# Characterization of land disturbances based on Landsat time series

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#### Abstract

We developed a new Object-based Disturbance Agent Classification Approach (ODACA) to characterize land disturbance agents based on Landsat time series. Seven major disturbance agents were characterized, including harvest, mechanical, stress, debris, hydrology, and fire. We first created the land disturbance map by using a modified COntinuous monitoring of Land Disturbance (COLD) algorithm (Zhu et al., 2020), and then established a semi-automated disturbance agent training dataset extraction framework based on existing open-source datasets, with very limited human intervention. The modified COLD algorithm was implemented based on Landsat time series from a single Landsat path to reduce the bidirectional reflectance distribution function effect and issues caused by data density disparity, and the model updating frequency was reduced from every new observation to every three percent of the number of observations used in the previous model updating to improve computational efficiency. Finally, disturbance agents were classified based on ODACA using a Random Forest model with a total of 175 predictor variables that contain rich information in the spectral, temporal, and spatial domains. Accurate land disturbance agent maps were created for five sites in the United States, with an overall accuracy of approximately 99%, and producer's and user's accuracies range from 57 to 100%, depending on specific disturbance agents.

#### 1 Characterization of land disturbances based on Landsat time series

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## 7 Abstract

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Keywords: Land Disturbance Agent; Characterization; Classification; Change Detection; Time
Series Analysis; COLD; ODACA

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## 27 1. Introduction

Land surface change plays a vital role in global environmental change (Turner II et al., 2007). In the past few decades, the Earth's surface has gone through dramatic changes triggered by various kinds of land disturbances, such as forest harvest, mechanical, debris, hydrology, insect, and fire (Edwards et al., 2014), and the spatial extent and intensity of land disturbances are getting more intensive and extensive (van Mantgem et al., 2009), making detection and characterization of land disturbances of great importance for advancing studies of land surface change and other pressing environmental issues.

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Landsat data, with a medium spatial resolution (i.e., 30 m), a high temporal revisit (i.e., 8 days 36 37 for two satellites), a long history (i.e., 50 years), and the open and free policy (Woodcock et al., 38 2008; Wulder et al., 2012; Zhu et al., 2019), has become one of the most valuable satellite datasets for monitoring global land surface change (Hansen et al., 2013; Pekel et al., 2016). 39 40 Based on the Landsat data, many algorithms have been proposed to detect land surface change, such as Disturbance Index (DI) transformation (Healey et al., 2005), Landsat-based detection of 41 42 Trends in Disturbance and Recovery (LandTrendr) (Kennedy et al., 2010), Vegetation Change 43 Tracker (VCT) (Huang et al., 2010), Composite2Change (C2C) (Hermosilla et al., 2015a), 44 Breaks For Additive Seasonal and Trend (BFAST) Monitor (Verbesselt et al., 2012), Continuous 45 Monitoring of Forest Disturbance (CMFDA) (Zhu et al., 2012), Continuous Change Detection 46 and Classification (CCDC) (Zhu and Woodcock, 2014), Continuous monitoring of Land

Disturbance (COLD) (Zhu et al., 2020), supervise-classification-based approach (Hansen et al.,
2014), and multiple change detection ensemble method (Bullock et al., 2019; Healey et al.,
2018). Some of them have been successfully implemented to generate national or global change
products (Brown et al., 2020; Hansen et al., 2013). However, most of them were designed for
detecting land cover and land use change, and only very few algorithms were proposed to detect
land disturbance. Moreover, most of the disturbance detection algorithms were only focused on
disturbance within a single cover type, such as forest disturbance (Healey et al., 2018, 2005;
Huang et al., 2010; Kennedy et al., 2007; Zhu et al., 2012). Meanwhile, the COLD algorithm,
built upon the success of the CCDC algorithm, can continuously detect land disturbance
occurring on all kinds of land surfaces based on dense Landsat time series (Zhu et al., 2020).
It is useful to know where and when land disturbance happened, but it is also beneficial to know
why they occurred, or the identification of the disturbance agent. For a long time, change agent
mapping has been focused on single a single agent, such as fire (Roy et al., 2019), water
dynamics (Pekel et al., 2016), and insect infestation (Ye et al., 2021). Recently, built on the well-
development of time series based change detection algorithms, the combined use of change
magnitude, spectral information in pre- and post-change, topography, patch metrics (e.g., size
and shape), and landscape context information has made identification of multiple forest
disturbance agents possible (e.g., harvest, fire, insect, and wind) (Coops et al., 2020; Hermosilla
et al., 2015b; Schroeder et al., 2017; Sebald et al., 2021; Zhang et al., 2022). Those studies
usually need a huge amount of labor work to interpret training data based on high-resolution
images and are not able to extend to non-forested lands.

## 71 Table 1

72 Definition of the classification system of land disturbance agents.

Categories	Definitions
Horwoot	Forested land, where trees are harvested by human activities, such as clear cut, selective
Harvest	logging, salvage logging, etc.
Machanical	Non-forested land, where human activities are the major cause of the disturbance, such
Mechanical	as agriculture practice, urban expansion, modification, and intensification.
Stress	Vegetated land, where the condition of trees or other woody vegetation is changed to a
	less favorable status by natural factors, such as insect infestation, disease, and drought.
	Land scattered with debris of natural or artificial materials that caused by hurricanes,
Debris	tornados, landslides, volcanoes, etc. Note that the movement of materials in riverine
	systems is labeled as hydrology.
Undrology	Long-term or short-term transitions from land to water or from water to land caused by
Hydrology	flooding, sea-level rising, damming, etc.
Fire	Fire burnt areas on all land surfaces, such as forests, shrublands, or grasslands.
Others	Land altered due to other causes, mostly due to vegetation regrowth and year-to-year
Oulei	climate variability.

73

74 There are various kinds of the definition of land disturbance agents, and in this study, we used a

75 more general definition of land disturbance, including *harvest*, *mechanical*, *stress*, *debris*,

76 *hydrology, fire, and other.* Table 1 describes the definition of each of them, which mainly

77 follows the USGS Land Change Monitoring, Assessment, and Projection (LCMAP) program

78 (Brown et al., 2020; Pengra et al., 2020; Xian et al., 2022).

79

80 Therefore, the main purpose of this study is to design automated algorithms to characterize land

81 disturbance agents based on Landsat time series across the conterminous United States

82 (CONUS), such as *harvest*, *mechanical*, *stress*, *debris*, *hydrology*, and *fire* (Table 1).



Figure 1. Distribution of five study sites in the United States. Each site is covered by 3-by-3 Landsat Analysis
Ready Data tiles (red squares). The background is the 2016 National Land Cover Dataset (Jin et al., 2019).

#### 88 2. Study area and data

#### 89 **2.1. Study area**

84

We selected five study sites to test our algorithms, in which each site contains 3-by-3 Landsat 90 Analysis Ready Data (ARD) tiles (Dwyer et al., 2018) (Figure 1). The study sites are in different 91 92 parts of the CONUS (New England, Southeast, Great Plains, Rocky Mountains, and Far West 93 sites), with diverse topography and environmental conditions. The major land disturbances in the New England site include forest harvest in the north, mechanical activities in urban areas (e.g., 94 Metro Boston), insect outbreaks (e.g., gypsy moth), hydrology, and debris caused by tornado. 95 The Southeast site was selected due to frequent hurricanes that caused debris, as well as the 96 97 intense forest harvest activities. In the Great Plains area, agricultural activities are the dominant disturbance type, and large areas of grasslands and wetlands are frequently disturbed by year-to-98 year climate variability (Zhou et al., 2019). The Rocky Mountains site is characterized by high 99 100 mountains, where the major land cover is forest, but often affected by insects (e.g., bark beetle) 101 and fire events. The land disturbance in the Far West site mainly involves mechanical activities

in urban and agricultural areas, large fires in mountains, large areas of grasslands/shrublands
 frequently disturbed by climate variability, and debris caused by several major earthquakes.

105 **2.2. Landsat Data** 

106 USGS Landsat Collection 1 Analysis Ready Data (ARD) were used as the major input datasets. 107 The Landsat ARD analyzed here include Landsats 4–5 Thematic Mapper, Landsat 7 Enhanced 108 Thematic Mapper Plus, and Landsat 8 Operational Land Imager /Thermal Infrared Sensor data. 109 They were provided with tiles of  $5000 \times 5000$  30-m pixels under the Albers Equal Area Conic 110 projection. For each ARD tile, surface reflectance of blue, green, red, NIR, and two SWIR bands, 111 Brightness Temperature (BT) of Thermal Infrared (TIR) band, and Quality Assessment (QA) 112 band were used in the analysis, in which the surface reflectance data were produced using the 113 Landsat Ecosystem Disturbance Adaptive Processing System (LEDAPS) Algorithm (Masek et 114 al., 2006) and the Landsat Surface Reflectance Code (LaSRC) (Vermote et al., 2016), and the 115 QA band that provides the per-pixel information on cloud, cloud shadow, and snow/ice was 116 based on the Function of mask (Fmask) algorithm (Zhu et al., 2012; Zhu et al., 2015a). All Landsat images with cloud and shadow cover less than 100% between 1982 and June 2020 were 117 118 downloaded based on the machine-to-machine Application Programming Interface (API) of 119 USGS Earth Explorer.

120

#### 121 **2.3.** Auxiliary data

122 The auxiliary data for disturbance agent classification consisted of Digital Elevation Model123 (DEM), slope, and aspect. The global 30-m DEM data were derived from Shuttle Radar

Topography Mission (SRTM), due to their relatively high accuracy (Rodriguez et al., 2006). The
slope and aspect were derived from the DEM data.

126

## 127 2.4. Land disturbance agent related open-source datasets

128 We collected the disturbance agent training data based on multiple existing open-source datasets

- related to land disturbance, which include USGS LANDFIRE public events geodatabase
- 130 (Rollins, 2009), Monitoring Trends in Burn Severity (MTBS) (Eidenshink et al., 2007), USGS
- 131 Land Cover Trends (LCT) (Loveland et al., 2002), National Land Cover Database (NLCD)
- 132 Science Research Products with information on Forest Transition Classes (FTC) (Jin et al.,
- 133 2019), European Joint Research Centre's Global Surface Water (JRC GSW) (Pekel et al., 2016),

134 survey data such as Insect and Disease Survey (IDS) (Johnson and Wittwer, 2008), and disaster

event reports such as NOAA Severe Weather Database (SWD) and NASA Global Landslide

136 Catalog (GLC) (Kirschbaum et al., 2010). Each of them was used as a base layer to help with

automated generating training data of land disturbance agents.

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#### 139 **2.5.** Calibration and validation samples

140 We generated two sets of reference samples to calibrate the agent classification algorithm (e.g.,

selecting classification strategy and optimal thresholds) and to conduct accuracy assessments for

the land disturbance agent maps, respectively. The two reference sample sets were collected

143 independent of each other, but based on the same response design to interpret the land

144 disturbance agent. In particular, the disturbance agent for each sample was determined by using

145 the Area Estimation & Accuracy Assessment (AREA2) tool at Google Earth Engine, which can

display the time series of Landsat images (Arévalo et al., 2020; Bullock et al., 2020, 2019).

Available historic high-resolution images from Google Earth and previously mentioned opensource datasets (e.g., MTBS and IDS) (Section 2.4) were also used to help the human
interpretation.

150

The calibration samples included a total of 450 pixels, that were randomly selected within the COLD disturbance locations over the 45 Landsat ARD tiles (10 samples for each tile). Since the classification algorithm does not be focused on disturbance detection, we excluded commission errors of disturbance detection caused by the COLD algorithm in calibrating the ODACA algorithm.

156

157 On the other hand, the validation samples were created by following the "good practice" 158 recommendations described by Olofsson et al., (2014). In this study, we randomly selected a 159 total of 1,525 samples based on the stratified random sampling constructed from the annual land 160 disturbance agent maps between 1985 and 2020. In this stratification, the individual reference 161 sample represents not only a location on the ground but also a place in time. Considering that 162 agent strata were rare classes compared to the non-disturbance areas, a minimum of 100 163 reference samples were allocated into these seven rare disturbance agents. The remaining 525 164 reference samples were allocated to *no disturbance* stratum. The samples with low interpretation 165 confidence were excluded (see Table 4 for the statistic of validation samples).

166

#### **3. Methods**

168 Figure 2 shows the flowchart of algorithms for characterizing land disturbance. We first

169 implemented the modified COLD algorithm to detect any kinds of land disturbances at pixel

170 level based on Landsat time series extracted from single Landsat path, and then created disturbance objects for each calendar year (Section 3.1). Next, the Object-based Disturbance 171 Agent Classification Approach (ODACA) was used to attribute the land disturbance agent, in 172 which the disturbance agent training data were generated from existing open-sources datasets 173 (Section 3.2) and used to train the iterative random forest classifiers with 175 predictor variables 174 175 (Section 3.3). The output results consist of annual disturbance agent maps with location, time, and agent, and accuracy assessment following the good practices (Olofsson et al., 2016) (Section 176 177 3.4).

178



179

**Figure 2.** Flowchart of detecting and characterizing land disturbance in this study. The main components of

- 181 ODACA are described in the large blue rectangle. COLD: COntinuous monitoring of Land Disturbance. ODACA:
- 182 Object-based Disturbance Agent Classification Approach.
- 183
- 184

#### **3.1. Detection of land disturbance**

The COLD algorithm is able to provide accurate per-pixel land disturbance information based on
dense Landsat time series. For each pixel, the inputs are consisted of the times series of seven
spectral bands, such as surface reflectance of blue, green, red, NIR, SWIR1 and SWIR2 bands,
as well as BT of TIR band.

192 
$$\hat{\rho}_{i,x} = a_{0,i} + \sum_{k=1}^{3} \{a_{k,i} \cos(\frac{2\pi k}{T}t) + b_{k,i} \sin(\frac{2\pi k}{T}t)\} + c_{1,i}$$
(1)

- 193 Where,
- *t*: Julian date
- *i*: The *i*th Landsat spectral band
- *k*: Temporal frequency of different harmonic component (k = 1, 2, and 3).
- *T*: The average number of days per year (T = 365.25)

 $a_{0,i}$ : Coefficient for capturing the overall value for the *i*th Landsat spectral band

 $a_{k,i}, b_{k,i}$ : Coefficients for capturing the intra-annual change for the *i*th Landsat spectral band

 $c_{1,i}$ : Coefficient for capturing the inter-annual change for the *i*th Landsat spectral band

 $\hat{\rho}_{i,x}$ : Surface reflectance for the *i*th Landsat spectral band at *x* Julian date based on model

- 202 prediction.



- 205 based on a Harmonic time series model (Eq. 1), using the Least Absolute Shrinkage and
- 206 Selection Operator (LASSO) with a lambda of 20 (Tibshirani, 2011). A change is identified
- 207 when consecutive six reflectance differences ( $\Delta \rho$ ), between actual observations ( $\rho$ ) and model
- predictions ( $\hat{\rho}$ ), are larger than a threshold of change probability of 0.99 (Zhu et al., 2020). Once

- a change is identified, a new Harmonic model will be estimated. If no change is confirmed, new
- 210 Landsat observations will be appended to update the model. In the COLD algorithm, only five
- 211 Landsat spectral bands, such as the green, red, NIR, SWIR1, and SWIR2 bands, are used to
- detect change, but all spectral bands will be used for estimating their specific time series models.



Figure 3. Land disturbance maps based on Landsat observations from a single path (Figure 3b) versus they are
based on all Landsat ARD (Figure 3c) for Great Plains in 3-by-3 Landsat ARD tiles. This area includes large areas
of land disturbance caused by agriculture practices and climate variability, which are particularly sensitive to the
difference of data density of Landsat time series (Figure 3a).

213

One of the issues with the original COLD algorithm is the large inconsistency of disturbance 219 220 maps between the adjacent Landsat path overlap and non-overlap regions (Figure 3c), due to 221 large differences in temporal density (Figure 3a) and the Bidirectional Reflectance Distribution 222 Function (BRDF) effects (mostly caused by different view zenith angles) (Zhu and Qiu, 2022, 223 preprint paper). We modified the COLD algorithm from using all Landsat ARD (including 224 overlap regions) to only using Landsat observation from a single path, in which Landsat ARD 225 with the smallest view zenith angles will be selected as the inputs for COLD. This will ensure a 226 homogeneous change map at a large-scale (Figure 3b). Note the density adjustment approach 227 (Zhu et al., 2020) is not needed anymore when using Landsat time series from a single path. 228 Another modification of the COLD algorithm is to reduce the model updating frequency from 229 every new observation to every 3% of the number of observations used in previous model

updating. This modification can greatly (>60%) reduce the computation time and almost achieve
the same accuracy as the original COLD algorithm based on the same reference sample provided
in Zhu et al., (2020).

233

## **3.2.** Characterization of land disturbance agent

An Object-based Disturbance Agent Classification Approach (ODACA) was developed to attribute land disturbance agents detected by the modified COLD algorithm. The annual perpixel land disturbance maps derived from the modified COLD algorithm were first aggregated into separate disturbance objects based on 8-connected directions under the assumption that land disturbance events are spatially connected within a relatively short time (e.g., one year). We removed disturbance objects that are less than four Landsat pixels (~0.4 ha), as this is usually the smallest unit that can be reliably mapped by Landsat data (Dobson, 1995).

Harvest (Forest)		<ul> <li>Model ready public events geodatabase of LANDFIRE</li> <li>1999 – 2016</li> </ul>
	$\times$	
Mechanical	$ \longrightarrow $	<ul> <li>Land cover and land use transitions based on Land Cover Trends</li> <li>1973 – 2000</li> </ul>
Insect	•	<ul> <li>National Insect and Disease Survey database</li> <li>1996 – 2019</li> </ul>
Debris*	Visual interpretation	<ul> <li>NASA Global Landslide Catalog (1996 – 2019)</li> <li>NOAA Severe Weather Database (1985-2018)</li> </ul>
Hydrology	•	Global surface water product (1986 – 2019)
Fire	•	<ul> <li>Monitoring Trends in Burn Severity</li> <li>1985 – 2019</li> </ul>
Other (e.g., regrowth & climate variability)	•	<ul> <li>Prior knowledges</li> <li>NLCD Land Cover with additional Forest Transition Classes</li> <li>2001, 2004, 2006, 2008, 2011, 2013, 2016, 2019</li> </ul>



**Figure 4.** Illustration of disturbance sample generation from the existing open-source dataset. *Debris* samples are

relatively few and were carefully interpreted based on NASA Global Landslide Catalog and NOAA Severe WeatherDatabase.

#### 246 3.2.1. Extracting disturbance agent training data based on open-source datasets

247 We assembled existing open-source datasets to build a comprehensive training dataset with 248 multiple disturbance agents (Figure 4). Based on existing disturbance reference datasets (i.e., 249 LANDFIRE and MTBS), survey data (i.e., IDS), and LCLU maps (i.e., LCT, NLCD, and GSW), 250 as well as with prior knowledge (e.g., small change magnitude for stress and greener direction in 251 other like vegetation regrowth), we can produce a record of potential disturbance agent locations 252 (hereafter referred to "potential agent map") for each agent type for each year. Later, the agent of 253 each disturbance object was determined based on the overlap between the disturbance object 254 identified by the modified COLD algorithm and the potential agent maps derived from the open-255 source dataset, and only when more than half of the pixels within each disturbance object were 256 filled by the potential agent maps, the agent can be assigned successfully. When multiple agents 257 were in the same object, a majority rule was applied. This approach was used to generate the 258 training samples for most of the land disturbance agents, but we carefully interpreted the *debris* 259 samples based on disaster event reports (e.g., hurricane, tornado, and landslide) from NOAA 260 SWD and NASA GLC (Kirschbaum et al., 2010) to guarantee the training data quality, as not all area will show up as *debris* within the trajectory of hurricane, tornado, and landslide. Table 2 261 262 shows the disturbance agent training data for each study site, which were used to train the 263 classification model.

- 264
- 265

<b>Table 2.</b> Statistics of disturbance ag	ent training data (# o	of pixels) for each stu	dy site.
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Site	Harvest	Mechanical	Stress	Debris	Hydrology	Fire	Other
New England	46,556	42,050	14,424	16,148	21,044	0	19,216
Southeast	183,815	113,418	107	477,959	333,021	0	1,611,880
Great Plains	166	366,273	0	0	169,014	196,202	5,974,637
Rocky Mountains	468,218	151,045	3,405	67	59,799	10,221,023	587,655
Far West	45,181	4,424,306	14,497	929	502,381	12,283,243	72,129,026
Total	743,936	5,097,092	32,433	495,103	1,085,259	22,700,468	80,322,414

## 267 **3.3.2. Predictor variables**

- 268 A total of 175 predictor variables for agent classification were calculated based on the outputs of
- the modified COLD algorithm, topographic data, and object-based metrics of disturbance objects
- 270 (Table 3).

### 271 Table 3

272 Predictor variables for classifying land disturbance agents. There is a total of 175 variables. Class **Input Variables** Number Change time 1 7 (1 variable \* 7 bands) Change magnitude (7 bands) During-change Change magnitude trend and variation (Slope, RMSE) 14 (2 variables \* 7 bands) Change interval 1 Change frequency (times per year) 1 Time series models of pre-change 49 (7 variables \* 7 bands) Pre-change (i.e., a<sub>0</sub>, a<sub>1</sub>, b<sub>1</sub>, a<sub>2</sub>, b<sub>2</sub>, a<sub>3</sub>, b<sub>3</sub>, and RMSE) 49 (7 variables \* 7 bands) Time series model of post-change Post-change (i.e., a<sub>0</sub>, a<sub>1</sub>, b<sub>1</sub>, a<sub>2</sub>, b<sub>2</sub>, a<sub>3</sub>, b<sub>3</sub>, and RMSE) Elevation 1 Topographic 1 Aspect 1 Slope Standard deviation of change time 1 Standard deviation of change magnitude 7 (1 variable \* 7 bands) Standard deviation of change interval 1 Area 1 Core area index 1 Related circumscribing circle Contiguity index Patch Core area Euclidean nearest-neighbor distance Fractal dimension index Radius of gyratio Number of core areas Perimeter-area ratio Perimeter 1 Shape index 1 Elevation range 1

273

There were 122 predictor variables directly calculated from the modified COLD algorithm,

which include information extracted during-change, pre-change, and after-change for every

change event at the pixel level. Figure 5 illustrates the modified COLD results for a pixel in New

277 England Area that has undergone a change in 2016 caused by *stress* (gypsy moth). For a change 278 event, the modified COLD algorithm created a time series model before change (pre-change 279 model), a time series model after change (post-change model), and during-change information 280 for each spectral band. The pre-change and post-change models indicate the land surface before 281 and after a disturbance has happened, respectively. The during-change information includes 282 change magnitude, as well as change magnitude trend and variation. Most of the COLD output 283 variables have been already well-documented in Zhu et al. (2020), except for change magnitude 284 trend and variation. The change magnitude trend represents trend of the reflectance differences 285  $(\Delta \rho)$  between the model predictions and the actual observations for the six consecutive 286 observations during the change process. A linear model based on ordinary least square regression 287 is used to estimate the trend, and the change magnitude variation is calculated based on the 288 corresponding Root Mean Square Error (RMSE), which is to measure the regression uncertainty. 289 Based on the same pixel as shown in Figure 5, Figure 6 illustrates how the change magnitude 290 trend and variation is determined based on the reflectance difference (between model prediction 291 and observation) of six consecutive Landsat observations. In this specific case, the trend and 292 variation values can provide rich information on the immediate change procedure of the natural 293 vegetation restoration after an insect attack, which could be valuable inputs for disturbance agent 294 attribution. At the same time, we also used change time to indicate when (day of year) a 295 disturbance happened, change interval to describe how long the disturbance lasted, and change 296 frequency to measure how often the disturbance occurred, as we think all these change 297 information could be extremely helpful for disturbance agent attribution. Moreover, we also 298 included three topographic predictor variables, including elevation, slope, and aspect, all of

which have already shown promise in classifying disturbance agents (Kennedy et al., 2015;

#### 300 Oeser et al., 2017).

301



Figure 5. An illustration of the modified COLD algorithm for a forest pixel (Long: -71.6430, Lat: 41.9749). Upper
panel shows the Landsat time series from 2000 to 2020, and a change caused by the gypsy moth is identified in 2016
by the COLD algorithm. Lower-right panel enlarges the during-change process and shows the corresponding
Landsat time series. Lower-left panel shows three summer Landsat images collected pre-change in 2015, duringchange in 2016, and post-change in 2017, respectively. Note the Landsat images in the lower-left panel can be
compared directly since they are displayed using a same stretch, and the area affected by the gypsy moth is most
noticeable in the 2016 image.





Figure 6. The slope and RMSE of change magnitude of six consecutive observations during change for the same
 pixel shown in Figure 5. The insect (gypsy moth) infestation initially resulted in a spectral difference, but after this
 event the differences began decrease continuously due to vegetation recovery.

315

311

316 Based on the assumptions that different disturbance agents have different spatial patterns, we

317 also computed the spatial patch metrics for each disturbance object. For instance, according to

318 change time, change magnitude, and change interval of each pixel in the same disturbance

319 object, we computed their standard deviation values to describe their texture for each disturbance 320 object. On the other hand, we calculated twelve spatial predictor variables to describe the spatial 321 pattern of disturbance object, such as size, form, and shape, using FRAGSTATS -- a spatial 322 pattern analysis program for quantifying landscape structure (Hesselbarth et al., 2019; States, 323 1995), which has been widely used to map land cover land use (Fan and Myint, 2014) as well as 324 forest disturbance agent attribution (Sebald et al., 2021). In addition, since the range of elevation 325 could also influence the type of disturbance agent, for example, debris caused by landslide would 326 be more likely to occur in regions with large elevation difference, and therefore, we computed 327 the elevation range for each disturbance object.

328

### 329 **3.3.3.** Classification strategy

In ODACA, a supervised Random Forest Classifier (RFC) (Breiman, 2001) is applied for
attributing disturbance agents, and a total of 100 decision trees were used for balancing
computation efficiency and classification accuracy (Rodriguez-Galiano et al., 2012). The training
data are pixel-based (Table 2), instead of at the object-level, since the former can provide many
more training samples with large variations of spectral information. For training pixels within the
same object, they will share the same values in the patch-based variables.

336

*Optimized local and global training data selection.* In each study site (3-by-3 Landsat ARD tile,
we trained the RFC based on a hybrid training data selection strategy, in which 60% of them
were locally selected from the nine ARD tiles in this same study site (hereafter referred to as
"local sample"), and the remaining 40% of them were extracted from the tiles in other four sites
(hereafter referred to as "global sample"). The combined use of the local and the global training

samples can avoid the issue of lacking enough training data for rare disturbance agents in certain
places and at the same time maintain enough "localness" in the selected training data. The two
proportions were determined based on the algorithm performances against the calibration
samples, in which 40% from "global" and 60% from "local" achieved the highest overall
accuracy (Figure 7). In addition, as our target was to determine the agent of each disturbance
object, we used the well-trained RFC to classify all pixels within each disturbance object and
applied a majority vote to determine the final agent for the disturbance object.



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353



Figure 7. Analyses of the impacts of percent of local training data (x-axis) and global training data (100 - x-axis)
 used in disturbance agent classification.

*Optimized class proportion training data selection.* Usually, the number of training data selected for each class should be based on the corresponding class area proportion with some limits on some dominant classes (e.g., class proportion > 40%) and some benefits for some rare classes (e.g., class proportion < 3%) (Zhu et al., 2016). However, there is no way to know the class proportion before we have a map of it. To solve this chicken-and-egg dilemma, we created a novel iterative RFC procedure, in which we first used the equal distributional training data (all classes have the same number of training data) to create the first preliminary disturbance agent 361 classification map, and then, we will use the proportion information from this preliminary map
362 (with a minimum proportion of 3% and a maximum proportion of 40%) to extract training data
363 based on mapped class proportion to train a new RFC to classify the final land disturbance agent
364 map.

365

*Optimized total number of training data.* We also tested the impact of the total number of
training data on land disturbance agent classification based on the above two optimized
strategies, and we found that the classification overall accuracy continues to increase when the
number of training samples increases, and plateaus between 5,000 and 10,000 pixels. Therefore,
a total of 10,000 training samples were selected in ODACA.



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Figure 8. Impacts of the total number of training samples on the overall accuracy of land disturbance agent
classification against the calibration samples.

376 <b>3.4.</b> <i>.</i>	Accuracy	assessment
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A confusion matrix, based on the stratified reference samples described in Section 2.5, will be 377 378 created for the accuracy assessment of land disturbance agent maps. Then, we followed the 379 approaches documented in (Olofsson et al., 2013) to compute a post-stratified estimator to 380 translate the confusion matrix into terms of unbiased accuracies, such as producer's accuracy, 381 user's accuracy, and overall accuracy. The uncertainty of the accuracy evaluation can be 382 quantified by a confidence interval of 95%. We assumed that the bias in the area of mapped 383 agents is uniformly distributed in time and space (Olofsson et al., 2016). This assumption implies 384 that although our stratification was conducted based on the accumulated area of all 36 annual 385 land disturbance maps (1985-2020), the unbiased estimator can be used for uncertainty 386 estimation of the annual maps. 387 388 4. Results 389 390 The land disturbance agent maps over the five test sites (45 Landsat ARD tiles) were evaluated 391 qualitatively and quantitatively. 392 393 **4.1.** Visual assessment of disturbance agent maps 394 In order to demonstrate the algorithm performance in characterizing land disturbances at a 395 continuous spatial mode, we accumulated all annual land disturbance agent maps between 1985 396 and 2020 to show the land disturbances that occurred most recently, that is, a single pixel could

be disturbed several times during the period of analysis, yet the map only displayed the latest

disturbance event (Figure 9). For instance, Figure 9a showed the land disturbance agent map in

399	New England, where most of the area experienced <i>harvest</i> , especially in the Northeast area (e.g.,
400	Marine), and few mechanical disturbances particularly in or around the urban area (e.g., Metro
401	Boston). According to the enlarged maps, ODACA successfully identified harvest (L1),
402	mechanical (L2), debris caused by a tornado (L3), stress caused by the gypsy moth breakout in
403	2016 (L4). Figure 9b showed the land disturbance agent map at Southeast, where most of the
404	disturbances were harvest (L1) (also see the green color area in Figure 9b), but this site was often
405	attacked by hurricanes and tornados, both of which resulted in a large area of <i>debris</i> (L2 & L3).
406	At the same time, mechanical, such as agricultural practice, usually occurred, with hydrology
407	around the river (L4). Those different land disturbance agents were successfully distinguished by
408	ODACA. Figure 9c represented the Great Plains, in which there was an extremely large area of
409	cropland, and subsequently, the mechanical disturbances (e.g., agriculture practices) were found
410	by ODACA (L1) (see red color in Figure 9c). In this site, ODACA can also identify the <i>fire</i> over
411	grassland (L2), the <i>mechanical</i> in urban areas (e.g., urban modification) (L3), and the <i>hydrology</i>
412	near the lake (L4). Figure 9d showed the land disturbance agent maps at Rocky Mountains,
413	where the major land disturbances were <i>fire</i> (L1), <i>harvest</i> (L2), and <i>mechanical</i> (e.g., agricultural
414	practice) (L3), with small amount of stress (e.g., beetle) (L4), and they were also successfully
415	attributed by ODACA. Figure 9e showed the land disturbance agent map at Far West, where
416	mechanical (L1) and fire (L2) often occurred with extremely high frequency. In this site,
417	ODACA successfully identified hydrology in the Owens Lake (L3), which was a dry lake and
418	often influenced by the upstream river, and the other over the grassland, which was caused by
419	the year-to-year climate variability.
420	

## 



#### (b) Southeast



426

#### (c) Great Plains

L2

L1

Disturbance Agent Map for 3-by-3 Tiles



## 432 (d) Rocky Mountains



Figure 9. Accumulated land disturbance agent maps between 1985 and 2020 at (a) New England area (a), Southeas
(b), Great Plains (c), Rocky Mountains (d), and Far West (e). At each site, there are 3-by-3 Landsat ARD tiles

438 covered (red rectangles in the left large map), and enlarged examples are given at four different locations (L1, L2,

- 439 L3, and L4). Color denotes the land disturbance agent, and brightness denotes the land disturbance time. The high-
- 440 resolution reference images were derived from ArcMap software.

#### **4.2.** Quantitative assessment

Table 4 showed the accuracy assessment of the disturbance agent maps. The overall accuracy of the land disturbance agent classification was 99.68%. No disturbance achieved high user's and producer's accuracies (99.81% and 99.98%), which mostly benefited from the success of the COLD algorithm. Besides, most of the land disturbance agents, including *harvest*, *hydrology*, and other, also achieved high accuracies in both user's and producer's accuracy (> 90%), but harvest still had some commission errors from *debris* and *stress* because they share similar characteristics in spectral responses (e.g., decrease in NIR surface reflectance). At the same time, there were also confusions among hydrology, other, mechanical, and debris. The user's accuracy and producer's accuracy of mechanical were 93.94% and 76.20% (dominated by the commission errors with no disturbance), respectively. The user's accuracy of fire was higher than 95.70%, but its producer's accuracy was 80.46% because of commission errors contributed from stress, debris, and harvest. The user's accuracy and the producer's accuracy of stress were 68.42% and 60.82%, respectively, which are mainly caused by confusion in *harvest* (e.g., thinning) and low severity *fire*. The producer's accuracy of *debris* is very high (almost 100%), but its user's accuracy is relatively low (57.29%), mostly due to the large commission errors from *harvest* and mechanical. 

## 483 Table 4

484 Confusion matrices and accuracy estimates for land disturbance agent map (with *no disturbance*). The reference

485 samples and area estimations are displayed in columns and the map strata are displayed in rows. ± indicate the 95%
 486 confidence interval.

	No Disturbance	e Harvest	Mechanical	Stress	Debris	Hydrology	Fire	Other
Confusion Matrix of	Area Proportion	ns (Unit: %)						
No Disturbance	97.22	0	0.19	0	0	0	0	0
Harvest	0	0.39	0	0	0	0	0.01	0
Mechanical	0.02	0	0.73	0	0	0	0	0.03
Stress	0	0	0	0.01	0	0	0	0
Debris	0	0.01	0	0	0.01	0	0	0
Hydrology	0	0	0	0	0	0.06	0	0
Fire	0	0	0	0	0	0	0.1	0
Other	0	0	0.04	0	0	0	0.01	1.15
<b>Confusion Matrix of</b>	Sample Counts	(Unit: Pixel)						
No Disturbance	524	0	1	0	0	0	0	0
Harvest	0	94	1	1	0	1	2	1
Mechanical	2	0	93	0	0	0	0	4
Stress	3	4	1	39	0	1	8	1
Debris	3	24	6	1	55	0	4	3
Hydrology	0	0	1	0	0	95	1	2
Fire	2	0	1	0	0	0	89	1
Other	0	0	3	0	0	0	1	96
Accuracy Estimates (	(Unit: %)							
Area Proportion	97.43±0.01	$0.40\pm0.01$	0.78±0.03	0.01±0.00	$0.01 \pm 0.00$	0.06±0.00	0.12±0.01	1.19±0.03
User's Accuracy	99.81±0.19	94.00±2.39	93.94±2.41	68.42±6.21	57.29±5.08	95.96±1.99	95.70±2.12	96.00±1.97
Producer's Accuracy	99.98±0.01	98.58±0.24	76.20±14.80	60.82±22.88	100.00±0.00	92.94±6.31	80.46±9.07	96.74±1.31
<b>Overall Accuracy</b>	99.68±0.19							

# 487

## 488

## 489 **5. Discussions and conclusion**

490 The new-developed ODACA can classify different kinds of land disturbance agents for five

491 different sites across the United States by using the training data extracted from available open-

492 source datasets. It is worth noting that though most of the training data are selected fully

493 automated from the open-source datasets, they are also subject to errors and could be further

494 improved if more human interpretations are provided to help with refining the training data. For

495 example, we spent quite some time on refining the debris training data, but its large commission

496 error is still a major issue in our final disturbance agent map. In addition, all the predictor 497 variables were used to produce land disturbance agent maps in this study; however, selection of 498 the important predictor variables may benefit the computation efficiency for RFC-based 499 classification tasks and improve classification accuracy when redundant variables are excluded 500 (Chen et al., 2021; Sebald et al., 2021). Additionally, ODACA still faced misclassifications 501 among harvest, debris, and other due to their similar spectral responses. The integration of 502 auxiliary data such as weather reports, geological data, and social-environmental data (e.g., 503 Twitter), are some of the future directions.

504

505 ODACA relies on the land disturbance detection results from the COLD algorithm, but COLD 506 also has commission and omission errors in land disturbance detection (Zhu et al., 2020), and 507 those errors will be inherited in the land disturbance agent maps. Methods that could combine the 508 spatio-temporal information in change detection could potentially reduce the omission and 509 commission of the COLD algorithm and provide more accurate land disturbance detection for 510 ODACA to start with worth more future studies. In this study, we put climate variability into the other class, mostly because they are relatively ephemeral and have less long-term impact on the 511 512 vegetation on the ground. This category, however, is not always interpreted as land change 513 (Brown et al., 2020; Pengra et al., 2020) and is sometimes treated as commission errors in land 514 disturbance detection. By putting them into the other category, we are able to reduce the 515 commission error of the COLD algorithm, which is also the case for spectral changes caused by 516 vegetation regrowth. On the other hand, omission errors of COLD are usually large for the small 517 magnitude and ephemeral changes, such as stress caused by insect (Ye et al., 2021), and thus the 518 use of the agent map of stress needs attention.

In conclusion, we implemented the modified COLD algorithm to detect land disturbance at a
large-scale using Landsat time series from a single path and developed an Object-based
Disturbance Agent Classification Approach (ODACA) for automated characterizing different
kinds of land disturbance agents, with an overall accuracy of approximately 99% in the land
disturbance agent map. The algorithms could potentially create CONUS-wide land disturbance
agent products at 30-m resolution annually.

525

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532

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