# Ion-ion cross-field instability of lower hybrid waves in the inner coma of comet 67P

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November 23, 2022

#### Abstract

We show that an ion-ion cross-field streaming instability between cold newborn cometary ions and heated heavy ions that were picked up upstream is likely a contributing source of observed lower hybrid (LH) waves in the inner coma of comet 67P/Churyumov-Gerasimenko. Electric field oscillations in the LH frequency range are common here, and have previously been attributed mainly to the lower-hybrid drift instability (LHDI), driven by gradients associated with observed local density fluctuations. However, the observed wave activity is not confined to such gradients, nor is it always strongest there. Thus, other instabilities are likely needed as well to explain the observed wave activity. Several previous works have shown the existence of multiple populations of cometary ions in the inner coma of 67P, distinguished by differences in mass, energy and/or flow direction. We here examine two selected time intervals in October and November 2015, with substantial wave activity in the lower hybrid frequency range, where we identify two distinct cometary ion populations: a bulk population of locally produced, predominantly radially outflowing ions, and a more tenuous population picked up further upstream and accelerated back towards the comet by the solar wind electric field. These two populations exhibit strong relative drifts (\$\sim\$20 km/s, or about 5 times the pickup ion thermal velocity), and we perform an electrostatic dispersion analysis showing that conditions should be favorable for lower hybrid wave generation through the ion-ion cross-field instability.

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# Key Points:

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11	•	Pick-up ions and locally produced cometary ions co-occur in the inner coma, with
12		strong relative streaming motion.
13	•	An ion-ion cross-field instability is likely to develop as a result of the relative stream-
14		ing motion.
15	•	This instability is probably responsible for generating at least some of the lower

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 hybrid waves observed at the comet.

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#### 17 Abstract

We show that an ion-ion cross-field streaming instability between cold newborn cometary 18 ions and heated heavy ions that were picked up upstream is likely a contributing source 19 of observed lower hybrid (LH) waves in the inner coma of comet 67P/Churyumov-Gerasimenko. 20 Electric field oscillations in the LH frequency range are common here, and have previ-21 ously been attributed mainly to the lower-hybrid drift instability (LHDI), driven by gra-22 dients associated with observed local density fluctuations. However, the observed wave 23 activity is not confined to such gradients, nor is it always strongest there. Thus, other 24 instabilities are likely needed as well to explain the observed wave activity. Several pre-25 vious works have shown the existence of multiple populations of cometary ions in the in-26 ner coma of 67P, distinguished by differences in mass, energy and/or flow direction. We 27 here examine two selected time intervals in October and November 2015, with substan-28 tial wave activity in the lower hybrid frequency range, where we identify two distinct cometary 29 ion populations: a bulk population of locally produced, predominantly radially outflow-30 ing ions, and a more tenuous population picked up further upstream and accelerated back 31 towards the comet by the solar wind electric field. These two populations exhibit strong 32 relative drifts ( $\sim 20 \text{ km/s}$ , or about 5 times the pickup ion thermal velocity), and we per-33 form an electrostatic dispersion analysis showing that conditions should be favorable for 34 lower hybrid wave generation through the ion-ion cross-field instability. 35

# <sup>36</sup> 1 Introduction

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# 1.1 Comets as natural laboratories for space plasma measurements

The neutral gas come of an active comet is subject to ionization by solar EUV ra-38 diation (as well as charge exchange and electron impact reactions with the solar wind 39 and high-energy electrons, see for example Cravens, 1991). It thus provides an extended 40 source of newly ionized plasma to the surrounding interplanetary medium, otherwise dom-41 inated by the solar wind (hereafter SW). The resulting interaction between SW and cometary 42 plasma (e.g. Neugebauer, 1990) gives rise to an abundance of plasma instabilities, waves 43 and turbulent phenomena (Tsurutani, 1991). The cometary plasma environment there-44 fore provides an excellent setting for studying such processes, which often play impor-45 tant roles in the physics and dynamics of plasmas. For example, they can heat or cool 46 plasma populations, produce supra-thermal electrons, reduce plasma anisotropies and 47 gradients, couple different plasma species to each other, and provide anomalous resis-48 tivity. 49

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#### 1.2 The Rosetta mission

The European Space Agency's Rosetta mission (Glassmeier, Boehnhardt, et al., 51 2007; Taylor et al., 2017) brought a spacecraft to the comet 67P/Churyumov-Gerasimenko 52 (hereafter 67P), following it in its orbit around the Sun from August 2014 (at 3.6 au from 53 the Sun) through perihelion in August 2015 (at 1.24 au) until the end of September 2016 54 (3.8 au). The instruments of the Rosetta Plasma Consortium (RPC) (Carr et al., 2007) 55 thus got an unprecedented long-term view of the near-nucleus cometary plasma environ-56 ment of an intermediately active comet, for which the production rate varied between 57  $\sim 4 \cdot 10^{25} \text{ s}^{-1}$  and  $\sim 3.5 \cdot 10^{28} \text{ s}^{-1}$  during the mission (Hansen et al., 2016; Heritier et 58 al., 2017). The spacecraft mostly stayed in close to terminator orbit within about 400 59 km of the nucleus (with the exception of two brief ( $\leq 1$  month) sunward and tailward 60 excursions to beyond  $\sim 1000$  km). 61

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# 1.3 Plasma waves at comets

Previous comets subject to in situ measurements of plasma waves (21P/Giacobini Zinner, 1P/Halley and 26P/Grigg-Skjellerup) all exhibited very strong hydromagnetic

turbulence in the ultralow-frequency (ULF) range, f < 1 Hz in the spacecraft (S/C) 65 frame, with maximum power near the local water ion cyclotron frequency ( $\sim 10^{-2}$  Hz) 66 (Tsurutani et al., 1995). This was attributed to instabilities caused by the highly anisotropic 67 velocity distribution of the newly born cometary ions in the SW frame. They essentially 68 form a ring, beam or combined "ring-beam" distribution in velocity space depending on 69 the angle between the interplanetary magnetic field and the SW velocity, which can lead 70 to the generation of a multitude of ULF instabilities (Tsurutani, 1991). The resulting 71 waves then act back on the particle distribution, e.g. causing pitch angle scattering, and 72 thus play an important role in the process of incorporating the newly picked up cometary 73 ions into the SW flow (Coates, 2004). 74

Plenty of plasma waves were also detected at higher frequencies, in the ELF (1– 75 1,500 Hz) and VLF (10<sup>3</sup>-10<sup>6</sup> Hz) ranges (Scarf, 1989; Laakso, 1991). These included ion 76 acoustic waves (0.6 < f < 10 kHz), electron plasma oscillations ( $f \gtrsim 10^4$  Hz), elec-77 tromagnetic waves at frequencies characteristic of the electron-scale whistler mode ( $f \leq$ 78 100 Hz) and near the hydrogen lower-hybrid frequency (6–12 Hz) (Scarf et al., 1986; Galeev 79 et al., 1988). The last one has been proposed to be generated by an ion-loss cone insta-80 bility, also caused by the pick-up of water group cometary ions into a perpendicular ring 81 distribution (Coroniti et al., 1986). The whistler waves were thought to be excited by 82 supra-thermal electrons accelerated by the lower-hybrid waves (Galeev, 1987). 83

#### 1.4 Waves at 67P

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Contrary to earlier cometary spacecraft encounters, at 67P the gyro-radius of new-85 born cometary ions was typically much larger than the scale size of the innermost inter-86 action region, where Rosetta spent almost all of its time. Therefore, the ring-beam type 87 pick-up distributions characterizing previous encounters did generally not develop here 88 (Behar et al., 2017). Yet, many different kinds of plasma waves have been observed at 89 67P. Low-frequency, large-amplitude ( $\delta B/B \sim 1$ ) compressional magnetic field oscil-90 lations at  $\sim 20-50$  mHz (a.k.a. "singing comet waves") were observed in the early and 91 late low-activity phases of the mission, disappearing during the high-activity phase be-92 tween March 2015 and Spring 2016 (Richter et al., 2015, 2016; Breuillard et al., 2019; 93 Goetz et al., 2020). These have been proposed to be generated by a modified ion-Weibel instability driven by the cross-field current between the essentially unmagnetized cometary 95 ions and the magnetized electrons (Meier et al., 2016). 96

Plasma density oscillations at frequencies ~200 Hz attributed to ion acoustic waves
were observed both in the magnetized plasma in the early low-activity phase (Gunell,
Nilsson, et al., 2017) and in the unmagnetized plasma inside the diamagnetic cavity (Goetz,
Koenders, Richter, et al., 2016; Goetz, Koenders, Hansen, et al., 2016) during the highactivity phase close to perihelion (Gunell, Goetz, et al., 2017). A current-driven instability was proposed to generate these waves, at least inside the cavity, but the exact nature of the instability has not yet been addressed.

Mirror mode waves have also been reported at 67P by Volwerk et al. (2016). These 104 were proposed to have been generated by unstable ring-beam type pick-up ion distribu-105 tions of the same kind as observed at previous comets. Such distributions were thus in-106 ferred to have developed also at 67P, although presumably limited to the heavily mass-107 loaded plasma and piled-up magnetic field in the inner coma close to perihelion where 108 these waves were observed. Observations of energy-angle dispersion of accelerated heavy 109 ions by Nicolaou et al. (2017) lends some credence to this hypothesis, although the ion 110 gyro-motion would likely be more complex than for the classical ring or partial-ring dis-111 tributions since the plasma here exhibits substantial inhomogeneities on spatial scales 112 comparable to the local ion gyro-radii. Odelstad et al. (2020) reported ion Bernstein waves 113 detected in the region surrounding the diamagnetic cavity, which were attributed to a 114 similar instability. 115

# 1.5 Electric-field observations of waves at 67P

The first electric field measurements from 67P were presented by Karlsson et al. 117 (2017), from deep within the coma in October and November 2015, close to peak activ-118 ity of the comet. Persistent wave activity near the local  $H_2O^+$  lower hybrid frequency 119 was observed, and the largest amplitudes were found at or near pronounced plasma den-120 sity gradients. The lower hybrid drift instability (LHDI) was thus proposed as the gen-121 eration mechanism for these waves. André et al. (2017) further explored this possibil-122 ity using numerical solutions of the relevant dispersion relations, finding that, unless the 123 electron motion is significantly interrupted by collisions with neutrals, large local den-124 sity gradients are often favourable for the generation of lower hybrid waves by the LHDI 125 in the near-nucleus plasma. Later in the mission, when solar wind protons were observed 126 in the inner coma, Goldstein et al. (2019) showed that conditions were favourable for a 127 two-stream instability between these and picked-up cometary water ions to develop, also 128 generating waves in the lower-hybrid frequency range. 129

Madsen et al. (2018) also observed electrostatic waves in the lower-hybrid frequency range inside the diamagnetic cavity of 67P, which they interpreted as ion acoustic waves excited by the forcing on the cavity boundary by lower hybrid waves in the surrounding magnetized plasma.

Lower hybrid waves can energize both electrons and ions through Landau-Cherenkov resonance acceleration (Bingham et al., 2002). This has been suggested as the mechanism responsible for accelerated electrons at 67P reported by e.g. Clark et al. (2015), Broiles, Livadiotis, et al. (2016); Broiles, Burch, et al. (2016) and Goldstein et al. (2019).

In this study, we expand and build upon the results of previous authors by considering another possible source of wave growth in the lower hybrid frequency range in the inner plasma environment of 67P; an instability due to opposite flows of streaming ions across the magnetic field.

<sup>142</sup> 2 Instrumentation and data

Rosetta carried a collection of five plasma instruments (Carr et al., 2007). In this study, we focus on data from the Langmuir probe instrument (LAP) and Ion Composition Analyser (ICA), and rely on the Fluxgate Magnetometer (MAG) and Mutual Impedance Probe (MIP) for supporting magnetic field and plasma density data, respectively.

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# 2.1 The Langmuir probe instrument (RPC-LAP)

LAP (Eriksson et al., 2007) comprises two spherical Langmuir probes (LAP1 and 148 LAP2), 5 cm in diameter, mounted on booms of 2.24 and 1.6 m lengths, respectively. (See 149 e.g. Figure 1 in Karlsson et al. (2017) for the detailed geometric configuration.) The in-150 strument is capable of three basic modes of operation: current measurements at fixed 151 bias potential, potential measurements at fixed bias current (or with a floating probe, 152 i.e., disconnected from the biasing circuitry) and Langmuir probe bias potential sweeps. 153 In this paper, we exclusively use data from the second mode with floating probes. This 154 is the appropriate configuration for electric field measurements in moderate to high den-155 sity ( $\gtrsim 10^2 \text{ cm}^{-3}$ ) space plasmas (Maynard, 1998). We use data obtained with burst 156 telemetry rate, in which 20-bit data is acquired at a sample rate of 57.8 Hz, sufficient 157 to resolve waves in the lower-hybrid frequency range ( $\sim 1-10$  Hz). Such double-probe 158 electric field measurements were only collected intermittently, unevenly distributed over 159 the mission but often at least 8–12 h/week. 160

From the two individual probe potentials two important physical parameters can be obtained, or at least estimated: the electrostatic potential of the spacecraft w.r.t. the ambient plasma  $(V_{S/C})$  and the electric field component along the direction of separa-

tion of the two probes. In the ideal case, when the boom lengths far exceed the Debye 164 length, each probe potential (measured w.r.t. the spacecraft, which is the floating ground 165 of the measurements) would correspond to the negative of the spacecraft potential, with 166 an additive offset due to the floating potential of the probe w.r.t. the plasma (Odelstad 167 et al., 2017). In the presence of an ambient electric field E, the plasma potentials at the 168 locations of the two probes will differ by a quantity  $E \cdot d$ , where  $d = r_{\text{LAP1}} - r_{\text{LAP2}}$  is 169 the probe separation vector. If the perturbation due to the electric field is small, so that 170 the probe floating potential doesn't change, the potential difference between the probes 171 will simply equal  $E \cdot d$ , from which the electric field component along d can be obtained. 172

These measurements are complicated by the complex interaction between the charged 173 spacecraft and the ambient plasma (Odelstad et al., 2017; Johansson et al., 2020, 2021). 174 The couplings between probe and spacecraft, and probe and plasma, can both differ be-175 tween the two probes, with each probe picking up a different fraction of the spacecraft 176 potential and having a different floating potential w.r.t. the plasma. We make a crude 177 but effective correction for such effects by making a linear fit of the potential  $V_1$  of LAP1 178 to  $V_2$  of LAP2, and then using the resulting fitting parameters to shift and rescale  $V_2$ 179 to match  $V_1$  as closely as possible over some suitable time interval (see below). The re-180 maining difference between  $V_1$  and the scaled and shifted  $V_2$  is then divided by the probe 181 separation distance (5.00 m) to obtain an estimate of the electric field component be-182 tween the probes. 183

LAP operational modes are organized in 32 s long sequences with a brief gap (~1 s) at the end of each sequence (Odelstad et al., 2020). We use these sequences as the time intervals over which to apply the correction described above. When direct measurements of the spacecraft potential are required, e.g. when calculating the ion distribution moments from ICA differential fluxes (see below), the average of  $V_1$  and the scaled and shifted  $V_2$  will be used, with an additional empirical correction (equation (1) of Johansson et al., 2021).

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### 2.2 The Ion Composition Analyzer (RPC-ICA)

ICA (Nilsson et al., 2007) is an electrostatic analyzer of a spherical top-hat con-192 figuration measuring three-dimensional distributions of positive ions in the energy range 193 5 eV/q to 40 keV/q over a field of view (FOV) of  $90^{\circ} \times 360^{\circ}$ , combined with a magnetic 194 momentum filter that resolves the major ion species such as protons, helium and water 195 group ions. The  $360^{\circ}$  azimuthal FOV is distributed over 16 anodes, each with a width 196 of 22.5°. The aperture is  $360^{\circ} \times 5^{\circ}$ ; the 90° elevation FOV is achieved by varying the 197 voltage across two electrostatic deflector plates, effectively scanning 16 different angles 198 in the range  $\pm 45^{\circ}$ . The time required for a full scan in elevation and energy is 192 s, how-199 ever a special high time resolution mode has been implemented (Stenberg Wieser et al., 200 2017) in which the elevation angle is kept fixed at close to  $0^{\circ}$  and the energy range is trun-201 cated to 5-95 eV/q, reducing the integration time to 4 s. Due to the highly variable and 202 rapidly changing cometary ion environment, the ion data in this work comes exclusively 203 from this high time resolution mode, which was only run intermittently during the mis-204 sion. It should be noted that the resulting ion observations are effectively two-dimensional, 205 with a FOV of  $5^{\circ} \times 360^{\circ}$  in the zero-elevation plane. Under nominal spacecraft point-206 ing conditions, this plane includes both the comet and the Sun, but ion fluxes outside 207 of this plane cannot be observed. 208

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## 2.3 The Mutual Impedance probe (RPC-MIP)

MIP (Trotignon et al., 2007) uses the mutual impedance spectra of two pairs of dipole antennas to obtain the plasma density from characteristic signatures that appear in these spectra at or near the plasma frequency. Measurements are obtained with a cadence  $\geq 2.5$  s. A MIP/LAP cross-calibrated dataset has been produced, where an empirical relation between the LAP1 floating potential (used here as a proxy for  $V_{S/C}$ ) and MIP plasma densities is established by fitting simple analytical models over overlapping time windows, and using this to recalibrate the LAP voltage data to density values (Breuillard et al., 2019). This dataset thus has the same time resolution as the LAP data, up to ~60 Hz, and is more suitable for detailed wave analysis in the frequency range of interest here.

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#### 2.4 The Fluxgate Magnetometer (RPC-MAG)

MAG (Glassmeier, Richter, et al., 2007) is a triaxial fluxgate magnetometer mounted 220 1.5 m out from the spacecraft main body on the same boom as LAP2. It samples three 221 orthogonal components of the magnetic field at a resolution of 31 pT in a range of  $\pm 16 \ \mu T$ 222 at a frequency of 20 Hz. The magnetic field measurements are subject to disturbances 223 from the spacecraft and the other instruments onboard; the most prominent of these, 224 the influence from the reaction wheels, lies in the frequency band 2–10 Hz, i.e. exactly 225 in the lower hybrid frequency range of interest here. We do not use the MAG data for 226 investigating the waves in this work; we use it to compute the lower hybrid frequency, 227 and to give some background information and context on the plasma environment. For 228 this, we use data downsampled to 1 Hz, by forward and reverse filtering the 20 Hz data 229 with a Butterworth IIR lowpass filter and then interpolating to sample times 1 s apart 230 (same procedure as in Goetz, Koenders, Hansen, et al., 2016). 231

- 232 3 Results
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#### 3.1 Primary example

Figure 1 shows a selection of data (about 20 min) from 25 October 2015, when LAP electric field measurements and ICA high time resolution measurements were simultaneously available. The cometocentric distance was 350 km and the heliocentric distance was 1.5 AU. (Data from this same day were also examined in Karlsson et al. (2017).) In Figure 1a we show plasma density data. The density here is often fairly stable around 100 cm<sup>-3</sup>, but intermittently increases several-fold, up to as much as 500 cm<sup>-3</sup>, typically on time-scales of one to a few minutes.

Figure 1b shows magnetic field data. The magnetic field strength is highly vari-241 able, most often in the range 20–50 nT, but sometimes dropping below 20 nT down to 242 about 10 nT. There is also the odd peak reaching up to  $\sim$ 70 nT. Magnetic field enhance-243 ments occur on time-scales of typically a few minutes. They are often asymmetric, with 244 much higher rise times than decay times, and may be related to similar structures ob-245 served near the diamagnetic cavity (e.g Goetz, Koenders, Hansen, et al., 2016; Henri et 246 al., 2017; Hajra et al., 2018; Odelstad et al., 2020) and/or steepened fast magnetosonic 247 waves discussed by Ostaszewski et al. (2021). Also shown in Figure 1b are a number of 248 angular quantities (to be read off the right-hand y-axis): cone angle  $\theta_{\rm B}$  (red line) and 249 clock angle  $\phi_{\rm B}$  (green line) of the magnetic field (to be defined below), the angle  $\alpha_{\rm EB}$ 250 (blue line) between B and the probe separation vector d (c.f. Section 2.1) correspond-251 ing to the direction of the observed electric field component, and finally the angle  $\alpha_{\rm rB}$ 252 (yellow line) between the outward radial direction from the comet and the magnetic field. 253  $\alpha_{\rm rB}$  is most often very close to 90°, thus the highly draped magnetic field is perpendic-254 ular to the radial direction from the comet.  $\alpha_{\rm EB}$  is most often close to 90°, so the elec-255 tric field component that we observe is close to perpendicular to the ambient magnetic 256 field (as expected close to a diamagnetic cavity). Rosetta magnetic field data are often 257 presented in a Comet-centered Solar-EQuatorial (CSEQ) coordinate system, in which 258 the +X axis points towards the Sun, the +Z axis is the component of the Sun's north 259 pole of date orthogonal to the +X axis, and the +Y axis completes the right-handed ref-260 erence frame. We define the cone angle of a vector (e.g. position, magnetic field) as the 261 angle of elevation from the YZ plane; it falls in the interval  $[-90^{\circ}, 90^{\circ}]$  and is positive 262 for sunward and negative for anti-sunward directions, respectively.  $\theta_{\rm B}$  in Figure 1b is most 263



Figure 1. Overview of plasma data from selected time interval. a) Plasma density. b) Magnetic field magnitude (black line, left-hand y-axis) and orientation (right-hand y-axis): cone and clock angles ( $\theta_{\rm B}$  and  $\phi_{\rm B}$ , red and green lines), angle  $\alpha_{\rm EB}$  to the measured electric field component (blue line) and the angle  $\alpha_{\rm rB}$  to the outward radial direction from the comet (yellow line). c) LAP electric field measurements. d) Wavelet scalograms of the electric field, and lower hybrid frequency  $f_{\rm LH}$  (black line). e) ICA high time resolution ion spectrograms. Magenta and cyan arrows highlight spectrograms selected for more detailed analysis in Figures 2 and 3, respectively.

often close to  $-45^{\circ}$ , indicating a predominantly anti-sunward direction of the magnetic field. The clock angle is the azimuth angle in the YZ plane, in the direction from Y towards Z, taking values in the range  $[-180^{\circ}, 180^{\circ}]$  (same as e.g. Masunaga et al., 2019; Bergman et al., 2021). For the epoch shown in Figure 1b, the clock angle of the spacecraft position vector was close to zero ( $|\phi_{r_{sc}}| \leq 2.5^{\circ}$ ). With both the Sun and the comet in the ICA aperture plane, this means that the Z axis in the CSEQ frame was close to aligned with the ICA symmetry axis, and the clock angle of a vector thus a good proxy for the elevation angle above the ICA aperture plane.

272 The electric field from LAP is shown in Figure 1c. Unlike in Karlsson et al. (2017) and André et al. (2017), we have not performed any high-pass filtering of these data. The 273 linear fit and rescaling of  $V_2$  to  $V_1$  employed in the electric field computations (c.f. Sec-274 tion 2.1), being applied separately to each 32-s sequence of uniform sampling, introduces 275 clear artificial discontinuities at the transitions between some such segments; these lack 276 physical significance. The electric field data exhibit significant dynamics on multiple time 277 scales. Large-scale features appear on longer time scales, several seconds up to a few min-278 utes, exhibiting both quasi-harmonic (e.g. around 02:06–02:07) and an-harmonic (e.g. 279 around 02:03–02:05) low-frequency oscillatory behavior, as well as more short-lived pulse-280 like events (e.g. around 02:13). It is not clear to what extent any or all of these features 281 actually represent electric fields, or if they are spurious features caused by e.g. changes 282 in the probe-plasma coupling of the individual probes. The focus of this study is instead 283 on the shorter-timescale oscillations that appear throughout the selected time interval. 284 These are likely real electric fields, and will be interpreted as such throughout this pa-285 per. Wavelet scalograms were computed of the electric field data (separately over each 286 32-s sequence of uniform sampling, c.f. Section 2.1) and these are shown in Figure 1d, 287 together with the  $H_2O^+$  lower hybrid frequency  $f_{LH}$  (black line) computed from the mag-288 netic field data. We may identify two quite distinct frequency ranges: one of persistent 289 low-frequency broadband activity below  $\sim 0.5-1$  Hz and another with more variable am-290 plitudes at frequencies above that. The latter corresponds broadly to the lower-hybrid 291 frequency range and represents the shorter-timescale oscillations that we interpret as elec-292 tric field fluctuations. Thus the high-pass filtering at around 1 Hz and 0.5 Hz of Karlsson 293 et al. (2017) and André et al. (2017), respectively, appear to have fairly accurately iso-294 lated the electric field oscillations from more ambiguous features in the data. 295

The varying power in the lower hybrid frequency range may at least at times be 296 characterized as localized bursts or wave packets of large electric field amplitude (0.01-297 0.05 mV/m, typically persisting for a few seconds, up to at most about 15-20 s (e.g. 298 around 02:05, 02:16:30 and 02:19). It is the perceived correlation between such wave pack-299 ets and pronounced plasma density gradients that forms the basis for attributing such 300 wave activity in the inner come to the lower-hybrid drift instability (Karlsson et al., 2017; 301 André et al., 2017). While data presented for selected time intervals in Karlsson et al. 302 (2017) were fairly convincing in this regard, the data we show in Figures 1c-d are less 303 so. Some of the steepest density gradients observed do not coincide with very strong wave 304 activity (e.g. around 02:09 and 02:15), and substantial wave activity occur also in the 305 absence of strong gradients in plasma density as gauged by the combined LAP/MIP data 306 in Figure 1a (e.g. between about 02:05 and 02:08). The lowest wave activity appears to 307 occur at times when the magnetic field strength becomes unusually low,  $\lesssim 20$  nT (e.g. 308 around 02:09–02:10 and 02:15–02:16). A comprehensive statistical correlation study be-309 tween lower hybrid wave activity and density gradients, magnetic field strengths, and 310 possibly other parameters as well, is beyond our scope here, but might be a pertinent 311 topic for future work. Here we settle on the proposition that additional plasma insta-312 bilities, beyond the lower hybrid drift instability, should be investigated as possible wave 313 generation mechanisms in this plasma environment. 314

Several previous works have shown the existence of multiple populations of cometary ions in the inner coma of 67P, distinguished by differences in energy and/or flow direc-

tion (e.g. Berčič et al., 2018; Nilsson et al., 2020; Bergman et al., 2021). Consequently, 317 we choose in this work to investigate the possible role of ion streaming instabilities for 318 generating the observed lower hybrid waves. For this purpose, high time resolution ion 319 spectrograms from ICA are shown in Figure 1e. The ion energies have here been shifted 320 by the spacecraft potential obtained by LAP (c.f. Section 2.1), but the latter is also plot-321 ted on top of the spectrograms (red line), with reversed sign and scaled by the elemen-322 tary charge  $q_e$  to facilitate comparison with the (singly charged) ion energies. Measure-323 ments of ions with energies  $\leq 2q_e |V_{S/C}|$  (prior to acceleration by the spacecraft poten-324 tial) may be heavily distorted by the electrostatic field of the charged spacecraft, but ions 325 with energies higher than that should not be significantly affected, according to parti-326 cle tracing simulations by Bergman et al. (2020a). The ion data here are clearly quite 327 erratic, with substantial variations of flux and energy on very short time-scales. How-328 ever, a band of low-energy ions with energies  $\lesssim 30$  eV can at times be discerned (perhaps 329 most clearly around 02:04 and 02:10 in Figure 1e). Ions with higher energies are also in-330 termittently observed, sometimes in addition to the low-energy ion band, sometimes with-331 out it. At times (e.g. around 02:07) no ions are observed at all. 332

In Figure 2 we present in more detail selected examples of individual ICA ion spec-333 trograms, indicated with magenta arrows in Figure 1. These have been chosen to show-334 case typical characteristics of the higher-energy ions. In the first column of Figure 2 (pan-335 els a–d) we show two-dimensional energy-angle polar histograms of the ion fluxes. The 336 bin colors denote observed differential flux of ions in each energy-angle bin during the 337 4-s integration time of the instrument. The radial location of each bin corresponds to 338 the energy of collected ions, corrected for the spacecraft potential (the radial axis has 339 units of eV). Azimuth bin locations correspond to the arrival direction of the ions into 340 the instrument. The polar angle in the plot corresponds to the azimuth angle in the in-341 strument reference frame (Nilsson et al., 2007) and red text labels indicate the standard 342 ICA sector numbering. Sectors 1 and 13 have very low sensitivity, rendering the instru-343 ment effectively blind in these directions, and Sector 0 is subject to cross-talk and noise, 344 picking up signal from the other sectors (Berčič, 2017). These sectors have therefore been 345 graved out in Figures 2a–d. Also, Sector 2 is somewhat more sensitive than the other 346 sectors and need to be considered with care. For the near-terminator orbit of the space-347 craft at this time, the directions of the Sun and comet (red arrows) are in sector 10 and 348 between sectors 4 and 5, respectively. The average magnetic field vector projected onto 349 the ICA aperture plane is along the view direction of sector 1 in all four cases. The av-350 erage magnetic field magnitude |B| and elevation angle  $\theta_B$  above the ICA aperture plane 351 are printed in the top left of the respective panels; they are between about 30–40 nT and 352 around  $-35^{\circ}$ , respectively, in all four cases. The average spacecraft potentials during the 353 respective intervals are similarly printed at the bottom left of each panel. They are about 354 -3 V to -2 V in the first two cases (a and b) and -7 V to -5 V in the latter two cases (c 355 and d). All four examples shown in Figure 2 exhibit large fluxes in sectors 11 and 12. 356 These sectors point in the direction opposite to the comet, so ion fluxes observed here 357 represent inward radial flow, towards the comet nucleus. They are also highly oblique, 358 almost perpendicular to the magnetic field component in the sensor plane. Besides that, 359 fluxes are generally very weak, with the possible exception being some more or less ra-360 dially outflowing (anti-cometward) flows in 2 a and d; we will return to this point in con-361 nection with Figure 3 below, where clearer examples of this will be shown. 362

In the second column of Figure 2 (panels e-h) we show the differential flux in each 363 energy bin for sectors 11 and 12. Ion fluxes typically peak at energies around 40 eV, with 364 broad spreads in the range from  $\sim 10 \text{ eV}$  up to at least 80 eV. We have computed mo-365 ments of these distributions (c.f. Nilsson et al., 2020, Appendix A); the results are printed 366 in the respective panels of Figure 2 with text colors corresponding to the respective his-367 togram colors. All four examples shown have bulk drift velocities  $\langle v_i \rangle$  to 20 km/s 368 and thermal velocities (in the direction parallel to the flow)  $\langle v_{\rm ti} \rangle$  around 3–4 km/s, 369 corresponding to temperatures  $\langle T_{\rm i} \rangle$  in the range 2–4 eV. Summing the two sectors, 370



Figure 2. Examples of inward-streaming accelerated ions, corresponding to ICA spectrograms highlighted with magenta arrows in Figure 1.  $\mathbf{a} - \mathbf{d}$ ) Energy-angle polar histograms of ion fluxes.  $\mathbf{e} - \mathbf{h}$ ) Differential flux in each energy bin for sectors 11 and 12.  $\mathbf{i} - \mathbf{l}$ ) Concurrent power spectral densities of LAP electric field data.

densities are in the range  $10-30 \text{ cm}^{-3}$ , ranging from a few up to almost 30 percent of 371 the total plasma density. The black text at the top left gives average plasma parame-372 ters for each sample time interval.  $n_{\rm e}$  and  $\beta_{\rm e}$  are the plasma density and electron plasma 373 beta, calculated assuming an electron temperature  $T_{\rm e}$  of 10 eV. (There are no Langmuir 374 probe sweeps when LAP is measuring electric fields, so there are no local  $T_{\rm e}$  measure-375 ments available. Hence a typical  $T_{\rm e} \sim 10$  eV is used.)  $\beta_e$  is between 0.3 and 0.9 for these 376 cases.  $\omega_{\rm pe}/\omega_{\rm ce}$  is the ratio of electron plasma and cyclotron frequencies. It is typically 377 on the order of  $10^2$ , thus the plasma is clearly overdense.  $v_A$  is the Alfvén velocity. We 378 may note that  $v_{\rm A}$  is often very close to or slightly below the ion bulk drift velocity. 379

In the third column of Figure 2 (panels i–l) we show power spectral densities of LAP 380 electric field data, computed over each 4-s ICA sampling interval using Thomson's mul-381 titaper method (Thomson, 1982). Dashed vertical contour lines indicate fractions of the 382 lower hybrid frequency (calculated from MAG data) and dotted lines indicate 95% con-383 fidence intervals (computed using the inverse chi-square distribution, e.g. Kay, 1988). 384 A clear spectral peak just above the lower hybrid frequency can be observed in Figure 385 2 i. In the other plots, such features are not as clearly present, although we may note 386 if not significant peaks at least clear plateaus in the spectra near or around the lower 387 hybrid frequency. 388

In Figure 3 we show a different selection of example ICA ion spectrograms, indi-389 cated with cyan arrows in Figure 1. These have been chosen to illustrate typical behaviour 390 of the low-energy ion band, as well as some more complicated cases. Generally, the mag-391 netic field strengths are lower here than for the examples in Figure 3, resulting in larger 392  $\beta_e$  and lower Alfvén speed. Total plasma densities are also typically somewhat higher. 393 In panels a and d, the ion flux is entirely dominated by low-energy ( $\leq 20 \text{ eV}$ ) ions in sec-394 tors 5-8. These ions thus flow anti-cometward (sector 5) and some of them also have clear 395 anti-sunward velocity components (sectors 6-8). They are also close to perpendicular 396 to the magnetic field component in the sensor plane. In panels b and e we show the dif-397 ferential flux vs. energy for sectors 5 and 8, with computed moments printed in the plot 398 as before. Most of the differential flux is in the range 0-30 eV, peaking around 15-20 eV. 399 The moment calculations here indicate densities in the range  $5-10 \text{ cm}^{-3}$  for the individ-400 ual sectors; combined this accounts for less than 10% of the total densities  $\langle n_e \rangle$  at these 401 times. The bulk drift velocities are 11-13 km/s for these examples, and the thermal ve-402 locities are around 2 km/s, corresponding to temperatures of around 1 eV. 403

Panel g shows an example of a more mixed case, where the radially outflowing band 404 of low-energy ions (sectors 5–6) is observed simultaneously with higher-energy ions. The 405 latter are confined to sectors 11 and 9, indicating cometward flux with an anti-sunward 406 (especially for sector 9) component. There are no known measurement issues with sec-407 tor 10, so the angular separation appears to indicate a clearly bi-modal angular distri-408 bution of the accelerated ions here, although we make no claims about the universality 409 of this feature in the data. In panel h, we show the differential flux vs. energy for sec-410 tors 6 and 9. Clearly, these represent two populations distinct in energy, as well as flow 411 direction. We may also note that the flow in sector 9 appears to be close to field-parallel, 412 while sector 11 has a more of a cross-field component. Finally in panel j we show an ex-413 ample with maximum flux in sector 8, and smaller (but still substantial) fluxes in sec-414 tors 5–7. Differential flux vs. energy is shown for sectors 7 and 8 in panel k. Here, it is 415 not as clear that there are two distinct ion populations, well-separated in energy and flow 416 direction. It is possible that we are looking at a single population with broad spread in 417 both energy and flow direction. However, it is also possible that there are in fact two dis-418 tinct populations here, just as in panel h, only their angles and energies happen to be 419 closer, partially overlapping each other. The spread in arrival angle (and to some extent, 420 energy) of the low energy ions toward the anti-sunward direction sometimes makes it dif-421 ficult to determine if large flows in sectors 8–9 are of separate origin, or if they are a high-422



Figure 3. Examples of outward-flowing cold ions, corresponding to ICA spectrograms highlighted with cyan arrows in Figure 1.  $\mathbf{a} - \mathbf{d}$ ) Energy-angle polar histograms of ion fluxes.  $\mathbf{e} - \mathbf{h}$ ) Differential flux in each energy bin for selected sectors.  $\mathbf{i} - \mathbf{l}$ ) Concurrent power spectral densities of LAP electric field data.

energy tail of the low-energy population. Again, the accelerated ion flux appears to have at least some component of the motion across the magnetic field.

Finally, the right-most column in Figure 3 shows the power spectral densities of LAP electric field data for the selected times. We tentatively identify peaks in the spectra in panels f and i at frequencies somewhat above twice the lower hybrid frequency, although the significance of these may not be entirely clear. We may observe if not plateaus so at least an increase in spectral slope in the vicinity of  $2f_{\rm LH}$ .

# 3.2 Supplementary example

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The data shown and described so far all come from a brief (20 min) interval on 25 Oc-431 tober 2015, when LAP electric field measurements and ICA high time resolution mea-432 surements were simultaneously available. LAP double-probe floating potential measure-433 ments were carried out intermittently from May 2015 until end of mission (30 Septem-434 ber 2016). Observations by ICA in high time resolution mode are available intermittently 435 from late May 2015 to late August 2016. We make no attempt at a comprehensive sur-436 vey of these data here. To show that the selected data are not a singular aberration, we 437 show just one more example: Figure 4 shows a multi-instrument overview of data for a 438 time interval on 15 November 2015, 17:03–17:23 UTC. (Also data from this day have pre-439 viously been examined in Karlsson et al. (2017).) The figure layout here is the same as 440 in Figure 1. The heliocentric distance was here 1.67 AU and the cometocentric distance 441 was 149 km. The plasma density in Figure 4a is mostly in the same range as for the pre-442 vious event, ranging from around  $100 \text{ cm}^{-3}$  up to a few hundred cm<sup>-3</sup>. However, the 443 data here appear less structured and more dynamic, with a lot more variability on shorter timescales as compared to Figure 1a. The magnetic field in Figure 1b is somewhat higher 445 than before, about 30–50 nT with values above 30 nT being much more prevalent than 446 in the previous case. Also here the data are less structured with more dynamics on shorter 447 time scales. The previously dominating asymmetric magnetic field enhancements on time-448 scales of minutes appear to be largely absent here. The angular quantities in Figure 4b 449 are largely similar to the ones in Figure 1b, indicating an overall similar geometry of the 450 magnetic field. The electric field data in Figures 4c-d show high levels of wave activity 451 in the lower hybrid frequency range throughout this interval. Amplitudes are often sim-452 ilar to the previous event (0.01-0.05 mV/m), but any characterization in terms of local-453 ized bursts or wave packets seems less clear, or at least the wave packets are now shorter 454 and more frequent, giving a less structured appearance to the data. Finally, in Figure 4e, 455 the ICA ion spectrograms are again clearly quite erratic, with substantial variations of 456 flux and energy on very short time-scales. However, the band of low-energy ions with 457 energies ( $\leq 20$  eV in this case) can still be discerned. Again, ions with higher energies 458 are also intermittently observed, and there are times (e.g. around 17:06) when little or 459 no ions are observed at all. 460

In Figure 5 we again show a selection of example ICA ion spectrograms, indicated 461 with magenta arrows in Figure 4. The energy-angle polar histograms in the first column 462 (panels a,d,g and j) exhibit similar features to the ones in Figures 2 and 3: Higher-energy 463 ions are often observed in sectors 11–12, i.e. flowing back towards the comet nucleus. Again, these accelerated ion flows appear to have a significant cross-field component. The low-465 energy band of ions, when observed, typically exhibit the largest fluxes in directions ra-466 dially outward from the comet (e.g. panel a), sometimes with an angular spread towards 467 the anti-sunward direction (e.g. in panel d). Moments of the accelerated ions (second col-468 umn, panels b,e,h and k) indicate flow speeds of 15–20 km/s, very close the Alfvén ve-469 locity that ends up in that same range, ion thermal velocities in the range 2–4 eV, and 470 densities up to about 30  $\text{cm}^{-3}$  (panels b and h), corresponding to up to 20% of the to-471 tal plasma density.  $\beta_e$  is between 0.2 and 0.8 for these cases, basically the same as for 472 the previous event, and the plasma is still overdense, with  $\omega_{\rm pe}/\omega_{\rm ce} \sim 10^2$ . The power 473 spectral densities of LAP electric field data for the selected times, shown in the right-474



Figure 4. Overview of plasma data from selected time interval. **a**) Plasma density. **b**) Magnetic field magnitude (black line, left-hand y-axis) and orientation (right-hand y-axis): cone and clock angles ( $\theta_{\rm B}$  and  $\phi_{\rm B}$ , red and green lines), angle  $\alpha_{\rm EB}$  to the measured electric field component (blue line) and the angle  $\alpha_{\rm rB}$  to the outward radial direction from the comet (yellow line). **c**) LAP electric field measurements. **d**) Wavelet scalograms of the electric field, and lower hybrid frequency  $f_{\rm LH}$  (black line). **e**) ICA high time resolution ion spectrograms. Magenta arrows highlight spectrograms selected for more detailed analysis in Figure 5.



Figure 5. Example ICA ion fluxes, corresponding to ICA spectrograms highlighted with magenta arrows in Figure 4.  $\mathbf{a} - \mathbf{d}$ ) Energy-angle polar histograms of ion fluxes.  $\mathbf{e} - \mathbf{h}$ ) Differential flux in each energy bin for selected sectors.  $\mathbf{i} - \mathbf{l}$ ) Concurrent power spectral densities of LAP electric field data.

<sup>475</sup> most column in Figure 5 (panels c,f,i and l), indicate similar power levels of fluctuations <sup>476</sup> in the lower hybrid frequency range as for the previous event, but there does not appear <sup>477</sup> to any clear spectral peaks in any of these examples. We conclude that most of the fea-<sup>478</sup> tures described here correspond well to the first event, both qualitatively and quantita-<sup>479</sup> tively, and are therefore likely recurring characteristics of the plasma environment in the <sup>480</sup> inner coma of 67P during the high-activity phase of the mission from which these ex-<sup>481</sup> amples were taken.

# 482 4 Discussion

Several authors (e.g. Huba & Wu, 1976) have pointed out the effects of gradients
in the magnetic field on the lower-hybrid drift instability. These are complicated, and
can be either stabilizing or destabilizing depending on the specific physical conditions.
This generally requires a full electromagnetic treatment, including inhomogeneities, which
is beyond the scope of this paper. Instead, we focus here on examining the ion-ion crossfield instability driven by counter-streaming ions across the magnetic field.

#### 4.1 Ion flows

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In the early low- to intermediate-activity period of the Rosetta mission (Septem-490 ber 2014 to April 2015), two distinct cometary ion populations were typically observed 491 by ICA (Nilsson et al., 2015; Behar et al., 2016; Berčič et al., 2018), separated by their 492 energy range and flow direction: (1) ions with an energy  $\lesssim 50$  eV coming from between 493 the Sun and comet direction, identified as locally produced cometary ions expanding out-494 ward from the comet, and (2) more energetic ions with an arrival angle centered on the 495 Sun direction, identified as cometary ions picked up further out by the convective elec-496 tric field of the upstream solar wind, and accelerated back towards the comet. Berčič et 497 al. (2018) reported mean speeds and densities of the low energy ions of 6 km/s and 10-498  $20 \text{ cm}^{-3}$  during a period between 26 December, 2014 and 23 January, 2015; for the pick-499 up ions they found  $\sim 30$  km/s and 0.1–0.4 cm<sup>-3</sup>, respectively, during the same period. 500 The flow direction of (1) was radially outward in the terminator plane, with an additional 501 anti-sunward component symmetrically about the comet-Sun line (c.f. Fig. 4 of Berčič 502 et al., 2018). The pick-up ions (2) had a similar anti-sunward velocity component, but 503 the flow in the terminator plane was consistently along the direction of the (varying) up-504 stream solar wind electric field direction, as gauged from the bulk flow of solar wind pro-505 tons and alpha particles (often heavily deflected from the anti-sunward direction). 506

The combination of terminal-plane radial expansion and out-of-plane anti-sunward 507 velocity of the lower-energy cometary ions persisted also during the subsequent period 508 of higher comet activity (Nilsson et al., 2020), however the behaviour of the pick-up ions 509 changed. From late April 2015 to mid-December 2015, a "solar wind cavity" formed in 510 the inner coma, as the solar wind ions (protons, alphas) were entirely deflected away (Behar 511 et al., 2017). Inside this cavity, the pick-up ions exhibited a clear deflection in the di-512 rection *opposite* to the solar wind electric field (as gauged from the magnetic field di-513 rection, c.f. Edberg et al., 2019), just like the solar wind did at lower activity levels. Thus, 514 these pick-up ions had effectively taken over the role of the solar wind for the momen-515 tum transfer into the inner coma (Williamson et al., 2020). The low energy ions main-516 tained typical flow speeds of 5-10 km/s throughout the mission, while the pick-up ions 517 generally had flow speeds  $\gtrsim 20$  km/s (Nilsson et al., 2020, Figs. 1–2). Average densi-518 ties were generally 10–100 cm<sup>-3</sup> and  $\leq 10$  cm<sup>-3</sup>, respectively (Nilsson et al., 2020, Fig. 519 3).520

These results were obtained from full 192-s scans in elevation and energy, and for the most part also averaged over 24 h. In reality, and as shown in this and other work (e.g. Stenberg Wieser et al., 2017), there was a significant amount of variation and dynamics on much shorter time-scales, even shorter than the 192-s integration time. Hence,

use of the high time resolution mode (c.f. Section 2.2) is required. Here, the energy range 525 is reduced to 5-95 eV/q and the FOV is restricted to  $5^{\circ} \times 360^{\circ}$  in the zero-elevation plane. 526 which normally contains both the comet and the Sun. Ions with velocity vectors well out 527 of this plane will thus not be observed. From the flow patterns outlined above, the low 528 energy ions (1) would nominally fall into this plane, since their average motion predom-529 inantly has anti-cometward and anti-sunward components. However, the detailed dis-530 tributions were often shifted slightly in elevation angle as well (Berčič et al., 2018; Nils-531 son et al., 2020), frequently falling just outside of the zero-elevation plane. It is not clear 532 whether or not this was an effect of the spacecraft potential affecting the ion trajecto-533 ries. The pick-up ions (2), having a large terminator-plane velocity component along/opposite 534 to the varying upstream solar wind electric field, should presumably be observed only 535 intermittently in the high time resolution mode, requiring favourable upstream solar wind 536 conditions. 537

The total density of cometary ions observed by ICA, even in full 3D scans, is typ-538 ically much lower than that observed by LAP and MIP, often by one or two orders of 539 magnitude (Nilsson et al., 2020). Thus, ICA clearly does not capture all of the low-energy 540 ions of the cometary plasma. Cometary ions are born with the same velocity as their par-541 ent neutral molecules, which flow radially outward at  $\lesssim 1$  km/s. Subsequent acceleration 542 is primarily in the outward radial direction due to the ambipolar electric field, at least 543 inside the diamagnetic cavity. Outside of this cavity, their trajectories may be influenced 544 by the magnetic field. However, an outward radial ambipolar electric field should still 545 persist, also outside the diamagnetic cavity, since quasi-neutrality has to hold on length 546 scales on the order of the Debye length, which at  $\leq 1$  m is orders of magnitude smaller 547 than the gyro-radii of the cometary ions. This radial field is clearly seen in Particle-In-548 Cell (PIC) simulations by Deca et al. (2019). We thus presume that the bulk of cometary 549 ions making up the difference between the densities observed by ICA and LAP/MIP are 550 low energy ions moving predominantly radially outward. The anti-cometward low-energy 551 ion population intermittently observed in ICA spectrograms in Section 3 thus likely cor-552 respond to some small fraction of this bulk population of cometary ions, perhaps a high-553 energy tail. The reason for their only intermittent appearance in ICA high time reso-554 lution spectrograms may be, at least in part, due to them acquiring a transverse veloc-555 ity component, owing to the Lorentz force in the ambient magnetic field, which could 556 divert them out of the ICA aperture plane. This hypothesis may claim some support from 557 the apparent tendency of this low-energy band of ions in the ICA spectrograms to ap-558 pear in coincidence with unusually low magnetic field strengths ( $|B| \leq 20$  nT). 559

The spacecraft potential may also have a significant impact on these low-energy 560 ions, distorting the directional information and effectively changing the instrument FOV 561 in this energy range. Bergman et al. (2021) used PIC simulation results to attempt to 562 correct for these effects in ICA high time resolution data. Their study was limited to the 563 ion flows in and around the diamagnetic cavity, i.e. a plasma environment that may dif-564 fer from the examples shown here. For a spacecraft potential of -13 V and a Debye length 565 of 0.66 m, the correction was usually around 1–2 sectors  $(22.5^{\circ} - 45^{\circ})$  for the lowest 566 ion energies, thus not so much that it would dramatically change the results we present 567 here. The Debye length here is also most likely larger ( $\sim 2$  m for  $n_{\rm e} \sim 100$  cm<sup>-3</sup> and  $T_{\rm e} \sim 10 \, {\rm eV}$ ), which should generally lead to less distortion of the FOV (Bergman et 569 al., 2020b). Interestingly, Bergman et al. (2021) found similar "burst" and "band" fea-570 tures in the ion data as we present here, but with the bursts flowing radially outward 571 from the nucleus (with an antisunward component) while the band was predominantly 572 streaming back towards the comet. Thus, quite the opposite configuration to what we 573 observe in this study, further away from the diamagnetic cavity. The amount of ions flow-574 ing radially outward was surprisingly small, indicating again that the bulk of cometary 575 ions was not observed by ICA, likely due to FOV effects. In fact, PIC simulations showed 576 that strictly radially outflowing ions would be deflected in elevation, indeed ending up 577 outside the instrument FOV for these 2D measurements, at least for ion energies as low 578

as 5–10 eV and a spacecraft potential as negative as -20 V (Bergman et al., 2021, Fig. 9).

We propose that the predominantly cometward-flowing ions sometimes observed 581 in Section 3 are the pick-up ions created further out and accelerated back towards the 582 comet. As described above, at this stage of the mission they are typically deflected away 583 from the anti-sunward direction in the direction opposite to the solar wind electric field, 584 which is perpendicular to the magnetic field in the terminator plane. This would thus 585 produce a cross-field drift of these ions. (A graphical illustration of this configuration 586 is shown and further elaborated on below.) Depending on the direction of the solar wind 587 electric field in the terminator plane, this could have a component of the motion either 588 towards or away from the comet. In the former case, they could be observed as the cometward-589 flowing ions in Section 3, at times when the solar wind electric field is close enough to 590 the instrument aperture plane to allow them to be detected at all in the high time res-591 olution mode. In the latter case, they would likely be intermixed with the out-flowing 592 cometary ions in Section 3, again requiring favourable solar wind electric field direction 593 to enter the instrument close enough to the aperture plane. 594

An illustration of the electric and magnetic fields, and the resulting ion flows, is 595 shown in Figure 6. The coordinate system here has the x-axis pointing towards the Sun 596 and the z-axis aligned with the ICA symmetry axis. For the time interval shown in Fig-597 ure 1 the location and attitude of the spacecraft combine so as to very nearly align the 598 ICA symmetry axis with the Z axis in the CSEQ coordinate system. The coordinate sys-599 tem in Figure 6 may therefore just as well be the CSEQ system in this case. We have 600 put the ICA sector plane precisely in the terminator plane in Figure 6; for the time in-601 terval shown in Figure 1 the spacecraft is actually located about  $30^{\circ}$  out of this plane, 602 in the direction towards the Sun. This is why the comet and Sun directions in Figure 603 1 are not exactly  $90^{\circ}$  apart in ICA's FOV, as they would be if exactly in the termina-604 tor plane. This should not affect the qualitative reasoning developed here. The blue ar-605 row indicates the total magnetic field vector  $\boldsymbol{B}$  and the green arrow its projection onto the terminator plane  $B_{\rm p}$ . The cyan arrow indicates the presumed direction of the up-607 stream solar wind electric field  $E_{\rm SW}$ , as estimated from the cross-product  $\hat{\boldsymbol{x}} \times \boldsymbol{B}_{\rm p}$  (Edberg 608 et al., 2019). The ambipolar electric field ( $E_{amb}$ , black arrow) is directed radially out-609 ward from the comet nucleus and there is also an anti-sunward polarization electric field 610  $(E_{\rm pol}, {\rm red arrow, c.f. Nilsson et al., 2018})$ . Dashed lines are used to indicate projections 611 of various vectors onto different planes, to give a better 3D perspective and sense of depth 612 in the figure. Locally produced cometary ions (magenta arrow), born inward of the space-613 craft position w.r.t. comet, have initial velocities in the radial direction and are further 614 accelerated along this direction by the ambipolar electric field, but also attain an anti-615 sunward component due to the polarization electric field. They also traverse a signifi-616 cant perpendicular magnetic field component, which may deflect them out of the ICA 617 aperture plane ( $E_{\rm SW}$  should not affect these ions since that field is not actually present 618 in the inner coma, but affect pick-up ions further upstream). In Figure 6, this is illus-619 trated by the magenta arrow bending out below the x-y plane. (The dashed magenta line 620 shows the projection of the magenta arrow onto the x-y plane.) The pick-up ions (yel-621 low arrow) travel anti-sunward, with a transverse component determined primarily by 622 upstream solar wind conditions, presumably opposite to the solar wind electric field, which 623 can introduce a component of the motion out of the ICA detector plane, and also a trans-624 verse component in the terminator plane. Whether this results in inward or outward mo-625 tion w.r.t. the comet depends on the direction of  $E_{\rm SW}$  and the clock angle of the space-626 craft position. For the specific configuration in Figure 6, an  $E_{\rm SW}$  component in the pos-627 itive Y direction would result in inward-streaming ions, while  $\hat{y} \cdot E_{SW} < 0$  would give 628 outward-flowing ions. 629

We have thus identified two different cometary ion populations in the inner coma, a bulk population of locally produced, predominantly radially outflowing ions and a more



Figure 6. Schematic illustration of electric fields and ion flows in the inner coma. See text for description.

tenuous population picked up further upstream and accelerated back towards the comet 632 by the solar wind electric field. These two populations exhibit strong relative drifts, with 633 at least part of the relative motion across the magnetic field, and should be favorable 634 for wave generation through the ion-ion cross-field instability. This instability is further 635 investigated below. While some tendency towards clearer spectral peaks coincident with 636 observations of the accelerated ions may perhaps be glanced from the results in Section 637 3, these two populations should in principle exist all the time in the inner coma, since 638 there is no way to turn off the processes by which they are produced (unless some as yet 639 unknown dynamical processes in the upstream plasma at times preclude the pick-up ions 640 from entering the innermost region close to the nucleus). We therefore suggest that their 641 intermittent appearance in ICA high time resolution spectra above is due to them be-642 ing out of the instrument FOV a large part of the time. They may therefore be of in-643 terminable importance for wave growth in this plasma environment. 644

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# 4.2 The ion-ion cross-field instability

We will restrict our analysis to the electrostatic case here; a more complete electromagnetic treatment is deferred to future work. We consider a plasma with two unmagnetized ion populations and a strongly magnetized electron population ( $\omega \ll \omega_{ce}$ ). For dilute beams, we can neglect the electron velocity shift caused by them (Treumann & Baumjohann, 1997, Chapter 4.2). The dispersion relation then becomes:

$$0 = 1 - \frac{\omega_{\rm pic}^2}{k^2 v_{\rm ic}^2} Z' \left( \frac{\omega - \boldsymbol{k} \cdot \boldsymbol{V}_{\rm ic}}{k v_{\rm ic}} \right) - \frac{\omega_{\rm pih}^2}{k^2 v_{\rm ih}^2} Z' \left( \frac{\omega - \boldsymbol{k} \cdot \boldsymbol{V}_{\rm ih}}{k v_{\rm ih}} \right) + \frac{\omega_{\rm pe}^2}{\omega_{\rm ce}^2} \frac{1}{b_{\rm e}} \left( 1 + \frac{\omega}{k_{\parallel} v_{\parallel e}} Z \left( \frac{\omega}{k_{\parallel} v_{\parallel e}} \right) \exp(-b_{\rm e}) I_0(b_{\rm e}) \right)$$
(1)

Here,  $\omega_{\text{pic}}$ ,  $\omega_{\text{pih}}$  and  $\omega_{\text{pe}}$  are the cold ion ("core"), hot ion ("beam") and electron plasma frequencies, respectively.  $v_{\text{ic}}$ ,  $v_{\text{ih}}$  and  $v_{\parallel e}$  are the thermal speeds, and  $V_{\text{ic}}$  and  $V_{\text{ih}}$  are the drift velocities of the ion core and beam. We also have  $b_{\text{e}} = k_{\perp}^2 v_{\perp e}^2 / 2\omega_{\text{ce}}^2$ , where  $\omega_{\text{ce}}$  is the electron gyro-radius.  $\perp$  and  $\parallel$  refer to perpendicular and parallel to the magnetic field; we assume isotropic electron temperatures here, so  $v_{\perp e} = v_{\parallel e}$ .  $I_0$  is the modified Bessel function of the first kind of order zero, and Z is the plasma dispersion function (Fried & Conte, 1961).

The last term in Equation 1 is the electron susceptibility  $\chi_{e}$ . We have ignored terms of order greater than zero in the generally infinite sum over modified Bessel functions of increasing order that generally occur in this term. It is often further approximated for perpendicular propagation  $(k_{\parallel} \rightarrow 0)$  by using the large-argument asymptotic expansion of Z (e.g. Swanson, 2003, Appendix B) to first order, giving

$$\chi_{\rm e} \approx \frac{\omega_{\rm pe}^2}{\omega_{\rm ce}^2} \frac{1 - \exp(-b_{\rm e})I_0(b_{\rm e})}{b_{\rm e}} \quad . \tag{2}$$

In this approximation, the wave phase velocity along the magnetic field greatly exceeds the electron thermal velocity,  $\omega/k_{\parallel} \gg v_{\parallel e}$ , and electrons cannot cancel charge separations in the wave electric field by flowing along the magnetic field lines. They also cannot transfer heat, so this approximation is sometimes referred to as adiabatic. With this approximation, Equation (1) reproduces Equation (5) of Graham et al. (2017).

We solve Equation (1) numerically using the MATLAB routine fsolve (MATLAB, 668 2021). The plasma dispersion function Z is evaluated numerically using a routine pro-669 vided by Abrarov (2016). fsolve uses an iterative optimisation algorithm, minimizing 670 the sum of squares of the components of the objective function (in our case, simply the 671 real and imaginary parts of the right side of Equation(1)). Equation(1) has more than 672 one solution; convergence to the branch of physical interest requires appropriate start-673 ing points. We start with the case of exactly perpendicular propagation  $\psi = 90^{\circ}$ , us-674 ing Equation (2) for the electron susceptibility. For the parameters at hand, we take as 675

starting point  $\omega_0 = 0.01$  at  $k = 0.01/\rho_e$ , where  $\rho_e$  is the electron gyroradius. We then 676 use the result at each k as starting point for the next k, successively increasing k with 677 steps of size  $0.01/\rho_{\rm e}$  to a maximum of  $k = 2/\rho_{\rm e}$ . We then decrease the propagation an-678 gle slightly, first to  $\psi = \arccos 10^{-6} \approx (90 - 5.73 \cdot 10^{-6})^{\circ}$ , then gradually down to 679  $89.42^{\circ}$ , and use the full electron susceptibility in Equation (1), stepping through the same 680 k values as before. At each k, we also test as starting point the previously computed so-681 lution at this k for the previous value of  $\psi$ . When the resulting solutions are different, 682 we choose the solution whose value differs least from the value obtained at the previous 683 k value. This has been found to work well to keep the solver on the dispersion surface 684 of interest, when this comes close to or crosses other dispersion surfaces. 685

We choose as a reference case a plasma with a total density of  $100 \text{ cm}^{-3}$ , beam den-686 sity, drift and thermal speeds of  $10 \text{ cm}^{-3}$ , 20 km/s and 3.5 km/s, respectively, and core 687 drift and thermal speeds of -5 km/s and 1 km/s, respectively, where the negative sign 688 indicates opposite direction to the beam. This corresponds well to the typical param-689 eters we observed in Section 3. The magnetic field is set at 40 nT (although the disper-690 sion relation is independent of magnetic field strength when the frequency and wave num-691 ber are normalized to  $\omega_{\rm LH}$  and  $\rho_{\rm e}$ , respectively, as long as the magnetic field doesn't van-692 ish completely). We assume an ion mass of 18 u and a single electron population with 693 a temperature of 10 eV. 694

The resulting dispersion surface is shown in Figure 7a, with the real part of the fre-695 quency on the Z axis. The surface coloring indicates the growth rate (imaginary part of 696 the frequency) and we have also added corresponding contour plots of the growth rate 697 on top of the dispersion surface. The maximum growth rate is just above  $0.25\omega_{\rm LH}$  and 698 occurs for an angle of 89.86°, although almost the same growth rate is obtained also at 699 exactly perpendicular propagation. The growth rate is high also for frequencies up to 700 about  $2\omega_{\rm LH}$ , so this covers well the range in which we typically observe elevated spec-701 tral densities in the electric field data, albeit that the exact frequency of maximum growth 702 might be somewhat lower than where spectral peaks and plateaus tend to occur. For wave 703 numbers  $k\rho_{\rm e} \gtrsim 0.86$  the dispersion surface changes abruptly at an angle of 89.76°, tak-704 ing on a more ion-acoustic-like character. (This is a consequence of the wave phase ve-705 locity along the magnetic field being reduced to values comparable to the electron ther-706 mal velocity, so that the electrons can effectively cancel the wave electric field by flow-707 ing along the field lines, in contrast to the adiabatic approximation described above.) 708 This change is discontinuous in real frequency, but continuous in the imaginary part. The 709 contour level 0 delineates the domain of wave growth. There are unstable waves down 710 to an angle of 89.44°. For lower angles, electron Landau damping prevails and the in-711 stability is suppressed. Wave growth is predominantly expected in the direction of the 712 free energy, so in the direction of relative beam/core drift. We note that in Equation (1) 713 it is the longitudinal components of the drift velocities that appear in the ion terms, so 714 it is the drift velocity components perpendicular to the magnetic field direction that mat-715 ter for this instability. 716

In Figures 7b–f we vary the plasma parameters from the reference case, fixing the 717 angle of propagation to the angle of maximum growth for the reference case  $(89.86^{\circ})$ . 718 Here, the solid and dashed curves are the real and imaginary parts of the frequency, re-719 spectively, and the color of each curve gives the corresponding value of the varied pa-720 rameter. The beam density (b) is gradually decreased towards zero, maintaining con-721 stant total density. The growth rate remains significant  $(\geq 0.1\omega_{\rm LH})$  down to a beam den-722 sity of about  $1 \text{ cm}^{-3}$ , but vanishes as the beam density is further reduced down to zero. 723 The beam thermal velocity (c) is gradually increased, resulting in a decreasing growth 724 rate. The instability vanishes when the thermal velocity approaches the beam drift ve-725 locity (20 km/s), thus a requirement for instability is that the cross-streaming ion beam 726 is supersonic. This is similar to predictions from theoretical calculations on symmetric 727 counter-streaming beams made by e.g. Davidson (1983, Chapter 3.3.7). We note that 728



Figure 7. Numerical solutions of electrostatic dispersion relation for the ion-ion cross-field instability. a) Dispersion surface for the ion-ion cross-field instability, with contour lines indicating growth rate. b–f) Dispersion curves for varying plasma parameters for the angle of propagation of maximum growth in panel a) (89.86°). Solid and dashed lines are real and imaginary parts of the frequency, respectively.

the beams observed in Figures 2-3 and 5 are indeed supersonic. We similarly vary the 729 thermal velocity of the ion core (d), up to twice its drift velocity. This has virtually no 730 effect on the growing waves (although the change to ion acoustic character for larger k731 creeps up in angle, so that for thermal velocities  $\gtrsim 8$  km/s it occurs already at angles  $\psi \gtrsim$ 732  $89.86^{\circ}$ ). We vary the amount of cold (0.1 eV) electrons (e) in the plasma from zero up 733 to the total density. This also has virtually no effect on the growing waves at this an-734 gle (although some changes can be seen for k values beyond the domain of wave growth). 735 Finally, we vary the drift velocity of the core ions (f). In the reference case, this was -5 km/s, 736 the sign indicating opposite direction to the beam. We vary this up to a maximum of 737 +5 km/s, indicating a bulk flow in the same direction as the beam (but slower). The growth 738 rate is not much affected by this, indicating that the direction of the beam with respect 739 to the core drift is not important for the instability. At +5 km/s, the relative drift speed 740 of the two ion populations is also effectively reduced by 10 km/s; a change of this mag-741 nitude appears not to have much of an impact on the instability either. The one thing 742 that does change is the frequency of maximum growth, which increases to values between 743 about  $(1-1.5)\omega_{\rm LH}$  for positive core drift velocities. This is in fact closer to where spec-744 tral peaks and plateaus tend to occur in the observed electric field spectra. Overall, this 745 instability produces significant wave growth for a wide range of parameters beyond our 746 reference case, so it remains a good candidate for generation of lower hybrid waves also 747 in the face of possibly large measurement errors and varying plasma conditions. 748

The electrostatic treatment presented here is valid in the limit  $\beta_{\rm e} \ll 1$ . The es-749 timated  $\beta_{\rm e}$  for the examples shown in Figures 2–3 and 5 range from values around 0.2 750 to above 1, thus electromagnetic effects on the waves may be non-negligible. Wu et al. 751 (1983) investigated such effects for overdense plasmas ( $\omega_{\rm pe} \gg \omega_{\rm ce}$ ), such as we have here, 752 finding that they generally had a stabilizing effect for large angles of propagation, close 753 to perpendicular to the background magnetic field, but destabilizing for lower angles. The 754 instability was thus not suppressed, but rather the propagation direction of the most un-755 stable waves changed. Only for cross-field drift velocities  $V_{\rm ih}$  significantly higher than 756 the Alfvén velocity  $v_{\rm A}$  was the instability suppressed by electromagnetic effects. Thresh-757 old values of  $V_{\rm ih}/v_{\rm A}$  for stability was above 2 for the largest angles of propagation, in-758 creasing rapidly to above 10 for smaller angles (Wu et al., 1983, Fig. 8). For the exam-759 ples shown in Figures 2–3 and 5, the cross-field drift velocity  $\langle v_i \rangle$  is often very close 760 to  $v_{\rm A}$ , and consistently stays below  $2v_{\rm A}$ , so electromagnetic effects due to finite  $\beta_{\rm e}$  should 761 not prevent the growth of this instability. 762

#### <sup>763</sup> 5 Summary and conclusions

Electric field measurements from cometary environments are very rare, but can pro-764 vide important information on how plasma waves help fashion the plasma environment. 765 The largest set of such measurements to date was obtained by the Langmuir probe in-766 strument onboard ESA's Rosetta spacecraft, which followed the comet 67P/Churyumov-767 Gerasimenko in its orbit around the sun for over two years in 2014–2016. Here, electric 768 field oscillations close to the local  $H_2O^+$  lower hybrid frequency were common, and the 769 largest amplitudes were sometimes found at or near pronounced plasma density gradi-770 ents. The lower hybrid drift instability (LHDI) was thus proposed as the generation mech-771 anism for these waves (Karlsson et al., 2017; André et al., 2017). However, the associ-772 ation to density gradients is not ubiquitous and other instabilities are likely contribut-773 ing as well to cause the observed wave activity. In this study, we expand and build upon 774 the previous results by considering another possible source of wave growth in the lower 775 hybrid frequency range in the inner plasma environment of 67P; an instability due to op-776 posite flows of streaming ions across the magnetic field. 777

Several previous works have shown the existence of multiple populations of cometary
ions in the inner coma of 67P, distinguished by differences in energy and/or flow direction (e.g. Berčič et al., 2018; Nilsson et al., 2020). We have identified two distinct cometary

ion populations in the inner coma, a bulk population of locally produced, predominantly
 radially outflowing ions, and a more tenuous population picked up further upstream and
 accelerated back towards the comet by the solar wind electric field. These two popula tions exhibit strong relative drifts, and we perform an electrostatic dispersion analysis
 showing that conditions should be favorable for wave generation through the ion-ion cross field instability.

The two ion populations should in principle exist all the time in the inner coma, since there is no way to turn off the processes by which they are produced (unless some as yet unknown dynamical processes in the upstream plasma at times preclude the pickup ions from entering the innermost region close to the nucleus). They may therefore be of interminable importance for wave growth in the inner cometary plasma environment.

# 793 6 Open Research

The data used in this paper is available on the ESA Planetary Science Archive (Eriksson et al., 2020; Richter et al., 2019; Nilsson, 2021; Henri et al., 2019).

#### 796 Acknowledgments

Rosetta was a European Space Agency (ESA) mission with contributions from its
member states and the National Aeronautics and Space Administration (NASA). The
work on RPC-LAP and RPC-ICA data was funded by the Swedish National Space Agency
(SNSA) under contracts 109/12 and 108/12, the work by E. Odelstad additionally under contracts 91/17, 127/17, and Vetenskapsrådet contract 2017-0380, and S. Bergman
and G. Stenberg Wieser through SNSA grants 130/16 and 96/15.

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