## Detection of Deep Low-Frequency Tremors from Continuous Paper Records at a Station in Southwest Japan About 50 Years Ago Based on Convolutional Neural Network for Seismogram Images

Hiromichi Nagao $^{1,1},$  Ryosuke Kaneko $^{2,2},$ Shin-Ichi Ito $^{1,1},$ Hiroshi Tsuruoka $^{1,1},$  and Kazushige Obara $^{1,1}$ 

<sup>1</sup>Earthquake Research Institute <sup>2</sup>Graduate School of Information Science and Technology

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#### Abstract

The establishment of the High Sensitivity Seismograph Network (Hi-net) in Japan has led to the discovery of deep low-frequency tremors. Since such tremors are considered to be related to large earthquakes adjacent to tremors on the same subducting plate interface, it is important in seismology to investigate tremors before establishing modern seismograph networks that record seismic data digitally. We propose a deep learning method to detect evidence of tremors from seismogram images recorded on paper more than 50 years ago. In our previous study, we constructed a convolutional neural network (CNN) based on the Residual Network (ResNet) structure and verified its performance through learning with synthetic images generated based on past seismograms. In this study, we trained the CNN with seismogram images converted from real seismic data recorded by Hi-net. The CNN trained by fine-tuning achieved an accuracy of 98.64% for determining whether an input image contains tremors. The Gradient-weighted Class Activation Mapping (Grad-CAM) heatmaps to visualize model predictions indicate that the CNN successfully detects tremors without affections of a variety of noises, such as teleseisms. The trained CNN was applied to the past seismograms recorded at the Kumano observatory, Japan, operated by Earthquake Research Institute, The University of Tokyo. The CNN shows the potential to detect tremors from past seismogram images for broader applications, such as publishing a new tremor catalog.

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# Ryosuke Kaneko<sup>1,2</sup>, Hiromichi Nagao<sup>2,1</sup>, Shin-ichi Ito<sup>2,1</sup>, Hiroshi Tsuruoka<sup>2</sup>, and Kazushige Obara<sup>2</sup>

<sup>1</sup>Graduate School of Information Science and Technology, The University of Tokyo, Tokyo, Japan <sup>2</sup>Earthquake Research Institute, The University of Tokyo, Tokyo, Japan

#### Key Points:

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10	•	Convolutional neural network model for detection of deep low-frequency tremors
11		from seismogram images is proposed
12	•	The model trained with seismogram images converted from real seismic data suc-
13		cessfully detects tremors
14	•	The detection performances of the trained model for the paper records at the Ku-
15		mano observatory in southwest Japan are discussed

Corresponding author: Hiromichi Nagao, nagaoh@eri.u-tokyo.ac.jp

#### 16 Abstract

The establishment of the High Sensitivity Seismograph Network (Hi-net) in Japan has 17 led to the discovery of deep low-frequency tremors. Since such tremors are considered 18 to be related to large earthquakes adjacent to tremors on the same subducting plate in-19 terface, it is important in seismology to investigate tremors before establishing modern 20 seismograph networks that record seismic data digitally. We propose a deep learning method 21 to detect evidence of tremors from seismogram images recorded on paper more than 50 22 years ago. In our previous study, we constructed a convolutional neural network (CNN) 23 based on the Residual Network (ResNet) structure and verified its performance through 24 learning with synthetic images generated based on past seismograms. In this study, we 25 trained the CNN with seismogram images converted from real seismic data recorded by 26 Hi-net. The CNN trained by fine-tuning achieved an accuracy of 98.64% for determin-27 ing whether an input image contains tremors. The Gradient-weighted Class Activation 28 Mapping (Grad-CAM) heatmaps to visualize model predictions indicate that the CNN 29 successfully detects tremors without affections of a variety of noises, such as teleseisms. 30 The trained CNN was applied to the past seismograms recorded at the Kumano obser-31 vatory, Japan, operated by Earthquake Research Institute, The University of Tokyo. The 32 CNN shows the potential to detect tremors from past seismogram images for broader 33 applications, such as publishing a new tremor catalog. 34

#### 35 1 Introduction

Deep low-frequency tremors are well-recognized as stress release processes along the subducting plate interface. In the Nankai and Cascadia subduction zones, active tremor episodes frequently occur and are associated with slow slip events in the downdip region adjacent to the large earthquake seismogenic zones (Schwartz & Rokosky, 2007). Therefore, we expect tremors to be related to stress accumulation in large earthquake source regions (Obara & Kato, 2016). It is important to clarify the history of tremor activity during at least one cycle of an interseismic period of large earthquakes.

In southwest Japan, tremors were discovered for the first time in the downdip neigh-43 boring the seismogenic zone (Obara, 2002). This discovery was made possible by the High 44 Sensitivity Seismograph Network (Hi-net) established by the National Research Insti-45 tute for Earth Science and Disaster Prevention (NIED) in the Japan Islands after the 46 1995 Hyogo-ken Nanbu Earthquake (Okada et al., 2004). In this region, megathrust earth-47 quakes have periodically occurred at intervals of 100–200 years due to subduction of the 48 Philippine Sea Plate beneath the Eurasian Plate from the Nankai Trough. The most re-49 cent megathrust earthquakes occurred in 1944 and 1946. The available tremor catalog 50 is currently limited to only 20 years, due to the limitations of continuous digital seismic 51 data. Analog records must be found and used to reveal the tremor activity before the 52 digital era (Nagai et al., 2001; Kano & Kano, 2019). In the Kii Peninsula, close to the 53 Nankai megathrust earthquake seismogenic zone, Earthquake Research Institute (ERI), 54 The University of Tokyo deployed a microearthquake observation network in the 1960s. 55 Seismic data at each station were recorded continuously as daily seismograms drawn with 56 pens on drum-roll papers. Our visual inspection of a few daily seismograms revealed sig-57 nals similar to deep low-frequency tremors at a station near the tremor source region. 58 Therefore, developing a systematic tremor detection method based on daily continuous 59 seismogram images is required to reveal the history of tremor activity. 60

This study aims to detect tremors from past seismogram images by using a convolutional neural network (CNN), a deep-learning model that exhibits high performance for image recognition. A CNN can automatically tune its internal parameters by learning the features of targets from input images without requiring prior knowledge or manual adjustment of the parameters. The history of CNN started with Neocognitron (Fukushima, 1980), a neural network model for visual pattern recognition constructed based on the

cognitive model of the receptive field with simple cells and complex cells (Hubel & Wiesel, 67 1962). In 1989, ConvNet (LeCun et al., 1989) was proposed, which was recognized as 68 the first CNN that laid the foundation for structures and algorithms used in modern CNNs. 69 In 2012, AlexNet (Krizhevsky et al., 2012) showed an outstanding performance in the 70 ILSVRC, a competition of algorithms for image recognition, compared to the conven-71 tional methods, which triggered the CNN to obtain the spotlight. With improvements 72 in the computational power of CPUs and GPUs and development of learning datasets, 73 CNN has become one of the most popular models and is applied in various fields, such 74 as time-series analysis and natural language processing. Recently, some seismological stud-75 ies have used CNNs to analyze seismic phenomena. Previously proposed CNNs mainly 76 target at earthquakes, e.g., ConvNetQuake determines whether a waveform in a time win-77 dow is an earthquake or noise (Perol et al., 2018), PhaseNet measures the arrival times 78 of P- and S-waves of an earthquake (Zhu & Beroza, 2018), and a CNN with graph par-79 titioning detects small seismic events with low signal-to-noise ratios utilizing spatial cor-80 relations between observatories (Yano et al., 2021). Some CNNs aim to detect tremors 81 by transforming the raw waveform data into spectrogram images to ease the detection 82 (Nakano et al., 2019; Rouet-Leduc et al., 2020). However, no previous study has addressed 83 the detection of tremors from seismogram images, especially past ones. 84

In our previous study, we conducted numerical experiments to train a CNN using synthetic images generated based on past seismogram images (Kaneko et al., 2021). We verified that a CNN with shortcut connections of the Residual Network (ResNet) (He et al., 2016) is effective for detecting tremors based on synthetic images. This paper reports the subsequent learning with real data of the Hi-net and its application to past seismogram images of the Kumano observatory (KUM), Japan, operated by ERI.

#### <sup>91</sup> 2 Convolutional neural network

A neural network is a representative deep learning model. A basic neural network has several structures called layers. The *i*-th layer works as a function  $f_i = f_i(\cdot; \theta_i)$ , where  $\theta_i$  is a vector of the parameters. An input  $\boldsymbol{x}$  passes through the layers in order, and finally, the output  $\boldsymbol{y}$  is obtained after the last N-th layer. Therefore, the output  $\boldsymbol{y}$ for an input  $\boldsymbol{x}$  is represented as

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$$\boldsymbol{y} = \boldsymbol{f}_N(\cdots \boldsymbol{f}_2(\boldsymbol{f}_1(\boldsymbol{x};\boldsymbol{\theta}_1);\boldsymbol{\theta}_2)\cdots;\boldsymbol{\theta}_N) \ . \tag{1}$$

(2)

Letting  $z_i$  be the output of *i*-th layer, the above formula is represented as

where  $z_0$  is defined as the input x. The characteristics of the neural network depend on the design of  $f_1, \ldots, f_N$ .

 $\boldsymbol{z}_i = \boldsymbol{f}_i(\boldsymbol{z}_{i-1}; \boldsymbol{\theta}_i) \quad i = 1, 2, \dots, N ,$ 

The optimization process of the model parameters is called learning. In this pro-102 cess, we only require a dataset of inputs whose correct outputs are known, called learn-103 ing data. By inputting the learning data, a neural network automatically optimizes the 104 parameters to minimize the value of loss function. The loss function  $L = L(\mathbf{y}; \mathbf{x})$  is de-105 signed by users to quantify the misfit between the model output and corresponding an-106 swer. In general, the loss becomes zero at a minimum when all model outputs are com-107 pletely consistent with the correct answers, whereas it increases as the outputs include 108 large or many differences from correct answers. The minimization of loss is conducted 109 using a gradient method that optimizes the parameter of the *i*-th layer  $\theta_i$  as 110

$$\boldsymbol{\theta}_i \mapsto \boldsymbol{\theta}_i + \alpha \boldsymbol{d} , \qquad (3)$$

where d is a direction calculated based on  $\frac{\partial L}{\partial \theta_i}$ , and the positive number  $\alpha$  is the learning rate that determines the distance  $\theta_i$  moves. For example, gradient descent, which is the most basic gradient method, defines  $d = -\frac{\partial L}{\partial \theta_i}$ . From equation (2),  $\frac{\partial L}{\partial \theta_i}$  is calculated using the chain rule as

$$\frac{\partial L}{\partial \boldsymbol{\theta}_i} = \frac{\partial L}{\partial \boldsymbol{z}_i} \frac{\partial \boldsymbol{z}_i}{\partial \boldsymbol{\theta}_i} = \frac{\partial L}{\partial \boldsymbol{z}_i} \frac{\partial \boldsymbol{f}_i(\boldsymbol{z}_{i-1}; \boldsymbol{\theta}_i)}{\partial \boldsymbol{\theta}_i} .$$
(4)

117 Similarly,  $\frac{\partial L}{\partial \boldsymbol{z}_i}$  is calculated as

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$$\frac{\partial L}{\partial \boldsymbol{z}_{i}} = \frac{\partial L}{\partial \boldsymbol{z}_{i+1}} \frac{\partial \boldsymbol{z}_{i+1}}{\partial \boldsymbol{z}_{i}} = \frac{\partial L}{\partial \boldsymbol{z}_{i+1}} \frac{\partial \boldsymbol{f}_{i+1}(\boldsymbol{z}_{i};\boldsymbol{\theta}_{i+1})}{\partial \boldsymbol{z}_{i}} .$$
(5)

Starting with  $\frac{\partial L}{\partial \boldsymbol{z}_N} = \frac{\partial L}{\partial \boldsymbol{y}}, \frac{\partial L}{\partial \boldsymbol{z}_i}$  is calculated for  $i = N, N - 1, \dots, 1$  using equation (5). Therefore,  $\frac{\partial L}{\partial \boldsymbol{\theta}_i}$  is calculated efficiently in the same order using equation (4), which is called 119 120 backpropagation. In summary, the learning of a neural network optimizes the param-121 eters based on the gradients of loss calculated by backpropagation. The process is iter-122 ated until the parameters converge to a local optimum. A high computational cost is gen-123 erally required to calculate the loss for all inputs of learning data at every iteration. There-124 fore, it is popular to use a mini-batch stochastic gradient method such as AdaGrad (Duchi 125 et al., 2011), Adam (Kingma & Ba, 2017), and AMSGrad (Reddi et al., 2018). This method 126 divides the learning data into many small groups called batches and calculates the loss 127 for each batch instead of one for all data. 128

Excessive learning may result in overfitting, a phenomenon in which a neural net-129 work loses adaptability to inputs other than learning data. Therefore, the learning data 130 are generally split into training and validation data to avoid overfitting. For each iter-131 ation of the learning, a neural network optimizes the parameters using the training data. 132 and the performance is then estimated using the validation data. Accuracy and loss are 133 often used as performance metrics. Accuracy refers to the probability that the model out-134 puts are correct, whereas the loss is the value of the loss function. The performance is 135 considered to improve as the accuracy increases and the loss decreases. Some neural net-136 works contain additional layers, such as dropout layers (Srivastava et al., 2014) and batch-137 normalization layers (Ioffe & Szegedy, 2015) to prevent overfitting and improve the learn-138 ing efficiency. A dropout layer allows some randomly-chosen parameters to be zeros dur-139 ing training. A batch-normalization layer applies a standardization to elements in the 140 vectors to convert their mean to zero and variance to one for every batch. 141

<sup>142</sup> Convolutional neural networks (CNN) have exhibited superior performance in im-<sup>143</sup> age and handwriting recognition tasks. A CNN contains two types of distinctive layers, <sup>144</sup> convolutional layers and pooling layers, which allow the CNN to extract features from <sup>145</sup> the input images (Figure 1). Hereafter, each  $z_i$  is assumed to be a two-dimensional vec-<sup>146</sup> tor, and  $z_i(h, w)$  denotes the (h, w)-th component of  $z_i$ . The function of the *i*-th con-<sup>147</sup> volutional layer is represented as

$$\boldsymbol{z}_{i}(h,w) = \sigma_{i}\left(\sum_{(p,q)\in D_{i}}\boldsymbol{h}_{i}(p,q)\boldsymbol{z}_{i-1}(h+p,w+q) + \boldsymbol{b}_{i}(h,w)\right), \quad (6)$$

where  $h_i$  is a set of parameters called a filter that has a real value for each (p,q) in the domain  $D_i$ , which is generally a square area,  $b_i$  is a vector representing the bias, and  $\sigma_i$ is the activation function responsible for the conclusive output of the layer. An activation function is generally defined as a non-linear function such as a sigmoid function:

$$\varsigma(x) = \frac{1}{1 + e^{-x}} \tag{7}$$

<sup>154</sup> or a hyperbolic tangent function:

$$\tanh(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}} \,. \tag{8}$$



**Figure 1.** Schematic of convolutional layer and pooling layer. The area surrounded by red solid lines passes through the convolutional filter and outputs the value shown in light red. The blue indicates the convolution for another area. Each area surrounded by solid green lines outputs the value shown in light green after the pooling.

The absolute value of the derivative of each of these functions is less than one (if  $x \neq$ 0 for equation (8)). Therefore,  $|\frac{\partial L}{\partial \theta_i}|$  approaches zero based on the chain rule shown in equations (4) and (5) if a neural network has many layers. In this case, the parameters are hardly updated during the learning process shown in equation (3), which is called a vanishing gradient problem. To avoid this problem, it is popular to use ReLU

$$\operatorname{ReLU}(x) = \max\{0, x\}$$
(9)

or innovative functions such as Swish (Ramachandran et al., 2017) and Mish (Misra, 2020).
 A convolutional layer can be used to extract specific local patterns. Meanwhile, the func-

tion of the *i*-th pooling layer is expressed as 164

$$\boldsymbol{z}_{i}(h, w) = \text{pooling}_{i}(\{\boldsymbol{z}_{i-1}(h+p, w+q) \mid (p,q) \in D_{i}\}),$$
(10)

where pooling<sub>i</sub> is a pooling function, *e.g.*, max pooling

$$\boldsymbol{z}_{i}(h,w) = \max_{(p,q)\in D_{i}} \boldsymbol{z}_{i-1}(h+p,w+q)$$
(11)

<sup>168</sup> or average pooling

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$$\boldsymbol{z}_{i}(h,w) = \frac{1}{S_{i}} \sum_{(p,q) \in D_{i}} \boldsymbol{z}_{i-1}(h+p,w+q) , \qquad (12)$$

where  $S_i$  is the size of the domain  $D_i$ . A pooling layer is generally located after convolutional layers to reduce the position sensitivity of pattern extraction by convolution. A basic CNN contains convolutional layers and pooling layers alternately, and some fullyconnected layers in the end whose function is represented as

$$\boldsymbol{z}_i = \sigma_i (\boldsymbol{A}_i \boldsymbol{z}_{i-1} + \boldsymbol{b}_i) , \qquad (13)$$

where  $A_i$  and  $b_i$  are the matrix and vector of parameters, respectively.



Figure 2. The PSI from 21:19 9 July 1967 to 20:49 10 July 1967 at KUM.

#### 176 **3 Datasets**

More than 50 years ago, the seismic observation system drew waveforms directly 177 on paper at each station location. The ERI archived seismograms recorded by the Wakayama 178 seismological network, Kii Peninsula, Japan, and some of them have been scanned as TIFF 179 images (Satake et al., 2020). Hereafter, we refer to the past seismogram image as PSI. 180 This study uses 3,630 PSIs recorded at KUM from 1966 to 1977. Figure 2 shows an ex-181 ample of a PSI containing a number of continuous waveforms. The time length of each 182 waveform drawn from left to right was approximately 2.5 minutes. One paper contains 183 500–600 waveforms stacked vertically from the bottom to the top in chronological or-184 der, corresponding to a continuous daily record. Artificial marks are inserted to indicate 185 the time, e.g., the triangles at every second and the steps at every 30 seconds. The times 186 stamped at the bottom and top of the paper indicate the start and end times of record-187 ing, respectively. Each PSI is cropped and resized to a size of  $7,000 \times 7,000$  pixels by 188 removing the surrounding margins, and the grayscales are adjusted to reduce the effects 189 of noise due to the scanning process on the original paper records as much as possible. 190

Digitization tracing the waveforms is effective for investigating ordinary earthquakes 191 because their waveforms are extractable, even when a couple of waveforms overlap. In 192 contrast, unrealistically high-precision tracing is required for tremors because of their 193 small amplitudes and long durations. Visual detection by experts is also an effective method 194 for detecting seismic phenomena such as earthquakes. However, such visual detection 195 of tremors is unrealistic, unlike the case of ordinary earthquakes, because similar wave-196 forms caused by such as passages of low atmospheric pressure and artificial noises are 197 easily included. Another reason why visual detection should be avoided is that the num-198 ber of available PSIs is too large, exceeding several tens of thousands. Therefore, we adopt 199 a CNN-based image recognition as a promising alternative to detect tremors from PSIs. 200

Training the CNN with learning data is necessary to detect tremors from PSIs us-201 ing a CNN. The PSIs would be ideal for the learning data if the hypocenters and occur-202 rence times of tremors were known. However, a catalog of tremors before the establish-203 ment of Hi-net has not vet been published. As the alternative, this study uses two datasets 204 for learning data: synthetic seismogram images (SSIs) and modern seismogram images 205 (MSIs). The SSIs are artificial images containing fewer types of waveforms than the PSIs. The detailed explanations of SSIs are available in Kaneko et al. (2021). The SSIs com-207 prise 100 images labeled as "none" that contain no tremors and another 100 images la-208 beled as "tremor" that contain tremors. The MSIs are seismogram images converted from 209 the Hi-net continuous waveform data (National Research Institute for Earth Science and 210 Disaster Resilience, 2019). We referred to the tremor catalog published by NIED (Maeda 211 & Obara, 2009; Obara et al., 2010) to select the dates and Hi-net observatories to be plot-212 ted as none or tremor images. The catalog contains information on events such as oc-213 currence dates, hypocenters, and magnitudes of tremors in southwest Japan detected based 214 on the Hi-net data from January 2001 to April 2019. For the tremor images, we require 215 tremors to have magnitudes larger than 1.0 and hypocenters located at east of  $135^{\circ}$  E 216 (Figure 3). We generate MSIs from the records of the three nearest stations to the epi-217 center of each event. For the none images, we selected dates where no tremors occurred 218 for three days before and after, and then generated MSIs from the records of the Totsukawa-219 Nishi observatory (N.TKWH), which is located near KUM, to reduce the differences in 220 observation environments as much as possible. We used all three components, *i.e.*, east-221 west (EW), north-south (NS), and up-down (UD) components, of the records to gener-222 ate MSIs in the same manner as the SSIs. The MSIs consisted of 405 none images and 223 405 tremor images. 224

For preprocessing, we divided each image of the SSIs, MSIs, and PSIs vertically into 225 five rectangle images, reducing the size to  $2,000 \times 400$  pixels. This preprocessing has three 226 advantages; (1) the number of learning data increases, such as data augmentation, (2) 227 the small image reduces the number of model parameters and consequently saves com-228 putational cost, and (3) the vertical division can reduce the influence of temporary noise 229 without affecting the identification of tremors with long durations. We carefully deter-230 mined the reduced size of the images not to eliminate the features of the tremors. Here-231 after, we call the square image before the preprocessing as the "original image" and the 232 rectangle image after preprocessing as the "divided image." 233

<sup>234</sup> 4 Construction and Learning of CNN

We constructed our CNN based on ResNet, which adopts shortcut connections to 235 realize residual learning and exhibites high performance with a simple structure (Fig-236 ure 4). A residual block, the unit structure of ResNet, comprises convolutional layers with 237 a filter size  $2 \times 2$  followed by batch normalization layers and a shortcut connection. We 238 combined residual blocks, pooling, and fully-connected layers to construct a model. A 239 divided image was input into the CNN as a vector of pixel values with a size of  $2,000 \times 400$ . 240 For each input  $\boldsymbol{x}$ , the CNN outputs two non-negative values,  $\boldsymbol{y} = (p_{\rm n}, p_{\rm t})$ , where  $p_{\rm n}$  and 241  $p_{\rm t}$  are the probability that  $\boldsymbol{x}$  is a none and tremor image, respectively. Finally, the CNN 242



Figure 3. Epicenter distribution of the tremors listed in the NIED catalog. The blue and orange circles indicate the epicenters of the tremors that occurred east and west of  $135^{\circ}$  E, respectively.

determines whether  $\boldsymbol{x}$  is none or tremor by comparing  $p_n$  and  $p_t$ . We used ReLU (equation (9)) as the activation function, except for the output layer in which a softmax function was used. Letting  $z_n$  and  $z_t$  be the values before passing through the softmax function, respectively, the outputs are represented as

$$p_{\rm n} = \frac{e^{z_{\rm n}}}{e^{z_{\rm n}} + e^{z_{\rm t}}} , \quad p_{\rm t} = \frac{e^{z_{\rm t}}}{e^{z_{\rm n}} + e^{z_{\rm t}}} . \tag{14}$$

Therefore, the softmax function ensures that  $p_n$  and  $p_t$  are non-negative and their sum is one. The hyperparameters, such as the fliter size of the convolutional layers, are determined carefully by comparing some cases. The number of model parameters was approximately one million.

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We conducted learning of the CNN through fine-tuning. Fine-tuning is a popular 252 learning method that uses a pre-trained model with another dataset for learning the tar-253 get data instead of a model whose parameters are initialized randomly. It is known to 254 improve model performance and learning efficiency. The effect of the fine-tuning has been 255 discussed in previous studies (Kornblith et al., 2019; Yosinski et al., 2014). We trained 256 the CNN with the SSIs and subsequently with the MSIs. In the following, we discribe 257 the learning with the MSIs; see Kaneko et al. (2021) for the pretraining with the SSIs. 258 The MSIs were split into 80% of data for training and the remaining 20% for validation. 259 At every epoch, which is the unit of learning iterations, the CNN optimizes the param-260



Figure 4. Structure of the proposed CNN. The numerical values indicate the size of vectors on the layers.

#### eters with the training data, and its performance is evaluated using the validation data. The iterations were repeated until the CNN performance converged. We used the crossentropy loss as the loss function and optimized it using Adam with a batch size of 16. Letting t = 0 if $\boldsymbol{x}$ is a none image and t = 1 if $\boldsymbol{x}$ is a tremor image, the cross-entropy loss $L = L(\boldsymbol{y}; \boldsymbol{x})$ is defined as follows:

$$L(\boldsymbol{y}; \boldsymbol{x}) = -(1-t)\log p_{\rm n} - t\log p_{\rm t} .$$
<sup>(15)</sup>

Adam is an improved stochastic gradient method that uses the momentum method and 267 step-size tuning for fast convergence to the solutions. We calculated the loss and accu-268 racy of both training and validation data at each epoch. Accuracy is calculated by con-269 sidering that the outputs are correct if  $p_n > p_t$  for none images and  $p_n < p_t$  for tremor 270 images. We generated heatmaps to visualize the model predictions based on the Gradient-271 weighted Class Activation Mapping (Grad-CAM) (Selvaraju et al., 2017). The Grad-CAM 272 calculates the importance of each area in the input image based on the gradients of the 273 output values with respect to values at the last convolutional layer. The heatmap us-274 ing the gradient of  $p_{\rm t}$  (tremor heatmap) shows the contribution to  $p_{\rm t}$ . The tremor heatmap 275 intuitively shows where the model focuses its attention on an input image to determine 276 the existence of the tremors. After training with the SSIs and MSIs, we applied the CNN 277 to the PSIs. 278

#### 279 5 Results

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#### 5.1 Learning with MSIs

Figure 5 shows the variations in accuracy and loss during the learning with the MSIs. 281 The metrics show high performance even at the initial stage of learning, which is con-282 sidered an effect of fine-tuning. The accuracy and loss for the validation data finally con-283 verged at approximately 0.99 and  $10^{-2}$ , respectively. Table 1 shows the prediction re-284 sults of the CNN when the loss of the validation data was the lowest during learning. 285 This shows that the CNN can almost certainly determine whether an MSI contains tremors 286 or not. The  $p_t$  values are extreme, *i.e.*, either  $p_t \approx 0$  or  $p_t \approx 1$  for most of the divided 287 MSIs. 288



Figure 5. (a) Accuracy and (b) loss with respect to the number of epochs during the learning with the MSIs.

Figure 6 shows the original tremor MSI and tremor heatmaps for the divided im-289 ages. The MSI (Figure 6(a)) contains various waveforms, e.g., the tremor from 2:00 to 290 3:00, and earthquakes around 5:00, 15:00, and 23:00. The heatmaps (Figure 6(b)) show 291 that the CNN outputs  $p_t \approx 1$  for all divided images and reacts strongly only to the tremor. 292 Figure 7 shows another original tremor MSI and tremor heatmaps of the divided images. 293 The MSI (Figure 7(a)) contains tremors around from 8:00 to 19:00. The heatmaps (Fig-294 ure 7(b) show reactions corresponding to the long duration of the tremors. The strength 295 of the reactions seemed to vary according to the amplitudes of the tremors. An earth-296 quake overlapping the tremors around 16:00 is considered to have little influence on the 297 predictions of the CNN. These results for the tremor MSIs (Figures 6 and 7) indicate 298 that we can precisely determine the occurrence times and durations of tremors from the 299 heatmaps. Figure 8 shows the original none MSI and tremor heatmaps for the divided 300 images. MSI (Figure 8(a)) does not contain tremors but some teleseisms at approximately 301 6:00, 13:00, and 15:00. The waveform of teleseism is sometimes similar to that of a tremor, 302 depending on its magnitude and duration. It may cause a false positive for the CNN. 303 The heatmaps (Figure 8(b)) show that the CNN does not misidentify the teleseisms as 304 tremors outputting  $p_{\rm t} \approx 0$  for all the divided images. This result indicates that the CNN 305 was not affected by the teleseisms. 306

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 Table 1. Prediction Summary for the MSIs

	True: none	True: tremor	Accuracy
Prediction: none Prediction: tremor	2,023 (405) 2 (0)	$43 (11) \\ 1,982 (394) \\ 07.00\% (07.00\%)$	97.92% (97.36%) 99.90% (100.0%)
Accuracy	99.90% (100.0%)	97.88% (97.28%)	98.89% (98.64%)

*Note.* The values outside and inside of parentheses in each cell indicate the results for all data (training and validation data) and only validation data, respectively. The value in column "True: xxx" of row "Prediction: yyy" indicates the number of images with the label xxx predicted as yyy by the CNN. Each accuracy shows the percentage that the predictions of CNN are correct for images of the corresponding column or row. Especially, the bottom-right cell indicates the total accuracy.



Figure 6. (a) The "tremor" MSI generated from the UD component at Ureshino observatory (N.URSH) on 24 April 2017, and (b) "tremor" heatmaps. The bright colors in (b) indicate the places in (a) on which the CNN strongly focused, while the blue means no interest. The  $p_t$  values for the divided images are shown above the heatmaps.



Figure 7. (a) The "tremor" MSI generated from the UD component at Asuke observatory (N.ASUH) on 3 September 2008, and (b) "tremor" heatmaps.



Figure 8. (a) The "none" MSI generated from the UD component at Totsukawa-Nishi observatory (N.TKWH) on 9 December 2001, and (b) "tremor" heatmaps.

#### 5.2 Application to PSIs

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Figure 9 shows the Gantt chart of the number of divided images for each day that are determined as tremors by the trained CNN. Deep red indicates that tremors are expected to have occurred with a high probability during this period. There are periods during which tremors are determined to have occurred intermittently, *e.g.*, from August 1974 to November 1974, and those during which tremors are determined to have occurred continuously, *e.g.*, from October 1971 to June 1972. We call these two periods as the "intermittent period" and "continuous period," respectively.

To verify the plausibility of the result, we compared the obtained detection frequency 316 of tremors in the PSIs, *i.e.*, Figure 9, with the occurrence frequency recorded in one of 317 the tremor catalogs widely accepted in the community. Figure 10 shows the Gantt chart 318 indicating tremor occurrences recorded in the NIED catalog between January 2001 and 319 April 2019. Focusing on the intermittent periods, the detection frequency seems to be 320 probable considering that the occurrence frequency of tremors determined in the NIED 321 catalog is roughly a couple of times a month. Figures 11 and 12 show the original PSIs 322 and corresponding tremor heatmaps for the divided images on September 19 and 17, 1974, 323 respectively, both of which were included in an intermittent period. The PSI (Figure 11(a)) 324 contains the events considered to be a tremor from 16:00 to 21:00 and a tremor with a 325 short duration at approximately 10:00. The heatmaps (Figure 11(b)) show the reactions 326 for both tremors with  $p_t = 1$  for all divided images. The PSI (Figure 12(a)) contains 327 tremors ranging from 11:00 to 15:00. Although the second divided image from the left 328 was determined as none  $(p_t = 0.1761)$ , the other four images were determined as tremor 329 with large  $p_t$  values (Figure 12(b)). This result supports the importance of preprocess-330 ing to divide an original image vertically. It reduces the risk of missing tremors by in-331 tegrating the predictions for multiple samples generated from one image. These results 332 verify that the CNN successfully detects tremors from PSIs in intermittent periods with-333 out reacting to the waveforms contaminated by noise. 334

Next, we focused on tremor heatmaps in the continuous periods. The tremor heatmaps show strong reactions across the entire image, outputting  $p_{\rm t} \approx 1$  for all of the divided images, although the original images obviously do not contain tremors. We pursued why



Figure 9. Gantt chart for predictions to the PSIs. The depth of red represents the number of divided images such that  $p_n < p_t$  holds. The gray area represents that the PSIs are missing in the period.

the CNNs work well for the PSIs in the intermittent periods but not the continuous pe-338 riods. One possible cause is the thickness of the plotting pen. Figure 13 shows the en-339 larged waveforms of Figures 11(a) and one of the PSIs in the continuous period. The wave-340 form lines in the continuous periods were thicker (Figure 13(b)) than those in the inter-341 mittent periods (Figure 13(a)). This indicates that the CNNs performed well when the 342 waveform lines were thin, which is probably coincident with the thickness of the wave-343 forms in the training dataset. The reason why the thickness in the PSIs changed so dras-344 tically with time is uninvestigable. 345

Augmentation of learning data is the most practical and effective method to con-346 struct a CNN universally applicable to any seismogram images that overcomes the prob-347 lem of the thickness of waveform lines, For additional learning data, only the generation 348 of MSIs with thicker waveform lines is considered sufficient for the first step. In addi-349 tion, the MSIs in which the recording directions of waveforms are intentionally changed, 350 *i.e.*, right to left, are to be learned because the PSIs sometimes include upside-down im-351 ages, as shown in Figure 13(a). This augmentation is expected to increase the amount 352 of data several times to dozens of times, which requires a much higher computational 353 cost. We are considering installing GPUs with higher computational performance than 354 the current ones to realize learning with the augmented datasets. 355

#### 356 6 Conclusions

We proposed a CNN-based method to detect tremors from seismogram images and evaluated its performance on the MSIs and PSIs. We confirmed that the CNN trained by fine-tuning successfully detected tremors from the MSIs. The CNN trained with the MSIs showed sufficient potential to detect tremors from the PSIs, while its performance depended on the width of waveform lines. Augmentation of the learning data is consid-



Figure 10. Gantt chart for tremors listed in the NIED catalog. The deep blue indicates the short epicentral distance from KUM implying that the tremors are probably observable at KUM.



Figure 11. (a) The PSI from 21:29 19 September 1974 to 20:44 20 September 1974, and (b) "tremor" heatmaps.



Figure 12. (a) The PSI from 21:02 17 September 1974 to 20:42 18 September 1974, and (b) "tremor" heatmaps.



Figure 13. Enlargement of the waveforms in (a) Figure 11(a) and (b) the PSI on 9 January 1972.

ered effective at improving the adaptability of the CNNs for PSIs, as discussed in the 362 Section 5.2. It should be noted that it is difficult to validate whether the waveforms de-363 tected by the CNN are tremors. A concrete strategy at this moment is to verify the can-364 didate tremor images through the eyes of experts who have much experience in detecting tremors based on seismic waveforms. If the CNN achieves sufficiently high perfor-366 mance, it can be used in the PSIs of seismic observatories other than KUM. The accu-367 racy of tremor detection can be improved by using seismograms in neighboring stations 368 simultaneously. Subsequently, publishing a new catalog that contains tremors in the past 369 is possible, which undoubtedly contributes to seismology. 370

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