Modeling gas flow velocities in soils induced by variations in surface pressure, heat and moisture dynamics

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Abstract

Changes in atmospheric pressure continuously ventilate soils and snowpacks. This physical process, known as pressure pumping, is a major factor in the exchange fluxes of H2O, CO2 and other trace gases between the soil and atmosphere. Thus models of pressure pumping are relevant to many areas of critical importance. This study compares the three principal models used to describe pressure pumping. Beginning with the fundamental physical principles and whether the flow field is compressible or incompressible, these models are categorized as linear parabolic (one model – compressible) or nonlinear hyperbolic (two models – incompressible). Using observed soil surface pressure data, measured vertical profiles of soil permeability and standard linear analysis and numerical methods, this study shows that nonlinear models produce advective velocities that are one to two orders of magnitude greater than those associated with the linear model. Incorporating soil temperature and moisture dynamics made very little difference to the linear model, but a significant difference in the nonlinear models suggesting that advective velocities induced by pressure changes associated with soil heating and moisture dynamics may not always be small enough to ignore. All numerical results are sensitive to the frequency of the pressure forcing, which was band-pass filtered into low, mid and high frequencies with the greatest model differences at low frequencies. Partitioning the pressure forcing and model responses helped to establish that mid-frequency weather-related phenomena (empirically identified as inertia gravity waves and solitons) are important drivers of gas exchange between the soil and the atmosphere.

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Key Points:

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8	• The efficiency of atmospheric pressure pumping to the transport of trace gases out
9	of soils and snowpacks is strongly model dependent
10	• Some models suggest that the daily temperature cycle and rapid changes in soil moisture have an unexpectedly large impact on such transport.
12	• Mesoscale atmospheric phenomena often associated with severe weather and the
13	daily cycle of turbulence cause significant advective transport

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14 Abstract

Changes in atmospheric pressure continuously ventilate soils and snowpacks. This phys-15 ical process, known as pressure pumping, is a major factor in the exchange fluxes of H_2O . 16 CO_2 and other trace gases between the soil and atmosphere. Thus models of pressure 17 pumping are relevant to many areas of critical importance. This study compares the three 18 principal models used to describe pressure pumping. Beginning with the fundamental 19 physical principles and whether the flow field is compressible or incompressible, these 20 models are categorized as linear parabolic (one model – compressible) or nonlinear hy-21 perbolic (two models – incompressible). Using observed soil surface pressure data, mea-22 sured vertical profiles of soil permeability and standard linear analysis and numerical meth-23 ods, this study shows that nonlinear models produce advective velocities that are one 24 to two orders of magnitude greater than those associated with the linear model. Incor-25 porating soil temperature and moisture dynamics made very little difference to the lin-26 ear model, but a significant difference in the nonlinear models suggesting that advective 27 velocities induced by pressure changes associated with soil heating and moisture dynam-29 ics may not always be small enough to ignore. All numerical results are sensitive to the 29 frequency of the pressure forcing, which was band-pass filtered into low, mid and high 30 frequencies with the greatest model differences at low frequencies. Partitioning the pres-31 sure forcing and model responses helped to establish that mid-frequency weather-related 32 phenomena (empirically identified as inertia gravity waves and solitons) are important 33 drivers of gas exchange between the soil and the atmosphere. 34

³⁵ Plain Language Summary

Atmospheric pressure is constantly moving air in, out and through the surface of 36 the soil or a snowpack. This pressure pumping (or ventilating) mechanism influences soil 37 water evaporation and snow sublimation, the fluxes of key climate-warming greenhouse 38 gases, and rate at which contaminants can be removed from soils. Thus the ability to 39 model the movement of these gases through these two media is relevant to many cur-40 rent environmental concerns. The present study discusses the differences between the 41 two broad categories of pressure pumping models and points out that these models pre-42 dict very different ventilation rates. So different that it may be possible to conclude (in-43 correctly) that the daily cycle for pressure is not significant to soil or snowpack gas ex-44 change. These two model types also respond differently when pressure changes are in-45 fluenced by changes in soil temperature and moisture, with one type suggesting that dy-46 namic soil temperature and moisture effects are small, in agreement with expectations, 47 and the other suggesting that they can cause surprisingly large effects. Present results 48 also identify (for the first time) specific meso-scale atmospheric waves, often associated 49 with frontal systems, convective activity and rain, can be significant drivers of pressure 50 induced gas exchange. 51

52 **1** Introduction

For nearly the past 120 years variations in atmospheric pressure and wind at the 53 earth's (solid) surface have been understood as a key mechanism responsible for air move-54 ment in and out of soils (Buckingham, 1904) and snowpacks (Dubrovin, 1961). For nearly 55 as long variations of these atmospheric variables have formed the basis for modeling the 56 induced advective velocities within soils (Buckingham, 1904) and snowpacks (Clarke et 57 al., 1987). This phenomenon is often identified as "pressure pumping", "barometric pump-58 ing" or "windpumping". (Note: The term pressure pumping will be used exclusively in 59 the present study.) Thus this study focuses advective currents in soils that are driven 60 by periodic (mechanically forced or thermally induced) pressure variations that are dis-61 tinct from density or thermally driven (i.e., overturning) convective currents that often 62

dominate fractured or high permeability soils (e.g., Falta et al. (1989); Weisbrod et al. (2009); Nachshon et al. (2012))

Understanding and modeling this phenomenon are important because such air cur-65 rents can influence key physical and biological processes in the soil and snowpacks through 66 their ability to modify concentration profiles and advective fluxes of gases within and 67 through the pore spaces of these permeable media. For example, these air current trans-68 port O₂ (Scotter et al. (1967); Elberling et al. (1998)), CO₂ through both soils (Lewicki 60 et al. (2003); Reicosky et al. (2008); Maier et al. (2012); Laemmel et al. (2017); Moya 70 et al. (2019)) and snowpacks (Bowling and Massman (2011); Berryman et al. (2018); 71 and the video postcard Massman et al. (2021)), water vapor (Fukuda (1955); Scotter and 72 Raats (1969)), CH₄ (Shurpali et al. (1993); Czepiel et al. (2003); Xu et al. (2014); Forde 73 et al. (2019)), N₂O (Massman et al., 1997), gaseous radioactive contaminants (Bourret 74 et al., 2018), Hg vapor (Faïn et al., 2013), isotopes of Rn (Clements and Wilkening (1974); 75 Chen et al. (1995); Robinson et al. (1997); Hu et al. (2018)) and He (Hinkle, 1994). Fur-76 thermore, this transport mechanism influences the rate of evaporation of soil water (Ishi-77 hara et al., 1992), the sublimation rate of snowpacks (Albert (2002); Drake et al. (2019)), 78 and the volitalization rates and fate of soil contaminants, such as jet fuel (Ostendorf et 70 al., 2000) or other soil contaminants (Forde et al., 2019). In addition, knowledge of the 80 advective velocities induced by pressure pumping may help improve the long term mea-81 surements of ecosystem CO₂ fluxes and carbon balance (Massman & Lee, 2002), the for-82 mulation of the soil surface boundary condition for land surface (soil-plant-atmosphere) 83 models (e.g., Grifoll et al. (2005)), the fluxes of soil-generated greenhouse gases for cli-84 mate studies (e.g., Todd-Brown et al. (2012); Rains et al. (2016)), and the transport and 85 deposition of combustion products into the soil during prescribed fires (Massman et al., 86 87 2010). The fact that pressure pumping is an important and significant mode of the exchange between the vadose zone and the atmosphere (e.g., Etiope and Martinelli (2002); 88 Rutten (2015)) leads directly to one of the intentions of the present study, which is to 80 expand the research horizons in the area. Nevertheless, this is not necessarily straight-90 forward for three reasons. 91

First, there are three models describing pressure pumping. The principal difference 92 between them arises when assuming that the flow field is either compressible or incom-93 pressible, which in turn leads to estimate of the vertical advective velocity at the soil 94 surface that differ by one or more orders of magnitude, even with identical forcing and 95 soil structure. This difference is significant enough that pointing it out and quantifying 96 it as much as possible is the first intention of the present study. The range and number 97 of settings for interactions between the atmosphere and the earth's surface that involve pressure pumping (e.g., Kuang et al. (2013)) more than justifies a careful look at the dif-90 ferences between these three models. 100

Second, beyond the mechanical forcing of soil by fluctuations in atmospheric pres-101 sure at the soil surface, surface heating can force temperature variations within perme-102 able media and thereby also induce advective flows in both soil (e.g., Kimball (1983); Hin-103 kle (1994); Parlange et al. (1998); Novak (2016)) and snowpacks (e.g., Gray and Mor-104 land (1994); Massman et al. (1997); Bartelt et al. (2004)). This is easily understood from 105 the ideal gas law. Whenever the gas temperature within the gas-filled portion of a pore 106 volume changes so also must the pore air pressure (i.e., $\partial p/\partial t \propto \partial T/\partial t$, assuming that 107 the gas filled pore volume is constant), which by Darcy's law will produce an advective 108 flow. But within soils the gas-filled pore volume can change whenever soil water changes 109 phase, i.e., during evaporation or condensation, and during rainfall or irrigation and the 110 concomitant movement of water through the soil matrix. These temperature and mois-111 ture influences on induced advective flows have been considered by a few authors, e.g., 112 Kimball (1983); Parlange et al. (1998); Jury and Horton (2004) and Novak (2016) all 113 explore possible temperature effects and Lebeau and Konrad (2009) include the possi-114 bility of dynamic changes in soil moisture as part of their modeling study. But it is ex-115

pected that temperature and moisture effects are likely to be very small compared to direct pressure effects e.g., Kimball (1983); Massman et al. (1997); Novak (2016)). Nonetheless, (Jury & Horton, 2004, p. 207) do suggest that "Thermally induced convection [authors: 'advection'] is a topic in need of further evaluation", which is the second intent
of the present study.

Third, atmospheric pressure at the earth's surface is extraordinarily dynamic and 121 complex, occurring on a variety of scales ranging from weather related high and low pres-122 sure systems (the low frequency synoptic scale), through the daily and sub-daily cycles 123 caused by tidal forces and the shorter and more localized mesoscale events (thunderstorms, 124 squall lines, downslope windstorms) to the scale of turbulence (with a time scale on the 125 order of 1-100 seconds or so and a spatial scale of a meter or so). Although these me-126 teorological phenomena are easily identified and often have well characterized baromet-127 ric pressure signals associated with them, any observed pressure signal will also include 128 common phenomena, which to the authors' knowledge have not been discussed in rela-129 tion to their forcing of advective flows in soils. Such phenomena include atmospheric in-130 frasound (e.g., Bedard (1998, 2005); Leventhall (2007); Raspet et al. (2019)), high fre-131 quency gravity waves (e.g., Marlton et al. (2019); X. Wang et al. (2020)) and other high 132 frequency atmospheric coherent structures (e.g., Einaudi et al. (1989)), lower frequency 133 inertia-gravity waves and other mesoscale scale gravity waves (e.g., Grivet-Talocia et al. 134 (1999); Koch and Siedlarz (1999); Ralph et al. (1999); Koppel et al. (2000); Ruppert and 135 Bosart (2014)), density currents, atmospheric bores and solitons or solitary waves (e.g., 136 Shreffler and Binkowski (1981); Goncharov and Matveyev (1982); Doviak and Ge (1984); 137 Christie (1989); Hauf et al. (1996)). Finally, any measured soil surface pressure signal 138 could also include components unique to a specific location when wind interacts with veg-139 140 etation (e.g., Finnigan (1979); Shaw et al. (1990); H. Wang and Takle (1995); Nieveen et al. (2001)). The final purpose of the present study is to point out that (what appear 141 in the data to be) inertia-gravity waves and solitons can induce significant advective flows 142 in soils. 143

The remainder of this study consists four sections. The following section outlines 144 the basic physical and modeling fundamentals and includes an order of magnitude cal-145 culation for the advective velocities associated with the different physical processes and 146 models. This second section also develops a semi-observationally based approach for es-147 timating advective velocities in-situ in the hope of better understanding the differences 148 in modeled advective velocities. The third section discusses the experimental site, Man-149 itou Experimental Forest or MEF, and the associated pressure and soil data used with 150 the models. The fourth section presents the results and discussion in terms of three fre-151 quency bands for the pressure forcing. Filtering the pressure signal into low (periods \geq 152 5 hours), mid (periods between 0.5 and 5 hours) and high (periods ≤ 0.5 hrs) frequen-153 cies helps illuminate the significance impact that inertia-gravity waves and solitons (or 154 more generally, organized mid frequency atmospheric phenomena) can have on pressure 155 pumping in soils. The final section is the summary and conclusion. 156

¹⁵⁷ 2 Physical Fundamentals of Pressure Pumping

Darcy's law and the conservation of mass of soil air (or the equation of continuity of pore air) are foundational elements to developing a model of induced advective flows in soils (e.g., Muskat (1937); Nield (1982); Phillips (1991); Scanlon et al. (2002); Novak (2016)). The 3-D versions of Darcy's law and the conservation of mass (in order) are

$$(\eta - \theta)\mathbf{v} = -\frac{k}{\mu}\nabla P_a \tag{1}$$

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163 and

$$\frac{\partial ((\eta - \theta)\varrho_a)}{\partial t} + \nabla \bullet (\mathbf{J}_{\mathbf{a}} + (\eta - \theta)\varrho_a \mathbf{v}) = S_a$$
(2)

where bold variables are 3-D vectors and $\mathbf{v} \,(\mathrm{ms}^{-1})$ is the velocity field within the soil, $k \,(\mathrm{m}^2)$ is the soil permeability, μ (Pa s) is the dynamic viscosity of soil air, $\nabla \,(\mathrm{m}^{-1})$ is the spatial gradient operator, P_a (Pa) is the soil air pressure, $\eta \,(\mathrm{m}^3\mathrm{m}^{-3})$ is total soil porosity, $\theta \,(\mathrm{m}^3\mathrm{m}^{-3})$ is the soil volumetric moisture content, $(\eta - \theta)$ is, therefore, the air-filled porosity, $\varrho_a \,(\mathrm{mol} \,\mathrm{m}^{-3})$ is the molar density of the soil air, $t \,(\mathrm{s})$ is time, $\mathbf{J}_a \,(\mathrm{mol} \,\mathrm{m}^{-2}\mathrm{s}^{-1})$ is the diffusional flux of air entering and exiting a soil pore, and $S_a \,(\mathrm{mol} \,\mathrm{m}^{-3}\mathrm{s}^{-1})$ is the source/sink term of the production/destruction of pore air within the soil.

Because the focus here is on the primary mechanisms causing advective flows the 172 molar diffusive flux, $\mathbf{J}_{\mathbf{a}}$, will not be considered. Further, the source term, S_a , can be elim-173 inated from Equation (2) without sacrificing the influence that changes in θ can have 174 on v (e.g., Parlange et al. (1998); Lebeau and Konrad (2009); Novak (2016)). In the present 175 context, S_a would only be used to describe soil biochemical reactions involving soil CO₂, 176 O₂ and various nitrogen species, which would have virtually no effect on the air-filled 177 pore volume or \mathbf{v} . After these simplifications the ideal gas law is combined with Equa-178 tion (2); where the ideal gas law is $P_a = \rho_a R T_K$ and $R = 8.314 \text{ Jmol}^{-1} \text{K}^{-1}$ is the uni-179 versal gas constant and $T_{\mathbf{K}}$ (K) is the temperature of both the soil air and the soil ma-180 trix, which are assumed to be in thermal equilibrium. The resulting equation forms the 181 basis for two different, but related, purposes. The first is prognostic model development 182 (including an order of magnitude estimate of the terms appearing in the various mod-183 els) and the second is model diagnostics (i.e., model comparisons). Each of these approaches 184 is taken in turn. 185

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2.1 Prognostic Models and Modeling Methods

For prognostic modeling purposes the following equation follows from employing the ideal gas law with Equation (2)

$$\frac{\partial P_a}{\partial t} + \mathbf{v} \bullet \nabla P_a + P_a \nabla \bullet \mathbf{v} = \frac{P_a}{T_K} \left(\frac{\partial T_K}{\partial t} + \mathbf{v} \bullet \nabla T_K \right) + \frac{P_a}{(\eta - \theta)} \left(\frac{\partial \theta}{\partial t} + \mathbf{v} \bullet \nabla \theta \right)$$
(3)

¹⁹⁰ Further model development requires simplifying this last expression to 1-D (vertical only), ¹⁹¹ but first it is important to comment on the compressible flow term, $P_a \nabla \bullet \mathbf{v}$, because ¹⁹² assuming that the flow is compressible ($\nabla \bullet \mathbf{v} \neq 0$) leads to a very different model of ¹⁹³ pressure pumping than assuming that the flow is incompressible ($\nabla \bullet \mathbf{v} = 0$).

Many pressure pumping models assume incompressible flow (e.g., Muskat (1937); 194 Albert and McGilvary (1992)), as do models of atmospheric pressure fluctuations at the 195 soil surface (e.g., Kraichnan (1956); Yu et al. (2011)), which eliminates the compress-196 ible flow term a priori. This is reasonable because in the absence of shocks compressible 197 flow effects are negligible. Or, stated more precisely, compressible flow effects only be-198 come important for Mach numbers > 0.3 (Depcik & Loya, 2012), which is not physically 199 realistic for velocities associated with induced advective flows in soils or snowpacks. On 200 the other hand it is appropriate to keep the compressible flow term when describing prop-201 agation of sound through soil (e.g., Phillips (1991)). But it is unclear (at least to these 202 authors) how pressure fluctuations associated with sound waves can have any significant 203 influence on advective motions in soils. Nonetheless, the compressible flow model has been 204 used to describe pressure pumping effects (e.g., Buckingham (1904); Clarke et al. (1987)) 205 so it is appropriate to include in this study. 206

²⁰⁷ Decomposing the forcing variables (P_a, T_K, θ) into temporally and spatially invari-²⁰⁸ ant background components and components that vary with time and spatial dimension, ²⁰⁹ the 1-D form of Equation (3) is

$$\frac{\partial p_{\beta}}{\partial t} + v \frac{\partial p_{\beta}}{\partial z} + \left(P + p_{\beta}\right) \frac{\partial v}{\partial z} = \frac{P}{T_{K}} \left(\frac{\partial T_{\beta}}{\partial t} + v \frac{\partial T_{\beta}}{\partial z}\right) + \frac{P}{(\eta - \theta)} \left(\frac{\partial \theta_{\beta}}{\partial t} + v \frac{\partial \theta_{\beta}}{\partial z}\right) \tag{4}$$

where z (m) is the soil depth, v is the induced advective velocity in the vertical direc-211 tion, the β -subscripted variables ($p_{\beta} = p_{\beta}(z,t)$ (Pa); $T_{\beta} = T_{\beta}(z,t)$ (C); $\theta_{\beta} = \theta_{\beta}(z,t)$ 212 (m^3m^{-3}) are the temporally and spatially varying components of P_a , T_K and θ , and 213 P is the time and spatially invariant component of P_a (i.e., $P_a = P + p_\beta$). In gen-214 eral $P \gg p_{\beta}$ so P substitutes for P_a on the right hand side of Equation (4). But not 215 so on the left hand side because the term $p_{\beta} \partial v / \partial z$, although relatively small, is relevant 216 and important to the approximate model of pressure pumping in soils discussed below. 217 Also note that the temporally and spatially invariant background components of T_K and 218 θ are not explicitly used as modeling variables. 219

2.1.1 Three models of advective flows: Pressure forcing only

Traditionally "pressure pumping" models ignore the temperature and soil moisture terms on the right hand side of Equation (4). Including the T_K and θ terms in the three models developed in this section is deferred until the next section, section 2.1.2.

The first model of pressure-only induced advective flows discussed in this section is the Linear (Buckingham, 1904, Appendix A), or Compressible Flow model, the full expression of which follows immediately from Equation (4) and is given as

$$\frac{\partial p_{\beta}}{\partial t} + v \frac{\partial p_{\beta}}{\partial z} + (P + p_{\beta}) \frac{\partial v}{\partial z} = 0$$
(5)

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²²⁹ or when combined with Darcy's law is

$$\frac{\partial p_{\beta}}{\partial t} - \frac{\partial}{\partial z} \left(\kappa \frac{\partial p_{\beta}}{\partial z} \right) - \frac{\kappa}{P} \left(\frac{\partial p_{\beta}}{\partial z} \right)^2 - \frac{p_{\beta}}{P} \frac{\partial}{\partial z} \left(\kappa \frac{\partial p_{\beta}}{\partial z} \right) = 0$$
(6)

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where $\kappa = Pk/((\eta - \theta)\mu)$ (m²s⁻¹) is the "pressure diffusivity" (and Darcy's Law is 232 expressed as $v = -(\kappa/P)\partial p_{\beta}/dz$. The difference between this last expression and Buck-233 ingham's original model is that his model includes only the first term on the left hand 234 side and not the second or third terms, both of which are quite small relative to first term. 235 It is easy to see that the third term on the left can be ignored relative to the first, be-236 cause if follows immediately from $p_{\beta} \ll P$ or equivalently $p_{\beta}/P \ll 1$. Showing that 237 the second term on the left is small relative to the first term, i.e., $\kappa/P \left(\frac{\partial p_{\beta}}{\partial z}\right)^2 \ll \frac{\partial}{\partial z} \left(\kappa \frac{\partial p_{\beta}}{\partial z}\right)$ 238 is somewhat more involved and so is left for Section 2.2.1. Therefore, the Linear model 239 (Buckingham, 1904), which is given next, should be accurate to a high degree of numer-240 ical precision. 241

$$\frac{\partial p_{\beta}}{\partial t} - \frac{\partial}{\partial z} \left(\kappa \frac{\partial p_{\beta}}{\partial z} \right) = 0 \quad \text{Linear model} \tag{7}$$

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Before proceeding to the second model there is an interesting variant to Buckingham's original model, which is

$$\frac{\partial p_{\beta}}{\partial t} - \frac{\partial}{\partial z} \left(\kappa \frac{\partial p_{\beta}}{\partial z} \right) - \frac{\kappa}{P} \left(\frac{\partial p_{\beta}}{\partial z} \right)^2 = 0$$
(8)

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which follows by dropping the third term of Equation (6) (because as shown in section 249 2.1.2, $(p_{\beta}/P) \partial/\partial z (\kappa \partial p_{\beta}/\partial z) \ll \kappa/P (\partial p_{\beta}/\partial z)^2$). Unlike Buckingham's original model, 250 which is linear and parabolic, this equation is weakly non-linear, although still parabolic 251 because as discussed above the first term on the right is still much larger than the non-252 linear second term. This variant of the Linear pressure pumping model is pointed here 253 because, as shown in the Appendix A, Equation (8) can be related to the viscid Burg-254 ers Equation and is, therefore, amenable to an analytical solution.

The second model explored here is the Muskat (Muskat, 1937) or Incompressible Flow model, which is given as

$$\frac{\partial p_{\beta}}{\partial t} + v \frac{\partial p_{\beta}}{\partial z} = 0 \tag{9}$$

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²⁵⁹ When combined with Darcy's law this yields:

$$\frac{\partial p_{\beta}}{\partial t} - \frac{k}{(\eta - \theta)\mu} \left(\frac{\partial p_{\beta}}{\partial z}\right)^2 = 0 \quad \text{or} \quad \frac{\partial p_{\beta}}{\partial t} - \frac{\kappa}{P} \left(\frac{\partial p_{\beta}}{\partial z}\right)^2 = 0 \quad \text{Muskat model} \quad (10)$$

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where the compressible flow term (which is proportional to $\partial v/\partial z$) does not appear in this model. Unlike the Linear model, this model is nonlinear and hyperbolic and, as also shown in Appendix A, can be transformed into the inviscid Burgers Equation. Although this identity with the Burgers Equation is not employed in this study, it is worth pointing out because this may offer other insights into solution methods for Equation (10).

The third model of pressure pumping is an approximation to the Muskat model that originated with Kidder (1957) (crediting Muskat (1937)) and further developed by J. W. Massmann (1989). It is obtained by adding $-(\kappa/P) p_{\beta} (\partial^2 p_{\beta}/\partial z^2)$ to the left hand side (of the second expression) of Equation (10) and then employing the identity $(\partial p_{\beta}/\partial z)^2 + p_{\beta} (\partial^2 p_{\beta}/\partial z^2) = \partial^2 (p_{\beta}^2/2)/\partial z^2$ to yield the Kidder model, or the Approximate Incompressible Flow model:

$$\frac{\partial p_{\beta}}{\partial t} - \left(\frac{\kappa}{P}\right) \frac{\partial^2 (p_{\beta}^2/2)}{\partial z^2} = 0 \quad \text{Approximate model} \tag{11}$$

This model is only justifiable because the term, $p_{\beta} \left(\frac{\partial^2 p_{\beta}}{\partial z^2} \right)$ is quite small rel-274 ative to the original nonlinear term, $(\partial p_{\beta}/\partial z)^2$, an inequality that was discussed above 275 and is proven in section 2.2.1. Although the Kidder model may appear to be a nonlin-276 ear parabolic equation, it remains a nonlinear hyperbolic equation. Interestingly though, 277 the Approximate model may offer some computational benefit over the Muskat model 278 because it was often more stable numerically than the Muskat model – likely because 270 of the addition of a weak, albeit non-linear, diffusive term (e.g., Thomas (1995, Section 280 5.3.3)).281

In summary, the three models examined in this study are Equation (7) (the Linear or Compressible Flow model), Equation (10) (the Muskat or Incompressible Flow model) and Equation (11) (the Kidder or the Approximate Incompressible Flow model) and, as demonstrated in Section 4, there are some significant differences in the predictions of v(t, z) and (especially) v(t, 0) that are associated with these three models. But before ending this section, it may be worth a brief discussion of the model first proposed by Shan (1995) and further developed by Scanlon et al. (2002) and later employed by J. Li et al. (2011)

and (especially) J. Li et al. (2012).

The Quasi-Linear model (Shan (1995); Scanlon et al. (2002)) is

$$\frac{\partial P_a^2}{\partial t} - \kappa \frac{\partial^2 P_a^2}{\partial z^2} = 0 \qquad \text{Quasi-Linear model}$$
(12)

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Except for a change of notation this last equation is identical to Equation (1) of Shan 292 (1995) and Equation (8.30) of Scanlon et al. (2002). But Scanlon et al. (2002) show that 293 the Quasi-linear model can be linearized to yield what is essentially the Compressible 294 Flow model, Equation (7) above (see Equation (8.31) of Scanlon et al. (2002)). But the 295 Compressible Flow model can only be derived by assuming the flow is compressible. It 296 is by its nature predominantly linear (as shown previously) and it is not a consequence 297 of the linearization of a nonlinear model. Therefore, the Quasi-Linear model is completely 208 unnecessary. But more importantly, combining either Equation (8.30) of Scanlon et al. (2002) or equivalently Equation (12) with the incompressible flow assumption does not 300 and cannot logically lead to Equation (8.31) of Scanlon et al. (2002) (= the Compress-301 ible Flow model). Therefore, models based on the Quasi-Linear model, Equation (12) 302 above, are at the very least unnecessary and may in fact be completely wrong, especially 303 if it is thought of as a further refinement of the Approximate Incompressible Flow model 30/ (Equation (11) above). Confusing Equation (12) as a variant of Equation (11) might lead to substituting p_{β} for P_a in Equation (12) above (i.e., replacing Equation (12) with $\partial p_{\beta}^2/\partial t -$ 306 $\kappa \partial^2 p_{\beta}^2 / \partial z^2 = 0$). This last equation for p_{β}^2 cannot be logically justified on the basis of being related to the Approximate model. Regardless of the assumption made concern-307 308 ing the flow, simply recognizing that the Approximate model is valid is sufficient to show 309 that the equation $\partial p_{\beta}^2/\partial t - \kappa \partial^2 p_{\beta}^2/\partial z^2 = 0$ violates the physically valid assumption 310 that $p_{\beta} \ll P$. 311

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2.1.2 Three models of advective flows: Including T_K and θ forcing

Each of the three models discussed above can be generalized to include the influence that changes in soil temperature and moisture can have on $p_{\beta} = p_{\beta}(t, z)$ and v = v(t, z). These generalizations are (in the order as above)

$$\frac{\partial p_{\beta}}{\partial t} - \frac{\partial}{\partial z} \left(\kappa \frac{\partial p_{\beta}}{\partial z} \right) + \Lambda_z \frac{\kappa}{P} \left(\frac{\partial p_{\beta}}{\partial z} \right) = \Lambda_t \qquad \text{Linear model} \tag{13}$$

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³¹⁸
$$\frac{\partial p_{\beta}}{\partial t} - \frac{\kappa}{P} \left(\frac{\partial p_{\beta}}{\partial z}\right)^2 + \Lambda_z \frac{\kappa}{P} \left(\frac{\partial p_{\beta}}{\partial z}\right) = \Lambda_t \qquad \text{Muskat model}$$
(14)

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$$\frac{\partial p_{\beta}}{\partial t} - \frac{\kappa}{P} \left(\frac{\partial^2 (p_{\beta}^2/2)}{\partial z^2} \right) + \Lambda_z \frac{\kappa}{P} \left(\frac{\partial p_{\beta}}{\partial z} \right) = \Lambda_t \qquad \text{Approximate model}$$
(15)

where
$$\Lambda_t$$
 (Pa s⁻¹) = $\Lambda_t(t, z) = (P/T_K)\partial T_\beta/\partial t + (P/(\eta - \theta))\partial \theta_\beta/\partial t$ and Λ_z (Pa m⁻¹)
= $\Lambda_z(t,z) = (P/T_K)\partial T_\beta/\partial z + (P/(\eta - \theta))\partial \theta_\beta/\partial z$.

To keep the modeling and numerical methods as simple and as realistic as possible $\Lambda_t(t,z)$ and $\Lambda_z(t,z)$ are input as external analytical expressions obtained by differentiating analytical expressions for $T_{\beta}(t,z)$ and $\theta_{\beta}(t,z)$, which are obtained by curvefitting the observed soil surface temperature, $T_{\beta}(t, 0)$, and the 0.02 m depth soil moisture, $\theta_{\beta}(t, z = 0.02 \text{ m})$. Here I assume that $\theta_{\beta}(t, 0) \approx \theta_{\beta}(t, z = 0.02 \text{ m})$, which is not unreasonable for the present study because it is focused on a very dry semiarid site that does not display strong moisture dynamics.

 $T_{\beta}(t,0)$ is approximated analytically as $\Sigma_i F_{T_i}(t,0)$, where $F_{T_i}(t,0) = \Delta T_{\beta_i} \sin(\omega_i t + 1)$ 330 ϕ_i) is the predominant functional form, but can be other functions necessary to capture 331 low and mid-frequency transients associated with synoptic scale weather systems or clouds. 332 Given an analytical expression for $T_{\beta}(t,0)$, then $T_{\beta}(t,z) = \sum_{i} F_{T_{i}}(t,z) \exp(-z/D_{T_{i}})$, 333 where $F_{Ti}(t,z) = \Delta T_{\beta i} \sin(\omega_i t + \phi_i - z/D_{Ti})$ and D_{Ti} is estimated by scaling the tem-334 perature attenuation depth associated with the diel cycle, D_T (where D_T was determined 335 from the observed temperature profiles to be 0.12 m during June 2012 and 0.14 m dur-336 ing October 2012), to ω_i (or other non-sinusoidal frequency-like parameters). The sam-337 pling frequency for the soil surface temperature (5 minutes) and the sensitivity of the 338 soil temperature probe largely eliminated any possibility of obtaining any high frequency 330 temperature data information for the present study. Consequently, the only frequency 340 content in the temperature data are the low and mid frequencies. 341

A similar method is employed for the soil moisture at 0.02 m, i.e., $\theta_{\beta}(t, z = 0.02 \text{ m}) =$ 342 $\Sigma_i F_{\theta_i}(t,0)$. The set of functions $F_{\theta_i}(t,0)$ are largely specific to a given observation pe-343 riod and are not necessarily sinusoidal in time to allow for those occasions when rain may 344 rapidly increase soil moisture or the soil moisture decreases with drying or drainage. The 345 attenuation depths for soil moisture variations were modeled in a manner similar to tem-346 perature, but inferred from the moisture observations. But precision estimates in the at-347 tenuation depths for soil moisture are not critical to the model simulations because the 348 soil was extremely dry during the observation periods and most soil moisture dynam-3/0 ics were confined to the upper few centimeters of soil, except for the overall drying trend 350 in soil moisture, which did extend to nearly a meter in depth. But because of the na-351 ture of the data and the sensitivity of the soil moisture probe $\theta_{\beta}(t, z)$ contains only low 352 frequency information. Finally, because soil moisture at this site was generally less that 353 $0.15 \text{ m}^3\text{m}^{-3}$ at all times there is no concern about a saturated soil, i.e., $(\eta - \theta) \rightarrow 0$ 354 in the denominator of either $\Lambda_t(t, z)$ and $\Lambda_z(t, z)$. 355

The initial conditions for temperature and moisture, $T_K(0, z)$ and $\theta(0, z)$, are obtained by curve fitting their observed profiles at the start of the simulation.

358

2.1.3 Numerical methods, Initial conditions and Boundary conditions

The Linear models, Equations (7) and (13), are solved numerically using an im-359 plicit Crank-Nicolson scheme followed by three iterations of a Newton-Raphson solver 360 to refine the Crank-Nicolson solution. Three iterations of the Newton-Raphson solver 361 were sufficient to produce a convergence $< 10^{-10}$ Pa. The nonlinear models, Equations 362 (10) and (14) for the Muskat model and Equations (11) and (15) for the Approximate 363 model, are solved with an implicit finite difference scheme adapted after Lax-Friedrichs 364 method (e.g., Thomas (1995, p. 215); Press et al. (2007, p. 1034); Kurganov (2016, Eqn. 365 (26)); Hinch (2020, Chapter 10)), which is followed by three iterations of the Newton-366 Raphson solver again achieving a convergence $< 10^{-10}$ Pa. The Newton-Raphson step 367 is intended to reduce numerical errors in the solution for $p_{\beta}(t,z)$ and thereby improve 368 stability of the Lax-Friedrichs scheme for the hyperbolic models. It was of less benefit 369 to the Crank-Nicolson scheme and the Linear models. 370

But because the nonlinear hyperbolic models discussed here, i.e, Equations (10) and (11), do not quite fit the archetypal Lax-Friedrichs schemes discussed in the references above it is worthwhile to briefly discuss the numerical method used here. The most fundamental expression of which is the following:

$$\widetilde{p}_i^{j+1} - \left(\frac{\Delta t}{4\Delta z^2} \frac{\kappa_i}{P}\right) \left(\widetilde{p}_{i+1}^{j+1} - \widetilde{p}_{i-1}^{j+1}\right)^2 = \frac{1}{2} \left(\widetilde{p}_{i+1}^j + \widetilde{p}_{i-1}^j\right)$$

where $i = 1, 2, \dots, M$ refers to the spatial discretization index (with M layers) and jis the time step index. In terms of the Newton-Raphson function to be minimized at the j + 1 time step, i.e., $\widetilde{\mathcal{P}}_i = \widetilde{\mathcal{P}}_i(\widetilde{p}_{i-1}^{j+1}, \widetilde{p}_i^{j+1}, \widetilde{p}_{i+1}^{j+1})$, this yields:

$$\widetilde{\mathcal{P}}_i = \widetilde{p}_i^{j+1} - \frac{r_i}{4} \left(\widetilde{p}_{i+1}^{j+1} - \widetilde{p}_{i-1}^{j+1} \right)^2 - \frac{1}{2} \left(\widetilde{p}_{i+1}^j + \widetilde{p}_{i-1}^j \right)$$

where $r_i = \Delta t \kappa_i / (\Delta z^2 P)$ (Pa⁻¹) and this numerical scheme, appropriate to the Muskat 378 model, is easily generalized to the Approximate model and the models that include tem-379 perature and moisture dynamics. Although this Lax-Friedrichs-Newton-Raphson numer-380 ical scheme does appear to yield quite plausible and physically realistic solutions, it was 381 not the only numerical scheme investigated. The explicit Lax-Friedrichs method and both 382 the explicit and implicit Lax-Wendroth (with a Newton-Raphson solver for the implicit 383 approach) were also investigated and compared. The present scheme seems to be the most 384 reliable of all those tested. Possible contributing factors to the performance of the present 385 numerical scheme is that the velocity field being modeled is unlikely to develop shocks, 386 387 nor are there any discontinuities in the input data that are sufficient to promote their formation. 388

The initial condition for pressure cannot be determined directly from observations. 389 Therefore, the present study assumes that $p_{\beta}(0,z) = p_{\beta}(0,0)(1-\epsilon + \epsilon \exp(-z/D_{p,init}))$, 390 where $p_{\beta}(0,0)$ is the observed surface pressure perturbation at $t = 0, \epsilon = 0.08$ and 391 $D_{p,init} = 60$ m. This parameterization is somewhat arbitrary, but it is reasonable and 392 it provides a simple continuous function for the model's initial conditions. Furthermore, 393 since the purpose of the present study is to compare different model estimates of v(t,z)394 and especially v(t, 0) the key consideration here is not whether the models are sensitive 395 to the initial conditions, but that the initial conditions are the same for each model run. 396 Nonetheless, a sensitivity analysis was performed to examine the model's sensitivity to 307 ϵ and $D_{p,init}$ by first comparing $\epsilon = 0$ (uniform initial pressure field) with that assum-398 ing $\epsilon = 0.08$. The difference in the Linear and Muskat model all frequencies solutions 399 near the surface, v(t, 0), were usually quite small < 0.1%. The differences could be larger 400 at greater depths because the permeability at this site increases by a couple orders of 401 magnitude within the upper 0.5 m of soil, but again not significantly so. Similar con-402 clusions were reached when varying $D_{p,init}$, except for the Muskat model for low frequen-403 cies, for which the difference was < 6%. In general, the initial conditions should not be 404 viewed as a source of significant concern in the preset study. 405

The lower bound of the spatial domain is 20 m (below the soil surface) and the grid 406 spacing is 0.10 m for all models. The lower boundary condition (LBC) is $\partial^2 p_{\beta}/\partial z^2 =$ 407 0, i.e., a "pass-through" boundary condition. But because the soil permeability was set to a very low value ($k \le 10^{-15} \text{ m}^2$) in the lowest third of the domain (a region of the 408 409 soil for which there is no permeability data), the solution is not very sensitive to the specifics 410 of the LBC. This constraint on k also helped improve stability of the numerical solution 411 of the nonlinear models. The upper boundary condition is obtained from differential pres-412 sure measurements made on the soil surface and sampled at once per second (1 Hz). The 413 1 Hz pressure time series was decomposed using a Savitzky-Golay filter (e.g., Press et 414 al. (2007, p. 766)) into a low frequency component (pressure signals with periods ≥ 5 415 hours), a mid-range frequency component (pressure signals with periods between 0.5 and 416 5 hours) and high frequency component (with periods between 1 s and 0.5 hours). Each 417 of these component time series is used separately for the upper boundary condition. To 418 minimize potential numerical instabilities, particularly for the Muskat model, the time 419 step is set to 1 second, i.e., the upper boundary condition is the observed or filtered 1 420

Hz pressure data. Likewise, the analytical expressions for $\Lambda_t(t, z)$ and $\Lambda_t(t, z)$ are input at 1 second intervals. All model coding was done in MatLab version 2019b.

- 423 **2.2** Preliminary Model Diagnostics
- 424

2.2.1 A Numerical Example: Advective Velocities and Soil Evaporation

Because much of this study is devoted to comparing magnitudes of different estimates of the advective velocity this section provides some context for these estimates using soil evaporation as an example. But, it is also important to emphasize that soil evaporation is just one of many possible examples, so this particular case is not necessarily indicative of the significance of advective transport of any other trace gas in soil or other permeable media or for other environmental settings.

The advective flux of water vapor from the soil is $\rho_v v$ (kgm⁻²s⁻¹), where ρ_v (kgm⁻³) 431 is the mass density of the advected soil vapor and $v \,(ms^{-1})$ is the (vertical) advection 432 velocity. To aid in the interpretation of the mass fluxes this evaporative flux is expressed 433 in terms of energy units, i.e., the flux is $L_v \rho_v v$; where L_v is the enthalpy of vaporiza-434 tion of water $\approx 2.5 \times 10^6$ Jkg⁻¹. Assuming that the soil temperature is between 10 C 435 and 30 C and that the soil vapor is saturated, it follows that ρ_v is between 0.01 and 0.03 436 kgm⁻³. Next allowing v to be between 1 and 1000 μ ms⁻¹ (values that will be discussed 437 and justified in the next and later sections), it follows that evaporative energy flux (den-438 sity) should be between about 0.025 Wm^{-2} and 75 Wm⁻². Or to put it another way, 439 assuming any advective flux that contributes more than approximately 5 Wm^{-2} to soil 440 evaporation is significant, then it follows that the advective velocity must exceed a thresh-441 old value of $\approx 100 \ \mu m s^{-1}$. For the present purposes, this threshold is fairly crude be-442 cause it can vary within a factor of two or so. But, it is not unreasonable and it should 443 help with the interpretation of later results. 444

445

2.2.2 Linear Analysis: Magnitudes of advective velocities

This section serves two purposes. The first is to validate the expectations that 44F $\kappa/P \left(\frac{\partial p_{\beta}}{\partial z}\right)^2 \ll \frac{\partial}{\partial z} \left(\kappa \frac{\partial p_{\beta}}{\partial z}\right)$ for the Linear model and $p_{\beta} \left(\frac{\partial^2 p_{\beta}}{\partial z^2}\right) \ll \left(\frac{\partial p_{\beta}}{\partial z}\right)^2$ 447 for the Approximate model. The second is to compare numerical estimates of the ad-448 vective velocities associated with each of the three pressure-only models to the temperature-449 only and θ -only models. For brevity and convenience this discussion is limited solely to 450 the diel cycle (i.e., $\omega = 7.272 \times 10^{-5}$ radians s⁻¹). Nonetheless the numerical results 451 (Section 4) validate the conclusions of this section for the diel cycle and show that the 24-hour cycle is useful surrogate for all low frequencies (here defined with periods > 5453 hours). The mid-range and high frequency results are deferred until Section 4 below, as 454 are all results associated with including the temperature and θ effects (i.e., Equations 455 (13), (14) and (15)).456

⁴⁵⁷ The temperature-only advective velocity, v_T , is estimated from $\partial T_{\beta}/\partial t = v_T \partial T_{\beta}/\partial z$; ⁴⁵⁸ where $\partial T_{\beta}/\partial t \approx \omega \Delta T_{\beta}$, $\partial T_{\beta}/\partial z \approx -\Delta T_{\beta}/D_T$, ΔT_{β} (C) is the amplitude of the diel ⁴⁵⁹ cycle, D_T (m) is the attenuation depth associated with the diel-temperature wave, i.e., ⁴⁶⁰ 0.07 m $\leq D_T \leq 0.14$ m and for the sake of simplicity the phase between $\partial T_{\beta}/\partial t$ and ⁴⁶¹ $\partial T_{\beta}/\partial z$ is not considered in this section (which is the same for the pressure and soil mois-⁴⁶² ture discussions below as well). Therefore,

 $|v_T| = \omega D_T \approx (5 - 10) \times 10^{-6} \text{ ms}^{-1}$

where, because the principal concern here is orders of magnitude, the results are presented

as absolute values (or equivalently the amplitude) of the induced advective velocities.

⁴⁶⁵ Luce et al. (2013) use a similar approach to estimate the advective velocity of thermally

induced flow in stream beds. Also relevant here is the estimate of $|v_T|$ that can be derived from Kimball (1983), which is

$$|v_T| \approx (0.1) \times 10^{-6} \text{ ms}^{-1}$$
 (Kimball, 1983)

This is roughly two orders of magnitude smaller than the preceding estimate of v_T . This difference will be discussed further in Section 4.

The θ -only advective velocity, v_{θ} , is estimated from $\partial \theta / \partial t = v_{\theta} \partial \theta / \partial z$. But esti-470 mating $\partial \theta / \partial t$ and $\partial \theta / \partial z$ cannot be determined from a well established theory as was done 471 with v_T . So both terms need to be estimated separately from observations. There are 472 two components to $\partial \theta / \partial t$. The first is the amplitude of a daily cycle ($\Delta \theta_{\beta}$) and the other 473 is a more-or-less decreasing linear trend. $\Delta \theta_{\beta}$ can be estimated from Rose (1968); R. D. 474 Jackson (1973); T. J. Jackson et al. (1997); Brooks et al. (2002); Novak (2016) and the 475 MEF data used in this study and presented later. This yields $\Delta \theta_{\beta} = 0.02 \text{ m}^3 \text{m}^{-3}$ (vary-476 ing between 0 to 0.025 m³m⁻³), which suggests that $\omega \Delta \theta_{\beta} \approx 1.4 \times 10^{-6} \text{ s}^{-1}$. Novak 477 (2016) estimates a maximum magnitude of the (decreasing) linear trend (i.e., a drying soil) to be θ to be -1.2×10^{-7} s⁻¹. The MEF data used in the present study suggest 478 470 a similar value for a drying soil, but the same data also suggest that for a wetting soil 480 $|\partial\theta/\partial t| > 1.4 \times 10^{-6} \text{ s}^{-1}$. Finally, the other data sources above suggest that for this 481 decreasing linear trend $|\partial \theta / \partial t| < 10^{-8} \text{ s}^{-1}$ is also possible. Estimating a daily value of 482 $\partial\theta/\partial z$ from these same data sources yields a value of $\partial\theta/\partial z$ of about 0.33 m⁻¹ (varying 483 between 0 to 0.4 m⁻¹). To keep the estimate of v_{θ} reasonable only $\partial \theta / \partial z = 0.33$ m⁻¹ 484 is considered. Note that the data for some of these numerical estimates may not have 485 included the temperature corrections appropriate to TDR measurements of volumetric 486 soil moisture (e.g., Or and Wraith (2000); Massman et al. (2010, Appendix A)). We found 487 that these corrections did not introduce any significant differences into the order of mag-488 nitude estimate of v_{θ} below or the conclusions drawn from them. 489

490 Synthesizing these results suggest

$$|v_{\theta}| = \frac{|\partial \theta/\partial t|}{|\partial \theta/\partial z|} \approx \frac{(0-1.6)10^{-6}}{0.33} \approx (0-5) \times 10^{-6} \text{ ms}^{-1}$$

⁴⁹¹ This estimate of $|v_{\theta}|$, and especially the relatively larger values, are extremely uncertain ⁴⁹² (and potentially unreliable), largely because of the need to divide by $\partial \theta / \partial z$, which spans ⁴⁹³ a very wide range including values approaching zero. The realism of the high end esti-⁴⁹⁴ mates of v_{θ} will be reexamined in Section 4.

⁴⁹⁵ Comparing these estimates of $|v_T|$ and $|v_{\theta}|$ suggest that $|v_{\theta}| < |v_T|$ is likely and, ⁴⁹⁶ given the uncertainty inherent in v_{θ} , $|v_{\theta}| \ll |v_T|$ is nearly as likely. But in general when ⁴⁹⁷ the soil is warming $(\partial T_{\beta}/\partial t > 0)$ the soil dries out through evaporation, which results ⁴⁹⁸ in $\partial \theta_{\beta}/\partial t < 0$. Therefore, v_{θ} will likely be (at least partially) out of phase with v_T and ⁴⁹⁹ therefore, will reduce the effective v_T . So it is also reasonable to expect that $|v_{\theta}| < |v_T+$ ⁵⁰⁰ $v_{\theta}| \leq |v_T|$.

The pressure-only advective velocity is complicated by the need to examine three 501 different models. For the Linear model to be valid it is necessary to show that the in-502 compressible term $(v_p \partial p_\beta / \partial z)$ is much smaller than the compressible term $(P \partial v_p / \partial z)$ 503 for the following approximation to Equation (5) (i.e., $\partial p_{\beta}/\partial t = v_p \partial p_{\beta}/\partial z + P \partial v_p/\partial z$); 504 where the 'p' subscript (v_p) refers to the pressure-induced velocity. Here I assume that 505 both p_{β} and v_p attenuate with depth according to $\exp(-z/D_p)$, where D_p (m) is the pres-506 sure attenuation depth and that both are periodic in time, $\sin(\omega t)$. Therefore, analogous 507 to T_{β} and v_T above, $\partial p_{\beta}/\partial t \sim \omega \Delta p_{\beta}$, $v_p \partial p_{\beta}/\partial z \sim v_p \Delta p_{\beta}/D_p$ and $P \partial v_p/\partial z \sim P v_p/D_p$; where Δp_{β} is the amplitude of the pressure "wave" at the soil surface. From $\Delta p_{\beta} \ll$ 508 509 P it follows that the incompressible term is much smaller than the compressible term 510

and the Linear model is valid. Therefore $v_{pL} = \omega D_p \Delta p_\beta / P$, where the 'L' subscript refers to 'Linear model'. It is possible to estimate D_p from the linear theory of pressure pumping: i.e., $D_p = \sqrt{2\kappa/\omega}$ (e.g., Waddington et al. (1995)). Assuming a soil permeability (k) between 10^{-10} and 10^{-12} m², $\Delta p_\beta \sim 100$ Pa, $P = 10^5$ Pa, $\mu = 1.85 \times 10^{-5}$ Pa s and $\eta - \theta \sim 0.4$, yields $\kappa = 0.0125 - 1.25$ m²s⁻¹, $D_p \sim 16 - 180$ m and the following order of magnitude estimate of $|v_{pL}|$

$$|v_{pL}| = \omega D_p \frac{\Delta p_{\beta}}{P} = \left(\sqrt{2\kappa\omega}\right) \frac{\Delta p_{\beta}}{P} = (1 - 10) \times 10^{-6} \text{ ms}^{-1}$$

⁵¹⁷ The range of values for $10^{-12} \le k \le 10^{-10}$ is representative of the soil permeability ⁵¹⁸ at Manitou Experimental Forest (see Appendix B) and the assignment of a value of 100 ⁵¹⁹ Pa to Δp_{β} provides a reasonable estimate of the combined amplitudes of diurnal and semi-⁵²⁰ diurnal tides (e.g., Mass et al. (1991); Y. Li and Smith (2010); Marty et al. (2010)).

Nonetheless, this estimate of $|v_{pL}|$ is clearly sensitive to Δp_{β} (or to be more pre-521 cise $\partial p_{\beta}/\partial t$ and Δp_{β} can take on a variety of values associated with variety of differ-522 ent low frequency atmospheric phenomena. For example, J. Massmann and Ferrier (1992) 523 use a pressure amplitude of about 2400 Pa (24 mb) and a period of 24 hours for their simulations. Although such large drops in surface pressure are certainly possible, they 525 are extremely rare on a synoptic scale (i.e., during a 24 hour period). Drops in surface 526 pressure at rates ≥ 1 mb hr⁻¹ or ≥ 100 Pa hr⁻¹ on the synoptic scale are usually as-527 sociated with bombogenesis (e.g., Sanders and Gyakum (1980)), i.e., the genesis of Bomb 528 Cyclones and other types of severe weather. Otherwise, they do not characterize nor-529 mal ambient atmospheric conditions. 530

Clements and Wilkening (1974) use surface pressure observations that are more typ-531 ical of synoptic scale frontal passages in their study of advective velocities in soils. They 532 found that $\partial p_{\beta}/\partial t = -65$ Pa hr⁻¹ and 50 Pa hr⁻¹, which on a 24-hour basis would cor-533 respond to $|\Delta p_{\beta}| \approx 1200 - 1600$ Pa. Further, using $k = 10^{-12}$ m² and $P \approx 86$ kPa, 534 Clements and Wilkening (1974) estimate $|v_{pL}| \approx 100 \times 10^{-6} \text{ ms}^{-1}$. Given these data 535 it should come as no surprise that Clements and Wilkening (1974) estimate $|v_{pL}|$ exceeds, 536 by at least an order of magnitude, $|v_{pL}|$ given above. But, more importantly though is 537 that Clements and Wilkening's (1974) estimate of $|v_L|$, which is associated with frontal 538 passages, supports the reasonableness of our estimate of $|v_L|$ associated with diel baro-539 metric forcing. 540

The assumption of a depth-attenuated sinusoidal solution underlying the estimates 541 of v_T and v_{pL} works well because it is a valid linear solution to the linear parabolic equa-542 tions that describe $T_K(t,z)$ and $p_\beta(t,z)$. Such an approach will not necessarily work as 543 well with the Muskat and Approximate models because they are nonlinear hyperbolic 544 equations, for which numerical methods are preferred. Nonetheless, there is some value 545 in adapting this linear approach to these two nonlinear models because it does offer in-546 sights into these two nonlinear models, their relationship to one another and how v_p es-547 timated with these two models compare to $|v_T|$, $|v_{\theta}|$ and $|v_{pL}|$. 548

Estimating v_p for the Muskat model (i.e., v_{pM}) follows immediately from expressing the Muskat model in terms of velocity, i.e., $\partial p_{\beta}/\partial t - (P/\kappa)v_{pM}^2 = 0$. Substituting $\omega \Delta p_{\beta}$ for $\partial p_{\beta}/\partial t$ and solving for v_{pM} and then the order of magnitude estimate yields

$$|v_{pM}| = \sqrt{\omega \kappa \Delta p_{\beta}/P} = (30 - 300) \times 10^{-6} \text{ ms}^{-1}$$

This range of values for $|v_{pM}|$ is well supported by their agreement with the estimate of $|v| \sim (28 - 990) \times 10^{-6} \text{ ms}^{-1}$ derived by Iakovleva and Ryzhakova (2003, Table 2) from soil Radon transport measurements. The relationship between $|v_{pL}|$ and $|v_{pM}|$ can be made more explicit by taking the ratio of $|v_{pL}|$ to $|v_{pM}|$, which yields

$$\frac{|v_{pL}|}{|v_{pM}|} = \sqrt{2\frac{\Delta p_{\beta}}{P}} \approx 0.045 \ll 1$$

where the numerical values results from assuming (as above) $\Delta p_{\beta} \sim 100$ Pa and P =557 10⁵ Pa. This result clearly indicates to expect that $|v_{pL}| < \text{or} \ll |v_{pM}|$. But more in-558 terestingly, this relationship is not explicitly a function of frequency of the (oscillatory) 559 forcing pressure. Therefore, this linear analysis yields a specific prediction regarding the 560 velocities produced by the Linear and Muskat models, which is that the ratio of the mod-561 eled velocities increases with increasing Δp_{β} . Therefore, because the amplitude of atmospheric pressure perturbations tends to decrease with increasing frequency it follows 563 to expect that $|v_{pL}|/|v_{pM}|$ will be maximal at low frequencies and minimal at high fre-564 quencies. This theoretical result will be tested in a later section summarizing the var-565 ious models' responses to low, mid and high frequency forcing. 566

For the Approximate model to be valid $\kappa p_{\beta}/P \partial^2 p_{\beta}/\partial z^2 \ll (P/\kappa)v_{pM}^2$ must be true or equivalently that $(\kappa \Delta p_{\beta}/P)(\Delta p_{\beta}/D_p^2) \ll \omega \Delta p_{\beta}$. Assuming that D_p from the Linear model is also valid for the Approximate and Muskat (or non-linear) models and substituting the expression for D_p above into D_p^2 results in $(p_{\beta}/(2P))\omega \Delta p_{\beta} \ll \omega \Delta p_{\beta}$, which is obviously true because $p_{\beta}/(2P) < p_{\beta}/P \approx 10^{-3} \ll 1$. The order of magnitude estimate of v_{pA} follows by replacing the differential form of the Approximate model with the algebraic form as has been throughout this section. The result is

$$|v_{pA}| = |v_{pM}| \sqrt{1 + 2\Delta p_{\beta}/P} \approx |v_{pM}| \approx (30 - 300) \times 10^{-6} \text{ ms}^{-1}$$

The key takeaways at this point in the analysis are (1) the approximations necessary to justify the Linear and Approximate models are valid, (2) for low frequency surface forcing (i.e., with periods within a factor of five or so of the daily cycle) the following ordering of the models' advective velocities is:

$$|v_{\theta}| < |v_T + v_{\theta}| \le |v_T| \sim |v_{pL}| \ll |v_{pM}| \approx |v_{pA}| \tag{16}$$

and (3) because $|v_T| \sim |v_{pL}|$ it may not be possible to assume a priori that advective flows associated with temperature dynamics are small relative to those of pressure, especially for low porosity soils, e.g., $k \leq 10^{-14}$ m². This supports the need to examine the interactions of temperature and pressure on the advective transport velocity with models based on Equations (13), (14) and (15). To that end, the linear analysis is applied to Equation (13).

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This requires replacing the differential equation, $\partial p_{\beta}/\partial t - \Lambda_t + P \partial v_p/\partial z - \Lambda_z v_p =$ with the following algebraic one $\omega \Delta p_{\beta} - P \omega \Delta T_{\beta}/T_K = P v_{pL*}/D_p - P \Delta T_{\beta}/(T_K D_T) v_{pL*}$ and solving for v_{pL*} ; where the subscript '*' refers to the pressure-induced velocity, v_{pL} , that includes the temperature and moisture terms. But here we consider only the temperature effects on v_{pL} for the sake of simplicity. A bit of mathematical manipulation yields the following:

$$\omega D_p \frac{\Delta p_\beta}{P} \left(1 - \frac{P}{\Delta p_\beta} \frac{\Delta T_\beta}{T_K} \right) = \left(1 - \frac{D_p}{D_T} \frac{\Delta T_\beta}{T_K} \right) v_{pL*}$$

After assuming that $T_K \approx 300$ K and given all other previous estimates above for the other terms in this equation, it is straightforward to show that $(P/\Delta p_\beta)/(\Delta T_\beta/T_K) \gg$ 1 and $(D_p/D_T)/(\Delta T_\beta/T_K) \gg 1$, from which it the follows immediately that

$$|v_{pL*}| = \frac{D_T}{D_p} \frac{P}{\Delta p_\beta} |v_{pL}| = \sqrt{\frac{\kappa_T}{\kappa}} \frac{P}{\Delta p_\beta} |v_{pL}| = C_{L*} |v_{pL}| \sim (0.4 - 9) \times |v_{pL}|$$

where $\kappa_T = (0.1 - 0.7) \times 10^{-6} \text{ m}^2 \text{s}^{-1}$ is the soil's thermal diffusivity (e.g., Campbell 594 and Norman (1998, p. 124)). For the present purposes the principal determinant of the 595 coefficient C_{L*} is $1/D_p$ or κ , the soil's pressure diffusivity. Meaning that as permeabil-596 ity decreases the temperature (and moisture) effects become increasingly more impor-597 tant, confirming the conclusion of the linear analysis of the individual velocities, $|v_T|$, 598 $|v_{\theta}|$, and $|v_{p}|$ above. This last relationship also suggests that in terms of absolute mag-599 nitudes, it seems reasonable to conclude that either $|v_{pL*}| \sim |v_{pL}|$ or $|v_{pL*}| \geq |v_{pL}|$ 600 is more likely than $|v_{pL*}| < |v_{pL}|$. Nevertheless, by this linear analysis $|v_{pL*}| < |v_{pL}|$ 601 cannot be ruled out. 602

⁶⁰³ Applying the linear analysis to estimate $|v_{pM*}|$ from Equation (14) and $|v_{pA*}|$ from ⁶⁰⁴ Equation (15)) yields estimates of C_{M*} and C_{A*} ranging (conservatively) between 10^{-2} ⁶⁰⁵ to 10^2 . Interpreting such a wide range of possibilities is difficult. Nevertheless, this does ⁶⁰⁶ suggest that the nonlinear models may be more sensitive to the temperature and mois-⁶⁰⁷ ture dynamics than the linear model. Further comparisons between all of these induced ⁶⁰⁸ advective velocities is deferred until the discussion of the numerical results.

2.2.3 A semi-observationally-based approach to estimating v_p

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This section outlines semi-observationally-based approach, based on vertically integrating the conservation of mass (the COM approach), for estimating the advective velocities. It provides an alternative method that complements the three models outlined above. It follows from an approach similar to that employed by Parlange et al. (1998) and Novak (2016) for assessing how the diel cycle of v_T and v_{θ} might influence rates of soil moisture evaporation.

⁶¹⁶ By integrating the 1-D version of Equation (2) over depth (after disregarding **J** and ⁶¹⁷ S_a as discussed) the COM approach becomes

$$Q_a(z=0) - Q_a(z_r) = -\int_{z_r}^{z=0} \left(\frac{\partial((\eta-\theta)\varrho_a)}{\partial t}\right) dz$$
(17)

where $Q_a = (\eta - \theta) \varrho_a v \pmod{m^{-2} s^{-1}}$ is the advective soil air mass flux, z_r (m) is a reference depth in the soil and z = 0 refers to the soil surface. Because this study uses v(0) as a metric for assessing the relative performance of the three different models z_r is chosen to be the lower boundary of the model domain = 20 m at which the soil permeability (discussed in the next section) becomes small enough to assume that $|Q_a(z = 0)| \gg |Q_a(z_r)|$ or $|v(z = 0)| \gg |v(z_r)|$. With this lower boundary condition and the ideal gas law, Equation (17) can be solved for v(0) to yield

$$v(0) = -\frac{1}{\left(\eta(0) - \theta(0)\right)\varrho_a(0)} \int_{z_r}^{z=0} \left((\eta - \theta) \frac{\varrho_a}{P_a} \frac{\partial p_\beta}{\partial t} - (\eta - \theta) \frac{\varrho_a}{T_K} \frac{\partial T_\beta}{\partial t} - \varrho_a \frac{\partial \theta_\beta}{\partial t} \right) dz \quad (18)$$

Equation (18) has the advantage of being linear in the forcing functions related to pressure, temperature and moisture. Therefore, it is also possible to identify $v_p(t, 0)$, $v_T(t, 0)$ and $v_{\theta}(t, 0)$ as follows:

$$v_p(0) = -\frac{1}{\left(\eta(0) - \theta(0)\right)\varrho_a(0)} \int_{z_r}^{z=0} \left((\eta - \theta) \frac{\varrho_a}{P_a} \frac{\partial p_\beta}{\partial t} \right) dz \tag{19}$$

$$v_T(0) = \frac{1}{(\eta(0) - \theta(0))\varrho_a(0)} \int_{z_r}^{z=0} \left((\eta - \theta) \frac{\varrho_a}{T_K} \frac{\partial T_\beta}{\partial t} \right) dz$$
(20)

632 and

631

633

$$v_{\theta}(0) = \frac{1}{(\eta(0) - \theta(0))\varrho_{a}(0)} \int_{z_{r}}^{z=0} \left(\varrho_{a} \frac{\partial \theta_{\beta}}{\partial t}\right) dz$$
(21)

where the analytical models for $T_K = T_K(t, z)$, $T_\beta = T_\beta(t, z)$, $\theta = \theta(t, z)$ and $\theta_\beta = \theta_\beta(t, z)$ (detailed above) are used with Equations (18) - (21). Note for consistency with previous notation that $v_{T\theta}(0) = v_T(0) + v_{\theta}(0)$ and $v_{p*}(0) = v_p(0) + v_T(0) + v_{\theta}(0)$.

To estimate $v_p(0)$ requires an model of $p_\beta(t,z)$, which here is the product of the 637 upper boundary condition on pressure $p_{\beta}(t,0)$ and an analytical expression for the at-638 tenuation of the surface pressure signal with depth. Thus $p_{\beta}(t, z) = p_{\beta}(t, 0) \exp(-z/D_{p})$, 639 where now D_p depends on frequency range of the input signal. For low frequencies, $D_p \equiv$ 640 $D_{p,low} = 75$ m, for the mid range $D_p \equiv D_{p,mid} = 30$ m and for the high frequencies 641 $D_p \equiv D_{p,high} = 1$ m. Although reasonable (as will be discussed further in section 4), 642 these estimates of D_p are at this point "best guess only". Of the three only $D_{p,mid}$ could 643 be estimated from the profile of observed soil pressures, i.e., the surface pressure plus 644 pressure measurements at 0.1 m, 0.2 m and 0.5 m. Given the relatively shallow depths 645 of the measurements compared to the magnitude of $D_{p,mid}$ and the fact that the sen-646 sor at 0.5 m was intermittent $D_{p,mid} = 30$ m is certainly plausible, but highly uncer-647 tain. Even less certain are the estimates of $D_{p,low}$ and $D_{p,high}$. $D_{p,low}$ is scaled from 648 $D_{p,mid} = 30$ m by a frequency ratio of the low and mid frequencies and $D_{p,high} = 1$ 649 m is obtained from near-surface soil permeability measurements at MEF (Appendix B) 650 and by assuming that the dominant high frequency pressure forcing has a period of 1-651 3 minutes. Given the large uncertainties inherent in these estimates of D_p , each is com-652 pared with the numerical results. Finally, $\partial p_{\beta}(t,z)/\partial t$ is computed numerically using a 653 third order finite difference scheme, $\partial p_{\beta}(t,z)/\partial z$ is computed analytically and the inte-654 gration uses MatLab subroutines. 655

⁶⁵⁶ 3 Site Description, Instrumentation, and Data

Manitou Experimental Forest (39° 04' N and 105° 04' W) is a dry montane pon-657 derosa pine (*Pinus ponderosa*) forest in the central Rocky Mountains about 45 km west 658 of Colorado Springs, CO, USA. MEF has a mean elevation of about 2400 m ASL, an an-659 nual mean temperature of about 5 C and an annual precipitation of about 400 mm. Pre-660 vious grazing and mechanical harvesting throughout the area has resulted in a moder-661 ately disturbed soil. Soil organic matter comprises about 1-2% of the soil by mass and 662 is more or less uniform through at least the top 10 cm of soil. The dominant parent ma-663 terials of the soils within MEF are primarily Pikes Peak granite and secondarily weath-664 ered red arkostic sandstone. Typical soil within MEF is a deep (> 1.0 m), fine-loamy, 665 mixed, frigid, Pachic Argiustoll and tend to have low available water holding capacity 666 and a (moderately high) permeability – ranging between about 1×10^{-12} and 250×10^{-12} 667 within the top 1 m of the soil. Typical MEF soils range between 60-65% sand, 20-25%silt, and 10-15% clay with bulk densities that usually increase with depth and range be-669 tween 1.1 Mgm^{-3} and about 1.6 Mgm^{-3} . For modeling purposes the vertical structure 670 for the soil permeability, $k_u(z)$, and bulk density, $\rho_s(z)$, are derived from measurements 671 of their vertical profiles obtained in the upper 1 m of soil at MEF (see Appendix B). These 672 analytical functions are $k_u(z) = 10^{-12}(126 \tanh(6(z - 0.95)) + 132.4) \text{ m}^2$ in the upper 673 1.5 m and as $k(z) = k_u(z = 1.5) \exp(-(z - 1.5))$ for 1.5 m $\leq z \leq 20$ m and $\rho_s(z)$, as 674 $1.65 + \sin(\pi(z + 7/60))(\tanh(4(z - 0.72)) - 1)/3 \text{ Mgm}^{-3}$ throughout the domain. The 675 model for the total soil porosity, $\eta = \eta(z)$, follows directly from the $\rho_s(z)$, i.e., $\eta(z) =$ 676

⁶⁷⁷ $1-\rho_s(z)/\rho_p$, where $\rho_p = 2.65 \text{ Mgm}^{-3}$ is the particle density for the sandy MEF soils, ⁶⁷⁸ which is assumed to be constant throughout the domain.

The data supporting the present study is part of a larger (multi-purposed) field cam-679 paign performed between December 2008 and August 2014 (Frank & Massman, 2020). 680 The specific site was on a gentle east facing slope about 1.2 km west of the Manitou Ex-681 perimental Forest headquarters in an opening covered primarily by bunchgrasses, but 682 with a few scattered trees throughout. All sensors were confined to a 15 m \times 15 m plot. 683 At the center of the plot was an elevated structure with control boxes, dataloggers, so-684 lar panels, and batteries. Replicate soil pits were located in four directions about 4 m 68 from the center. Each replicate had three pits, the first was about 1.3 m deep for temperature (and thermal conductivity probes, which are not discussed in the present study), 687 a second for soil moisture probes that was about 1 m deep, and a third one for soil CO_2 688 probes that was about 1 m deep (which are not discussed here either). A final pit was 689 dug for soil pressure sensors about 9 m east of the plot center that was about 1 m deep 690 and large enough to hold a $0.5 \text{ m} \times 0.5 \text{ m}$ sensor/control box. Profiles of the within-pore 691 air pressure were sampled and recorded at 1 Hz at 0, 10, 20, and 50 cm with a differential pressure sensor (Appendix C). Profiles of soil temperature and soil moisture were 693 measured and recorded every 5 minutes. Temperatures were measured with thermocou-694 ples at the soil surface and at 0.5, 1.5, 2.5, 3.5 7.5, 12,5 17.5, 25.0, 75.0, and 125.0 cm 695 depths at four locations. Soil moisture was measured with TDRs (Time Domain Reflec-696 tometers) at 2.0, 5.0, 10.0, 15.0, 20.0, 50.0, 100.0 cm also at the same four locations. The 697 full profiles of temperature and the soil moisture are important to the present study be-698 cause they were used to check that the models for $T_{\beta}(t,z)$, $\theta_{\beta}(t,z)$, $\Lambda_{t}(t,z)$ and $\Lambda_{z}(t,z)$ 699 (discussed in section 2.1.2 above) were reasonably consistent with the observed profiles. 700

Further details of the instrumentation and the experiment can be found in (Frank Massman, 2020) and Appendix C, which summarizes the details about the pressure sensors and how the 1 Hz differential pressure data were calibrated against and merged with the low frequency (ambient) data used in the following section.

705 4 Results and Discussion

This study focuses on two periods in 2012: June 14-20 (144 hours) and October 706 2-13 (250 hours). The observationally-based surface forcing functions, $p_{\beta}(t,0), T_{\beta}(t,0)$ 707 and $\theta_{\beta}(t,0)$ are shown in Figures 1 (June) and 2 (October). The conservation of mass 708 approach, Equations (18)-(21), and each of the three numerical models, Equations (7), 709 (10), and (11), were used to estimate the surface velocity, v(t, 0) and the standard de-710 viation of the surface velocity, $\sigma_{\nu}(0)$, for each period with pressure-only forcing and with 711 pressure plus temperature and moisture effects. (Note: Henceforth |v| or |v(0)| (from the 712 Linear Analysis section and understood here as the amplitude of a time series) and the 713 standard deviation, σ_v or $\sigma_v(0)$, are used synonymously because for sinusoidal time se-714 ries the amplitude and standard deviation are linearly related by $\sqrt{2}$.) All resulting stan-715 dard deviations can be found in Table 1 including those associated with using the un-716 filtered 1 Hz surface data (all frequencies) and the filtered (low, mid, and high frequen-717 cies) surface pressure data as the upper (surface) boundary condition. Table 1 also in-718 cludes $\sigma_{v_T}(0)$, $\sigma_{v_{\theta}}(0)$ and $\sigma_{v_{T_{\theta}}}(0)$ and the results of a sensitivity analysis of $\sigma_{v_p}(0)$ to 719 changes to the numerical value of $D_{p,high}$. The numerical results concerning v(t,0) are 720 shown and discussed in the next two sections. 721

722

4.1 Low frequency forcing: Periods ≥ 5 hours

Figure 3 shows the COM estimates of $v_p(0)$ from Equation (19), $v_T(0)$ from Equation (20) and $v_{\theta}(0)$ from Equation (21) for the June 2012 study period. The takeaways here are that (1) $|v_p| >> |v_T|$ and $|v_{\theta}|$, as might be expected for a soil with a permeability $> 10^{-12}$ m², and (2) the COM estimate of $|v_T|$ agrees more closely with Kim-

ball (1983) than with the estimated based of the thermally induced flow (Section 2.2.1 727 above). A less obvious takeaway is that during periods of rain (also see Figure 1 and in 728 particular Figure 2) that $|v_{\theta}| \approx |v_{T}|$. In fact the corresponding figure for the October 729 study period (not shown) would even indicate that $|v_{\theta}| > |v_T|$, a conclusion supported 730 by the results shown in Table 1 (Low Frequencies column). There are two contributing 731 factors to the unexpected reversal of this inequality. (a) v_{T} is smaller in magnitude dur-732 ing the October period than the June period because the soil is heated less strongly by 733 the daily heating cycle during October than June (compare amplitudes of the soil sur-734 face temperatures shown in Figures 1b and 2b). (b) Although it is possible that lack of 735 a temperature correction to the TDR may be contributing biased estimates of $\partial \theta / \partial t$ and 736 $\partial \theta / \partial z$, there are two more important reasons why $|v_{\theta}|$ is relatively large during the Oc-737 tober study period. First, rainfall amounts and rates were higher than during the June 738 period and (2) the air filled pore space – the denominator of Equation (21), $\eta(0) - \theta(0)$ 739 - is smaller during the October period, which will tend to increase $|v_{\theta}|$. 740

These low frequency results from the COM approach are echoed in $\sigma_{\nu}(0)$ in Table 741 1. In addition, this table further suggest that $\sigma_{v_{T\theta}} < \sigma_{v_T} + \sigma_{v_{\theta}}$, thereby supporting 742 the notion that there is some phase difference between v_T and v_{θ} and that during a typ-743 ical diel cycle the temperature and moisture effects tend to act (at least weakly) in op-744 position to one another. But Table 1 also indicates that v_{θ} can be surprisingly large (rel-745 ative to v_T), undoubtedly due (at least in part) to rapid changes in soil moisture asso-746 ciated with rain. Finally, the influence of soil heating and moisture dynamics on $|v_p|$ (see 747 Equation (18)) is also presented in Table 1 (by comparing σ_{v_p} with $\sigma_{v_{p*}}$ in the All and 748 Low Frequencies columns). Overall temperature and moisture effects do not have much 749 impact on the pressure pumping velocity for the COM approach. But during periods of 750 rapid changes in θ soil moisture dynamics may be important enough to consider, espe-751 cially if the soil is moist to begin with or if the soil permeability is $< 10^{-12} \text{ m}^2$. 752



Figure 1. Model drivers (or surface forcing functions) for the June 14-20, 2012 simulation. (a) The black line is the observed 1 Hz surface pressure data, $p_{\beta}(t,0)$, which has had enough of the background pressure removed to ensure all data are ≥ 0 . The red line is the numerically filtered low frequency component of the surface pressure and includes only those data with periods ≥ 5 hours. (b) 1 Hz temperature forcing function, $T_{\beta}(t,0)$, interpolated from the observed 5 minute averages of the soil surface temperatures. The frequency content of this forcing is limited almost exclusively to low frequencies with only a small component of mid frequency content (i.e., periods between 0.5 and 5 hours). (c) 1 Hz soil moisture forcing function, $\theta_{\beta}(t,0)$, interpolated from the observed 5 minute averages of the 2-cm volumetric soil moisture. This forcing is exclusively low frequency because the mid and high frequency content (i.e., with periods between 1 s and 0.5 hours) was low amplitude noise that has no influence on the numerical results.



Figure 2. Model drivers (or surface forcing functions) for the October 2-13, 2012 simulation. (a) The black line is the observed 1 Hz surface pressure data, $p_{\beta}(t,0)$, which has had enough of the background pressure removed to ensure all data ≥ 0 . The red line is the numerically filtered low frequency component of the surface pressure and includes only those data with periods ≥ 5 hours. (b) 1 Hz temperature forcing function, $T_{\beta}(t,0)$, interpolated from the observed 5 minute averages of the soil surface temperatures. The frequency content of this forcing is limited almost exclusively to low frequencies with only a small component of mid frequency content (i.e., periods between 0.5 and 5 hours). (c) 1 Hz soil moisture forcing function, $\theta_{\beta}(t,0)$, interpolated from the observed 5 minute averages of the 2-cm volumetric soil moisture. This forcing is exclusively low frequencies because the mid and high frequency content (i.e., with periods between 1 s and 0.5 hours) was low amplitude noise that has no influence on the numerical results.



Figure 3. Estimates of low frequency advective velocities induced by pressure, temperature and soil moisture dynamics using the COM approach (Equations (19), (20), and (21)) for June 14-20, 2012. As discussed in the text, the soil temperature and moisture forcing functions, and therefore, v_T and v_{θ} as well, are for all numerical purposes low frequency only.

Table 1.	Standard deviation of soil surface advective velocity variance: $\sigma_v(0)$ (μms^{-1}). The subscript * denotes the inclusion of the temperature and moisture
effects. '	$ a \sigma_{vT\theta} = \sigma(v_T + v_\theta); ^b \sigma_{v_p} = \sigma(v_{p,low} + v_{p,mid} + v_{p,nigh}); ^c \sigma_{v_{P*}} = \sigma(v_{p,low} + v_{p,mid} + v_{p,high} + v_T + v_\theta). $ Note that numerical estimates for $\sigma_{vT}(0), $
$\sigma_{v_{\theta}}(0)$ and	$d \sigma_{vT\theta}(0)$ are the same for All Frequencies and Low Frequencies. This is because the frequency content of soil temperature and moisture is overwhelmingly
low freque	protes. The column relating to $D_{p,high}$ is part of a sesnitivity analysis regarding the COM's estimates of v_p .

labo	All Free $06/2012$	quencies 10/2012	$\frac{\rm Low \ Fre}{06/2012}$	quencies 10/2012	Mid+High 06/2012	Frequencies 10/2012	Mid Free 06/2012	quencies 10/2012	High Fre 06/2012	quencies 10/2012	$D_{p,high}$ (m)
WO.											
r_{v_T}	0.17	0.07	0.17	0.07							
$\sigma_{v_{H}}$	0.06	0.11	0.06	0.11							
$v_{T,B}$	0.20^{a}	0.14^{a}	0.20^{a}	0.14^{a}							
σ_{v_n}	5.6^b	5.5^b	1.7	2.7			2.3	2.7	4.8	4.0	1.0
$r_{v_{n*}}$	5.7^{c}	5.6^{c}	1.9	2.7							
σ_{v_n}									2.7	2.1	0.5
σ_{v_p}									3.6	4.4	0.9
σ_{v_p}									9.1	7.7	2.0
inear											
v _{pL}	4.4	4.1	1.5	1.8	4.2	3.6	1.8	1.7	3.7	3.2	
vpL*	3.0	2.6	0.9	1.0							
uskat											
MqU	21	18	19	15	10	8.8	5.2	5.2	8.5	7.1	
$*Md_0$	12	210	11	210							
oximate											
$r_{v_{pA}}$	19	15	17	13	9.7	8.4	4.7	4.6	8.5	7.0	
DA*	11	175	10	175							

Figure 4 compares (for June 2012) $v_p(t, 0)$ from the COM approach (i.e, the COM 753 approach and Equation (19)) with solutions from the Linear model, $v_{pL}(t, 0)$ from Equa-754 tion (7), the Muskat model, $v_{pM}(t, 0)$ from Equation (10), and the Approximate model, 755 $v_{pM}(t,0)$ from Equation (11). Figure 5 is the analog to Figure 4, except for the inclu-756 sion of the soil temperature and moisture dynamics in the solution, i.e., $v_{pL*}(t,0)$ from 757 Equation (13), $v_{pM*}(t,0)$ from Equation (14) and $v_{pM*}(t,0)$ from Equation (15)). Com-758 paring the first 80 hours of the these two simulations indicates that the temperature and 759 moisture effects can alter the phase of the velocity or even reverse it completely and Ta-760 ble 1, which summarizes all low frequency modeling results for both the June and Oc-761 tober 2012 observation periods, indicates that they can also affect the amplitude. With 762 respect to the modeling results the important observations to make are (1) including heat 763 and moisture effects with the two nonlinear models yield very different solutions for the 764 October 2012 case than the June 2012 case. Otherwise, (2) for the June 2012 case the 765 advective velocity associated with both the linear and nonlinear models decreases with 766 the inclusion of temperature and moisture effects (i.e., $\sigma_{v_pL*} < \sigma_{v_pL}$, $\sigma_{v_pM*} < \sigma_{v_pM}$, 767 $\sigma_{v_nA*} < \sigma_{v_nA}$, whereas the COM approach (very weakly) suggests just the opposite, 768 (3) the Linear model and the COM approach produce velocities of a similar magnitude 769 and phase but both are smaller in magnitude by a factor of 2 to 5 than either the Muskat 770 or Approximate models and (4) the advective velocities associated with the Muskat model 771 exceed those of the Approximate model. Some of these observations are valid for the Oc-772 tober 2012 case as well, but the real difference is that for the October case $\sigma_{v_n M^*}$ and 773 σ_{v_pA*} not only exceed σ_{v_pM} and σ_{v_pA} , but they both exceed 100 μ ms⁻¹, (possibly) con-774 trary to expectations. 775

Accepting these unexpected results at face value suggests that temperature and mois-776 777 ture dynamics cannot always be ignored in the nonlinear models. But, to better understand this anomalous result a sensitivity analysis was performed that indicated that the 778 nonlinear models' (Equations (14) and (15)) solutions were quite sensitive to the mag-779 nitude and vertical structure of Λ_z , but much less sensitive to variations in Λ_t . Regard-780 less though none of these tests fully eliminated this seeming contradiction. However fur-781 ther insight into this quandary can be found in section 4.3, which deals with the mod-782 els' profiles of pressure and advective velocity. Presumably additional insights might also 783 be possible with a model that fully couples soil heating and moisture dynamics (rather 784 than prescribing them as done here), but this avenue is beyond the purposes of the present 785 study. 786

In addition to Table 1, the present (all and low frequency) results can be summa rized by replacing Equation (16) with the following, more precise ordering of model ad vective velocities:

$$|v_{\theta}| \approx |v_{T}| \le |v_{T\theta}| \ll |v_{pL*}| \le |v_{pL}| \le |v_{p}| \approx |v_{p*}| < \text{or} \ll |v_{pA}| \approx \text{or} < |v_{pM}|$$
(22)

791 and

$|v_{pA*}| < |v_{pM*}|$

where \ll signifies at least one order of magnitude difference and the relationships between $|v_{pA}|$ and $|v_{pA*}|$ and $|v_{pM}|$ and $|v_{pM*}|$ remain difficult to generalize with any certainty. But maybe this should not be unexpected. Recall that the linear analysis of section 2.2.2 was also unable to offer any easily generalized insights into what might be expected from any of the three models regarding the impact of including the heat and moisture effects on the relative magnitudes of their advective velocities.



Figure 4. Estimates of low frequency advective velocities induced by pressure dynamics only using the Linear model $(v_L$, Equation (7)), the Muskat model $(v_M$, Equation (10)) and the Approximate model $(v_A$, Equation (11)) for June 14-20, 2012. v_p from the COM, Equation (19) is included for comparison.



Figure 5. Estimates of low frequency advective velocities induced by pressure, temperature and soil moisture dynamics combined using the Linear model (v_{L*} , Equation (13)), the Muskat model (v_{M*} , Equation (14)) and the Approximate model (v_{L*} , Equation (15)) for June 14-20, 2012. v_p from the COM, Equation (19) is included for comparison.

But the implications of Table 1 extend beyond Equation (22). Recalling the dis-798 cussion of the threshold velocity of 100 μms^{-1} (Section 2) and focusing on the COM ap-790 proach and the linear model, it is possible to conclude that soil temperature and mois-800 ture dynamics are unlikely to ever play a role in advective flow in soils. Considering that 801 Parlange et al. (1998) used the COM approach to conclude that temperature dynam-802 ics are a potentially significant contributor to advective flows in soils, the present results 803 concur with Novak (2016), who pointed out that Parlange et al. (1998) must have made a computational error. Nonetheless, without further insights into causes of the unexpected 805 results from the nonlinear models for the October 2012 case, the possibility remains that 806 soil heating and moisture dynamics (Λ_z in particular) may enhance the advective ve-807 locities associated with pressure pumping enough (at least for the nonlinear models) that 808 they might contribute to soil evaporation and the surface energy balance (i.e., $v_{DM*} >$ 809 $100 \,\mu \text{ms}^{-1}$ and $v_{pA*} > 100 \,\mu \text{ms}^{-1}$). 810

811

4.2 Mid (0.5 - 5 hrs) and high (1 s - 0.5 hrs) frequency forcing

Figure 6a shows a wavelet decomposition of the June 14-20, 2012 surface pressure 812 after removal of the low frequency component. Figures 6b and 6c are (in order) the band-813 pass filtered mid and high frequency pressure components. This 6 day period can be par-814 titioned into before (0–72 hours) and after (72–144 hours) a frontal passage (see the UCAR 815 weather archives at https://www2.mmm.ucar.edu/imagearchive/) with rain falling for 816 a short time beginning at about hour 60 (MEF headquarters building rain gauge). The 817 three pressure events preceding the frontal passage are self-identified here as inertia-gravity 818 waves (or possibly other related types of mesoscale gravity waves), whereas after the frontal 819 passage the pressure perturbations are interpreted as a "typical" diel cycle of turbulence 820 and other high frequency atmospheric phenomena. The presence of inertial gravity waves 821 during this period of frontal activity and associated convective activity is almost a cer-822 tainty (e.g., Koch and Siedlarz (1999); Ralph et al. (1999); Koppel et al. (2000); Rup-823 pert and Bosart (2014); X. Wang et al. (2020)). Further fitting a Morlet function (mod-824 ulated wave packet) to each of these inertia gravity wave events yields wave periods between about 2.1 and 2.5 hours with amplitudes between approximately 30 and 70 Pa, 826 which are very similar to the low frequency gravity waves observed in China by X. Wang 827 et al. (2020) and very similar to an inertia-gravity wave's theoretical period of about 2.7 828 hours (at 45° N latitude) suggested by Fritts and Alexander (2003). 829

Given the near ubiquity of inertia-gravity waves and their close association with 830 convective frontal systems, Figure 7 examines how this phenomenon might influence ad-831 vective flows (at least in the MEF soils) by isolating the first 48 hours of the June 2012 832 study period. This figure includes the mid frequency surface forcing and the modeled 833 mid and high frequency response (surface velocities). The important takeaways from Fig-834 ures 6 and 7 are (1) the mid and high frequency velocities computed with the nonlin-835 ear models exceed by an order of magnitude the velocities produced by the linear model 836 and the COM approach (also see Table 1), (2) both the mid and high frequency instan-837 taneous velocities are significant, often approaching or exceeding the critical 100 μms^{-1} 838 velocity, especially with the nonlinear models for which the velocities can approach 1000 839 μms^{-1} , (3) the mid frequency forcing events seem to intensify (or be associated with) 840 some of the high frequency pressure forcing (including the diel cycle of turbulence), and 841 (4) what might otherwise appear in a shorter time series as more-or-less random episodic 842 pressure forcing events appear in these figures to result from causal atmospheric phenom-843 ena, which are often associated with frontal systems, thunderstorms and rain. 844



Figure 6. (a) Wavelet decomposition of the June 14-19, 2012, soil surface pressure time trace using the Morlet wavelet after removing the low frequencies, i.e., all frequencies with periods longer than 5 hours. (b) Filtered mid frequency (periods between 0.5 and 5 hours) surface pressure time series. (c) Filtered high frequency (periods between 1 s and 0.5 hours) surface pressure time series. Three (self-identified) inertia-gravity waves occur each day during the first 72 hours (June 14, 15, 16). Wave periods of these events (panels (b)) were between about 2.1 and 2.5 hours with maximum amplitudes between approximately 30 and 70 Pa. Rain fell about hour 60 (mid-day June 16). The daily pulses of high frequency surface pressure, panel (c), during the last 72 hours (June 17, 18, 19) are interpreted here as the diel cycle in turbulence, suggesting that the three wave events (panel (b)) intensify the diel cycle of turbulence. Finally, in addition to the inertia-gravity wave the second day (panel (b)) appears to include a slightly higher frequency gravity wave event centered at about 48 hours.



Figure 7. (a) First 48 hours of the June 2012 mid-frequency soil surface pressure time series. The surface pressure perturbations between approximately 16 and 24 hours and the more complex signal between about 36 and 48 hours are interpreted here as an inertia-gravity wave events. These two wave events coincide with the leftmost (first and second) 'bright spots' on Figure 6a. (b) First 48 hours of the modeled June 2012 mid frequency soil surface velocities and (c) first 48 hours of the modeled high frequency surface velocities. Because $v_A \leq v_M$, v_A is obscured by v_M in panel (c). All velocities highlight the impact that the inertia-gravity waves, shown in panel (a), can have on the soil advective velocity field. Note the episodic nature of the high frequency velocities, their (at least partial) association with the wave events, and that the high frequency velocities are often about an order of magnitude greater than the mid-frequency velocities.

Figures 8 and 9 are the October analog to the two preceding figures. Figure 8 sug-845 gests that an inertia gravity wave event occurred during each of the first two days of the 846 October period (i.e., October 2 and 3). This wave activity occurred ahead of the pas-847 sage of a weak wind shift line and frontal system (UCAR weather archives: 848 https://www2.mmm.ucar.edu/imagearchive/). The wave periods of these two events were 849 between about 2.2 and 2.6 hours with amplitudes between approximately 20 and 60 Pa. 850 quite similar to the June events. But Figure 8 also suggests something quite different 851 appeared on the last day of the study period, October 13. The two obvious pressure spikes 852 on that day (and possibly two or three less obvious spikes, which precede and follow the 853 two major spikes) are here self-identified as atmospheric solitons. Solitons or solitary waves, 854 are identified as either positive or negative pressure pulses (or spikes) and often associ-855 ated with fronts and thunderstorms (e.g., Shreffler and Binkowski (1981); Doviak and 856 Ge (1984)). They can have amplitudes between about 10 and 200 Pa with a duration 857 between about 10 minutes and 2 hours (e.g., Goncharov and Matveyev (1982); Hauf et 858 al. (1996); Ruppert and Bosart (2014)). Figure 9 isolates these solitons (panel a) and 850 the mid and high frequency modeled soil advective velocities in the last 25 hours of the 860 October 2012 study period. The amplitudes of these two events are between about 80 861 and 110 Pa with durations between about 1.6 and 2 hours. On this day there was sig-867 nificant frontal activity in the area (https://www2.mmm.ucar.edu/imagearchive/) with 863 rain starting just in the early afternoon (MEF rain gauge). The takeaways from this Oc-864 tober period (i.e., last two figures) are the same as the June period, except that the in-865 tensification of the high frequency forcing and associated soil velocities by the mid fre-866 quency events is even stronger. 867

Figure 10 and Table 1 summarize the June and October modeling results for the 868 869 three frequency ranges. Figure 10 especially highlights the difference between the nonlinear and linear methods. First $\sigma_{\nu}(0)$ from the nonlinear models always exceed those 870 predicted by linear methods and second, the ratio $\sigma_{vL}(0)/\sigma_{vM}(0)$ is much smaller at low 871 frequencies than at mid or high frequencies. The first of these relationships was antic-872 ipated by the linear analysis discussed in section 2.2.2, but the second is opposite of what 873 was predicted. Possibly this should not be too surprising because the linear analysis can-874 not fully describe the solutions of the nonlinear models. The interpretation of the results 875 given in Figure 10 is that the nonlinear models produce significantly greater advective 876 transport at low and high frequencies than at mid frequencies. In fact, the difference be-877 tween modeling results at low frequencies is so great that it is possible to conclude that 878 pressure induced advective motions in soils is likely negligible for the linear model (con-870 trary to expectations), whereas just the opposite conclusion can be reached with the non-880 linear models. A complementary interpretation to this is that the linear methods pro-881 duce greater advective transport at the mid/high frequencies than at low frequencies. 883 Overall, these rather different "spectral responses" again serve to emphasize that the lin-883 ear and nonlinear models are fundamentally different models of pressure pumping and, 884 as a consequence, they yield different predictions for the advective velocities in perme-885 able media. 886

The point was made earlier that all the estimates for D_p used with the COM ap-887 proach were fairly uncertain. Consequently the estimates of the advective velocities as-888 sociated with the COM approach are also uncertain. This is especially true for high fre-889 quencies because the range of variation in D_p is greatest for high frequencies. To address 890 this Table 1 includes estimates of the high frequency (COM) σ_{vp} for $D_p = 0.5$ m and 891 $D_p = 2.0$ m. (Recall that $D_p = 1.0$ m is the original estimate (Table 1)). This sensi-892 tivity analysis (change in σ_{vp} with respect to change in D_p) for high frequencies qual-893 ifies the results shown in Figure 10. At least for high frequencies, even small change in 894 a reasonable guess for D_p could yield an estimate of σ_{vp} that more closely resembles (or 895 even exceeds) σ_{vpM} and σ_{vpA} (the nonlinear models) than σ_{vpL} (the Linear model). Con-896 sequently, imprecise knowledge of D_p only yields imprecise estimates of the advective velocity associated with the COM approach and any ability it may have to distinguish 898

- ⁸⁹⁹ between linear and nonlinear models is potentially lost, especially at high frequencies.
- But the relationship between the COM, D_p , and $v_p(z)$ at high frequencies is more con-
- $_{901}$ fusing than might otherwise be expected from just this section.



Figure 8. (a) Wavelet decomposition of the October 2-13, 2012, soil surface pressure time trace using the Morlet wavelet after removing the low frequencies, i.e., all frequencies with periods longer than 5 hours. (b) Filtered mid frequency (periods between 0.5 and 5 hours) surface pressure time series. (c) Filtered high frequency (periods between 1 s and 0.5 hours) surface pressure time series. Two (self-identified) inertia-gravity waves occur each day between (approximately) 24 and 72 hours (October 3 and 4). Wave periods of these two waves, panel (b), were between about 2.2 and 2.6 hours with maximum amplitudes between approximately 20 and 60 Pa. A pair of atmospheric solitons (also self-identified) appear as two positive spikes in panel (b), the mid-frequency time series, during the last 24 hours (October 13). The amplitudes of these two events are between about 80 and 110 Pa with durations between about 1.6 and 2 hours. Rain fell just after hour 240 (mid-day October 13). Similar to the June study period (Figure 6c), the diel cycle of turbulence is also present during the October period and again both the inertia-gravity waves and the solitons appear to intensify the high frequency turbulent surface pressure perturbations.



Figure 9. (a) Last 25 hours of the October 2012 soil surface pressure time series. The two pressure events centered at approximately 237 and 243 hours are interpreted here as solitons and they correspond to the rightmost (complex) 'bright spot' on Figure 8(a). (b) Last 25 hours of the modeled (b) mid frequency soil surface velocities and (c) high frequency soil surface velocity time series. Because $v_A \leq v_M v_A$ is obscured by v_M in panel (c). All velocities highlight the impact that the solitons, shown in panel (a), can have on the soil advective velocity field. Note the episodic nature of the high frequency velocities, their (relatively) strong association with the soliton events, and that the high frequency velocities are often about a factor of two or more greater in magnitude than the mid-frequency velocities.



Figure 10. Summary plot of the response, $\sigma_v(0)$, vs the forcing, $\ln(\sigma_p(0))$, for the June and October study periods as a function of frequency band for the COM approach, the linear model and the two nonlinear models. Low frequencies refer to atmospheric pressure variations with periods ≥ 5 hours, mid frequencies have periods between 0.5 and 5 hours, and high frequencies have periods between 1 sec and 0.5 hours. Given that $\sigma_p(0)$ increases fairly strongly with decreasing frequency this plot can also be interpreted as a crude description of the different models' spectral response.

4.3 Vertical profiles of pressure and advective velocity

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For the same surface forcing and permeability the reason the nonlinear models pre-903 dict a higher amplitude for the advective velocities than the linear methods can be in-904 ferred from Figure 11, which shows the modeled vertical profiles of the normalized stan-905 dard deviation of pressure for the top 8 m of the model domain during the June 14-20, 906 2012 study period. Although difficult to tell from the high frequencies (Figure 11c), this 907 figure indicates that, regardless of the forcing frequency, within the top 1 m or so the 008 surface pressure attenuates with depth more strongly with the nonlinear models than 909 do either the Linear model or COM approach. This difference in near-surface pressure 910 gradients is made more obvious in Figure 12, which shows the modeled vertical profiles 911 of $\sigma_v(z)$ for the top 8 m of the model domain during the June 14-20, 2012 study period. 912 All profiles in this figure show a maximum at approximately 1.4 m, which corresponds 913 to the maximum in the MEF soil permeability of approximately two orders of magni-914 tude greater than the very-near surface permeability (Appendix B). 915

Figure 11c also offers an insight into the COM approach. Although not shown here, 916 within the top meter of the domain the nonlinear $D_{p,high} \approx 0.9$ m, whereas the linear 917 $D_{p,high} \approx 2$ m. But as shown in Table 1, σ_{vp} for $D_{p,high} = 2$ m (linear model) agrees 918 more closely with the nonlinear estimate of σ_{vp} than it does with the linear estimate and 919 vice versa for $D_{p,high} = 0.9$ m (nonlinear model). In other words, the ability of the COM 920 to distinguish between the linear and nonlinear models, or to offer any insights for pre-921 ferring one type of model over the other, is not only lost at high frequencies, it yields in-922 ferences that are completely opposite of model-based expectations. This same reversal 923 occurs with the COM with the mid- and low frequencies, but σ_{vp} is much less sensitive 924 to variations (or uncertainties) in $D_{p,mid}$ or $D_{p,low}$, so the COM resembles the linear 925 model more than the nonlinear models. 926

For those cases that do not include soil heat and moisture dynamics these last Fig-927 ures 10 and 11 are elaborations of the results summarized in Table 1 and Equation (22). 928 But the differences between the linear and nonlinear approaches is more complicated when heat and moisture effects are included, e.g., Figure 13, which shows that heat and mois-930 ture dynamics have very little impact on the Linear model, but for the two nonlinear mod-931 els its impact on the vertical structure of the pressure gradient and the advective veloc-932 ity are more significant. Both the surface gradient, or equivalently the surface velocities 933 - Table 1, rows v_{pM*} and v_{pA*} , June and October study periods - and the general over-934 all gradient (compare Figures 11, 12 and 13) suggest that the nonlinear models are fairly 935 sensitive to heat and moisture dynamics. This may not be too surprising given that these 936 are nonlinear hyperbolic models, e.g., Hinch (2020) and that $|\partial p_{\beta}/\partial z| \ll |\Lambda_z|$ (Equa-937 tions (14) and (15), which changes the nature of the non-linearity of these hyperbolic 938 models. Present results suggests that heat and moisture dynamics have the potential to 939 impact the nonlinear models' solutions, particularly in the upper meter or so of the soil 940 profile. But the degree of sensitivity is more than (at least) these authors were expect-941 ing. It is difficult to generalize beyond this undistinguished conclusion concerning the 942 nonlinear models' sensitivity. In part, this is because for the June study period v_{pM*} and 943 v_{pA*} (Table 1) are more-or-less unsurprising and in agreement with expectations, whereas 944 for the October study period they are one to two orders of magnitude greater than might 94 have been expected. One possible explanation might be that the nonlinear models are 946 unstable and, therefore, their solutions are physically unrealistic. The "jaggedness" (dis-947 continuities) of the nonlinear models' vertical profiles shown in Figure 13 might support 948 this notion. Furthermore, the authors' experience suggests that once model velocities be-949 gin to approach or exceed about 10 mms⁻¹ (or $10^4 \ \mu ms^{-1}$) these models can become un-950 stable. But decreasing the vertical spacing (from the current 0.10 m) did not produce 951 any clear insights into these potential model instabilities or eliminate the discontinuities. 952 Beyond this somewhat obvious conclusion that the nonlinear models' are relatively sen-953

- sitive to heat and moisture effects, present results offer only that more numerical and
- ⁹⁵⁵ observational investigations seem warranted.



Figure 11. Modeled vertical profiles of the normalized standard deviation of pressure for the top 8 m of the model domain during the June 14-20, 2012 study period. The COM approach is identified by the expression $\exp(-z/D_p)$, where $D_p = 75$ m for the low frequencies, for mid frequencies $D_p = 30$ m, and D_p for the high frequencies is 1 m.



Figure 12. Modeled vertical profiles of the standard deviation of advective velocities for the top 8 m of the model domain during the June 14-20, 2012 study period. Panel (a) shows the low frequencies, (b) the mid frequencies and (c) for the high frequencies. All profiles show a maximum at approximately 1.4 m, which corresponds to the maximum in the MEF soil permeability of $k(-1.4) \approx 250 \times 10^{-12} \text{ m}^2 \approx 40 \times k(0)$. The second letter of each subscript refers to the model: 'L' is the linear model, 'A' is the approximate model (solid lines) and 'M' refers to the Muskat model (dash-dot lines).



Figure 13. Low frequency (June 2012) modeled profiles in the top 8 m of the model domain with heat and moisture dynamics included (i.e., Equations (13), (14) and (15)). The COM approach is identified by the expression $\exp(-z/D_p)$, where $D_p = 75$ m for the low frequencies. Panel (a) shows the modeled vertical profiles of the normalized standard deviation of pressure and panel (b) the standard deviation of advective velocities.

5 Summary and Conclusions

(1) Model Comparisons: This study begins with a review of the physical fundamen-957 tals of two different categories of models used to describe pressure-induced advective (i.e., 958 non-diffusive) gas flow in permeable media at the earth's surface. Both types of mod-950 els have been used for decades to describe pressure pumping, but mathematically they 960 are very different, with one model characterized as linear parabolic (Buckingham, 1904) 961 and the other two, slightly different, models as nonlinear hyperbolic (Muskat, 1937; Kid-067 der, 1957; J. W. Massmann, 1989). The linear model results from assuming that the flow 963 field is compressible (i.e., $\nabla \bullet v \neq 0$) and the nonlinear models both assume that the 96/ flow field is incompressible (i.e., $\nabla \bullet v = 0$). The numerical results, summarized by Ta-965 ble 1 and Figure 10, were (mostly) anticipated by a linear analysis of the model equa-966 tions (Section 2.2.2 and Equation (16)) and show that the nonlinear models will always 967 vield advective velocities that are similar to one another but always greater than those 968 of the linear model by approximately an order of magnitude and that the difference be-960 tween these two types of models is greatest for low frequencies forcings (i.e., phenom-970 ena with periods ≥ 5 hours). In fact the differences were great enough to suggest that 971 low frequency advective motions induced by pressure changes (for soils with permeabil-972 ity similar to those at MEF) is small enough in the Linear model to be neglected, con-973 trary to expectations. On the other hand, low frequency advective motion within snow-974 packs is likely to be significant because the permeability of snowpacks is often two or more 975 orders of magnitude greater than it is for MEF soils, i.e., $k_{snowpack} = (1 - 100)10^{-10}$ 976 m^2 (e.g., Sommerfeld and Rocchio (1993); Albert and Shultz (2002)). For mid (periods 977 between 0.5 and 5 hours) and high (periods between 1 s and 5 hours) frequencies the model 978 differences are less, but are still significant. The vertical profiles of pressure and veloc-970 980 ities were also very different with the nonlinear models associated with velocities of much greater magnitude, but more strongly attenuated, within the top 5 m of the soil than 981 the Linear model. Overall, model-based numerical estimates of pressure induced trace 982 gas exchange between the atmosphere and soils and snowpacks is strongly model depen-983 dent and, therefore, any quantitative results are potentially biased or incorrect. 984

Because the conservation of mass (COM) approach, Equation (19), is independent 989 of the compressible/incompressible flow assumption it was originally hoped that it would 986 provide some ability to discriminate (or choose) between the linear and nonlinear mod-987 els. But this was not really the case, despite its apparent congruence with the Linear model, 988 Equation (7). There are two principal reasons for this. First for high frequencies, using 989 the best estimate of the Linear model's attenuation depth, D_p (the key input parame-990 ter of the COM), yielded an estimate of v_p that agreed more closely with nonlinear models and vice versa for the nonlinear models' best estimate of D_p . Second, although for 992 low frequency surface forcing the COM agreed very closely with the Linear model, these 993 velocities were so low compared to all other velocities as to suggest that the low frequency 994 forcing is negligible, as mentioned in the previous paragraph. 995

(2) Heat and Moisture Dynamics: Changes in temperature and moisture can in-996 fluence the pressure within the soil pore spaces (Equations (13), (14) and (15)) especially 997 during the daily cycle (i.e., low frequencies). The Linear model showed very little sen-998 sitivity to these dynamics. Nonetheless, there was some suggestion that the advective 990 velocity associated with soil moisture was surprisingly higher than what was expected, 1000 especially during rain events. The nonlinear models were far more sensitive to heat and 1001 moisture dynamics than the Linear model. In fact, these additional forcings enhanced 1002 the (already significant) nonlinear low frequency pressure-only advective velocities by 1003 an order of magnitude, which again was unanticipated. If this result could be observa-1004 tionally verified, it would suggest that interplay of the daily cycles of atmospheric forc-1005 ing and soil heating is a significant driver of soil evaporation (from relatively moist soils, 1006 of course). 1007

(3) Mid and High Frequency Forcing by Mesoscale Atmospheric Events: Both the 1009 linear and nonlinear models are unambiguous about the importance of mid and high fre-1009 quency forcing to soil gas transport, with the linear model even suggesting that the trans-1010 port at these frequencies are the dominant forcing frequencies. A novel contribution of 1011 the present study is the recognition that significant gas transport in soils is associated 1012 with and enhanced by mid-frequency mesoscale atmospheric phenomena, such as inertia-1013 gravity waves and solitons (Figures 7 and 9). These phenomena, often generated by larger 1014 scale atmospheric events like thunderstorms and frontal systems, are important in their 1015 own right as forcings for transport. But they also intensify the diurnal cycle of turbu-1016 lence, itself the key, high frequency, driver of soil gas transport. Thus further research 1017 into pressure pumping in soils and snowpacks should be aware of the significance of the 1018 mid-frequency forcings and their strong coupling to the high frequency forcing. 1019

(4) <u>A Summation:</u> In the simplest terms and all other things being equal, the nonlinear models portray the action of the atmosphere on soil gas transport as significantly more dynamic and consequential than the linear models. Given the increasing need for understanding of climate change's influence on the intensification of hydrologic events, such as droughts and rainfall, on soil evaporation and respiration, it seems worthwhile to be aware of (and maybe resolve) this difference.

¹⁰²⁶ Appendix A Nonlinear models and the Burgers Equation

This appendix shows how two different models of pressure pumping, the Muskat (incompressible flow) model and the weakly nonlinear form of the parabolic (or compressible flow) model, are related to Burgers Equation. Following the notation of the main text the one-dimensional Muskat model is

$$\frac{\partial p_{\beta}}{\partial t} - \frac{k}{(\eta - \theta)\mu} \left(\frac{\partial p_{\beta}}{\partial z}\right)^2 = 0 \tag{A1}$$

and the one-dimensional weakly nonlinear form of the parabolic model is

$$\frac{\partial p_{\beta}}{\partial t} - \frac{k}{(\eta - \theta)\mu} \left(\frac{\partial p_{\beta}}{\partial z}\right)^2 - P \frac{\partial}{\partial z} \left(\frac{k}{(\eta - \theta)\mu} \frac{\partial p_{\beta}}{\partial z}\right) = 0$$
(A2)

In terms of the Darcy velocity, $v_p = -k/((\eta - \theta)\mu)(\partial p_\beta/\partial z)$, and the pressure diffusivity, $\kappa = Pk/((\eta - \theta)\mu)$, (A1) can be expressed as

$$\frac{\kappa}{P}\frac{\partial p_{\beta}}{\partial t} - v_p^2 = 0 \tag{A3}$$

1037 and (A2) as

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$$\frac{\kappa}{P}\frac{\partial p_{\beta}}{\partial t} - v_p^2 + \kappa \frac{\partial v_p}{\partial z} = 0 \tag{A4}$$

Assuming that the temporal and spatial differentiation operators commute, differentiate the last two expressions with respect to z, then employ Darcy's law again (with the further assumption assume that k is not a function of time). This transforms (A1) into the inviscid form of Burgers Equation,

$$\frac{\partial v_p}{\partial t} + 2v_p \frac{\partial v_p}{\partial z} = 0$$
(A5)

and (A2) into a viscid form of Burgers Equation,

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$$\frac{\partial v_p}{\partial t} + 2v_p \frac{\partial v_p}{\partial z} - \frac{\partial}{\partial z} \left(\kappa \frac{\partial v_p}{\partial z} \right) = 0 \tag{A6}$$

It may be more appropriate to term Equation (A6) a pseudo-Burgers Equation, because unlike Burgers Equation, the diffusive term $\partial/\partial z (\kappa \partial v_p/\partial z)$ is much greater in magnitude than the nonlinear term $2v_p \partial v_p/\partial z$ as shown above in the section 2.2.1 of the manuscript. Nevertheless, the standard way of solving viscid Burgers Equation, i.e., the Cole-Hopf transformation (Cole (1951); Hopf (1950)), can also be used to solve (A6) and therefore, (A2) as well. The analytical solution to (A2) is developed as follows:

Define a function $\hat{p} = \hat{p}(z, t)$ such that

$$\frac{1}{\widehat{p}}\frac{\partial\widehat{p}}{\partial z} = \frac{1}{P}\frac{\partial p_{\beta}}{\partial z}$$
(A7)

After integrating this last expression with respect to z yields:

$$\ln\left(\widehat{p}(z,t)\right) = \frac{p(z,t)}{P} + \widehat{P}(t) \tag{A8}$$

where $\widehat{P}(t)$ (dimensionless) is "the constant of integration", which in this case can be a function of time, t, and is related to the boundary conditions. Next simply apply Equation (A7) to Equation (A2) to obtain

$$\frac{\partial \widehat{p}}{\partial t} - \frac{\partial}{\partial z} \left(\kappa \frac{\partial \widehat{p}}{\partial z} \right) - \widehat{p} \, \widehat{P}'(t) = 0 \tag{A9}$$

where (i) $\widehat{P}'(t) = d\widehat{P}/dt$ is the first derivative of \widehat{P} with respect to t and (ii) (A9) is subject to the boundary condition: $\ln(\widehat{p}(0,t)) = p(0,t)/P + \widehat{P}(t)$ or $\widehat{p}(0,t) = \widehat{P}_*(t) \exp(p(0,t)/P)$, where $\widehat{P}_*(t) = \exp(\widehat{P}(t))$.

¹⁰⁶³ By demonstrating the connection between Burgers Equation and (A1) and (A2) ¹⁰⁶⁴ above this Appendix should make numerical and mathematical methods developed for ¹⁰⁶⁵ solving Burgers Equation (e.g., Kochina (1961); Platzman (1964); Benton and Platzman ¹⁰⁶⁶ (1972); Kadalbajoo and Awasthi (2006); Kurt et al. (2015); Çenesiz et al. (2017); Mo-¹⁰⁶⁷ hamed (2019)) available for obtaining p(z, t) from either the compressible or incompress-¹⁰⁶⁸ ible model of pressure pumping in soils.

¹⁰⁶⁹ Appendix B Observed 1 m vertical profiles of ρ_s and k_u at MEF

Soil Depth (m)	Bulk Density (Mgm ^{-3})
0.0 - 0.1	1.20
0.1 - 0.2	1.13
0.2 - 0.3	1.07
0.3 - 0.4	1.02
0.4 - 0.5	1.08
0.5 - 0.6	1.26
0.6 - 0.7	1.48
0.7 - 0.8	1.58
0.8 - 0.9	1.73
0.9 - 1.0	1.63

Table B1. Vertical profile of MEF soil bulk density, ρ_s

Soil Depth (m)	Vertical Sample $k(z)$ (10 ⁻¹² m ²)	Horizontal Sample $k(z)$ (10 ⁻¹² m ²)
0.00 - 0.05	11	12
0.05 - 0.10	4.5	13
0.10 - 0.15	1.0	4.9
0.15 - 0.20	5.6	2.9
0.20 - 0.25	3.1	2.4
0.25 - 0.30	1.7	3.0
0.30 - 0.35	1.3	2.8
0.35 - 0.40	1.0	3.7
0.40 - 0.45	1.7	2.4
0.45 - 0.50	1.2	2.6
0.50 - 0.55	2.1	2.1
0.55 - 0.60	2.7	74
0.60 - 0.65	72	8.4
0.65 - 0.70	13	19
0.70 - 0.75	52	12
0.75 - 0.80	25	1.6
0.80 - 0.85	140	120
0.85 - 0.90	120	200
0.90 - 0.95	110	43
0.95 - 1.00	250	28

Table B2. Vertical profile of MEF soil permeability obtained for the top 1 m only. The samples were obtained with a sample tube 0.05 m in length. The vertical sample was obtained with the sample tube inserted vertically into the soil. The horizontal sample was obtained with the sample tube inserted horizontally every 0.05 m starting at 0.025 m.

¹⁰⁷⁰ Appendix C The pressure sensor: calibration and data quality

Soil pressure was measured in a single pit at 0, 10, 20, and 50 cm. The tube inlet 1071 for pressure at each of these depths used a stainless steel fitting (Swagelok, Solon, OH) 1072 with one end covered with a porous stainless steel mesh, but otherwise open to the soil 1073 and the other end connected to a high-precision/limited-range differential pressure trans-1074 ducer (226A Baratron differential capacitance manometer, MKS Instruments, Andover, 1075 MA) via Dekoron tubing (0.95 cm O.D., 0.64 cm I.D). The tubing was buried about 0.5 1076 m deep. The other side of the differential pressure transducer was connected to one end 1077 of an ≈ 1 L stainless steel cylinder used to provide a relatively constant reference pres-1078 sure, P_{ref} . The other end of the reference cylinder was connected to a solenoid (Skin-1079 ner valve, model 71215SN2MN00N0, Parker, New Britain, CT) that was periodically opened 1080 and closed to refresh the pressure within the reference cylinder whenever the pressure 1081 transducer drifted out of range; the cylinder attached to the 50 cm inlet did not have 1082 a solenoid valve and instead was plugged. The transducers and reference volumes were 1083 placed in a control box that was buried about 1 m under the soil. A CR3000 datalog-1084 ger (Campbell Scientific), located in a separate enclosure placed on the soil surface, mea-1085 sured and recorded each differential pressure transducer at 1 Hz, and whenever the data 1086 for an inlet was out of range (i.e., > 500 Pa or < -500 Pa) the datalogger opened the cor-1087 responding solenoid for 2 seconds before closing it. The datalogger also recorded the tem-1088 perature inside the buried control box with a thermistor (Temp 107 probe, Campbell Sci-1089 entific) and the temperature within the 50 cm reference cylinder, T_{ref} ; instead of a solenoid 1090 on this cylinder there was a plug that encased and sealed a platinum resistance thermome-1091 ter within it (Omega 100-ohm platinum-RTD, model RTD-810, with a Omega signal con-1092 ditioning module, model OM5IP4-N100-C, Omega Engineering). This methodology pro-1093

vided differential pressure measurements between the air within the soil and the air within
the interior of a rigid reference volume with an arbitrary, but nearly constant, number
of molecules (i.e., the pressure captured within the reference cylinder at the time of the
last opening/closing of the solenoid valve adjusted for temperature changes within the
cylinder as well as leaks, such as and molecular exchange across the pressure sensor's diaphragm).

The original data contained subsets of differential pressure signals whose pressures 1100 inside their reference cylinders were arbitrary and varied slowly over time; these signals 1101 required stitching to create a coherent dataset for analysis. First, obvious data outliers 1102 were identified and removed based on their 5-minute averages or standard deviations. 1103 Next, a Bayesian statistical analysis was used to stitch the signals together. The pres-1104 sure signals between the opening/closing of the solenoid valves were bundled into time 1105 blocks, with each time block assigned a Bayesian process parameter describing the cylin-1106 der pressure, P_{ref} . The Bayesian statistical analysis predicted the corrected soil pres-1107 sure, $P_s = P_{s,raw} + P_{ref}$; where the correction term is $P_{ref} = P_{ref,init} + c_{\rho,T} * \rho_{a,ref} * T_{ref} * 287.058 - c_1t - c_2t^2 - c_3t^3$ and t (minutes) is time, $P_{ref,init}, c_{\rho,T}, c_1, c_2$, and c_3 1108 1109 are empirical fitting parameters with posterior probability distributions and $\rho_{a,ref}$ is cal-1110 culated from the ideal gas law using P_a (ambient air pressure, described below) and T_{ref} . 1111 Direct measurements of the cylinder temperatures were used, but if missing, the soil tem-1112 perature at 50 cm depth was substituted. The Bayesian analysis estimates the most likely 1113 combination of initial reference cell pressure, temperature drift, and time drift between 1114 every purging of the reference cell in order make the four soil pressure signals match each 1115 other as well as the match P_a . Finally, P_{ref} was calculated for each five-minute period, 1116 and then interpolated to 1 Hz and added to the raw pressure data, $P_{s,raw}$. This method-1117 1118 ology, which treats P_a as a standard, yields an average absolute accuracy for the soil pressure sensors of $P_a \pm 17$ Pa, while the difference in precision of the standard deviation be-1119 tween the four soil pressure sensors is ± 1 Pa. Therefore, soil air pressure differences in 1120 the vertical can typically be measured within ± 1 Pa while retaining low-frequency trends 1121 within each pressure signal. 1122

The ambient pressure is necessary to allow an accurate reconstruction of the soil 1123 pressure profiles over time. The goal was to allow the Bayesian analysis to slowly adjust 1124 the reference cylinder pressures so that each block of time would accurately reflect the 1125 absolute pressure observed at the field site. From 8 July 2009 until 28 September 2012 1126 the absolute ambient pressure was taken from at the National Center for Atmospheric 1127 Research (NCAR) Manitou Experimental Forest Observatory, P_{NCAR} (BEACHON 5 minute 1128 ISFS data, not tilt corrected., n.d.). After 28 September 2012 there were no absolute am-1129 bient pressure measurements recorded at MEF; instead, an adjusted version of the am-1130 bient pressure at the Niwot Ridge AmeriFlux site (P_{NR1}) 110 km north-northwest (Blanken 1131 et al., 1998) was used. This was done by first developing a relationship between P_{NR1} 1132 and P_{NCAR} during the overlap period between 8 July 2009 and 28 September 2012. The 1133 P_{NR1} data were LOESS filtered with a 2-day window and then used as an independent 1134 variable to predict the NCAR pressure data from the equation $P_{NCAR} = aP_{NR1} + b + b$ 1135 $c\sin(2\pi t/365.25+d)$ where a, b, c, and d are empirical fitting parameters and t (days) 1136 is time, an independent variable. For both the NCAR and adjusted Niwot Data, the mean 1137 value of 76.283 kPa at MEF was removed from the datasets. The Bayesian parameters 1138 were adjusted such that the analysis tried to match the four soil pressure sensors with 1139 the same precision to each other as P_{NCAR} , but it allowed the matching to P_{NR1} to be 1140 less rigorous because this dataset is considered a surrogate for the actual pressures at 1141 MEF. 1142

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1148 Data Availability Statement

The computer code used in this study was developed using MatLab version 2017b and is publicly available along with any output data at the Forest Service Research Data Archive https://doi.org/10.2737/RDS-2021-XXXX. It is also freely available from the lead author.

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