

# Understanding the regional background ozone using multiple methods: A case study in northern China

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## Abstract

Υπρισινγ γρουνδ-λεελ οζονε ( $O_3$ ) ανδ ιτς ρεγιοναλ πολλυτιον ιν εαστερν ηινα αρε αττραστινγ μορε αττεντιον. Ον τοπ οφ λοσαλ πρεσυρσορ εμισσιονς ανδ πηοτοσημεστρη, βασκγρουνδ οζονε ανδ λογγ-ρανγε τρανσπορτ αλσο ζοντριβυτε σηνιφιζαντλψ το  $O_3$  ζονζεντρατιονς. Το χυαντιψ της ρεγιοναλ  $O_3$  βασκγρουνδ ζονζεντρατιονς ανδ ιτς ψεαρλιψ ανδ σεασοναλ αριατιονς, μυλτιπλε μετηοδς, ινζλυδινγ της πρινσιπαλ ζομπονετ αναλψις (ΠΑ) ανδ της Τεξας δμμιςσιον ον Ενιρονμενταλ Χυαλιτψ (ΤΕΧ) μετηοδ, ωερε απλιεδ φορ α ζασε στυδιψ ιν Σηανδονγ (ΣΔ) προινζε ιν Νορτη ηινα, ωηερε σεριοϋς  $O_3$  πολλυτιον οςζυρρεδ φορεχυεντλψ ψετ της βασκγρουνδ ζοντριβυτιονς ηαε νοτ βεεν ωελλ χυαντιφιεδ. Ρεσυλτς δεριεδ φορομ μυλτιπλε μετηοδς σηοω αν οεραλλ ζοσιςτεντ τρενδ ωπη 2018-2020 αεραγεδ ρεγιοναλ βασκγρουνδ  $O_3$  (ΜΔΑ8) οφ  $88.9 \mu\text{g}/\mu^3$ , αςζουντινγ φορ 79.4% οφ τοταλ  $O_3$  ιν της ρεγιον. Φρομ 2018-2020, της ζηανγεϋς οφ ρεγιοναλ ΜΔΑ8  $O_3$  εστιματεδ βψ Μετηοδς 1, 2, 3, ανδ 4 αρε  $-3.8 \mu\text{g}/\mu^3$ ,  $1.6 \mu\text{g}/\mu^3$ ,  $-5.2 \mu\text{g}/\mu^3$  ανδ  $0.9 \mu\text{g}/\mu^3$ , ρεσπεςτιελψ. Ύλεαρ σεασοναλ αριατιονς ιν της ρεγιοναλ βασκγρουνδ  $O_3$  αρε οβσερεδ, σηοωινγ α παττερν οφ συμμερ > σπρινγ > αυτυμ > ωιντερ. Ιν αδιδιτιον, της ρεγιοναλ οζονε ζοντριβυτιον ατ ζοασταλ ζιτιεϋς ωασ λαργγερ τηαν τηατ φορ ινλανδ ζιτιεϋς ωηερεαϋ λοσαλ  $O_3$  φορματιον γραδυαλλιψ ινζρεασεδ φορομ ζοασταλ αρεαϋ το ινλανδ αρεαϋ. Τηε σεα-λανδ ωινδ ζοντριβυτιον το  $O_3$  ιν της εαστερν ζοασταλ ζιτιεϋς ιν συμμερ ωασ αρουνδ 2.1% ατ της τηρεε-ψεαρ αεραγε λεελ, ωηιλε της λοσαλ πηοτοσημεστρη το  $O_3$  ιν της ινλανδ ζιτιεϋς ωασ αβουτ 29.7% δυρινγ οζονε σεασον. Λοσαλ πηοτοσημεζαλ ζοντριβυτιον το  $O_3$  ιν ινλανδ ζιτιεϋς δυρινγ οζονε πολλυτιον επισοδεϋς ζαν ρεαση υπ το 55.8%.

## Supplement of

Understanding regional background ozone by multiple methods: A case study in the Shandong region, China, 2018-2020

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**Figures S1** Time series of the amplitude of four principal components by Method 1, temperature, relative humidity, and wind speed in the SD region from 2018 to 2020.

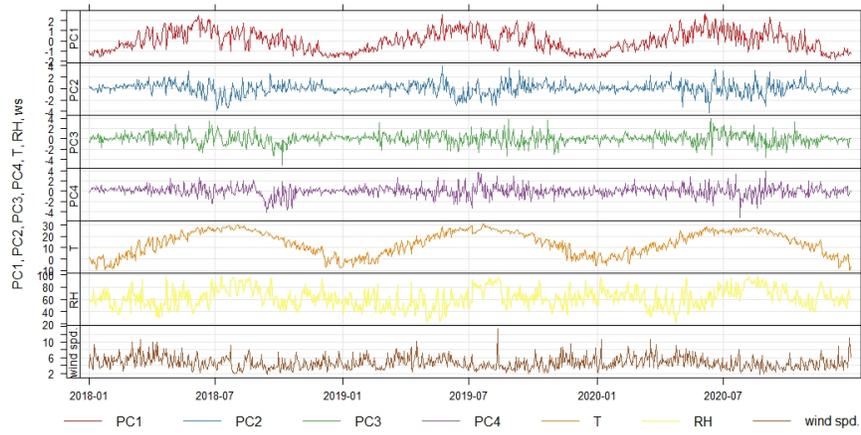
**Figures S2** Scatter plot of the four principal components against temperature by Method 1.

**Figures S3** Scatter plot of the four principal components against wind speed by Method 1.

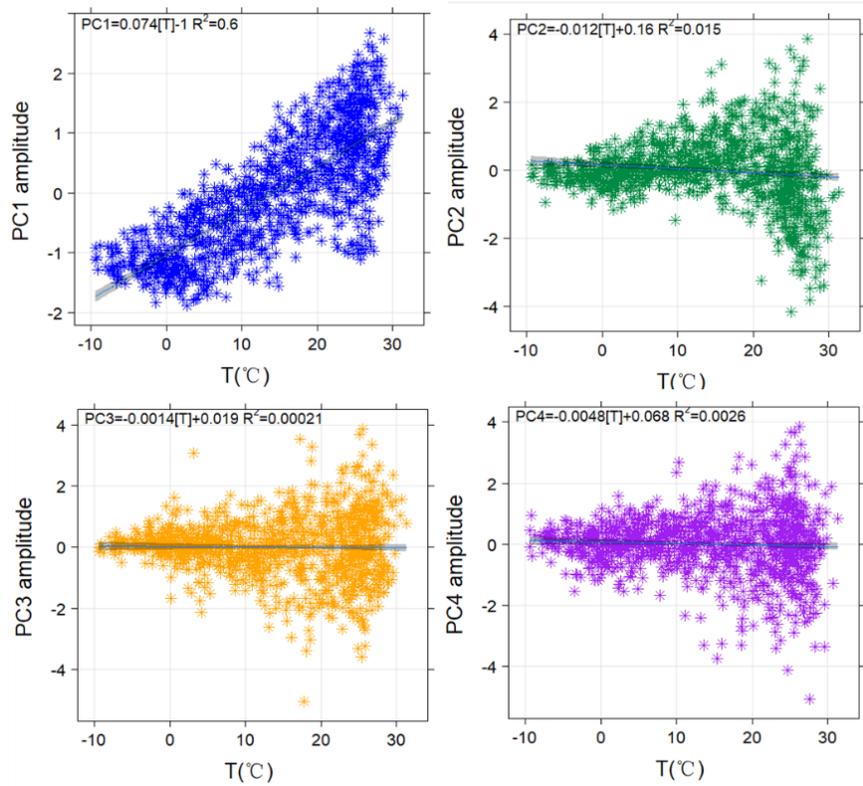
**Figures S4** Example of Weihai where  $O_3$ ,  $\text{NO}_2$  and WS explain the variation in PC1 amplitude.

**Figures S5** Example of Weihai where  $O_3$ ,  $\text{NO}_2$  and WS explain the variation in PC2 amplitude.

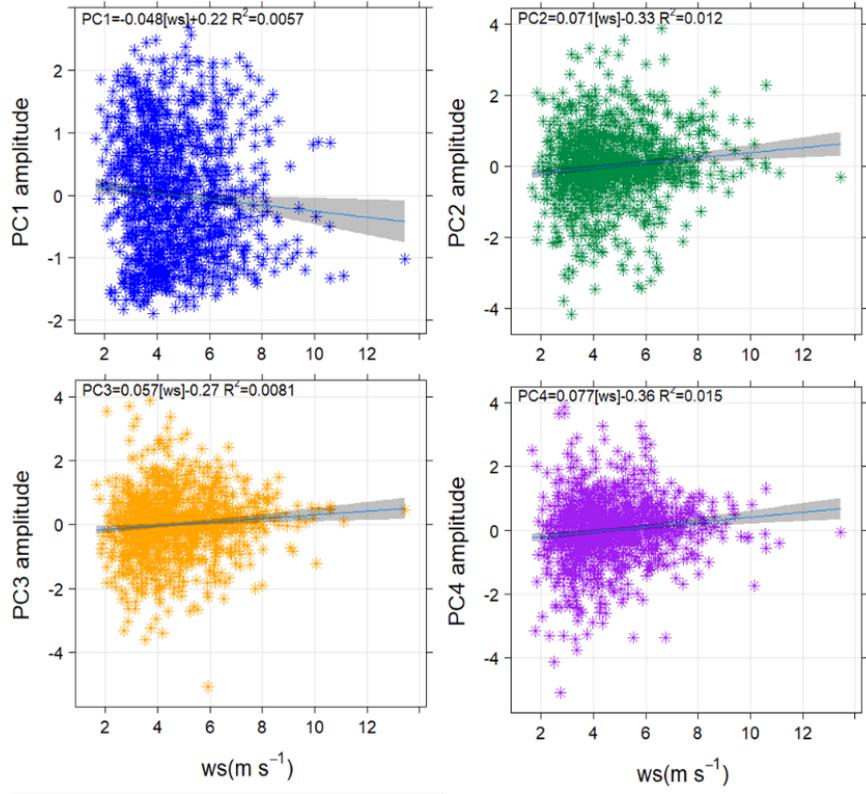
**Table S1** The loadings associated with each principal component using Method 1.



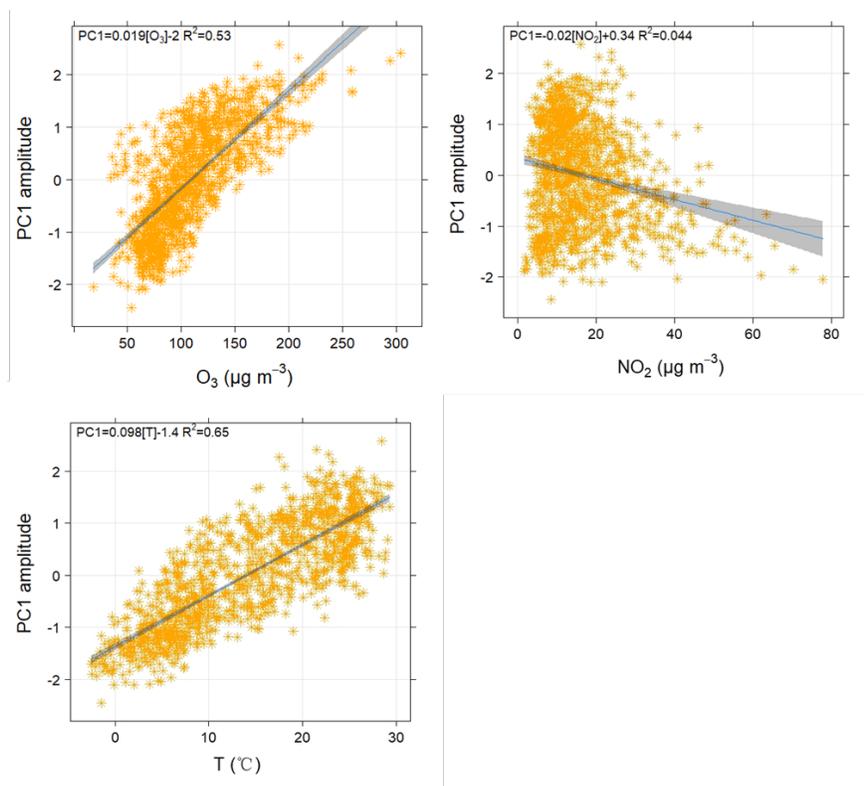
**Figure S1** . Time series of the amplitude of four principal components by Method 1, temperature, relative humidity, and wind speed in the SD region from 2018 to 2020.



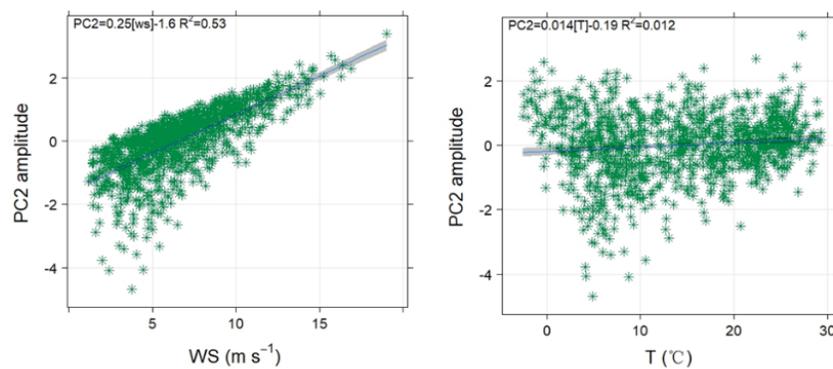
**Figure S2** . Scatter plot of the four principal components against temperature by Method 1.



**Figure S3** . Scatter plot of the four principal components against wind speed by Method 1.



**Figure S4** . Example of Weiwei where  $O_3$ ,  $NO_2$  and  $WS$  explain the variation in PC1 amplitude.



**Figure S5** . Example of Weiwei where  $O_3$ ,  $NO_2$  and  $WS$  explain the variation in PC2 amplitude.

**Table S1** . The loadings associated with each principal component using Method 1.

Site name	Latitude	Longitude	2018	2018	2018	2018	2019	2019	2019	2019	2020	
			PC1	PC2	PC3	PC4	PC1	PC2	PC3	PC4	PC1	PC2
BZXX	37.393	117.978	0.911	-0.241	0.108	-0.118	0.923	-0.214	0.149	-0.068	0.899	-0.172
DESC	37.362	118.002	0.893	-0.276	0.107	-0.116	0.925	-0.208	0.140	-0.090	0.910	-0.147
SHBJ	37.431	118.667	0.923	-0.096	0.093	-0.170	0.927	-0.082	0.165	-0.182	0.893	-0.023

Site name	Latitude	Longitude	2018	2018	2018	2018	2019	2019	2019	2019	2020	
ETLY	37.467	116.303	0.919	-0.226	0.148	-0.015	0.922	-0.248	0.112	0.061	0.917	-0.228
HZXY	35.27	115.455	0.914	-0.219	-0.057	0.127	0.885	-0.181	-0.122	0.198	0.860	-0.275
HBJZZ	36.44	120.61	0.779	0.358	0.180	0.218	0.874	0.331	0.031	-0.019	0.874	0.308
JCEC	36.645	116.949	0.920	-0.304	0.095	0.054	0.938	-0.269	0.046	0.149	0.926	-0.293
JLZ	37.445	116.299	0.906	-0.247	0.145	-0.020	0.929	-0.237	0.095	0.065	0.911	-0.212
JQQCJSC	37.438	116.272	0.910	-0.228	0.167	0.010	0.925	-0.250	0.091	0.072	0.915	-0.233
KFQ	36.673	117.127	0.910	-0.266	0.096	0.027	0.915	-0.284	0.047	0.097	0.920	-0.283
KFQGWH	37.434	118.69	0.931	-0.104	0.072	-0.183	0.928	-0.074	0.175	-0.173	0.888	-0.018
KGS	36.611	116.986	0.927	-0.235	0.123	0.060	0.939	-0.242	0.060	0.131	0.929	-0.262
NKS	36.665	116.938	0.928	-0.239	0.125	0.075	0.924	-0.274	0.042	0.174	0.908	-0.284
SZZCK	36.687	117.062	0.919	-0.264	0.140	0.034	0.928	-0.284	0.051	0.125	0.925	-0.280
SJCZ	36.663	117.05	0.925	-0.241	0.140	0.062	0.933	-0.268	0.064	0.141	0.924	-0.273
SZX	35.238	115.475	0.910	-0.213	-0.073	0.136	0.893	-0.149	-0.153	0.200	0.900	-0.240
CQDX	36.548	116.768	0.923	-0.287	0.071	0.046	0.930	-0.251	0.034	0.169	0.922	-0.272
DHC	35.404	116.549	0.941	-0.196	-0.100	0.117	0.934	-0.152	-0.168	0.055	0.909	-0.229
HJC	35.43	116.625	0.941	-0.191	-0.114	0.109	0.939	-0.153	-0.175	0.048	0.934	-0.204
SJCZ	36.662	117.049	0.925	-0.241	0.140	0.062	0.933	-0.268	0.064	0.141	0.924	-0.273
HDBXGC	35.089	118.396	0.917	0.027	-0.312	0.058	0.929	0.032	-0.246	-0.167	0.909	0.045
LDDX	36.434	116.007	0.929	-0.225	0.065	0.082	0.932	-0.254	0.008	0.160	0.918	-0.270
LNZY	35.058	118.295	0.917	-0.010	-0.324	0.050	0.914	0.031	-0.251	-0.184	0.923	0.022
QZF	36.437	115.985	0.922	-0.267	0.063	0.051	0.932	-0.246	0.018	0.161	0.921	-0.269
XGMFC	34.998	118.279	0.921	0.031	-0.308	0.088	0.931	0.021	-0.264	-0.159	0.918	0.012
QHXQ	35.053	118.343	0.917	-0.019	-0.316	0.059	0.929	0.020	-0.259	-0.170	0.919	0.032
CYQZZ	36.308	120.4	0.873	0.371	-0.021	-0.037	0.905	0.311	-0.009	-0.003	0.903	0.325
HDQZZ	35.961	120.198	0.803	0.491	-0.126	-0.098	0.850	0.398	-0.089	0.148	0.835	0.397
LSQZZ	36.086	120.458	0.747	0.557	-0.099	-0.110	0.821	0.473	-0.075	0.166	0.827	0.441
SBQZZ	36.07	120.347	0.746	0.485	-0.061	-0.009	0.810	0.383	-0.071	0.173	0.790	0.355
SNQDBZZ	36.066	120.413	0.767	0.538	-0.104	-0.101	0.818	0.465	-0.085	0.167	0.803	0.454
SNQXBZZ	36.054	120.299	0.731	0.527	-0.152	-0.169	0.818	0.440	-0.102	0.147	0.825	0.410
SFQZZ	36.103	120.366	0.808	0.510	-0.065	-0.063	0.861	0.421	-0.088	0.124	0.867	0.386
GWJ	35.404	119.559	0.767	0.373	-0.320	-0.131	0.839	0.328	-0.214	0.006	0.824	0.294
JCZ	35.421	119.471	0.855	0.039	0.078	0.136	0.974	0.000	-0.050	-0.050	0.974	-0.008
DLXX	36.176	117.109	0.936	-0.244	-0.018	0.033	0.944	-0.178	-0.076	0.024	0.884	-0.273
RKXX	36.202	117.089	0.956	-0.156	-0.014	0.051	0.949	-0.184	-0.070	0.021	0.932	-0.213
SZFGC	35.422	119.523	0.780	0.365	-0.323	-0.117	0.859	0.322	-0.196	-0.020	0.843	0.323
FZYZ	36.652	119.164	0.926	-0.054	-0.064	-0.112	0.948	0.007	0.060	-0.184	0.937	0.041
FSHBJ	37.497	121.261	0.862	0.333	0.175	-0.079	0.890	0.212	0.274	-0.103	0.859	0.325
HTJCZ	36.773	119.194	0.946	0.017	0.026	-0.100	0.946	0.007	0.091	-0.200	0.934	0.038
HXJX	37.424	122.121	0.744	0.506	0.166	-0.085	0.844	0.358	0.208	-0.012	0.766	0.475
HBJZZ	36.702	119.144	0.954	-0.032	-0.002	-0.080	0.947	-0.010	0.092	-0.191	0.944	0.028
MPJCY	37.401	121.599	0.864	0.367	0.176	-0.040	0.873	0.251	0.243	-0.069	0.837	0.396
SDFX	37.524	122.051	0.738	0.449	0.227	-0.120	0.820	0.377	0.249	-0.021	0.781	0.451
STQHBJ	35.099	117.452	0.871	-0.020	-0.270	0.097	0.918	-0.032	-0.287	-0.099	0.897	-0.064
SZQZF	34.844	117.558	0.904	-0.082	-0.303	0.142	0.934	0.002	-0.279	-0.119	0.904	-0.062
TEZQHBJ	34.558	117.728	0.889	-0.039	-0.337	0.156	0.886	0.049	-0.320	-0.124	0.862	-0.043
XJHGZ	37.544	121.318	0.867	0.306	0.175	-0.091	0.877	0.270	0.290	-0.084	0.847	0.355
XCHBJ	34.784	117.285	0.900	-0.063	-0.303	0.165	0.920	0.001	-0.285	-0.109	0.905	-0.105
ZCQZF	34.775	117.585	0.891	-0.113	-0.331	0.144	0.923	0.041	-0.279	-0.114	0.900	-0.074
ZCW	36.702	119.12	0.954	-0.048	0.023	-0.078	0.945	0.012	0.088	-0.189	0.942	0.047
ZCC	37.543	121.372	0.864	0.320	0.157	-0.070	0.894	0.207	0.264	-0.070	0.859	0.344

Site name	Latitude	Longitude	2018	2018	2018	2018	2019	2019	2019	2019	2020	
SQXJ	35.249	115.423	0.901	-0.192	-0.069	0.120	0.900	-0.170	-0.120	0.182	0.886	-0.24
XCYGHBGC	37.466	118.502	0.932	-0.105	0.118	-0.171	0.926	-0.125	0.165	-0.175	0.878	-0.06
YK	36.24	120.666	0.801	0.426	-0.021	-0.101	0.842	0.406	0.000	0.047	0.824	0.411
RSSHBJ	36.913	121.531	0.656	0.517	0.276	0.370	0.832	0.407	0.155	0.100	0.826	0.437
RSSQXJ	36.907	121.544	0.681	0.494	0.293	0.372	0.756	0.425	0.158	0.125	0.697	0.385
WDSSCGC	37.187	122.019	0.630	0.542	0.336	0.323	0.791	0.431	0.206	0.051	0.828	0.415
DFHGC	36.841045	118.025759	0.924	-0.228	0.084	-0.148	0.942	-0.219	0.085	-0.040	0.927	-0.20

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1 Understanding the regional background ozone using multiple methods: A  
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3

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6

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8 **Abstract**

9 Uprising ground-level ozone (O<sub>3</sub>) and its regional pollution in eastern China are  
10 attracting more attention. On top of local precursor emissions and photochemistry,  
11 background ozone and long-range transport also contribute significantly to O<sub>3</sub>  
12 concentrations. To quantify the regional O<sub>3</sub> background concentrations and its yearly  
13 and seasonal variations, multiple methods, including the principal component analysis  
14 (PCA) and the Texas Commission on Environmental Quality (TCEQ) method, were  
15 applied for a case study in Shandong (SD) province in North China, where serious O<sub>3</sub>  
16 pollution occurred frequently yet the background contributions have not been well  
17 quantified. Results derived from multiple methods show an overall consistent trend  
18 with 2018-2020 averaged regional background O<sub>3</sub> (MDA8) of 88.9 µg/m<sup>3</sup>, accounting  
19 for 79.4% of total O<sub>3</sub> in the region. From 2018-2020, the changes of regional MDA8  
20 O<sub>3</sub> estimated by Methods 1, 2, 3, and 4 are -3.8 µg/m<sup>3</sup>, 1.6 µg/m<sup>3</sup>, -5.2 µg/m<sup>3</sup> and 0.9  
21 µg/m<sup>3</sup>, respectively. Clear seasonal variations in the regional background O<sub>3</sub> are  
22 observed, showing a pattern of summer > spring > autumn > winter. In addition, the  
23 regional ozone contribution at coastal cities was larger than that for inland cities  
24 whereas local O<sub>3</sub> formation gradually increased from coastal areas to inland areas.  
25 The sea-land wind contribution to O<sub>3</sub> in the eastern coastal cities in summer was  
26 around 2.1% at the three-year average level, while the local photochemistry to O<sub>3</sub> in  
27 the inland cities was about 29.7% during ozone season. Local photochemical

28 contribution to O<sub>3</sub> in inland cities during ozone pollution episodes can reach up to  
29 55.8%.

30

### 31 **Plain Language Summary:**

32 Multiple methods including PCA with different sets of data inputs, PCA-MLR, TCEQ  
33 are applied to quantify the regional background ozone in a typical region (SD) in  
34 north China for year 2018-2020. Annual and seasonal changes of the regional  
35 background ozone are estimated. Contributions from sea-land wind circulation and  
36 local photochemical formation to ozone are also analyzed.

37

## 38 **1. Introduction**

39 Tropospheric ozone is a typical secondary pollutant, which adversely affects the  
40 public health, crop yields, and air quality [*Chen et al.*, 2007; *Schauberger et al.*, 2019;  
41 *Suciu et al.*, 2017; *Tai and Martin*, 2017]. Additionally, O<sub>3</sub> is the third most important  
42 greenhouse gas and thus has a significant impact on global climate change  
43 [*Morgenstern et al.*, 2014]. A small amount of tropospheric O<sub>3</sub> is transported from the  
44 stratosphere; it is mainly produced via photochemical reactions by precursors (NO<sub>x</sub>,  
45 VOCs, and CO) in the atmosphere. In general, at any location, the measured surface  
46 O<sub>3</sub> is the sum of the regional background O<sub>3</sub> and locally produced O<sub>3</sub> [*Berlin et al.*,  
47 2013; *Nielsen-Gammon et al.*, 2005]. Regional background O<sub>3</sub> refers to the amount of  
48 O<sub>3</sub> transported into the area by large-scale winds [*Langford et al.*, 2009;  
49 *Nielsengammon et al.*, 2005], which mainly includes the photochemical effects of  
50 natural emissions of VOCs, NO<sub>x</sub>, and CO; long-range transport of O<sub>3</sub> from distant  
51 pollutant sources; and O<sub>3</sub> from stratosphere–troposphere gas exchange [*Langford et*  
52 *al.*, 2009; *Vingarzan*, 2004].

53

54 The regional background O<sub>3</sub> concentrations considered in this work is distinct from  
55 the definitions used elsewhere: “local background O<sub>3</sub>”; “baseline O<sub>3</sub>”;  
56 “policy-relevant background (PRB) O<sub>3</sub>” and “Local background O<sub>3</sub>”, which include  
57 O<sub>3</sub> redistributed by local circulation, originate without local anthropogenic O<sub>3</sub>  
58 precursors [*Langford et al.*, 2009]. “Baseline O<sub>3</sub>” is defined as O<sub>3</sub> measured at a given  
59 site in the absence of strong local emissions of anthropogenic precursors [*Chan and*  
60 *Vet*, 2010]. “PRB O<sub>3</sub>” is the O<sub>3</sub> level in the area in absence of local anthropogenic

61 O<sub>3</sub> precursors [L Zhang *et al.*, 2011]. PRB O<sub>3</sub> is a concept based on a model; therefore,  
62 it is distinct from other terms [McDonald-Buller *et al.*, 2011].

63

64 O<sub>3</sub> pollution has become increasingly prominent and has shown obvious regional  
65 pollution characteristics [Dai *et al.*, 2021; Dang and Liao, 2019]. To prevent and  
66 control O<sub>3</sub> pollution, it is of scientific significance to quantify the background and  
67 local O<sub>3</sub> contributions to clarify the limit of O<sub>3</sub> reduction by controlling anthropogenic  
68 precursors [Vingarzan, 2004]. Regarding the concentration of regional background O<sub>3</sub>,  
69 existing research has not fully addressed this problem. The most commonly used  
70 methods for calculating regional background O<sub>3</sub> concentrations are the background  
71 in-situ measurement, the PCA method, and the TCEQ regional background O<sub>3</sub>  
72 estimation method [WU *et al.*, 2017]. Langford *et al.* were the first to use PCA to  
73 analyze the regional background O<sub>3</sub> concentration for Texas in 2006 and identified the  
74 first principal component (explained variance of 84%) as the regional background O<sub>3</sub>  
75 concentration, which was demonstrated by the spatial distribution of load and  
76 meteorological conditions [Langford *et al.*, 2009]. Using the same method, Liang *et al.*  
77 analyzed the regional background O<sub>3</sub> in the Yangtze River Delta region in May 2016;  
78 they posited that local production had a significant contribution to the high  
79 concentrations of O<sub>3</sub> [Liang *et al.*, 2018]. Based on the aforementioned method, Suciu  
80 *et al.* innovatively inserted meteorological parameters into the PCA, restricted the  
81 regional background O<sub>3</sub>, and obtained a more reasonable result [Suciu *et al.*, 2017].  
82 The TCEQ regional background O<sub>3</sub> estimation method is simpler than PCA but has  
83 higher requirements for the number of monitoring stations, representativeness of the  
84 regional distribution, and integrity of the monitoring data [WU *et al.*, 2017]. The  
85 TCEQ method defines the minimum MDA8 O<sub>3</sub> for all monitoring sites in the study  
86 area as the regional background O<sub>3</sub>, and the difference between the maximum and  
87 minimum values as locally generated O<sub>3</sub> [Nielsengammon *et al.*, 2005]. Xue *et al.*  
88 used the TCEQ method to study the relative contribution of the regional background  
89 O<sub>3</sub> and local O<sub>3</sub> generation to O<sub>3</sub> level in Hong Kong, and further investigated the  
90 long-term trend in regional background O<sub>3</sub> from 2002 to 2013. They found that the  
91 regional background contribution accounted for approximately 70% of the total O<sub>3</sub>,  
92 and the increase in regional background O<sub>3</sub> concentration was the major factor for the  
93 increase in urban O<sub>3</sub> concentration [Xue *et al.*, 2014]. However, the estimation of  
94 regional background O<sub>3</sub> derived by different methods has obvious differences, causing

95 high uncertainties. It is of scientific significance to get an overview of the regional  
96 background O<sub>3</sub> and understand their variations based on multiple methods.

97

98 China has experienced significant O<sub>3</sub> pollution in recent years, particularly in the  
99 North China Plain (NCP), one of the most economically developed and polluted  
100 regions [Ma et al., 2016; Sun et al., 2021]. Shandong is one of the provinces with  
101 serious O<sub>3</sub> pollution in NCP, where the 90<sup>th</sup> percentile of the annual average MDA8  
102 O<sub>3</sub> climbed from 154 to 186 µg/m<sup>3</sup> in 2015-2019 [M Zhang et al., 2021]. However,  
103 the regional background O<sub>3</sub> concentration in the SD region has rarely been studied. In  
104 this study, taking SD province as a case study, we used multiple methods, including  
105 the PCA method, PCL-MLR method, TCEQ method and background in-situ  
106 measurement method to quantify the regional background O<sub>3</sub> concentrations in the SD  
107 region. PCA is run twice with single variable (only MDA8 O<sub>3</sub>) and multivariable  
108 (MDA8 O<sub>3</sub>, NO<sub>2</sub>, wind direction (WD), wind speed (WS), and temperature (T)) as  
109 inputs respectively. The results of PCA analyses, TCEQ method, and background  
110 in-situ measurement were compared. On the basis of the aforementioned analysis, we  
111 estimated annual changes in the regional background O<sub>3</sub> concentrations, their seasonal  
112 variations, and their spatial distributions in the SD region to evaluate the contributions  
113 of O<sub>3</sub> by region and provide effective scientific and technological support for the  
114 prevention and control of O<sub>3</sub> pollution in the SD region and can apply it to other  
115 regions.

116

## 117 **2. Methodology**

### 118 **2.1 Data collection and preprocessing**

119 Hourly data on O<sub>3</sub> and NO<sub>2</sub> were collected from 96 Air Quality Monitoring Stations  
120 (AQMSs) in Shandong Province from 2018 to 2020. These data were measured by the  
121 China National Environmental Monitoring Centre (<http://datacenter.mee.gov.cn>). The  
122 data processing method used in this study is similar to the process reported by Chu et  
123 al. [Chu et al., 2020]. First, we deleted the missing values and zero values of site data.  
124 Second, we calculated the efficiency of the data [Shamsipour et al., 2014], sites with  
125 an efficiency lower than 90% were excluded. Thus, 66 AQMSs were selected after

126 data screen, which covered all cities. Third, the missing values and zero values were  
127 filled with linear interpolation to calculate O<sub>3</sub>MDA8 [Ottoosen and Kumar, 2019]. For  
128 data missing for more than 3 consecutive days, linear interpolation was not used,  
129 instead the average of the continues observational data at the remaining sites were  
130 used as replacement for such data. The spatial distribution of these sites is shown in  
131 Fig. 1.

132

133 Meteorological data were extracted from the National Centers for Environmental  
134 Prediction (NCEP) final operational global analysis data files with temporal and  
135 spatial resolutions of six hours and 2.5°×2.5°, respectively  
136 (<https://www.psl.noaa.gov/data/gridded/data.ncep.reanalysis.html>). A large subset of  
137 these data is available from the Physical Sciences Laboratory in its original  
138 four-times-daily format and as daily averages. Seven grids covered the SD region, and  
139 the corresponding grid meteorological data were matched to the AQMS. The  
140 meteorological data contained three elements, temperature, u-wind, and v-wind;  
141 temperature is the data for ground 2m height and both winds are at sigma level 995.

## 142 **2.2 PCA Method**

143 The variation in O<sub>3</sub> concentration varies significantly over time and is influenced by  
144 the emission of local O<sub>3</sub> precursors and by meteorological conditions [Wang *et al.*,  
145 2019]. When the meteorological conditions are relatively stable, O<sub>3</sub> concentrations are  
146 more likely to be affected by the local photochemistry [Shan *et al.*, 2009] whereas the  
147 influence of regional transmission increases as the atmospheric diffusion conditions  
148 improve. Therefore, we used the PCA method to analyze the regional background O<sub>3</sub>  
149 concentrations by analyzing the multi-site MDA8 O<sub>3</sub> and the single-site MDA8 O<sub>3</sub>  
150 with NO<sub>2</sub>, WD, WS, and T data at various sites in the SD region after stripping out the  
151 impact of anthropogenic emissions on the changes in O<sub>3</sub> concentration. Then, we  
152 interpreted the results of PCA in combination with meteorological data.

153

154 PCA is effective for dimensionality reduction and simplifying the system structure by  
155 converting multiple indicators into several uncorrelated comprehensive indicators  
156 (principal components) under the premise of less information loss through the  
157 correlation coefficient (or variance covariance) matrix [MURTAGH *et al.*, 1987]. In

158 general, the first few principal components can explain most of the variance in the  
 159 original variables, and the results of these principal components are used to explain  
 160 the original observations [Abdul-Wahab *et al.*, 2005]. PCA can be combined with  
 161 multiple linear regression (MLR) methods, where the resolved principal components  
 162 are considered as ozone sources, factor scores are considered as independent variables,  
 163 and pollutant concentrations after normalization are considered as dependent variables,  
 164 to predict and further determine the contribution rates of regional background O<sub>3</sub>  
 165 [Jolliffe, 2005; Statheropoulos *et al.*, 1998].

166

167 PCA was used to calculate the regional background O<sub>3</sub> concentration. First, we  
 168 assumed that all stations in the study area were affected by regional transport air  
 169 masses; therefore, the principal components representing the regional background  
 170 could be extracted [WU *et al.*, 2017]. Next, using the prior methods as a reference  
 171 [Langford *et al.*, 2009; Suci *et al.*, 2017], we used the results of loadings and factor  
 172 scores to explain which principal components represent the regional background and  
 173 then inversely calculated the regional background O<sub>3</sub> according to equation (1). This  
 174 method has been widely applied in O<sub>3</sub> regional background research [Huang *et al.*,  
 175 2021; Liang *et al.*, 2018; Yao *et al.*, 2021].

$$176 \quad O_3 = \bar{O}_3 + \sigma(O_3) \sum_{i=1}^{N=66} f_i \alpha_i(t) \quad (1)$$

$$177 \quad O_3^{PC1} = \bar{O}_3 + \sigma(O_3) f_1 \alpha_1(t) \quad (2)$$

178 where  $\bar{O}_3$  is the mean of the MDA8 O<sub>3</sub> at 66 sites,  $\sigma(O_3)$  is the standard deviation  
 179 of the data set,  $f_i$  is the PC<sub>*i*</sub> variance contribution of the results of the PCA, and  $\alpha_i$  is  
 180 the daily PC<sub>*i*</sub> amplitudes. When PC1 represents the regional background, use equation  
 181 (2) to calculate 8-hour regional background O<sub>3</sub>.

### 182 2.3 TCEQ Method

183 The TCEQ method was proposed by the Texas Commission on Environmental Quality.  
 184 A rural site in the upwind direction was chosen among all the monitoring sites in the  
 185 study area and the O<sub>3</sub> concentration at the site was utilized as the regional background  
 186 [Langford *et al.*, 2009; WU *et al.*, 2017]. Nielsen-Gammon *et al.* [Nielsengammon *et*  
 187 *al.*, 2005] presented a TCEQ method based on a larger air quality monitoring network,  
 188 which is simpler, more reliable, and more widely adopted. This approach calculates

189 the highest 8-hour O<sub>3</sub> concentration at each site and uses the lowest 8-hour O<sub>3</sub>  
190 concentration measured across all site as the regional background value. The  
191 improved TCEQ method considers data from a well-established monitoring network  
192 with good coverage in all directions in the study region, ensuring that at least one site  
193 is not affected by local emissions regardless of wind direction changes. Additionally,  
194 the difference between the highest and lowest 8-hour O<sub>3</sub> concentrations at all the sites  
195 is defined as the O<sub>3</sub> generated by local photochemical reactions. The daily 8-hour  
196 regional background O<sub>3</sub> and locally generated O<sub>3</sub> can be calculated by equation (3).

$$197 \quad O_{3(R)} = O_{3\_MIN}$$
$$198 \quad O_{3(L)} = (O_{3\_MAX}) - (O_{3\_MIN}) \quad (3)$$

199 where  $O_{3(R)}$  represents the regional background O<sub>3</sub>, and  $O_{3(L)}$  represents the locally  
200 generated O<sub>3</sub>.

## 201 **2.4 Experimental design**

202 We conducted three distinct PCA experiments to analyze single and multiple variables  
203 at various stations in the SD region to calculate the regional background O<sub>3</sub>  
204 concentrations. Method 1 was the most conventional approach; we used only MDA8  
205 O<sub>3</sub> to run PCAs for the selected 66 AQMSs in the SD region in the 3 years from 2018  
206 to 2020. Method 2 considered information such as meteorological parameters and  
207 precursors (NO<sub>2</sub>, WD, WS, T) to constrain it, but fewer sites (only five) than in  
208 Method 1 were selected, and these sites were distributed in different regions of the SD  
209 region to better represent the regional characteristics. We ran five independent PCAs  
210 on five sites to extract the regional background O<sub>3</sub> concentrations [Suciu *et al.*, 2017].  
211 Unlike Methods 1 (single variable, multiple sites) and 2 (multiple variables, multiple  
212 sites), Method 3 is a relatively innovative method that combines PCA with MLR,  
213 usually used for pollutant source analysis [Bian *et al.*, 2013; Feng *et al.*, 2020].  
214 Method 3 includes three steps: First, to assume that regional contribution, local  
215 contribution, and other contributions such as ocean, local small air mass contribution,  
216 etc. are several sources of ozone. Second, using PCA/MLR to analyze MDA8 O<sub>3</sub> from  
217 66 AQMSs, the PC factor score derived from PCA as independent variable and the  
218 standardization result of the mean value of all sites is used as a dependent variable to  
219 predict the contribution rate of different sources. Finally, regional background O<sub>3</sub> was

220 estimated based on the regional contribution rates and factor scores.  
 221  
 222 Simultaneously, regional background O<sub>3</sub> was calculated using the TCEQ method,  
 223 which is named as Method 4 in this study. However, considering the influence of the  
 224 MDA8 O<sub>3</sub> minimum data and location of specific sites on the results, we first found  
 225 the frequency distribution of the sites with the smallest MDA8 O<sub>3</sub> values and found  
 226 that one site had the smallest MDA8 value among all the sites on 377 days over 3  
 227 years, and it could not adequately capture regional transport air masses due to its  
 228 location in the urban; thus, this site was removed. Second, the remaining data were  
 229 cleaned using a phase-line approach, deleting outliers higher than Q3 + 1.5(Q3-Q1) or  
 230 less than Q1-1.5(Q3-Q1) from the MDA8 O<sub>3</sub> sub-dataset on every day of every month  
 231 (Q1 and Q3 represent the first and third quartiles, respectively) [Mousavinezhad *et al.*,  
 232 2021; Yin *et al.*, 2019]. Moreover, to evaluate the reliability of the results of the four  
 233 distinct methods, the regional background O<sub>3</sub> was calculated through the different  
 234 methods and compared with the observations at Yangkou station, Qingdao (the  
 235 location is shown by the purple star in Fig 1), which was defined as a background site  
 236 ([https://www.mee.gov.cn/gkml/hbb/bwj/201204/t20120401\\_250935.htm](https://www.mee.gov.cn/gkml/hbb/bwj/201204/t20120401_250935.htm)). Specific  
 237 information for each method is presented in Table 1.

238

239 **Table1.** Summary of parameters for methods of calculating regional background O<sub>3</sub>.

Approach	Areas	Input Parameters
Method 1 (PCA)	66 AQMS in the SD region	MDA8 O <sub>3</sub>
Method 2 (PCA)	5 AQMS sites in the SD region	MDA8 O <sub>3</sub> , NO <sub>2</sub> , WD, WS, T
Method 3 (PCL/MLR)	66 AQMS in the SD region	MDA8 O <sub>3</sub>
Method 4 (TCEQ)	65AQMS in the SD region	MDA8 O <sub>3</sub>

240

### 241 3. Results and discussions

#### 242 3.1 Regional and local contributions to MDA8 O<sub>3</sub> (Method 1-PCA)

243 After cleaning the data of all AQMSs in the SD region from 2018 to 2020, 66 sites  
 244 fulfilled the data-quality requirements. We ran three independent PCAs on the MDA8  
 245 O<sub>3</sub> at these sites per year, and only the components with eigenvalues greater than 1

246 were judged as the main components. The results of this analysis are summarized in  
247 Table 2. The PCA resulted in four components for MDA8 O<sub>3</sub> over 3 years: the first  
248 principal component could explain the highest percentage of the variance of O<sub>3</sub>,  
249 nearly 80%, and the cumulative variance of the four principal components reached  
250 more than 90%.

251

252 A notable clustering phenomenon was observed when we mapped the principal  
253 component loadings for each site in Fig. 1 to reveal its spatial distribution  
254 characteristics. Different colors represent different principal components, and the  
255 coefficients ranging from -1 to +1 represent the mean contribution of each component  
256 to each site during 2018-2020. The length of the column represents the size of the  
257 load, with the upward direction corresponding to positive values and the downward to  
258 negative values. The amplitude (scores) and loadings jointly determine the daily  
259 increase or decrease in the O<sub>3</sub> concentration at a certain site. The loading coefficient  
260 and amplitude with the same positive and negative mean that the O<sub>3</sub> concentration at  
261 the site increases, and vice versa, the O<sub>3</sub> concentration at the site decreases. By  
262 comparing the spatial and temporal information provided by the scores and loadings  
263 with meteorological data such as wind and temperature, we could infer the potential  
264 physical and chemical processes.

265

266 The spatial distribution of loadings is shown in Fig. 1, where the loadings of the first  
267 principal component (PC1) were all positive. Loadings associated with each principal  
268 component using Method 1 are presented in Table S1. The loadings range from +0.63  
269 to +0.97 and PC1 averagely accounts for 77.8% of the variance at each of the 66 sites.  
270 The widespread cluster of PC1 suggests that the O<sub>3</sub> and PC1 values at the sites were  
271 mostly controlled by the regional background O<sub>3</sub>. This interpretation is supported by  
272 Fig. 2, which compares the PC1 amplitudes against the NCEP winds. For PC1, the  
273 spatial load coefficients of all sites were positive; according to the vector scatter plot  
274 of PC1 amplitude and wind speed, the principal components on O<sub>3</sub> pollution days  
275 were positive as well, indicating that PC1 contributed positively to the O<sub>3</sub>  
276 concentration at all sites. PC1 represents the regional background, and the southerly  
277 wind prevails on the day when the O<sub>3</sub> exceeds the ambient air quality standards of  
278 China (160 μg/m<sup>3</sup>).

279

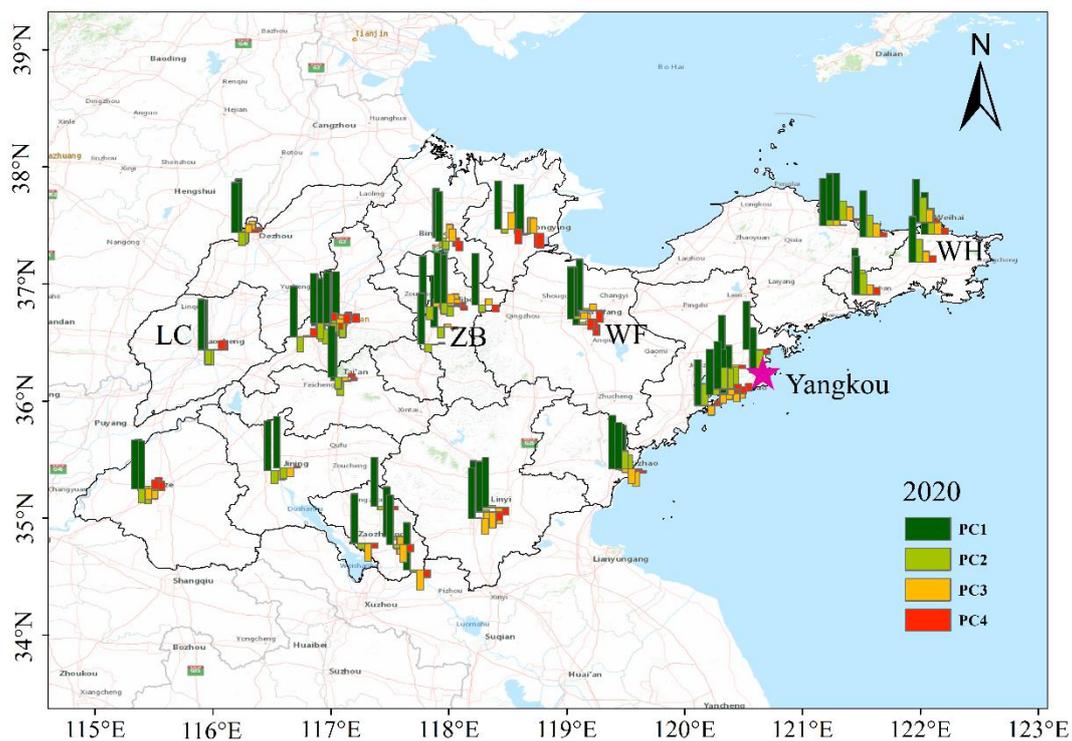
280 The positive loadings of PC2 are distributed in the coastal area, which shows that PC2  
 281 contributes significantly to the stations in the coastal area and is largely influenced by  
 282 the sea and land breezes. Based on the relationship between PC2 scores and  
 283 meteorological variables, the influence of WS and WD on PC2 was analyzed. On the  
 284 monthly scale, PC2 scores were low in the high O<sub>3</sub> season, which was related to air  
 285 mass transportation in the eastern coastal area. Therefore, we interpreted that PC2  
 286 represented mainly the land-sea breeze circulation. The spatial distributions of PC3  
 287 and PC4 with positive loadings also showed an obvious feature: PC3 was mainly  
 288 distributed in the northern region of the SD region, and PC4 was low in the central  
 289 region and high on the east and west sides. Thus, PC3 and PC4 might be affected  
 290 mostly by the local photochemistry. Based on the temporal variation in PC3 and PC4  
 291 scores and their relationship with meteorological variables (Fig. S2-3), there is no  
 292 clear characteristic that may be influenced by either specific meteorology or regional  
 293 transportation, it is therefore named as contributions from local generation.

294

295 **Table2.** Results of PCA Analysis (Method 1).

PC	2018			2019			2020		
	Eigenvalues	Variance Contribution	Cumulative Variance	Eigenvalues	Variance Contribution	Cumulative Variance	Eigenvalues	Variance Contribution	Cumulative Variance
PC1	50.99	72.26	72.26	54.09	81.95	81.95	52.26	79.18	79.18
PC2	5.68	8.60	85.87	4.22	6.40	88.35	4.67	7.07	86.25
PC3	1.98	3.00	88.87	1.66	2.52	90.87	2.39	3.62	89.87
PC4	1.10	1.67	90.54	1.005	1.52	92.39	1.16	1.76	91.63

296

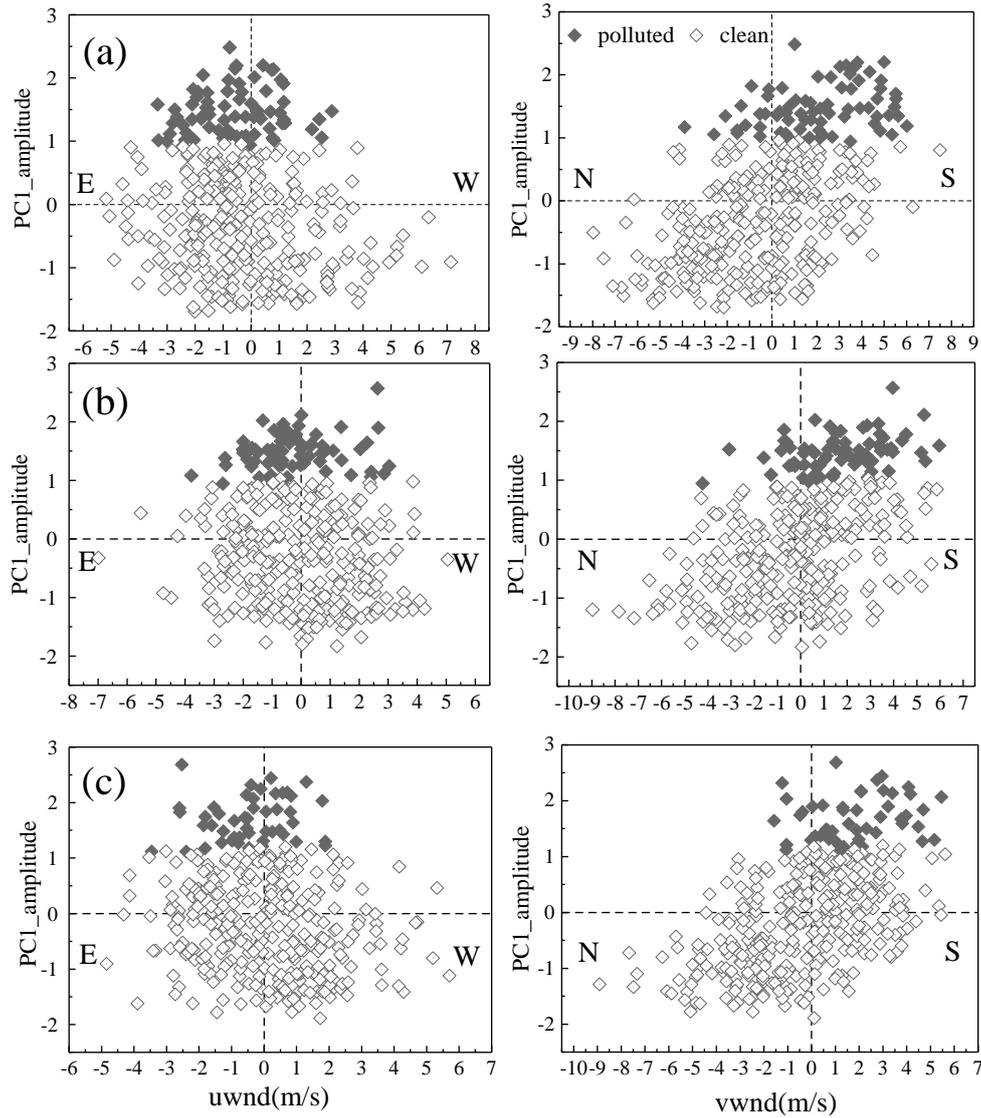


297

298

299 **Figure 1.** Spatial distribution of component coefficients (loadings) for PC1, PC2, PC3,  
 300 and PC4 for 2020. Column length represents the size of the loading. Specific  
 301 information of the loadings values for 2018-2019 and 2020 can be found in Table S1.  
 302 And the purple star marks the location of the background site (Yangkou).

303



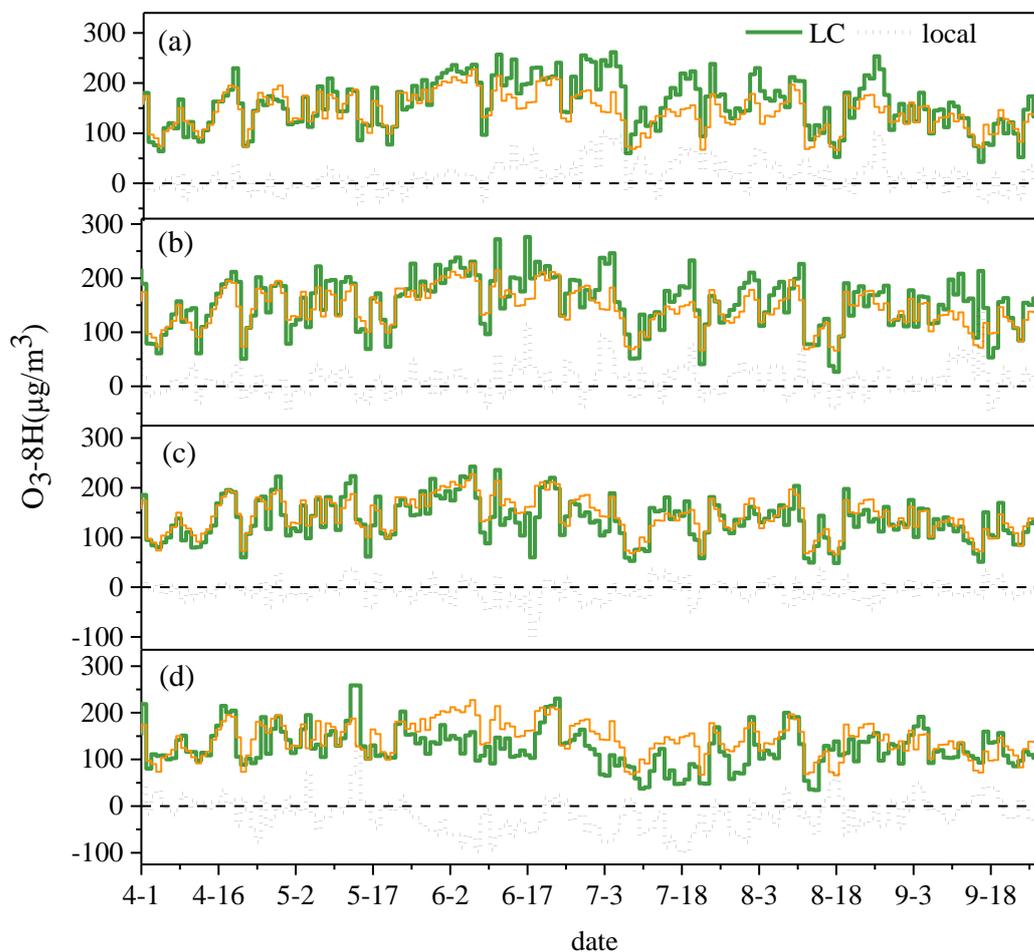
304

305 **Figure 2.** Scatterplots of correlations between PC1 amplitudes and mean NCEP  
 306 reanalysis winds. Solid diamonds represent O<sub>3</sub> clean conditions (O<sub>3</sub><160μg/m<sup>3</sup>); open  
 307 diamonds represent O<sub>3</sub> polluted conditions. (a)-(c) represent 2018-2020, respectively.

308

309 Based on the spatial distribution of the sites in Fig. 1 (d), four sites (Weihai: SDFX;  
 310 Weifang: HTJCZ; Zibo: DFHGC; Liaocheng: QZF) with relatively complete data  
 311 were selected and marked on the map. The O<sub>3</sub> season (April-September) was used to  
 312 illustrate the changes from inland to coastal areas. The difference between the  
 313 measurements and the regional background O<sub>3</sub> represents the local contribution,  
 314 which includes not only locally produced O<sub>3</sub> but also the O<sub>3</sub> in air transported to the  
 315 site by local circulation. As shown in Fig. 3, the local contribution increases as the  
 316 distance from the coast increases from Weihai to Liaocheng; this was expected based

317 on the gradient created by land-sea breeze circulation (PC2). In summer, the PC2  
 318 amplitude was mostly negative (Fig. S1), and the local contribution to Weihai  
 319 becomes negative when O<sub>3</sub> from the ocean is transported to this region. In April, May,  
 320 and September, PC2 was generally positive, and the local contribution increased in  
 321 Weihai.



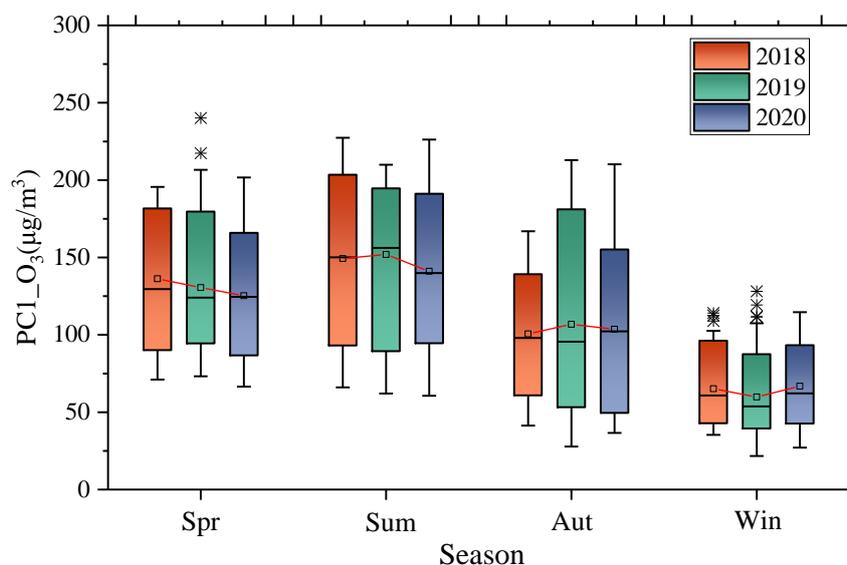
322  
 323 **Figure 3.** Daily 8-h maximum O<sub>3</sub> measured at Liaocheng (a), Zibo (b), Weifang (c),  
 324 Weihai (d), compared with the regional background O<sub>3</sub> from inland to coast derived  
 325 from PCA. The thin orange line represents the O<sub>3</sub> regional background, and the thick  
 326 green line represents the O<sub>3</sub> concentration in the city. The dotted line represents the  
 327 local contribution defined as the difference between the measurements and the  
 328 regional background O<sub>3</sub>.

329  
 330 In addition to the differences in local contributions from inland to coastal cities, the  
 331 contribution of sea-land winds to coastal cities and locally generated O<sub>3</sub> in inland  
 332 cities were further explored. Fig. 3 shows that the coastal areas are usually affected by

333 sea-land wind from June to August. Therefore, we considered the impact of sea-land  
 334 wind to O<sub>3</sub> in coastal cities from June to August during 2018-2020, and the ozone  
 335 concentration affected by sea-land wind is calculated using equation (1). Its  
 336 contribution is calculated using the average value of ozone concentration in coastal  
 337 cities, and the results show that the contribution of sea-land wind to coastal cities in  
 338 the past three years was 3.3%, 1.8%, 1.3%, respectively. Ozone pollution days during  
 339 the ozone season (April-September) were chosen to illustrate the contribution of local  
 340 generation to O<sub>3</sub> in inland cities. Results indicate that the local generation of O<sub>3</sub>  
 341 during ozone season in 2018, 2019 and 2020 was 35.5%, 29.0%, and 24.7%,  
 342 respectively, while during O<sub>3</sub> pollution days, the contribution of local formation  
 343 increased to 50.3%, 43.9%, 55.8%, in the year 2018, 2019, and 2020, respectively.

344

345 As shown in Fig. 4, the seasonal variations in the regional background O<sub>3</sub> showed the  
 346 characteristic pattern of summer > spring > autumn > winter from 2018 to 2020. The  
 347 regional background O<sub>3</sub> ranged from 60 to 152 μg/m<sup>3</sup>. In terms of interannual  
 348 variation, the regional background O<sub>3</sub> decreased slightly in spring and summer during  
 349 the 3 years and increased slightly in autumn and winter. The regional background O<sub>3</sub>  
 350 during the Spring, Summer, Autumn, and Winter changed by -11, -8, +3, and +2  
 351 μg/m<sup>3</sup>, respectively from 2018 to 2020.



352

353 **Figure 4.** Regional background O<sub>3</sub> in different seasons of 2018-2020 (Method  
 354 1-PCA).

355

### 356 3.2 Regional and local contributions to MDA8 O<sub>3</sub> (Method 2-PCA)

357 Method 2 differs from Method 1 because it uses only MDA8 O<sub>3</sub>, considers both O<sub>3</sub>  
358 precursors (NO<sub>2</sub>) and meteorological variables (WS, WD, and T), and selects fewer  
359 sites (five sites). Additionally, site distribution is required, data must be complete.  
360 Data from five sites were used for the analysis: Zibo, Qingdao, Taian, Weihai, and  
361 Binzhou. PCA was performed on the five parameters: MDA8 O<sub>3</sub>, daily mean NO<sub>2</sub>,  
362 WD, WS, and T for the five sites from 2018 to 2020; the meteorological data sources  
363 were the daily mean data from the NCEP reanalysis data. The results of the PCA are  
364 shown in Table 3, where two components with eigenvalues greater than 1 were  
365 extracted for each site, and the eigenvalues of PCs from each site were similar; the  
366 mean value was approximately 1.6. The first component explained approximately 40%  
367 of the variance in the original variables, and the second component explained  
368 approximately 25% of the variance, indicating that both PCs were important in  
369 explaining the original variables.

370

371 **Table 3.** Results of PCA Analysis (Method 2).

City	PC	Eigenvalue	Variance Contribution	Cumulative Variance
Zibo	PC1	2.324	46.475	46.475
	PC2	1.106	22.122	68.597
Qingdao	PC1	2.071	41.420	41.420
	PC2	1.170	23.405	64.825
Taian	PC1	2.390	47.796	47.794
	PC2	1.140	22.810	70.604
Weihai	PC1	1.835	36.692	36.679
	PC2	1.275	25.508	62.201
Binzhou	PC1	2.155	43.092	43.092
	PC2	1.224	24.486	67.579

372

373 We infer the meaning of the components by considering the relationship between each  
374 principal component loading (absolute values greater than or equal to 0.5) and the  
375 variables. From the loadings of the two principal components at each site (Table 4), a  
376 clear pattern emerges: for each site, PC1 has high loadings on the factors O<sub>3</sub>, NO<sub>2</sub>,  
377 and T, reflecting the chemical generation process, and PC2 at all sites had larger  
378 values on the factors WD and WS, reflecting the physical transport process. We used

379 Weihai as an example: PC1 scores increased with O<sub>3</sub> and T, whereas NO<sub>2</sub> decreased  
 380 (Fig. S4), which reflects NO<sub>2</sub> depletion and describes the chemistry, possibly local O<sub>3</sub>  
 381 production. PC2 scores did not have a significant relationship with T and they  
 382 increased with WS (Fig. S5), which reflects regional transport effects. Thus, further  
 383 evidence suggests that PC1 and PC2 were primarily associated with chemical  
 384 processes and physical processes, respectively.

385

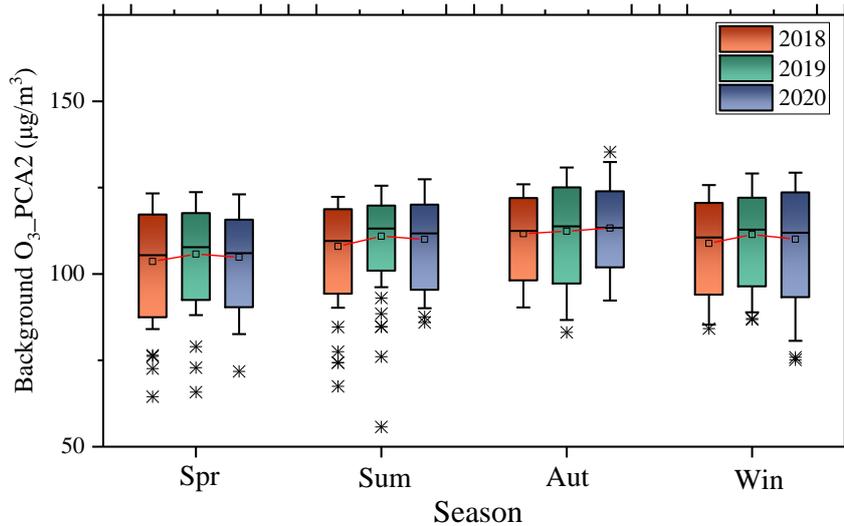
386 **Table 4.** Loading or correlations of components with variables at each site by Method

387 2.

City	PC1					PC2				
	O <sub>3</sub>	NO <sub>2</sub>	T	WD	WS	O <sub>3</sub>	NO <sub>2</sub>	T	WD	WS
	μg/m <sup>3</sup>	μg/m <sup>3</sup>	°C	°	m/s	μg/m <sup>3</sup>	μg/m <sup>3</sup>	°C	°	m/s
Zibo	<b>0.916</b>	<b>-0.674</b>	<b>0.881</b>	0.498	0.081	0.091	<b>0.403</b>	0.014	0.490	<b>-0.834</b>
Qingdao	<b>0.775</b>	<b>-0.582</b>	<b>0.900</b>	0.561	-0.087	0.213	<b>0.596</b>	-0.005	0.199	<b>-0.854</b>
Taian	<b>0.892</b>	<b>-0.726</b>	<b>0.922</b>	0.443	0.139	0.142	<b>0.426</b>	0.036	0.581	<b>-0.775</b>
Weihai	<b>0.731</b>	<b>-0.210</b>	<b>0.807</b>	0.662	-0.409	0.031	<b>-0.854</b>	0.112	0.009	<b>0.729</b>
Binzhou	<b>0.922</b>	<b>-0.488</b>	<b>0.909</b>	0.484	0.075	0.087	<b>0.695</b>	-0.050	0.704	<b>-0.486</b>

388

389 Finally, based on the PCA results, referring to the method of Suciú et al. [*Suciú et al.*,  
 390 2017], the PC scores for regional background O<sub>3</sub> were substituted as the mean of PC2  
 391 scores at each site, the PC scores for local contributions were replaced by the mean of  
 392 PC1 scores at the site, and the cumulative contribution of the PCs was replaced by the  
 393 results of the standardization of each component. Based on this calculation method,  
 394 the regional background O<sub>3</sub> was back-calculated, and the results are shown in Fig. 5.  
 395 Compared with other methods, there was no significant seasonal trend, and the  
 396 regional background O<sub>3</sub> was approximately 110 μg/m<sup>3</sup> for each month, this result will  
 397 be compared with previous studies.

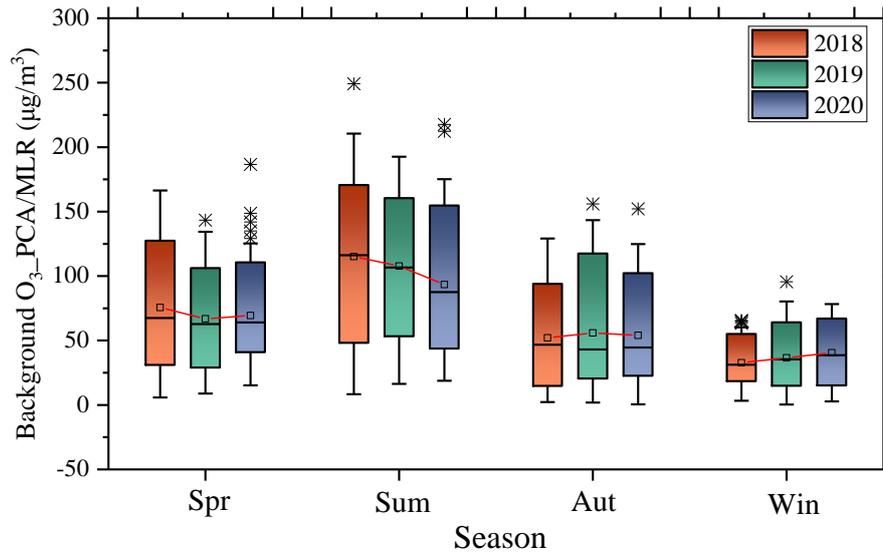


398

399 **Figure 5.** Regional background O<sub>3</sub> in the seasons of 2018-2020 (Method 2-PCA).

400 **3.3 Regional and local contributions to MDA8 O<sub>3</sub> (Method 3-PCA/MLR)**

401 PCA/MLR (Method 3), as a relatively novel method, uses the idea of source  
 402 resolution and continues to use MLR to estimate the O<sub>3</sub> regional background based on  
 403 the results of Method 1 (PC1 represents the regional background). Using the factor  
 404 score of Method 1 as the independent variable, and the standardized results of the  
 405 mean MDA8 O<sub>3</sub> of 66 AQMS sites in the SD region as the dependent variable, after  
 406 MLR processing, the contribution proportions of the 2018-2020 O<sub>3</sub> regional  
 407 background were obtained as follows: 60.2%, 57.3%, and 57.3%, which show a  
 408 decreasing trend; the O<sub>3</sub> regional background was then calculated using equation (10)  
 409 of Bian et al.[Bian et al., 2013], and the results are shown in Fig. 6. The seasonal  
 410 pattern of regional background O<sub>3</sub> remained consistent with that of Method 1, but the  
 411 annual variation varied slightly by the season, especially in summer, for which there  
 412 was a decreasing trend from year to year.

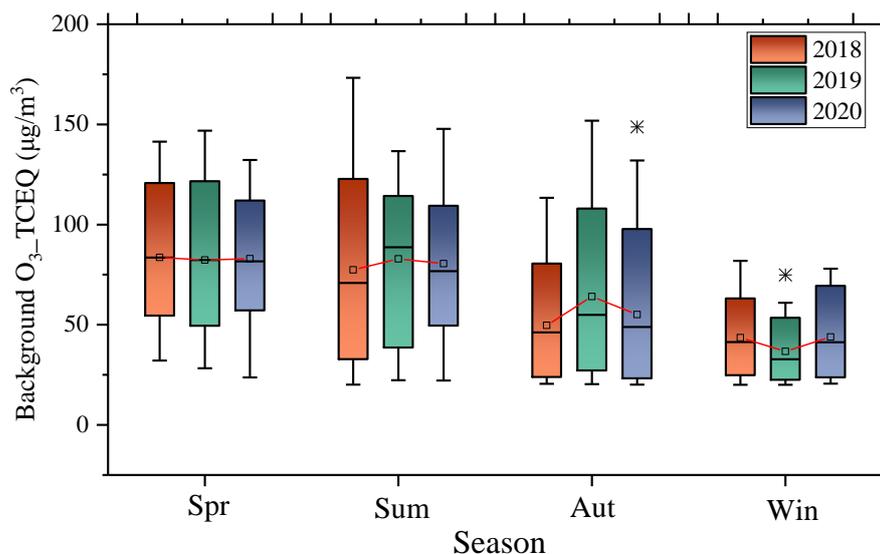


413

414 **Figure 6.** Regional background O<sub>3</sub> in the seasons of 2018-2020 (Method  
415 3-PCA/MLR).

416 **3.4 Regional and local contributions to MDA8 O<sub>3</sub> (Method 4-TCEQ)**

417 The TCEQ method was used to estimate the regional background O<sub>3</sub> in the SD region.  
418 Because the lowest MDA8 O<sub>3</sub> at the AQMS selected by the TCEQ method represents  
419 the regional background value, to reduce the inaccuracy caused by the site, the  
420 distribution of the minimum MDA8 O<sub>3</sub> at all sites was calculated. Fig. 7 shows the  
421 regional background O<sub>3</sub> for the seasons from 2018-2020, and a characteristic pattern  
422 of summer > spring > autumn > winter can be observed, which is consistent with the  
423 pattern of results resolved by the PCA, ranging from 20 to 173 µg/m<sup>3</sup>. In addition,  
424 summer and autumn show a trend of increasing and then decreasing, and the other  
425 two seasons show the opposite pattern, which is slightly different from that of the  
426 PCA, but overall, they all show a slightly increasing trend.



427

428 **Figure 7.** Regional background O<sub>3</sub> in the seasons of 2018-2020 (Method 4-TCEQ).

429

430 To further illustrate the contribution of regional background O<sub>3</sub> to coastal and inland  
 431 cities in different years and seasons, the mean MDA8 O<sub>3</sub> was calculated for all  
 432 AQMSs in coastal and inland cities in the SD region, and the ratio of the regional  
 433 background O<sub>3</sub> to the mean MDA8 O<sub>3</sub> was defined to reflect the magnitude of the  
 434 contribution of the regional background to O<sub>3</sub> concentration. As shown in Table 5, the  
 435 contribution of the regional background to the coast is higher than that inland, which  
 436 is consistent with the conclusion in PCA Method 1 that the local contribution from the  
 437 coast to the inland is increasing. Regarding interannual variability, the regional  
 438 contribution of O<sub>3</sub> to both showed an increasing trend, and for seasonal variability, it  
 439 decreased sequentially in spring, summer, autumn, and winter.

440

441 **Table 5.** Contribution of regional background O<sub>3</sub> to coastal and inland cities in the  
 442 seasons of 2018-2020.

Season	Coastal cities			Inland cities		
	2018	2019	2020	2018	2019	2020
Spring	65.1%	66.8%	72.0%	62.2%	63.6%	67.1%
Summer	57.6%	61.9%	63.7%	47.0%	52.2%	55.6%
Autumn	43.1%	54.7%	45.4%	42.8%	54.2%	44.0%
Winter	41.8%	43.2%	52.7%	41.3%	41.9%	51.1%

443

444 **3.5 Comparisons among multiple methods**

445 Due to the differences in the principles used to estimate the regional background O<sub>3</sub>  
446 concentrations, there were differences in the calculated results. In general, the results  
447 of Methods 1 and 2 were approximately 40 µg/m<sup>3</sup> larger than those of Method 3 and  
448 the TCEQ. For Method 3, this difference was mainly because after the MLR process,  
449 the resulting regional contribution decreased, only about 60%, therefore, the results  
450 were smaller when further estimating the regional background O<sub>3</sub>. In the TCEQ  
451 method, the lowest MDA8 O<sub>3</sub> was selected to represent the regional background, and  
452 the selected site may be influenced by urban sites that do not capture the regional  
453 background well and are therefore lower in magnitude compared with Methods 1 and  
454 2.

455  
456 As shown by the prior analysis of the results, the seasonal trends of the regional  
457 background O<sub>3</sub> were generally consistent for the three methods, with a clear monthly  
458 variation characteristic, except for Method 2, which adds meteorological parameters  
459 as constraints and has a smooth trend. This phenomenon may be because Method 2  
460 considers the meteorological factors of the station, indicating that the main component  
461 of the regional background value has almost no relationship with temperature.  
462 Therefore, there is no obvious monthly variation trend. The results of each method for  
463 interannual variability are presented in Table 6. Because Methods 1 and 3 use  
464 different analysis methods for the same dataset, the annual trends for both are  
465 consistent, showing a slight decrease of 3.8 µg/m<sup>3</sup> and 5.2 µg/m<sup>3</sup> for each of the three  
466 years. The results of Method 2, the TCEQ method, and the background sites show a  
467 consistent pattern of increasing and then decreasing, but overall, the values increase  
468 by 1.6 µg/m<sup>3</sup>, 0.9 µg/m<sup>3</sup>, and 14.7 µg/m<sup>3</sup>, respectively, over the 3 years. The annual  
469 pattern of change for each method varied, but in general, there was an increase over  
470 the 3 years. Additionally, to reduce the error of a single method, the average value of  
471 the aforementioned results is expressed as the regional background O<sub>3</sub> in the SD  
472 region in the past 3 years, which were 89.2 µg/m<sup>3</sup>, 89.8 µg/m<sup>3</sup> and 87.6 µg/m<sup>3</sup>,  
473 respectively, and the three-year average value is 88.9 µg/m<sup>3</sup>

474  
475 **Table 6.** Comparison of all approaches in this study and the literature.

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Method	2018	2019	2020	Average
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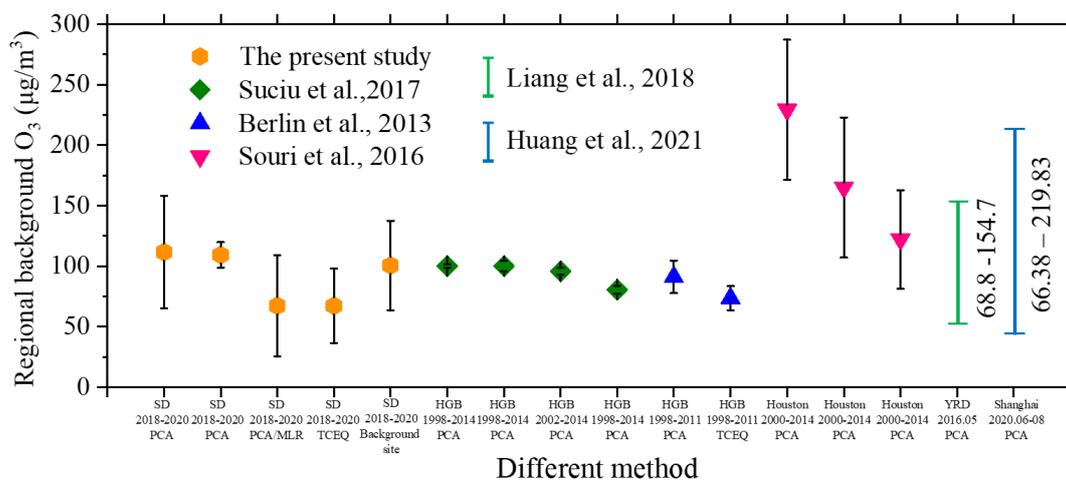
	AVE	SD	AVE	SD	AVE	SD	AVE	SD
Method 1 (PCA)	113.1	45.9	112.6	49.6	109.3	43.5	111.7	46.4
Method 2 (PCA)	108.0	10.5	110.2	10.5	109.6	10.3	109.3	10.5
Method 3 (PCL/MLR)	69.7	45.8	67.6	42.0	64.6	36.6	67.3	41.7
Method 4 (TCEQ)	66.1	31.2	68.8	31.9	67.0	29.3	67.3	30.8
Average	89.2	42.3	89.8	42.5	87.6	39.1	88.9	10.5
Background site	91.5	35.1	104.1	39.6	106.2	34.3	100.6	37.0

476 <sup>a</sup> AVE represents the average value of a method for a given year; <sup>b</sup> SD represents the  
477 standard deviation of a method for a given year.

### 478 3.6 Comparisons with previous studies

479 Fig.8 summarizes the regional background O<sub>3</sub> concentrations reported in previous  
480 studies estimated by different methods for various regions. The results of several  
481 methods are within reasonable limits based on comparisons with other studies. We  
482 compared the results of this study with other studies, such as those of Liang et al.  
483 [Liang et al., 2018] in the YRD region, Huang et al. [Huang et al., 2021] in Shanghai,  
484 Xue et al. [Xue et al., 2014] in Hong Kong, Berlin et al. [Berlin et al., 2013] and  
485 Suciu et al. [Suciu et al., 2017] in the Houston–Galveston–Brazoria (HGB) region,  
486 and Souri et al. [Souri et al., 2016] in the Houston. Method 2 is referenced in Suciu's  
487 Method 2, from our results, PC2 represents the regional background, which differs  
488 from the results of Suciu [Suciu et al., 2017], and the seasonal variation in regional  
489 background O<sub>3</sub> is not significant but remains similar in the magnitude of the  
490 background values. Compared with the results of Berlin et al. [Berlin et al., 2013], the  
491 region background O<sub>3</sub> calculated by the same PCA method is slightly larger than that  
492 of TCEQ, roughly 20 µg/m<sup>3</sup>. Our results are significantly lower than those of Souri et  
493 al. [Souri et al., 2016], who focused on the regional background O<sub>3</sub> at different wind  
494 directions and showed that the regional background O<sub>3</sub> were greatest at east-northeast  
495 winds. The results of Liang et al. and Huang et al. do not express specific regional  
496 background O<sub>3</sub> concentrations, but they report ranges of 68.8 to 154.7 µg/m<sup>3</sup> and  
497 66.38 to 219.83 µg/m<sup>3</sup>, respectively, and the maximum values are higher than the

498 overall average value because both study periods are in the O<sub>3</sub> season.



499

500 **Figure 8.** Comparison between this study and other research [Berlin et al., 2013;  
501 Huang et al., 2021; Liang et al., 2018; Sourí et al., 2016; Suciú et al., 2017].

502 **4. Conclusions**

503 Three PCA methods with differerent parameters and a TCEQ method was used to  
504 estimate the regional background O<sub>3</sub> concentrations in the SD region, where ozone  
505 pollution is severe in recent years. The regional background O<sub>3</sub> calculated using  
506 different PCA and TCEQ methods did not differ significantly and showed an overall  
507 consistent trend. Method 1 is the most commonly used method for resolving regional  
508 background O<sub>3</sub> using PCA and produces the highest O<sub>3</sub> concentration. Method 2  
509 incorporates NO<sub>2</sub> and meteorological parameters as constraints and yields a flat  
510 monthly trend. Method 3 combines PCA with MLR and resolves relatively smaller O<sub>3</sub>  
511 background concentrations, which makes it close to the result of the TCEQ. Based on  
512 the results of the four methods and background sites, the three-year regional  
513 background O<sub>3</sub> showed an overall increasing trend, and the three-year average values  
514 for Method1, 2, 3, 4 and background in-situ measurement were  $111.7 \pm 46.4 \mu\text{g}/\text{m}^3$ ,  
515  $109.3 \pm 10.5 \mu\text{g}/\text{m}^3$ ,  $67.3 \pm 41.7 \mu\text{g}/\text{m}^3$ ,  $67.3 \pm 30.8 \mu\text{g}/\text{m}^3$ ,  $100.6 \pm 37.0 \mu\text{g}/\text{m}^3$ ,  
516 respectively. There was a clear seasonal pattern of regional O<sub>3</sub> background, with high  
517 values in spring and summer and low values in autumn and winter. Furthermore, the  
518 regional background O<sub>3</sub> differs spatially with the eastern coastal area seeing more  
519 influences from the marine environment. The concentration of locally generated O<sub>3</sub>  
520 gradually increased from coastal to inland cities while the opposite is observed for  
521 regional ozone contribution. Uncertainties exist in terms of estimating the regional

522 background O<sub>3</sub> concentrations. Additional factors can also be considered for  
523 multivariate analysis, such as adding constraints on the precursor (e.g., VOC) and  
524 additional relevant meteorological variables (e.g., solar radiation, relative humidity).  
525 Further research is necessary to reduce these uncertainties.

526

### 527 **Author contributions**

528 L. Li designed and led the research. F.T. Wang performed the data analysis and  
529 prepared the manuscript with contributions from all co-authors. All co-authors  
530 contributed to the discussions. L. Li and Joshua S Fu reviewed the paper.

### 531 **Competing interests**

532 The authors declare that they have no conflict of interest.

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