $(M_2+M_4+S_2+MS_4)$ are included, but the asymmetry of ζ 273 is reversed. The morphological double tide represents the asymmetry of $d\zeta/dt$ well, but 274 does not capture the extremes and asymmetry of ζ . The synthetic spring-neap cycle bet-275 ter approximates the extremes and asymmetries in the full tidal signal than the other 276 simplified tides do. The synthetic signal does include, however, a third peak in the his-277 togram of $d\zeta/dt$, which is not present in the full tide. Apparently, this peak is suppressed 278 by tidal constituents other than included in the simplified tide. 279

²⁸⁰ 3 Numerical model

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3.1 Model set-up

A numerical model is developed to quantify how various tidal reduction techniques 282 influence the spatial variation of hydrodynamics and sediment transport. The model is 283 set up to represent a real-world estuary rather than an idealized case, because the com-284 plex topography of a realistic environment introduces tidal asymmetries to be represented 285 appropriately. For this purpose we have selected the Ems estuary, a meso-tidal system 286 on the Dutch-German border that is part the Wadden Sea. The tidal prism is predom-287 inantly accommodated by a single channel that aligns with the incoming tidal wave prop-288 agation direction, as the tidal wave travels from west to east along the North Sea coast. 289 The discharge of the main river draining into the estuary (the Ems river) varies between 290 30 - 300 m³/s, and is small compared to the flood tidal prism $(10^9 m^3)$ (De Jonge et al., 291 2014). Other rivers discharging in the Ems estuary have a mean annual discharge that 292 is smaller than $10 \text{ m}^3/\text{s}$. 293

The model is developed in the Delft3D Flexible Mesh model suite (Kernkamp et 294 al., 2011). The numerical domain covers the offshore coastal part in the Wadden Sea, 295 the estuary, and the river up to an up-estuary weir, with a grid cell size ranging from 296 1 km (offshore) to 30 m (Figure 3). The model is set-up in 2D depth-averaged (2Dh) mode, 297 with corrections for spiral motion (secondary flow) applied to the depth-averaged mo-298 mentum equations. Water level boundary conditions are derived from a validated hy-299 drodynamic model that covers the Northwest European Shelf (Zijl & Groenenboom, 2019) 300 for the years 2018-2019. Tidal constituents at the boundaries are adjusted according a 301 comparison between modelled and observed amplitudes and phases, derived through har-302 monic analysis (Pawlowicz et al., 2002) at station Wierumergronden (close to the west-303 ern boundary of the model - see Figure 3). A time-varying observed river discharge is 304 prescribed at the upstream end of the Ems river for model calibration and validation, 305 whereas a constant value (80 m^3/s for the Ems river and less than 10 m^3/s) for the smaller 306 rivers) is prescribed for various scenario simulations. The bathymetry of the model is 307 based on echosounding observations collected in 2014, which are made freely available 308 by the Dutch Directorate-General for Public Works and Water Management. 309



Figure 3. The Ems estuary and numerical model domain (gray lines), with the locations of water level observations (red dots) and a line that follows the main route of tidal propagation (red line) from the western boundary of the model through the thalweg of the estuary and river, with estuary kilometres defined with respect to the point of maximal tidal intrusion at the weir.

Sediment transport is computed with the Van Rijn (1993) formula for medium fine 310 sand (180 μ m). The model is executed in morphostatic mode (i.e. no bed update) be-311 cause the feedback loops initiated by bed level adaptation complicates the analysis on 312 the direct effects of the boundary schematization on hydrodynamics and residual trans-313 port. An equilibrium sand concentration is prescribed at the marine model boundaries, 314 but no sand enters the model domain through the fluvial boundaries. There is interac-315 tion with the bed, which has an unlimited sand supply potential. The simulated sedi-316 ment transports in the model can deviate significantly from the natural conditions. How-317 ever, the settings for the sand transport model have a limited effect on the results be-318 cause the simulations are used for a relative comparison between simulations with var-319 ious boundary conditions. 320

3.2 Hydrodynamic calibration and validation



Figure 4. Observed and modelled amplitudes (a) and phases (b) of the M_2 and M_4 tidal constituents, based on the 2018 simulation. Model results (coloured lines) show the effect of different values for a spatially uniform Mannings' $n \ (m^{1/3} \ s^{-1})$ and the best calibrated model with a spatially varying roughness in the Ems river.

Water level observations for the years 2018 - 2019 collected throughout the estu-322 ary are used to calibrate and validate the model (see Figure 3). The time-series are de-323 composed into tidal constituent amplitudes and phases using harmonic analysis (Pawlowicz 324 et al., 2002). In the calibration phase, the model simulates the year 2018, using a spa-325 tially uniform roughness coefficient, Mannings' n, amounting to 0.017, 0.019, and 0.021 326 $m^{1/3}$ s⁻¹ (Figure 4). Tidal propagation is best represented by a Manning's *n* value of 327 $0.019 \text{ m}^{1/3} \text{ s}^{-1}$. Such a bed roughness, however, overestimates dampening of the tide in 328 the Ems river. In reality, the tides amplify as a result of extensive fluid mud deposits in 329 the Ems River, resulting in an apparent bed roughness around $0.10 \text{ m}^{1/3} \text{ s}^{-1}$ (Van Maren 330 et al., 2015). A linear decrease in bed roughness (from 0.019 $m^{1/3} s^{-1}$ at the entrance 331 of the river towards 0.011 $m^{1/3} s^{-1}$ at the upstream end at the weir) is therefore employed, 332 which better represents the tidal dynamics. 333

The model was validated against water level observations over the first five months of 2019. The modelled amplitudes of the four primary tidal constituents (M_2 , S_2 , O_1 , K_1) and M_4 are typically within 15% of the observed amplitudes (Figure 5a). The errors are larger (up to 28%) for the S_2 and M_4 tidal constituents in the landward part of the Ems river (Figure 5b). Modelled phases are typically within 10° of observations (Figure 5c), but the modelled phases of O_1 and especially K_1 differ more than 20° in the tidal river part (Figure 5d).

The calibrated model introduced herein serves to evaluate alternative tidal input reduction approaches for morphodynamic modelling. The non-schematized tidal boundary conditions (full tidal, providing a reference condition) and alternative simplified tidal

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Figure 5. Observed (light coloured bars) and modelled (dark coloured bars) tidal constituent amplitudes (a) and phases (c), based on the 2019 simulation. The difference (observed - mod-elled) of the amplitudes and phases are shown in panels b and d, respectively.

representations (as in Figure 2) are detailed in Table 2. The boundary forcing with the 344 morphological double tide includes an analytically derived scaling factor for M_2 (see Lesser 345 (2009) for the derivation), to incorporate the total energy of the full tide (the sum of squares 346 of the amplitudes of all tidal constituents) in the semi-diurnal frequency band. Apply-347 ing the scaling factor, residual transports resulting from a mean (residual) flow is con-348 served in the simplified tide. The various tidal input reduction scenarios are compared 349 to the reference in terms of tidal wave shape, bed shear stress, inundation, and sand trans-350 port in the following sections. All simulations (Table 2) are preceded by a two-week pe-351 riod that is excluded from the analysis to arrive at equilibrium conditions for the hydro-352 dynamics and suspended sediment concentrations at the start of the analysis. 353

354 **4 Results**

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4.1 Tidal wave shape

The representation of tidal wave shape is a primary indicator for the error made in the simulations forced with simplified tidal conditions. Figure 6 quantifies the adequacy of the tidal wave shape representation based on the RMSE between the tidal reduction scenario and the full tidal signal, for histograms of both ζ and $d\zeta/dt$. The fig-

 Table 2.
 Duration of the simulations forced with simplified tidal signals and the full tidal simulation that serves as the reference. Simulation names are used in the legends of the figures in the results.

Simulation name	Duration
Full tidal	1 year
M_2	24 hr, 50 min
M_2M_4	24 hr, 50 min
$M_2M_4S_2MS_4$	14.77 days
Morph. double tide	24 hr, 50 min
Morph. spring-neap	$14.48~\mathrm{days}$

³⁶⁰ ure clearly shows that only using an M₂ boundary forcing leads to the largest error. In-³⁶¹ cluding more tidal constituents in the boundary information decreases the error and in-³⁶² troducing spring-neap variations (M₂M₄S₂MS₄) leads to a markedly better representa-³⁶³ tion of tidal wave shape. The morphological spring-neap tide shows the smallest error, ³⁶⁴ both for ζ and for $d\zeta/dt$. The improvement established by introducing spring-neap vari-³⁶⁵ ations is largest in the coastal and central parts of the estuary (km 70 - 160), because ³⁶⁶ error estimates for all tidal reduction techniques converge to the same value in the up-

³⁶⁷ per reaches of the estuary.



Figure 6. RMSE for the histogram of ζ (a) and $d\zeta/dt$ (b) between the simulations forced with simplified tides and the full tidal simulation, calculated at points in the thalweg along the estuary kilometres defined in Figure 3.

4.2 Bed shear stress

Maximum bed shear stress magnitudes along the estuary thalweg (Figure 7a) are most accurately represented when accounting for spring-neap variations, although there still is an underprediction of 30-40%. Including spring-neap variations gives a better representation of tidal wave shape, therefore, asymmetries are better preserved leading to higher maximum tidal velocities. The mean shear stresses in the thalweg (Figure 7b), on the other hand, are represented well by all simplified tides (although they are slightly

overpredicted using the morphological double tide.). Maximum shear stresses are largest 375 in the main tidal channels (Figure 8a) and, consequently, absolute improvements are largest 376 in the tidal channels when accounting for spring-neap variations (compare in Figure 8) 377 panel d and f to panel b, c, and e). However, maximum shear stresses on the intertidal 378 areas are also underpredicted, in all simulated scenarios. An analysis on the error made 379 in representing bed shear stress magnitudes over the complete model domain (presented 380 in Figure 8) indicates that both the maximum (Figure 9a) and the mean (Figure 9b) shear 381 stress magnitudes improve by incorporating tidal extremes. A reduction in RMSE is found 382 in the subtidal (channels) and intertidal parts of the model domain. The consistent over-383 prediction of mean bed shear stress magnitudes with the morphological *double tide* in 384 the thalweg (Figure 7b) is reflected by larger RMSE values in the subtidal domain (Fig-385 ure 9b). Possibly, the overprediction is due to the implementation of a scaling factor for 386 the M_2 tidal amplitude, to account for non-tidal energy in the spectral tidal frequency 387 band. 388



Figure 7. Maximum (a) and mean (b) bed shear stress magnitudes simulated with the full tidal forcing and simplified tides, calculated at points in the thalweg along the estuary kilometres defined in Figure 3.

4.3 Inundation

389

The intertidal areas, representated by computational cells that experience regular 390 flooding and drying, make up $\approx 20\%$ of the model domain. In those areas, the duration 391 of inundation strongly controls sediment dynamics and therefore, the residence time of 392 water over the tidal flats (Figure 10) is an important property to capture in morpholog-393 ical simulations of tidal environments. Particularly the high littoral zone (Figure 10a, 394 b) is not captured by the simulations that exclude spring-neap variations, evidenced by 395 too many computational cells that are permanently dry. Sediment cannot settle or erode 396 in the higher intertidal parts when those areas never inundate. The bed level height of 397 tidal flats will not be able to adjust to a height that resembles reality. Similarly, in the 398 low littoral zone (Figure 10e, f), the simplified signals without spring-neap variations re-399 sult in too many computational cells that are permanently inundated such that the lower 400 intertidal zone becomes a subtidal area. Average conditions in the mid-littoral zone are 401 well-represented by all simplified tides. 402



Figure 8. Maximum bed shear stress during the reference simulation (a) and difference in maximum bed shear stress between the scenarios and the reference (b-f)



Figure 9. RMSE for the maximum (a) and mean (b) bed shear stress magnitudes between the simulations forced with simplified tides and the full tidal simulation. RMSE values are calculated as mean values for all the computational cells within the specified subregions estuary, river, subtidal channels and intertidal areas.

403 4.4 Sediment transport

The gross, cross-section integrated sand transport fluxes vary with each tidal cycle in the *full tidal* simulation. The mean of the range in gross transport flood fluxes (Fig-



Figure 10. Cumulative distributions of the fraction of time of the total simulation length (in %) that a computational cell is dry (emerged), as a function of the fraction of the total intertidal area in the modelling domain. The distributions are shown for defined subregions; the estuary (a, c, e) and the river (b, d, f), and subdivided in the high (a, b), mid- (c, d), and lower (e, f) littoral zone.

ure 11a) is well-captured by the $M_2M_4S_2MS_4$ tide, the morphological *double tide*, and 406 the morphological spring-neap simulations. The M_2 tide, the morphological double tide, 407 and the morphological spring-neap simulations all reproduce the mean gross ebb trans-408 ports reasonably well. For the full tidal simulation, the residual transport (Figure 11b) 409 is flood-dominant at the mouth (km 85 - 108), ebb-dominant in the central part (km 45 410 - 85) of the estuary; and neither flood nor ebb dominant in the tidal river (km 0 - 45). 411 This large-scale behaviour is captured well by each of the alternative simplified tides, ex-412 cept for the M_2 simulation, which prescribes a perfectly symmetric tide at the sea bound-413 aries and therefore leads to an underestimation of the flood directed residual transport 414 (Figure 11a). The morphological *spring-neap* tidal boundary conditions lead to resid-415 ual transport best representing full tidal residual transport (Figure 11b). The M_2M_4 and 416 $M_2M_4S_2MS_4$ tidal boundary conditions lead to an underestimation of the magnitude of 417 the residual transport fluxes, and the morphological double tide generates slightly more 418 ebb-dominant transport in the entire estuary. 419

The morphological evolution is not only driven by the magnitude of gradients in the residual transport flux, but also by the directions. An analysis of the error (RMSE) made in the direction and magnitude of residual transports averaged over all computational cells (Figure 12) reveals that particularly the error in direction is smaller for the simulations that include spring-neap variations. The RMSE for the magnitude of the residual transport shows less scatter, except for the M₂ simulations, which clearly deviates



Figure 11. Mean of the total (bed + suspended) load gross transport fluxes (a) and residual transport per tidal cycle (b) in the thalweg (see the cross-sections in Figure 3 for locations).

in the channels. In general, including spring-neap variations reduces the error in mag-

⁴²⁷ nitude and direction of residual transports in the channels and over the intertidal areas.



Figure 12. Error (RMSE) in the direction (horizontal axis) and magnitude (vertical axis) of the residual total (bed + suspended) load sand transport in the channels (circles) and on the intertidal areas (triangles).

428 5 Discussion

A new tidal input reduction method was developed which includes periodic spring-429 neap variation in a simplified tide. Prescribing this new method as boundary conditions 430 in an estuarine setting improves the representation of tidal wave shape, maximum and 431 mean bed shear stress magnitudes, inundation times, and residual sand transport pat-432 terns, compared to existing tidal input reduction methods to represent the non-schematized 433 tidal dynamics. The strong and weak points of the new methodology and existing tidal 434 input reduction techniques are summarised in Figure 13 using normalised scores, with 435 436 0 indicating a poor represention of the full tidal signal, and 1 indicating a full representation of the full signal. The scores are calculated as 437

$$score = \frac{z}{\max(z)}, z = 1 - \frac{x}{\max(x)}$$
(3)

The x-values for the parameters *Periodic* and *Deterministic* are binary (0 or 1). 438 and Cycle length proceeds directly from Table 2. The other values for x are computed 439 from the model scenario metrics presented in Chapter 4. An averaged RMSE between 440 the output computed with a simplified and a full tide serves as x-value. The new method 441 scores maximal on 10 out of 12 metrics, with lower scores only for the duration of the 442 cycle and the duration of inundation (second-best score). Especially the scores computed 443 from the various model scenarios strongly influence the morphodynamic evolution of a 444 model (bed shear stress parameters, sand transport, inundation, and tidal asymmetry). 445 When converting the model into morphodynamic mode, we therefore expect the new in-446 put reduction technique to provide physically more meaningful bed level predictions. How-447 ever, as elaborated earlier, we do not explore the resulting morphodynamic impacts, which 448 may be very case-specific and therefore cannot easily be generalized. The higher scores 449 in Table 2 therefore motivate to replace traditional approaches for tidal input reduction 450 with the new method. 451

The main drawback of the synthetic spring-neap cycle, following directly from Fig-452 ure 13, is the simulation duration. The 28 M₂ cycles (≈ 14.48 days) required in the com-453 putations is 14 times longer than the time required to simulate a cycle of the morpho-454 logical double tide (Lesser, 2009). In practice this drawback is minor, because a shorter 455 representative tidal period (e.g. the M_2 period) is usually frequently repeated. Simulat-456 ing many tidal cycles is preferred because bed elevation changes over a single tidal cy-457 cle are small compared to inaccuracy, which are then linearly amplified by a compara-458 tively large morphological upscale factor (MF). For this reason, a single morphological 459 tidal cycle is repeated even more often than 28 times, up to multiple hydrodynamic years 460 (e.g. Dastgheib et al., 2008). The longest acceptable hydrodynamic simulation time is 461 then usually combined with the smallest possible MF because (too) large values for the 462 MF can produce unrealistic bed development (Ranasinghe et al., 2011). 463

Numerical morphological models may also be forced with non-tidal processes, such 464 as a seasonally varying river discharge (e.g. Van Der Wegen et al., 2011; He et al., 2022) 465 or wave- and wind-driven re-suspension (e.g. Van der Wegen et al., 2017). Such non-tidal 466 conditions are typically accelerated by a factor MF as well (i.e., an annual river flood 467 recurs MF times per year). In these cases, the relative phasing of the various forcing fac-468 tors with the tide need to be explicitly accounted for as well. Otherwise, for instance, 469 persistently combining seasonal river floods or storm events with spring tide or flood con-470 ditions leads to biased bed development. In tidal series the M_2 -S₂ phase differences through-471 out a spring-neap cycle differ for successive spring-neap cycles, which also holds for the 472 phase differences between semi-diurnal and diurnal tides. In the synthetic spring-neap 473 cycle, the relative phasing is identical for successive spring-neap cycles, which allows to 474 optimize the relative phasing with non-tidal processes. 475

A tide-averaged transport for coarse sediment is generated by a representative tide 476 consisting of a tide-induced Eulerian mean current (M_0) , M_2 and any of its even over-477 tides (Van de Kreeke & Robaczewska, 1993). When diurnal components are important, 478 a similar net residual transport arises from the triad interaction of $M_2-K_1-O_1$ (Hoitink 479 et al., 2003), which can be captured in a periodic double tide through an artificial di-480 urnal component with half the frequency of M_2 (Lesser, 2009). Spring-neap variations 481 are so far mainly ignored in representative tides (Dastgheib et al., 2008; Roelvink & Re-482 niers, 2011). This paper demonstrates that simplified tides consisting of a single or a dou-483 ble tide (which are most frequently used for long-term morphological modelling) do per-484 form well in representing mean bed shear stress and residual sand transports inside the 485 estuarine channels. However, they fail to reproduce the full range of asymmetry in the 486 tide leading to an underestimation of maximum bed shear stresses (controlling the timescales 487 of bed level adaptation) and to represent the upper and lower intertidal inundation that 488 steers the development of intertidal flats (Friedrichs, 2011). Representing the variation 489 in tidal asymmetries is shown to be important to capture the residual sand transports 490 on the intertidal flats as well. This is because the velocity skew (flood versus ebb dom-491 inance) over tidal flats is modulated during the spring-neap cycle (Nidzieko & Ralston, 492 2012). Therefore, if the intertidal areas are of insignificant importance in the environ-493 ment studied and the focus of the study is on the tidal channels, ignoring spring-neap 494 variations in a representative tide is presumably allowed. However, if the intertidal parts 495 of the modelling domain are an integral part of the phenomena studied, morphodynamic 496 models cannot suffice with a representative tide consisting of a *single* or *double tide*. 497

Applying the synthetic spring-neap cycle in a fully coupled morphodynamic model 498 (including bed level adaptations) leads to much more realistic tidal dynamics. The computed residual sand transport will improve by including the tidal extremes and asym-500 metries resulting from the spring-neap modulations, promoting a more realistic chan-501 nel transport and channel-shoal exchange. The inclusion of tidal extremes may also have 502 negative effects, however. The resulting higher maximum bed shear stresses possibly lim-503 its the morphological acceleration factor, which can otherwise lead to unrealistic bed level 504 developments. Such a potential shortcoming depends on various model settings (Reyns 505 et al., 2014), and requires a case-specific analysis. Furthermore, the gross and net sand 506 transport presented in this paper was based on simulations with a single fraction sed-507 iment bed existing of non-cohesive sediments, calculated with the Van Rijn (1993) for-508 mula. Multiple fraction sediment beds, including cohesive sediments, may develop un-509 expected interactions in conjunction with the synthetic spring-neap cycle which needs 510 to be explored in a practical case. Planned long-term morphodynamic modelling will re-511 veal the advantages and the challenges of the more realistic representation of tidal dy-512 namics advocated in this paper. 513

514 6 Conclusions

Spring-neap variations can be included in simplified tidal signals that are applica-515 ble as boundary conditions in long-term morphological models. Compared to a single 516 or a double tide, often used in morphodynamic simulations, tidal variation in a synthetic 517 spring-neap cycle is better represented through a fortnightly modulation on the ampli-518 tude of the semi-diurnal tide. The tidal input reduction method developed in this pa-519 per yields a signal that: (1) resembles the amplitude variation of the full tidal signal and 520 sufficiently preserves asymmetries to approach non-schematized tidal dynamics and resid-521 ual sand transports; (2) is strictly periodic; and (3) can readily be derived from the full 522 boundary conditions. It does not require a fitting procedure based on modelling results. 523

Process-based numerical models of tidal environments that include the tidal extremes induced by spring-neap variations represent the shape of the tidal wave through the tidal basin more realistically. Simulations with simplified tidal signals that neglect the tidal extremes underestimate maximum bed shear stresses in the channels and simulate a too



Figure 13. Normalised scores (0-1) for simplified tides to represent (simulated) non-schematized tidal conditions. The calculation of the score values is explained in the main text.

limited extent of the tidal flats. Although simulations forced with these signals approximate the tidally averaged residual sand transport patterns in the channels quite reasonably, an appropriate representation of the extremes is required to reproduce the patterns both in the channels and on the intertidal areas. The newly developed tidal input
reduction method provides a signal that resolves non-cohesive sediment transport within
the estuary more accurately, and may improve the simulated exchange of sediment between the channels and tidal flats.

535 7 Open Research

A toolbox is developed that allows to construct a synthetic spring-neap tidal cycle from a time-series of tidal elevations. The toolbox is developed in MATLAB code, and available for download at https://github.com/Rschrijvershof/morphoSpringNeap .git.

There are no restrictions on the data used in this study. The bathymetry data used 540 for model set-up was requested through the servicedesk data of Rijkswaterstaat (https:// 541 www.rijkswaterstaat.nl/formulieren/contactformulier-servicedesk-data). Ob-542 served water level data from Dutch monitoring stations are available at https://waterinfo 543 .rws.nl and the data from the German monitoring stations was requested at WSA Ems-544 Norsee (https://www.wsa-ems-nordsee.wsv.de/). The configurations of the numer-545 ical model simulations used in this article are stored at 4TU.ResearchData (https:// 546 doi.org/10.4121/19845262.v1). 547

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