Scaling of moored surface ocean turbulence measurements in the Southeast Pacific Ocean

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Abstract

Estimates of turbulence kinetic energy (TKE) dissipation rate (ε) are key to understanding how heat, gas, and other climaterelevant properties are transferred across the air-sea interface and mixed within the ocean. A relatively new method involving moored pulse-coherent Acoustic Doppler Current Profilers (ADCPs) allows for estimates of ε with concurrent surface flux and wave measurements across an extensive length of time and range of conditions. Here, we present 9 months of moored estimates of ε at a fixed depth of 8.4m at the Stratus mooring site (20°S, 85°W). We find that shear- and buoyancy-dominant turbulence regimes are defined equally well using the Obukhov length scale (L_M) and the newer Langmuir stability length scale (L_L), suggesting that ocean-side friction velocity (u*) implicitly captures the influence of Langmuir circulation at this site. This is illustrated by a strong linear dependence between surface Stokes drift (us) and and is likely facilitated by the steady Southeast trade winds regime. The traditional Law of the Wall (LOW) and surface buoyancy flux scalings of Monin-Obukhov similarity theory scale our estimates of well, collapsing data points near unity. We find that the newer Stokes drift scaling ($usu*^2$ /mixed layer depth) scales ε well at times but is overall less consistent than LOW. Scaling relationships from prior studies in a variety of aquatic and atmospheric settings largely agree with our data in destabilizing, shear-dominant conditions but diverge in other regimes.



Supplemental Figure 1. The least squares linear regression of u_s against u_* .



Supplemental Figure 2. Measurements of ε scaled by Law of the Wall (Equation 2), surface buoyancy flux (Equation 3), and $u_s u_h^2/h$ scalings across z/h. Solid black line indicates binned median and dashed black line indicates binned mean, calculated across all data points. Shaded area denotes the interquartile range. The instrument is close to the bottom of the mixed layer where $\frac{z}{h} \sim 1$ and far above it where $\frac{z}{h} \ll 1$.

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8	Key points
9 10 11	• Moored instrumentation allows for prolonged timeseries of turbulence estimates with concurrent in-situ meteorological and wave measurements
12 13 14	• Dissipation rate is scaled well by Law of the Wall in shear-dominant regimes and by surface buoyancy flux in convective-dominant regimes
15 16 17	• It is unnecessary in the Southeast Pacific Stratus region to distinguish between a wind-driven and Langmuir-driven turbulence regime
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35 Abstract

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- understanding how heat, gas, and other climate-relevant properties are transferred across the air-
- sea interface and mixed within the ocean. A relatively new method involving moored pulse-
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- 46 between surface Stokes drift (u_s) and u_* and is likely facilitated by the steady Southeast trade
- 47 winds regime. The traditional Law of the Wall (LOW) and surface buoyancy flux scalings of
- 48 Monin-Obukhov similarity theory scale our estimates of ε well, collapsing data points near unity.
- 49 We find that the newer Stokes drift scaling $(\frac{u_*^2 u_s}{mixed \ layer \ depth})$ scales ε well at times but is overall

50 less consistent than LOW. Scaling relationships from prior studies in a variety of aquatic and

51 atmospheric settings largely agree with our data in destabilizing, shear-dominant conditions but

52 diverge in other regimes.

53 Plain Language Summary

Surface ocean turbulence is key in the transfer of heat, gas, and other climate-relevant 54 properties between the ocean and atmosphere. Turbulence can be understood through estimates 55 of turbulence kinetic energy (TKE) dissipation rate (ε), which is a measure of the rate of 56 dissipation of turbulent energy into heat energy. Higher values of ε indicate a more turbulent 57 58 environment. Because ε is important but difficult to estimate in the field, much effort has been put into parameterizing it from more easily obtainable variables such as wind speed, wave 59 60 measurements, and surface buoyancy flux. Here, we test these parameterizations against an extensive timeseries of ε estimates collected on a mooring line attached to a surface buoy in the 61 62 Southeast Pacific Ocean. This region is known to support important South American fisheries as well play a significant role in the global radiation budget, yet is poorly represented in climate 63 models. We find the wind- and buoyancy flux-based parameterizations to describe our estimates 64 of ε well, and we explore how conditions at the study site influence their performance. 65

66 **1 Introduction**

67 Turbulence kinetic energy (TKE) represents processes that drive the mixing of heat,

- 68 momentum, and gas within and between the ocean and atmosphere, making it an important
- 69 parameter in studies of weather and climate. It is generated in the Ocean Boundary Layer (OBL)
- 70 primarily by wind- and wave-driven shear and buoyancy-driven convection, though wave
- breaking and other turbulent processes can play a significant role as well. Assuming a
- 72 horizontally homogeneous flow, the TKE budget may be written as

73
$$\frac{D\bar{e}}{Dt} = -\underbrace{\overline{u'_h w'} \cdot \frac{\partial u_h}{\partial z}}_{production} - \underbrace{\overline{u'_h w'} \cdot \frac{\partial u_s}{\partial z}}_{stokes} + \underbrace{\overline{w'B'_0}}_{Buoyant \ production/} - \underbrace{\frac{\partial}{\partial z} \left(\overline{w'u'_l u_l'} + \frac{1}{\rho_0} (\overline{w'p'})\right)}_{Transport} - \frac{2}{Destruction} \underbrace{\frac{\partial}{\partial z} \left(\overline{w'u'_l u_l'} + \frac{1}{\rho_0} (\overline{w'p'})\right)}_{Transport} - \frac{1}{1}$$

75

76 where e is TKE, u_h and w are horizontal and vertical velocities, u_s is the Stokes drift velocity 77 vector, B_0 is surface buoyancy flux, ρ_0 is background density, and p is pressure. Prime notation indicates the turbulent component of a Reynolds decomposed quantity, overbars indicate a time 78 79 mean, and the subscript *i* indicates tensor notation. The shear production term describes TKE 80 from the shear of currents generated by winds at the surface while the Stokes production term 81 describes that of the shear of Stokes drift associated with surface waves. The interaction of Stokes drift with the shear of the wind-driven current results in Langmuir circulation, 82 characterized by vertically-oriented, counter-rotating vortices that are often visible at the surface 83 84 as streaks of foam or kelp aligned in the direction of the wind (Craik and Leibovich, 1976). These vortices result in enhanced turbulent vertical velocities that aid in the transport of TKE 85 generated near the surface to the base of the mixed layer (e.g. Sutherland et al., 2014), via the 86 87 turbulent transport term. This plays an important role in the deepening of the mixed layer (Belcher et al., 2012; Li and Fox-Kemper, 2017). The buoyancy term describes the production of 88 89 TKE by free convection associated with destabilizing surface buoyancy fluxes or its destruction by stratification caused by stabilizing fluxes. 90

The rate of TKE dissipation (ε) into heat is of particular interest because it must, on average, equal the total TKE generated in a system. Traditional parameterizations of ε assume a simplified version of Equation 1 in which the production of TKE by current shear or convection is balanced by its dissipation. In the absence of surface waves (i.e., the OBL is a "wall"-bounded layer) and buoyancy flux, the wind term may be scaled using friction velocity (u_*) to give rise to the Law of the Wall (LOW),

97
$$\varepsilon \sim u_*^3 / \kappa |z|$$

98 where the von Kármán constant, κ , is 0.4. Likewise in the absence of waves and wind, ε scales 99 with surface buoyancy flux (B₀):

2.

100
$$\varepsilon \sim B_0$$
 3

Early studies of the application of LOW and Equation 3 in the OBL include Shay and Greg
(1986), Anis and Moum (1992) and Brainerd and Gregg (1993). Dimensional analysis of LOW
and Equation 3 gives rise to a key length scale known as the Obukhov length scale,

$$L_M = \frac{-u_*^3}{\kappa B_0}$$

which may be conceptualized as the depth at which buoyancy and mechanical shear contribute equally to turbulence in the ocean (e.g. Stull, 1988). It follows that buoyancy forcing dominates the TKE regime where $\left|\frac{z}{L_M}\right| > 1$ (production in convective conditions, suppression in stable

- 108 conditions) and wind forcing dominates where $\left|\frac{z}{L_M}\right| < 1$. This serves as the basis for scaling 109 relationships derived from Monin-Obukhov (MO) similarity theory (Monin and Obukhov, 1959).
- 110 In the atmosphere, MO scaling relationships for ε typically take the form of

111
$$\frac{\varepsilon \kappa z}{u_*^3} = \left[A^{1/M_1} + B^{1/M_2} |z/L_M|^{1/M_3} \right]^{M_4}$$

where A, B, and M_i are empirically derived coefficients and z is height. Here, ε is

nondimensionalized by LOW. In the OBL, this equation is often rearranged by dividing through by LOW and setting *M* equal to unity such that ε is presented as a linear combination of TKE

5.

115 production by wind and buoyant forcing:

116
$$\varepsilon = A \frac{u_*^3}{\kappa z} + BB_0$$
 6.

117 where *A* and *B* are typically determined by the averages of $\varepsilon / \frac{u_*^3}{\kappa z}$, and ε / B_0 in their respective 118 dominant regimes, and B_0 is restricted to positive values (turbulence producing rather than 119 suppressing). Equation 6 was first proposed in Lombardo and Gregg (1989) for an intermediate 120 L_M -defined regime in which both mechanical and buoyant forcing contributed significantly to 121 turbulence production, though they found it also scaled measurements of turbulence fairly well 122 across all observed conditions.

The representation of the OBL in MO Similarity Theory as a wave-free, wall-bounded 123 layer has been challenged by decades of observational studies of turbulence generated by surface 124 wave breaking (Agrawal et al., 1992; Anis and Moum, 1995; Craig and Banner, 1994; Drennan 125 et al., 1992; Gemmrich and Farmer, 2004; Soloviev and Lukas, 2003; Terray et al., 1996) and 126 wind-wave interaction (D'Asaro, 2014; Sutherland et al., 2014). Wave breaking directly injects 127 turbulence into the near-surface "breaking layer", which extends down to a depth of 128 approximately 0.6 the significant wave height (H_{sw}) (Gerbi et al., 2009; Terray et al., 1996). 129 TKE generated in this layer is transported downwards to a "transition layer", also known as 130 wave-affected surface layer (WASL) (Gerbi et al., 2009; Stips et al., 2005). According to Terray 131 et al., (1996), this transition layer is bounded below by the transition depth, z_t , though 132 133 observational studies have since shown mixed results on its presence or extent (Esters et al., 2018; Sutherland and Melville, 2015). Dissipation rates are expected to deviate from MO 134 135 Similarity Theory (Equations 2, 3, 5, and 6) in the breaking and transition layers, and conform below, where TKE from waves has dissipated entirely. 136

137 While TKE generated through surface wave breaking and the shear of Stokes drift velocities is largely confined to the upper few meters of the water column, Langmuir circulation 138 can distribute turbulence to the base of the mixed layer through its associated enhancement of 139 140 vertical transport. Because of its importance to mixed layer deepening, there have been many efforts to parameterize Langmuir circulation in models of the OBL (Li et al., 2019). The 141 Langmuir number, $L_a = \sqrt{u_*/u_s}$, arises from a scaled ratio of the wind and wave terms in 142 Equation 1 and describes the strength of Langmuir circulation (McWilliams et al., 1997). For 143 well-developed seas, $L_a \sim 0.4$ (Belcher et al., 2012; Sutherland et al., 2014), though misalignment 144 of wind and waves is known to broaden the range of L_a (Van Roekel et al., 2012). According to 145 LES results from Grant and Belcher (2009), a distinct Langmuir-driven regime is defined where 146

- $L_a < 0.5$, with the transition to a wind-dominant regime occurring between $0.5 < L_a < 2$. A 147
- second term, the Langmuir stability length scale, $L_L = -u_*^2 u_s / B_0$, similarly arises from the scaled ratio of the wave and buoyancy terms in Equation 1. It describes the relative strength of 148
- 149
- Langmuir circulation to buoyant forcing (Belcher et al., 2012) and the use of $\frac{h}{L_{L}}$ to define 150
- turbulence regimes, where h is mixed layer depth, is analogous to that of z/L_M . Belcher et al. 151
- (2012) defines Langmuir-dominant and buoyancy-dominant regimes as $\frac{h}{L_I} > 1$ and $\frac{h}{L_I} < 1$, 152

respectively, but because this term contains both u_s and u_* , it can also be considered a 153

- delineation of a buoyancy-dominant regime and that of a composite "wind-wave-induced" 154
- turbulence that includes the contributions of both wind-induced current shear and Langmuir 155
- circulation (Esters et al., 2018; Sutherland et al., 2014). 156

Because wind and waves are intrinsically tied, there is some question as to whether it is 157 necessary to parameterize Langmuir circulation separately, or if the implicit incorporation of 158 wave effects in traditional wind scaling parameterizations is sufficient. In their model study on 159 160 the global prevalence of Langmuir circulation, Belcher et al. (2012) argued against this, reasoning that wind and waves are rarely in equilibrium and citing variability in the ratio of u_s to 161 u_* as evidenced by the large range in their computed values of La across the world's oceans. 162 Conversely, a number of observational studies have found u_s to scale linearly with u_* (Esters et 163 al., 2018; Gargett and Grosch, 2014; Kitaigorodskii et al., 1983). In cases where u_s is linearly 164 proportional to u_* , it follows that that La is relatively constant and thus L_L and L_M become 165 linearly proportional as well, as $L_M = La^{-2}L_L$ (omitting the von Kármán constant). 166

A framework based on La and L_L is presented in Belcher et al. (2012) and has been used 167 by both observational (Esters et al., 2017) and large eddy simulation (LES) studies (e.g. Li et al., 168 2019: Li and Fox-Kemper, 2017) to assess the relative contributions of wind-driven current 169 shear, buoyancy forcing, and Langmuir circulation to the overall turbulence regime. This 170 framework defines TKE as a linear combination of the three forcings, similarly to Equation 6, 171

172
$$\varepsilon\left(\frac{z}{h} = 0.5\right) = A_s \frac{u_*^3}{h} + A_L \frac{w_{*L}^3}{h} + A_c \frac{w_*^3}{h}$$
 7.

where $w_{*_L} = (u_*^2 u_s)^{1/3}$ and $w_* = (B_0 h)^{\frac{1}{3}}$ are velocity scales for wave and buoyancy-forced 173 turbulence and $A_s = 2\left(1 - e^{-\frac{1}{2}L_a}\right)$, $A_L = 0.22$, and $A_c = 0.3$ are coefficients derived from LES 174 studies. This equation applies where z is half of h, an arbitrary depth chosen to discern where the 175 three forcings are well established. A_s is made a function of L_a to account for the inhibition of 176 vertical velocity shear and thus shear production by the enhanced vertical velocities associated 177 with Langmuir circulation. Equation 7 is rearranged into a scaling relationship of the form 178

179
$$\frac{\varepsilon(\frac{z}{h}=0.5)}{\frac{u_*^3}{h}} = A_s + A_L L a^{-2} + A_c L a^{-2} \frac{h}{L_L} \text{ where } B_0 > 0 \qquad 8.$$

which is used to define a turbulence regime diagram in $La - h/L_L$ space (e.g. Figure 2). The 180 three "corners" of this diagram denote regimes where either wind, Langmuir, or buoyancy is the 181 dominant forcing. 182

Here, we use both MO similarity and the more recent Belcher et al. (2012) framework to 183 explore the scaling of ε estimates obtained from a moored Pulse-Coherent acoustic Doppler 184 185 current velocity profiler (ADCP) in the Southeast Pacific Ocean Stratus region. Moored ADCP measurements represent a relatively new methodology (Zippel et al., 2021) that allows for the 186 187 analysis of turbulence across an extended length of time and range of conditions. The moored 188 nature of these measurements also allows for concurrent, in-situ measurements of wind, waves, and surface fluxes, which are not always possible in more standard deployments of 189 190 ascending/descending profilers. The ADCP was deployed at 8.4 meters water depth on the Stratus Mooring at 20°S, 85°W in 2008-2010, as part of the Variability of American Monsoon 191 192 Systems (VAMOS) Ocean-Cloud-Atmosphere-Land Study Regional Experiment (VOCALS-Rex) (Mechoso et al., 1995; Wood et al., 2011). The Stratus Mooring has been maintained by the 193 Woods Hole Oceanographic Institution Upper Ocean Processes Group since 2000 and has been 194 integral in efforts to characterize boundary layer processes in the Stratus region. Results from 195 data collected at the mooring site are considered applicable over large swaths of the Stratus 196 region, as it is known to lack synoptic forcing and exhibit relative uniformity in hydrographic 197 surveys and wind fields (Holte et al., 2014; Weller et al., 2014). 198





Figure 1. Time series of daily-averaged a) TKE dissipation rate (ε) overlaid with the Law of the Wall (LOW; Equation 2), destabilizing (positive) surface buoyancy flux (+ B_0 ; Equation 3) and $u_s u_*^2/h$ scalings, b) surface buoyancy flux (B_0), c) wind speeds at 10 meters height (U_{10}), d) significant wave height (H_s) calculated from measured wave spectra (full

spectrum in light gray, wind-sea in dark gray), e) potential temperature and f) practical salinity, overlaid by mixed layer
 depth (*h*; black line)

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206 **2 Data and Methods**

207 2.1 Pulse-coherent ADCP

Fine-scale velocity measurements were collected with a 2 MHz Nortek AquaDopp High-208 Resolution (HR) velocity profiler installed at 8.4 meters water depth on the mooring line and 209 outfitted with a fin that allowed it to remain in-line with and facing the prevailing current (see 210 Zippel et al., 2021). The AquaDopp HR is a pulse-to-pulse coherent ADCP that transmits two 211 sequential pulses of which the phase shift allows for the calculation of radial velocities at 212 centimeter-scale resolution. The specifics and validation of obtaining microstructure turbulence 213 measurements using pulse-coherent ADCPs were first described in Veron and Melville (1999) 214 and later in the context of moored deployments in Zippel et al. (2021). The instrument was fitted 215 with a custom sensor head with 3 beams: two beams in a plane orthogonal to the cylindrical axis 216 and a third beam directed upward 45° to this plane and 45° between the two horizontal 217 orthogonal beams. The system was set to sample only Beam 1, orthogonal to the instrument axis 218 and into the flow along the axis of the vane, in order to maximize the sample rate at 4 Hz. 219 220 Profiles of along-beam velocities were 1.38 meters total in length and range-gated into 53 cells, each 26 millimeters in size. The nominal velocity range in each bin was ± 10.5 cm s⁻¹ and 221 sampling occurred over 135 second "bursts" once every hour at a rate of 4 Hz for a total of 540 222 profiles per burst. Over 5000 bursts were collected in total over the study period. 223

224 2.2 Calculation of TKE dissipation rate

The AquaDopp HR appeared significantly bio-fouled upon recovery, so velocity measurements were truncated at 02-July-2009, shortly before the velocity and corresponding ping correlation values (a measure of strength-of-return) became erratic. The remaining data were quality-controlled and used to calculate ε following the methods detailed in Zippel et al. (2021). A simplified overview of these methods is provided here.

Data are first corrected for phase wrapping, an artifact associated with pulse-coherent 230 231 ADCPs in which radial velocities exceeding a so-called ambiguity velocity "wrap around" and are recorded as abruptly high or low values in multiples of 2π . Then, "unwrapped" velocity 232 profiles with an averaged ping correlation lower than 60% and individual pings with correlations 233 lower than 40% are removed. Power spectra are calculated from the individual velocity profiles 234 235 collected during each 135-second burst, then averaged together into a single, burst-averaged power spectrum. ε is estimated from the inertial subrange of each burst-averaged spectrum, 236 defined as the region where the slope of the spectrum is equal to the theoretical -5/3 from 237 Kolmogorov's "5/3" law for energy distribution in a turbulent fluid (Kolmogorov, 1941): 238

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$$E(k) = C_1 \varepsilon^{2/3} k^{-5/3}$$
 9.

Here, E(k) is the power spectral density of turbulent velocities in the inertial subrange, k is wavenumber, and $C_1 = 0.53$ (Sreenivasan, 1995). Values of ε below $10^{-9} W/kg$ (constituting 3% of total data) are masked as they are likely close to the instrument noise floor, as reported for a similar pulse-coherent ADCP configuration in Zippel et al. (2021).

245 2.3 Temperature, salinity, and mixed layer depth

Temperature and salinity were measured from a suite of conductivity-temperature loggers installed on the mooring line at depths of 0.85, 3.7, 6.75, 16, 30, 37.5, 40, 62.5, 85, 96.3, 130, 160, 190, 220, 250, and 310 meters. Four different sensor models were used: RBR XR-420, Sea-Bird Electronics (SBE)-39, SBE-16, and SBE-37. Mixed layer depth, *h*, was calculated using hourly-averaged temperature measurements interpolated over half meter intervals, and defined to extend down to the depth at which temperature first differs by 0.1 degrees from the surface.

252 2.4 Meteorological measurements

Wind speed, wind direction, air temperature, humidity, shortwave radiation, and longwave radiation were recorded once per minute from an Improved Meteorological (IMET) sensor suite installed on the buoy about 2.7 meters above sea surface (Colbo and Weller, 2009). Buoyancy flux, defined as positive out of the ocean and in units of *W/kg*, was calculated as

257
$$B_0 = -\frac{g\alpha Q_{net}}{\rho c_p} + g\beta (E - P)S_0$$
 10.

where g is gravity, α is the thermal expansion coefficient, Q_{net} is surface heat flux, ρ is ocean 258 density, c_p is the specific heat of water, β is the haline contraction coefficient, E and P are the 259 rates of evaporation and precipitation, and S_0 is the surface salinity. P, measured using a rain 260 gauge on the buoy, was effectively $0 ms^{-1}$ across the entire study period. Q_{net} is calculated as 261 the net sum of the shortwave, net longwave, latent, and sensible fluxes. Net longwave radiation 262 and the turbulent heat fluxes were calculated using version 3.6 of the COARE bulk flux 263 264 algorithm (Fairall et al., 2003, 1996). Only the amount of shortwave radiation absorbed in the mixed layer (1) is used in the calculation of Q_{net} , which can at times exclude upwards of 20% of 265 the total incoming radiation (I_0) . This is calculated as: 266

- 267 $I = I_0 \left[I_0 (I_1 e^{-\frac{h}{\lambda_1}} + I_2 e^{-\frac{h}{\lambda_2}} \right]$ 11.
- where subscripts 1 and 2 indicate the shortwave and longwave components of insolation,
- following Price et al. (1986). $I_1 = 0.62, I_2 = 1 0.62, \lambda_1 = 0.6m, \lambda_2 = 20m$ for fairly clear, mid-ocean water (Paulson and Simpson, 1977). COARE was also used to calculate E and wind

stress ($\tau = \rho \overline{u'w'}$). Water-side friction velocity was calculated as $u_* = \left(\frac{|\tau|}{\rho}\right)^{\frac{1}{2}}$ and α , c_p , β and ρ were calculated with the Gibbs Seawater Oceanographic Toolbox.

273 2.5 Wave measurements

Wave data were acquired from the National Buoy Data Center (NBDC) wave and marine 274 275 data acquisition system (WAMDAS; Teng et al. (2005)) installed on the mooring's 2.7mdiameter surface buoy. The inertial measurement unit for the WAMDAS was installed inside the 276 buoy, near the water line. Two-dimensional wave frequency spectra were calculated from the 277 wave spectral density and Longuet-Higgins Fourier Coefficients provided for the Stratus 278 mooring station by the NBDC. The measured frequencies ranged from 0.020 to 0.485 Hz and 279 higher frequencies are estimated using an f^{-5} spectral tail calculated according to Appendix B 280 of Webb and Fox-Kemper (2015). Though patching on an f^{-5} tail is a standard method of 281 extending the spectra beyond what is feasibly measured, we recognize that it may result in an 282 underestimation of Stokes drift on the order of ~10-30% if the highest measured ("cut-off") 283

frequency is lower than that of the transition between equilibrium and saturation ranges (Lenainand Pizzo, 2020). Stokes drift at the surface is calculated as

286
$$u_{s}|_{z=0} \approx \frac{16\pi^{3}}{g} \int_{0}^{\infty} \int_{-\pi}^{\pi} (\cos\theta, \sin\theta, 0) f^{3} S_{f\theta}(f, \theta) d\theta df \qquad 12.$$

where *f* is frequency and $S_{f\theta}$ is the directional wave spectrum. To obtain the component of the Stokes drift in the direction of the wind, Equation 12 is multiplied by the cosine of the difference between the direction of Stokes drift with that of the wind. From here onward, u_s denotes the component of the surface Stokes drift in the direction of the wind.

291 The wind-sea separation frequency was calculated systematically using methods described in Wang and Hwang (2001) and Hwang et al. (2011). Significant wave height of the 292 wind-sea, H_{sw} , is defined as $\frac{1}{4}\sqrt{m_0}$, where m_0 is the zeroth integral moment of the 1-D wave 293 spectral density of the wind-sea spectra. Phase speed is calculated as $c_p = 1.56/f_{peak}$, where 294 f_{peak} is the peak frequency of the wind-sea. The wave transition depth is defined in Terray et al. 295 (1996) as $z_t = 0.3\kappa \bar{c}/u_*$, where \bar{c} is an effective phase speed related to the flux of energy from 296 wind stress into the wave field. We use the approximation $\bar{c} = \frac{1}{2}c_p$, which represents an upper-297 bound estimate. 298

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300



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Figure 2. Turbulence regime diagram showing the relative contributions to TKE by convection, Langmuir circulation,
 and wind-generated current shear, after Belcher et al. (2012). Data are colored by the calendar month during which they
 were collected, with partial data from July and October and no data from August and September. Solid and dashed lines
 indicate regions where 90% and 60%, respectively, of overall TKE is generated by a single forcing, as calculated from
 Equation 8. The regime diagram is defined only for destabilizing B₀, so data in stabilizing conditions are shown in 1-

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³⁰⁷ dimensional L_a space below.

309 **3 Results**

310 3.1 Conditions at the Stratus Mooring Site

Monthly-averaged destabilizing buoyancy fluxes are strongest in May and June 311 $(\sim 1.5 \times 10^{-7} W k q^{-1})$, and weakest in the austral summer months $(\sim 8 \times 10^{-8} W k q^{-1})$ (Figure 312 1b). The average hourly change in wind direction is 7.4°, in line with the remarkably 313 directionally steady trade wind regime noted by Weller (2015). The magnitude of wind forcing 314 is also fairly steady across the study period, with an average $U_{10} = 6.7ms^{-1}$, standard deviation 315 of $2.2ms^{-1}$, and average hourly change of $0.64 ms^{-1}$ (Figure 1c). However, there are several 316 days-long periods where wind speeds drop to near-zero across the study period, such as in late 317 January and mid-May. Trade winds in the region are driven by a high pressure cell to the 318 southwest of the mooring, and dip when this cell is shifted and its associated pressure gradient is 319 weakened (Weller et al., 2014). Dissipation rate drops in response to these dips in wind speed, 320 321 though the magnitude of this response is variable (Figure 1a).

322 Spectra show the wind-sea to propagate primarily to the northwest whereas swell, originating from storms in the South Pacific, is primarily to the northeast. The equilibrium state 323 of wind and wind-sea can be inferred with wave age, $\frac{c_p}{U_{10}}$, with young seas where $\frac{c_p}{U_{10}} < 0.8$, 324 mature seas where $0.8 < \frac{c_p}{U_{10}} < 2$, and old seas where $\frac{c_p}{U_{10}} > 2$ (Edson et al., 2007). The average 325 wave age of the wind-sea for conditions of $U_{10} > 3ms^{-1}$ is 1.8 with a standard deviation of 1, 326 suggesting the prevalence of mature seas at the mooring site. There is a clear linear dependence 327 between u_s and u_* , with $u_s = 5.5u_* + 9 \times 10^{-3}$ and an r^2 value of 0.62 (Supplemental Figure 328 1). From November through May, La averages to 0.51 with a standard deviation of 0.16. In June, 329 the average and standard deviation are higher, at 0.86 and 0.72, respectively. Temperature and 330 salinity data show the presence of the cold, fresh (11-13° C, 34.1-34.3; Schneider et al., 2003) 331 Eastern South Pacific Intermediate Water (ESPIW) underlying the mixed layer at about ~200 m. 332 The mixed layer depth broadly tracks the seasonal increase in destabilizing buoyancy fluxes and 333 is modulated on shorter time scales by wind speed; e.g. the abrupt shoaling of the mixed layer 334 depth that coincides with a drop in wind speeds that occurs in late January (Figure 1e,f). 335

Daily-averaged ε is shown in Figure 1a, overlain with daily-averaged LOW, destabilizing 336 B_0 , and $u_*^2 u_s / h$. There are periods of time, such as in late December, where B_0 clearly captures 337 the magnitude of ε more closely than LOW or w_{*L}/h . Late February is an example of where the 338 opposite is true. There are also periods where $u_*^2 u_s/h$ matches the magnitude of ε more closely 339 than LOW (all of March), and vice versa (late May). This is indicative of differing turbulence 340 regimes at the mooring site, which are illustrated by Figure 2. In this diagram, the relative 341 342 contributions of destabilizing surface buoyancy flux, wind-driven current shear, and Langmuir processes to the turbulence regime are calculated according to Equation 8 and colored by month 343 to highlight variability across seasons. Stabilizing buoyancy flux conditions are represented in 1-344 dimensional L_a space below. As in Belcher et al. (2012) and following (Leibovich, 1983), L_a is 345 calculated only for values of U_{10} above 3 m/s, which excludes 3% of data in conditions of 346 destabilizing B_0 and 8% in conditions of stabilizing B_0 . The black lines indicate regions where a 347 single forcing is the dominant mechanism of turbulence production; the dotted and solid lines 348

- ostensibly indicate a 60% and 90% contribution, respectively, to overall turbulence, as calculated
- 350 from ratios of the terms in Equation 8. Turbulence appears more buoyancy-forced in the austral
- 351 winter months (yellow) than during the rest of the study period, when a mix of buoyant- and
- 352 Langmuir-forced conditions prevail.

Study	Setting	Instrumentation	Scaling relationship	Scaling relationship for ε	
Wyngaard & Coté (1971)	ABL; Wheat field	Hot-wire anemometer	$\frac{\mathcal{E}\mathcal{K}Z}{u_*^3} = [1+0.5]\frac{z}{L} ^{2/3}]^{3/2}$ $\frac{\mathcal{E}\mathcal{K}Z}{u_*^3} = [1+2.5]\frac{z}{L} ^{3/5}]^{3/2}$	where $\frac{z}{L_M} < 0$ where $\frac{z}{L_M} > 0$	
Edson & Fairall (1998)	Marine ABL; Northeast Pacific, Northwest Atlantic	Sonic anemometer	$\frac{\varepsilon \kappa z}{u_*^3} = \frac{1 - \frac{z}{L_M}}{1 - 7\frac{z}{L_M}} - \frac{z}{L_M}$ $\frac{\varepsilon \kappa z}{u_*^3} = 1 + 5\frac{z}{L_M}$	where $\frac{z}{L_M} < 0$ where $\frac{z}{L_M} > 0$	
Lombardo & Gregg (1989)	Northeast Pacific	Descending microstructure profiler	$\varepsilon = 1.76 \frac{u_*^3}{\kappa z } + 0.58B_0$	where $\frac{h}{L_M} > 0$	
Esters et al. (2018)	Subtropical and North Atlantic, Arctic Ocean	Ascending microstructure profiler	$\varepsilon = 0.63 \left(0.90 \frac{u_*^3}{\kappa z } + 0.91 B_0 \right)$	where $\frac{h_{\varepsilon}}{L_L} > 1$	
Callaghan et al. (2014)	Indian Ocean	Ascending microstructure profiler	$\varepsilon = 0.73 \frac{u_*^3}{\kappa z } + 0.81B_0$	where $B_0 > 0$	
Tedford et al. (2014)	Lake Pleasant, New York	Ascending temperature- gradient microstructure profiler	$\varepsilon = 0.56 \frac{u_*^3}{\kappa z } + 0.77B_0$	where $B_0 > 0$	

353

354 Table 1. Scaling relationships examined in Figure 3.

355

356 3.2 Scaling of ε

Measurements of ε binned by z/h show a marked deviation from the LOW, buoyancy 357 flux, and $u_*^2 u_s / h$ scalings when the instrument is very near to $(\frac{z}{h} \sim 1)$ and very far from $(\frac{z}{h} \ll 1)$ 358 359 the base of the mixed layer (Supplemental Figure 2). Because moored pulse-coherent ADCPs are at a fixed depth and may remain near the top or bottom of the mixed layer for significant periods 360 361 of time, boundary processes may considerably influence measured dissipation. Such processes at 362 the base of the mixed layer include internal wave breaking and inertial shear, and at its upper bound bordering the transition zone, surface wave breaking. While the depth of our instrument is 363 consistently 3-4 times that of H_{sw} and therefore out of the direct influence of breaking wave 364 turbulence, calculation of z_t from Terray et al. (1996) suggests that up to 10% of data may be 365 influenced by wave breaking turbulence transported downwards from the breaking layer. As a 366

- 367 heuristic means of minimizing the influence of turbulent processes other than current shear,
- buoyancy flux, and Langmuir circulation in our scaling analysis, we examine the scaling of ε by
- LOW, buoyancy flux, and $u_*^2 u_s/h$ in the range of $0.135 < \frac{z}{h} < 0.5$, which includes ~60% of
- 370 measurements in destabilizing conditions (turbulence is generated) and $\sim 40\%$ in stabilizing
- 371 conditions (turbulence is suppressed). These cutoffs correspond to $\frac{z}{h}$ bins below and above which
- the large deviations of ε from these scalings are evident (Supplemental Figure 2).



373

Figure 3. Measurements of ε (away from the boundaries of the mixed layer; $0.135 < \frac{z}{h} < 0.5$) scaled by Law of the Wall (Equation 2) across z/L_M regimes. Negative z/L_M corresponds to destabilizing conditions. The mean and median of bins containing equal numbers of data are denoted by the dashed and solid black lines, respectively. The shaded region indicates the interquartile range. The overlaid MO scaling relationships are defined in Table 1 and the least squares fit by Equation 13.

379 3.2.1 Shear and buoyancy regimes

Scaled ε is shown across z/L_M regimes in Figure 3, overlaid with bin-averaged mean and median. A least squares regression to Equation 6 of data excluding outlying values of $\frac{\varepsilon \kappa z}{u_*^3}$ (the

382 highest and lowest 1%) returns:

383
$$\frac{\varepsilon \kappa z}{u_*^3} = 0.69 - 0.46z/L_M$$
 13.

Also overlaid are scaling relationships developed in prior studies of the ABL (Edson and Fairall, 384 1998; Wyngaard and Coté, 1971), OBL (Callaghan et al. 2014; Esters et al., 2018; Lombardo and 385 Gregg, 1989) and lake surface boundary layer (Tedford et al., 2014). The scaling relationships, 386 detailed in Table 1, are evaluated at each bin across the full z/L_M range of our data, though the 387 actual ranges of data from which they were developed were either narrower or unspecified. We 388 note that the scaling relationship from Esters et al. (2018) is defined for conditions of buoyancy 389 dominance, $\frac{h_{\varepsilon}}{L_L} > 1$, where h_{ε} is the active mixing layer, though we present it across all data 390 where $B_0 > 0$. In destabilizing conditions, these scaling relationships describe the binned mean 391 and least squares fit of our data well where $|z/L_M| < 1$, but diverge at greater values, where they 392



Figure 4. Measurements of ε (away from the boundaries of the mixed layer; 0. 135 $< \frac{z}{h} < 0.5$) in destabilizing conditions plotted directly against the Law of the Wall (Equation 2), surface buoyancy flux (Equation 3), and $u_s u_*^2/h$ scalings. Dominant forcing regimes are defined using z/L_M , with $\frac{z}{L_M} < 0.3$ denoting a buoyancy-dominant regime and $\frac{z}{L_M} > 3$ denoting a shear-dominant regime. The dashed black line corresponds to a 1:1 line. Bins contain equal numbers of data points.

overestimate our bin-averaged ε . In stabilizing conditions, there is little variability in the binned mean and median of scaled ε across z/L_M regimes and the data are poorly described by the MO

similarity relationships.

396 Measurements of ε in destabilizing conditions are shown plotted directly against the LOW, surface buoyancy flux, and $\frac{u_s u_s^2}{h}$ scalings in regimes defined by z/L_M in Figure 4 and by 397 h/L_L in Figure 5. Each scaling is compared only against data that falls in its respective 398 dominance regime; for LOW and the Langmuir scaling, this is defined as $\frac{z}{L_M} < 0.3$ and $\frac{h}{L_I} < 0.5$ 399 and for buoyancy-flux, $\frac{z}{L_M} > 3$ and $\frac{h}{L_L} > 5$. A 1:1 line is shown in each panel to represent 400 idealized conditions where the scaling and measured ε are equivalent. In addition, Equation 13 is 401 shown in Figures 4a-b calculated with bin-averaged LOW and B_0 . MO similarity relationships 402 are intended to capture the varying influence of shear and buoyancy-driven turbulence along the 403 continuum of $\frac{z}{L_{x}}$ rather than in discrete regimes, therefore Equation 13 likely better represents 404



Figure 5 Same as Figure 4 but with regimes defined by $\frac{h}{L_L} < 0.5$ (buoyancy-dominant regime) and $\frac{h}{L_L} > 5$ (shear-dominant regime).

real conditions than a 1:1 line. Indeed, it better describes the slopes of the bin-averaged mean andmedian than the 1:1 line in Figure 4a, though the comparison is less clear in Figure 4b.

407

Destabilizing conditions	All	$ rac{h}{L_L} < 0.5$	$ \frac{z}{L_M} < 0.3$	$ rac{h}{L_L} >5$	$ \frac{z}{L_M} > 3$
		(Shear dominant)	(Wind dominant)	(B ₀ dominant)	(<i>B</i> ₀ dominant)
n	2226	400	517	368	149
$\varepsilon z \kappa / u_*^3$					
Median	0.56	0.40	0.38	1.36	2.86
Mean	2.45	0.67	0.60	10.04	20.90
Q75 – Q25	1.13	0.50	0.48	2.77	6.55
ε/B_0					
Median	1.05	1.52	1.60	0.63	0.61
Mean	2.79	3.31	3.87	2.87	2.49
Q75 – Q25	1.96	2.04	2.31	1.16	0.86
$\varepsilon h/u_s u_*^2$					
Median	1.32	0.56	0.83	8.10	12.11
Mean	21.20	1.03	6.48	123.75	243.37
Q75 – Q25	3.27	0.62	1.27	20.91	42.50

408

409 Table 2. Median, mean, and interquartile range (Q75-Q25) of ε scaled by the Law of the Wall (Equation 2), surface 410 buoyancy flux (Equation 3), and $u_s u_*^2/h$ scalings in destabilizing conditions ($B_0 > 0$). Only data away from the 411 boundaries of the mixed layer (0. $135 < \frac{z}{h} < 0.5$) are considered.

412

413 To quantify the relationship between each scaling and measured ε , we present summary statistics of scaled ε in destabilizing conditions (Table 2) and stabilizing conditions (Table 3). 414 Statistics are bolded for each scaling in their respective dominance regimes (as defined above). 415 416 LOW consistently scales ε to an average of ~0.65 across destabilizing and stabilizing conditions in "shear-dominant" regimes, which we use to describe the wind- and wind-wave-dominant 417 regimes defined by z/L_M and h/L_L , respectively. B_0 scales ε to averages of 2.9 and 2.5 in 418 destabilizing, buoyancy-dominant conditions defined by z/L_M and h/L_L , respectively. The 419 $u_{s}u_{*}^{2}/h$ scaling is less consistent, scaling ε to averages of 1.03 and 6.5 in stabilizing, shear-420 dominant conditions. Notably, the interquartile range, which contains 50% of all data and 421 describes their spread about the median, is reduced for each scaling in its dominant regime 422 relative to the entire dataset, and increased in non-dominant regimes. For example, the 423

424 interquartile range of ε scaled by LOW in Table 2 is decreased from ~1.1 to ~0.5 in the wind-

dominant regimes but increased to upwards of 2 in the buoyancy-dominant regimes, where the

scaling is not expected to apply. Effective scalings should collapse measurements of ε , so the

427 observed decreases in the spread of data supports the use of these scaling as well as the ability of

428 the Belcher and MO frameworks to delineate turbulence regimes.

429

Stabilizing	All	$ \frac{h}{L} < 0.5 $	$ \frac{z}{L_{x}} < 0.3 $	$ h/L_L>5 $	$ z/L_M>3 $
conditions			<i>LM</i>	(<i>B</i> ₀ dominant)	(B ₀ dominant)
		(Snear dominant)	(Wind dominant)		
n	1064	175	177	318	247
$\epsilon z \kappa / u_*^3$					
Median	0.34	0.35	0.37	0.38	0.35
Mean	3.41	0.61	0.69	9.55	11.78
Q75 – Q25	0.78	0.49	0.51	0.86	0.97
$\epsilon h/u_s u_*^2$					
Median	0.77	0.64	0.84	1.10	0.79
Mean	34.89	1.55	2.37	115.65	130.78
Q75 – Q25	1.72	0.76	1.16	4.86	3.48

430

431 Table 3. Same as in Table 2, but in stabilizing conditions $(B_0 < 0)$.

432

433 3.2.2 Dependence on *La*

That the calculated statistics for LOW and B_0 vary relatively little in Tables 2 and 3 434 between z/L_M and h/L_L regimes suggests that the distinction between a wind-dominant regime, 435 defined by z/L_M , and a Langmuir-dominant regime, defined by h/L_L , is unimportant in the 436 Stratus region. This is tied to the low variability seen in La over most of the study period, which 437 results in the linear dependency between L_L and L_M ($L_M \propto La^{-2}L_L$) shown in Figure 6. This 438 relationship is described well by a least squares regression with a slope of 1.83 and an r^2 value 439 of 0.88. Figure 7 shows ε scaled by Equation 13, calculated from binned values of LOW and B_0 , 440 441 in La space. Scaling by Equation 13 removes variability tied to B_0 and u_* , so if Langmuir effects were not sufficiently accounted for by LOW, we would expect to see a large deviation from 442 unity at lower values of La, where Langmuir forcing is stronger. Instead, there is very little 443

444 variability in *La* space, suggesting that *La* offers little to no additional predictive power over u_* 445 at this field site.



446 Figure 6. Least squares linear regression of L_L against L_M , illustrating the relationship $L_M \propto La^{-2}L_L$. Colors highlight the 447 variation in slope associated with different values of binned *La*.

Furthermore, for ε scaled by LOW, we see little change in calculated statistics in the shear-dominant regime in both destabilizing and stabilizing conditions when data coinciding with lower *La* values are excluded (Table 4). The median of scaled ε increases slightly from 0.4

to 0.54 in destabilizing conditions and remains ~0.3 in stabilizing conditions, regardless of the

452 degree of exclusion. In destabilizing conditions, the mean increases as more data are excluded,

453 but only because the influence of several outlying data points on the mean is strengthened with

454 increasingly fewer data points.



455 Figure 7. Bin-averaged measurements of ε (away from the boundaries of the mixed layer; 0. 135 < $\frac{z}{h}$ < 0. 5) scaled by

456 Equation 13 in destabilizing conditions and Law of the Wall (Equation 2) in stabilizing conditions across *La* space.

457 Because Equation 13 was fitted to data in which the highest and lowest 1% of values were excluded, the same filter is

458 applied to the data in destabilizing conditions shown here. Each bin contains the same number of points. Vertical bars 459 show the 95% confidence interval (1.96 multiplied by the standard error) of each bin.

$\varepsilon z \kappa / u_*^3$

		Excluding La < 0.4	Excluding <i>La</i> < 0.45	Excluding <i>La</i> < 0.5
$\begin{aligned} B_0 > 0, \\ \left \frac{h}{L_L}\right < 0.5 \end{aligned}$				
п	400	300	167	59
Median	0.40	0.42	0.44	0.54
Mean	0.67	0.72	0.82	1.26
Q75 – Q25	0.50	0.51	0.52	0.60
$\begin{aligned} B_0 < 0, \\ \left \frac{h}{L_L}\right < 0.5 \end{aligned}$				
п	175	132	77	38
Median	0.35	0.34	0.29	0.29
Mean	0.61	0.67	0.66	0.68
Q75 – Q25	0.49	0.49	0.54	0.72

461

Table 4. Median, mean, and interguartile range (O75-O25) calculated for ε scaled by LOW where subsets of data defined 462 463 using the Langmuir number, La, are excluded in order to explore the distinction between Langmuir and current shear-464 forced regimes in our data. Only data that fall away from the boundaries of the mixed layer $(0.135 < \frac{z}{h} < 0.5)$ are 465 considered.

466

4 Discussion 467

The Stratus region is characterized by directionally-steady southeast trade winds (Weller et 468 al., 2014), which likely contributes to the observed linear relationship between u_s and u_* and an 469 overall narrow range in La across the study period. The stronger the relationship between u_s and 470

 u_* , the more functionally equivalent w_{*L} and u_* become, a concept noted by Gargett and Grosch 471

(2014). Therefore, at the Stratus mooring site, there appears to be little need to distinguish 472

between wind-driven current shear- and Langmuir- dominant regimes in the context of 473

- turbulence scaling. We see a strong linear relationship between L_M and L_L and little difference in 474
- the normalization of ε by traditional MO scalings in regimes defined by $\frac{h}{L_L}$ compared to $\frac{z}{L_M}$. The 475

mean, median, and interquartile range of $\epsilon \kappa z/u_*^3$ are nearly identical across $\frac{h}{L_L}$ and $\frac{z}{L_M}$ wind-476

dominant regimes in both destabilizing and stabilizing conditions, as are the statistics for ε/B_0 477

for both buoyancy-dominant regimes in destabilizing conditions. The ability to consider current 478

shear- and Langmuir-dominant regimes as a single "wind-wave", or "shear-dominant", regime, 479

irrespective of La, may be relevant in efforts to improve the performance of coupled atmosphere-480

ocean general circulation models (GCMs) in the region, which suffer from systematic warm SST 481

biases, in part due to poorly constrained upper-ocean processes (Lin, 2007; Ma et al., 1996; 482

483 Mechoso et al., 1995; Richter, 2015; Zheng et al., 2011; Zuidema et al., 2016). This may also be 484 broadly applicable to turbulence scaling outside of the steadily-forced Stratus region: in a study 485 of dissipation rates from microstructure profiler deployments at several sites ranging from the 486 Arctic Ocean to the subtropical Atlantic ocean, Esters et al. (2018) found that the observed linear 487 relationship between u_* and u_s allowed them to describe their data using a version of Equation 8 488 in which u_s is substituted by u_* multiplied by a constant factor.

489 While our analysis shows that ε scaling is not sensitive to the La regime, this does not mean that Langmuir circulation/turbulence is unimportant in the Stratus region, only that it is 490 sufficiently accounted for by u_* (because of the linear relationship between u_* and u_s). 491 Langmuir scaling has not been widely examined outside of modelling studies because of the 492 difficulty in obtaining quality wave data concurrently with in-situ measurements of ε . That 493 $u_{s}u_{*}^{2}/h$ appears to scale ε closer to unity than LOW for much of November-April (Figure 1a), 494 which is perhaps surprising, as this scaling is a relatively new development (Grant and Belcher, 495 2009) and limited observational studies of the TKE budget have disagreed on the magnitude of 496 the stokes production term relative to the dissipation term (Gerbi et al., 2009; Jarosz et al., 2021; 497 Yoshikawa et al., 2018). Regardless, by June, the wind-sea weakens (monthly-averaged H_{sw} is 498 0.86m compared to ~1.2m across the whole study period) and the scaling grossly underestimates 499 ε . Filtering out values of $H_{sw} < 1$, as well as $U_{10} < 5$ and $u_s < 0.03$, which would coincide 500 with conditions of weak Langmuir forcing, does not systemically separate instances of 501 significant underestimation of ε by $u_s u_s^2/h$ from instances where it performs well. Therefore, as 502 discussed below, LOW serves as a more reliable scaling for ε in shear-dominant conditions, as 503 its overestimation bias is fairly consistent and more easily corrected for. 504

In general, MO similarity works well in describing turbulence at the Stratus mooring site: 505 LOW collapses the scatter of ε and scales it to an average of ~0.65 in shear-dominant conditions 506 across both destabilizing and stabilizing conditions. The scaling of ε close to, but not exactly, 507 unity is not uncommon in observational studies. For example, Callaghan et al. (2014) suggested 508 that an observed overestimation of ε by LOW was due to a period of continually changing wind 509 direction in which a misalignment of the wind and wave field reduced the effective wind stress 510 511 on the ocean. Tedford et al., (2014) attributed overestimation by LOW in a lake setting to enhanced stratification brought on by the lateral advection of cool water. In our own data, we 512 also see individual instances where LOW departs significantly from measurements of ε . For 513 example, in mid-February and throughout June, LOW tracks a sudden dip in wind speed 514 515 characteristic to the Stratus region, while measured ε remains at relatively elevated levels (Figure 1). These dips in wind speed have been observed to generate near-inertial oscillations 516 (Weller et al., 2014) that can alter currents and impact the turbulence regime through the 517 generation of additional current shear. Additionally, the persistence of the wind-sea and 518 associated Langmuir circulation following a drop in winds can create a lag between wind stress 519 and ε . However, there are also instances where measured ε plummets following a drop to near-520 zero winds (e.g. mid-January, mid April, early May), a change that is tracked remarkably well by 521 LOW. While these sudden, short-term drops in wind speed do add some short-term complexity 522 to the scaling of ε , the slight overestimation represented by the average $\frac{\varepsilon \kappa z}{u^3} = 0.65$ across the 523

study period appears more systematic than the individual instances of dips in wind speed, and we 524 hypothesize some possible causes: LES studies have shown the enhancement of vertical mixing 525 associated with Langmuir circulation reduces vertical shear in the upper ocean, thus inhibiting 526 current shear production of TKE (Belcher et al., 2012; Fan et al., 2020) and therefore reducing ε 527 relative to the wind stress. Another possibility relates to the assumption of a constant stress layer 528 529 upon which MO similarity theory and LOW rely. Observations (Gerbi et al., 2008) and linear surface stress scaling (e.g. Fisher et al., 2017) show stress to decay with depth according to $\tau_z =$ 530 $\tau(1-\frac{z}{h})$, suggesting LOW calculated from τ at the surface would overestimate shear 531 turbulence at depth. When calculating LOW using decayed stress, τ_z , our measurements of ε 532 (within the same $0.135 < \frac{z}{h} < 0.5$ range as before) are scaled with averages of 1.28 and 1.01 533 where $\left|\frac{h}{L_L}\right| < 0.5$ and 0.95 and 1.04 where $\left|\frac{z}{L_M}\right| < 0.3$, in destabilizing and stabilizing 534 conditions, respectively. These values are notably closer to unity than ~0.65. There is little 535 reason to assume the constant stress layer assumption holds at the 8.4 m depth of our 536 measurements, and these calculations suggest that stress decay may be a factor in the deviation 537 of the scaling of ε by LOW from unity in real-world conditions. Nevertheless, our results support 538 the merit of classic MO scaling despite a possible violation of the constant stress layer 539 assumption. Future work is needed to more fully assess if full flux-profile relationships are 540 541 tenable outside of the constant stress layer, but within the ocean surface mixed layer.

As for the buoyancy flux scaling, scatter is collapsed in destabilizing, buoyancy-dominant 542 conditions, but ε is overestimated by an average of ~2.5. The median of ~0.6 is closer to unity 543 and the findings of other studies, such as ~0.58 in Lombardo and Gregg (1989) and 0.81 in (Anis 544 and Moum, 1992), and indicates that most of the data are scaled near unity but that the mean is 545 influenced by extreme outliers. This is likely the case because the Stratus mooring site does not 546 experience true buoyancy-dominant conditions, which are typically defined as $|z/L_M| > 10$ (e.g. 547 Lombardo and Gregg, 1989). Out of necessity, we defined a less conservative threshold of 548 $|z/L_M| > 3$, which likely resulted in the influence of turbulent processes not captured by B_0 . 549

Scaling relationships from prior studies describe the binned mean of our data well for 550 destabilizing conditions of $\left|\frac{z}{L_M}\right| < 1$ (wind-dominant), except for those of Lombardo and Gregg 551 (1989) and Wyngaard and Cote (1971). Lombardo and Gregg (1989) may differ from the other 552 aquatic studies because it utilized a descending microstructure profiler that necessarily excluded 553 data 5-10 meters near the surface, possibly excluding wave-related turbulence otherwise captured 554 in our data and studies utilizing ascending profilers. Likewise, Edson and Fairall (1998), 555 conducted in the ABL above the ocean, may have been influenced by wave activity while 556 Wyngaard and Cote (1971) did not. Regardless, all of the relationships deviate from the binned 557 mean of our data in destabilizing conditions of $\left|\frac{z}{L_M}\right| > 2$. This could be because in general, fewer 558 data exist at greater values of $\left|\frac{z}{L_M}\right|$, making statistics and linear regressions derived from these 559 data less universal. Furthermore, similarity relationship with coefficients derived from the 560 scaling of ε by LOW and B_0 ostensibly describe wind-generated current shear and convective 561 turbulence, but inadvertently capture the effects of many other processes that are potentially 562

unique to the place and time of data collection, with the intermittent nature of turbulence adding

additional complexity. Data collected in studies using microstructure profilers represent

snapshots in time and are therefore perhaps more susceptible to this temporal and spatial

variability, resulting in the large spread of functions derived from field estimates of ε .

567 **5 Conclusion**

568 Moored, pulse-coherent ADCP measurements of ε are a useful development in the study of 569 ocean turbulence, allowing for analysis of turbulence across an extended range of conditions and 570 length of time at a single site. Here, we use similarity scaling to explore 9 months of moored 571 measurements of ε across a range of forcing conditions in the upper mixed layer of the Stratus 572 mooring site. We find that:

- LOW scales ε well in shear-dominant conditions across both destabilizing and stabilizing conditions, as determined by a) its ability to collapse measurements of ε and b) the
 proximity of the mean or median of scaled ε to unity
- 576 B_0 scales ε well in buoyancy-dominant conditions in destabilizing conditions, by the 577 same standards as above
- 578 $u_s u_s^2/h$ scales ε well for a large portion of the study period, but does very poorly in May 579 and June. It is difficult to parse out the conditions in which it performs well, therefore 580 LOW remains the more useful scaling in shear-dominant conditions
- 581 h/L_L and z/L_M are functionally equivalent means for separating the shear-dominant 582 regime from the buoyancy-dominant regime in the Stratus region because of the strong 583 linear relationship between u_* and u_s
- Prior scaling relationships largely agree with our measurements in destabilizing conditions of $|z/L_M| < 1$, but their deviation elsewhere highlights how field estimates of ε are susceptible to variability across space and time

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596 The authors declare no financial conflicts of interest in this work.

597 **Open Research**

Velocity, correlation, amplitude, and other outputs from the 2 MHz Nortek AquaDopp
High-Resolution (HR) Pulse-Coherent ADCP are available at https://doi.org/10.7916/xs7h-b561.
Data from the Stratus Ocean Reference Station are made freely available by the OceanSITES
project (Send et al., 2010) and the national programs that contribute to it, and are obtained from
https://dods.ndbc.noaa.gov/oceansites/). Wave data are hosted by the National Buoy Data Center

- and are obtained at https://www.ndbc.noaa.gov/station_page.php?station=32012. The MATLAB
- 604 code used to quality control the ADCP data and calculate ε is available on GitHub at:
- 605 <u>https://github.com/zippelsf/MooredTurbulenceMeasurements</u>. The Python code used to process
- 606 meteorological and wave data and to generate figures is available from the author upon request.
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