### Thermal responses in global marine planktonic food webs mediated through temperature effects on metabolism

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#### Abstract

Rising ocean temperatures affect marine microbial ecosystems directly, since metabolic rates (e.g. photosynthesis, respiration) are temperature-dependent, but temperature also has indirect effects mediated through changes to the physical environment. Empirical observations of the long-term trends in biomass and productivity measure the integrated response of these two kinds of effects, making the independent components difficult to disentangle. We used a combination of modeling approaches to isolate the direct effects of rising temperatures on microbial metabolism and explored the consequences for food web dynamics and global biogeochemistry. We evaluated the effects of temperature sensitivity in two cases: first, that all metabolic processes have the same temperature sensitivity, and alternatively, that heterotrophic processes have higher temperature sensitivity than autotrophic processes. No other study has explored the direct effects of temperature on ecosystem provisioning (primary productivity, biomass, export) independently of the associated changes to the physical environment that result from warming. Microbial ecosystems at higher temperatures are characterized by increased productivity, but decreased biomass stocks as a result of transient, high export events that remove biomass from the surface ocean. Trophic dynamics also mediate changes to community size structure, resulting in longer food chains and increased mean body size at higher temperatures. These ecosystem thermal responses are magnified when the temperature sensitivity of heterotrophs is higher than that of autotrophs.

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#### Key Points:

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14	•	Anthropogenic warming increases metabolic rates; when considered in isolation,
15		it can increase global marine primary productivity.
16	•	However, transient increases in export lead to decreased phytoplankton and zoo-
17		plankton biomass following ecosystem warming.
18	•	When heterotrophic processes are more sensitive to temperature than photosyn-
19		thesis, these changes are magnified.

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#### 20 Abstract

Rising ocean temperatures affect marine microbial ecosystems directly, since metabolic 21 rates (e.g. photosynthesis, respiration) are temperature-dependent, but temperature also 22 has indirect effects mediated through changes to the physical environment. Empirical 23 observations of the long-term trends in biomass and productivity measure the integrated 24 response of these two kinds of effects, making the independent components difficult to 25 disentangle. We used a combination of modeling approaches to isolate the direct effects 26 of rising temperatures on microbial metabolism and explored the consequences for food 27 web dynamics and global biogeochemistry. We evaluated the effects of temperature sen-28 sitivity in two cases: first, that all metabolic processes have the same temperature sen-29 sitivity, and alternatively, that heterotrophic processes have higher temperature sensi-30 tivity than autotrophic processes. No other study has explored the direct effects of tem-31 perature on ecosystem provisioning (primary productivity, biomass, export) independently 32 of the associated changes to the physical environment that result from warming. Micro-33 bial ecosystems at higher temperatures are characterized by increased productivity, but 34 decreased biomass stocks as a result of transient, high export events that remove biomass 35 from the surface ocean. Trophic dynamics also mediate changes to community size struc-36 ture, resulting in longer food chains and increased mean body size at higher tempera-37 tures. These ecosystem thermal responses are magnified when the temperature sensitiv-38 ity of heterotrophs is higher than that of autotrophs. These results provide important 39 context for understanding the combined food web response to direct and indirect tem-40 perature effects and inform the construction and interpretation of Earth systems mod-41 els used in climate projections. 42

#### 43 **1** Introduction

Over the past century, global average sea surface temperature (SST) has increased 44 by  $0.7^{\circ}$ C (Bindoff et al., 2007). This surface warming has been accompanied by a steady 45 increase in the heat content of the upper 2000 m of the water column since at least the 46 1950s, with accelerating trends since 1991 (Cheng et al., 2019). Earth system model pro-47 jections predict additional increases in SST in the 21st century under all Representative 48 Concentration Pathways (Bopp et al., 2013). In addition to increasing mean conditions, 49 anthropogenic warming has caused unprecedented marine heatwaves in recent years, which 50 are predicted to increase in intensity and frequency (Frölicher et al., 2018; Laufkötter 51 et al., 2020). 52

Rising ocean temperatures, and corresponding changes in water column structure 53 and circulation, are expected to impact the dynamics of marine planktonic food webs. 54 The relatively short time scale of large spatial scale (e.g. satellite) observations makes 55 it difficult to distinguish between climate-driven trends and natural ecosystem variabil-56 ity (Henson et al., 2010; Dutkiewicz et al., 2019). However, some empirical and model-57 ing studies have indicated changes to phytoplankton biomass and primary productiv-58 ity. Global phytoplankton biomass has declined by about 1% of the global median value 59 per year since the mid-twentieth century (Boyce et al., 2010) and global net primary pro-60 ductivity (NPP) has been declining since 1999, particularly in lower latitudes (Behrenfeld 61 et al., 2006). Similarly, satellite observations have shown an increase in the extent of ma-62 rine low-productivity zones since at least 1998, and the rate of expansion of these olig-63 otrophic regions has been increasing in recent years (Polovina et al., 2008; Irwin & Oliver, 64 2009). Although observational data are still too short-term to definitively establish cli-65 mate change-driven trends, modeling studies suggest that there are indeed ongoing sig-66 nificant changes occurring in chlorophyll a, productivity, and planktonic community struc-67 ture (Bopp et al., 2005; Dutkiewicz et al., 2015, 2019; Kwiatkowski et al., 2020; Mur-68 phy et al., 2020; Benedetti et al., 2021). 69

Temperature-driven ecosystem changes arise from the cumulative effects of vari-70 ous mechanisms, including direct effects of temperature on the intrinsic biology of ma-71 rine organisms and indirect effects from changes to the physical environment (Taucher 72 & Oschlies, 2011; Dutkiewicz et al., 2013). Physical drivers of phytoplankton variabil-73 ity include temperature (Behrenfeld et al., 2006; Martinez et al., 2009), water column 74 stratification and the associated reduction in nutrient availability (Falkowski et al., 1998; 75 Behrenfeld et al., 2006; Martinez et al., 2009), and wind (Westerling et al., 2006). Here, 76 we are interested in isolating the direct effects of temperature on planktonic food webs, 77 independent of changes to the physical environment. 78

Temperature has a direct effect on marine organisms because metabolic processes 79 are intrinsically temperature dependent. At the species level, organisms generally have 80 a temperature optimum at which their growth rate is maximized, but the optimum tem-81 perature (and the maximum growth rate achieved at that temperature) varies between 82 species. When the thermal response curves of many species within a functional group 83 are combined, the taxon-level maximum growth rates increase exponentially as a func-84 tion of temperature. This monotonic relationship between temperature and maximum 85 growth rate is evident in data that integrate growth rates across many species of phy-86 toplankton (Eppley, 1972) or zooplankton (Rose & Caron, 2007). The temperature sen-87 sitivity of such groups of species (i.e. the rate of exponential growth of the temperature-88 metabolic rate curve) can be described using a  $Q_{10}$  temperature coefficient following Eppley 89 (1972).  $Q_{10}$  is defined as the amount a biological rate (e.g., growth rate) will increase 90 with a temperature increase of 10°C (discussed more fully below). 91

Differences may exist in the temperature sensitivity of the growth rates of differ-92 ent planktonic taxa. For example, observational data indicate that heterotrophy may be 93 more sensitive to temperature than phototrophy (López-Urrutia et al., 2006; Rose & Caron, 94 2007), though the thermodynamic mechanism is not fully understood (Rose & Caron, 95 2007). As a result, zooplankton growth rates exhibit greater temperature sensitivity than 96 phytoplankton (Rose & Caron, 2007). Recent evidence also demonstrates that temper-97 ature sensitivity can vary between phytoplankton functional types, even within taxa with 98 the same metabolic strategy (Anderson et al., 2021). In spite of this, most models that 99 contribute to the Intergovernmental Panel on Climate Change (IPCC) projections have 100 not accounted for differences in temperature sensitivity between taxa, despite evidence 101 that such differences can have important effects on model conclusions (Laufkotter et al., 102 2015).103

Here, we explore the mechanisms by which temperature directly affects marine mi-104 crobial ecosystem provisioning (e.g. production, biomass, export) and community struc-105 ture in the absence of indirect effects that accompany warming, including stratification, 106 reduced nutrient supply, and changes to circulation. Within this framework, we also eval-107 uate the effects of alternate assumptions concerning temperature sensitivity: first, that 108 all metabolic rates have the same temperature sensitivity (same  $Q_{10}$  values), or that het-109 erotrophic metabolic processes have increased temperature sensitivity (i.e. higher  $Q_{10}$ 110 value) compared to autotrophic processes. We utilize a combination of modeling approaches 111 including both global biogeochemical models and simplified heuristic box models sim-112 ulated under highly idealized warming scenarios. 113

We find that, as temperature increases, faster metabolic rates drive increased ex-114 port via the biological pump. Steady-state ecosystems following a temperature increase 115 were characterized by increased productivity, but lower standing biomass of phytoplank-116 ton and zooplankton, relative to present day temperature conditions. Warming also causes 117 118 a shift in community structure, with longer food chains and increased mean body size. Ecosystem-level thermal responses are amplified, and more strongly favor higher trophic 119 levels, when heterotrophy is assumed to have a larger temperature sensitivity than au-120 totrophy. 121

#### 122 2 Methods

This study examines the impact of increasing temperature on planktonic food webs. To isolate the direct effects of metabolism on temperature, we used  $Q_{10}$  scaling to approximate the relationship between photosynthesis/heterotrophy and temperature. We tested the impacts of this parameterization in two models: The Darwin Model, a global scale ecosystem model that allows us to quantify the impacts of thermal scaling across the world's surface oceans, and a simplified box model, which allows us to isolate specific mechanistic drivers of phenomena observed in Darwin.

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#### 2.1 Q<sub>10</sub> Temperature Coefficients

To estimate the effects of temperature on metabolic rates, we used the same pa-131 rameterization that is used in climate change simulation models, such as in the IPCC 132 Coupled Model Intercomparison Project (CMIP6; Kwiatkowski et al., 2020). Similar to 133 those models, we quantify the effects of temperature on ecosystem dynamics by param-134 eterizing metabolic rates as exponentially increasing functions of temperature (following 135 Eppley, 1972, Fig. 1). A metabolic rate, R, at a given temperature can be calculated from 136 a known rate,  $R_0$ , at reference temperature,  $T_0$ , and the  $Q_{10}$  temperature coefficient fol-137 138 lowing,

$$R = R_0 Q_{10}^{(T-T_0)/10} \tag{1}$$

This equation assumes that metabolic rates increase monotonically as a function of tem-140 perature. Although this is not true of individual species, which have maximum thermal 141 tolerances, here we model *communities* of organisms within a given functional group. There-142 fore, we have implicitly assumed that whenever a given species passes its thermal max-143 imum, it will be replaced by a different species with a higher temperature range. This 144 monotonic behavior can be seen in data sets that compile maximum growth rates as a 145 function of temperature across many species (e.g. Eppley, 1972; Bissinger et al., 2008). 146 There is evidence that phytoplankton communities near the equator are already near-147 ing their thermal maximum and are therefore more vulnerable to increases in temper-148 ature (Thomas et al., 2012). However, we have chosen to simplify our representation of 149 metabolic temperature sensitivity in favor of idealized cases. Importantly, this assump-150 tion of monotonicity is how the temperature sensitivity of metabolisms is represented 151 in the IPCC reports (CMIP6; Kwiatkowski et al., 2020) (as well as earlier CMIP mod-152 els), and so it is useful to do so here so that our results may inform that significant body 153 of work. We investigated two cases of relative temperature sensitivity in autotrophs and 154 heterotrophs (Table 1). First, we assumed that all metabolic processes in the models have 155 the same temperature sensitivity  $(Q_{10} = 1.88)$ . Second, and alternatively, we assumed 156 that heterotrophs have a higher temperature sensitivity (autotrophic  $Q_{10}=1.88$ , heterotrophic 157  $Q_{10}=2.7$ ). 158

#### 2.2 Darwin Model

To assess the effects of temperature on the upper ocean ecosystem, we performed 160 simulations using the Darwin model (Fig. 2). The Darwin simulations incorporate a cou-161 pled physical/biogeochemical/ecosystem model based on that used in Follett et al. (2022). 162 Circulation and mixing are provided by the Massachusetts Institute of Technology (MIT) 163 general circulation model (MITgcm) (Marshall et al., 1997), constrained to be consis-164 tent with altimetric and hydrographic observations (Wunsch & Heimbach, 2007). This 165 three-dimensional global configuration has coarse resolution  $(1^{\circ} \text{ by } 1^{\circ} \text{ horizontally})$  and 166 23 depth bins ranging from 10 m in the surface to 500 m at depth. The biogeochemi-167 cal/ecosystem component captures the cycling of C, N, P, Si, and Fe as they pass through 168 inorganic and (dead and living) organic pools (Dutkiewicz et al., 2015, 2020). The spe-169

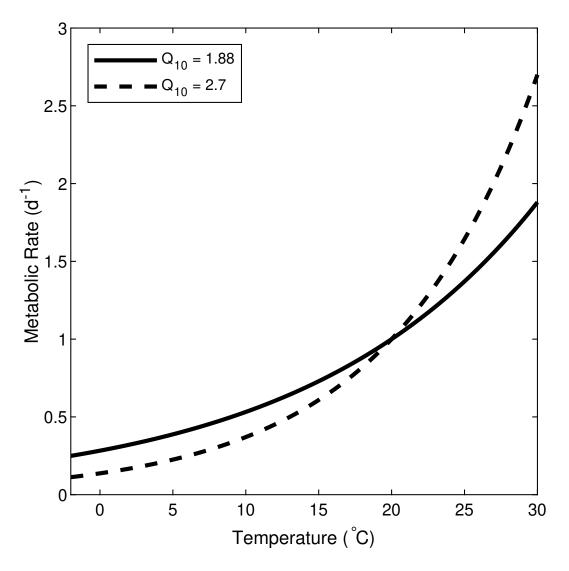


Figure 1. Metabolic rates (e.g. photosynthesis, grazing) as a function of temperature using two different  $Q_{10}$  values. See Table 1 for the values used for autotrophic and heterotrophic metabolic processes in different experiments.

cific details of the ecosystem follow from Follett et al. (2022) and resolve 31 phytoplank-170 ton (2 picoprokaryotes, 2 picoeukaryotes, 5 coccolithophores, 5 diazotrophs, 9 diatoms, 171 8 mixotrophic dinoflagellates), 16 zooplankton, and 3 heterotrophic bacteria. Phytoplank-172 ton have size resolution spanning from 0.6  $\mu$ m to 140  $\mu$ m ESD, zooplankton spanning 173  $4.5 \ \mu \text{m}$  to  $1,636 \ \mu \text{m}$ , and bacteria spanning  $0.4 \ \mu \text{m}$  to  $0.9 \ \mu \text{m}$ . Parameters influencing 174 plankton growth, grazing, and sinking are related to size (Dutkiewicz et al., 2020), with 175 specific differences between the six functional groups (Dutkiewicz et al., 2020; Ander-176 son et al., 2021). Phytoplankton growth is limited by multiple nutrients (N, P, Fe, and 177 Si in the case of diatoms) and light (following Geider et al., 1998). Grazing is param-178 eterized using a Holling Type II functional response (Holling, 1965) and is size-specific 179 such that grazers can prey upon plankton 5 to 15 times smaller than themselves, with 180 an optimal size of 10 times smaller (Hansen et al., 1997; Kiørboe, 2018; Schartau et al., 181 2010). The emergent size distribution of the simulated plankton populations is strongly 182 controlled both by the rate of supply of limiting nutrients (bottom up) and by grazing 183 (top down) (Dutkiewicz et al., 2020; Follett et al., 2022). The output from simulation 184 of Follett et al. (2022) compared well to annual and seasonal observations of chlorophyll-185 a, nutrients, and size and biogeochemical functional group distributions of phytoplank-186 ton (Ward, 2015; Buitenhuis et al., 2013). See further discussion in the appendix of Follett 187 et al. (2022). 188

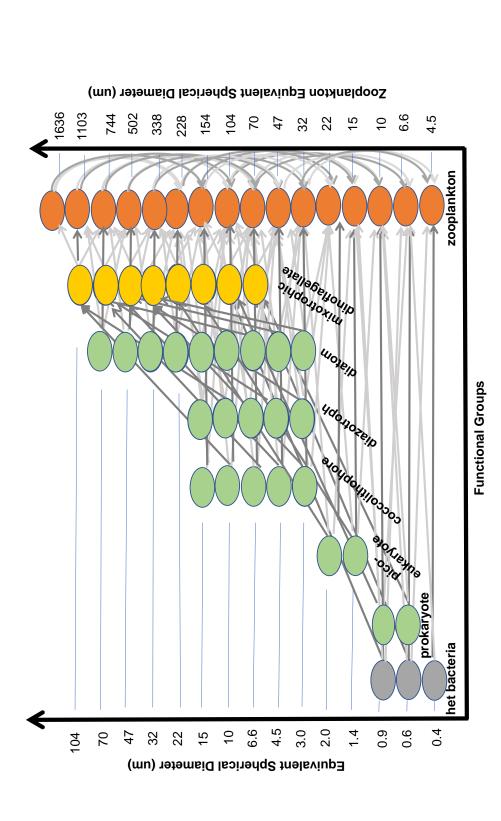
The only difference between the simulation of Follett et al. (2022) and here is in the treatment of thermal responses of the biological rates. In Follett et al. (2022) phytoplankton growth  $Q_{10}$  was based on different functional groups as found in compilation of laboratory experiments in Anderson et al. (2021). Here, instead, we set all phytoplankton growth response to a  $Q_{10}$  of 1.88 (following Eppley, 1972), and a  $Q_{10}$  of grazing to either 1.88 or 2.7 following Table 1.

To quantify the effects of temperature on ecosystem structure, we ran a series of 195 experiments of 10 year duration, beginning with the same initial conditions (World Ocean 196 Atlas for nutrients, and previous model output for all organic matter). The ecosystem 197 quickly (within approximately 3 years) reaches a quasi-steady state. Here we show re-198 sults from the 10th year of the simulations. In the series of experiments, the physical cir-199 culation and mixing remained identical, but the temperatures that the biological rates 200 experience were altered: in each simulation the temperature was raised at each location, 201 depth, and each time by a specific amount ( $\Delta T=1,3,5$  °C, see Table 1). These exper-202 iments are thus highly idealized and designed specifically to interrogate the impact of 203 increasing temperature on biological rates alone. Though there are slight differences in 204 the community composition relative to Follett et al. (2022) given the differences in  $Q_{10}$ 205 for plankton growth, the default simulation (i.e. where  $\Delta T = 0^{\circ}$ ) compares similarly 206 well to observations of chlorophyll-a, nutrients, and size distribution of phytoplankton 207 and functional groups. 208

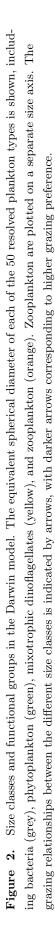
#### 2.3 Box Model

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To provide mechanistic context to the more complex, dynamical Darwin model, we 210 also employed a simplified box model of the marine food web in the upper ocean (Fig. 211 3). In this model, the surface ocean is represented as a well-mixed box that contains a 212 single nutrient resource (N), a population of phytoplankton (P), a population of zoo-213 plankton (Z), and a pool of detribility organic matter (D). The rate of change of nutrients 214 in the surface ocean depends on the balance between upwelling from the deep ocean, rem-215 ineralization of detritus, and uptake by phytoplankton. Nutrients are supplied to sur-216 face ocean via a fixed upwelling flux, W, and by remineralization of the organic matter 217 with rate r. Nutrients are removed by phytoplankton uptake, which follow Monod dy-218 namics with maximum uptake rate u and half-saturation coefficient  $k_n$ , 219



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Experiment No.	$\Delta T(^{\circ}C)$	Temperature Sensitivity Case	Autotroph $Q_{10}$	Heterotroph $Q_{10}$
1	0	Same $Q_{10}$	1.88	1.88
2	1	Same $Q_{10}$	1.88	1.88
3	3	Same $Q_{10}$	1.88	1.88
4	5	Same $Q_{10}$	1.88	1.88
5	0	Different $Q_{10}$	1.88	2.7
6	1	Different $Q_{10}$	1.88	2.7
7	3	Different $Q_{10}$	1.88	2.7
8	5	Different $Q_{10}$	1.88	2.7

 Table 1.
 Summary of Darwin Simulations

$$\frac{dN}{dt} = W + rD - \frac{uNP}{k_n + N} \tag{2}$$

Phytoplankton growth is determined by the balance between nutrient uptake and mortality terms. Phytoplankton mortality includes both grazing by zooplankton, which follows Monod dynamics using a maximum grazing rate g and half-saturation coefficient  $k_p$ , as well as a quadratic mortality term  $(\phi_p)$  to represent density-dependent loss from outside sources,

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$$\frac{dP}{dt} = \frac{uNP}{k_n + N} - \frac{gPZ}{k_p + P} - \phi_p P^2 \tag{3}$$

<sup>227</sup> Zooplankton growth rate is determined by grazing on phytoplankton minus density-dependent <sup>228</sup> mortality at a rate  $\phi_z$ ,

$$\frac{dZ}{dt} = \frac{gPZ}{k_p + P} - \phi_z Z^2 \tag{4}$$

Organic matter is added to the detrital pool through phytoplankton and zooplankton mortality, and removed via remineralization and export. The export rate, f, represents the sinking of biogenic particles out of the surface ocean,

$$\frac{dD}{dt} = \phi_p P^2 + \phi_z Z^2 - rD - fD.$$
(5)

The model was parameterized to be similar to the Darwin model (Table 2). Tem-234 perature dependence was added to the following biological rates:  $u, g, \phi_p, \phi_z$ , and r, fol-235 lowing Eq. (1). We simulated the model under the same two assumptions on  $Q_{10}$  be-236 tween trophic levels: first, we assumed that all rate parameters had the same temper-237 ature sensitivity  $(Q_{10} = 1.88)$ , and second, we assumed that the heterotrophic rates (g, g)238  $phi_p, phi_z$ ) had a higher temperature sensitivity ( $Q_{10} = 2.7$ ). Similar to Darwin model, 239 the results of this box model were examined under different temperature, as well as to 240 consider transient effects. 241

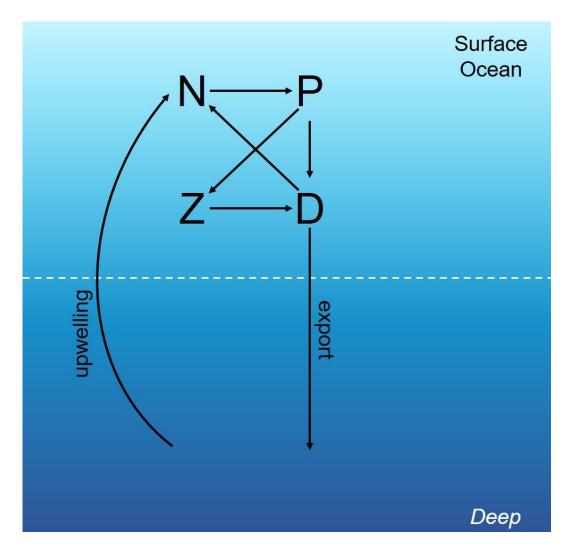


Figure 3. Food Web Box Model. The box model represents the relationships between a nutrient (N), a phytoplankton population (P), a zooplankton population (Z), and a pool of organic matter (D) in the surface ocean. Organic matter is exported out of the surface ocean by a sinking flux and nutrients are supplied back to the surface ocean by upwelling.

Symbol	Description	Typical Units	Simulation Values
	Description	01105	
Variables:			
N	inorganic nutrients	$mmol \cdot m^{-3}$	
P	phytoplankton	$mmol \cdot m^{-3}$	
Z	zooplankton	$mmol\cdot m^{-3}$	
D	detritus	$mmol \cdot m^{-3}$	
Parameters:			
u	maximum phytoplankton growth rate	$d^{-1}$	2.0
$k_n$	nutrient uptake half-saturation	$mmol \cdot m^{-3}$	0.15
g	maximum zooplankton growth rate	$d^{-1}$	1.0
$\tilde{k}_p$	grazing half-saturation	$mmol \cdot m^{-3}$	10
$\phi_p$	phytoplankton mortality	$d^{-1}$	0.01
$\dot{\phi_z}$	zooplankton mortality	$d^{-1}$	0.1
r	remineralization rate	$d^{-1}$	0.3
f	export ratio		0.1
w	upwelling flux	$mmol \cdot m^{-3} \cdot d^{-1}$	0.1

#### Table 2. Model symbols and their meanings

#### 242 3 Results

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# 3.1 Higher temperatures reduce surface ocean planktonic biomass and nutrient availability.

First, we quantified the effects of thermal change on ecosystem provisioning. Glob-245 ally integrated total NPP increased with temperature in the Darwin model simulations 246 (Fig. 4), following thermal scaling rules. However, biomass of both phytoplankton and 247 zooplankton decreased with temperature (Fig. 4). The direction of the trend with re-248 spect to temperature for biomass and productivity was the same for both  $Q_{10}$  cases and 249 for different trophic levels, but the magnitude of the thermal response was larger when 250 we assumed that the  $Q_{10}$  for heterotrophic metabolic processes was larger than the  $Q_{10}$ 251 for autotrophic processes. 252

More productive ecosystems may contain lower biomass for two reasons: the biomass 253 may have either accumulated in the non-living components of the model (e.g. inorganic 254 nutrients, detritus) or been removed from the surface ocean along export pathways, in-255 cluding the biological pump. To distinguish between compensatory mass redistribution 256 and increased export, we used phosphorus as a mass-conserved tracer, tracking changes 257 in the phosphorus content of inorganic nutrients, phytoplankton and zooplankton size 258 classes, and the detrital pool as temperature increased. Other elements, notably N and 259 Fe, are less useful as a diagnostic due to additional source and loss terms (e.g. aeolian 260 deposition, nitrogen fixation). We observed a small increase in dissolved inorganic phos-261 phate under both temperature sensitivity cases. However, this increase was not enough 262 to compensate for the decrease in phytoplankton and zooplankton biomass. Thus, to-263 tal upper ocean phosphorus content, which includes both biogenic phosphorus and dis-264 solved phosphate, decreased as temperature increased, providing evidence of a transient 265 increase in export at some point along the trajectory of the simulation (Fig. 5). 266

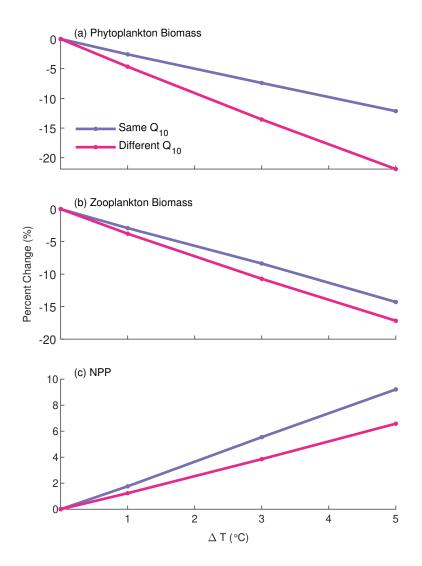


Figure 4. Percent change in globally integrated (a) phytoplankton biomass, (b) zooplankton biomass, and (c) NPP as a function of the increase in temperature relative to historical conditions for both  $Q_{10}$  cases.

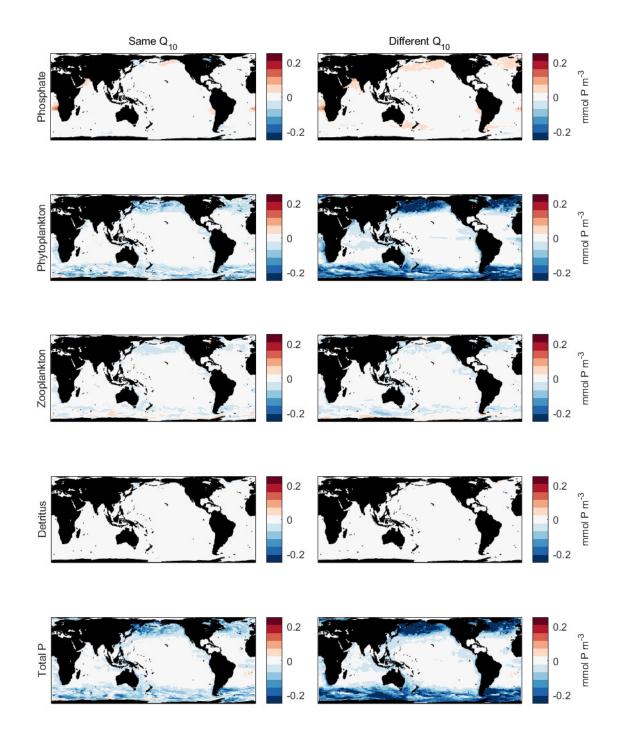
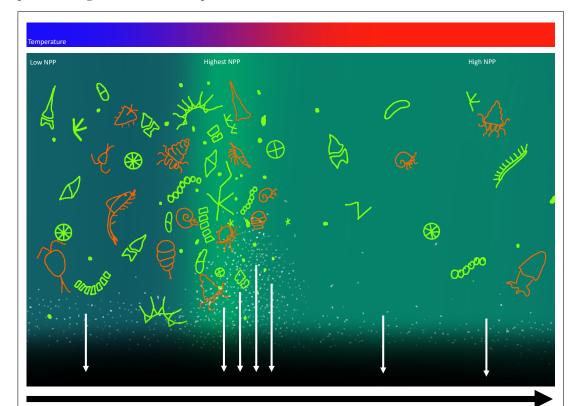


Figure 5. The change in total P content in the surface ocean within different ecosystem components (inorganic phosphate, phytoplankton, zooplankton, and detritus) after a 5 degree temperature increase for both  $Q_{10}$  cases.

#### 3.2 Transient increases in export drive biomass and nutrient reductions.

Synthesizing the evidence from the suite of Darwin simulations, we propose the fol-268 lowing mechanism for direct temperature effects on marine planktonic food webs (Box 269 1). Increasing temperature drives higher productivity via accelerating metabolic rates. 270 Increased productivity temporarily results in faster rates of the export of biomass out 271 of the surface ocean along biological pump pathways. Increased export reduces the to-272 tal mass of P (summed across organic and inorganic pools) in the surface ocean, result-273 ing in a reduction in total biomass. Darwin simulations were conducted for 10 years, so 274 275 the timescale of interest for this mechanism is both ecologically relevant and small compared to large-scale circulation processes. 276



Time

**Box 1.** In the contemporary ocean (left side), phytoplankton (green) and zooplankton (orange) contribute to export (white arrows) to the deep ocean (black). A hypothetical, acute, and abrupt, increase in temperature causes an acceleration of all metabolic rates, leading to an increase in primary production (light green background) and an increase in biomass of phytoplankton and zooplankton. This increased biomass supports a transient increase in export, which drains nutrients from the surface ocean and causes the system to re-equilibrate at intermediate levels of primary production and lower levels of biomass. (Illustrated by Elise Cypher.)

To provide additional evidence for this proposed mechanism, we turn to the sim-278 plified box model of the surface ocean. The transient behavior of the box model plays 279 a key role in establishing the ecosystem thermal response (Fig. 6). The increase in ex-280 port following an instantaneous temperature perturbation is largely a transient event and 281 declines as overall mass is drained from the surface ocean. NPP, which is a function of 282 both temperature and phytoplankton biomass, also displays a transient peak directly fol-283 lowing the temperature increase and slow decline again as the system equilibrates. How-284 ever equilbrium NPP is still higher than at the lower temperature. Thus, the asymptotic 285 behavior of the model following the temperature change is characterized by increase pro-286

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ductivity (relative to before the temperature change) and lower biomass of phytoplankton and zooplankton, but the export rate at equilibrium is not significantly increased compared to before the temperature increase. The ecosystem represented in the box model is also more sensitive to temperature when we assume that heterotrophic metabolic processes have a different temperature sensitivity than autotrophic processes (Fig. 7). The temperature-driven decline in equilibrium biomass for both phytoplankton and zooplankton was greater when the zooplankton  $Q_{10}$  was larger than the phytoplankton  $Q_{10}$ . These results are consistent with the patterns observed in the Darwin simulations.

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## 3.3 Divergent $Q_{10}$ values intensify community structure changes and trophic cascades.

At an aggregate level, increased temperature results in declines in total biomass. 297 However, the Darwin model includes multiple size classes and functional groups, and com-298 plex community interaction due to the presence of multiple trophic levels. And while the 299 total biomass summed across all these size classes is inversely related to temperature, 300 trophic dynamics within the food web result in more complicated thermal responses at 301 the scale of individual plankton size classes (Fig. 8). In general, the largest size class that 302 is present in the ecosystem (the highest trophic level) increased at higher temperatures. 303 Increased biomass at the top of the food chain resulted in a trophic cascade, evidenced by an alternating pattern of increasing and decreasing biomass in plankton size classes 305 moving down the food chain (Fig. 8). The trophic cascade effect is somewhat compli-306 cated by the effects of competition between plankton functional types and complex graz-307 ing relationships that blur the lines between trophic levels, however, the general pattern 308 can be seen across a range of different biogeochemical regimes, including regions with 309 different trophic structure. This is illustrated most clearly by comparing individual size 310 class changes in the Southern and Indian oceans (Fig. 8). Food chains in the Indian ocean 311 are typically longer in the Darwin simulations, with an additional trophic level compared 312 to food chains in the Southern ocean. Consequently, many of the individual plankton 313 size classes show opposite thermal responses. 314

The net result of all the individual changes to plankton size classes is an increase 315 in the mean body size of the entire plankton community (Fig. 9) and, relatedly, in the 316 biomass-weighted food chain length (Fig. 10) at higher temperatures. These increases 317 were amplified by divergent  $Q_{10}$  values for autotrophic and heterotrophic processes. The 318 preference for larger size classes likely arises from a combination of multiple mechanisms, 319 including increased productivity and remineralization rates at higher temperatures that 320 support longer food chains and an increase in carnivores (which tend to be larger-bodied) 321 relative to herbivores and autotrophs. In the box model, we also observed a positive re-322 lationship between temperature and the ratio of zooplankton to phytoplankton, lend-323 ing additional evidence that carnivores at the top of the food chain gain the most ad-324 vantage from increased productivity. 325

#### 326 4 Discussion

The oceans' ecosystems are responding to multiple changes that accompany an-327 thropogenic climate change, including warming, reduced sea-ice, alterations to supply 328 of nutrients, changes to light environment, and ocean acidification. Here we specifically 329 target ecosystem-level changes caused by the direct effect of warming on metabolic rates. 330 Rising ocean temperatures are expected to accelerate the metabolic rates of marine or-331 ganisms. However, we show that even this relatively simple positive relationship between 332 temperature and metabolic rate does not translate to easily predictable thermal responses 333 at the ecosystem level due to the complicating effects of feedbacks within the food web 334 and interactions with the physical environment. Increased productivity driven by warm-335

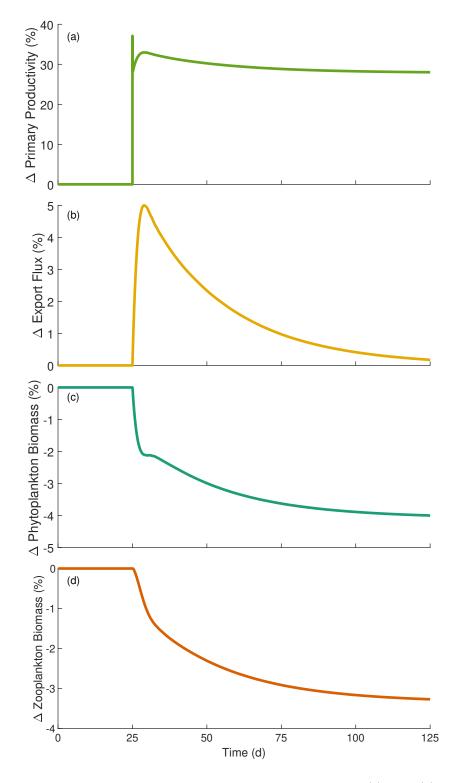
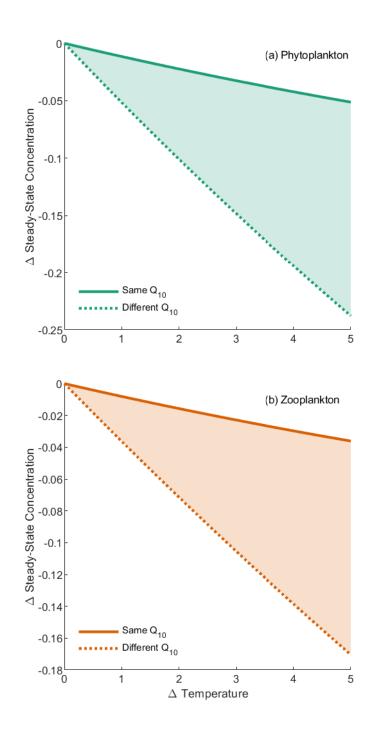
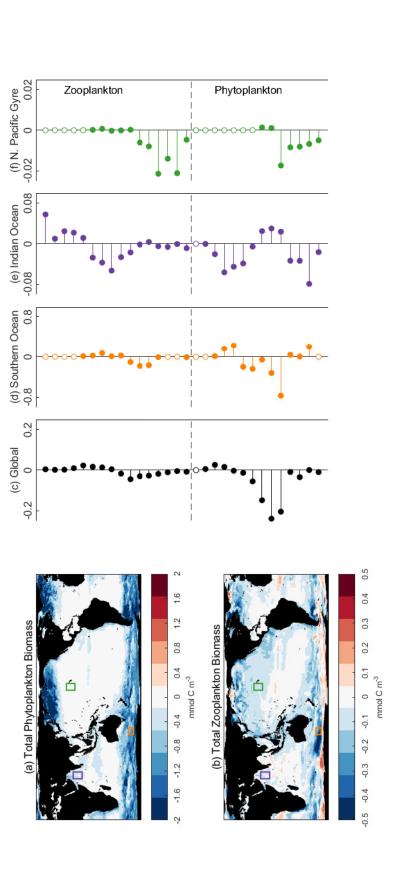


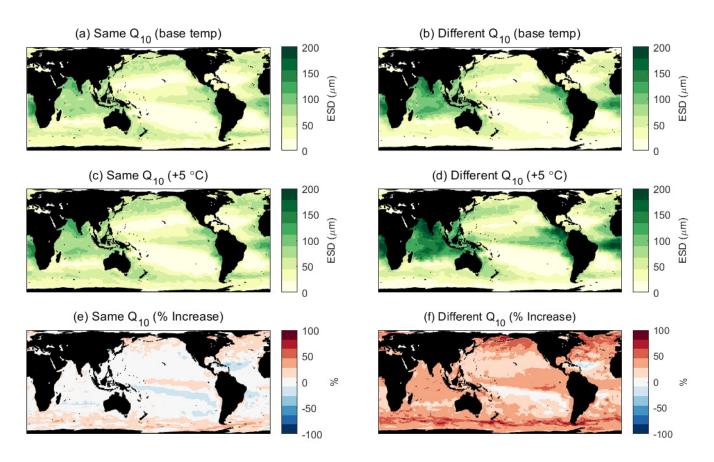
Figure 6. Time series of the box model showing transient behavior in (a) NPP, (b) export, (c) phytoplankton biomass, and (d) zooplankton biomass as the model converges to a new equilibrium following an instantaneous temperature increase of 5 degrees at t = 25.



**Figure 7.** Relative change in the equilibrium (a) phytoplankton and (b) zooplankton biomass as a function of the change in temperature in the box model for both  $Q_{10}$  cases







**Figure 9.** Global distribution of the plankton community mean body size under the base (a,b) and +5 degree (c,d) experiments for both  $Q_{10}$  cases and the percent difference (e,f) between the two temperature simulations.

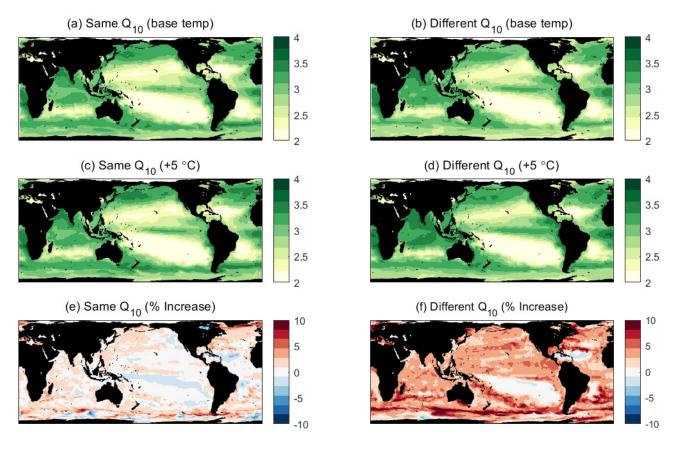


Figure 10. Global distribution of the mean food chain length under the base (a,b) and +5 degree (c,d) experiments for both  $Q_{10}$  cases and the percent difference (e,f) between the two temperature simulations.

ing results in additional export of material out of the surface ocean, resulting in ecosys tems that are more productive, but contain less biomass, as the temperature increases.

Warming also broadly drives increases in mean body size in the plankton commu-338 nity. Interestingly, this trend is in the opposite direction of the classical thermal response 339 of size spectra in oceanography, in which warming drives decreases in body size, that has 340 been proposed as a universal biological response to warming (Gardner et al., 2011; Yvon-341 Durocher et al., 2011). Water column stratification and reduced nutrient supply are of-342 ten suggested as proximate causes of this decline in mean body size (Morán et al., 2010). 343 Here, we have ignored those factors in favor of focusing on the direct effects of temper-344 ature on metabolism. The fact that our analysis found an increase in mean body size 345 suggests the relationship between temperature and plankton size spectra is complex, in-346 tegrating multiple (possibly contradictory) mechanisms of change. 347

Transient dynamics were an important component of the results in this study. In 348 the time-series simulation of the box model, productivity responded non-monotonically 349 to an instantaneous temperature increase: NPP initially increased in response to the higher 350 temperature, but then slowly declined as matter was removed from the system along ex-351 port pathways. A similar pattern has been observed in decadal-scale changes in global 352 NPP, which increased significantly between 1998 and 2000 and then gradually declined 353 during the first decade of the 21st century (Behrenfeld et al., 2006). While there is not 354 sufficient evidence to say whether the mechanism described in this paper is responsible 355 for these patterns, the agreement between findings underscores the importance of feed-356 backs within the system, which can produce complex transient responses to simple changes 357 in the drivers of the system. 358

Another source of complexity is the temperature sensitivities themselves. In both 359 the Darwin and the box-model simulations, ecosystems had greater sensitivity to tem-360 perature if the  $Q_{10}$  values differed across different metabolic processes. Here, we com-361 pared the case in which all the  $Q_{10}$  values in the model are equal against the case in which 362 the  $Q_{10}$  for heterotrophic metabolic processes is higher than that of autotrophic processes. 363 These assumptions were based on empirical evidence that show increased temperature 364 sensitivity in the growth rates of heterotrophs (Rose & Caron, 2007). However, our knowl-365 edge of the variability in real world  $Q_{10}$  is incomplete. Temperature sensitivity certainly 366 varies across phytoplankton taxa (Anderson et al., 2021) and between phytoplankton and 367 zooplankton (Eppley, 1972; Rose & Caron, 2007), but the variability in temperature sen-368 sitivity for other important ecosystem rates, including remineralization, and the regional 369 variability across biogeochemical regimes remains largely undescribed. 370

Our results suggest that variability in temperature sensitivity affects the ecosystem-371 level thermal response of planktonic food webs. A better description of the variance in 372  $Q_{10}$  coefficients between different taxa and biogeochemical regimes will expand our un-373 derstanding of how marine ecosystems will response to warming and should be a prior-374 ity in future research. It is important to note that these temperature sensitivities are likely 375 not fixed. Organisms adapt to their environment and evolution in response to warming 376 temperatures may function to modulate the ecosystem response (Padfield et al., 2016). 377 Increased thermal diversity has been shown to dampen ecosystem thermal sensitivity be-378 cause communities are better able to track temperature fluctuations in the environment 379 (Chen, 2022). A "flattening" of the  $Q_{10}$  curves via adaptation could reduce the temper-380 ature sensitivity of ecosystems and lead to smaller thermal responses. 381

Our study has particular relevance to Earth system models (ESMs), including those used in the IPCC CMIP ensembles. These models include  $Q_{10}$  parameterizations of temperature sensitivity for biological rates, and as such the mechanisms we describe in this study will be at play in their future change scenarios. These mechanisms such as temperaturealone driven decrease in biomass and increase in NPP will occur in their projections, but have not been isolated before. Other effects such as alterations in nutrient supplies and light environment will occur in the ESM as well. The combination of all these stressors
will lead to different outcomes in different regions (see e.g. Dutkiewicz et al., 2013). But
no previous study has focused on the ecosystem-wide dyanmics as found in this study.

Darwin's sensitivity to assumptions concerning  $Q_{10}$  values may therefore also pro-391 vide insight into the differences in results from various Earth system models (ESMs). There 392 is a high degree of variability among the ESMs participating in CMIP6, with disagree-393 ment in the sign of the ecosystem response over the twenty-first century in many loca-394 tions (Kwiatkowski et al., 2020). Some of this uncertainty likely arises from differences 395 in the implementation of temperature sensitivity, varying from using the same sensitiv-396 ity for all plankton types (e.g. GFDL-COBALT; Stock et al., 2020), to using different 397  $Q_{10}$  values for phyto- and zooplankton (e.g. IPSL-PISCES; Aumont et al., 2015), to im-398 plementing phytoplankton temperature dependent but zooplankton independent (e.g. 399 UK-ESM-MEDUSA; Yool et al., 2013). The lack of consistency could at least partially 400 be due to mechanisms described here. As stated earlier, these mechanisms are already 401 at work in the CMIP6 models, albeit alongside other sources of ecosystem change. Our 402 results could be the source of some of this uncertainty. 403

We have taken a diagnostic approach in our modeling method and worked to iso-404 late one mechanism of temperature-driven ecosystem change that arises from the direct 405 effects of temperature on metabolism. However, it is important to acknowledge that this 406 mechanism exists in the context of a suite of direct and indirect effects that tempera-407 ture has on marine food webs. These effects include changes to water column structure 408 and stratification, changes to circulation at multiple scales, and ocean acidification (Falkowski 409 et al., 1998; Behrenfeld et al., 2006; Martinez et al., 2009; Dutkiewicz et al., 2013, 2015, 410 2019). Multiple, simultaneous mechanisms of ecosystem change will alter nutrient avail-411 ability, biomass, and community structure in complex ways. Ecosystem-level thermal re-412 sponses are therefore an emergent behavior of a complex network of temperature-driven 413 changes to both physics and biology in the ocean. A complete understanding of ecosys-414 tem thermal sensitivity is an iterative and ongoing process of building up layers of un-415 derstanding of individual mechanisms of change and how they interact. The purpose of 416 this study was specifically to examine the thermal response of metabolism, an effect that 417 is present in previous models, but not fully examined. 418

#### 419 5 Data Availability

The generic ecosystem code required to run the Darwin model is available through https://github.com/darwinproject/darwin3. The specific simulation output used in this study is available at https://dataverse.harvard.edu/dataverse/darwin (DOI will be assigned upon acceptance of the manuscript). The box model simulations are fully reproducible from the equations and parameter values included in the paper.

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