# Vibrational kinetics of NO and N2 in the Earth's middle atmosphere during GLE69 on January 20, 2005

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#### Abstract

The mechanisms of the production of vibrationally excited NO and N<sub>2</sub> molecules at the altitudes of the middle atmosphere of the Earth during high-energetic proton precipitation are considered. The study of vibrational populations N<sub>2</sub>(X<sup>1</sup>Σ<sub>g</sub><sup>+</sup>, v'>0) during high-energetic proton precipitation has shown different principal mechanisms in the N<sub>2</sub>(X<sup>1</sup>Σ<sub>g</sub><sup>+</sup>, v'>0) excitation. Firstly, the excitation by secondary electrons is principal for vibrational levels v'=1-10. Secondly, it is obtained that intramolecular electron energy transfer process in N<sub>2</sub>(A<sup>3</sup>Σ<sub>u</sub><sup>+</sup>)+N<sub>2</sub> collisions dominates in vibrational excitation of high vibrational levels v'=20-30. It is shown that the chemical reaction of metastable atomic nitrogen with molecular oxygen is the main production mechanism of vibrationally excited NO(X<sup>2</sup>Π,v>0) and of the radiation of 5.3 µm and 2.7 µm infrared emissions at the altitudes. The role of VV'-processes in the radiation of the 5.3 µm infrared emission is discussed.

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### 12 Key Points:

13 Vibrational kinetics of NO and  $N_2$  in the middle atmosphere during high-energetic proton 14 precipitation is considered.

15 Intramolecular and intermolecular electron energy transfers are taken into account in calculations16 of vibrational populations of molecules.

17 It is shown that there is a dependence of calculated vibrational populations on the altitude of theatmosphere.

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### 21 Abstract

22 The mechanisms of the production of vibrationally excited NO and N<sub>2</sub> molecules at the 23 altitudes of the middle atmosphere of the Earth during high-energetic proton precipitation are considered. The study of vibrational populations  $N_2(X^1\Sigma_g^+, \nu'>0)$  during high-energetic proton 24 precipitation has shown different principal mechanisms in the  $N_2(X^1\Sigma_g^+, \nu'>0)$  excitation. Firstly, 25 the excitation by secondary electrons is principal for vibrational levels v'=1-10. Secondly, it is 26 27 obtained that intramolecular electron energy transfer process in  $N_2(A^3\Sigma_u^+)+N_2$  collisions dominates in vibrational excitation of high vibrational levels v=20-30. It is shown that the 28 29 chemical reaction of metastable atomic nitrogen with molecular oxygen is the main production 30 mechanism of vibrationally excited NO( $X^2\Pi, \nu > 0$ ) and of the radiation of 5.3 µm and 2.7 µm 31 infrared emissions at the altitudes. The role of VV'-processes in the radiation of the 5.3 µm 32 infrared emission is discussed.

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## 35 **1. Introduction**

Solar protons play significant role in the atmosphere of Earth. The particles are usually characterized by soft energy spectra (energies of the order of several hundred MeV) but sometimes relativistic energetic protons are observed. They are detected as ground level enhancements (GLEs) by the terrestrial neutron monitors placed in polar areas. The use of data from a network of neutron monitors makes it possible to determine the spectra of high-energetic protons precipitated into the Earth's atmosphere.

42 The energetic particle precipitation causes an increase in odd nitrogen NO<sub>x</sub> and odd 43 hydrogen HO<sub>x</sub> at the altitudes of high-latitude atmosphere via a cascade of dissociation, 44 ionization, and recombination processes. During solar proton events (SPE) the particle 45 precipitation into the atmosphere can produce ozone depletion in the middle atmosphere. Seppälä 46 et al. (2006, 2008), Verronen et al. (2007), Jackman et al. (2011), Damiani et al. (2012), 47 Mironova et al. (2012) have analyzed the influence of very large solar proton events in January 48 2005 over the long-term middle atmosphere, indicating that the impact of energetic particle 49 precipitations was in the production of odd nitrogen  $(NO_x)$  and odd hydrogen  $(HO_x)$ , in the 50 changes of ozone content profiles, in enhancement of chlorine compounds.

51 Nitric oxide molecules NO have a valent electron and take an active part in the chemistry 52 and vibrational kinetics of the atmosphere. In particular the cross sections of the inelastic 53 collision of NO molecule have high magnitudes in their interaction with other radicals. The rate 54 coefficient of the interaction may be of the order of the gas-kinetic value (Smith, 1986). Since 55 odd nitrogen is efficiently produced in the upper atmosphere during auroral precipitation and in 56 the middle atmosphere during the precipitation of high-energetic protons, the concentrations of 57 nitric oxide can be increased sufficiently influencing the chemical balance of the polar upper and 58 middle atmosphere. Moreover, Kockarts (1980), Caledonia and Kennealy (1982), Gordiets et al. 59 (1982), Gordiets (1986), Sharma et al. (1996), Kirillov and Aladjev (1998), Cartwright et al. 60 (2000), Campbell and Brunger (2007), Venkataramani et al. (2016), Bouziane et al. (2021) have 61 shown that nitric oxide plays a significant role in infrared balance of the upper atmosphere and 62 have studied production and loss mechanisms of vibrationally excited NO molecules. Special 63 attention in the papers was devoted to the infrared 5.3 µm and 2.7 µm emissions of nitric oxide 64 molecules radiated in the spontaneous transitions

65 NO(X<sup>2</sup>Π,v>0) 
$$\rightarrow$$
 NO(X<sup>2</sup>Π,v'=v-1) + hv<sub>5.3</sub>, (1a)

66 
$$NO(X^2\Pi, v > 1) \to NO(X^2\Pi, v' = v - 2) + hv_{2.7}$$
, (1b)

67 where  $X^2\Pi$  is the ground sate of nitric oxide molecule. Therefore these infrared emissions are 68 characteristic features in the atmospheric spectrum when the atmosphere is disturbed by high-69 energetic particles.

70 Moreover, important role of electronically and vibrationally excited atmospheric molecules 71 in chemical balance of disturbed atmosphere is known. Mrazkova et al. (2009) have concluded 72 that a certain amount of energy is needed for the dissociation, the production must be caused by 73 some long-lived energy carrying species, such as N<sub>2</sub> metastables or vibrationally excited states. 74 Fraser et al. (1990) have postulated from their experimental measurements that the interaction of vibrationally excited nitrogen N<sub>2</sub>(X<sup>1</sup> $\Sigma_g^+$ ,  $\nu \ge 15$ ) with singlet oxygen O<sub>2</sub>(a<sup>1</sup> $\Delta_g$ , b<sup>1</sup> $\Sigma_g^+$ ) can be 75 considered as possible effective mechanism of the production of greenhouse gas N2O during 76 77 atmospheric disturbances related with precipitations of high-energetic particles. Gordiets et al. 78 (1982) have presented a theory of infrared radiation (2-20 µm) of the Earth's upper atmosphere. 79 They have considered physical processes leading to vibrational excitation of minor components 80 in the atmosphere. One of the processes is the energy exchange in the collisions with vibrationally excited  $N_2(X^1\Sigma_g^+, \nu > 0)$  molecules. Good energy quasi-resonance in vibrational 81 82 modes causes effective transfer of vibrational excitation from N<sub>2</sub> molecules to minor 83 atmospheric components.

84 Richards et al. (1986) have firstly recognized the potential importance of cascade from 85  $A^{3}\Sigma_{u}^{+}$  triplet state of N<sub>2</sub> on vibrational excitation of the molecules in the ionosphere. Richards et al. (1986) have not presented any quantitative study of the cascade, but they concluded that it 86 87 could be very important. Aladjev and Kirillov (1995) have numerically studied the contribution of radiational spontaneous transitions from electronically excited molecules  $N_2(A^3\Sigma_u^+, v)$  in 88 89 vibrational excitation of N<sub>2</sub> molecules in the high-latitude ionosphere. They have shown that an 90 increase in the density of the atmosphere causes the rise of relative contribution from these 91 cascade processes during inelastic molecular collisions in vibrational excitation of N<sub>2</sub>. Campbell 92 et al. (2006) presented an extensive study of the role of electronically excited N<sub>2</sub> in vibrational excitation of the N<sub>2</sub>  $X^{1}\Sigma_{g}^{+}$  ground state at high latitudes. Kirillov (2012) has shown significant 93 contributions of cascade processes in molecular collisions on vibrational population of the  $X^{1}\Sigma_{g}^{+}$ 94 state at altitudes of the lower thermosphere and mesosphere during auroral electron precipitation. 95

Kirillov et al. (2021) have considered electronic kinetics of five triplet  $A^{3}\Sigma_{u}^{+}$ ,  $B^{3}\Pi_{g}$ ,  $W^{3}\Delta_{u}$ , 96  $B^{3}\Sigma_{u}^{-}$ ,  $C^{3}\Pi_{u}$  of molecular nitrogen N<sub>2</sub> in the middle atmosphere during precipitation of high-97 98 energetic protons during GLE69 taking into account intermolecular and intramolecular electron 99 energy transfer processes. The results of the calculations by Kirillov et al. (2021) have shown 100 high efficiencies of intermolecular and intramolecular electron energy transfers in the quenching of N<sub>2</sub> triplet states and redistribution of dissipated energy of protons between electronically 101 102 excited states of molecular nitrogen. As one might expect similar high excitation rates for vibrational levels of ground-state molecules  $N_2(X^1\Sigma_g^+)$  can be at the altitudes of the middle 103

104 atmosphere where collisional lifetimes of electronically excited molecules are less than 105 radiational ones and intermolecular and intramolecular energy transfer processes could 106 contribute to this vibrational excitation.

107 The purpose of the present work is to study the vibrational populations of nitric oxide NO( $X^2\Pi$ ,v>0) and molecular nitrogen N<sub>2</sub>( $X^1\Sigma_g^+$ ,v>0) taking into account cascade processes from 108 electronically excited N<sub>2</sub> in the middle atmosphere during GLE69 event. The study will include 109 110 the calculations of intensities of infrared 5.3 µm and 2.7 µm emissions at the altitudes of the 111 middle atmosphere with the inclusion of the excitation by secondary electrons and 112 intermolecular and intramolecular electron energy transfers in inelastic molecular collisions. 113

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#### 115 2. The production and loss mechanisms of vibrationally excited nitric oxide in the middle 116 atmosphere during proton precipitations

117 Vibrationally excited NO molecules radiates effectively the 5.3 µm and 2.7 µm emissions 118 and the calculation of intensities of infrared nitric oxide radiation in the atmosphere requires knowledge of main production mechanisms of NO( $X^2\Pi, \nu > 0$ ) and quantum efficiencies of 119 120 vibrational excitation to different levels in the processes of the excitation. Also it is necessary to 121 take into account all loss processes including spontaneous radiative transitions and vibrational 122 relaxation in inelastic molecular collisions with other atmospheric components.

123 The primary sources of vibrationally excited NO in auroral ionosphere have been discussed 124 by Kockarts (1980), Caledonia and Kennealy (1982), Gordiets et al. (1982), Gordiets (1986), 125 Sharma et al. (1996), Kirillov and Aladjev (1998), Cartwright et al. (2000), Campbell and 126 Brunger (2007), Venkataramani et al. (2016), Bouziane et al. (2021). The main production 127 mechanisms of NO( $X^2\Pi$ , v) in the mixture N<sub>2</sub> and O<sub>2</sub> with high translational temperature and during the penetration of high-energetic particles in the upper atmosphere are the chemical 128 129 reactions of unexcited and metastable atomic nitrogen with molecular oxygen

130 
$$N(^{4}S) + O_{2} \rightarrow NO(X^{2}\Pi, \nu) + O$$
, (2a)

131 
$$N(^{2}D) + O_{2} \rightarrow NO(X^{2}\Pi, v) + O$$
, (2b)  
132  $N(^{2}P) + O_{2} \rightarrow NO(X^{2}\Pi, v) + O$ , (2c)

132  $N(^{2}P) + O_{2} \rightarrow NO(X^{2}\Pi, v) + O$ ,

where atoms  $N({}^{4}S, {}^{2}D, {}^{2}P)$  are mainly produced in N<sub>2</sub> dissociation and dissociative ionization by 133 134 auroral electron impact or in ionic cycle of the auroral ionosphere, and TV-energy transfer in 135 thermal collisions

136 
$$NO(X^2\Pi, v=0) + O \to NO(X^2\Pi, v>0) + O$$
. (3).

137 Since the concentrations of atomic oxygen and temperature in the middle atmosphere have low 138 values, the processes (2a) and (3) can be neglected in the production of vibrationally excited 139 NO( $X^2\Pi$ ) at the altitudes.

140 Cartwright et al. (2000) have paid special attention to electron impact excitation of vibrational levels in the ground electronic state  $X^2\Pi$  and nine electronically excited states of NO 141 142 molecules in the upper atmosphere. They have simulated vibrational populations of the states for 143 an IBC II aurora in order to predict NO excited state number densities and band emission 144 intensities. The vibrational populations of 10 NO electronic states in auroral ionosphere were 145 determined under conditions of statistical equilibrium. The model has taken into account an 146 extended vibrational distribution in the NO ground electronic state  $X^2\Pi$  produced in the 147 processes of direct excitation by secondary auroral electrons and in radiative cascades from 148 seven doublet and two quartet higher-lying excited electronic states populated by electron impact

149 
$$e + NO(X^2\Pi, \nu=0) \to NO(X^2\Pi, \nu'>0) + e$$
 (4a)

150 
$$e + NO(X^2\Pi, \nu=0) \rightarrow NO(A^2\Sigma^+, B^2\Pi, C^2\Pi, D^2\Sigma^+, L^2\Pi, B'^2\Delta, L'^2\Phi; \nu') + e$$
 (4b)

151 
$$e + NO(X^2\Pi, \nu=0) \rightarrow NO(a^4\Pi, b^4\Sigma; \nu') + e$$
 (4c)

152 Therefore Cartwright et al. (2000) have calculated fractional population rates for  $X^2\Pi, \nu'>0$  by 153 direct excitation and various radiative processes as a function of the vibrational quantum number 154  $\nu'$ . Nevertheless they have not included inelastic molecular processes in their study because the 155 concentrations of atmospheric components at the altitudes of the upper atmosphere are small and 156 the collisional lifetimes are large in comparison with radiational lifetimes.

157 Clark and Setser (1980), Golde and Moyle (1985), Piper et al. (1986), Thomas and 158 Katayama (1993), De Benedictis et al. (1997) have studied in experimental studies that the 159 interaction of metastable molecular nitrogen  $N_2(A^3\Sigma_u^+)$  with NO molecules proceeds effectively 160 through the production of electronically excited nitric oxide

161 
$$N_2(A^3\Sigma_u^+, \nu \ge 0) + NO(X^2\Pi, \nu = 0) \to N_2(X^1\Sigma_g^+, \nu^*) + NO(A^2\Sigma^+, \nu'\ge 0)$$
 (5)

162 The study of calculated relative vibrational populations of the  $A^{3}\Sigma_{u}^{+}$  state of N<sub>2</sub> for conditions of 163 laboratory discharge at O<sub>2</sub> admixture 20% for pressures 1-1000 Pa by Kirillov (2011) has shown 164 that there is a accumulation of the energy of electronic excitation on the  $A^{3}\Sigma_{u}^{+}$  state and a 165 dependence of the population on the density of the atmosphere. Piper et al. (1986) have 166 determined the rate coefficients for the state-to-state excitation of NO( $A^{2}\Sigma^{+}, \nu'=0-2$ ) by 167 N<sub>2</sub>( $A^{3}\Sigma_{u}^{+}, \nu=0-2$ ) in inelastic collisions (5). Therefore we apply here the rate constants for the 168 process (5) presented by Piper et al. (1986).

169 The quenching of electronically excited nitric oxide  $NO(A^2\Sigma^+)$  at the altitudes of the 170 middle atmosphere can be through the radiation of  $\gamma$  bands (Piper and Cowles, 1986; Settersten 171 et al., 2009a)

172 
$$\operatorname{NO}(A^{2}\Sigma^{+}, \nu \geq 0) \to \operatorname{NO}(X^{2}\Pi, \nu \geq 0) + h\nu_{\gamma}$$
 (6)

with the production of vibrationally excited NO( $X^2\Pi$ ) or through the inelastic collisions with O<sub>2</sub> molecules (Settersten et al., 2009b; Few et al., 2017; Blackshaw et al., 2019)

175 
$$NO(A^{2}\Sigma^{+}, \nu \geq 0) + O_{2} \rightarrow NO(X^{2}\Pi, \nu \geq 0) + O_{2}^{*}(O+O)$$
 (7)

with the electronic transition from the  $A^2\Sigma^+$  state to the  $X^2\Pi$  state and the electronic excitation of  $O_2^*$  molecules or the transition of  $O_2$  molecules in repulsive states with the dissociation of the molecule. Results of experimental measurements by Settersten et al. (2009b) show clearly that collisions of NO( $A^2\Sigma^+, \nu \ge 0$ ) with nitrogen molecules can be neglected in comparison with the process (7). Therefore we apply here transition probabilities by Settersten et al. (2009a) for the radiation of  $\gamma$  bands (6) and the quantum yields of NO( $X^2\Pi, \nu' \ge 0$ ) in the process (7) according to Few et al. (2017).

The quenching of vibrationally excited nitric oxide NO( $X^2\Pi$ ) at the altitudes of the middle atmosphere proceed through the radiation of infrared 5.3 and 2.7 µm bands (1a,b) (Billingsley, 185 1976; Rawlins et al., 1998) or through the inelastic collisions with O<sub>2</sub> molecules (Green et al., 186 1982; Hancock et al., 2006)

(8)

187 
$$NO(X^2\Pi, v > 0) + O_2 \rightarrow NO(X^2\Pi, v' = v - 1) + O_2(v = 1)$$

188 with the excitation of  $O_2(X^3\Sigma_g^-)$  molecule in vibrational level v=1. We apply here transition 189 probabilities by Rawlins et al. (1998) for the radiation of infrared 5.3 µm and 2.7 µm bands 190 (1a,b) and the rate coefficients of NO( $X^2\Pi, v \ge 0$ ) quenching in the process (8) according to 191 Hancock et al. et al. (2006).

192 193

## 194 3. The production of vibrationally excited molecular nitrogen in the middle atmosphere 195 during proton precipitations

As in our previous papers (Kirillov and Belakhovsky, 2019, 2020a, 2020b) here we similarly consider the excitation of five triplet states of molecular nitrogen in the collisions of  $N_2(X^1\Sigma_g^+, v=0)$  molecules with produced in ionization processes secondary electrons at the altitudes of the middle atmosphere

200 
$$e + N_2(X^1\Sigma_g^+, v=0) \rightarrow N_2(A^3\Sigma_u^+, B^3\Pi_g, W^3\Delta_u, B'^3\Sigma_u^-, C^3\Pi_u; v) + e$$
 (9)

 $201 \qquad \text{and the excitation of three singlet states of $N_2$}$ 

202 
$$e + N_2(X^1\Sigma_g^+, \nu=0) \to N_2(a'^1\Sigma_u^-, a^1\Pi_g, w^1\Delta_u; \nu) + e$$
 (10)

203 The quenching of the triplet states can be through radiational emissions of First and Second 204 Positive (1PG and 2PG), Wu-Benesch (WB), Infrared Afterglow (IRAG), Vegard-Kaplan (VK) 205 band systems and the quenching of the singlet states can be through the radiational emissions of 206 MacFarlane (MF) band systems, Lyman-Birge-Hopfield (LBH) and Ogawa-Tanaka-Wilkinson-207 Mulliken (OTWM) (Gilmore et al., 1992; Cassasa and Golde, 1979) bands of N<sub>2</sub>. Electronic kinetics of the triplet and singlet N<sub>2</sub> states for the altitudes of the middle atmosphere during 208 precipitations of high-energetic particles is described in (Kirillov and Belakhovsky, 2019, 2020a, 209 210 2000b; Kirillov et al., 2021).

Intermolecular electron energy transfers in inelastic molecular collisions at the altitudes of the middle atmosphere lead to both the electronic excitation of target molecule and vibrational excitation in the ground state of primary excited molecule. Intramolecular transfers contribute to the production of vibrationally excited molecule when there are transitions from electronically excited state to the  $X^{1}\Sigma_{g}^{+}$  ground state during inelastic collisions. The rate coefficients for the quenching of four triplet states

217 
$$N_2(A^3\Sigma_u^+, \nu \ge 2) + N_2(X^1\Sigma_g^+, \nu = 0) \to N_2(X^1\Sigma_g^+, \nu') + N_2(Y, \nu^*)$$
, (11a)

218 
$$N_2(B^3\Pi_g, \nu \ge 0) + N_2(X^1\Sigma_g^+, \nu = 0) \to N_2(X^1\Sigma_g^+, \nu') + N_2(Y, \nu^*)$$
, (11b)  
210  $N_2(W^3A_{-1}, \nu \ge 0) + N_2(X^1\Sigma_g^+, \nu = 0) \to N_2(X^1\Sigma_g^+, \nu') + N_2(Y, \nu^*)$  (11c)

$$219 \qquad N_2(W^3\Delta_u, \nu \ge 0) + N_2(X^1\Sigma_g^-, \nu = 0) \to N_2(X^1\Sigma_g^-, \nu') + N_2(Y, \nu) , \qquad (11c)$$

220 
$$N_2(B'\Sigma_u, v \ge 0) + N_2(X'\Sigma_g, v = 0) \to N_2(X'\Sigma_g, v') + N_2(Y, v)$$
, (11d)

where in the processes (11a–d) *Y* means the consideration of all four  $A^{3}\Sigma_{u}^{+}$ ,  $B^{3}\Pi_{g}$ ,  $W^{3}\Delta_{u}$ ,  $B^{3}\Sigma_{u}^{-}$ triplet states of N<sub>2</sub>, and the rate coefficients for the quenching of three singlet states

223 
$$N_2(a'^{1}\Sigma_u^{-}, v \ge 2) + N_2(X^{1}\Sigma_g^{+}, v = 0) \rightarrow N_2(X^{1}\Sigma_g^{+}, v') + N_2(Y, v^{*})$$
, (12a)

224 
$$N_2(a^{-1}\Pi_g, v \ge 1) + N_2(X^{-}\Sigma_g^{-}, v = 0) \to N_2(X^{-}\Sigma_g^{-}, v') + N_2(Y, v')$$
, (12b)

225 
$$N_2(w^{\dagger}\Delta_u, \nu \ge 0) + N_2(X^{\dagger}\Sigma_g^+, \nu = 0) \to N_2(X^{\dagger}\Sigma_g^+, \nu') + N_2(Y, \nu')$$
, (12c)

where in the processes (12a–c) *Y* means the consideration of all three  $a'^{1}\Sigma_{u}^{-}$ ,  $a^{1}\Pi_{g}$ ,  $w^{1}\Delta_{u}$  singlet states of N<sub>2</sub>, have been calculated by Kirillov (2012). We apply here the calculated rate coefficients in (Kirillov, 2012), but the exception is made for the process (11a). We have recalculated the constants taking into account new results for the intermolecular electron energy by Kirillov (2016).

231 The processes of the quenching of four triplet states of  $N_2$  in collisions with molecular 232 oxygen

233 
$$N_2(A^3\Sigma_u^+,\nu\geq 0) + O_2(X^3\Sigma_g^-,\nu=0) \to N_2(X^1\Sigma_g^+,\nu') + O_2(Z,\nu^*) \text{ or } O + O ,$$
 (13a)

234 
$$N_2(Y,\nu \ge 0) + O_2(X^{3}\Sigma_{g}^{-},\nu = 0) \rightarrow N_2(X^{1}\Sigma_{g}^{+},\nu') + O_2(Z,\nu') \text{ or } O + O,$$
 (13b)

where *Y* are the triplet  $B^{3}\Pi_{g}$ ,  $W^{3}\Delta_{u}$ ,  $B^{'3}\Sigma_{u}^{-}$  and singlet  $a^{'1}\Sigma_{u}^{-}$ ,  $a^{1}\Pi_{g}$ ,  $w^{1}\Delta_{u}$  states, *Z* means the consideration of four  $c^{1}\Sigma_{u}^{-}$ ,  $A^{'3}\Delta_{u}$ ,  $A^{3}\Sigma_{u}^{+}$ ,  $B^{3}\Sigma_{u}^{-}$  states of O<sub>2</sub> and the electronic transitions in Herzberg or Schumann-Runge continuums or excitations of repulsive  $1^{1}\Pi_{g}$  or  $1^{3}\Pi_{g}$  states of O<sub>2</sub> are included in the consideration for the dissociation channel O+O (Kirillov, 2011). We apply here the calculated by Kirillov (2012) rate coefficients for the processes (13a) and (13b).

240 Also we consider here intramolecular electron energy transfer process

241 
$$N_2(A^3\Sigma_u^+, v) + N_2(X^1\Sigma_g^+, v=0) \rightarrow N_2(X^1\Sigma_g^+, v'=v+25) + N_2(X^1\Sigma_g^+, v=0)$$
 (14)

for lowest levels v=0-5 of the  $A^{3}\Sigma_{u}^{+}$  state. The rate coefficients  $k_{14}(v=0)=3.7\times10^{-16}$  and k<sub>14</sub>(v=1)=3.4×10<sup>-16</sup> cm<sup>-3</sup>s<sup>-1</sup> of the process (14) for two vibrational levels v=0,1 are taken according to experimental data of Dreyer and Perner (1973). The rate coefficients for v=2-5 are estimated according to the expression  $k_{14}(v)=3.3\times10^{-16} \cdot \exp(-|\Delta E|/105) \text{ cm}^{-3}\text{s}^{-1}$ , where  $\Delta E$  (in 246 cm<sup>-1</sup>) is the energy defect of the transition  $A^{3}\Sigma_{u}^{+}, v \rightarrow X^{1}\Sigma_{g}^{+}, v'=v+25$ , assuming the Landau-Zener 247 approximation for exothermic transitions from *v*=0,1 levels and the Rosen-Zener approximation 248 for endothermic ones from *v*=2–5 levels (Kirillov, 2012).

Here we consider the processes of production and quenching for vibrationally excited N<sub>2</sub>( $X^{1}\Sigma_{g}^{+}, \nu'>0$ ) molecules. The same method applied by Kirillov and Belakhovsky (2019, 2020a, 2020b) for electronic excitation of molecular nitrogen is used in the calculation of rates of vibrational excitation of N<sub>2</sub> molecules by secondary electrons in the process

253 
$$e + N_2(X^1\Sigma_g^+, v=0) \rightarrow e + N_2(X^1\Sigma_g^+, v>0)$$
. (15)

Since Konovalov (1993) has presented values of "excitation energy costs" for v'=1-8, we consider here the excitation of eight vibrational levels in the process (15) according to (Konovalov, 1993). The excitation of vibrational levels v'>8 is calculated according (Simek, 2002).

One of the fundamental features of N<sub>2</sub> vibrational kinetics is the inclusion of VV-processes

259 
$$N_2(X^1\Sigma_g^+, v') + N_2(X^1\Sigma_g^+, v=0) \rightarrow N_2(X^1\Sigma_g^+, v'-1) + N_2(X^1\Sigma_g^+, v=1),$$
 (16)

260 VV'-processes

261 
$$N_2(X^1\Sigma_g^+, v') + O_2(X^3\Sigma_g^-, v=0) \to N_2(X^1\Sigma_g^+, v'-1) + O_2(X^3\Sigma_g^-, v=1),$$
 (17a)

262 
$$N_2(X^1\Sigma_g^+,v') + CO_2(^1\Sigma_g^+,0,0,v_3=0) \rightarrow N_2(X^1\Sigma_g^+,v'-1) + CO_2(^1\Sigma_g^+,0,0,v_3=1),$$
 (17b)  
262  $N_2(X^1\Sigma_g^+,v') + NO(X^2\Pi_{22},0,0,v_3=0) \rightarrow N_2(X^1\Sigma_g^+,v'-1) + NO(X^2\Pi_{22},0,0,v_3=1),$  (17c)

263 
$$N_2(X^1\Sigma_g^+, v') + NO(X^2\Pi, v=0) \rightarrow N_2(X^1\Sigma_g^+, v'-1) + NO(X^2\Pi, v=1),$$
 (17c)

and VT-processes

265 
$$N_2(X_g^1 \Sigma_g^+, v') + N_2 \rightarrow N_2(X_g^1 \Sigma_g^+, v'-1) + N_2$$
, (18a)

266 
$$N_2(X^1\Sigma_g^+, v') + O_2 \rightarrow N_2(X^1\Sigma_g^+, v'-1) + O_2$$
 (18b)

with a redistribution of vibrational excitation within the  $X^{1}\Sigma_{g}^{+}$  ground state. The rate coefficients for the processes (16,17a) and (18a,b) can be calculated according to estimations by Kirillov (1998) made according to first-order perturbation approximation taking into account factors of molecular attraction, oscillator frequency change, anharmonicity, 3-dimensionality and quasiclassical motion during collisions.

272 273

258

## 4. The calculated vibrational populations of $N_2(X^1\Sigma_g^+)$ and $NO(X^2\Pi)$ molecules during GLE69 event

On January 20, 2005, the worldwide network of neutron monitors (NM) registered increases (it was given the number GLE69) caused by solar cosmic rays (SCR). It turned out to be the second in terms of the recorded amplitude. Only GLE05 (on February 23, 1956) was more powerful than the event. The maximum increase on NM was observed at the south polar stations: South Pole (5000%), McMurdo (3000%) and Terre Adélie (4500%) according to one-minute data.

The GLE69 event occurred from the 2B/X7.1 flare with coordinates N14W61. The flare was accompanied by type II and IV radio bursts, which are tracers of particle acceleration. The onset of the type II radio emission (probable instant of the generation of relativistic SCR) was recorded at 0644 UT and SCRs have reached the Earth at ~06:53 UT. The GLE69 event was characterized at its initial phase by a very large enhancement amplitude and strong north–south anisotropy effect in the relativistic SCR flux (Vashenyuk et al., 2006).

In this paper, we consider the GLE69 event, which was accompanied by an increase in the rate of formation of ion pairs at altitudes from 0 to 80 km. To calculate the penetration of SCR through the Earth's atmosphere, the GEANT4 software development package [Agostinelli et al., 2003] is used, with the help of which the corresponding models are created. The RUSCOSMICS software package was developed at the Polar Geophysical Institute [Maurchev et al., 2015, 2019; Maurchev and Balabin, 2016]. This method is as a more modern tool for replacing PLANETOCOSMICS package. A description of the method for obtaining data on primary SCR
spectra used in our modeling is presented in (Vashenyuk et al., 2011). The calculated ion
production rates at 0800 UT on January 20, 2005 at the altitudes of 20-80 km according to
[Kirillov et al., 2021] are shown in Figure 1. The temperature profile of the middle atmosphere0
according to MSIS-90 model at the same time is also presented in Figure 1.

It is seen from Figure 1 that the temperature at these altitudes of the middle atmosphere is in the range of 200-260 K. Therefore we have calculated the rate coefficients for the processes (16) and (17b) for temperatures 200-260 K. The rate coefficient for the process (17b) with v'=1 is taken according to (Taylor and Bitterman, 1969). Also we apply the theory of anharmonic oscillator (Kirillov, 1998) to calculate the rate coefficients of the process (17b) with v'>1. The calculated rate coefficients for the processes (16) and (17b) at temperatures *T*=200, 230 and 260 K are shown in Figure 2.

306 To calculate the population  $N_{v'}^{X}$  of the *v*'-th vibrational level of the  $X^{1}\Sigma_{g}^{+}$  state of N<sub>2</sub> we 307 use the equation:

$$Q_{\nu'}^{X} + \sum_{Y;\nu} A_{\nu\nu'}^{YX} \cdot N_{\nu}^{Y} + \sum_{Y;\nu} k_{\nu\nu'}^{*YX} \cdot [N_{2}] \cdot N_{\nu}^{Y} + \sum_{Y;\nu} k_{\nu\nu'}^{**YX} \cdot [O_{2}] \cdot N_{\nu}^{Y} + k_{14}(\nu) \cdot ([N_{2}] + [O_{2}]) \cdot N_{\nu}^{A} + \{f_{VV}(\nu'+1) + f_{VT}(\nu'+1)\} \cdot N_{\nu'+1}^{X} = \{f_{VV}(\nu') + f_{VT}(\nu')\} \cdot N_{\nu'}^{X}$$
(19)

Here  $Q_{\nu'}^{X}$  is the production rate of  $\nu'$ -th vibrational level of this state by secondary electrons,  $A_{\nu\nu'}^{YX}$  are Einstein probabilities for the spontaneous transitions  $Y,\nu \rightarrow X^{1}\Sigma_{g}^{+},\nu'$  ( $Y=A^{3}\Sigma_{u}^{+},a'^{1}\Sigma_{u}^{-},$  $a^{1}\Pi_{g}$ ),  $k_{\nu\nu'}^{*YX}$  and  $k_{\nu\nu'}^{**YX}$  are the rate coefficients for intermolecular electron energy transfer

processes with the quenching of Y,v and the excitation of  $X^{1}\Sigma_{g}^{+},v'$  in collisions with N<sub>2</sub> 312 (processes 11a-d;12a-c) and O<sub>2</sub> (processes 13a,b) molecules, respectively,  $k_{14}(v)$  is the rate 313 314 coefficient for intramolecular process (14),  $f_{VV}(v') = k_{16}(v')[N_2] + k_{17a}(v')[O_2] + k_{17b}(v')[CO_2],$  $f_{VT}(v') = k_{18a}(v')[N_2] + k_{18b}(v')[O_2]$ . We consider the rates of intramolecular processes (14) 315 independent on the kind of the collision with  $N_2$  or  $O_2$ , therefore the sum of concentrations 316 [N<sub>2</sub>]+[O<sub>2</sub>] is included in Equation (19) for contributions of the processes. The electronic kinetics 317 of all electronically excited states and the concentrations  $N_{y}^{Y}$  of the states are taken according to 318 319 results by Kirillov et al. (2021).

Contributions of metastable molecular nitrogen  $N_2(A^3\Sigma_u^+)$  (processes (11a), (13a), (14), of 320 electronically excited nitrogen molecules  $N_2(Y=B^3\Pi_g, W^3\Delta_u, B^{\prime3}\Sigma_u^-, C^3\Pi_u, a^{\prime1}\Sigma_u^-, a^1\Pi_g, w^1\Delta_u)$ 321 (processes (11b-d), (12a-c), (13b)) and of direct excitation by secondary electron impact (15) in 322 vibrational population of the ground state  $X^{1}\Sigma_{g}^{+}$  of N<sub>2</sub> at the altitudes of 20, 40, 60 and 80 km 323 during GLE69 event are presented in Figure 3. Since concentrations of vibrational level v'=1 of 324 the  $X^{1}\Sigma_{g}^{+}$  state has very high values in comparison with the concentrations of v > 1 we do not 325 present here results of the calculation for the level. Concentrations of N<sub>2</sub>, O<sub>2</sub> components are 326 327 taken according to MSIS-90 model. We believe in the calculations that CO<sub>2</sub> concentrations are 328  $3 \cdot 10^3$  times lower than corresponding N<sub>2</sub> concentrations. The results of the calculations are 329 presented in Figure 3 for temperature T=230 K.

The results of the calculation show very important role of all considered mechanisms of the vibrational excitation  $N_2(X^1\Sigma_g^+, v'=2-30)$  during GLE69 event. Our calculations have pointed out on significant contributions of cascades from electronically excited states in vibrational excitation of the ground state of N<sub>2</sub>. Moreover it is seen from Figure 3 that the contribution of intramolecular process (14) dominates in vibrational population of N<sub>2</sub>(X<sup>1</sup>Σ<sub>g</sub><sup>+</sup>) for v'=20–30. The excitation by secondary electrons is principal for v'=2-10 levels but it can be neglected for v'>10. To calculate the population  $N_{v'}^{X}$  of the *v*'-th vibrational level of the X<sup>2</sup> $\Pi$  state of NO we use the equation:

$$Q_{\nu'}^{X} + k_{2b} f_{2b}(\nu')[N(^{2}D)][O_{2}] + \sum_{\nu=0-2} (k_{7}[O_{2}] + A_{\nu\nu'}^{AX}) \cdot [NO(A^{2}\Sigma^{+},\nu] + A_{\nu'+1\nu'}^{XX} N_{\nu'+1}^{X} + A_{\nu'+2\nu'}^{XX} N_{\nu'+2}^{X} = \{A_{\nu'\nu'-1}^{XX} + A_{\nu'\nu'-2}^{XX} + k_{8}(\nu')[O_{2}]\} \cdot N_{\nu'}^{X}$$
(20)

Here  $Q_{v'}^X$  is the production rate of v'-th vibrational level of the X<sup>2</sup> $\Pi$  state by secondary electrons, 339  $A_{vv'}^{AX}$  are Einstein probabilities for the spontaneous transitions  $A^2\Sigma^+, v \to X^2\Pi, v'$  (taken according 340 to (Settersten et al., 2009a)),  $A_{\nu\nu'}^{XX}$  are Einstein probabilities for the spontaneous transitions (1a) 341 and (1b) (taken according to (Hancock et al., 2006)). It is to note that in comparison with results 342 by Cartwright et al. (2000) we consider here only one  $A^2\Sigma^+$  electronically excited state of NO 343 molecule excited by direct electronic impact (4b) and in electron energy transfer process (5) 344 345 during inelastic molecular collisions. Also for first vibrational level v=1 we take into account the 346 contribution of the VV'-process (17c). The branching ratios  $f_{2b}(v')$  in the process (2b) have been calculated by Kirillov and 347 348 Aladjev (1998) with the aid of surprisal theory (Bernstein and Levine, 1976; Nesbet, 1981) for 349 surprisal parameter  $\lambda = -7 - -2$ . The vibrational distribution of nitric oxide in polar ionosphere 350 computed by Kirillov (1998) according to one-dimensional non-steady model of chemical and 351 vibrational kinetics of upper atmosphere has been compared with experimental data from rocket

measurements of Rawlins et al. (1981). It was determined that the best agreement for MSIS-83 profile of atomic oxygen concentrations was obtained for  $\lambda$ =-6. The value of the surprisal parameter was in better agreement with laboratory estimations of Rawlins et al. (1989). Therefore we apply here the values of the branching ratios  $f_{2b}(v')$  in the process (2b) calculated by Kirillov and Aladjev (1998) for surprisal parameter  $\lambda$ =-6.

357 To calculate the contributions of the processes (4a), (4b), (5), (17c) in the vibrational 358 populations of NO( $X^2\Pi, v'$ ) it is necessary to estimate nitric oxide concentrations in the middle 359 atmosphere during proton precipitations. The determination of the NO concentrations is made 360 according to Zadodozhny et al. (1992, 1994). They have estimated the nitric oxide quantity 361 produced at the altitudes of the middle atmosphere from the beginning of the SPE (Solar Proton 362 Event) until the time of measurements. It is well known that the ionization of the atmosphere by 363 high-energy particles produces ~1.2-1.6 molecules of NO per ion pair production [Porter et al., 364 1976]. Since the nitric oxide photochemical lifetime in the middle atmosphere is many times 365 more than SPE duration, the NO concentration is determined by the total quantity of ion pairs produced at this height during the particle precipitation: 366

367 [NO] 
$$(h) = (1.2 \div 1.6) \int_{0}^{t} P_{i}(h) dt$$
 (21)

Therefore we apply the formula (21) to receive the [NO](h) altitude profile taking rates of ion production according to [Kirillov et al., 2021] (Figure 1). We assumed that the duration of the proton precipitation was about 3 hours (approximately  $10^4$  sec) and the factor 1.4 was applied in the formula (21). The calculation has shown that  $[NO]\sim 2\times 10^{-8}[N_2]$  at the altitudes 25-80 km after the precipitation.

The calculated intensities of NO infrared 5.3 µm emission (radiational process (1a)) at the altitudes of 20-80 km of the middle atmosphere are shown in Figure 4. Contributions of the processes (2b), (4a), (4b), (5), (17c) are presented in this figure. To calculate the rates of the process (17c) we have taken the constant  $k_{17c}=2.2\times10^{-15}$  cm<sup>3</sup>s<sup>-1</sup> according to (Whitsen and McNeal, 1977). The contribution of the process (17c) in Figure 4 is multiplied by factor of 10<sup>4</sup>, the contributions of the processes (4a), (4b), (5) are multiplied by factor of 10<sup>6</sup>. It seen from Figure 4 that the chemical reaction (2b) dominates in the excitation of NO(X<sup>2</sup>Π,v') and in the 380 radiation of NO infrared 5.3 µm emission. Nevertheless the negligible values of contributions of 381 other processes are related with small concentrations of nitric oxide. An increase in nitric oxide 382 concentrations by 4-5 orders of magnitude will lead to the fact that the contribution of the 383 process (17c) will be comparable to the contribution of the process (2b). The situation can be 384 realized in laboratory conditions as for example in (Simek, 2002). Also the contributions of the 385 processes (4a), (4b), (5) can be significantly increased in the case  $[NO] \sim [N_2]$  and in laboratory 386 discharge.

387 The calculated intensities of NO infrared 2.7 µm emission (radiational process (1b)) at the 388 altitudes of 20-80 km of the middle atmosphere are shown in Figure 5. Contributions of the processes (2b), (4a), (4b), (5) multiplied by the factor  $10^6$  are presented in this figure. As in the 389 case of the radiation of the 5.3 µm emission there is a domination of chemical reaction (2b) in 390 391 the excitation of NO( $X^2\Pi$ , v') and in the radiation of NO infrared 2.7 µm emission. Contributions 392 of the processes (2b), (4a), (4b), (5), (17) in vibrational population of NO( $X^2\Pi$ ) at the altitudes of 393 20, 40, 60 and 80 km during GLE69 event are presented in Figure 6. Also we see the domination 394 of the process (2b) in the excitation of NO( $X^2\Pi$ , v>0) at the altitudes of the middle atmosphere.

395 The calculated intensities of NO infrared 5.3 µm emission by the process (17c) and 396 concentrations  $[N_2(X^1\Sigma_g^+, v=1)]$  at the altitudes of 20-80 km of the middle atmosphere at 397 temperatures T=200 K, 230 K and 260 K. It is seen the dependence of the altitude profiles on 398 temperature. This fact can be explained by different rates of VV-processes (16) and VV'-process 399 (17b) at the temperature interval T=200-260 K (Figure 2). Therefore the contribution of the 400 process (17c) in the intensities of NO infrared 5.3  $\mu$ m emission depends on temperature of the 401 atmosphere and on NO concentrations in the mixture of N<sub>2</sub> and O<sub>2</sub> molecules.

402 403

#### 404 **5.** Conclusions

405 We have considered the mechanisms of the production of vibrationally excited NO and N<sub>2</sub> 406 molecules at the altitudes of the middle atmosphere during high-energetic proton precipitation. 407 The calculations are made for GLE69 event. 408

The main results of these calculations are as follows.

1. The study of vibrational populations  $N_2(X^1\Sigma_g^+, v'=2-30)$  during GLE69 event at the altitudes of 409 the middle atmosphere has shown different principal mechanisms in the N<sub>2</sub>( $X^{1}\Sigma_{g}^{+}, v > 0$ ) 410 excitation. Firstly, the excitation by secondary electrons is principal for all v'=1-10 levels. 411 412 Secondly, it is obtained that intramolecular electron energy transfer process in  $N_2(A^3\Sigma_u^+, v=0-5)+N_2$  collisions (the process (14)) dominates in vibrational excitation of high 413 414 vibrational levels v'=20-30. The vibrationally excited nitrogen molecules could play very 415 important role in vibrational excitation of greenhouse gases and in infrared radiational balance 416 of the Earth's middle atmosphere during disturbances.

2. The study of vibrational populations NO( $X^2\Pi$ , v'=1-20) during GLE69 event at the altitudes of 417 418 the middle atmosphere has shown that the chemical reaction of metastable atomic nitrogen 419 with molecular oxygen is the main production mechanism of vibrationally excited 420 NO( $X^2\Pi$ , $\nu$ >0) and of the radiation of 5.3 µm and 2.7 µm infrared emissions at the altitudes. It 421 is presented that the relative contribution of VV'-process (17c) can be significantly increased 422 when concentrations of nitric oxide [NO] is comparable with the concentrations of molecular 423 nitrogen  $[N_2]$  in the atmospheric mixture.

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Figure 1. The calculated ion production rates at the altitudes of 20-80 km according to [Kirillovet al., 2021] and the temperature profile according to MSIS-90 model.







**Figure 2.** The calculated rate coefficients for the processes (16) and (17b) at temperatures T=200 687 K (short dashed lines), T=230 K (long dashed lines) and T=260 K (solid lines).







**Figure 3**. Contributions of metastable molecular nitrogen  $N_2(A^3\Sigma_u^+)$  (squares), of electronically excited nitrogen molecules  $N_2(Y=B^3\Pi_g, W^3\Delta_u, B^3\Sigma_u^-, C^3\Pi_u, a'^1\Sigma_u^-, a^1\Pi_g, w^1\Delta_u)$  (circles), of direct excitation by secondary electron impact (crosses) in vibrational population of  $N_2(X^1\Sigma_g^+)$  at the altitudes of 20, 40, 60 and 80 km during GLE69 event. Solid lines are the sums of all processes.



Figure 4. The calculated intensities of NO infrared 5.3 µm emission at the altitudes of 20-80 km
of the middle atmosphere. Contributions of the processes (2b), (4b), (5) are presented as black,
blue and red lines, respectively, contributions of the processes (4a), (17) are presented as open
and solid circles, respectively.



Figure 5. The calculated intensities of NO infrared 2.7 μm emission at the altitudes of 20-80 km
of the middle atmosphere. Contributions of the processes (2b), (4b), (5) are presented as black,
blue and red lines, respectively, contribution of the process (4a) is presented as open circles.



**Figure 6.** Contributions of the processes (2b), (4a), (4b), (5), (17) in vibrational population of NO( $X^2\Pi$ ) at the altitudes of 20, 40, 60 and 80 km during GLE69 event. Contributions of the processes (2b), (4b), (5) are presented as black, blue and red lines, respectively, contributions of the processes (4a), (17) are presented as open and solid circles, respectively.



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Figure 7. The calculated intensities of NO infrared 5.3  $\mu$ m emission by the process (17) and concentrations [N<sub>2</sub>(X<sup>1</sup>Σ<sub>g</sub><sup>+</sup>,v=1)] at the altitudes of 20-80 km of the middle atmosphere at temperatures *T*=200 K (short dashed lines), *T*=230 K (long dashed lines) and *T*=260 K (solid lines).