# Near Real-Time Estimation of High Spatiotemporal Resolution Rainfall from Cloud Top Properties of the MSG satellite and Commercial Microwave Link Rainfall Intensities

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November 22, 2022

#### Abstract

High spatiotemporal resolution rainfall is needed in predicting flash floods, local climate impact studies and agriculture management. Rainfall estimation techniques like satellites and the commercial microwave links (MWL) rainfall estimation have independently made significant advancements in high spatiotemporal resolution rainfall estimation. However, their combination for rainfall estimation has received little attention, while it could benefit many applications in ungauged areas. This study investigated the usability of the random forest (RF) algorithm trained with MWL rainfall and Meteosat Second Generation (MSG) based cloud top properties for estimating high spatiotemporal resolution rainfall in the sparsely gauged Kenyan Rift Valley. Our approach retrieved cloud top properties for use as predictor variables from rain areas estimated from the MSG data and estimated path average rainfall intensities from the MWL to serve as the target variable. We trained and validated the RF algorithm using parameters derived through optimal parameter tuning. The RF rainfall intensity estimates were compared with gauge, MWL, Global Precipitation Measurement (GPM) Integrated Multi-satellitE Retrievals for GPM (IMERG) and European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT) Multisensor Precipitation Estimate (MPE) to evaluate its rainfall intensities from point and spatial perspectives. The results can be described as good, considering they were achieved in near real-time, pointing towards a promising rainfall estimation alternative based on the RF algorithm applied to MWL and MSG data. The applicative benefits of this technique could be huge, considering that many ungauged areas have a growing MWL network and MSG and, in the future, Meteosat Third Generation coverage.

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Near Real-Time Estimation of High Spatiotemporal Resolution Rainfall from Cloud Top Properties of the MSG satellite and Commercial Microwave Link Rainfall Intensities

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Key Points:

- The random forest (RF) algorithm is trained with MWL rainfall intensities to estimate high-resolution rainfall from MSG satellite data.
- The accuracy of the RF rainfall estimates is promising and comparable to gauge and satellite estimates.
- The RF rainfall retrieval, using MWL and MSG data, may benefit research and operational applications, particularly in ungauged areas.

# Abstract

High spatiotemporal resolution rainfall is needed in predicting flash floods, local climate impact studies and agriculture management. Rainfall estimation techniques like satellites and the commercial microwave links (MWL) rainfall estimation have independently made significant advancements in high spatiotemporal resolution rainfall estimation. However, their combination for rainfall estimation has received little attention, while it could benefit many applications in ungauged areas. This study investigated the usability of the random forest (RF) algorithm trained with MWL rainfall and Meteosat Second Generation (MSG) based cloud top properties for estimating high spatiotemporal resolution rainfall in the sparsely gauged Kenyan Rift Valley. Our approach retrieved cloud top properties for use as predictor variables from rain areas estimated from the MSG data and estimated path average rainfall intensities from the MWL to serve as the target variable. We trained and validated the RF algorithm using parameters derived through optimal parameter tuning. The RF rainfall intensity estimates were compared with gauge, MWL, Global Precipitation Measurement (GPM) Integrated Multi-satellitE Retrievals for GPM (IMERG) and European Organisation for the Exploitation of Meteorological Satellites (EU-METSAT) Multisensor Precipitation Estimate (MPE) to evaluate its rainfall intensities from point and spatial perspectives. The results can be described as good, considering they were achieved in near real-time, pointing towards a promising rainfall estimation alternative based on the RF algorithm applied to MWL and MSG data. The applicative benefits of this technique could be huge, considering that many ungauged areas have a growing MWL network and MSG and, in the future, Meteosat Third Generation coverage.

## Plain Language Summary

Many applications such as agriculture management, local climate impact studies and flash flood prediction rely on rainfall data, but the information is often lacking or inaccurate depending on how it is measured. At the same time, microwave signals used by telecom companies for data transmission on our phones are significantly affected by raindrops and can estimate accurate rainfall along the signal path. Meteorological satellite observes clouds and can tell whether a cloud is about to rain, "yes or no". These two sources of rainfall information already exist in many areas, including areas lacking accurate rainfall information. Together, the two rainfall information sources combined in a unified framework may be a promising rainfall estimation alternative that would benefit large scale applications. Therefore, this study investigated the usability of the RF machinelearning algorithm trained with rainfall derived from telecom signals to estimate rainfall from meteorological satellite data. We present convincing results using this technique, which points towards an effective, low-cost rainfall estimation alternative that could have huge implications for many applications that require high spatiotemporal data.

# 1 Introduction

Understanding the hydrologic and energy cycles to enhance our meteorological and hydrological monitoring capabilities, predict flash floods, manage water resources and make agricultural decisions at a farm-scale level require high spatiotemporal resolution rainfall information, including its distribution and quantity. However, rainfall's intricate characteristics, such as high spatiotemporal variability, hinder accurate spatial rainfall retrieval from prevailing techniques (Hu et al., 2019).

Spatial interpolation techniques such as deterministic, geostatistical and multiple regression have been widely used to retrieve the spatial state of rainfall from gauge rainfall data (Hu et al., 2019; Ly et al., 2013). However, rain gauges are often sparsely distributed, and the accuracy of these methods is dependent on the density and spacing of rain gauges. Even if one could install a spatially dense gauge network with extensive coverage that can accurately capture the spatial characteristics of rainfall, such a task will be expensive to install and maintain. Besides, the gauge provides point rainfall information that may not spatially represent the entire rainfall field (Gyasi-Agyei, 2020; Yan et al., 2021).

Commercial microwave links (MWL) used by commercial telecom service providers for data transmission are capable of rainfall estimation (David et al., 2021; Leijnse et al., 2007; Messer et al., 2006). Following a successful demonstration of such a unique rainfall retrieval technique, some studies have utilized the MWL for spatial rainfall retrieval and demonstrated the potential of using the globally spread MWL system for rainfall mapping (Messer et al., 2008; Overeem et al., 2016; Silver et al., 2021). Nonetheless, various factors may limit accurate spatial rainfall estimation from the MWL. The accuracy of the MWL's rainfall estimates is affected by variation of raindrop sizes distribution along the MWL path, and the fact that the MWL antenna wetting during and after rainfall introduces additional uncertainties to the MWL signal. Furthermore, the MWL's network is arbitrary, and the density is often biased towards more developed countries and urban areas, affecting retrieval accuracies in underdeveloped countries and rural areas (Kumah et al., 2021a; Zinevich et al., 2008).

Additionally, remote sensing systems such as weather radars and satellites provide spatially continuous rainfall information and have been a valuable source of spatial rainfall information for operational and research applications. The weather radars estimate spatial rainfall from backscattered radar power from precipitation particles, typically using low frequency (S or C band) high power radar systems (Michaelides et al., 2009). Nonetheless, radars cannot be installed everywhere, e.g. over oceans and topographically complex regions. Also, various error sources, including uncertainties in the backscattering-rainfall (Z-R) relationship, beam overshoot and range effects, and vertical profile reflectivity, limit the radar estimates' accuracy (Uijlenhoet & Berne, 2008; Yan et al., 2021).

Satellites are spaceborne in low earth or geostationary (GEO) orbit, and their rainfall estimates have extensive coverage that fills the spatial rainfall information gap. In particular, the GEO satellite-based spatial rainfall information retrieval has been the focus of many studies due to its high spatial and temporal resolution that permits the study of sudden and intense rainfall with thunderstorms from convective systems. Notably, retrieval from the MSG satellite has received significant attention because of its high temporal resolution and wide spectral range consisting of different kinds of channels that infer cloud top properties and rainfall. Most MSG-based retrievals use multispectral data to infer optical and microphysical cloud top properties such as cloud top optical thickness and effective radius for rainfall detection and estimation (Bendix et al., 2010; Roebeling & Holleman, 2009; Thies et al., 2008). Other retrieval techniques relate the MSG's spectral features to cloud top properties and rainfall (Feidas & Giannakos, 2010; Kumah et al., 2021b).

A parametric approach that relates the cloud top properties to rainfall is at the core of these retrieval techniques. Typically their application requires a definition of parametric tests and underlying conceptual models. The advantage is that their application is straightforward, requiring few input variables, and they directly map the conceptual knowledge of the rain generation process onto the retrieval using the satellite data as proxies (Kumah et al., 2021b). However, the nonlinear and complex relation between cloud top property and rainfall may be beyond the skill of parametric tests and conceptual models (Kühnlein et al., 2014).

In this regard, machine learning algorithms that rely on data-driven analysis to explore the relationship between variables and have strong capabilities in dealing with nonlinear relations may be suitable for retrieving rainfall from the multivariate satellite data to overcome the limitations of the parametric techniques (Hu et al., 2019; Kühnlein et al., 2014). Several studies have successfully used machine learning algorithms such as the RF, artificial neural networks and deep-learning models for spatial rainfall estimation (Kühnlein et al., 2014; Lazri et al., 2014; Meyer et al., 2016; Moraux et al., 2019). In particular, the RF machine learning algorithm (Breiman, 2001) has gained significant attention. It is an ensemble classification and regression algorithm that assumes that a whole set of trees can make more accurate predictions than a single tree or network. The RF algorithm has many features that suit its application for rainfall retrievals. For instance, it efficiently handles large datasets and can capture non-linear relations between predictor and target variables (Kühnlein et al., 2014). However, most of its applications to MSG data, such as (Kühnlein et al., 2014; Meyer et al., 2016), used gauge adjusted radar data as the training target, which may be sparsely distributed or non-existent depending on the study area. To the best of our knowledge, no study has applied the RF algorithm to MSG data and used MWL-based rainfall as the training target, while the application could be beneficial to areas with insufficient ground data but with a growing MWL network and MSG coverage.

Therefore, this study's objective is to evaluate the usefulness of the RF algorithm trained with MWL-based rainfall intensities for estimating high spatiotemporal resolution rainfall from cloud top properties of the MSG satellite. Compared to existing studies such as those of (Kühnlein et al., 2014; Meyer et al., 2016), this study's uniqueness is due to the following reasons:

- 1. We applied the RF algorithm for rainfall estimation in a topographically complex area in the Kenya Rift Valley, where gauge data is scarce
- 2. For the first time, we trained the RF algorithm using MWL rainfall as the target variable.

### 2 Study Area and Dataset

Figure 1 shows the study area using ALOS World 3D 30 m (AW3D30) DEM (Caglar et al., 2018) to visualize the area's location within the Kenyan Rift Valley. The area's temperature ranges between 8 and 30 °C. It experiences a bimodal rainfall pattern influenced by the passage of the ITCZ over Kenya. There is a long rainy season from March to June and a shorter rainy season from October to December. Additionally, rainfall varies noticeably with relief features, with the total annual rainfall of the low and high altitudes varying between 610 to 1525 mm (Odongo et al., 2015), respectively.



Figure 1 Study area, locations of rain gauges and topology of MWL shown using ALOS DEM as a base map

This study's evaluation period was during the long rain period of 2014, 2018 and 2019. For the 2018 and 2019 periods, gauge rainfall data from The Trans-African Hydro-Meteorological Observatory (TAHMO) (van de Giesen et al., 2014) were available as 5 min rainfall accumulations. These computed the 15-minutes rainfall intensities that served as the ground truth in this study. The TAHMO gauges are shown as white triangles (labelled by the station codes provided by TAHMO) in Figure 1 and illustrate a sparse distribution of ground data in the study area.

Safaricom provided the received signal levels (RSL) data for the set of MWL with arbitrary geometry (extending from areas close to the Aberdare mountains to Lake Naivasha in the centre of Figure 1), frequency and length in the study area shown in Figure 1. For the 2014 and 2019 periods, data from multiple MWL were available. In contrast, for the 2018 period, a single 15 GHz MWL data was available. These MWL are Aviat Eclipse MWL, vertically polarised, and has a constant transmitted signal level (TSL). Their RSL was characterized by minimum, maximum, and mean values at 15-minute intervals and a 0.1 dBm resolution.

The infrared (IR) (IR10.8  $\mu$ m and IR12.0  $\mu$ m) and water vapour (WV) (WV6.2  $\mu$ m and WV7.3  $\mu$ m) channels used in this study were from the Spinning Enhanced Visible and Infrared Imager (SEVIRI) radiometer onboard the Meteosat

at 0° (2014 period) and 41.5° E (2018 2019 period). This corresponded to Meteosat 10 and 8 satellites, respectively (EUMETSAT, 2016), when the data was acquired from (EUMETSAT, 2020) at  $3\times3$  km and 15 min spatial and temporal resolution. These channels are sensitive to cloud top properties such as cloud top temperature and height. The data from the Meteosat at 0° were parallax corrected because of the satellite viewing angle, which causes displacement in the actual position of cloud tops depending on their location and height (Kumah et al., 2020; Roebeling & Holleman, 2009).

The IMERG final run version 6 (V06B) and EUMETSAT MPE rainfall products verified this study's retrieved rainfall spatially. The MPE is a near real-time rainfall product derived from the MSG satellites' repeat cycle, currently Meteosat 8, from the thermal IR channel. The MPE algorithm relies on a weather-dependent monotonic function that relates the IR brightness temperatures to the passive microwave (PMW) SSM/I rain rates. For this reason, MPE continuously adjusts the retrieval function geographically and temporarily, using the PMW rain rates as calibration values. The retrieval function is based on the histogram matching technique derived from collocated IR images and PMW data accumulated over up to 12 hours and in 5° x 5° geographical boxes to account for the poor spatial coverage of the PMW measurement. The MPE rainfall product is most suitable for convective rainfall because the monotonic function assumes that colder clouds produce more rain than warm clouds (Heinemann & Kerényi, 2003). This study retrieved MPE data from EUMETSAT (2020) at 15 minutes and  $3 \times 3$  km resolution for the evaluation period.

The IMERG version 6 (V06B) product is a level 3 globally gridded satellite precipitation estimate derived by intercalibrating, merging and interpolating precipitation estimates from several GPM constellation satellites and microwave calibrated IR estimates. The IMERG algorithm is run twice in near-real-time (Early (~4 h after observation time) and Late (~14 h after observation time)), and once (Final (~3.5 months after the observation time)), based on user requirements for latency and accuracy (Huffman et al., 2019; Tan et al., 2019). The Final run product is calibrated using precipitation analysis from Global Precipitation Climatology Centre (GPCC) and the European Centre for Medium-Range Weather Forecasts (ECMWF) ancillary data, making it more reliable and suited for research (Moazami & Najafi, 2021).

IMERG data has 4 precipitation fields: UnCalibrated precipitation (precipitationUnCal), Calibrated precipitation (precipitationCal), Infrared (IR) precipitation (IRprecipitation) and High-Quality precipitation (HQprecipitation). The precipitationUnCal and precipitationCal represent records before and after gauge calibration post-processing step, respectively. The IRprecipitation is IR geostationary satellite-based precipitation, whereas the HQprecipitation is obtained by merging High-Quality Passive Microwave (PMW) precipitation estimates. This study utilized the Final run precipitationCal product because it is a research-grade product that is climatologically adjusted using GPCC ground data. Moreover, previous studies in the study area (Kumah et al., 2021b) had found good agreement when they compared the data with MSG-based rain areas and ground data. IMERG data can be retrieved from https: //gpm.nasa.gov/data/imerg (accessed on 21 March 2022) at approximately  $0.1^{\circ} \times 0.1^{\circ}$  and 30 minutes spatiotemporal resolution.

# 3 Method

3.1 General methodology of the rainfall retrieval

This study retrieved high spatiotemporal resolution rainfall intensities from MSG satellite data using the RF algorithm trained with MWL rainfall intensity estimates. The retrieval procedure comprises three steps:

- 1. initial detection of raining areas
- 2. estimating MWL rainfall intensities and
- 3. estimating the rainfall intensity of the detected raining areas identified in step 1.

In this study, steps 1 and 2 were based on techniques described in previous studies (Kumah et al., 2020, 2021a; Kumah et al., 2021b), and step 3 was by using the RF algorithm.

1. Detecting rain areas

The rain area identification technique was based on the approach described in (Kumah et al., 2021b). It relies on a parametric threshold model based on the conceptual idea that clouds with high cloud top optical thickness and height have high rain probabilities and intensities and vice versa. The basis of this conceptual model is rooted in the characteristics of raining clouds provided by Lensky and Rosenfeld (2003). The rain detection model uses differences in brightness temperature of the thermal IR and water vapor channels such as IR10.8–IR12.0 K and IR10.8–WV6.2 K BTD from IR10.8 µm, IR12.0 µm WV6.2 µm SEVIRI channels to infer the cloud top optical thickness and height properties. It applies a threshold to a 2-D space defined by these BTDs, assuming that a cloud is more likely to rain if the parameter (i.e. the BTD) is below the threshold value. The threshold values were determined by calibrating and validating the detection model using gauge rainfall and satellite data. Subsequently, a gradient-based adaptive correction technique reduces the number and sizes of the detected rain areas by using rain area-specific parameters.

1. Estimating rainfall intensities from the MWL data

This MWL rainfall estimation method is described in detail in (Kumah et al., 2020, 2021a); this section summarises the method. In the approach, rainfall intensity estimates are retrieved from the mean RSL data by first classifying the data into wet and dry periods using a rolling window statistical technique. Next, a baseline level is estimated as the median of the mean RSL of the previous 24 hours labeled as dry periods by the wet and dry classification step. Finally, the mean RSL data is corrected for the effect of the wet antenna (Schleiss et al.,

2013) before retrieving attenuation and subsequently rainfall from equations 1 and 2, respectively.

$$A = \frac{B-P}{L} (1)$$

 $R = \left(\frac{A}{a}\right)^{\overline{b}} (2)$ 

where:

 $A~(\mathrm{dB/km})\mathrm{--is}$  the rain-induced specific attenuation averaged over the entire MWL

L—is the MWL length, and B, P are the baseline and the mean RSL, corrected for the effect of antenna wetting by using a dynamic model by Schleiss et al. (2013).

R—is the MWL rainfall intensity, a and b values were from (ITU, 2005)

1. Estimating spatial rainfall intensities using RF

# 3.1.3.1 The predictor variables

Based on conceptual ideas used by optical rainfall retrieval models in the last decades, optical cloud properties most relevant to rain areas and rain rates are cloud top temperature, height, and cloud water path (represented by the cloud optical thickness and particle effective radius). Retrieval techniques such as those that use only the cloud top temperature often consider the cloud top temperature to indicate the cloud top height and assume that cold clouds produce (more) rainfall (Arkin & Meisner, 1987). Though this worked for convective clouds, the technique considered cold non-raining cirrus clouds as raining or missed rainfall from the relatively lower warm clouds. The cloud water path retrievals, e.g. (Bendix et al., 2010; Thies et al., 2008), assume that raining clouds have high cloud top optical thickness and effective radius with extended tops.

Table 1 summarises this study's predictor variables. These are consistent with SEVIRI channels and differences used by previous studies (Kühnlein et al., 2014; Kumah et al., 2021b) to infer cloud top properties such as cloud top temperature, height, optical thickness, and particle effective radius for rain area and rain rate retrievals. Additionally, the pixel gradients in the cloud top properties were used as predictor variables. This was computed based on the method described in (Kumah et al., 2021b). Previous studies used gradient features in satellite rainfall retrievals (Hong et al., 2004; Li et al., 2021). The reason for including gradient features as a predictor for retrieving rainfall is that different raining cloud types, such as convective and stratiform clouds, have distinguishable characteristics such as temperature gradient and local pixel temperature variations with corresponding rain rates. For instance, fully grown convective clouds have overshooting tops with high temperature gradients indicating the convective core, characterized by high rainfall intensities. By contrast, stratiform clouds exhibit gradual temperature gradients and low pixel temperature variations with relatively low rainfall intensities. The gradient feature measures the cloud patch average pixel gradient to determine these distinct characteristics to improve the retrieved rainfall estimate.

Channels and channels differences	Pixel gradient features
IR10.8 K	IR10.8 K
IR10.8–WV6.2 K	IR10.8–WV6.2 K $$
IR10.8–IR12.0 K	IR10.8–IR12.0 K
WV6.2–WV7.3 K	WV6.2–WV7.3 K
IR12.0–WV7.3 K	IR12.0–WV7.3 K $$

Table 1 The predictor variables used for rainfall retrieval

3.1.3.2 Compiling training and validation datasets

This study utilized common machine learning techniques consisting of training and validation to develop and test the rainfall retrieval method. The training set was used to train the model by optimizing its learning parameters, whereas the validation set assessed the model's ability to generalize well to unseen data. The training dataset consisted of target and predictor variables sampled from mixed space-time observations from the study area during the 2014 and 2019 periods. More precisely, they were retrieved from multiple MWL and the corresponding MSG pixels covering the MWL that are shown in Figure 2 for the raining (R  $> 1 \text{ mmh}^{-1}$ ) and non-raining (R < 1 mmh^{-1}) periods. For the MWL with transmission paths covered by multiple MSG pixels, the mean of the satellite data estimated from these pixels was retrieved for estimating the average rainfall of a pixel to allow a fair comparison with other satellite rainfall estimates used by this study. Besides, unlike, e.g., the minimum or median values, the mean value of the satellite data considers neighboring pixel information. The validation dataset was from the 2018 and 2019 periods and consisted of all MSG pixels in the study area. For the 2019 period, this excludes data from those pixels covering individual MWL since they were used to train the RF model. The data from the 2018 and 2019 periods validated the RF model because they coincided with the periods when gauge, independent MWL, MPE, and IMERG data were available in the study area, thereby allowing for a thorough validation of the RF model against different rainfall estimation techniques.



Figure 2 The MSG pixels covering multiple MWL that trained the RF model

3.1.3.3 The RF regression model and parameter tuning

The RF is an ensemble approach used for classification and regression purposes. It is based on the idea that the outcome of a group of weak learners (i.e., decision trees) when combined with a voting scheme, can yield an improved estimate with better performance (Breiman, 2001). RF uses bootstrap sampling and random feature selection to ensure the heterogeneity of these weak learners. Assuming an input dataset with N  $\times$  M dimensions (where N and M are the numbers of samples and input features, respectively), RF grows each tree in the forest using bootstrap samples (randomly selected, with replacement, samples from N). When growing trees, only a number of m features (where m < M) are used in deciding the best split at each node of a tree, and features with the lowest residual sum of squares are chosen for the split. The process is repeated through parallel processing until several trees are grown. For RF regression, the final estimate is the average of all outcomes of all trees in the forest (Wolfensberger et al., 2021). This study implemented the RF regression model in Python 3.7.3 using the scikit-learn package (Pedregosa et al., 2011). There are over a dozen parameters to adjust in this package to achieve a robust RF performance. However, this study focused on the number of decision trees (n estimators) and the number of input features to consider when looking for the best split (max features), following previous study's account (Turini et al., 2021).

Since RF may perform poorly for the highly imbalanced dataset (Liu et al., 2006), the imbalance between the raining (representing 8% of the dataset – the minority class) and the non-raining (representing 92% of the dataset – the majority class) dataset was considered before assessing the optimal values of the RF parameters. Oversampling the minority class and downsampling the majority class are some approaches to balance the class distribution of a dataset.

The latter was a better strategy for our dataset because of the comparatively low percentage of the raining class. Besides, Liu et al. (2006) showed that downsampling the majority class is a better class balancing strategy. Therefore, this study addressed the imbalance in the dataset by keeping all the data from the minority class and randomly sampling (without replacement) several observations (less than the original) from the majority class.

This study searched for optimal parameter values by performing a stratified 5-fold-cross-validation on several tuning values. Stratified 5-fold-cross-validation randomly splits the training samples into 5 equal-sized folds regarding the distribution of the target variable. In effect, each (1/5) fold has a similar target variable distribution as the training sample. Then, models were fitted while repeatedly leaving one fold out to evaluate the model's performance using the mean squared error (MSE) metric in equation 3. The model performance for the respective tuning values is the average of the MSEs from the hold one-out iterations.

$$MSE = \frac{1}{N} \sum_{i=1}^{N-1} (R_{\rm rfi} - R_{\rm ti})^2$$
(3)

where:

 $R_{\rm rfi}$  —represents all possible RF rainfall intensity estimates

 $R_{\rm ti}$  —represents all possible target variable observations, and N is the number of samples.

The number of decision trees, n\_estimators, to grow in the forest is an important parameter to consider. According to Breiman (2001), the generalization error converges as the number of trees increases. Increasing the number of trees in the forest does not result in over adjustment, except this increases the computational time. In essence, n\_estimators should be optimized to obtain a computationally feasible value. To determine the optimal value of the n\_estimators parameter, many RF models were created using the training data for all possible values of n\_estimators and max\_features. The maximum n\_estimators were 2000 trees, whereas the max\_features values ranged from 3 to 9 representing 30% to 90% of the total number of input features.



Figure 3 The RF parameter tuning. Effect of (a) number of decision trees with 3, 5, and 9 input features on rainfall retrieval errors and computational time and (b) the number of input features on rainfall intensity retrieval errors. In (b), boxes show the first quartile, median (orange lines), and third quartile; whiskers (lines outside the box) extend from the minimum to the first quartile and from the third quartile to the maximum, and the average MSE is shown as green triangles.

Figure 3a exemplarily shows the effect of the number of trees with 3, 5, and 9 max\_feature values on rainfall intensity retrieval errors and computational time. Based on the dataset, the figure shows that increasing the number of decision trees and input features increases the computational time. Nonetheless, regardless of the number of input features, the rainfall intensity retrieval errors decrease rapidly with an increase in the number of decision trees until approximately 100 trees, where the error rate stabilizes. This suggests that more than

100 trees in the forest can be considered sufficient for a robust RF model performance. Thus this study set the n\_estimators to 100, which has a reasonable computational time of about 2 seconds.

Breiman (2001) shows that the RF error rate largely depends on the correlation between any two trees and the strength of individual trees in the forest. Increasing the correlation increases the RF error rate, whereas increasing the strength of individual trees decreases the RF error rate. The max\_features parameter affects these two aspects such that reducing it reduces both the correlation and strength, whereas increasing it increases both. In practice, the max\_features value is often treated as a tuning parameter (Kühnlein et al., 2014). To determine the optimal max\_features value, many models were created using the training data for different possible max\_features values ranging from 3 to 9, representing 30% to 90% of the number of input features while setting the n\_estimators parameter to 100 in each scenario. Figure 3b presents the descriptive statistics of the MSE of rainfall intensities based on the different max\_features vales. Based on these results, max\_features = 3 was used because this leads to low rainfall intensity errors.

# 3.1.3.4 RF model prediction and validation

The tuned model parameter values were used to train the RF regression model, and the trained model predicted rainfall intensities of the validating MSG pixels. The mean absolute error (MAE) (Wilks, 2006) described in equation 4 evaluated the RF model performance.

$$MAE = \frac{1}{N} \sum_{i=1}^{N} |R_{\rm rfi} - R_{\rm oi}|$$
(4)

where:

 $R_{\rm rfi}$  —represents all possible RF rainfall intensity estimates

 $R_{\rm oi}$  —represents all possible gauge and MWL rainfall intensity estimates, and N is the number of samples.

The validation approach was by:

- 1. comparing the rainfall intensity estimates by the RF to gauge, IMERG, and MPE pixel to validate the RF model and evaluate its capability to estimate rainfall comparable to already existing rainfall estimation techniques;
- 2. comparing averaged RF rainfall estimates from pixels covering the MWL to the MWL's estimate to assess the capability of the RF to estimate path average rainfall intensities;
- 3. spatially comparing the RF model estimates to those of the MPE and IMERG rainfall products to evaluate the RF model against existing satellite rainfall products.

Since this study focused on evaluating the RF model's usability for high spatiotemporal resolution rainfall retrieval, the validation was done at 30 minutes and  $3 \times 3$  km resolution. Also, to ensure a comparison of collocated rainfall intensity estimates, the spatial and temporal mismatch in the dataset was considered. For this, the IMERG estimates were spatially resampled using the nearest neighborhood technique that preserves the pixel values to the spatial resolution of the RF and MPE. On the other hand, the gauge, RF, and MPE estimates were temporally aggregated to IMERG's 30 minutes temporal resolution by summing their respective rainfall intensity estimates.

- 1. Results and Discussion
  - (a) Results

4.1.1 Comparing rainfall intensity estimates at a pixel by the RF, MPE, IMERG, and gauge

This section evaluates the RF rainfall intensity estimates at a pixel using MWL, gauge, MPE, and IMERG estimates. Firstly, a point evaluation is presented through visual and statistical analysis of the RF estimates compared to gauge, MPE, and IMERG for rainfall events observed from two different locations in the study area. Secondly, a performance evaluation of the RF estimates against gauge estimates compared to MPE, and IMERG estimates are presented. Thirdly, the probability density of all rainfall intensities observed by the gauge, RF, IMERG, and MPE from the gauge pixel is presented.



Figure 4 Comparing rainfall intensity estimates by the RF, MPE, IMERG, and rain gauge. Colored figures are the mean intensity estimates (excluding R = 0 mmh<sup>-1</sup>) of RF, IMERG, MPE, and gauge rainfall events, respectively

Figure 4 presents rainfall intensities of two rainfall events captured by the RF, MPE, IMERG, and rain gauges. The gauge estimates are from gauges TA00378 (Figure 4a) and TA00586 (Figure 4b) situated at different locations within the study area. The RF, MPE, and IMERG are estimates retrieved from the pixels containing the two gauges. Figure 4a shows rainfall events that occurred on

8 May 2018. It is clear from the figure that, although all the rainfall retrieval techniques captured the rainfall events that occurred between the hours of 16:00 to 21:00 UTC, the characteristics of their rainfall events differ. For instance, the RF, MPE, and IMERG captured more rainfall than the gauge, which is also evident from the mean rainfall computed for the event. Moreover, the peak rainfall intensity captured by IMERG was above 30 mm per 30-minutes intervals, whereas the RF and MPE were comparable and below 30 mm per 30-minutes, compared to the gauge's peak rainfall intensity below 10 mm per 30-minutes interval.

The rainfall events in Figure 4b occurred between 13:00 to 18:00 UTC (based on the gauge observation) on 4 April 2019. The figure shows that the gauge, RF, MPE, and IMERG captured the rainfall event with fairly differing characteristics. On average, the RF observed the most rainfall, followed by MPE, IMERG, and gauge, as shown by the mean rainfall intensity of the rainfall event. Also, the RF and MPE captured two comparable peaks below 25 mm per 30-minutes. However, IMERG's event extends beyond 18:00 UTC and its peak rain intensity, like the gauge, was below 15 mm per 30-minutes.



Figure 5 Performance evaluation of the RF compared with MPE and IMERG. Box and whisker plot showing descriptive statistics of the absolute error of (a) RF versus gauge, (b) IMERG versus gauge, and (c) MPE versus gauge. Boxes show the first quartile, median (orange lines), and third quartile; whiskers (lines outside the box) extend from the minimum to the first quartile and from the third quartile to the maximum; stars indicate outliers; the MAE is shown as green triangles. Each plot shows the total number of 30 mins data points at the top of the plot (excluding 0 mm) that computed the descriptive statistics. The x-axis shows the station codes provided by TAHMO

Figure 5 shows the absolute error of RF versus gauge rainfall intensity estimates compared to MPE and IMERG. The data used in computing the absolute error

in this figure were collocated observations by the gauge, RF, MPE and IMERG, excluding the 0 mm estimates, during the validation period. On average, the absolute errors in RF versus gauge (Figure 5a) estimates were about 5 mm per 30-minutes, comparable to those of the IMERG and MPE vs gauge estimates. Based on the average errors, the RF's rainfall estimation performance can be considered as good as IMERG (Figure 5b) and MPE (Figure 5c). Nonetheless, its outliers mostly below 30 mm per 30-minutes (Figure 5a) compared to those of the IMERG and MPE, which mainly were below 50 mm per 30-minutes, may point to differences in their high rainfall intensity estimates.



Figure 6 Probability density of rainfall intensity estimates by the gauge, RF, IMERG, and MPE for (a) less than 20 mm and (b) above 20 mm

Figure 6 shows the density distribution of collocated rainfall intensity estimates from the gauge, RF, IMERG and MPE. The distribution of rainfall intensities in Figure 6a suggests that compared to IMERG and MPE, the RF mostly overestimates the gauge rainfall intensities below 15 mm per 30-minutes. When this distribution is compared with that in Figure 6b, it is evident that the RF underestimates the high rainfall intensities, judging by its estimates largely below 30 mm per 30-minutes. Nonetheless, these estimates were from sparse gauge pixels in the study area, which may not be a fair representation of the full range of the area's rainfall estimates.

The discrepancies in rainfall intensity estimates by the measurement techniques may be due to various factors. Their spatial resolution differences may explain some of these discrepancies. To be precise, the gauge observes rainfall from a single point, making it easy to miss or underestimate a high-intensity local rainfall event, depending on its proximity to a rainstorm. The RF, IMERG, and MPE all estimate the average rainfall intensity of a pixel, which is spatially more extensive than the gauge's point observation and, therefore, may more likely capture a rainfall event, albeit with intensity differences that depend on the measurement technique. Additionally, the RF's rainfall intensity estimate represents an average prediction from all trees, which may explain why it overestimates the low (and underestimates the high) intensities (Kühnlein et al., 2014; Wolfensberger et al., 2021).

4.1.2 Comparing the RF and MWL rainfall intensity estimates

We next compared the RF rainfall intensity estimates with estimates from independent MWL RSL data to assess the RF's capability of path average rainfall estimates. Here, the RF's mean, median, and maximum rainfall intensity over the MWL are included in the comparison to provide an idea of the range of rainfall intensities estimated by the RF over the MWL's path and how it compares with the MWL's rainfall estimates. Table 2 presents descriptive statistics of the absolute errors when comparing the RF's mean, median, and maximum rainfall intensities to the MWL's estimates, computed based on 920 15-minutes rainfall intensity data.

Table 2 Descriptive statistics of the absolute errors of the RF and MWL rainfall intensity estimates. 25%, 50% and 75% indicate percentile levels

Descriptive statistics	Absolute errors of RF versus MWL rainfall (mmh $^{-1})$		
	Mean	Median	Maximum
Mean	4.1	4.0	6.8
Minimum	0.0	0.0	0.1
Maximum	18.0	21.4	23.4
25%	1.5	0.0	4.0
50%	3.9	4.0	6.5
75%	6.3	6.5	8.9

On average, absolute errors of the mean and median versus MWL rainfall intensities are around 4 mmh<sup>-1</sup> compared to about 7 mmh<sup>-1</sup> when comparing the RF's maximum to the MWL's estimates. This suggests a better agreement between the RF's mean and median and the MWL rainfall intensity values. Nonetheless, the maximum error and the 75% percentile value of the mean comparison suggest the RF's mean rainfall estimates may better agree with the MWL rainfall than the median.

The better agreement between the RF's mean and MWL rainfall intensities is because both represent average intensities over the MWL's path. However, comparatively high absolute errors of the RF's maximum and MWL rainfall intensities is because the maximum rainfall intensities represent the highest intensities observed over the MWL's path. Furthermore, discrepancies in RF and MWL estimates that contribute to errors in Table 2 may be attributed to other factors, including differences in their rainfall retrievals. The RF's estimates are based on nonlinear relationships between MWL rainfall and cloud top properties aloft, whereas the MWL derives rainfall intensities from average rain-induced attenuation over its path.

4.1.3 Comparing spatial rainfall estimates by the RF model, MPE, and IMERG

We finally validated the RF rainfall intensity estimate spatially by comparing it with the IMERG and MPE rainfall products on a scene-by-scene basis. First, an exemplary scene is shown from 4 April 2019 at 13:00 to visually analyze the RF, IMERG, and MPE estimate. Next, the MAE is computed based on all rainfall intensity estimates by the RF, IMERG, and MPE during the validation, excluding the 0 mmh<sup>-1</sup> estimates.

Figure 7 compares spatial rainfall intensity estimates by the RF to IMERG and MPE to validate the RF estimates. The white pixels in the center of the figure are the MSG pixels over the MWL that trained the RF model. There is a good agreement in the spatial distribution of rain areas by IMERG and RF, whereas MPE shows fewer rain areas that are more localized than RF and IMERG. There are also some differences in their rainfall intensity estimates. For instance, MPE captured high rainfall intensities around latitude 0°, which the RF and IMERG underestimated. Overall, it can be stated based on visual inspection of Figure 7 that the rain areas in the RF are comparable to IMERG but with intensities that compare better with the MPE.



Figure 7 Spatial rainfall estimates by the RF compared to IMERG and MPE

These discrepancies in rain areas and intensities in Figure 7 may be attributed to measurement differences in the retrieval techniques. For instance, the MPE algorithm's design captures convective rainfall of local origin and high intensities. By contrast, the rain area detection system used by the RF is not dependent on the rain cloud type (Kumah et al., 2021b), and its rainfall intensity estimates were based on a nonlinear relationship between IR-based cloud properties aloft and ground-level rainfall. Moreover, the fact that the RF estimates represent an average of predictions by all trees (Kühnlein et al., 2014; Wolfensberger et al., 2021) may contribute to some of the intensity differences between the RF, IMERG, and MPE. On the other hand, IMERG uses spatiotemporal average rainfall from multiple microwave estimates, which may explain its low rainfall intensities in Figure 7 compared to the RF and MPE.



Figure 8 Spatial variabilities of MAE computed from (a) RF and IMERG and (b) RF and MPE rainfall intensities

Figure 8 shows the spatial variability of MAE from RF versus IMERG (Figure 8a) and RF versus MPE (Figure 8b) pairs during the validation period over the study area. It is clear from the figure that the RF estimates agree better with IMERG than MPE estimates. Nonetheless, both IMERG and MPE show high differences compared to the RF, indicated by their respective high MAE values, particularly between latitude -0.2 and -0.6, attributed to probably the high rainfall intensities observed in these areas with complex topographic features (see also Figure 1). On average, the RF versus IMERG MAE values are below 6 mm per 30-minutes compared to the RF versus MPE estimates below 8 mm per 30-minutes.

## 1. Discussion

The usability of the RF machine learning algorithm trained with MSG-based cloud top properties and MWL rainfall intensities for estimating high spatial and temporal resolution rainfall intensities in a topographically complex area in the Kenyan Rift Valley is investigated and evaluated. The investigation followed three major steps: (1) rain area detection based on the method described by (Kumah et al., 2021b) and retrieval of MSG-based cloud top properties that served as predictor variables, (2) rainfall estimation from MWL RSL data to serve as target variables and (3) rainfall intensity estimation using the RF algo-

rithm. We compared the RF estimates with gauge, MWL, IMERG and MPE estimate to evaluate the RF's rainfall intensity estimation performance.

The results based on the study area can be described as good, considering that they were achieved at a high spatial and temporal resolution of  $3 \times 3$  km and 30 minutes, pointing towards a convincing skill of the RF algorithm for rainfall estimation. An analysis of rainfall events from different locations in the study area revealed the capability of the RF to estimate rainfall events in the study area with mean rainfall characteristics comparable to IMERG and MPE. Comparing rainfall intensity estimates by the RF, IMERG, and MPE retrieved from all gauge pixels in the study area to the gauge estimates reveals the RF's overestimation of low intensities (mostly below 15 mm per 30-minutes), whereas the high intensities (above 30 mm per 30-minutes) are underestimated. On average, when compared to gauge estimates, the absolute errors were about 5 mm per 30-minutes, comparable to the IMERG and MPE versus gauge estimates, suggesting an RF rainfall estimation performance in the study area that may be as good as the IMERG and MPE technique. However, the fact that the RF's estimation, unlike MPE, is not dependent on the cloud type and its estimates are at high spatial and temporal resolution than IMERG suggests an effective skill that needs future investigation.

This study also compared the RF's rainfall intensity estimates over the MWL transmission path to estimates derived from independent MWL RSL data to determine the RF's ability to estimate average rainfall over the MWL path. Overall, the RF's mean, median, and maximum rainfall intensities indicate that the RF can quantify rainfall over the MWL transmission path. However, the RF's mean intensities compare better with the MWL estimates, which was attributed to both representing the average rainfall intensity along the MWL transmission path. The differences in the RF and MWL rainfall estimates were rather due to differences in the retrieval techniques.

When comparing the spatial distribution of the RF rainfall intensities to IMERG and MPE over the study area using an exemplary scene, the MPE showed fewer rain areas of local origin but with intensities that agree with the RF. However, the RF and IMERG raining areas were extensive and comparable, though the IMERG's intensities were comparatively lower. Overall, MAE values computed using all scenes during the validation period reveal that the RF's spatial rainfall estimates agree better with IMERG than MPE. Nevertheless, some areas showed noticeably high MAE values that may be due to the high rainfall intensities observed related to complex topographic features.

The discrepancies found when comparing the RF estimates to the gauge, IMERG, and MPE are somewhat expected when comparing rainfall estimates from different techniques and may be due to many factors. The spatial resolution is a contributing factor; particularly, the gauge observes rainfall from a single point with low spatial representativeness compared to the RF, IMERG, and MPE estimates. For this reason, the gauge may easily miss or underestimate a local rainfall event, depending on its proximity to the storm. In contrast, the RF, IMERG, and MPE are more likely to capture a rainfall event, though their intensity estimates may differ based on the measurement technique.

Additionally, the RF and MPE estimates represent average estimates of  $3 \times 3$  km, whereas IMERG's estimates represent approximately  $10 \times 10$  km area. Moreover, differences in the measurement techniques used by the RF, gauge, IMERG, and MPE may also explain the discrepancies in their rainfall estimates. The RF was trained (using tuned parameters) with MWL rainfall estimates to estimate rainfall intensities from cloud top properties of MSG. Its rainfall intensity estimates represent an average of predictions by all trees, which may explain its overestimation (underestimation) of low (high) intensities. On the other hand, the MPE algorithm relates IR brightness temperatures to the SSM/I rain rates to target convective rainfall that is mostly of high intensities and localized. IMERG is a multisensor technique; its estimates represent a spatiotemporally averaged rainfall from multiple microwave estimates.

This study's results may have implications for rainfall retrievals, benefiting various operational and research applications such as agriculture and water resources management, evaluating satellite rainfall products, particularly in the many ungauged areas. The reason is that our rainfall intensity retrievals rely on MWL and MSG satellite data, already existing in vast areas, including areas lacking conventional ground rainfall monitoring systems.

## 5 Conclusions

A new technique to estimate high spatiotemporal resolution rainfall from MSGbased cloud top properties using the RF algorithm trained with MWL rainfall intensities is investigated and evaluated for a topographically complex area in the Kenyan Rift Valley. The technique uses MSG spectral IR data not affected by solar illumination, making it applicable under daytime and nighttime conditions.

In general, the presented results show a promising technique. When comparing the technique's rainfall intensities to gauge data, the average retrieval errors were about 5 mm per 30-minutes, comparable to errors found when comparing IMERG and MPE to gauge data. Additionally, the spatial distribution of rainfall intensities retrieved agreed well with the IMERG and MPE satellite products. On top of this, the techniques' advantage is that the rainfall intensities are retrieved at high spatiotemporal resolution and is not limited by the rainfall type. Besides, it employs a machine learning technique that may potentially allow for rainfall retrievals in an automated manner.

The study's evaluation was based on a small area and limited MWL network data. In spite of this limitation, the promising results suggest that with the inclusion of data from a spatially extensive MWL network, better retrieval accuracies over vast areas are possible. Overall, this study's results demonstrate the potential of MWL and MSG data in a machine learning framework for high spatiotemporal rainfall retrievals. This is particularly beneficial for several ap-

plications since the MWL, and geostationary satellites with SEVIRI capabilities like on MSG provide global data.

# Acknowledgments

The authors appreciate Naftaly Muhoro and Maurice Miriti of Safaricom for providing the MWL RSL data used in this study. We are also grateful to the TAHMO for providing the study's gauge station data.

## **Open Research**

The MWL RSL data used in this study can be obtained through Safaricom's head office in Nairobi (www.safaricom.co.ke, accessed on 21 March 2022). The MSG and MPE data are available at EUMETSAT data archive services (https://www.eumetsat.int/eumetsat-data-centre, accessed on 21 March 2022). The GPM IMERG data can be assessed via https://gpm.nasa.gov/data/imerg (accessed on 21 March 2022).

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