

# Ocean Heat Content responses to changing Anthropogenic Aerosol Forcing Strength: regional and multi-decadal variability

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## Abstract

The causes of decadal variations in global warming are poorly understood, however it is widely understood that variations in ocean heat content are linked with variations in surface warming. To investigate the forced response of ocean heat content (OHC) to anthropogenic aerosols (AA), we use an ensemble of historical simulations, which were carried out using a range of anthropogenic aerosol forcing magnitudes in a CMIP6-era global circulation model. We find that the centennial scale linear trends in historical ocean heat content are significantly sensitive to AA forcing magnitude ( $-3.0 \pm 0.1 \times 10^5 \text{ J m}^{-3} \text{ century}^{-1} / \text{W m}^{-2}$ ,  $R^2=0.99$ ), but interannual to multi-decadal variability in global ocean heat content appear largely independent of AA forcing magnitude. Comparison with observations find consistencies in different depth ranges and at different time scales with all but the strongest aerosol forcing magnitude, at least partly due to limited observational accuracy. We find broad negative sensitivity of ocean heat content to increased aerosol forcing magnitude across much of the tropics and sub-tropics. The polar regions and North Atlantic show the strongest heat content trends, and also show the strongest dependence on aerosol forcing magnitude. However, the ocean heat content response to increasing aerosol forcing magnitude in the North Atlantic and Southern Ocean is either dominated by internal variability, or strongly state dependent, showing different behaviour in different time periods. Our results suggest the response to aerosols in these regions is a complex combination of influences from ocean transport, atmospheric forcings, and sea ice responses.

**Table 2.** Linear correlations between changes in volume-scaled Ocean Heat Content 20-year means, from 1850-1870 to 1995-2015, and present day aerosol forcing magnitude, split by depth range and basin.  $R^2$  indicates the square of the Pearson correlation coefficient, with bold indicating statistical significance at the 99% level. Slope indicates the slope of the linear fit, in units of  $10^5 \text{J/m}^3/\text{century}/(\text{W m}^{-2})$ .

		Global	Atlantic	Pacific	Southern	Indian
Full Depth	$R^2$	0.99	0.94	0.98	0.96	0.95
	Slope	: :	: :	: :	: :	: :
0-300m	$R^2$	0.98	0.99	0.96	0.86	0.96
	Slope	: :	: :	: :	: :	: :
300-700m	$R^2$	0.97	0.97	0.94	0.87	0.87
	Slope	: :	: :	: :	: :	: :
700-2000m	$R^2$	0.94	0.71	0.95	0.70	0.86
	Slope	: :	: :	: :	: :	: :
2km+	$R^2$	0.04	0.69	0.45	0.74	0.27
	Slope	: :	: :	: :	: :	: :

individual basins and depth ranges indicate the relative importance of non-linear impacts and feedbacks on other forcings on OHC and transport for these sub-domains.

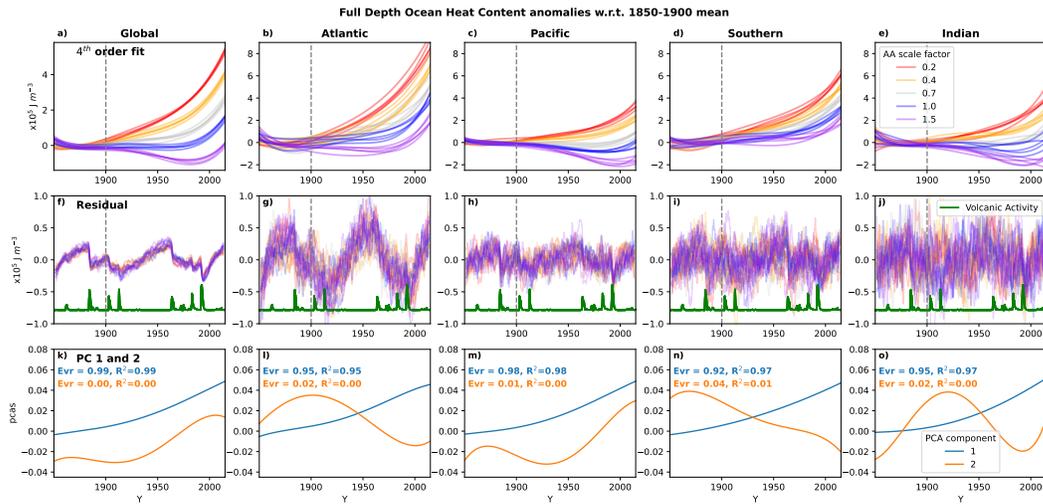
The strength of the relationship (indicated by the slope of the linear fit) is strongest for the Atlantic at all depths. In the deep ocean (2km+), the Atlantic and Southern OHC fits are similar in strength but opposite in sign – the 2km+ Atlantic OHC is the only volume to show a *positive* relationship between OHC and AA 2014 ERF magnitude, indicating increased AA forcing increases deep Atlantic OHC. This is linked with changes in the Atlantic Meridional Overturning Circulation (AMOC), as discussed in section 3.2.3. The Global deep ocean shows no significant linear relation with AA 2014 ERF on a centennial timescale, due to the Southern OHC, and, to a lesser extent, the Indian and Pacific OHC, which have *negative* linear relations with AA 2014 ERF magnitude, acting in combination to offset the Atlantic relation of the opposite sign.

While the linear fits show that the magnitude of trends in OHC on centennial scales are sensitive to aerosol forcing magnitude, there is considerable non-linear behaviour in many basins and at many depth ranges at decadal scales (figure 1). This is investigated further in the following section.

### 3.1.2 Centennial scale changes: non-linear analysis

In order to assess the sensitivity of multi-decadal variability in OHC to AA forcing magnitude, we fit a polynomial to the full-depth global and basin-wise OHC anomaly time series (figures 1a-e). The degree of polynomial was chosen by experimenting with different degrees and choosing the lowest order fit (to favour simplicity and avoid overfit) that provided a relatively small residual (at least one order of magnitude smaller than the fit). A fourth order polynomial fits these criteria well for global OHC and basin-wise OHCs (figures 2a-e), with multi-decadal variability captured by the polynomial fits and residuals an order of magnitude smaller (figures 2f-k).

To determine the dependence of the multi-decadal variability on AA forcing magnitude, we perform a principal component analysis over the multi-ensemble dimension



**Figure 2.** Multi-decadal linear trends are sensitive to AA factor, but annual to decadal variability is largely independent of AA forcing magnitude. Panels a-e show 4th order polynomial fits to ocean heat content anomalies w.r.t. 1850-1900 ensemble means, globally and by basin (figures 1a-e), for all ensemble members. Colours indicate the AA forcing factor (see legend). Panels f-j show the residual ocean heat content, calculated by subtracting the 4th order fits from the same ocean heat content anomalies, and smoothed with an 18 month low-pass Butterworth filter. The green line is a proxy for volcanic forcing, which is the same for all ensemble members, see text for details. Panels k-o show the form of the first and second principal components of the 4th order fits in a-e. The explained variance (Evr) and correlations of the PC weights with AA ERF ( $R^2$ ) are shown in each panel.

241 of the 25 polynomial time series shown in figures 2a-e. The form of the first and second  
 242 principal components for the global and basin-wise polynomial fits are shown in figures 2k-  
 243 o. The analysis indicates differences in multi-decadal linear trends between ensemble mem-  
 244 bers is driven almost entirely by differences in aerosol forcing magnitude: The first PCs  
 245 (blue lines) take the form of multi-decadal linear trends, explain 99% of the global vari-  
 246 ance (and at least 92% in the basins), and the weights of the first PCs are extremely sig-  
 247 nificantly correlated with AA forcing magnitude for all basins and globally ( $R > 0.9$ ).  
 248 Indeed the form of the first PC resembles the form of the effective radiative forcing time  
 249 series, which shows an increasing positive trend towards the end of the time period (Dittus  
 250 et al., 2020). The ERF time series includes all forcings, with the prominent increase in  
 251 the ERF time series primarily due to GHGs. The overall shape of PC1 mainly reflects  
 252 the impact of GHG forcing, while the fact that the weights are highly correlated with  
 253 the AA ERF indicates that the time series is modulated by AA.

254 Differences in multi-decadal non-linear variability, represented by the second PC  
 255 (orange lines in figures 2k-o), are responsible for very little of the differences between the  
 256 OHC time series, explaining maximum 4% of the variance, and the weights of the sec-  
 257 ond PC are not significantly correlated with AA forcing magnitude ( $R < 0.1$ ). This in-  
 258 dicates that AA forcing magnitude does not drive differences in multi-decadal non-linear  
 259 variability in large scale OHC, and therefore differences in multi-decadal non-linear vari-  
 260 ability are driven by other forcing factors (kept constant in these ensembles).

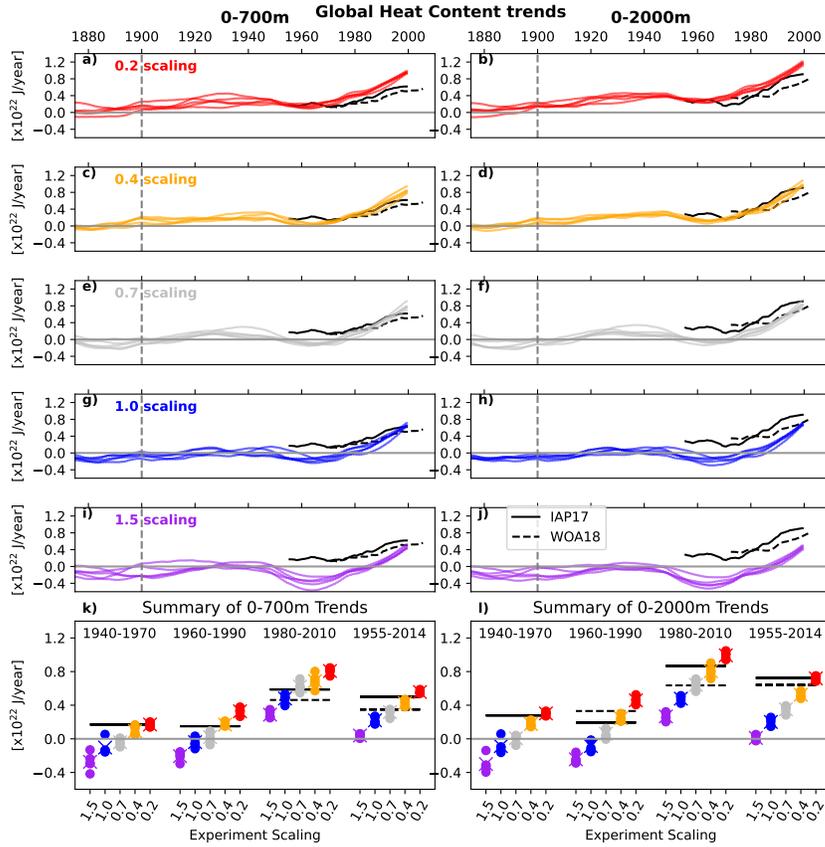
261 Differences across the scaling ensembles in the residuals (figures 2f-k) are small, in-  
 262 dicated that sub-decadal OHC variability (as defined here by the residuals of the 4  
 263 order polynomial fit) is not primarily driven by differences in AA forcing magnitude in  
 264 this model. Small/some differences on these timescales may exist (e.g. following volcanic  
 265 eruptions) but are not investigated further here.

266 Sharp drops in residual OHC are associated with spikes in volcanic activity (figures 2, thick green lines), consistent with Church et al. (2005); Gleckler et al. (2006). Ad-  
 267 ditionally, there is a circa 70 year periodic feature in the global OHC residual, also ap-  
 268 parent in the Atlantic and Pacific OHCs to lesser degrees. These could be the result of  
 269 a fitting artefact, or indicative of residual modes of multi-decadal internal variability such  
 270 as the Atlantic Multidecadal Oscillation (AMO) (Deser et al., 2010) and/or the Inter-  
 271 decadal Pacific Oscillation (Parker et al., 2007), although it should be noted that Mann  
 272 et al. (2021) argue that the AMO is entirely driven by volcanic forcing and not internally  
 273 generated. The amplitude of the periodic feature is small compared with the multi-decadal  
 274 variability in the polynomial fits, so we have not investigated further.

276 Using a LOWESS fit instead as in Cheng et al. (2022, not shown) results in a smaller  
 277 magnitude residual and a different form of PC2, but does not change the form of PC1  
 278 or subsequent interpretation.

### 279 3.2 Ocean Heat Content Trends

280 Time series of Ocean Heat Content trends were calculated from the un-scaled global  
 281 OHC as defined in equation 1 (without the factor  $\sigma$  to allow for easier comparison with  
 282 observations) as follows: At each time series point, a centred 30 year linear regression  
 283 was calculated. For the model OHC, we used the `linregress` function from the `scipy` python  
 284 library (Virtanen et al., 2020). For the observed OHC, we used the `ols` function from  
 285 the `statsmodels` python library (Seabold & Perktold, 2010), with the weights  $w_i = \frac{1}{E_i}$  where  
 286  $E_i$  is the standard error provided with the observations. Both functions provided a stan-  
 287 dard error in the linear slope, as well as the slope itself.



**Figure 3.** Observations of OHC trends are inconsistent with the 1.5 forcing factor for the period 1980-2010, and with both 1.0 and 1.5 for the periods 1940-1970 and 1960-1990. Panels a-j show OHC trends over time, by ensemble member (colour) and depth range 0-700m (a,c,e,g,i) or 0-2000m (b,d,f,h,j). The black solid lines are derived from ocean heat content observations from IAP17, the black dotted lines from WOA18. Panels k and l summarise the upper panels by taking data points from three, 30-year periods (1940-1970, 1960-1990, 1980-2010), as well as for one 70-year period covering 1955-2014. Coloured dots indicate ensemble members, coloured crosses ensemble means, and black lines observations as before.

### 3.2.1 Ocean Heat Content trends vs observations

In order to compare our modelled OHC with those from observations (WOA18 and IAP17, see section 2 for details), we calculated OHC trends for 0-700m and 0-2000m. Absolute values of simulated OHC are less likely to match observations, and the uncertainty in observations increases at earlier times due to measurement sparsity, whereas trends have relatively lower uncertainty, even when taking the uncertainty at individual times into account. The standard error provided with the observational datasets do not take into account all sources of uncertainty (Wang et al., 2018; Carton & Santorelli, 2008), and so we show two different products to indicate the magnitude of additional uncertainty.

The time series of simulated OHC trends (coloured lines, figures 3a-j) and observed trends (black solid and dashed lines, figures 3a-j) have standard errors one or two orders of magnitude (respectively) smaller than the OHC trends themselves, and so are not shown.

Both 0-700m and 0-2000m simulated OHC trends drop to a local minimum at around 1965 (representing the trend for 1950-1981), even becoming negative for the larger forcing factors, indicating ocean heat loss from these depth ranges to either the atmosphere or greater depths. This corresponds to the period with the greatest increase in aerosols (Dittus et al., 2020). From 1965 on, OHC trends for both depth ranges increase for all forcing factors, peaking at the end of the simulation. This is consistent with the form of the GMST time series in Dittus et al. (2020), which show faster than observed warming from 1990 onwards. Dittus et al. (2020) suggest this could indicate a possible warm bias in the transient climate response (TCR) of the model.

The observational OHC trends vary less than the simulated OHC trends: both are relatively flat until around 1970-1990, when they begin to increase, with the IAP17 dataset beginning to rise before the WOA18 dataset in both depth ranges (figures 3a-j). The 0.4 and 0.7 scaling trends show the most similarity to one or other of the observations for many decades in both depth ranges. The 0.2 and 1.0 scaling trends show some similarity at the start or ends of the observational time series. The 1.5 scaling trends are the only to not match observations in any time period or depth range. This is summarised in figures 3k and l, which show the linear trends for 1940-1970, 1960-1990, 1980-2010, and a single 70-year trend for 1955-2014.

Overall, the observations imply a scaling of 0.2-0.7 for the periods 1940-1980. From 1980 onwards, the 0-700m observations imply a scaling of 0.4-1.0, and the 0-2000m observations imply a scaling of 0.2-0.7. This is consistent with the results of Dittus et al. (2020), who also found the 0.4 and 0.7 scaling simulations match observations of global mean surface temperature, even when accounting for the warm bias in the model's TCR.

Anthropogenic aerosols are not evenly distributed around the planet (Stern, 2006), thus changes in the forcing factor will amplify/dampen regional differences and the resultant impacts on OHC. To further investigate the regional sensitivity of ocean heat content to aerosol forcing magnitude, we look at spatial patterns in both latitude/longitude (section 3.2.2) and latitude/depth (section 3.2.3).

### 3.2.2 Depth-integrated Ocean Heat Content trends

To investigate the spatial distribution of OHC trends in the SMURPHS ensemble, we calculate the depth-integrated OHC as follows:

$$\overline{\Delta T}_{xy} = \frac{1}{\rho_0 c_p} \int_0^{\infty} \Delta T_{xy} dz; \quad (2)$$

where  $\int dz$  indicates an integral over the full depth of the model.

**Figure 4.** Spatial patterns of depth-integrated OHC trends vary by ensemble member, by AA forcing factor, and time period. Colours indicate the ensemble mean depth-integrated OHC for each forcing factor for (a) 1960-1991 and (b) 1980-2011. Grey contours indicate the ensemble standard deviation, at 2, 4 and 6  $\times 10^2 \text{m}^2/\text{year}$ .

Figure 5. Patterns of zonally-integrated OHC vary by ensemble member, by AA forcing factor, and time period: Colours indicate the ensemble mean  $OHC_{yz}$  trends for each forcing factor for (a) 1960-1991 and (b) 1980-2011. Grey contours indicate the ensemble standard deviations at  $2, 3.5,$  and  $5 \times 10^{11} \text{ J/m}^2/\text{year}$  (a) and  $2, 4,$  and  $6 \times 10^{11} \text{ J/m}^2/\text{year}$  (b). Note that depth intervals are not constant, and colour axis limits in b) are twice those in a).

**Figure 7.** The sensitivity of Ocean Heat Content trends to aerosol forcing magnitude varies with basin, depth, and time period: Colours indicate the regression of a) 1960-1991 or b) 1980-2011  $\Delta C_{yz}$  trends on 2014 AA ERF magnitude for all 25 ensemble members. Stippling indicates where the trend is statistically significant using the student T-test. Note that depth intervals are not constant.

502 in the Atlantic), and highly variable (figure 6), all likely due the additional impacts of  
 503 heat convergence/divergence. The increased vertical transport in these regions leads to  
 504 a stronger impact of aerosol forcing changes at depths (figure 7).

505 Thus, while we expect the impact of aerosols on ocean heat content to be non-uniform  
 506 spatially, we might expect that the impact is similar at different times. In fact, we find  
 507 that the regional impacts can vary significantly with time period. We focus in this pa-  
 508 per on two 30-year periods near the end of the historical simulation (1960-1991, 1980-  
 509 2011), both because they are the time periods with most observations and because the  
 510 model behaviour is different in both periods - 1980-2011 sees a strong acceleration of global  
 511 warming with subsequent impacts on cryosphere (Dittus et al., 2020; Andrews et al., 2020),  
 512 shows a reversal in the trend in Atlantic Multidecadal Variability (AMV) (see Andrews  
 513 et al. (2020) and figure S8), an increase in the trend of equivalent radiative forcing from  
 514 all sources (Dittus et al., 2020), although there are significant regional variations (Dittus  
 515 et al., 2022) and the overall AA forcing is stable in this period. Indeed, Andrews et al.  
 516 (2020) link the change in AMV (and AMOC) trend sign in the standard historical forc-  
 517 ing simulation (our 1.0 forcing case) with regional variations in aerosol forcing. We now  
 518 discuss the differences between the two forcing periods and how they compare with lit-  
 519 erature for each basin in turn, with the Indian and Pacific basins discussed together.

#### 520 *4.2.1 Atlantic*

521 Atlantic OHC shows the strongest relative response to increased AA ERF in both  
 522 time periods by all measures presented - on a centennial, basin-integrated scale (table 2),  
 523 and on a multi-decadal scale in both depth-integrated (figure 6) and zonally-integrated  
 524 (figure 7) trends.

525 We find that the strength of the AMOC is dependent on aerosol ERF strength (fig-  
 526 ure S8). Additionally, there is a significant relationship between the centennial trend in  
 527 AMOC strength and AA ERF (not shown), consistent with the results of Collier et al.  
 528 (2013); Cai et al. (2006); Shi et al. (2018); Irving et al. (2019); Menary et al. (2020); Rob-  
 529 son et al. (2022). However, there is not a clear link on shorter timescales - the links be-  
 530 tween the thirty-year trends in AMOC and AA ERF strength are not significant for 1960-  
 531 1991 and of opposite sign to 1980-2011 (see figures S8f,g). The statistical correlations  
 532 are also weak and of similar magnitude to internal variability, implying either multi-decadal  
 533 variability in AMOC strength is not strongly controlled by AAs or that the processes  
 534 are more complex than a correlation can represent. We hypothesise that AA regional trends  
 535 rather than absolute AA forcing strength could influence AMOC strength: we see a cen-  
 536 tennial scale strengthening in the AMOC alongside increasing AA forcing up until circa  
 537 1980, at which point regional decreases in AA across Europe and N America from 1980  
 538 onwards (strongest in the 1.5x scenario), alongside a sharp rise in GHGs, drive a decreas-  
 539 ing AMOC (strongest in the 1.5x scenario).

540 The strengthening of the AMOC with increased AA forcing is consistent with the  
 541 significant positive sensitivity of depth-integrated OHC in the Sub-Polar North Atlantic  
 542 to AA ERF magnitude in 1960-1991. A similar pattern is seen in the depth-integrated  
 543 temperature-trend response in Cai et al. (2006) (their figure 4), and the SST responses  
 544 in Collier et al. (2013); Shi et al. (2018); Robson et al. (2022). This pattern is consis-  
 545 tent with the increased convergence of heat in the region, which Shi et al. (2018); Rob-  
 546 son et al. (2022) find leads to increased heat loss to the atmosphere, decreasing upper  
 547 ocean stratification and further strengthening the AMOC. This feedback effect may ex-  
 548 plain the link between centennial AMOC trends and AA ERF magnitude.

549 During the period 1980-2011, we still see relatively strong cooling in the south At-  
 550 lantic in response to increased AA forcing magnitude, but we no longer see warming south  
 551 of Greenland (figure 6b). Instead, the regression in this region resembles the pattern of  
 552 OHC trends in the simulations (figure 4), with a 'warming hole' south of Greenland, linked

553 in Liu et al. (2020) to slowing of the AMOC, consistent with the weak dependence of  
 554 AMOC trend on AA forcing magnitude in this time period (figure S7g). We still see an  
 555 increase in warming at depth in the north Atlantic (figure 7b) but the upper ocean heat  
 556 content response to increased aerosols appears to be under the influence of multiple in-  
 557 teracting and possible competing processes - a strengthened but decreasing AMOC, a  
 558 strong slowing in the loss of Arctic sea ice (Dittus et al., 2020), and changes in NH aerosol  
 559 composition with North American and European emissions dropping against a background  
 560 of increasing Asian emissions (Dittus et al., 2022).

#### 561 4.2.2 Indo-Pacific

562 In the Pacific, Cai et al. (2006) find the inclusion of aerosols in historic gcm sim-  
 563 ulations induce a cross-equatorial overturning circulation, with northward transport at  
 564 the surface and southward transport down to circa 800m depth, inducing warming north  
 565 of the equator and cooling south of it. This resembles the pattern of OHC sensitivity to  
 566 aerosol forcing magnitude in 1960-1981, both depth-integrated (figure 6a) and zonally  
 567 integrated (figure 7c), although the latter is not statistically significant. In 1980-2011  
 568 the Pacific regression pattern is instead dominated by a PDO-like signal, linked by Dittus  
 569 et al. (2022) to a surface pressure response to increased aerosol forcing, possibly triggered  
 570 by a Rossby wave response to increased Asian aerosol emissions since the 1980s.

571 Sun et al. (2022) find that a weakened AMOC leads to compensating northward  
 572 transport in the Indo-Pacific basins, causing heat to be redistributed from the Atlantic  
 573 to the Indo-Pacific basins via the Southern Ocean, leading to sub-surface (200-400m) warm-  
 574 ing. The implied opposite effect due to the AMOC strengthening is consistent with the  
 575 sub-surface negative sensitivity in both the Pacific and Indian basins here.

576 Whilst the Pacific doesn't stand out when comparing the relative sensitivity of OHC  
 577 to AAs between basins, it is the largest basin and contributes the most in absolute terms  
 578 to the sensitivity of global OHC to AAs [not shown]. Thus, the Pacific's broad statis-  
 579 tically significant negative sensitivity to AAs (see figure 6) indicate it plays an impor-  
 580 tant role in the ocean's energy budget during the historical period.

#### 581 4.2.3 Southern Ocean

582 Whilst the Southern Ocean is far from the strongest aerosol forcing locations, it  
 583 is clear that its dominant role in ocean heat storage is significantly dependent on aerosol  
 584 forcing magnitude. Especially notable is during 1960-1991, when GHG forcing is rela-  
 585 tively weaker than in 1980-2011, the strongest aerosol forcings (1.0 and 1.5) lead to a cool-  
 586 ing in much of the upper 2000m in the Southern Ocean (see figure 5a).

587 The warming at depth to the north of the Southern Ocean in both time periods  
 588 in response to increased AA ERF magnitude is likely linked to the strengthening of the  
 589 global meridional overturning circulation, as indicated by the changes in the AMOC dis-  
 590 cussed above.

591 In the Southern Ocean in 1960-1991 (figure 7e), we see a cooling pattern that is  
 592 the opposite of the GHG-induced trends in Southern ocean heat storage (Liu et al., 2018;  
 593 Dias et al., 2020) - surface cooling north of 55S and a concentration of statistically sig-  
 594 nificant cooling at 40S-45S in the upper 1000m due to weakening of the overturning cir-  
 595 culation and a reduction in heat convergence. This is consistent with a negative SAM-  
 596 like pattern in the regression of SLP against aerosol forcing found in this time period in  
 597 Dittus et al. (2022) (although not statistically significant), implying decreasing zonal winds.  
 598 However, Steptoe et al. (2016) find a similar negative response in the SAM to AA changes  
 599 in CMIP5 models is not robust and model-dependent.

600 In 1980-2011, we instead see a concentration of surface cooling at 60S, and less rel-  
 601 ative cooling in the interior. This could be due to the contribution of warming at these  
 602 latitudes in the Indian sector (figure 6b). This may also be influenced by the positive  
 603 SAM-like response to aerosol forcing in this time period (Dittus et al., 2022), which acts  
 604 to increase the wind-induced overturning circulation, strengthening the GHG induced  
 605 effects and relatively warming the interior, although there is still a cooling effect of aerosol  
 606 forcing overall. Additionally, there is an increased loss of Antarctic sea ice extent in the  
 607 model in 1981-2011 in both summer and winter (see figure S9), which is slowed by in-  
 608 creased aerosol forcing when considering the ensemble means, although there is large in-  
 609 ternal variability. Increased sea ice cover is consistent with relative cooling at the sur-  
 610 face close to the continent, whilst the warming at depth below is consistent with the pro-  
 611 duction of cold, dense waters slowing (figure 7f).

## 612 5 Summary

613 Using a unique ensemble of 25 simulations of the historical climate with five dif-  
 614 ferent anthropogenic aerosol forcing time series, we have been able to determine the in-  
 615 fluence of aerosol forcing magnitude on ocean heat content in a CMIP6-era gcm. We find  
 616 that the 20<sup>th</sup> century volume-scaled global ocean heat uptake sensitivity to anthropogenic  
 617 aerosol forcing magnitude is:  $\alpha = 10^6 \text{ (J m}^{-3} \text{ century}^{-1}) / (\text{W m}^{-2})$  for the HadGEM3-  
 618 GC3.1-LL model. Centennial changes in the OHC of the major ocean basins and glob-  
 619 ally integrated depth ranges above 2000m also show significant linear dependence on AA  
 620 forcing magnitude ( $R^2 = 0.94$ ), indicating that the impact of non-linear effects and feed-  
 621 backs from other forcings are negligible. We find that aerosol forcing magnitude is re-  
 622 sponsible for changes in multi-decadal global ocean heat content linear trends at global  
 623 and basin-wide scales ( $R^2 = 0.7$ ), but that interannual to multi-decadal variability is  
 624 relatively insensitive to forcing magnitude.

625 Trends in 0-700m ocean heat content are most consistent with observations for the  
 626 0.4-1.0 scaling experiments, consistent with Dittus et al. (2020), who find the 0.4 and  
 627 0.7 scaling experiments most consistent with GMST observations. Trends in 0-2000m  
 628 ocean heat content are most consistent with observations for the 0.2-0.7 experiments. Both  
 629 results are consistent with Robson et al. (2022), who find that CMIP6 models with the  
 630 strongest aerosol forcings are inconsistent with observations.

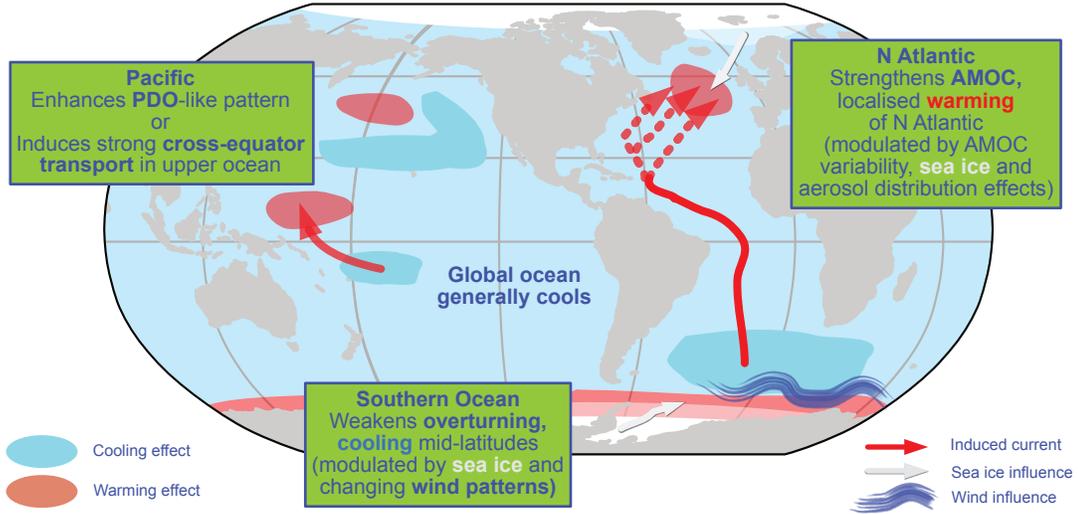
631 We find the responses to increased anthropogenic aerosol strength is significantly  
 632 dependent on region and time period. In general, the strongest responses are found in  
 633 the regions where there are the strongest trends in OHC, especially the North Atlantic  
 634 and Southern Oceans. The responses in these regions are summarised in figure 8, with  
 635 proposed mechanisms.

636 The difference in aerosol sensitivity in the different time periods implies a strong  
 637 state dependence of the aerosol impacts on OHC, such that the impact of aerosols forc-  
 638 ing changes on OHC is different depending on a combination of some or all of: the ocean,  
 639 atmosphere, and cryosphere state; the magnitude and distributions of other forcings (GHGs,  
 640 volcanic, natural aerosols); the magnitude of the aerosol forcing itself (the ERF is gen-  
 641 erally higher and increasing for all forcing factor experiments in 1980-2011 compared with  
 642 1960-1991, see Dittus et al. (2020) figure 1b).

643 Our results give, for the first time, a well-constrained estimate of the dependence  
 644 of historic global ocean heat uptake on aerosol forcing magnitude. Our results suggest  
 645 that ocean heat content could potentially be used to constrain the estimate of the true  
 646 aerosol forcing magnitude, but that accurate and sustained measurements would be re-  
 647 quired.

648 We find that there is significant regional and decadal variability in the sensitivity  
 649 of ocean heat content to aerosol forcing magnitude in regions of high ocean heat uptake.

**Impacts of strengthened anthropogenic aerosols on ocean heat content, and proposed mechanisms**



**Figure 8.** Schematic outlining the impacts of increasing anthropogenic aerosol forcing magnitude on historic ocean heat content in a CMIP6-era global climate model, with proposed mechanisms.

650 The uncertainty in aerosol forcing magnitude is not the dominant source of regional vari-  
 651 ability - instead the strong state dependence means that careful process based studies  
 652 are required to disentangle the various mechanisms at play that determine the overall  
 653 regional impact of aerosol forcing in different time periods.

654 **6 Appendix**

655 **Appendix A Drivers of Changes in Ocean Heat Content**

656 Ocean heat uptake (OHU) is defined as the globally integrated ocean temperature  
 657 trend, i.e. the trend in global OHC. In a climate at equilibrium, time-integrated OHU  
 658 would tend to zero. A non-zero time-integrated OHU is therefore due, at first order, to  
 659 changes in the major climate forcings - green-house gases (GHGs), anthropogenic aerosols  
 660 (AA), volcanic and other natural aerosols (NA) - as well as internal variability (IV) and  
 661 feedbacks proportional to atmospheric temperatures  $T$ :

$$\frac{d}{dt} \int_{\text{global}} \text{OHU} = f + g + h + \dots; \quad (\text{A1})$$

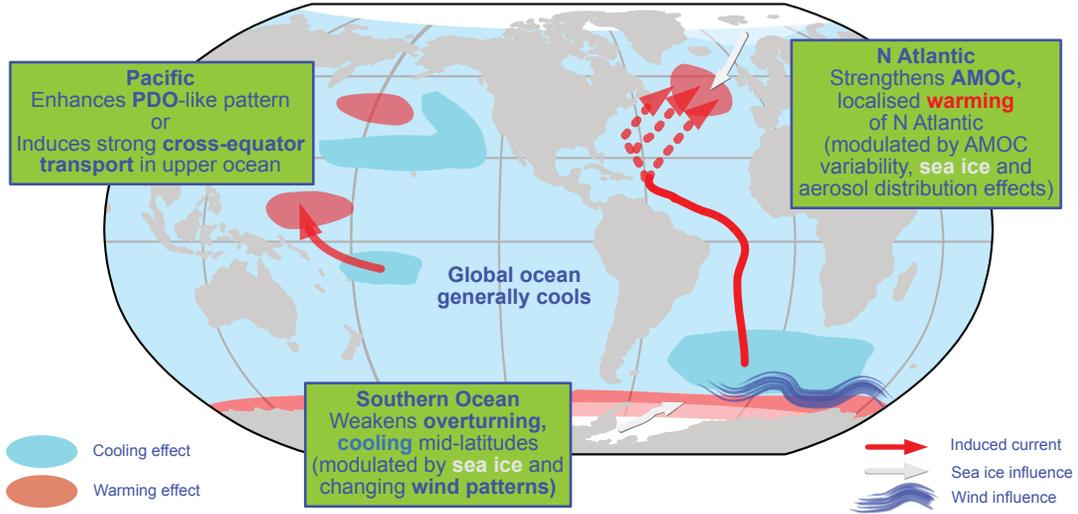
662 where  $f$ ;  $g$ ;  $h$  are functions representing the impact of the relevant processes on OHU, and  
 663 the strength of temperature feedbacks. If we hold GHG and NA levels constant (as  
 664 in the SMURPHS ensemble), and look at sufficiently long timescales, then we can es-  
 665 timate the linear sensitivity of OHU to AA:

$$\frac{d}{dt} \int_{\text{global}} \text{OHU} \approx \frac{d}{dt} \int_{\text{global}} g \quad ; \quad (\text{A2})$$

666 neglecting higher order terms, where averaging over ensemble members removes the im-  
 667 pact of internal variability.

668 However, if we look at trends of OHC over a sub-domain, for example a single basin  
 669 or depth range, or integrated in one or two spatial dimensions only, then the trend in

**Impacts of strengthened anthropogenic aerosols on ocean heat content, and proposed mechanisms**



**Figure 8.** Schematic outlining the impacts of increasing anthropogenic aerosol forcing magnitude on historic ocean heat content in a CMIP6-era global climate model, with proposed mechanisms.

650 The uncertainty in aerosol forcing magnitude is not the dominant source of regional vari-  
 651 ability - instead the strong state dependence means that careful process based studies  
 652 are required to disentangle the various mechanisms at play that determine the overall  
 653 regional impact of aerosol forcing in different time periods.

654 **6 Appendix**

655 **Appendix A Drivers of Changes in Ocean Heat Content**

656 Ocean heat uptake (OHU) is defined as the globally integrated ocean temperature  
 657 trend, i.e. the trend in global OHC. In a climate at equilibrium, time-integrated OHU  
 658 would tend to zero. A non-zero time-integrated OHU is therefore due, at first order, to  
 659 changes in the major climate forcings - green-house gases (GHGs), anthropogenic aerosols  
 660 (AA), volcanic and other natural aerosols (NA) - as well as internal variability (IV) and  
 661 feedbacks proportional to atmospheric temperatures  $T$ :

$$\frac{d}{dt} \int_{\text{global}} \text{OHU} = f + g + h + \dots; \quad (\text{A1})$$

662 where  $f$ ;  $g$ ;  $h$  are functions representing the impact of the relevant processes on OHU, and  
 663 the strength of temperature feedbacks. If we hold GHG and NA levels constant (as  
 664 in the SMURPHS ensemble), and look at sufficiently long timescales, then we can es-  
 665 timate the linear sensitivity of OHU to AA:

$$\frac{d}{dt} \int_{\text{global}} \text{OHU} \approx \frac{d}{dt} \int_{\text{global}} g \quad ; \quad (\text{A2})$$

666 neglecting higher order terms, where averaging over ensemble members removes the im-  
 667 pact of internal variability.

668 However, if we look at trends of OHC over a sub-domain, for example a single basin  
 669 or depth range, or integrated in one or two spatial dimensions only, then the trend in

670 OHC (sometimes termed ocean heat storage, OHS) has contributions from both OHU  
671 and the convergence or divergence of ocean heat transport:

$$\frac{\partial}{\partial t} \left( \int_{\text{sub-domain}} \rho c_p T \, dV \right) = \int_{\text{sub-domain}} \rho c_p \frac{\partial T}{\partial t} \, dV + \int_{\text{sub-domain}} \nabla \cdot (\mathbf{u} \rho c_p T) \, dV \quad (\text{A3})$$

672 Thus, changes in the OHC of sub-domains are not solely determined by climate forcings  
673 and their feedbacks, but also depend on ocean circulation changes in response to AA.  
674 Again, if looking at sufficiently long timescales and neglecting higher order terms,:

$$\frac{\partial}{\partial t} \left( \int_{\text{sub-domain}} \rho c_p T \, dV \right) \approx \int_{\text{sub-domain}} \rho c_p \frac{\partial T}{\partial t} \, dV + \int_{\text{sub-domain}} \nabla \cdot (\mathbf{u} \rho c_p T) \, dV \quad (\text{A4})$$

675 where  $\mathbf{u}$  represents the regional impact of AA on OHU.

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682 The data required to reproduce the figures and tables in this manuscript is held  
683 at <https://doi.org/10.6084/m9.figshare.19281761> (Boland et al., 2022).

684 The code to reproduce the figures and tables, as well as to produce the interme-  
685 diate data from the model output, is held at <https://doi.org/10.5281/zenodo.6418479>  
686 (Boland, 2022).

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# Supporting Information for “Ocean Heat Content responses to changing Anthropogenic Aerosol Forcing Strength: regional and multi-decadal variability”

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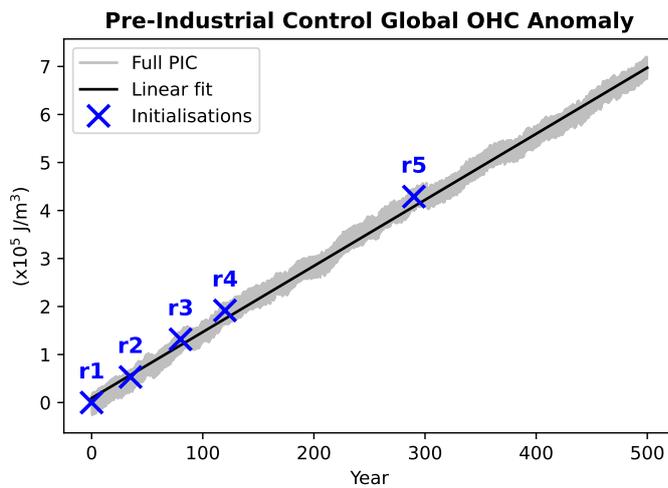
<sup>2</sup>National Centre for Atmospheric Science, University of Reading, Reading, UK

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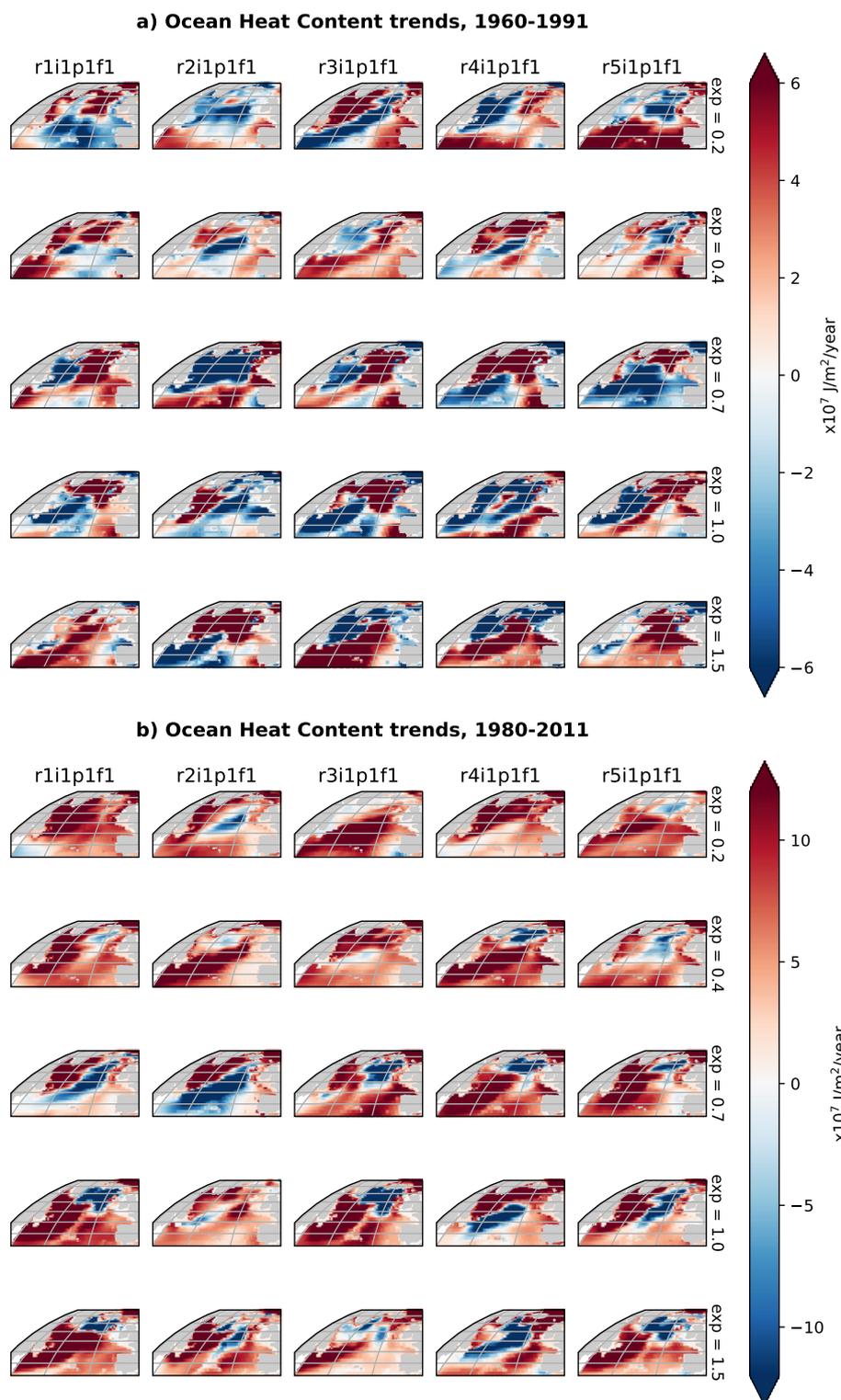
## Contents of this file

1. Figures S1 to S8

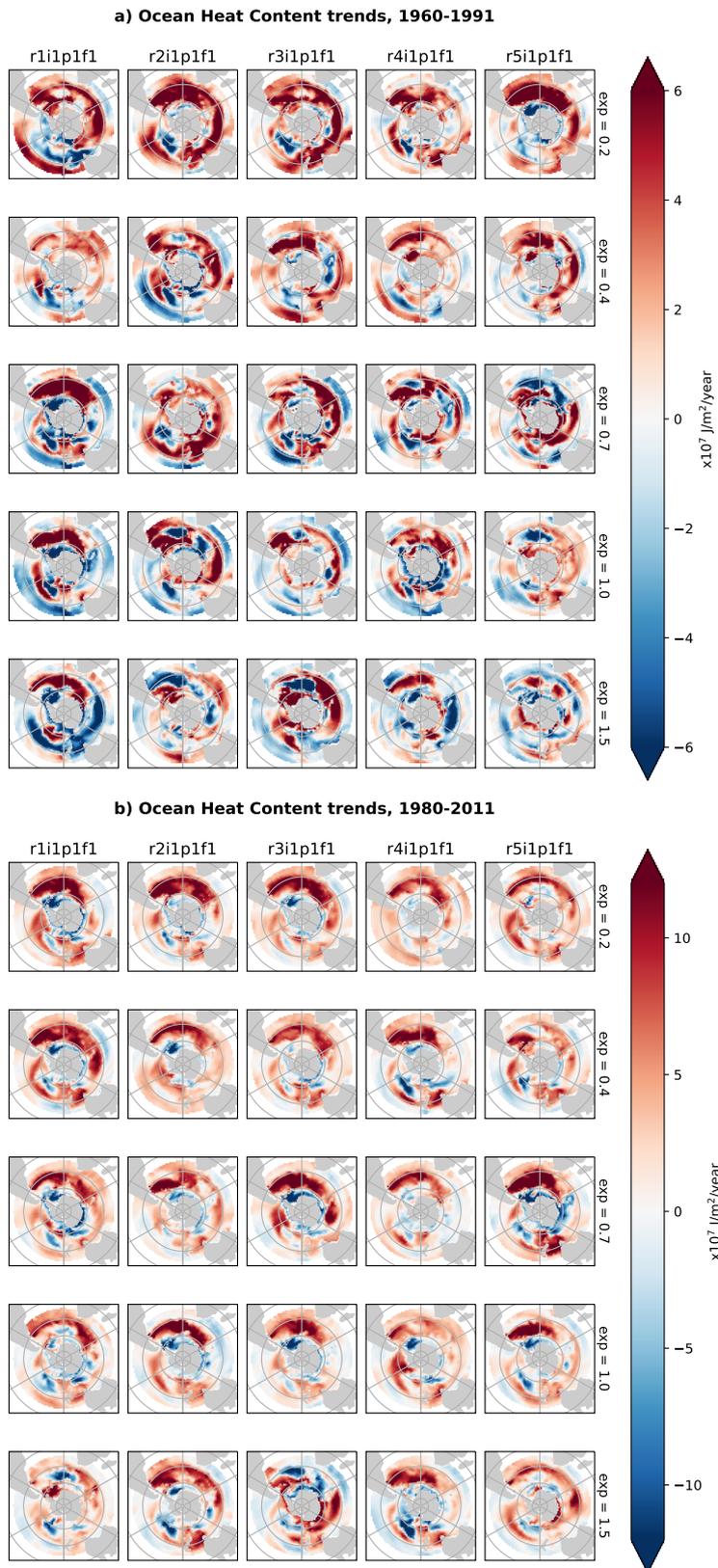
**Introduction** The supplementary information contains figures that there was not space for in the main manuscript, or that provide more granularity than the figures in the main manuscript. All figures are referenced in the main manuscript.



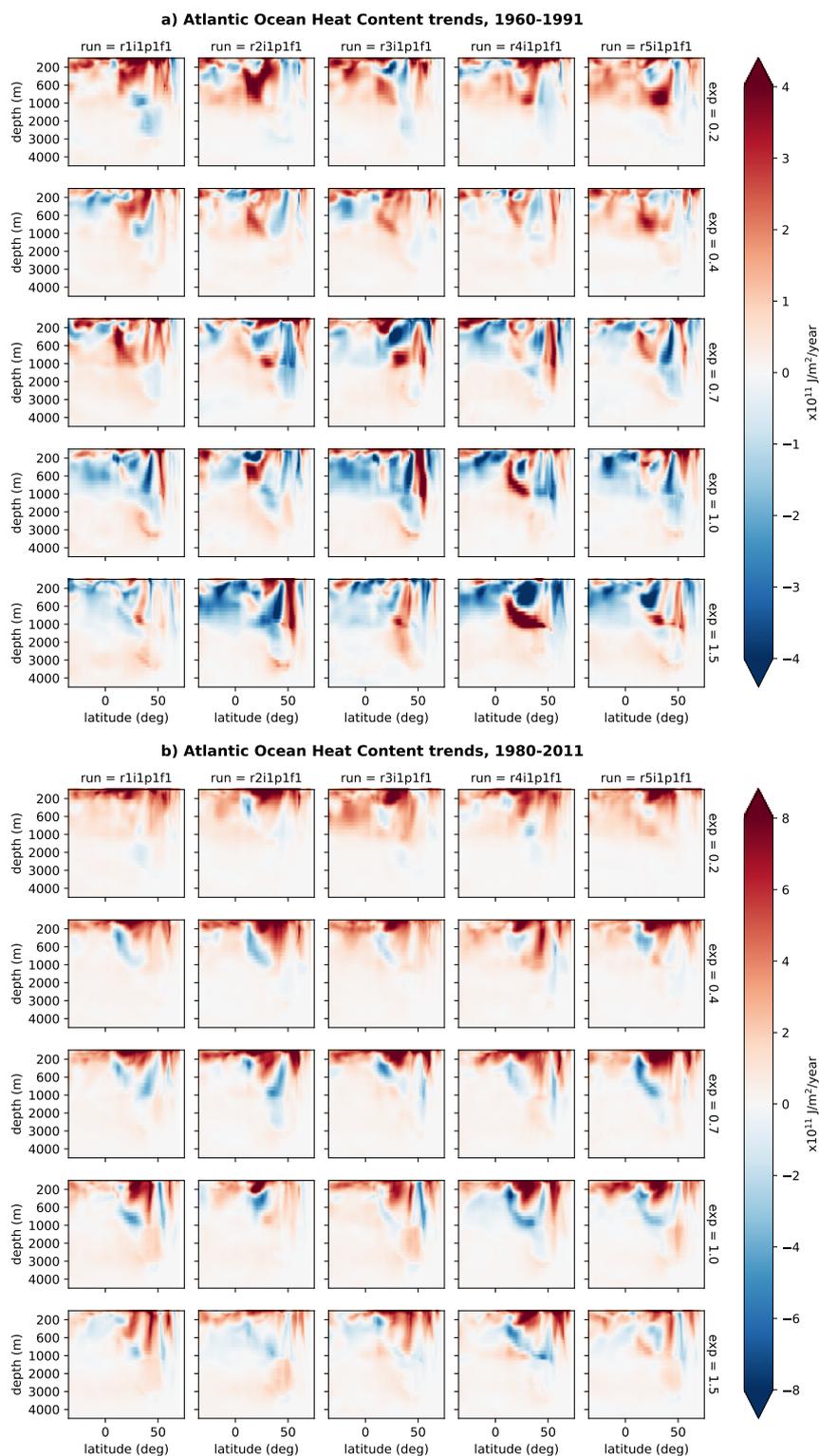
**Figure S1.** Example of Pre-Industrial Control drift in OHC: Global OHC anomalies from the Pre-Industrial Control Run, scaled by volume as outlined in main text (grey line), linear fit used to de-drift (black line), and locations of initialisations for each ensemble member of the historical simulations (blue crosses). De-drifting involved removing the 500-yr linear trend (black line) and accounting for the separation of the initialisations (different crosses).



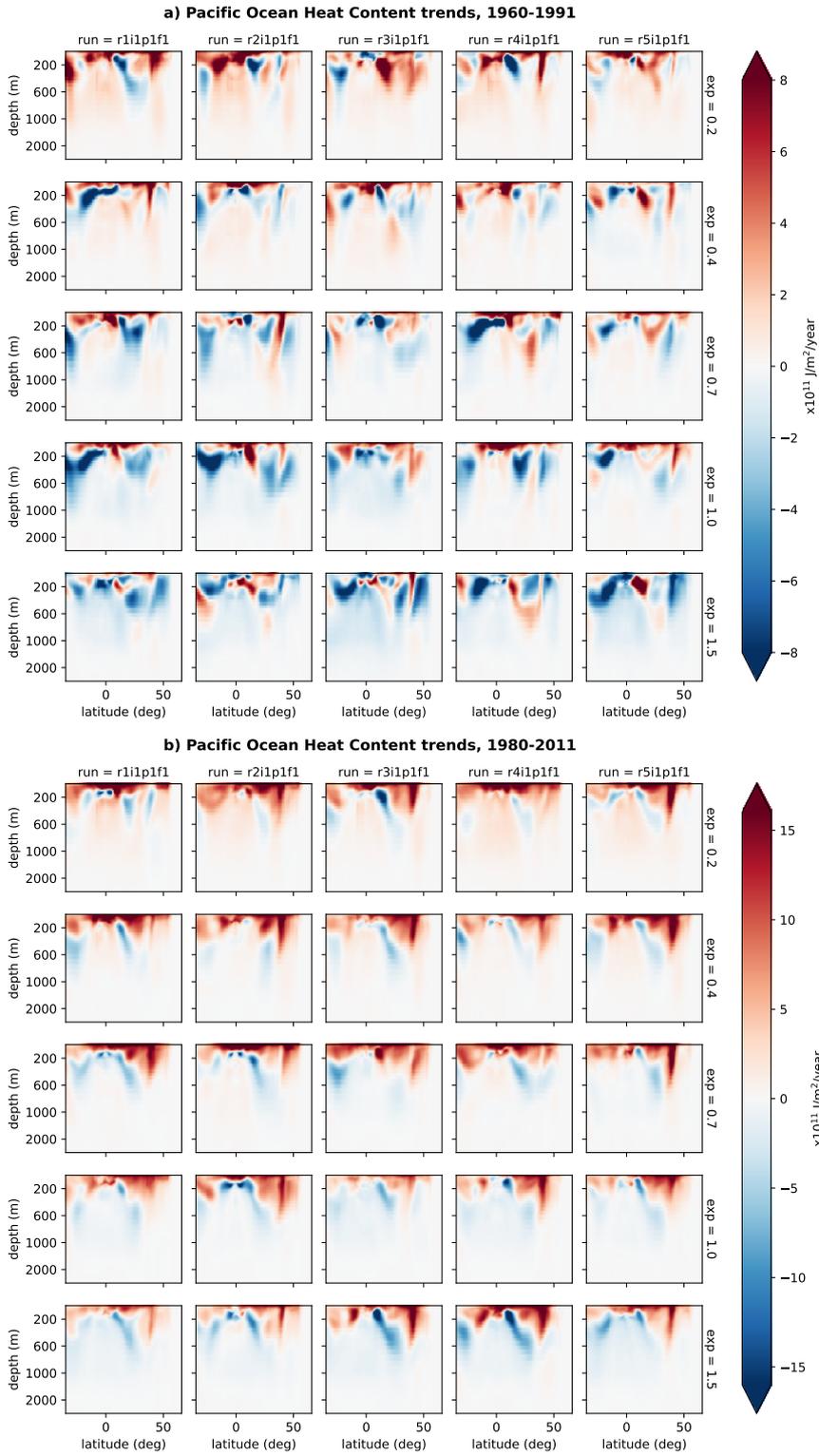
**Figure S2.** Colours indicate the North Atlantic depth-integrated OHC trends by ensemble member (column) and by AA forcing factor (row) as labelled, for (a) 1960-1991 and (b) 1950-2011.



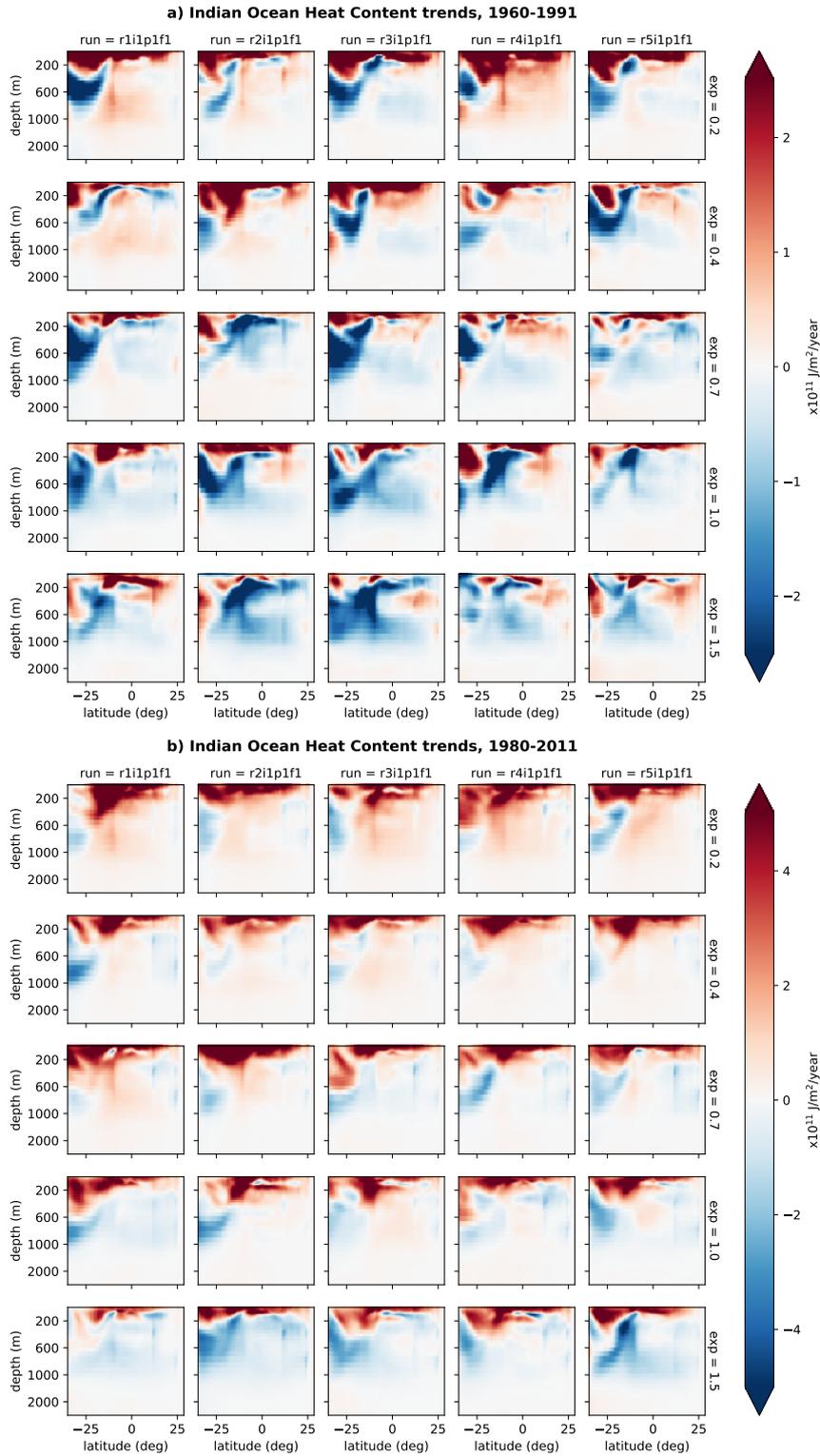
**Figure S3.** As in figure S1, but for the Southern Ocean



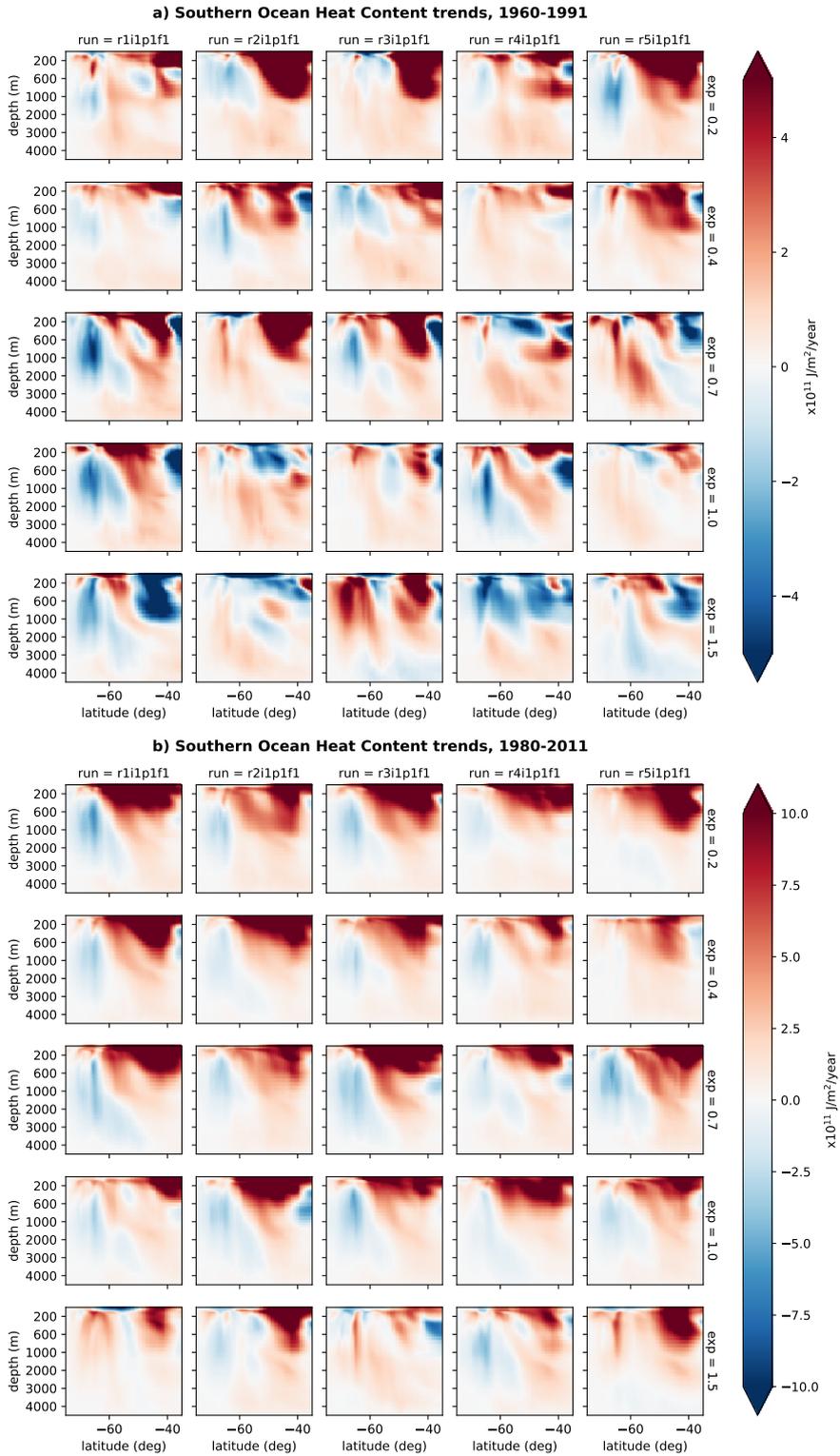
**Figure S4.** Colours indicate the zonally-integrated Atlantic OHC trends for each ensemble member (column) and each forcing factor (row) for (a) 1960-1991 and (b) 1980-2011. Note that depth intervals are not constant, and colour axis limits in b) are twice those in a).



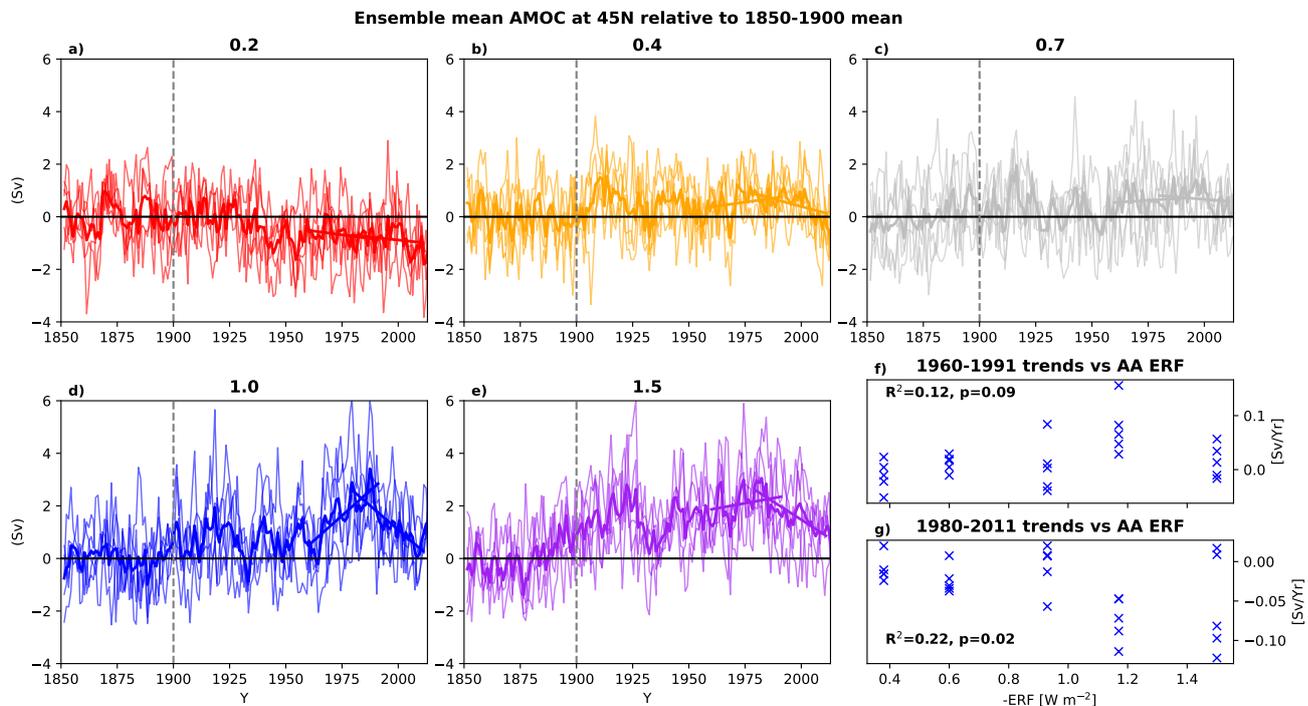
**Figure S5.** As in figure S3, except for the Pacific Ocean.



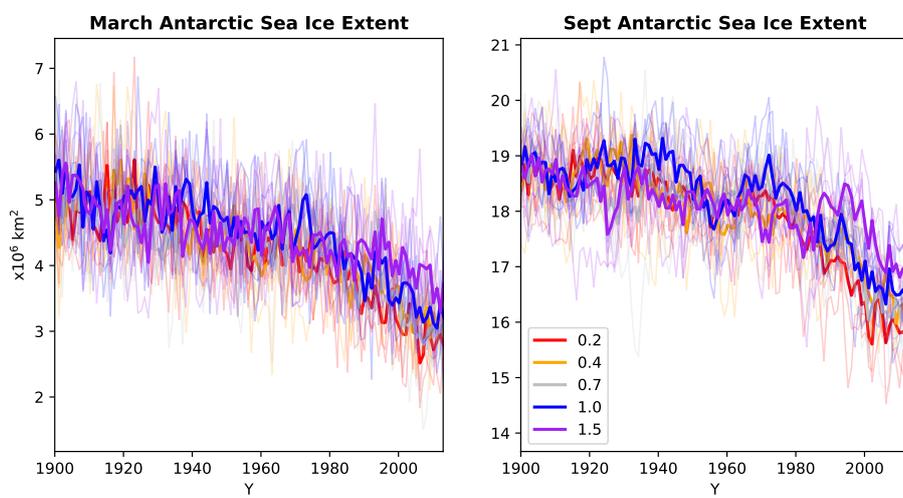
**Figure S6.** As in figure S3, except for the Indian Ocean.



**Figure S7.** As in figure S3, except for the Southern Ocean.



**Figure S8.** Panels a-e show the AMOC at 45N relative to the 1850-1900 mean, for each forcing factor as labeled. Thin lines are individual ensemble members and thicker lines are ensemble means. Straight thick lines indicate ensemble-mean linear trends over 1960-1991 and 1980-2011. Linear trends for all ensemble members are shown in panels f and g, plotted against AA ERF.  $R^2$  and  $p$  are shown for the linear correlation of the trends against ERF magnitude.



**Figure S9.** March and September Southern Hemisphere sea ice extent for each forcing factor as labelled. Thin lines are individual ensemble members and thicker lines are ensemble means.