# Reconciling the conflicting extent of overriding plate deformation before and during megathrust earthquakes in South America, Southeast Asia, and Japan

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#### Abstract

We aim to better understand the overriding plate deformation during the megathrust earthquake cycle. We estimate the spatial patterns of interseismic GNSS velocities in South America, Southeast Asia, and northern Japan and the associated uncertainties due to data gaps and velocity uncertainties. The interseismic velocities with respect to the overriding plate generally decrease with distance from the trench with a steep gradient up to a "hurdle", beyond which the gradient is distinctly lower and velocities are small. The hurdle is located 500–1000 km away from the trench, for the trench-perpendicular velocity component, and either at the same distance or closer for the trench-parallel component. Significant coseismic displacements were observed beyond these hurdles during the 2010 Maule, 2004 Sumatra-Andaman, and 2011 Tohoku earthquakes. We hypothesize that both the interseismic hurdle and the coseismic response result from a mechanical contrast in the overriding plate. We test our hypothesis using physically consistent, generic, three-dimensional finite element models of the earthquake cycle. Our models show a response similar to the interseismic and coseismic observations for a compliant near-trench overriding plate and an at least 5 times stiffer overriding plate beyond the contrast. The model results suggest that hurdles are more prominently expressed in observations near strongly locked megathrusts. Previous studies inferred major tectonic or geological boundaries and seismological contrasts located close to the observed hurdles in the studied overriding plates. The compliance contrast probably results from thermal, compositional and thickness contrasts and might cause the observed focusing of smaller-scale deformation like backthrusting.

Reconciling the conflicting extent of overriding plate deformation before and during
megathrust earthquakes in South America, Sunda, and northeast Japan
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Key Points:
• Interseismic overriding plate deformation along the Peru-Chile, Sunda and Japan trenches
occurs mostly within 500-1000 km of the trench.
• Coseismic deformation occurs in a much wider region.
• 3D earthquake cycle models support our hypothesis that these observations result from a
mechanical contrast in the overriding plates.

### 18 Abstract

We aim to better understand the overriding plate deformation during the megathrust earthquake 19 cycle. We estimate the spatial patterns of interseismic GNSS velocities in South America, 20 21 Southeast Asia, and northern Japan and the associated uncertainties due to data gaps and velocity uncertainties. The interseismic velocities with respect to the overriding plate generally decrease 22 with distance from the trench with a steep gradient up to a "hurdle", beyond which the gradient is 23 distinctly lower and velocities are small. The hurdle is located 500-1000 km away from the 24 25 trench, for the trench-perpendicular velocity component, and either at the same distance or closer for the trench-parallel component. Significant coseismic displacements were observed beyond 26 these hurdles during the 2010 Maule, 2004 Sumatra-Andaman, and 2011 Tohoku earthquakes. 27 We hypothesize that both the interseismic hurdle and the coseismic response result from a 28 29 mechanical contrast in the overriding plate. We test our hypothesis using physically consistent, 30 generic, three-dimensional finite element models of the earthquake cycle. Our models show a response similar to the interseismic and coseismic observations for a compliant near-trench 31 32 overriding plate and an at least 5 times stiffer overriding plate beyond the contrast. The model results suggest that hurdles are more prominently expressed in observations near strongly locked 33 megathrusts. Previous studies inferred major tectonic or geological boundaries and seismological 34 contrasts located close to the observed hurdles in the studied overriding plates. The compliance 35 contrast probably results from thermal, compositional and thickness contrasts and might cause 36 the observed focusing of smaller-scale deformation like backthrusting. 37

## 38 Plain Language Summary

39 The contact area between an oceanic plate that sinks into the Earth's mantle and a continental plate is commonly locked by friction, except during earthquakes. GPS observations give us a 40 snapshot of the resulting deformation of the continental plate during the long period (decades to 41 centuries) leading up to large earthquakes. We analyze available observations in South America, 42 43 Southeast Asia, and northern Japan. We find evidence that suggests that these regions have substantial mechanical contrasts at variable distances from the plate boundary, without affecting 44 45 the earthquake cycle. The contrasts approximately coincide with boundaries of tectonic blocks. Three-dimensional mechanical models indicate that a mechanical contrast may explain the 46

observations. Our expectation that the time interval between major earthquakes, or their size, are
affected by the location of the contrast is not supported by the models.

#### 49 **1 Introduction**

The great megathrust earthquakes of the previous decades happened while continuous geodetic networks were being deployed. After these earthquakes, many studies focused on constraining the coseismic fault slip by combining geodetic with seismological observations (e.g., Simons et al., 2011; Vigny et al., 2011). Postseismic processes like relocking, afterslip and viscoelastic flow started to become apparent in the geodetic measurements shortly after these events and continue today, spawning a rich variety of studies that cast new light on processes and rheological properties.

The first earthquake during the period of modern geodesy that revealed the widespread extent of coseismic deformation was the  $M_w$  9.2 2004 Sumatra-Andaman earthquake. Remarkably, coseismic displacements were recorded at GNSS stations up to more than 3,000 km away from the megathrust (Vigny et al., 2005). Similarly, in 2010, GNSS stations up to 1,700 km from the trench, far into the South American continent, recorded displacement due to the  $M_w$  8.8 Maule (Chile) earthquake (Pollitz et al., 2010). Likewise, Wang et al., 2011 observed significant coseismic static offsets up to 2,500 km away from the epicenter following the  $M_w$  9.0 2011 Tohoku earthquake.

64 Strain that has accumulated during interseismic periods (mostly) recovers coseismically as well as postseismically, after all interseismic slip deficit has been released by large earthquakes. Studies 65 66 that compare coseismic deformation to interseismic deformation have mostly focused on correlating the megathrust locking pattern to the coseismic slip pattern (e.g., Loveless and Meade, 67 68 2011; Moreno et al., 2010; Nocquet et al., 2017). Generally, observed interseismic velocities (relative to a stable overriding plate reference) are directed landward and decrease with distance 69 from the trench. However, compared to the large extent of deformation due to the largest 70 megathrust earthquakes, interseismic strain buildup seems to focus much closer to the margin of 71 72 the overriding plate, within several hundreds of kilometers from the trench (e.g. Drewes and Heidbach, 2012; Kreemer et al., 2014; McKenzie and Furlong, 2021; Simons et al., 2007). In many 73 locations where the full interseismic velocity profile with distance from the trench can be observed, 74 a distinct break in the slope of the interseismic velocity gradient is observed; from a high velocity 75 gradient near the trench to a small velocity gradient farther away (Brooks et al., 2003a; Khazaradze 76

and Klotz, 2003; McFarland et al., 2017a; Nocquet et al., 2014a). This observation fits well to the
popular notion of separability of geodetic velocities due to either rotation of a rigid plate, as well
as plate interactions in finite areas along plate margins (e.g. Altamimi et al., 2012; Kreemer et al.,
2014).

The decrease in interseismic velocities, as a function of trench distance, can often be reproduced 81 by locking of (a part of) the megathrust fault (modelled by backslip) in an elastic halfspace (Chlieh 82 et al., 2008a; Liu et al., 2010a; Métois et al., 2012a; Ruegg et al., 2009a). For parts of the South 83 84 American plate, Norabuena et al. (1998) were the first to point out interseismic strain accumulation further inland that is higher than could be explained by megathrust locking alone. In the latter and 85 in subsequent studies on the Central Andes (Bevis et al., 2001; Brooks et al., 2003a; McFarland et 86 al., 2017a; Norabuena et al., 1998; Shi et al., 2020) a seismically active backthrust is adopted to 87 explain the observed interseismic strain accumulation up to the backthrust, and a stable interior 88 89 beyond that. In other cases, a somewhat looser definition of decoupling of the near-trench region from the rest of the plate is used by defining slivers that allow for a wholesale rotation with respect 90 91 to the remainder of the overring plate (Métois et al., 2014a; Nocquet et al., 2014a). Both explanations rely on faults or shear zones that decouple the base of the lithosphere up to some 92 depth, often interpreted as deep, active backthrusts of ~200 km wide (McFarland et al., 2017a; 93 Weiss et al., 2016a). 94

Interpretations of interseismic strain accumulation are commonly based on fully elastic models. 95 96 Overriding plate velocities decrease rapidly with distance from the trench in these models. 97 Postseismic stress relaxation demonstrates however that the mantle wedge and sub-slab asthenosphere behave viscoelastically. Models with a viscoelastic upper mantle predict 98 interseismic velocities that decrease more slowly with distance from the trench (Wang et al., 2012). 99 Higher viscosities result in more elastic-like behavior with strain accumulation that is more 100 concentrated in the near-trench region (Li et al., 2020, 2015; Shi et al., 2020; Trubienko et al., 101 2013). Lower model viscosities result in interseismic velocities that remain significant up to 102 thousands of kilometers into the overriding plate. To match the observed interseismic velocities 103 with their viscoelastic models, Trubienko et al. (2013) and Li et al. (2015) use long-term (Maxwell) 104 viscosities effectively in the range of  $4.0-5.1\cdot10^{19}$  Pa·s when accounting for the use of plane-strain 105 2D models on the relaxation timescale (Melosh and Raefsky, 1983). However, these viscosities 106

are beyond the high end of the range of estimates of asthenospheric wedge viscosities  $(4.0-10\cdot10^{18}$ Pa·s) from recent studies of postseismic viscous relaxation (see Section 4.9).

109 The South American margin has played a significant role in the development of ideas about 110 interseismic strain accumulation because of the presence of a continuous region not interrupted by sea parallel to the margin. There are several other subduction zones with a continental overriding 111 plate where the gradient of interseismic velocities is observable over a wide distance. Landward 112 velocities in northern Honshu (Japan) and Hokkaido, recorded by GEONET before the 2003 113 114 Tokachi and 2011 Tohoku earthquakes (Sagiya et al., 2000a), show a fast decrease with trench 115 distance. Likewise, interseismic velocities on Sumatra and Sunda before the 2004 earthquake show a decrease with distance from the trench (Prawirodirdjo et al., 1997; Simons et al., 2007a), even 116 though the trench-parallel motions are strongly affected by the Sumatran Fault (Genrich et al., 117 2000a). More significant complications to observe the interseismic velocity gradient arise in other 118 119 subduction margins like Cascadia, where other tectonic processes overprint the interseismic 120 locking signal, like the Mendocino Crustal Conveyor (Furlong and Govers, 1999) and the 121 northward migration of the Sierra Nevada-Great Valley block (Williams et al., 2006). In southern Honshu and Shikoku strain rates due to convergence on the Japan trench and Nankai trench are 122 superimposed, which makes it difficult to isolate the far-field interseismic velocity pattern-As 123 discussed in Govers et al. (2018), continental Alaska shows continuing postseismic relaxation 124 125 following the 1964 Prince William Sound earthquake. For these reasons, we focus on margins with only moderate tectonic complexity: South America, Sunda, and the Japan Trench. 126

127 In the present study we address the apparently contrasting geodetic observation that interseismic deformation of the overriding plate focusses within several hundreds of kilometers from the trench, 128 whereas coseismic strain release extends over much greater distances. We observe a break in the 129 slope of trench-parallel and trench-perpendicular velocity components as a function of trench 130 distance, which we refer to as a hurdle. Long-lived subduction tectonically accretes blocks and 131 rejuvenates the overriding plate, by an amount that is preconditioned by lithospheric strength 132 contrasts (Mouthereau et al., 2013; Pearson et al., 2013). These strength contrasts remain visible 133 today as significant contrasts in the effective elastic thickness of the lithosphere (Watts, 2015) that 134 correlate with tectonic boundaries between blocks of vastly different ages (Stewart and Watts, 135 1997; Watts et al., 1995). Convergent deformation, including backthrusts, likely localizes at these 136 naturally occurring contrasts. Here we consider the possibility that these lateral contrasts cause the 137

hurdle-like behavior of the overring plate. Because of our context of the earthquake cycle weconsider contrasts in elastic properties.

Our study consists of two main elements: mapping the patterns of interseismic velocities and 140 secondly the interpretation of interseismic velocity gradients in terms of mechanical contrasts. We 141 characterize the spatial pattern of horizontal interseismic surface motion along the South America 142 Trench, the Sunda Trench and Japan Trench based on available observations (Section 2). Near-143 trench regions are typically (much) more densely instrumented than intermediate and far-field 144 regions, and interseismic velocities of benchmarks have variable uncertainties. We pay particular 145 attention to assessing how these factors propagate into uncertainties in the interpolated velocity 146 fields. We estimate the approximate location of the hurdle, the dominant break in the slope of 147 interseismic velocities, and discuss its significance. 148

To test our hypothesis that hurdle-like behavior is related to elastic contrasts in the overriding plate, we construct a three-dimensional viscoelastic numerical model (Section 3), analyze our model results and their robustness (Section 4). Next we discuss their significance and possible interpretations in the context of other proposed causes (Section 5). We conclude (Section 6) that a mechanical contrast in the overriding plate, with a weaker near-trench region and a stronger farfield region, is a likely candidate for explaining both the interseismic and coseismic observations in the three analyzed subduction zones.

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## 157 2 Analysis of interseismic velocity observations

#### 158 2.1 Data selection

We compile previously published horizontal velocities along three convergent margins with 159 160 abundant GNSS observations from interseismic periods: the Peru-Chile Trench (South America) (Alvarado et al., 2014a; Blewitt et al., 2016a; Brooks et al., 2011a, 2003a; Chlieh et al., 2004a; 161 Drewes and Heidbach, 2012a; Gagnon et al., 2005a; Kendrick et al., 2001a; Klein et al., 2018a; 162 Klotz et al., 2001a; McFarland et al., 2017a; Métois et al., 2014a, 2013a, 2012a; Nocquet et al., 163 2014a, 2014a; Ruegg et al., 2009a; Seemüller et al., 2010a; Weiss et al., 2016a), the Sunda Trench 164 (Sumatra and Java, Indonesia) (Bock et al., 2003a; Chlieh et al., 2008a; Genrich et al., 2000a; 165 Koulali et al., 2017a; Kreemer et al., 2014a; Prawirodirdjo et al., 2010a; Simons et al., 2007a), and 166

the Japan Trench (Apel et al., 2006a; Freed et al., 2017; Jin and Park, 2006a; Kreemer et al., 2014a; 167 Liu et al., 2010a; Nishimura, 2011a; Ohzono et al., 2011a; Sagiya et al., 2000a; Shestakov et al., 168 2011a; Yoshioka, 2013a). To prevent contamination by postseismic transient signals, we exclude 169 velocities computed using postseismic observations in the trench-perpendicular sector of the 170 overriding plate where significant ( $M_w \ge 7.5$ ) earthquakes affected the observations (see Figure 171 1). We use velocities expressed in the global reference frame ITRF (Altamimi et al., 2011). For 172 the majority of our data sources we make use of the velocity tables from Kreemer et al. (2014), 173 who have estimated a translation rate and rotation rate for each published set of velocities to 174 express velocities in the same IGS08 reference frame (the IGS realization of ITRF). We feature 175 velocities expressed in ITRF2005, ITRF2008 as well as ITRF2014; differences resulting from 176 these different realizations are well below the 1 mm/yr level (Métivier et al., 2020). We also 177 178 include velocities from Weiss et al. (2016), which are only provided in a self-determined, nonexplicit South America reference frame. However, biases because of different reference frames 179 180 are small, the mean difference in velocities between those of Weiss and the South America farfield velocities of Blewitt et al., 2016) is below 0.2 mm/yr. 181

Subsequently, we transform ITRF-expressed velocities to the overriding plate reference. For the 182 sites in South America and Japan we apply the South America and Okhotsk Euler poles, 183 respectively, of Kreemer et al. (2014). For Sumatra we make use of the Sunda Euler pole of Simons 184 et al. (2007), who identify Sundaland as a coherent block moving independently of the South China 185 block farther north. More information about data sources is available in Text S1 and Tables S1, S2 186 and S3. The resulting interseismic velocities, described in a consistent reference frame throughout 187 each studied region, show a clear contrast between high near-trench velocities and a stable interior 188 (Figure 1). 189

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## 191 2.2 Velocity decomposition into trench-perpendicular and -parallel components

Along many subduction zones, the deformation due to oblique interplate convergence is partitioned into distinct trench-perpendicular and trench-parallel fault slip and strain (Fitch, 1972; McCaffrey, 1996, 1992). Strain partitioning not only implies that margin-parallel shear is accommodated on different faults than the convergent motion, but also that margin-parallel and margin-perpendicular interseismic deformation may be distributed differently in the overriding 197 plate. Using straight lines from the trench to identify margin-perpendicular and -parallel directions at each observation point can lead to sharp contrasts in each direction between nearby observation 198 199 locations, depending on the trench geometry, and produces ambiguity in the case of a convex plate margin. Therefore, we define a conformal (i.e., angle-preserving) projection, specifically a 200 Schwarz-Christoffel map (Driscoll, 2002), to identify trench-perpendicular and -parallel directions 201 throughout each of the three study areas. This leads to a coordinate system that is locally trench-202 perpendicular at the trench, and that smoothly grades into a regional/plate-wide trench-203 perpendicular orientation with increasing distance from the trench. The derivatives in transformed 204 coordinates express the angles between the local east and north-directions and the local trench-205 perpendicular and -parallel directions, allowing us to compute the relevant, orthogonal, trench-206 perpendicular and trench-parallel components of each velocity vector at any location, see Figure 207 208 1.



velocities with respect to stable South America



velocities with respect to stable Sunda



Figure 1. Published observed velocities, topography, active faults (green), earthquakes with  $M_w > 7.5$  during the time of observation (red stars), and trench-perpendicular/parallel orientations (gray grid) in each of the three studied subduction zones. Interplate convergence velocities for the Peru-Chile Trench, Sunda Trench and Japan Trench (pre-2011 Tohoku earthquake) are taken from Kreemer et al. (2014), Simons et al. (2007), and Kreemer et al. (2014) (Okhotsk plate), respectively. To exclude the effect of postseismic relaxation, in each segment of the subduction zone that hosted a significant ( $M_w \ge 7.5$ ) earthquake, we discard all velocities in the area that

219 has been affected by coseismic displacements and postseismic transients (areas indicated by

colored sections of the trench). For this reason we have a gap in the data distribution, as we

221 exclude all data after the 1995 Antofagasta earthquake. Similarly, we exclude all data in southwest

222 Hokkaido, where velocities increase towards the west, likely due to postseismic relaxation after

the 1993 Hokkaido Nansei earthquake (Ueda et al., 2003). We set data exclusion zones stretching

from the indicated parts of the trench to a distance from the trench (600 km and 1500 km for events

larger than  $M_w \ge 8.7$ ), which we apply to data collected after the events.

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## 227 2.3 Interpolation of the decomposed velocity fields

Most geodetic studies of GNSS interseismic deformation have focused on deforming zones close 228 to the margin for the purpose of estimating the megathrust locking pattern. In most regions, the 229 geodetic benchmarks are unevenly distributed with much denser networks in near-trench areas 230 than further away from the trench, and low density in the far-field plate interior that is used as the 231 stable reference. For a continuous view on the velocity field and estimation of the location of 232 velocity discontinuities, we separately interpolate the observed trench-perpendicular and -parallel 233 velocity components. We account for the propagation of observational uncertainty, as well as for 234 velocity variance in between observed sites, as follows. Under the assumption of local stationarity 235 of the mean, variance and correlation of the velocity field, we use ordinary kriging (Wackernagel, 236 2003a), to interpolate and estimate uncertainties. We construct local correlograms that describe 237 the local variability of the velocity field (Broerse et al., in prep.; Fouedjio and Séguret, 2016; 238 Machuca-Mory and Deutsch, 2013). Further technical details are in Text S1 and Figures S1–S12. 239

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## 241 *2.4 Estimation of the hurdle location*

The hurdle represents the main velocity discontinuity separating the interseismically deforming margin from the stable interior. The uncertainties of our interpolated velocity field represent both the data uncertainties, as well as the expected variance of the velocity field in between observation points. Supplementary figures S10–S12 show small velocity uncertainties in regions with little observed velocity variability, but a large increase of uncertainty with distance from observed points in regions where the data indicate a large gradient in velocities. Because of the adequate uncertainty estimate, we can use the continuous interpolated velocity fields instead of the original
 observed point-wise velocities as basis for the spatially continuous estimate of the hurdle location.

First, we take equidistant trench-perpendicular profiles through the interpolated field. Trench-250 perpendicular and -parallel components along a profile, and their uncertainties, are estimated using 251 bilinear interpolation. Subsequently, we fit a piece-wise continuous function consisting of two 252 linear segments to the velocity as function of distance along the profile, using weighted non-linear 253 least squares with a Trust Region algorithm and using inverse variances from the kriging as 254 255 weights. The junction between the two segments represents the hurdle distance. We propagate the velocity uncertainties to the uncertainties of the hurdle location, approximated by linearization of 256 the non-linear problem, for more details see the Supplement. Figures 2-4a,b show our estimated 257 hurdle locations for each of the subduction zones. Figures 2-4c depict hurdle locations along 258 259 selected trench-perpendicular profiles, next to interpolated velocities, their uncertainties, and 260 GNSS observations.





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Figure 2. The maps show interpolated interseismic velocity components (colors) for South 264 America and the 95% confidence interval of the location of the hurdle in grav. Active faults from 265 GEM (Stvron & Pagani, 2020) are shown in green; on the left, we show trench-perpendicular 266 velocities (positive landward), and on the right trench-parallel velocities (positive left-lateral). In 267 both panels, circles represent benchmarks, and their fill color is the observed interseismic velocity. 268 Arrows show the convergence direction along the Peru-Chile Trench (Kreemer et al., 2014a). 269 *Coastlines are drawn in black. Locations of trench-perpendicular swath profile lines A, B and C* 270 are shown on the maps by the thick line surrounded by the thinner lines showing the swath width. 271 The panels below show the velocity profiles along A, B and C, including both interpolated velocity 272 components with 1 standard deviation uncertainty (transparent bands), and the velocity 273 components at GNSS stations within the swath with 1 standard deviation error bars. Note that the 274 interpolated velocities are based on all GNSS velocity estimates, and not only those shown in the 275 swath for reference. Vertical green and orange lines and bands outline estimated hurdle distances 276 with 95% confidence intervals. 277





along the profile lines shown and labeled in the maps. The velocity profiles show both interpolated velocity components with 1 standard deviation uncertainty (transparent bands), and the velocity components at GNSS stations within the swath with 1 standard deviation error bars. Note that the interpolated velocities are based on all GNSS velocity estimates, and not only those shown in the swath for reference. Vertical green and orange lines and bands outline estimated hurdle distances with 95% confidence intervals.



**Figure 4.** The maps show interpolated trench-perpendicular (positive landward) and trenchparallel (positive left-lateral) velocity fields with 95% confidence-interval location of the hurdle,

together with active faults in green from GEM (Styron & Pagani, 2020). Coastlines are in black 299 and arrows show the interplate convergence direction between the Pacific plate and Okhotsk 300 (Kreemer et al., 2014a). Below, we show selected trench-perpendicular profiles, in Honshu and 301 Hokkaido, on the landward side of the Japan Trench, along the profile lines traced in the maps. 302 The velocity profiles show both interpolated velocity components with 1 standard deviation 303 uncertainty (transparent bands), and the velocity components at GNSS stations within the swath 304 with 1 standard deviation error bars. Note that the interpolated velocities are based on all GNSS 305 velocity estimates, and not only those shown in the swath for reference. Vertical green and orange 306 lines and bands outline estimated hurdle distances with 95% confidence intervals. 307

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## 309 2.5 Data analysis results

Both velocity components decrease approximately linearly with distance from the trench up to a 310 hurdle, behind which a far-field region starts with low velocity amplitudes and gradients (see 311 312 Figures 2–4). The hurdle location can be constrained best when both the velocity uncertainties are small, and there exists a strong discontinuity between the near-field and far-field velocity gradient. 313 Trench-perpendicular velocities in particular show a steep near-trench decrease, except above 314 sections of the megathrust that are not locked over an extensive trench-parallel distance. Such 315 unlocked portions of the subduction interface are characterized by low interseismic velocity 316 magnitudes (e.g., Matsu'ura & Sato, 1989), e.g., in northern Peru (4-9° S latitude) (Herman & 317 Govers, 2020; Nocquet et al., 2014) and Java (Koulali et al., 2017a). Trench-parallel velocities 318 show a more complex behavior, particularly where the convergence obliquity changes direction 319 (inverting the sign of near-trench trench-parallel velocities) and forearc slivers have been 320 suggested to exist (Herman and Govers, 2020; Métois et al., 2016; Nocquet et al., 2014a). 321 322 Nevertheless, trench-parallel velocities also indicate a hurdle, beyond which amplitudes are near-323 zero and the slope is very shallow.

In South America, we can identify the trench-perpendicular hurdle as the location of the transition between rapid near-trench decay and the other, shallower slope in the far-field. The hurdle is located at distances from the trench varying between 400 and 1000 km approximately, including the lower and upper bounds of the confidence interval, except for the section of subduction zone with poorly coupled megathrust in Northern Peru (4–9° S) (Figure 2). The hurdle location generally largely tracks the eastern margin of the Andean orogen (Figure 5a). Only landward of

the poorly locked megathrust of Norther Peru, the trench-perpendicular gradient in the velocity 330 component is low and the hurdle location is identified at distances beyond 1000 km from the 331 trench, although the uncertainty on the location is very large and the nearest location within the 332 confidence interval still tracks the eastern boundary of the orogen. The hurdle lies a few tens of 333 km landward of the backthrust in south-central Peru (10–13° S). Further to the south, in Bolivia 334 (14–21°), it precisely follows the backthrust at the base of the mountain range. In northernmost 335 Argentina there is no clear, active backthrust, but the hurdle traces the border of the Puna plateau. 336 Immediately to the south, around 30° S, the hurdle is located in the middle of the Sierras 337 Pampeanas. 338

For South America, the hurdle for trench-parallel velocities is located between 220 and 800 km from the trench, excluding the poorly coupled megathrust section. It is always closer to the trench or coincident with the trench-perpendicular hurdle. Velocities beyond the hurdle are near, but not always exactly, zero: the trench-perpendicular component is between -1 and 4 mm/yr in amplitude, while the trench-parallel component is between -1 and 2 mm/yr.

Observations of interseismic velocities in Sumatra are sparser than in South America. In the 344 southeast of the island, observations are far apart. Both velocity components are small and have 345 346 low gradients, including the near-trench region (Figure 3). This reflects the low coupling in that region (Chlieh et al., 2008a) and does not allow us to locate any hurdle. In central Sumatra, where 347 near-trench velocities indicate strong interplate coupling and data coverage is much denser, we 348 observe a hurdle in the trench-perpendicular component, bounding the zone of near-uniform low 349 velocities in the interior of Sunda (Simons et al., 2007a). The hurdle runs through the middle of 350 the island, roughly coinciding with the southwestern edge of the Sibumasu terrane reported by 351 Hutchison (2014) and Metcalfe (2011) (Figure 5b), as well as with the northeastern boundary of 352 the zone of active orogenic deformation as indicated by Hall and Sevastjanova (2012). Trench-353 parallel velocities do not show a uniform decrease with distance from the trench, but rather are 354 near-uniform on the Indian Ocean coast of central Sumatra and in the smaller offshore islands, and 355 have a strong gradient over the Sumatran Fault (Genrich et al., 2000a; Prawirodirdjo et al., 1997), 356 behind which the parallel velocities quickly converge to zero. We thus do not perform our parallel 357 hurdle location estimation in Sumatra. In Java, both velocity components are low throughout, 358 indicating low megathrust coupling (Koulali et al., 2017a), and the lack of observations to the 359 360 northeast of the island, in the Java Sea, prevents us from confidently identifying a hurdle.

Along the Japan trench, trench-perpendicular velocities decrease with distance from the trench 361 following a steep trend with constant or gently decreasing slope in the vast majority of Hokkaido 362 (trench locations north of 42° N) and most of central-northern Honshu (south of 40° N). The 363 resulting hurdle location measures ~450–600 km from the trench (Figure 4). It broadly follows the 364 eastern margin of the floor of the Sea of Japan, a few tens of km offshore except for where it 365 touches the northernmost tip of Hokkaido (Figure 5c). On the other side of the Sea of Japan, 366 observations in Manchuria and South Korea constrain the velocity field at intermediate to far 367 distances, helping locate the hurdle. The trench-perpendicular and trench-parallel velocities in 368 those sites are uniformly negative (around 5 mm/yr, both trenchward and right-lateral, 369 respectively), indicating limited transpressional motion between Manchuria, inferred to be part of 370 the Amurian plate, and Hokkaido, generally considered part of the Okhotsk plate (Petit and 371 Fournier, 2005; Weaver et al., 2003). Off the shore of south-central Honshu (south of 40° latitude), 372 observations in the intermediate- and far-field are not available and the velocity filed in the Sea of 373 374 Japan is interpolated relying on observations far to the northwest. Nevertheless, the steep, nearlinear decrease of trench-perpendicular velocities in the densely instrumented island convincingly 375 376 supports the existence of a hurdle. The Okhotsk-Amurian plate boundary, inferred here to cross Honshu by Bird (2003), does not affect the slope of trench-perpendicular velocities with distance 377 378 from the trench. In northernmost Honshu and the southwestern most tip of Hokkaido (for trench locations between 40° and 42° N), both the trench-perpendicular velocities and their trench-379 380 perpendicular gradients are lower, possibly reflecting lower interplate coupling than in laterally adjacent portions of the megathrust (Hashimoto et al., 2009a; Suwa et al., 2006) or incomplete 381 postseismic transient corrections for the 1994 Sanriku earthquake (Loveless and Meade, 2010). 382

Trench-parallel velocities in northern Honshu are low, while the uncertainties of available 383 interseismic velocities are relatively high. This, combined with the narrow width where 384 observations are possible, makes it difficult to identify a hurdle in the trench-parallel component. 385 Additionally, trench-parallel velocities vary in sign across the study area. This clearly reflects in 386 part small changes in the strike of the trench which, combined with the overall head-on character 387 of the convergence, changes the sign of the trench-parallel component of the velocity of the 388 389 downgoing (Pacific) plate with respect to the overriding (Okhotsk) one. Nevertheless, trenchparallel velocities seem to decrease to uniform values (-5--6 mm/yr, reflecting the northwards 390

motion of the Amurian plate with respect to the Okhotsk) within ~600 km of the trench in northern
Hokkaido and within ~300–400 km in northern Honshu.

We also performed the data analysis for Japan expressing all velocities with respect to the Amurian 393 plate, rather than the Okhotsk plate, see supplemental figure S13. This uniformly increases trench-394 perpendicular velocities by ~6 mm/yr and the trench-parallel by ~5 mm/yr. Trench-perpendicular 395 velocities are thus entirely positive (landward), while trench-parallel velocities are largely positive 396 (dextral). Only a few areas with negative trench-parallel velocities remain: some isolated near-zero 397 negative patches and the southeastern corner of Honshu, next to the Sagami Trough and the 398 assumed southern boundary of the Okhotsk plate. The estimated trench-perpendicular hurdle 399 location is completely unaffected by shifting the reference frame from the Okhotsk- to the Amurian 400 plate. Conversely, the change in reference frame allows for determination of the hurdle in the 401 trench-parallel component within our uncertainty threshold, by reducing the far-field variability in 402 403 amplitudes and thus the interpolation uncertainty. The resulting hurdle is located  $\sim 260-450$  km from the trench off the coast of Honshu and 560-870 km from the trench off Hokkaido, with the 404 405 largest values for profiles in southern Hokkaido, where the velocities are uniformly higher on the island than in the mainland. 406





408

409 Figure 5. Location of both hurdles against topography, active faults (green), 40 km depth contour of the top of the slabs (megathrust) (Hayes et al., 2018), and major tectonic and geological features 410 411 discussed in the main body, for each of the three study areas. Dashed lines indicate inferred or disputed locations. (a) For South America, the eastern front of the Precordillera, the broad 412 location of the Sierras Pampeanas, and the western edge of the Río de la Plata Craton are taken 413 from *Álvarez* et al. (2012), while the orange line marks the approximate extent of the Proterozoic 414 415 and older crustal domains (Chulick et al., 2013). (b) For Sunda, the location of the Meratus suture and Southwest Borneo crustal block is taken from Haberland et al. (2014) and Metcalfe (2011), 416 while the Medial Sumatra Tectonic Zone and the crustal domains in Sumatra and the Malay 417 peninsula are taken from Hutchison (2014) and Metcalfe (2011). (c) For Japan, plate boundaries 418 are from Bird (2003). 419

420

## 421 2.6 Discussion and conclusions of the data analysis

Trench-perpendicular velocities decrease with distance from the trench in a broadly linear fashion
up to the hurdle. Beyond the hurdle, perpendicular velocities and gradients are distinctly lower.
The hurdle in trench-perpendicular velocities is located within 1000 km or less of the trench along

the three studied subduction zones. Trench-parallel velocities sometimes have complex patterns, partly due to curvature of the margin. In South America, parallel velocities generally also decay steeply with distance, up to a hurdle that roughly coincides with the trench-perpendicular hurdle or that is located up to several tens of km closer to the trench. Hurdle locations broadly, but not precisely, follow the inland boundary of the orogen located along the margin, where a clear boundary exists.

The sharp decrease to (near-)zero of trench-perpendicular interseismic velocities was first noted 431 432 by Norabuena (1998) for the northern portion of the Central Andes (the Altiplano of Peru and Bolivia) and Brooks et al. (2003) for the Southern Andes. The authors explain the observations by 433 active back-arc convergence or sliver motion, which has remained a popular explanation (Bevis et 434 al., 2001; Brooks et al., 2011a, 2003a; Herman and Govers, 2020; Kendrick et al., 2006; McFarland 435 et al., 2017a; Métois et al., 2013a; Shi et al., 2020; Weiss et al., 2016a). The interpretation 436 437 involving active backthrusts implies that interseismic strain accumulation by slip on a backthrust system involves non-recoverable strain by fault slip or deep shear zones. The fold-and-thrust belt 438 439 at the eastern margin of the Altiplano-Puna plateau, at roughly 11–22° S latitude, is bounded by a well-defined thrust front and is indeed considered to be actively deforming, despite little recent 440 seismic activity (Brooks et al., 2011a; Wimpenny et al., 2018). Farther north in Peru (4-11° S) and 441 farther south in Argentina (around 31° S), moderate instrumentally-observed earthquakes and 442 443 strong historical earthquakes indicate that some fraction of permanent strain occurs by thrust and reverse faulting in the eastern foreland of the Andes (Alvarado and Ramos, 2011; Jordan et al., 444 1983; Rivas et al., 2019; Sébrier et al., 1988). However, active and continuous backthrusts faults 445 appear to be absent in some locations along the Andean orogen and the other two subduction zones 446 we study, specifically at 22–29° S and south of 32° S latitude in South America, throughout 447 Sumatra and Java, and south of 39° N and north of 45° N off the west coast of Japan. Elsewhere, 448 in the Sea of Japan, the inferred active faults accommodating convergence between the Okhotsk 449 and Amur plates do not coincide with the location of the hurdle (Figures 1–4). 450

Even where active backthrusts are observed, their role in explaining the spatial distribution of surface velocities may have been misinterpreted because of unrealistic model assumptions. Most studies that numerically model the effect of back-arc convergence on interseismic velocities assume a fully elastic Earth during the entire earthquake cycle, which strongly underestimates farfield horizontal velocities and can lead to mistaken interpretations of observations (Li et al., 2015;

Trubienko et al., 2013). Shi et al. (2020) do use a visco-elastic rheology, but their model artificially 456 imposes zero horizontal motion at a horizontal distance of  $\sim 950$  km from the trench, i.e. only  $\sim 150$ 457 km farther than the back-arc thrust front. Additionally, their decrease in modeled trench-458 perpendicular velocities with distance from the trench is less linear than observed, while their 459 backthrust produces only local offsets in velocities, above the backthrust. Furthermore, most of 460 the modeling studies invoking back-arc convergence require basal detachment faults extending in 461 the trench-normal direction for ~200 km or more (Brooks et al., 2011a; McFarland et al., 2017a; 462 Shi et al., 2020; Weiss et al., 2016a). This may be unrealistic, considering that the E-W extent of 463 the central Andean back-arc fold-and-thrust belt that is currently geologically active is only 464  $\sim$ 70 km wide (Pearson et al., 2013). Other authors treat the contact between the Andean orogen 465 and the interior of South America as a plate boundary, implying that this boundary cuts through 466 the entire lithosphere, slipping freely at depth, and is laterally continuous all along the orogen. 467 Because of the extreme spatial extent and continuity of the modeled thrusts or plate boundaries, 468 these studies probably overestimate the geodetic imprint of the localized shortening at the eastern 469 edge of the Andes. Additionally, none of the aforementioned studies investigating the spatial 470 471 distribution of interseismic velocities consider whether significant far-field coseismic displacements can be explained by their models. Within the framework of the earthquake cycle, 472 473 we think there should be consistency in terms of coseismic slip and slip deficit accumulation, response of backthrust slip and creep to the stress evolution during the cycle, and boundary 474 475 conditions.

Active faults are the possible cause of hurdle behavior in some regions. North of  $\sim 2^{\circ}$  S in South 476 America, in southern Ecuador and Colombia, convergence is highly oblique and subparallel to a 477 system of strike-slip and thrust faults (Veloza et al., 2012) that roughly coincides with the location 478 of the hurdle in both velocity components. Localization of interseismic velocities might be chiefly 479 caused by the fault system, consistently with the interpretation of this fault system as bounding a 480 distinct, internally deforming North Andean sliver (e.g., Alvarado et al., 2016; Kellogg et al., 1995; 481 Nocquet et al., 2014; White et al., 2003). In Sumatra, trench-parallel velocities seem to be governed 482 by the active strike-slip Sumatran Fault (Genrich et al., 2000a; Prawirodirdjo et al., 1997). Trench-483 parallel velocities also suggest localized strike-slip motion between southern Hokkaido (on the 484 Okhotsk plate per Bird, 2003) and northern Manchuria (on the Amurian plate), but the lack of 485 GNSS observations in the Sea of Japan precludes a specific localization of the boundary from a 486

487 purely geodetic perspective. The complex pattern of trench-parallel velocities in Japan, with
 488 changes in sign along the trench, might indicate internal tectonic deformation within the islands.

Trench-perpendicular velocities in all three study areas show a consistent steep decrease with 489 490 distance from the trench. Trench-parallel velocities in South America, away from the North Andean sliver, show a similar trend. This suggests a more universal cause of the observed hurdles 491 than fault zones. We find no correlation between shallow megathrust dip and hurdle location, since 492 the dip changes very little along the studied trenches (Figure 5). We therefore focus on a possible 493 494 explanation involving the overriding plate. Although the thrust faults in the Andean back-arc are 495 unlikely to directly account for the decrease in observed velocities as we move away from the trench, they are likely associated with a mechanical contrast between the deformed and partly 496 accreted Andean region and the interior of the South America plate. We thus hypothesize that such 497 a contrast exists in this and other subduction zones, that it is responsible for the behavior of 498 499 interseismic velocities, and that a uniform overriding plate cannot account for observations.

The effective elastic thickness  $T_e$  derived from flexure observations is much lower at the margin 500 than in the interior of South America (Pérez-Gussinyé et al., 2008, 2007; Stewart & Watts, 1997). 501 Variations in effective elastic thickness may derive from variations in thickness, composition, 502 503 temperature, rheology, and on the age of the load (Burov & Diament, 1995; Watts, 1981). The effective elastic thickness is derived from lithospheric flexure on geological time scales and is not 504 directly applicable to the predominantly horizontal plate loading over interseismic timescales. It is 505 very likely however that a relevant mechanical contrast exists. The load-bearing capacity of the 506 low-viscosity mantle wedge is negligible on (interseismic) time scales, meaning that the contrast 507 must be related to properties of the overriding plate. The bulk of the interseismic shortening of the 508 overriding plate is recovered during megathrust earthquakes, so it can be considered largely elastic. 509 A mechanical contrast that is relevant in the context of earthquake cycles is thus a compliance 510 contrast or thickness contrast. Below we present mechanical models aimed at exploring our 511 hypothesis that (interseismic) hurdles are a consequence of such contrast, whilst also showing 512 significant coseismic displacements beyond the hurdle. 513

The presence of stiff cratonic lithosphere in the interior of the South American plate in central Argentina was proposed as the explanation for the relatively low horizontal postseismic velocities in the region (compared to model results without such a craton) by Klein et al. (2016). Itoh et al.

(2019) instead showed that a compliant arc and back-arc region can explain the high gradient of 517 onshore horizontal interseismic velocities with distance from the trench in Hokkaido. We 518 hypothesize that a mechanical contrast between more compliant lithosphere at the convergent 519 margin of the overriding plate (in the arc and back-arc region) and less compliant, more rigid 520 lithosphere of the interior of the plate can explain the observed near-trench localization of high 521 spatial gradients of horizontal surface velocities. We thus propose that such a contrast, avoiding 522 artificially fixed model edges in the vicinity of the trench, can produce a hurdle in interseismic 523 velocities and surface motion generally consistent with observations throughout the seismic cycle, 524 even though we specifically focus here on interseismic observations. 525

#### 526 **3 Numerical model**

#### 527 3.1 General concept

To study the interseismic and coseismic surface deformation field we develop a three-dimensional 528 (3D) mechanical model. We seek to explain observation trends at different margins, i.e., the semi-529 linear decrease of interseismic velocities from the trench to the hurdle, the low interseismic strain 530 accumulation beyond it, but significant far-field coseismic displacements due to a megathrust 531 earthquake. We test whether these trends may be a consequence of a compliancy contrast in the 532 overriding plate. In the context of our model, we use a contrast in Young's modulus E and shear 533 modulus G, with the same ratio between the two moduli, in an overriding plate with a uniform 534 535 thickness and poisson's ratio v. Rather than representing realistic averages of the elastic properties of the lithosphere, the model Young's modulus values proxy for a more general ability of the plate 536 to resist intraplate stresses resulting from the total thickness, composition, and thermal state of the 537 real lithosphere. The modeled contrast in the elastic properties of the overriding plate consists of 538 a relatively low Young's modulus in the "near-trench" region and a higher modulus in the far-539 field. The assumed geometry of the slab and overriding plate in the model is not specific for any 540 margin and instead follows a realistic trench-perpendicular slab profile (Figure 6). We 541 consequently do not expect to reproduce specific regional observations with the model. 542

543

544 Model deformation is driven by slab motion and sporadic unlocking of asperities. Govers et al. 545 (2018) show that coseismic slip increases per earthquake cycle until a dynamic steady state is 546 reached with physically consistent prestresses.

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548 *Figure 6.* Schematic representation of the model domain with its geometry, spatial extent, 549 coordinate system, main mechanical properties, and the applied boundary conditions.

550

### 551 *3.2 Model domain and rheology*

We have chosen the model domain size so that boundaries and boundary conditions do not affect 552 553 the results in our region of interest; the trench-perpendicular (x) model extent is 2200 km, 2000 km in the trench-parallel direction (y) direction, and 388 km in the depth (z) direction. The trench 554 is located at x = 0, while the oceanward model boundary is located at x=-212 km. The surface 555 downgoing plate has its upper surface at a depth of 8 km, and the overriding plate at z=0. The 556 subducting plate has a thickness of 80 km, consistent with the seismologically detected depth of 557 the lithosphere-asthenosphere boundary of various oceanic plates (Kawakatsu et al., 2009; Kumar 558 & Kawakatsu, 2011). The overriding plate has a uniform 40 km thickness, except at the taper due 559 to the megathrust geometry at the bottom and at the slope down to the trench over 18 km horizontal 560 distance. 561

The model slab and the overriding plate are elastic, and the mantle wedge and sub-slab asthenosphere are viscoelastic with a Maxwell rheology. We model seismic cycles with quasidynamic slip on discrete faults and shear zones (see Section 3.4, as well as Section 2 of Govers et al., 2018). After model spin-up, the model has identical megathrust earthquake cycles with a return period of 300 years. Postseismic relaxation in the model involves the two most relevant large-scale

processes, afterslip and viscous relaxation (Broerse et al., 2015; Bürgmann and Dresen, 2008; Diao 567 et al., 2014; Klein et al., 2016). Our reference model has a mantle viscosity of  $10^{19}$  Pa·s. 568 Throughout the model domain, outside of the overriding plate, the elastic moduli are uniform: 569 Poisson's ratio  $\nu$  is 0.25 and Young's modulus E is 100 GPa, consistently with values from PREM 570 (Dziewonski and Anderson, 1981a) in the 0–40 km depth range. In particular, the  $\nu$  value of 0.25 571 consists of the common Poisson solid assumption (e.g., Melosh and Raefsky, 1983) and is very 572 consistent with the values determined for lower crustal and mantle lithologies, while being at the 573 574 lower end of the realistic range for the upper crust. The return period thus is ~37.9 characteristic relaxation (Maxwell) times long, so that about 55% of the model cycle period is interseismic, given 575 that the earthquakes on the different asperities within one cycle occur within 40 years of each other 576 (Govers et al., 2018). 577

578

### 579 *3.3 Numerical method*

We use a finite element method to solve the 3D mechanical equilibrium equations for given material properties and boundary conditions including a free surface, as detailed below. Finite element platform *GTECTON* version 2021.0 uses the Portable, Extensible, Toolkit for Scientific Computation (*PETSc* version 3.10.4; Balay et al., 2021a, 2021b, 1997) and *OpenMPI* (version 3.0.0; Gabriel et al., 2004) to solve the time-dependent mechanical problem in parallel (e.g., Govers et al., 2018; Govers and Wortel, 2005).

Each model includes 384,566 nodes, 2,238,109 elements and 1,284,193 total degrees of freedom.
These choices are based on pilot models to find a mesh where surface deformation is insensitive
to further grid refinement. A posteriori estimates of the model error (Verfürth, 1994) for the
selected mesh are small enough to support our conclusion that our results are accurate within a few
%.

591

#### *3.4 Modeling the megathrust*

593 Dynamic differential slip on the megathrust is modeled using the slippery nodes technique (Melosh 594 & Williams, 1989). Five asperities on the otherwise freely-slipping megathrust are fully 595 coupled/locked during all stages of the earthquake cycle except during the coseismic stage when

unlocked asperities can slip freely. Treating the megathrust away from the asperities as freely 596 sliding is consistent with observations of megathrust regions immediately up- and downdip of the 597 asperities sliding stably and with low friction (Hardebeck, 2015; Ikari et al., 2011; Scholz, 1998). 598 The asperities are circular in map view and have a diameter of 50 km, which is consistent with 599 inversion results of (Herman and Govers, 2020). They are centered at a horizontal distance of 120 600 km from the trench and 100 km from each other, resulting in pseudo-locking and accumulation of 601 slip deficit over an along-trench distance of ~500 km (Herman et al., 2018). The middle asperity 602 is first unlocked to allow (coseismic) slip in each new cycle. After a delay of 20 years, the 603 intermediate asperities are unlocked. After 20 more years, the outer asperities are unlocked. 604

Coseismic slip, although traditionally thought to not extend to very shallow depth as a result of the 605 unconsolidated material in the hanging-wall (Kanamori, 1972; Moore & Saffer, 2001), can indeed 606 propagate up to the trench (Fujiwara et al., 2011; Sladen & Trevisan, 2018). We apply velocity 607 608 strengthening in the form of (small) shear tractions that are proportional to the amount of coseismic fault slip, with a spring constant of 200 Pa/m. This way, we allow (while minorly restricting) 609 610 coseismic slip on the updip portion of the megathrust, above 15 km depth. Downdip of the megathrust, the contact between the subducting plate and the mantle wedge (depths >40 km in our 611 models) is commonly thought to be a viscoelastic shear zone (van Keken et al., 2002; Tichelaar & 612 Ruff, 1993). In our model, we represent it as an infinitely thin shear zone that is elastic 613 614 coseismically and resembles low-viscosity behavior during other periods of the earthquake cycle by slipping freely via slippery nodes. The shear zone thus fully resolves coseismic stress changes 615 during an instantaneous primary afterslip phase and creeps with no resistance interseismically. 616 This implementation has the significant benefit of avoiding the computationally demanding 617 simulation of viscous flow in a narrow channel, while capturing the main features of interseismic 618 and coseismic behavior and producing afterslip. Govers et al. (2018) used a similar approach, and 619 they defined "primary afterslip" as immediate viscous slip on the shear zone in response to 620 coseismic stress changes that is generally thought to occur much more quickly than bulk viscous 621 relaxation in the mantle wedge (Govers et al., 2018a; Muto et al., 2019). "Secondary" afterslip 622 refers to slip on the deep shear zone in response to stress redistribution during the postseismic 623 phase. 624

Afterslip on the deep shear zone is commonly assumed to occur at depths shallower than about 80–100 km (Diao et al., 2014; Freed et al., 2017; Hu et al., 2016; Sun et al., 2014; Yamagiwa et al., 2015). Klein et al. (2016) showed that allowing relative motion between the mantle wedge and
the slab, by introducing a narrow low-viscosity zone between 70 and 135 km depth along the top
of the slab, produces little change in postseismic horizontal surface motion. In our model, we
therefore allow afterslip, and interseismic slip deficit accumulation, on the shear zone downdip of
the megathrust only at depths smaller than 100 km.

We aim to capture deformation and flow of the mantle wedge and asthenosphere in response to 632 stress changes during the earthquake cycle. To exclude modeling steady-state mantle flow on 633 634 geological time scales that is irrelevant for the seismic cycle, we use the finite element split node technique (Melosh & Raefsky, 1981) to impose the slab velocity beyond a depth of 100 km. 635 Similarly, we avoid driving long term sub-slab asthenosphere by applying the slab velocity along 636 the base of the slab. We remove a small residue of long-term deformation of the model related to 637 stretching and unbending of the slab that we identify from an identical model without asperities or 638 639 earthquakes. This approach facilitates loading of the mantle wedge and sub-slab asthenosphere by non-steady velocity/stress perturbations during all stages of the earthquake cycle. 640

641

#### 642 3.5 Boundary conditions

We impose the updip and downdip ends of the downgoing plate to move obliquely at the interplate 643 velocity in the direction parallel to the slab surface. The trench-perpendicular component of the 644 velocity is 60 mm/yr, while the trench-parallel component (34.64 mm/yr) is such that the total 645 velocity is at a 30° angle (counter-clockwise), in a slab-parallel plane, to the trench-perpendicular 646 direction (Figure 6). We have verified that the presence and magnitude of the trench-parallel 647 velocity does not affect trench-perpendicular late interseismic surface velocities or coseismic 648 649 surface displacement. We apply a free-slip boundary to the remaining lateral, vertical sides of the model, while we allow only vertical motion at the landward end and fix the bottom landward and 650 oceanward edges of the vertical sides. 651

Restoring pressures impose isostasy along the free surface of both plates (Govers and Wortel, 1993a). These pressures act perpendicularly to the surface and have a magnitude directly proportional to displacement in that direction. The constant of proportionality is the gravitational acceleration (9.8 m/s<sup>2</sup>) times the density contrast—3250 kg/m<sup>3</sup> at the top of the overriding plate, 2200 kg·m<sup>-3</sup> at the top of the oceanic plate.

## 657 4 Modeling results and analysis

## 658 *4.1 Reference model*

In our reference model, the overriding plate has a Young's modulus of 50 GPa within 700 km 659 660 horizontal distance from the trench, while the remainder of the overriding plate has a Young's modulus of 250 GPa. Figure 7 shows the resulting surface deformation. Figure 7a and 7c show 661 interseismic velocities for 260 years after the last earthquake on any asperity, i.e., after  $\sim$ 33 662 Maxwell times. Both the trench-perpendicular and trench-parallel velocity components decrease 663 664 with distance from the locked asperities. The transect through the central asperity in Figure 7c (solid line) shows a roughly linear decrease in the trench-perpendicular velocity with distance from 665 the trench, from the peak value (above the asperity) to the location of the contrast, where the 666 gradient decreases sharply. Here, the trench-perpendicular velocity is ~10% of the interplate 667 convergence rate and ~8% of the peak value. Beyond the contrast, the trench-perpendicular 668 velocity in the far-field decreases gradually to zero at the far end of the model, which is a 669 consequence of the model boundary condition there. Trench-parallel velocities along this transect 670 instead decay with a progressively shallower slope away from the peak (Fig. 7c). They reach a 671 near-zero value at the compliance contrast and reach ~10% of the peak value ~200 km closer to 672 673 the trench. The steeper decrease in the trench-parallel component causes velocity directions in the locked portion of the subduction zone to rotate from convergence-parallel to trench-perpendicular 674 with distance from the trench (Figure 6a). The results thus show slow and mostly trench-675 perpendicular interseismic strain accumulation beyond the contrast. The mechanical contrast thus 676 results in hurdle-type behavior comparable to what we infer from the GNSS data. The hurdle is 677 expressed in both horizontal velocity components, albeit more clearly in the trench-perpendicular 678 velocities. 679

Interseismic velocities 500 km to the north of the middle of the model (Fig.7a and 7c) are substantially slower than above the central asperity. They are higher than velocities 500 km to the south of the central asperity, showing that oblique convergence results in a distinctly asymmetric pattern of interseismic strain accumulation. Particularly the trench-parallel velocity differs. Trench-parallel velocities along the northern transect in Figure 7a and 7c increase with distance from the trench before decreasing again. Figure 7a shows that, in a trench-perpendicular profile 500 km the south of the middle of the model, trench-parallel velocities decrease with distance from the trench. Trench-perpendicular velocities on both lateral sides decrease with distance from the trench. The imprint of the contrast on the (gradient of the) velocities is less pronounced away from locked asperities than in the central region.

Unlocking of the central model asperity results in coseismic slip on the megathrust. The coseismic 690 slip on the megathrust corresponds to a moment magnitude M<sub>W</sub>=8.7, computed using the average 691 elastic shear modulus of the overriding and subducting plates. Figure 7b shows coseismic 692 horizontal surface displacements in the overriding plate. The displacement magnitude is highest 693 (~11 m) and obliquely ocean directed above the ruptured asperity. Figure 7d shows a strong 694 decrease of trench-perpendicular displacement with distance from the trench, and a change in the 695 gradient at the mechanical contrast. Trench-parallel displacements are less affected by the contrast. 696 However, both components are significantly non-zero beyond the compliance contrast. 697



Figure 7. Reference model surface deformation and profiles. The extent of the forearc and backarc 699 region with low Young's modulus E, and of the far-field region with high Young's modulus is 700 shown above the panels. (a) Interseismic horizontal velocities. Colors show magnitudes, and 701 vectors show directions and magnitudes. The black barbed line indicates the model trench that 702 separates the subducting plate (left) from the overriding plate (right). Black circles are surface 703 projections of locked asperities. Solid and dashed thick grav lines correspond with transect 704 locations in panels (c) and (d). (b) Coseismic horizontal displacements due to unlocking of the 705 central asperity. Colors show magnitudes, and vectors show directions and magnitudes of 706 horizontal surface displacements. (c) Interseismic surface velocity components along transects on 707 the overriding plate shown in (a) with the same line stroke (continuous or dashed). Positive 708 velocities are landward, to the right. (d) Coseismic displacement components along a trench-709 710 perpendicular transects show in (b). Seaward displacement is negative, to the left.

711

#### 712 *4.2 Lateral compliance contrast versus a homogeneous plate*

We compare the results of our reference model with results from two other models, both with an 713 overriding plate with a uniform Young's modulus, and all else the same as in the reference model 714 (Figure 7c). We find that a low uniform value of 10 GPa produces a steep decrease in both 715 interseismic velocity components, i.e., it concentrates interseismic strain closer to the trench. 716 However, it lacks significant trench-perpendicular coseismic displacement in the far-field, with 717 amplitudes below 10 mm at distances from the trench greater than 800 km, unlike our reference 718 model. Conversely, a uniform, realistic value of 100 GPa for the overriding plate produces large 719 far-field coseismic displacement. However, its trench-perpendicular interseismic velocities 720 decrease slowly and have significant amplitudes (more than a third of the peak value) at the 721 location of the contrast in the reference model (700 km from the trench). 722

We conclude that a uniform overriding plate cannot simultaneously explain the observed interseismic hurdle and far-field coseismic displacements. A compliance contrast in the overriding plate does explain an interseismic hurdle and far-field coseismic displacements.

#### 727 *4.3 Radial elasticity variations*

Pollitz et al. (2011a, 2011b) concluded that radial elasticity layering is needed for fitting both the 728 near- and far-field coseismic static GNSS displacements following the Maule and Tohoku 729 730 earthquakes. We evaluate to what extent a radial elasticity variation affects the model results. We use elastic moduli varying with depth according to PREM (Dziewonski & Anderson, 1981; Pollitz 731 et al. 2011a,b). The modeled interseismic surface velocities differ little from a model with uniform 732 Young's modulus E=100 GPa (Figure S15), being less than 5% higher or lower and near-733 indistinguishable beyond 300 km of distance from the trench. We conclude that the hurdle-type 734 735 response of interseismic velocities cannot be explained by the radial elasticity layering only. In the context of our numerical models a lateral contrast is thus needed in the overriding plate to 736 reproduce the hurdle-like observations. In Sections 5.2 and 5.3 we address the tectonic and 737 rheological viability of a mechanical contrast in overriding plates. 738

739

## 740 4.4 Importance of near-trench elasticity and of its contrast with far-field elasticity

The reference model uses a Young's modulus E=50 GPa in the near-trench and E=250 GPa in the far-field of the overriding plate. The latter value is beyond the upper limit of ~200 GPa for lithospheric rocks (specifically eclogite; Aoki and Takahashi, 2004; Christensen, 1996). Here we explore the sensitivity of our model results to elastic properties.

We systematically vary the Young's modulus in both the near-trench and the far-field portion of 745 the overriding plate. Figure 8 shows trench-perpendicular profiles of interseismic velocities 746 through the central asperity for models where the Young's modulus is higher in the far-field than 747 near the trench by a factor of 5 (purple), 7.5 (red) and 10 (orange). We also vary the Young's 748 modulus of the far-field while keeping the contrast in Young's modulus E the same (continuous, 749 dashed, or dotted lines in Figure 8). The results show that low *E*-values in the near-trench region 750 result in a sharper decrease of trench-perpendicular velocities within 700 km from the trench, and 751 lower velocities beyond the contrast (Figure 8a). Results for the same near-trench Young's 752 modulus show that the contrast little affects the trench-perpendicular velocities. Figure 8a shows 753 a significant effect of the contrast in E, but that is thus driven primarily by the connected variation 754 in near-trench Young's modulus. However, a contrast of 5 or higher is required for producing a 755 hurdle-like response as is shown by the curve for a contrast of 1 (uniform overriding plate), which 756

does not show a hurdle. We thus take the trench-perpendicular hurdle to be a good indicator of the
location of a compliance contrast in the overriding plate.

The near-trench Young's modulus also controls the decrease in trench-parallel interseismic velocities with distance from the trench, with lower values causing a steeper decrease on the landward side of the peak velocity (Figure 8b). We observe however that all curves decrease to low velocities at the contrast, i.e., hurdle behavior of trench-parallel interseismic velocities is not a very strong indicator for a compliance contrast.

764 Figure 9 shows profiles of trench-perpendicular coseismic displacement of the same models as in Figure 8. The amplitude of the displacement is controlled by the Young's modulus in the near-765 trench, more compliant portion of the plate, regardless of the contrast with the higher Young's 766 modulus in the less compliant internal portion. A near-trench Young's modulus  $E \ge 20$  GPa is 767 needed for a coseismic displacement greater than 20 mm 700 km from the trench (where the 768 contrast is located in the reference model), while a modulus of 50 GPa is needed for a displacement 769 of 20 mm 1000 km from the trench. This need for a moderate E in the near-trench region, combined 770 with the need for a sufficient E contrast in to reproduce the hurdle behavior in trench-perpendicular 771 velocities, requires the use of a very high far-field *E* in the overriding plate of the reference model 772 (Section 4.1) to produce realistic behavior both interseismically and coseismically. If the far-field 773 E is only moderately high ( $\sim 100$  GPa or less, for instance), the contrast between far-field and 774 relatively near-trench E is probably insufficient to explain hurdle behavior, given that coseismic 775 displacement requires near-trench E to be moderate. In this case, the compliance contrast within 776 the overriding plate, responsible for the hurdle, should be greater than implied by the elastic moduli 777 778 of the constituent materials alone. In Section 5.3 we discuss the rheological implications of the model sensitivities presented here. 779

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### 781 *4.5 Shear modulus contrast in the overriding plate*

We thus far focused on contrasts in Young's modulus *E*, which is the resistance to interseismic (elastic) shortening of the overriding plate in response to the head-on component of the convergence velocity. The resistance to (elastic) shear deformation due to the trench-parallel component of the convergence velocity is better represented by the shear modulus  $G = \frac{E}{2(1+v)}$ .

All presented models used a uniform Poisson's ratio  $\nu=0.25$ , meaning that the contrasts in Young's 786 modulus E and shear modulus G are the same. We now test whether varying the contrast in G while 787 keeping the contrast in E constant, affects trench-perpendicular and -parallel velocities. The near-788 789 field and far-field values of E are 30 and 150 GPa, respectively, while  $\nu$  is 0.2. We decrease the near-field G by 14% through a drastic increase (doubling) in Poisson's ratio, to 0.4, which results 790 in a slight change in the trench-parallel velocity, but does not alter the trench-perpendicular 791 velocity (Figure S16). Different contrasts in E and G are thus unlikely to affect the apparent hurdle 792 location, particularly as determined in the trench-perpendicular component of velocities, justifying 793 our use of the same contrast in both moduli. 794





**Figure 8**. Interseismic velocity components along the transect through the central asperity (solid grey line in Fig.7a). The extent of the forearc and backarc region with low Young's modulus E, and of the far-field region with higher Young's modulus is shown above the panels. (a) Trenchperpendicular velocity, and (b) and trench-parallel velocity. Colors correspond with models with a given ratio of the far-field and near-trench Young's moduli. Dashed and dotted lines represent models with different average Young's modulus.


804

Figure 9. Trench-perpendicular profiles of intermediate- and far-field trench-perpendicular coseismic displacement at y=0, for models with different contrasts in *E* and for a uniform model as comparison. Colors identify different ratios of the two values of *E*, while dashed and dotted lines identify increasingly lower mean *E* in the overriding plate. The value of *E* on the trenchward side of the contrast controls the far-field coseismic displacement.

810

### 4.6 Role of the location of the mechanical contrast

We investigate the sensitivity of the models to the location of the contrast in E by stepwise 812 reducing its distance from the trench to 400 km in 100 km intervals. We do so in a model with a 813 contrast that produces the largest differences in interseismic velocities compared to a uniform E814 (10 and 100 GPa; Figure 8). Bringing the contrast closer to the trench most noticeably affects 815 trench-perpendicular velocity profiles (Figure 10a). Increasing the contrast distance produces less 816 uniform decay of such velocities on the trenchward side of the contrast, as the slope becomes 817 shallower before reaching the contrast. Instead, when the contrast distance is increased, the 818 819 velocities at the contrast become lower while beyond the contrast, the slopes become flatter. Trench-parallel velocities are much less affected by the location of the contrast (Figure 10b), as 820 the near-trench value of E controls the general shape of the decrease. The presence of a single 821 contrast in E can thus produce a varying distance between the apparent location of the hurdle (a 822 sharp transition between a steep decay and near-0 amplitudes) in the two components of horizontal 823 interseismic velocities, depending on the near-trench value of E and its spatial extent. Overall, the 824 two horizontal velocity components not only have different spatial distribution with the same 825 contrast, but also respond differently to variations in distance to the contrast or in the value of E826

on either side of the contrast. This behavior is compatible with our observations showing that the apparent location of the trench-parallel hurdle relative to the trench-perpendicular one varies along a subduction zone and between subduction zones, rather than coinciding with it or being offset by a constant distance.

Interseismic locking results in steadily increasing shear tractions on asperities. The slope of the 831 velocity curves in Figure 10 represents horizontal strain accumulation rates in the overriding plate. 832 In the region within 200 km from the trench, strain accumulation rates show to be insensitive to 833 834 the distance of the contrast, and shear tractions on asperities are consequently expected to be insensitive to the width of the zone where strain accumulates. Figure S15 shows indeed that the 835 average traction on the middle asperity in the downdip direction increases little with decreasing 836 trench-contrast distance; for instance, the traction becomes only ~3% larger when the distance to 837 the contrast reduces from 700 to 500 km. The temporal rate of change of this traction at the end of 838 the cycle in the late interseismic phase is linear and thus increases by the same, small amount. 839 Overall, the presence and location of the mechanical contrast in the overriding plate has little effect 840 841 on stressing rates on locked asperities.

842



Figure 10. Trench-perpendicular profiles through the middle of the model, at y=0, of the interseismic horizontal surface velocity components, trench-perpendicular (a) and trench-parallel (b), respectively, for models with a contrast in the E value of the overriding plate (10 GPa neartrench, 100 GPa in the far-field) for different trench-contrast distances.

848

### 849 4.7 Megathrust locking pattern affects the detectability of hurdles and contrasts

To assess the effect of a contrast on interseismic velocities in areas of low interplate locking, such 850 as northern Peru and Ecuador (Herman & Govers, 2020; Nocquet et al., 2017), we run two 851 simulations in which the two intermediate asperities are removed, leaving 3 total asperities (2 852 lateral asperities centered 200 km from the center of the middle one). We cut a profile halfway 853 between the middle and outer asperities (at y=100 km) (Figure 11). The profile through the former 854 asperity (with 3 remaining asperities in the model) has lower trench-perpendicular velocities than 855 the same profile through the asperity (model with 5 asperities), with a shallower slope of decrease 856 857 in the near-trench portion of the overriding plate, but still with a clear hurdle in the form of a break in the slope at the location of the contrast in E (Figure 11a). Trench-parallel velocities have a 858 similar behavior, except that velocities beyond the contrast are approximately identical. 859

860



Figure 11. Trench-perpendicular profiles at y=100 km (through the middle of one of the intermediate asperities, if present) of the two horizontal velocity components, trenchperpendicular (a) and trench-parallel (b), of interseismic velocities in a model with or without an intermediate asperity centered at  $y=\pm100$  km, halfway between the middle one (at y=0) and each of the outer ones (at  $y=\pm200$  km).

867

### *4.8 Lateral thickness variation and sharpness of the mechanical contrast*

In our models, a contrast in elastic moduli in an overriding plate of uniform thickness is a proxy for a general contrast in the plate's elastic compliance. We test the addition of a step increase in

overriding plate thickness, doubling in thickness from 40 km at x<700 km to 80 km at x $\geq$ 700 km, 871 to our reference model and to the model with a uniform E of 100 GPa. The trench-perpendicular 872 interseismic velocity decreases ~30% at the contrast while leaving the peak value unaffected, thus 873 making its decrease with distance from the trench slightly steeper on the oceanward side of the 874 contrast and more gradual on the beyond the contrast (Figure 12). Trench-parallel velocities are 875 unaffected by the thickness contrast. Heterogeneity in overriding plate thickness, and particularly 876 a thinner arc region, likely contributes to the observed behavior of interseismic surface velocities, 877 878 but is not solely responsible for hurdle characteristics.



Figure 12. Trench-perpendicular profiles at y=0 km of the two horizontal components, trenchperpendicular (a) and trench-parallel (b), of interseismic velocities in a model with or without a contrast in overriding plate thickness (40 km at x < 700 km, 80 km at x > 700 km). In both models there is the same contrast in overriding plate elastic moduli: the thinner portion of the plate has E=50 GPa and the thicker one E=250 GPa.

885

# 886 4.9 Effect of the ratio of the earthquake recurrence interval to the Maxwell time

The ratio  $\frac{T}{\tau}$  of the earthquake recurrence interval *T* to the characteristic Maxwell relaxation time  $\tau = \frac{\eta}{G}$  is an important property of the megathrust system. In fact, it determines to what extent coseismic stresses have relaxed late in the cycle, and thus to what extent late interseismic motion reflects steady-state loading of the plate due to continued convergence and locking (Savage, 1983). Our models so far use a  $\frac{T}{\tau}$  ratio of 37.9, intermediate for the range of possible ratios observed for subduction zones worldwide and representing a case in which the stress changes due to coseismic

slip and afterslip have relaxed late in the cycle (Govers et al., 2018a). We now explore the effect 893 of reducing the  $\frac{T}{\tau}$  ratio of the model with uniform elastic moduli throughout (v=0.25, E=100 GPa 894 in the overriding plate and elsewhere), while keeping the convergence rate and earthquake size 895 constant. Figure 13 shows the interseismic velocity profiles for the model with the reference model 896 viscosity of 10<sup>19</sup> Pa·s (black line, same model and curves as in Figs. 7, 8, and 11), and for 897 alternative models with higher viscosities (i.e., longer relaxation times and smaller  $\frac{T}{\tau}$ ) of the 898 viscoelastic mantle. The resulting interseismic model velocities decrease more steeply with 899 distance from the trench with decreasing  $\frac{T}{\tau}$ . The effect is particularly significant for the trench-900 perpendicular component, which decreases quite gradually for  $\frac{T}{\tau}$  = 37.9. When the  $\frac{T}{\tau}$  ratio is halved 901 to 18.9, the effect is limited and the trench-perpendicular velocities still decrease shallowly with 902 distance. However, further reducing  $\frac{T}{\tau}$  makes the slope at intermediate-field distances even steeper, 903 and particularly  $\frac{T}{\tau} < 10$  makes the velocity 700 km away from the trench equal to or lower than 904 905 25% of the peak value. This indicates that, for a sufficiently long Maxwell time relative to the earthquake recurrence interval, the hurdle behavior exhibited by observed trench-perpendicular 906 velocities may be explained without invoking a contrast in the compliance of the overriding plate. 907 We further discuss the viability and implications of such explanation in Section 5.2. 908



Figure 13. Trench-perpendicular profiles at y=0 km of the two horizontal components, trenchperpendicular (a) and trench-parallel (b), of interseismic velocities in models with a uniform E of 100 GPa and different values of viscosity  $\eta$ , and thus Maxwell characteristic relaxation time  $\tau$  and the ratio  $\frac{T}{\tau}$  of the earthquake return period T to  $\tau$ , in the viscoelastic mantle domains.

### 914 **5 Discussion and implications**

### 915 5.1 Scope and limitations of our study

We reevaluate published interseismic GNSS velocity observations along three subduction 916 917 margins: the Peru-Chile Trench (South America), the Sunda Trench (Sumatra, Java), and the Japan Trench (Hokkaido and northern Honshu). In South America, our analysis is not hampered by 918 919 marine basins, which therefore yields the most continuous sampling of the kinematics in the overriding plate. The analysis will need to be extended to other convergent margins before we can 920 conclude that hurdles, breaks in the interseismic velocity gradient, are global features of 921 megathrust margins. Still, with three out of the three margins showing hurdles, we think that we 922 923 have a basis to hypothesize a more common feature that mechanically separates the deforming margin from a semi-stable overriding plate interior. 924

Our mechanical models are generic in their geometry, earthquake cycle, and mechanical 925 properties. Further work will be needed to model the specific contribution of regional rheological 926 makeup and active deformation structures to interseismic velocities. It will be important to also 927 include radial elasticity variations and the sphericity of the Earth. The former feature decreases 928 near-trench velocities, and far-field velocities remain the same (Pollitz et al., 2011b, 2011a; see 929 also Section 4.3). Sphericity has been shown by Nostro et al. (1999) to have a negligible effect on 930 coseismic horizontal displacement due to thrust faulting at distances of 0 to 5000 km from the 931 trench. Trubienko et al. (2013) showed that interseismic displacement normalized by coseismic 932 displacement 700 km from the trench has the same slope towards the end of the cycle, regardless 933 of sphericity, indicating that interseismic velocities at the end of the cycle should also be hardly 934 affected. 935

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## 937 5.2 Role of the Maxwell time in relation to the earthquake recurrence interval

As we show in Section 4.9, low values (broadly below 10) of the  $\frac{T}{\tau}$  ratio cause the velocities to decrease more steeply with distance from the trench. Coseismic stresses have not fully relaxed before the next earthquake occurs, and as a result viscoelastic model results become similar to those of fully elastic models. This effect is consistent with the results of earthquake cycle models of Li et al. (2015) and Trubienko et al. (2013). Trubienko et al. (2013) explain the spatial

distribution of interseismic velocities in a transect through central Sumatra and the Malay 943 peninsula, as well as one in northern Honshu in Japan, using an earthquake cycle model with a 944 uniform elastic overriding plate. Their model employs a plane-strain approximation, a Burgers 945 viscoelastic rheology for the mantle with a steady-state (Maxwell) viscosity  $\eta = 3 \cdot 10^{19}$  Pa·s, 946 asthenospheric elastic parameters from PREM (Dziewonski and Anderson, 1981; giving  $G \approx 68$ 947 GPa and  $\nu \approx 0.28$  in the asthenosphere), and a return period of 170 years. Their  $\frac{T}{\tau}$  is thus ~7.2, 948 accounting for the fact that  $\tau$  is  $3\frac{1-\nu}{1+\nu}\frac{\eta}{6}$  higher in the plane strain regime (Melosh and Raefsky, 949 1983). Li et al. (2015) similarly reproduce interseismic velocities in the North Chile portion of the 950 Andean subduction zone in a model with a uniform overriding plate, a viscosity of  $4 \cdot 10^{19}$  Pa·s 951 in the Maxwell viscoelastic mantle underlying the overriding plate, an earthquake cycle duration 952 of 200 years, and a resulting  $\frac{T}{\tau}$  of ~10.1. 953

Li et al. (2015) and Trubienko et al. (2013) do not incorporate finite gradients in slip deficit 954 downdip of the locked interface and instead impose slip deficit to sharply transition from non-zero 955 to zero at the downdip end of the megathrust. A sharp transition in slip deficit is physically unlikely 956 (Herman and Govers, 2020) and precludes the occurrence of the intermediate-depth afterslip 957 (down to at least 80 km depth) that has been inferred from geodetic and seismological observations 958 (Diao et al., 2014; Freed et al., 2017; Hu et al., 2016; Sun et al., 2014; Yamagiwa et al., 2015). 959 The depth to which slip deficit accumulates is especially important, as Li et al. (2015) and 960 Trubienko et al. (2013) show that greater locking depths producing larger intermediate- and far-961 field velocities. These studies rely on shallow locking depths to reproduce interseismic velocities. 962 Furthermore, when inverting observations, Li et al. (2015) do not apply a model spin-up, necessary 963 to obtain viscous stresses and strain rates consistent with the long-term repetition of the earthquake 964 cycle. As Li et al. (2015) point out, the spin-up would increase horizontal velocities, particularly 965 in the intermediate-field (100-300 km from the trench), decreasing their trench-perpendicular 966 slope. Therefore, the steepness of the decrease in interseismic velocities with distance from the 967 trench is overestimated for a given  $\frac{T}{\tau}$  ratio in the models of Li et al.(2015) and Trubienko et al. 968 (2013). Nevertheless, their results suggest that low  $\frac{T}{\tau}$  ratios might explain the apparent hurdle 969 behavior of interseismic velocities in the absence of contrasts in the compliance of the overriding 970 plate. 971

Models of postseismic relaxation following the 2004 Sumatra-Andaman earthquake, using 972 Burgers rheologies for the asthenospheric mantle, consistently indicate steady-state viscosities of 973  $\sim 10^{19}$  Pa·s, corresponding to a Maxwell time  $\tau$  of  $\sim 5$  years (Govers et al., 2018a; Hu and Wang, 974 2012; Qiu et al., 2018), while the recurrence interval for an earthquake of similar size has been 975 estimated to be between 174 and 600 years (Gahalaut et al., 2008; Meltzner et al., 2010; Van Veen 976 et al., 2014), yielding  $\frac{T}{T}$  ratios of 34.8–120. For the Chilean convergent margin, Klein et al. (2016) 977 and Li et al. (2018) invert postseismic GNSS observations in the few years (5 and 8, respectively) 978 following the 2010 Maule earthquake, using a Burgers or Maxwell viscoelastic rheology, and 979 consistently find Maxwell viscosities of  $5-6\cdot10^{18}$  Pa·s in the continental asthenosphere under the 980 Andes, corresponding to Maxwell times of 2.4-3.0 years. Aron et al. (2015) estimate the return 981 period as within a range of 84–178 years range, which would put  $\frac{T}{\tau}$  in the 28.0–74.2 range. In the 982 Japan subduction zone, simultaneous inversions of GNSS time series following the 2011 Tohoku 983 earthquake into afterslip and visco-elastic relaxation parameters, using Burgers or non-linear flow 984 law-based visco-elastic rheologies for the asthenosphere, indicate that the steady-state viscosity 985 of the mantle wedge is in the range of  $4-10 \cdot 10^{18}$  Pa·s (Agata et al., 2019; Fukuda and Johnson, 986 2021; Muto et al., 2019). This corresponds to Maxwell relaxation times of 2.0-5.0 years and is in 987 agreement with the results of the inversion of gravity data into viscous relaxation parameters only 988 by Cambiotti (2020). The recurrence interval T for events similar to the 2011 Tohoku-oki 989 earthquake is ~600 years (Satake, 2015), which puts the  $\frac{T}{r}$  ratio in the 120–300 range. The ratios 990 (12.1 and 7.2, respectively) used by Trubienko et al. (2013) and Li et al. (2015) are thus below the 991 low end of the realistic range. Our models reproduce the hurdle-like response for low ratios of  $\frac{T}{T}$ 992 (section 4.9). Higher ratios are more realistic for the active margins that we investigate, and our 993 model results show that hurdle behavior is not reproduced with high  $\frac{T}{\tau}$  ratios (mantle viscosities 994 in line with the majority of postseismic studies) combined with uniform elastic compliancy of the 995 overriding plate (sections 4.2 and 4.4). This argues for compliancy contrasts in the overriding plate. 996

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### 998 5.3 Tectonic significance of a mechanical contrast

Klein et al. (2016) suggest that stiff cratonic back-arc lithosphere in central Argentina affects
horizontal and vertical postseismic surface velocities following the Maule earthquake. Li et al.

(2018) invert postseismic displacements, including in the far field, following the Maule earthquake 1001 into rheological structures of the upper mantle, finding strong evidence for a strong (elastic, or 1002 1003 viscoelastic with high viscosity) cratonic lithospheric root beneath central Argentina. Seismic data 1004 also indicate that the Andean lithosphere has very thick crust and warm lithospheric mantle that contrast with thinner (but still thick) cratonic crust underlain by cold, stiff lithospheric mantle 1005 1006 farther to the east, from Venezuela to central Argentina (Chulick et al., 2013). This juxtaposition represents a significant contrast in lithospheric averages of the compliance. The hurdle location 1007 that we inferred from the GNSS velocities agrees with the tectonic boundary (Section 2.5, Figure 1008 5a). Immediately to the south of the Central Andes, around 30°S, the trench-perpendicular hurdle 1009 1010 coincides with different terrane and active tectonic boundaries (Figure 5a; Ramos, 1999, 1988). In particular, it is located between the eastern front of the active Andean Precordillera fold-and-thrust 1011 belt (Baldis et al., 1982; Ortiz & Zambrano, 1981) and the western margin of the Rio de la Plata 1012 craton (Alvarez et al., 2012), within a mountain range (the Sierras Pampeanas) characterized by 1013 1014 active reverse faults and lateral contrasts in crustal thickness and layering (Perarnau et al., 2012) (Figure 5a). The western edge of the Andes as marked by active faults correlates spatially with the 1015 1016 western edge of the distinct, stable, largely cratonic interior of the South America plate. Thus, the general but imperfect coincidence of the hurdle with the active backthrust, where present, is 1017 1018 consistent with the hurdle being determined by a contrast in compliance that occurs with different amplitudes and different depth dependences along the orogen. 1019

1020 In Sunda, the overriding plate is a set of Paleozoic-Cenozoic accreted terranes (Hall et al., 2009). We are unaware of independent proof that Sundaland is mechanically stronger than the Sumatra 1021 1022 forearc. However, a significant crustal contrast exists across the Meratus paleosuture in Java (Figure 5b; Haberland et al., 2014). Contrasts may also exist across two major structural 1023 boundaries. The first of these is peninsular Malaysia's Bentong-Raub suture zone, which separates 1024 the Sibumasu terrane to its southwest from the Indochina terrane (Metcalfe, 2000). The second 1025 boundary is the Medial Sumatra Tectonic Zone, which separates the Sibumasu terrane to the 1026 northeast from the West Sumatra block and the overlying Woyla accretionary complex and 1027 volcanic arc (Barber, 2000; Barber et al., 2005; Hutchison, 2014, 1994) and which largely 1028 1029 coincides with the strike-slip Sumatran Fault in central and northern Sumatra. Simons et al. (2007) used GNSS data to identify the approximate boundaries of the interseismically nondeforming part 1030 1031 of the Sundaland block (Michel et al., 2001); its internal (south and west) boundary aligns roughly

with geological suture boundaries. On the other hand, estimates from coherence between gravity and topography show no evidence of a block in the interior of the plate with higher  $T_e$  than the forearc region (Audet & Bürgmann, 2011; Shi et al., 2017).

1035 To explain the steep spatial gradient near the trench in horizontal interseismic velocities in 1036 Hokkaido, Japan, Itoh et al. (2019, 2021) proposed and modeled the effect of a compliant (less stiff or thinner) lithosphere in the volcanic arc and back-arc, in contrast with a less compliant 1037 1038 (thicker) forearc, as evidenced by temperature, heat flux, and seismic wave attenuation (Katsumata 1039 et al., 2006; Kita et al., 2014; Liu et al., 2013; Tanaka et al., 2004; Wada & Wang, 2009; Wang & 1040 Zhao, 2005). However, in the model of Itoh et al. (2019) velocities are restricted by the fixed landward edge of the domain, which localizes shortening and shearing in the compliant material. 1041 1042 We propose that velocities are instead restricted by the contrast between the compliant arc and back-arc and the stronger material farther from the trench, in the Sea of Japan and beyond. The 1043 1044 Sea of Japan is a Miocene back-arc basin of the Japan and southern Kurile subduction zones. It is 1045 inactive (Karig, 1974), having ceased extending around 14 Mya (Tatsumi et al., 1989), and is likely 1046 less compliant than the Japan arc. The Amurian-Okhotsk plate boundary follows the sea's eastern 1047 margin (Seno et al., 1996) (Figure 5c), hosts Mw 7.6-7.8 thrust earthquakes (Satake, 1986; Sato et 1048 al., 1986; Tanioka et al., 1995) and accommodates a relative velocity of 9-17 mm/yr (Jin et al., 1049 2007). The plate boundary mechanically decouples these plates in the long term, but they are 1050 coupled during most of the earthquake cycle. The lack of GNSS observations in the Sea of Japan prevents us from determining where exactly the compliance contrast occurs and whether creep 1051 along the plate boundary further affects velocities. 1052

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### 1054 *5.4 Compliance contrasts in a rheological and geodynamic context*

As stated in Section 4.4, our model results suggest that interseismic velocities necessitate a larger contrast in interseismic compliance within the overriding plate than can be provided by realistic elastic parameters. In fact, the Young's modulus needs to be high enough in the portion of the plate between the trench and the hurdle as to transmit substantial coseismic displacement to the far-field, and low enough in the far-field interior of the plate as to not exceed plausible values. The portion of the plate between the trench and hurdle must thus transition from its coseismic compliance, dictated by elastic properties, to greater compliance in the interseismic period. This transition

might be related to viscous creep of the lower crust and upper mantle (Bürgmann & Dresen, 2008), 1062 which reduces flexural rigidity (Ranalli, 1995), and likely also compliance, over time after loading. 1063 1064 Low effective elastic thickness is thought to indicate departure from purely elastic rheology, such as due to high temperatures, inherited weak zones, or high horizontal stresses (Burov & Diament, 1065 1995), which are likely to occur in the thermomechanically young lithosphere at convergent 1066 boundaries. The increased water content at subduction zones also contributes to departure from 1067 elasticity by weakening the lower crust and upper mantle, in terms of both lower viscosity (Chopra 1068 and Paterson, 1984; Hirth and Kohlstedt, 1996; Kirby, 1983) and lower plastic strength (Blacic 1069 Mainprice and Paterson, 1984). Geodynamical, petrological-1070 and Christie, 1984; 1071 thermomechanical numerical modeling of subduction shows that brittle-plastic rheological weakening by both fluids and melts plays an important role in the evolution of the subduction zone 1072 and in the development of the volcanic arc and the back-arc region (Gerya & Meilick, 2011). 1073

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### 1075 5.5 Geodetically stable parts of overriding plates?

Observations of significant coseismic displacements thousands of km away from the megathrust 1076 rupture called into question the concept of an undeforming (rigid) reference plate (Pollitz et al., 1077 2011a; Vigny et al., 2005; Wang et al., 2011; see also Section 4.1). Our analysis shows indeed that 1078 small but significant interseismic velocity gradients extend well beyond hurdles, and this presents 1079 a challenge for defining a reference on a geodetic observation time scale. On time scales spanning 1080 the time needed to complete a seismic catalog on the megathrust (tens to thousands of years, e.g., 1081 Ward 1998), it is possible that the net accumulated strain is zero, i.e., there may exist a fully rigid 1082 reference on geological time scales. 1083

1084

### 1085 5.6 Role of major faults in the Central Andes

As discussed in Section 2.6, previous studies observe and explain the spatial behavior of interseismic velocities, in the context of the Central Andes, as a result of shortening on back-thrusts (Bevis et al., 2001; Brooks et al., 2011a, 2003a; Kendrick et al., 2006; McFarland et al., 2017a; Norabuena et al., 1998; Shi et al., 2020; Weiss et al., 2016a). Quantitative models in these studies use either a uniform elastic half-space, or apply zero-displacement boundary conditions close to the back-thrust. Both model types artificially restrict interseismic velocities to the near-trench

region, compared to models with elastic plates overlying viscoelastic mantle and extending well 1092 1093 into the far-field. To explain the observed interseismic surface velocities, most of the studies also 1094 need basal thrusts that are more spatially extensive than supported by geological evidence (see 1095 Section 2.6). However, more localized shortening, particularly in back-arc thrust belts and basal faults as well as thrusts in the interior of orogens at the active margin, have a more regional role 1096 1097 in determining specific trench-perpendicular velocities. For instance, locally they may cause discontinuities and increased spatial gradients, without affecting the near-trench portion of the 1098 velocity field (Shi et al., 2020). Major, creeping strike-slip faults likely cause large local gradients 1099 in trench-parallel velocities, and can localize trench-parallel velocities in a way not necessarily 1100 1101 related to the presence of a contrast (Section 2.6). Nevertheless, contrasts in lithologies and plate thickness, responsible for hurdles, might also result from continued motion along strike-slip faults. 1102 1103 In turn, the presence of such contrasts might localize lateral motion into narrow fault zones.

#### 1104 **6 Conclusions**

1105 Interseismic GNSS velocities from the three studied subduction zones show a broadly linear decrease of the trench-perpendicular velocity with distance from the trench up to what we define 1106 as the hurdle, located at variable distances less than 1000 km. Beyond the hurdle, trench-1107 1108 perpendicular velocities are near-zero (less than ~5 mm/yr) extending over thousands of kilometers away from the trench. Trench-parallel velocities are in some cases affected by presence 1109 1110 of strike-slip faults (Sumatra), or are insignificant because of head-on convergence (Japan, Java). In South America, however, they generally also decrease steeply with distance, up to a hurdle. The 1111 hurdle roughly coincides with the trench-perpendicular hurdle or is located up to several tens of 1112 km closer to the trench. This interseismic deformation restricted to the near-trench region contrasts 1113 with significant coseismic displacements that were recorded beyond these hurdles during the large 1114 2004 Sumatra, 2010 Maule and 2011 Tohoku earthquakes. 1115

The location of the hurdle in observed trench-perpendicular velocities often coincides with major tectonic or geological boundaries separating a plate margin region from a distinct, and likely more rigid, plate interior. In South America the trench-perpendicular hurdle generally follows the eastern edge of the orogen, coinciding with the western margin of the cratonic lithosphere and the eastern margin of the accreted, deformed terranes at the active plate margin. In Sumatra, the hurdle follows the Medial Sumatra Tectonic Zone. Off the shore of northern Honshu and Hokkaido in Japan, the hurdle probably coincides with the boundary between the back-arc region of the islands,
to the east, and the inactive back-arc basin and Amur plate interior to the west.

1124 Our numerical modeling results show that a contrast in overriding plate compliance can reproduce 1125 the steep, largely linear near-trench decrease in trench-perpendicular velocities. In our models, this decrease ends abruptly at the location of the contrast, i.e., at the hurdle. The value of elastic moduli 1126 on either side of the contrast contributes to the intensity hurdle behavior: a lower value near-trench 1127 or a higher value in the far-field steepens the near-trench trench-perpendicular gradient. Trench-1128 1129 parallel velocities are instead controlled by the near-trench elastic moduli and decrease more 1130 gradually. The steep decrease in the first couple of hundred km from the trench defines an apparent hurdle that, for the values tested in our models, is closer to the trench than the location of the 1131 contrast. The distance between the two depends on the specific elastic moduli and the location of 1132 their contrast. 1133

The presence and location of compliance contrasts does not significantly affect the rate at which 1134 1135 shear traction increases on the asperities in our models. The width of the zone where interseismic strain primarily accumulates, roughly between the coastline and the hurdle, likely does not 1136 1137 generate significant variations in megathrust earthquake magnitude or recurrence interval. 1138 Velocities in portions of the subduction zone with little slip deficit, i.e., little apparent interplate coupling on the megathrust, have lower near-trench trench-perpendicular gradients but otherwise 1139 similar behavior, particularly in the trench-perpendicular components. Their near-trench trench-1140 parallel components exhibit more complex gradients depending on location with respect to the 1141 1142 fully coupled asperities and the direction of trench-parallel, far-field interplate motion.

1143

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- 1156 Govers. Funding Acquisition: R. Govers.

1157 The mesh generator program Gmsh (Geuzaine and Remacle, 2009) was used to make the finite

- element meshes for the numerical models. The MATLAB software platform (MATLAB, 2018),
- the Generic Mapping Tools (Wessel et al., 2019), and the Adobe Illustrator program (Adobe Inc.,
- 1160 2019) were used for visualization.

1161 Input and output files that we used for the models of this paper are digitally stored in the Yoda

repository of Utrecht University and will be accessible before the end of the peer review process,

in compliance with FAIR (Findable, Accessible, Interoperable, Reusable) principles.

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# **@AGU**PUBLICATIONS

## JGR: Solid Earth

Supporting Information for

Reconciling the conflicting extent of overriding plate deformation before and during megathrust earthquakes in South America, Sunda Asia, and northeast Japan

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## Introduction

This supplementary material includes additional information on the processing steps used to process the published horizontal velocities from GNSS sites into interpolated fields of trench-perpendicular and trench-parallel velocities (Text S1, cf. Section 2 of the paper). We include an accompanying table of data sources for South America (Table S1) and Southeast Asia (Table S2). The figures are related to both the interpolation as well as the numerical modeling portion of the paper, and the latter show model results briefly discussed in Section 4. All modeling results, as described in Section 3, have been produced using the GTECTON finite element software package version *Parallel 2021.0.0* (Govers et al., 2018; Govers and Wortel, 2005, 1993).

#### Text S1: Estimating a backstop location from interseismic velocities

#### Collection of interseismic velocities

We collect estimates from horizontal velocities from published literature, for the South American margin, the Sunda margin and for (northern) Japan. The velocities are based on repeated GNSS campaign measurements or continuous GNSS observations, where the oldest measurements go back to the early 1990s, and the continuous observations are more recent.

We use velocities expressed in the global reference frame ITRF. A number of older studies expressed velocities for South America in a non-explicit stable South America reference frames, that likely differ between studies. Therefore, we use the tables from Kreemer et al. (2014), where a translation rate and rotation rate has been estimated for each published set of velocities, using overlapping sites from the various studies, to express velocities in the IGS08 reference frame (the IGS realization of ITRF). For these velocities, and those already expressed in the ITRF frame we apply the South America Euler pole of Kreemer et al. (2014). We also include velocities from Weiss et al. (2016), which are only provided in a self-determined, non-explicit South America reference frame; in that case, we show that the residuals between station velocities in that reference frame and velocities at the same stations from other studies (published in an ITRF and rotated into a South America frame) are extremely small.

Table S1 provides an overview of all data sources that we use for South America, including data periods and information on the reference system in which the velocities have been

provided by different studies. Table S2 contains the overview for Southeast Asia, where again we have made use of the data selection from Kreemer et al. (2014) that is expressed in a consistent reference frame. We add the GPS velocities for Java from Koulali et al. (2014) and apply their Euler pole to express the velocities in ITRF. Afterwards we apply the same Sunda Euler pole from Simons et al. (2007) to express velocities with respect to the overriding plate. As velocity estimates based on older campaign GNSS observations have higher uncertainties, the velocity field has a heterogeneous noise level. For Japan the velocities have also been taken from the collection of Kreemer et al. (2014), see table S3 for the original sources. We express the velocities for Japan in the Okhotsk frame from Kreemer et al. (2014).

As multiple earthquakes with magnitudes  $M_w > 7.5$  have occurred along the South American, Sunda and Japan margins during the period of collecting GNSS data, coseismic offsets and postseismic transients potentially affect the velocity estimates. For this reason, we often have to resort to older studies that collected pre-earthquake data. We discard velocities from the database that have been derived from observations that may be affected by large earthquakes. As these earthquakes are thrust events, and thrusting leads mostly to coseismic and postseismic displacements towards the rupture, we assume that the affected areas are the areas located in the hinterland of the rupture. Furthermore, we do not consider data from sites in the vicinity of the 1960 Valdivia rupture, as postseismic relaxation due to the 1960 event has been ongoing (Wang et al., 2007). From the resulting dataset of interseismic velocities, we keep the velocity that has been estimated using the longest preearthquake time span of observations, as many sites have been revisited at later times.

Once we have obtained the two components of horizontal motion for each observation (Section 2 of the main text of the paper), we interpolate each component separately. First, we turn observations at locations less than 1 km apart into single data points by computing the weighted average of the geographical coordinates and the velocity components. In the averaging, we use the inverse of the observation variances as weights, i.e., the squares of the observation uncertainties. We rotate each individual horizontal velocity and associated

uncertainty to its local trench-perpendicular and trench-parallel direction (Figures S1-3), as described in main text section 2.2.

#### Interpolation: local ordinary kriging

We define a structured interpolation grid, with a spacing of 0.25 degrees (South America) or 0.1 degrees (Sunda and Japan) in longitude and latitude. We use all observations and their error variances at each interpolation grid point using ordinary kriging (Wackernagel, 2003), and apply kriging separately to the trench-perpendicular and trench-parallel velocities. Kriging uses correlograms to solve for interpolation weights. The mean, variance and correlation of the velocity components are strongly varying throughout the domain (i.e., the velocity field is only locally stationary). Therefore, we construct local correlograms in a similar manner as Fouedjio and Séguret (2016) and Machuca-Mory and Deutsch (2013). Correlograms describe the local variance of the observations and the correlation as a function of distance. We do not construct correlograms for each individual grid point, but define anchor points at every 3<sup>rd</sup> grid point (in both directions) for which we estimate a correlogram.

To incorporate local observations only in the experimental correlograms, we use a Gaussian kernel to apply a weight to the observed velocities, as a function of distance to the anchor point (Machuca-Mory and Deutsch, 2013). Next, we multiply the Gaussian kernel weight with the inverse of the observation variance to obtain neighbor weights for the local experimental correlograms.

The Gaussian kernel requires a length scale, and for this we introduce a natural neighborhood. First, we identify Voronoi cells, i.e., regions of space nearer to a single point than to other points. We determine the natural neighbors as the observation points whose Voronoi cells border the Voronoi cell of the anchor point (Sibson, 1981). These natural neighbors establish a natural neighborhood around each anchor point, and we define the natural neighborhood radius as the mean distance of the natural neighbors to the anchor. We define the Gaussian kernel width such that the kernel has a value of 0.5 at the natural neighborhood radius. To prevent that the observation variance is larger than the

correlogram variance (which leads to a discontinuous interpolated field), we require that the local variance is at least 4 times larger than the weighted average of the local observation variance. This we obtain by iteratively increasing the natural neighborhood radius until the requirement is met.

We fit an exponential correlogram (without nugget) to the local experimental correlogram using a Trust Region algorithm (Conn et al., 2000), where we apply the same Gaussian kernel to obtain fitting weights for each distance bin in the exponential correlogram. The range parameter determines the correlation length in the exponential correlogram, and we require the range to be at least 0.5 times the natural neighborhood radius (a smaller range may lead to absence of correlation between neigbouring observations and leads to discontinuities in the interpolated field).

We use natural neighbor interpolation (Sibson, 1981) to interpolate the correlograms from the anchor points to all points on the finer interpolation grid. Next, we apply ordinary kriging with the interpolated local correlograms at each individual grid point to obtain an interpolated velocity and associated uncertainty. Figures 2-4 show the resulting interpolated velocity fields, and Figures S10-12 depict the associated velocity uncertainties. The kernel widths and correlogram parameters used in the kriging are shown in Figures S4–S9. In the main text, Figures 2-4 show the interpolated velocity field, the associated uncertainties can be found in Figures S10-S12.

### Hurdle estimation

We estimate hurdle distances along trench-perpendicular profiles, using the trenchperpendicular and trench-parallel velocity field and associated uncertainties. To do so, we resample the velocity fields and uncertainties using bilinear interpolation. We show a selection of these cross-sections in Figures 2-4. We express the velocities and uncertainties as function of distance along a profile. To estimate a hurdle location we fit a function fconsisting of two linear segments to the velocity  $y_i$  where the breakpoint  $\alpha$  between the two lines describes the hurdle distance:

$$y_i = f(x_i, \theta, \alpha) + \epsilon_i$$

with the continuous two segment function *f* as a function distance  $x_i$  and bias and slope parameters  $\theta$ 

$$f(x_i, \theta, \alpha) = \begin{cases} \theta_1 + \theta_2 x_i, & x_i \le \alpha \\ \theta_3(x_i - \alpha) + \theta_1 + \theta_2 x_i, & x_i > \alpha \end{cases}$$

Using weighted non-linear least squares we minimize the following, using a Trust Region algorithm, applying the standard deviations  $\sigma_i$  estimated in the local kriging as weights.

$$\min\left(\sum_{i=1}^n \left(\frac{f(x_i, \theta, \alpha) - y_i}{\sigma_i}\right)^2\right)$$

To estimate uncertainties of the parameters (including the hurdle distance), we linearize at the parameter estimates  $\hat{\theta}$ ,  $\hat{\alpha}$  such that we can propagate the velocity uncertainties to obtain the variances of the estimated parameters.

$$\mathsf{C}_{\widehat{\theta},\widehat{\alpha}} = \left(\mathsf{J}^{\mathsf{T}}\mathsf{C}_{y}^{-1}\mathsf{J}\right)^{-1}$$

Here the inverse covariance matrix  $C_y^{-1}$  of the velocity fields is a diagonal matrix:

$$C_y^{-1} = \operatorname{diag}\left(\frac{1}{\sigma^2}\right)$$

And J is the Jacobian matrix, describing the dependence of the function f to variation in the estimated parameters:

$$J = \frac{\partial f(x, \hat{\theta}, \hat{\alpha})}{\partial \theta, \alpha}$$

Numerically evaluated at the estimate for  $\theta$  and  $\alpha$ .

We compute the 95% confidence bounds of the hurdle distance by:

$$\widehat{\alpha} \pm t(0.025, n-p)\sigma_{\widehat{\alpha}}$$

using the Student's t-distribution, using n observations and p estimated parameters.



Figure S1 Decomposition of interseismic velocities in the South America plate reference into trenchperpendicular and trench-parallel velocities.



Figure S2 Decomposition of interseismic velocities in the Sunda plate reference into trench-perpendicular and trench-parallel velocities.



Figure S3 Decomposition of Honshu and Hokkaido interseismic velocities in the Okhotsk plate reference into trench-perpendicular and trench-parallel velocities.





Figure S4 Gaussian kernel radius for the weighting of trench-perpendicular (x) and trench-parllel (y) velocities in constructing the local corellogram at each anchor point in South America. Black dots denote GNSS observation points. As the kernel is defined based on the distance to natural neighbors of the anchor point, densely sampled areas (often near-trench) have a narrow weighting kernel, while sparsely sampled areas have a wide weighting kernel. In some areas a low signal-to-noise may lead to a kernel radius that is larger than the natural neighborhood, to prevent relatively large nugget values, compared to the correlogram variance.









Figure S5 Estimated local correlogram (exponentional) parameters: range and variance, for trenchperpendicular (x) and trench-parallel (y) velocities in South America. Range (in meters) describes the decay of the correlation with distance, variance denotes the local observation variance (in mm<sup>2</sup>/yr<sup>2</sup>). The variance is generally larger if the observation changes much within a natural neighborhood (roughly in between observation points) or in some cases, when the kernel radius is large because of a low signal-to-noise.





Figure S6 Gaussian kernel radius for the weighting of trench-perpendicular (x) and trench-parallel (y) velocities in constructing the local corellogram at each anchor point in Southeast Asia. Black dots denote GNSS observation points. As the kernel is defined based on the distance to natural neighbors of the anchor point, densely sampled areas (often near-trench) have a narrow weighting kernel, while sparsely sampled areas have a wide weighting kernel. In some areas a low signal-to-noise may lead to a kernel radius that is larger than the natural neighborhood, to prevent relatively large nugget values, compared to the correlogram variance.









Figure S7 Estimated local correlogram (exponentional) parameters: range and variance, for trenchperpendicular (x) and trench-parallel (y) velocities in Southeast Asia. Range (in meters) describes the decay of the correlation with distance, variance denotes the local observation variance (in mm<sup>2</sup>/yr<sup>2</sup>). The variance is generally larger if the observation changes much within a natural neighborhood (roughly in between observation points) or in some cases, when the kernel radius is large because of a low signal-to-noise.



Latitude



Latitude

Figure S8 Gaussian kernel radius for the weighing of trench-perpendicular (x) and trench-parallel (y) velocities in constructing the local corellogram at each anchor point in Japan. Black dots denote GNSS observation points. As the kernel is defined based on the distance to natural neighbors of the anchor point, densely sampled areas (often near-trench) have a narrow weighting kernel, while sparsely sampled areas have a wide weighting kernel.







Latitude



Latitude

Figure S9 Estimated local correlogram (exponentional) parameters: range and variance, for trenchperpendicular (x) and trench-parallel (y) velocities in Japan. Range (in meters) describes the decay of the correlation with distance, variance denotes the local observation variance (in mm<sup>2</sup>/yr<sup>2</sup>). The variance is generally larger if the observation changes much within a natural neighborhood (roughly in between observation points) or in some cases, when the kernel radius is large because of a low signalto-noise. The latter is the case for the trench-parallel variances, as the reported uncertainties are larger than the parallel signal. Still, we find a consistent parallel signal in most of the domain, which suggests that the error is overestimated.





Figure S10 Uncertainy estimates (1 standard deviation) from the local ordinary kriging, trenchperpendicular and trench-parallel directions, for interseismic velocities in South America. In kriging uncertainties depend on both (local) variance, as well as on observation variance. In our implementation of local ordinary kriging uncertainties are large in areas with large gradients (especially when natural neighbors are relatively far apart), and small in areas with small gradients, see Figure 2 in the main text for the interpolated field. Circles denote the GNSS velocity uncertainties.





Figure S11 Uncertainy estimates (1 standard deviation) from the local ordinary kriging, trenchperpendicular and trench-parallel directions, for interseismic velocities in Southeast Asia. In kriging uncertainties depend on both (local) variance, as well as on observation variance. In our implementation of local ordinary kriging uncertainties are large in areas with large gradients (especially when natural neighbors are relatively far apart), and small in areas with small gradients, see Figure 2 in the main text for the interpolated field. Circles denote the GNSS velocity uncertainties.





Figure S12. Uncertainy estimates (1 standard deviation) from the local ordinary kriging, trenchperpendicular and trench-parallel directions, for interseismic velocities in Japan. In kriging uncertainties depend on both (local) variance, as well as on observation variance. In our implementation of local ordinary kriging uncertainties are large in areas with large gradients (especially when natural neighbors are relatively far apart), and small in areas with small gradients, see Figure 2 in the main text for the interpolated field. Circles denote the GNSS velocity uncertainties.



Figure S13. Results of the analysis of velocities in Japan, expressed in an Amur plate reference frame, rather than an Okhotsk plate reference frame as in Figure 4. The maps show interpolated trench-perpendicular (positive landward) and trench-parallel (positive left-lateral) velocity fields with 95% confidence-interval location of the hurdle, together with active faults in green from GEM (Styron & Pagani, 2020). Coastlines are in black and arrows show the interplate convergence direction between the Pacific plate and the Amur plate (Kreemer et al., 2014). Below, we show selected trench-perpendicular profiles, in Honshu and Hokkaido, on the landward side of the Japan Trench, along the profile lines traced in the maps. The velocity profiles show both interpolated velocity components with 1 standard deviation uncertainty (transparent bands), and the velocity components at GNSS stations within the swath with 1 standard deviation error bars. Note that the interpolated velocities are based on all GNSS velocity estimates, and not only those shown in the swath for reference. Vertical green and orange lines and bands outline estimated hurdle distances with 95% confidence intervals.



Figure S14. Isometric projection of the finite element mesh used in our numerical models.



Figure S15. Trench-perpendicular profiles at y=0 through the interseismic horizontal surface velocity components, trench-perpendicular (a) and trench-parallel (b), respectively, for a model with elastic moduli according to the vertical profile of PREM (Dziewonski and Anderson, 1981) or constant, uniform values. In the slab, E is 100 GPa and v is 0.25 in both models.



Figure S16. Trench-perpendicular profiles at y=0 through the interseismic horizontal surface velocity components, trench-perpendicular (a) and trench-parallel (b), respectively, for models with the same contrast in overriding plate *E* (30 GPa at x<700 km, 150 GPa at x>700 km), the same overriding plate *G* (87.5 GPa) and *v* (0.2) at x>700 km, and an overriding plate *G* at x<700 km of either 12.5 GPa (same v=0.2 as at x>700 km, same 1:7 ratio to far-field *G* as between near-field and far-field *E*) or 10.71 GPa (v=0.2 1:8.17 ratio to far-field *G*).



Figure S17. Plot of average traction in the downdip direction (interface-parallel, along parallel lines on the interface intersecting the trench at right angles) on the central asperity on the megathrust interface, through time over an earthquake cycle, in models with different horizontal distance between the trench and the contrast in E (10 GPa near-trench, 100 GPa elsewhere). The earthquake on the middle asperity happens at time 0, while the earthquakes on the intermediate and external asperities happen at time 20 and 40 years, respectively.

Study	Observational	Reference frame	Region of interest
	Period		
Kendrick et al. (2001)	1993-2001	IGS08 <sup>a</sup>	23°S-10°S
Klotz et al. (2001)	1994-1996	IGS08 <sup>a</sup>	22°S-42°S

Brooks et al. (2003)	1993-2001	IGS08 <sup>a</sup>	26°S-36°S
Chlieh et al. (2004)	1996-2000	IGS08 <sup>a</sup>	23°S-18°S
Gagnon et al. (2005)	2001-2004	IGS08 <sup>a</sup>	14°S-11°S
Ruegg et al. (2009)	1996-2002	IGS08 <sup>a</sup>	37°S-35°S
Seemüller et al. (2010)	2000-2010	ITRF2008	South America
Brooks et al. (2011)	2000-2003	IGS08 <sup>a</sup>	22°S-19°S
Cisneros and Nocquet (2011)	1995-2012	IGS08 <sup>a</sup>	5°S-2°N
Drewes and Heidbach (2012)	1995-2009	IGS08 <sup>a</sup>	South America
Métois et al. (2012)	1993-2009	ITRF2005 <sup>b</sup>	38°S-24°S
Métois et al. (2013)	2000-2012	IGS08 <sup>a</sup>	24°S-18°S
Métois et al. (2014)	2004-2012	ITRF2008	30°S-24°S
Nocquet et al. (2014)	1994-2012	ITRF2008 <sup>c</sup>	12°S-2°N
Alvarado et al. (2014)	1996-2012	IGS08 <sup>a</sup>	1°S-1°N
Villegas-Lanza et al. (2016)	2007-2013	ITRF2008 <sup>d</sup>	18°S-2°S
Weiss et al. (2016)	2000-2007	Stable plate <sup>e,f</sup>	24°S-16°S
McFarland et al. (2017)	2010-2014	ITRF2008 <sup>g</sup>	29°S-21°S
Klein et al. (2018)	2010-2015	ITRF2008	30°S-22°S
Blewitt et al. (2016)	1996-2021	IGS14 <sup>h</sup>	global

**Table S1.** Overview of the collection of horizontal velocities for the South American margin, including the source, the observational period, the reference frame in which the velocities are reported. <sup>a</sup>We make use of the velocities expressed by Kreemer et al. 2014), where all previously published velocities have been transformed to IGS08 in a global inversion to estimate rotation and translation rates based on common sites. <sup>b</sup> We apply the rotation pole 25.4S, 124.6W, 0.11°/Myr as provided in Metois et al. (2012) to transform back to ITRF2005. <sup>c</sup> We apply the rotation pole 18.83S, 132.21W, 0.121°/Myr as provided by the authors to transform the published plate referenced velocities back to ITRF2008. <sup>d</sup> We apply the rotation pole 18.66S, 132.72W, 0.118°/Myr as provided in the supplementary information of Villegas-Lanza et al. (2016) to transform back to ITRF2008. <sup>e</sup> Weiss et al. (2016) use a South America plate reference, constructed with 44 cGPS sites, mostly located in Brazil, without a prior global solution. <sup>f</sup> Weiss et al. (2016) apply a postseimic correction
of the 2007 Tocapilla M<sub>w</sub> 7.7 earthquake to the velocity estimates, by removing an empirically estimated coseismic step and postseismic decay function. a <sup>g</sup> McFarland et al. (2017) used the ITRF2008 South American plate motion model (Altamimi et al., 2012), which we subsequently use to transform back to ITRF2008. <sup>h</sup> We exclude sites SURY RAS PRMA LSJ1 SPBP NXRA LDO LPLN, for which anomalously high velocities have been determine, in comparison to neighboring sites.

Study	Observational	Reference frame	Region of interest
	Period		
Genrich et al. (2000)	1989-1996	IGS08ª	Sumatra Fault
Bock et al. (2003)	1991-2001	IGS08ª	Sunda plate
Simons et al. (2007)	1994-2004	IGS08 <sup>a</sup>	Sunda plate
Chlieh et al. (2008)	2002-2004	IGS08ª	Sumatra trench
Prawirodirdjo et al. (2010)	1991-2001 <sup>b</sup>	IGS08 <sup>a</sup>	Sumatra trench
	2001-2007°		
	2002-2006 <sup>d</sup>		
Kreemer et al. (2014)	1990-2014 <sup>e</sup>	IGS08	global
Koulali et al. (2017)	2002-2014 <sup>f</sup>	ITRF2008 <sup>g</sup>	Java

**Table S2**. Overview of the collection of horizontal velocities for the Sunda margin, including the source, the observational period, the reference frame in which the velocities are reported <sup>a</sup> We make use of the velocities expressed by Kreemer et al. (2014), where all previously published velocities have been transformed to IGS08 in a global inversion to estimate rotation and translation rates based on common sites.<sup>b</sup> Sites from the 1991-2001 have not been affected by major earthquakes, <sup>c,d</sup> and we do not use the data from the 2001-2007 and 2002-2006 tables in areas affected by the 2004 Sumatra-Andaman earthquake and the 2005 Nias earthquakes. <sup>e</sup> We use the table with exclusion periods for individual sites to be able to filter sites that are potentially affected by postseismic transients. <sup>f</sup> Velocities obtained from data after the 2006 M<sub>w</sub> 7.7 earthquake in west Java has been corrected for coseismic offsets and postseismic transients using a best-fit viscoelastic model.<sup>g</sup> Published velocities in Koulali et al. (2017) are expressed in a Sunda plate reference, we

use the Euler pole that we received from the authors to express velocities in ITRF2008. Euler pole parameters: longitude 81.07°W, latitude 32.66°N, angular velocity 0.435924°/Myr.

Study	Observational	Reference Frame	Region of interest
	period		
Sagiya et al., (2000)	1995-2000	IGS08ª	Japan
Apel et al., (2006)	1995-2006	IGS08 <sup>a</sup>	northeast Asia
Jin and Park, (2006)	2000-2003	IGS08 <sup>a</sup>	South Korea
Hashimoto et al. (2009)	1996-2000	IGS08 <sup>a,b</sup>	Japan
(Liu et al., 2010)	1996-2005	IGS08 <sup>a</sup>	southwest Japan
(Shestakov et al., 2011)	1997-2009	IGS08 <sup>a</sup>	northeast Asia
(Nishimura, 2011)	2007-2009	IGS08 <sup>a</sup>	southwest Japan
(Ohzono et al., 2011)	1998-2006	IGS08 <sup>a</sup>	central Japan
(Yoshioka, 2013)	2005-2009	IGS08 <sup>a</sup>	southwest Japan
Shen (2013), contained in	1990-2013	IGS08 <sup>a</sup>	northeast Asia
Kreemer et al. (2014)			
(Kreemer et al., 2014)	1990-2014 <sup>c</sup>	IGS08 <sup>a</sup>	global

**Table S3** Overview of the collection of horizontal velocities for the Japan margin in the pre-2011 Tohoku earthquake period, including the source, the observational period, the reference frame in which the velocities are reported. <sup>a</sup> We make use of the velocities expressed by Kreemer et al. (2014), where all previously published velocities have been transformed to IGS08 in a global inversion to estimate rotation and translation rates based on common sites. b (Hashimoto et al., 2009) have corrected for transients of the 1994 Sanriku earthquake. <sup>c</sup> We exclude sites that have velocity estimates based partly on post-2011 Tohoku data.

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