Can the data assimilation of CO from MOPITT or IASI constrain high-latitude wildfire emissions? A Case Study of the 2017 Canadian Wildfires

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Abstract

In this study, we examine the ability of the data assimilation of global satellite-based carbon monoxide (CO) observations to constrain high-latitude boreal wildfire emissions. We compare the optimized emissions from inversions using CO measurements from the Measurement of Pollution in the Troposphere (MOPITT) and Infrared Atmospheric Sounding Interferometer (IASI). We found that both inversions yield generally consistent posterior CO emissions globally; however, distinct differences are observed for the episodic 2017 Canadian wildfires. The 3-day global coverage of MOPITT limits its ability to accurately optimize emissions, while the daily global coverage of IASI provides a moderate improvement despite its lower surface sensitivity. Through a series of observing system simulation experiments (OSSEs), we show that the temporal coverage of IASI most strongly influenced the posterior estimates, while the differences in vertical sensitivities of MOPITT and IASI have a minor contribution.

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Key Points: MOPITT and IASI CO measurements provide consistent posteriori emissions globally with regional differences for the 2017 Canadian wildfires. The 3-day global coverage of MOPITT limits its ability to optimize emissions, while the daily coverage of IASI yields a modest improvement. Temporal coverage of IASI most strongly influenced the posteriori estimates, while vertical sensitivity had a minor contribution.

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19 Abstract

In this study, we examine the ability of the data assimilation of global satellite-based car-20 bon monoxide (CO) observations to constrain high-latitude boreal wildfire emissions. We 21 compare the optimized emissions from inversions using CO measurements from the Mea-22 surement of Pollution in the Troposphere (MOPITT) and Infrared Atmospheric Sound-23 ing Interferometer (IASI). We found that both inversions yield generally consistent pos-24 terior CO emissions globally; however, distinct differences are observed for the episodic 25 2017 Canadian wildfires. The 3-day global coverage of MOPITT limits its ability to ac-26 curately optimize emissions, while the daily global coverage of IASI provides a moder-27 ate improvement despite its lower surface sensitivity. Through a series of observing sys-28 tem simulation experiments (OSSEs), we show that the temporal coverage of IASI most 29 strongly influenced the posterior estimates, while the differences in vertical sensitivities 30 of MOPITT and IASI have a minor contribution. 31

32 1 Introduction

The Arctic is a major receptor for pollution from mid-latitude regions (Stohl, 2006; 33 Law & Stohl, 2007; Shindell et al., 2008). Through the emissions of greenhouse gases, 34 trace gases and particulate species, high-latitude boreal wildfires have significant impacts 35 on Arctic air quality and climate (Amiro et al., 2009; Warneke et al., 2009). Wildfires 36 are also a major driver of the boreal net ecosystem carbon balance. Climate warming 37 and drying has led to more severe and frequent forest fires, with this trend expected to 38 increase with future climate change (Kasischke & Turetsky, 2006; de Groot et al., 2013). 39 Increasing wildfire emissions in the future may reverse the carbon balance of the boreal 40 ecosystem from a net sink to net source (Bond-Lamberty et al., 2007), resulting in a pos-41 itive climate feedback (Li et al., 2017). Carbon monoxide (CO), a product of incomplete 42 combustion, is considered an ideal tracer of biomass burning as it is emitted in a large 43 abundance across all wildfire regimes globally (e.g. Andreae (2019)). Since CO is co-emitted 44 with greenhouse gases, reactive trace gases, and particulate species, observations of CO 45 can be utilized to improve our understanding of factors influencing boreal fire emissions 46 and their impact on atmospheric composition and chemistry. 47

Global chemical transport models (CTMs) are used to simulate the impact of emissions, transport and chemistry on the atmospheric abundance of a large number of gas phase and particulate species. Global CTMs, in all cases, rely on a number of param-

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eterizations of the emissions, chemistry and transport within the model, in order to sim-51 ulate atmospheric processes at finite resolution, both in space and time. All CTMs suf-52 fer errors as a result of the finite chemical and transport operators (Philip et al., 2016), 53 while transport errors are inherent in the meteorological fields reanalyses that are used 54 to drive the models (Yu et al., 2018). Simulations of biomass burning emissions and their 55 transport are also highly uncertain. Most biomass burning emissions inventories, includ-56 ing the commonly used Fire Inventory from NCAR (FINN; Wiedinmyer et al. (2011)), 57 the Quick Fire Emission Database (QFED; Koster et al. (2015)), the Global Fire Emis-58 sion Database (GFED; van der Werf et al. (2017)) and the Global Fire Assimilation Sys-59 tem (GFAS; Kaiser et al. (2012)), are all bottom-up inventories, in which satellite ob-60 servations of burned areas, burned fraction, fire-radiative power (FRP), and vegetation 61 type are used to estimate the total dry matter burned. The mass of dry matter burned 62 is scaled by the emission factor (EF, e.g. Andreae and Merlet (2001); Akagi et al. (2011); 63 Andreae (2019)) for a particular species to yield the total mass of the species emitted. 64 Each quantity used in the estimation of these emissions is subject to its own uncertainty, 65 leading to errors in the calculated total emissions of biomass burning. 66

In the case of an episodic wildfire plume, global CTMs generally do not take into 67 account direct injection of emissions into the free troposphere which may often occur (Val Mar-68 tin et al., 2010, 2018). Injection of emissions into the free troposphere can result in dif-69 ferent transport pathways of the plume compared to those from near-surface emissions. 70 Accounting for this may be particularly important for more accurately capturing the long-71 range transport of a plume. Long-range transport errors are exacerbated by the numer-72 ical diffusion in coarse-resolution CTMs, further reducing the accuracy of simulations 73 of the transport of episodic wildfire plumes (Rastigejev et al., 2010; Eastham & Jacob, 74 2017).75

In contrast to bottom-up biomass burning emission inventories, satellite-based ob-76 servations can provide top-down estimates on wildfire emissions. Currently, several satellite-77 based instruments routinely measure CO globally, including the Measurements of Pol-78 lution of the Troposphere (MOPITT) (Deeter, 2003), Atmospheric Infrared Sounder (AIRS) 79 (Aumann et al., 2003), Atmospheric Chemistry Experiment Fourier Transform Spectrom-80 eter (ACE-FTS) (Clerbaux et al., 2008), Cross-track Infrared Spectrometer (CrIS) (Fu 81 et al., 2016), Infrared Atmosphere Sounding Interferometer (IASI) (Clerbaux et al., 2009), 82 and the Tropospheric Monitoring Instrument (TROPOMI) (Landgraf et al., 2016). Each 83

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of these instruments provides global observations of CO with varying temporal and hor-

⁸⁵ izontal resolution, and vertical sensitivity.

To quantify global emissions of CO, including wildfire sources, these space-based 86 measurements may be used in a data assimilation approach with global CTMs. Several 87 studies have implemented global satellite observations of CO from MOPITT (e.g. Arellano 88 (2004); Heald et al. (2004); Fortems-Cheiney et al. (2011); Jiang et al. (2011, 2015); Yin 89 et al. (2015); Jiang et al. (2017); X. Zhang et al. (2020)) and IASI (e.g. Muller et al. (2018); 90 Zheng et al. (2019)) to quantify and refine global CO source emission inventories. How-91 ever, few studies have focused on the high-latitude boreal wildfires. Pfister et al. (2005) 92 performed a case study using the using regional-scale data assimilation of MOPITT CO 93 to quantify boreal wildfire emissions of CO from the 2004 Alaskan wildfire season. Gonzi et al. (2011) used MOPITT CO observations to identify seasonal trends of global wild-95 fire sources. However, due the episodic nature of high-latitude wildfires and the limited 96 observations in high-latitude regions, the ability for the data assimilation of global CO 97 measurements to accurately constrain these emissions remains uncertain, particularly 98 with respect to the temporal coverage and vertical sensitivity of the measurements. 99

The data assimilation of MOPITT and IASI to optimize the global CO state have 100 been performed in past studies (e.g. Barré, Gaubert, et al. (2015); Barré, Edwards, et 101 al. (2015); Inness et al. (2013, 2015)). Inness et al. (2013) examined the Monitoring At-102 mospheric Composition and Climate (MACC) reanalysis and found that the assimila-103 tion of IASI CO resulted in a greater low-bias of CO in the Northern high-latitudes as 104 opposed to the assimilation of MOPITT CO, through comparison to independent mea-105 surement datasets. Comparisons of the data assimilation of MOPITT and IASI CO were 106 examined by Barré, Gaubert, et al. (2015) and concluded that both MOPITT and IASI 107 constrain the CO state close to the main anthropogenic, biogenic and biomass burning 108 sources, while IASI provided improved constraints on far-away CO sources. Furthermore, 109 IASI provided better constraints on the global CO field, while MOPITT provided stronger 110 constraints on near-surface CO in the main source regions as compared to independent 111 measurements. However, comparison of the ability of the data assimilation of MOPITT 112 or IASI to optimize emission sources has not yet been studied. 113

In this study, we assess and compare the ability of satellite observations of CO to optimize high-latitude boreal wildfire emissions using measurements of CO from the MO-

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PITT and IASI instruments in order to distinguish the contribution of the temporal cov-116 erage and vertical sensitivity of the measurements. We examine a case study of the 2017 117 Canadian wildfires, which contained two separate wildfire events in British Columbia (BC) 118 and the Northwest Territories (NWT) of Canada in August 2017 (Lutsch et al., 2019). 119 Emissions for these wildfires resulted in large-scale perturbations to atmospheric CO (e.g. 120 Lutsch et al. (2019, 2020)) and aerosols (e.g., Khaykin et al. (2018); Peterson et al. (2018); 121 Ranjbar et al. (2019)) throughout the Northern Hemisphere. Due to the large-scale im-122 pact of these wildfires, these events provide an ideal case to examine the ability of our 123 data assimilation system to precisely locate and optimize their emissions. 124

The structure of this paper is as follows. Section 2.1 presents the satellite and ground-125 based measurements used in this study. Section 2.2 describes the GEOS-Chem adjoint 126 model and its configuration as implemented for this study. The global and regional pos-127 terior emission estimates obtained from MOPITT and IASI are presented and discussed 128 in Section 3.1. Sections 3.2 and 3.3 examine the regional analysis of the high-latitude 129 boreal wildfires and the 2017 Canadian wildfires, respectively. The inversions are eval-130 uated using high-latitude ground-based measurements in Section 3.4. To examine the 131 impact of measurement temporal coverage and vertical sensitivity, a series of observing 132 system simulation experiments (OSSEs) were performed and the results are highlighted 133 and interpreted in Section 3.5. Lastly, a summary of the study and suggestions for fu-134 ture studies are provided in Section 4 135

- 136 2 Methods
- 137

2.1 Measurements and Instruments

138 **2.1.1** MOPITT

The Measurement of Pollution in the Troposphere (MOPITT) instrument was launched 139 in December 1999 aboard the NASA Terra satellite. Full details of the MOPITT instru-140 ment are provided by Drummond et al. (2010) and are presented briefly here. MOPITT 141 is a nadir-viewing gas correlation radiometer. Since August 2001, measurements of CO 142 are made using two thermal-infrared (TIR) bands (channels no. 5 and no. 7; 4.617 ± 0.055 143 μ m) and one near-infrared (NIR) band (channel no. 6; 2.334 \pm 0.011 μ m). A linear de-144 tector array allows MOPITT to record simultaneous measurements at four different sound-145 ing locations, each with field-of-view of approximately 22×22 km². The Terra satel-146

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lite is in a daytime-descending, Sun-synchronous orbit at an altitude of approximately
700 km, with an equator crossing time of 10:30 local time. Terra makes approximately
14-15 orbits per day and the instrument achieves near-global coverage every 3 to 4 days.

There are three retrieval products of CO from MOPITT: the TIR-only (T), NIR-150 only (N) and the joint TIR-NIR retrieval (J). We use total column measurements from 151 the TIR-NIR MOPITT v8 (v8J; (Deeter et al., 2019)) retrieval product because it pro-152 vides the most vertical information. MOPITT retrievals of CO are performed using an 153 iterative optimal estimation algorithm (OEM; Rodgers (2000)) utilizing MOPITT cal-154 ibrated radiances and a priori knowledge of CO variability (Deeter et al., 2014, 2017; Lamar-155 que et al., 2012). Volume mixing ratio (VMR) retrievals are performed in log-space to 156 retrieve log(VMR) on a 10-layer vertical retrieval grid from the surface to 100 hPa. To-157 tal columns of CO are calculated directly from the retrieved CO VMR profile. A priori 158 CO profiles are derived from a model climatology which varies seasonally and geograph-159 ically; the a priori climatology was introduced for processing MOPITT version 7 prod-160 ucts (Deeter et al., 2017). The a priori value is from climatological output from the Com-161 munity Atmosphere Model with Chemistry (CAM-chem; Lamarque et al. (2012)) and 162 is described by Deeter et al. (2014). The a priori covariance matrix is described by Deeter 163 et al. (2010). 164

In addition to validation with in situ aircraft CO measurements (Deeter et al., 2019), 165 MOPITT CO products have been validated against ground-based Fourier-transform in-166 frared (FTIR) measurements. Buchholz et al. (2017) performed a comparison of MO-167 PITT v6 CO products against 14 global ground-based mid-infrared FTIR measurement 168 sites of the Network for Detection of Atmospheric Composition Change (NDACC). Bi-169 ases were found to vary between MOPITT CO products with mean biases across all sites 170 of 2.4% for TIR-only, 5.1% for joint TIR-NIR, and 6.5% for NIR-only. The bias was found 171 not to depend on latitude but rather on proximity to CO sources, with larger biases near 172 local sources. MOPITT v8 has been validated against near-infrared FTIR measurements 173 of the Total Carbon Column Observing Network (TCCON) by Hedelius et al. (2019). 174 A high-bias of the MOPITT v8 joint TIR-NIR product against the TCCON FTIR mea-175 surements was observed, which generally varied between 6-8% across 31 measurement 176 sites. 177

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2.1.2 IASI

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We use CO total column abundances retrieved from observations by the IASI in-179 strument on board the Metop-A satellite. Full details of the IASI instrument are pro-180 vided by Clerbaux et al. (2009). The satellite has a Sun-synchronous polar-orbit provid-181 ing twice daily global coverage at 9:30 local time and 21:30 local time overpasses. To main-182 tain consistency with MOPITT, we only use IASI observations from the morning over-183 pass. IASI is a Fourier-transform spectrometer with a spectral range of 15.5 to 3.62 μ m 184 $(645 \text{ to } 2760 \text{ cm}^{-1})$. Raw measurements from IASI are interferograms which are processed 185 and transformed into radiometrically calibrated spectra. The maximum optical path dif-186 ference is ± 2 cm which leads to 0.5 cm⁻¹ full width at half-maximum apodized spectral 187 resolution. Over a swath width of ~ 2200 km, a total of 120 views are collected for 30 188 arrays of four individual elliptical pixels. Each pixel has a 12 km diameter at nadir which 189 increases at the larger viewing angles. 190

We used the most recent CO data product: FORLI v20140922 (https://iasi.aeris 191 -data.fr/co/) described by Hurtmans et al. (2012). Retrievals of CO take advantage 192 of absorption in the fundamental 1-0 CO rotation-vibration band centered around 4.7 193 μ m. CO is retrieved at each location with vertical sensitivity that is dependent on the 194 absorption of interfering species, vertical concentration profile of the species, local sur-195 face temperature and emissivity, the vertical temperature profile, and the measurement 196 noise and spectral resolution. For thermal-infrared measurements of CO, the vertical in-197 formation content is mainly attributed to the mid-troposphere. 198

The FORLI-CO product (Hurtmans et al., 2012) was used in this study and is based 199 on OEM to retrieve CO profiles on a 19-layer retrieval grid. The a priori information and 200 error covariance are constructed from a database of observations from MOZAIC IAGOS 201 (Measurement of Ozone and Water Vapor by Airbus In-service Aircraft, In-service Air-202 craft for a Global Observing System flights) and ACE-FTS (Clerbaux et al., 2008). Prior 203 information is complemented with the global model LMDz-INCA (Laboratoire de Météorologie 204 Dynamique-Interaction with Chemistry and Aerosols) to account for both polluted and 205 ambient conditions (Turquety et al., 2009). 206

The FORLI-CO product has been validated against ground-based FTIR measurements of NDACC by Kerzenmacher et al. (2012). Mean biases ranged from -4.5% at the Southern Hemisphere site of Wollongong, Australia to a maximum of 10.8% at Bremen,

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Germany. Comparisons to measurements obtained on the MOZIAC flights showed biases of less than 13% (De Wachter et al., 2012).

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2.1.3 Ground-based Measurements

FTIR mid-infrared measurements are provided by the Network for Detection of Atmospheric Composition Change (NDACC, De Mazière et al. (2018), www.ndacc.org). NDACC FTIR instruments record solar-absorption spectra during daylight hours and clear-sky conditions. Measurements are generally made at a spectral resolution of 0.0035 cm⁻¹, with a frequency of ~20 mins. Vertical mixing ratio profiles and integrated column amounts are retrieved using OEM.

Ancillary measurements for the validation of the inversion results are provided by the Total Carbon Column Observing Network (TCCON; Wunch et al. (2011)), which is a global network of FTIR instruments. Solar absorption spectra recorded in the shortwave infrared with a spectral resolution of 0.02 cm^{-1} are used to perform a profile scaling retrieval to produce total columns of CO. CO is measured as a standard species of TCCON, with a frequency of ~2-3 mins during daylight hours and clear-sky conditions.

In this study, we have selected two high-Arctic FTIR measurement sites: Eureka (80.05°N, 86.42°W), Canada and Thule (76.53°N, 68.74°W), Greenland. Measurements at both sites were found to be fire-affected during August 2017 as described in detail by Lutsch et al. (2019). The Eureka instrument is affiliated with both the TCCON (Strong et al., 2019) and NDACC networks, contributing measurements to both by measuring in alternating NIR and mid-infrared modes, respectively. The Thule instrument contributes measurements to the NDACC network only.

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2.2 The GEOS-Chem Model

The GEOS-Chem CTM is implemented in this study. We use version 35j of the GEOS-Chem adjoint, which is based on the forward-model of GEOS-Chem version 8-02-01. The model is driven with assimilated meteorological fields from the Goddard Earth Observing System version 5.11.0 (GEOS-FP) from the NASA Global Model and Assimilation Office (GMAO). Model simulations were performed at a horizontal resolution of $4^{\circ} \times 5^{\circ}$ with 47 vertical levels. The model is run using the CO-only mode of GEOS-Chem. This CO-only simulation uses prescribed OH concentrations and we have chosen to use monthly

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OH fields provided from TransCom (Patra et al., 2011) following Lutsch et al. (2020),
which are based on the experimentally derived OH concentrations of Spivakovsky et al.
(2000).

The simulations used the global anthropogenic emission inventory from EDGAR 243 3.2FT2000 (Olivier & Berdowski, 2001), but this has been selectively substituted by the 244 following regional emission inventories: the US Environmental Protection Agency (EPA) 245 National Emission Inventory (NEI) for 2008 in North America (Olivier & Berdowski, 2001), 246 the Criteria Air Contaminants (CAC) inventory for Canada, the Big Bend Regional Aerosol 247 and Visibility Observational (BRAVO) Study Emissions Inventory for Mexico (Kuhns 248 et al., 2005), the Cooperative Program for Monitoring and Evaluation of the Long-range 249 Transmission of Air Pollutants in Europe (EMEP) inventory for Europe in 2000 and the 250 INTEX-B Asia emissions inventory for 2006 (Q. Zhang et al., 2009). Biomass burning 251 emissions are provided by the Global Fire Assimilation System (GFASv1.2; Kaiser et al. 252 (2012)), which are derived from assimilation of FRP observations of the Moderate Res-253 olution Imaging Spectroradiometer (MODIS) on the Aqua and Terra satellites. GFAS 254 provides global emissions for open fires at a native resolution of $0.1^{\circ} \times 0.1^{\circ}$ which have 255 been re-gridded to the $4^{\circ} \times 5^{\circ}$ GEOS-Chem horizontal resolution grid. Additional CO 256 sources come from the oxidation of methane and biogenic non-methane volatile organic 257 compounds (NMVOCs) as described in previous studies (Jiang et al., 2017). The bio-258 genic emissions are simulated using the Model of Emissions of Gases and Aerosols from 259 Nature, version 2.0 (MEGANv2.0; Guenther et al. (2006)). 260

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2.2.1 4D-Var Data Assimilation

The GEOS-Chem adjoint provides the ability to optimize emission inventories by assimilating measurements of CO. Emissions are optimized by applying spatially- and time-varying corrective scaling factors to reduce the measurement-model mismatch. Sources of CO are constrained using the 4D-Var data assimilation scheme of GEOS-Chem. The intent of the approach is to minimize the cost function:

$$J(\mathbf{x}) = \sum_{i=1}^{N} \left[\mathbf{F}(\mathbf{x}) - \mathbf{z}_{i} \right]^{T} \mathbf{S}_{\sigma}^{-1} \left[\mathbf{F}(\mathbf{x}) - \mathbf{z}_{i} \right] + \left[\mathbf{x} - \mathbf{x}_{a} \right]^{T} \mathbf{S}_{a}^{-1} \left[\mathbf{x} - \mathbf{x}_{a} \right],$$
(1)

where \mathbf{x} is the state vector of CO emissions, $\mathbf{x}_{\mathbf{a}}$ is the a priori state vector, $\mathbf{F}(\mathbf{x})$ is the observation operator and $\mathbf{z}_{\mathbf{i}}$ is a given CO measurement. The number of measurements during the assimilation period is denoted by N. The temporal resolution of the forward model is 1 hr, and therefore the high-resolution CO measurements are temporally averaged to 1 hr and spatially averaged onto the $4^{\circ} \times 5^{\circ}$ horizontal grid.

We assume a uniform observation error covariance (\mathbf{S}_{σ}) of 20% without spatial cor-272 relation for both the MOPITT and IASI assimilation following previous studies (Jiang 273 et al., 2011, 2017). Measurement errors for IASI CO observations typically range from 274 5-15%. However, a 20% observation error covariance was selected to account for the pos-275 sibility of greater measurement uncertainties (>15%) in high-latitude regions, and to main-276 tain consistency with the MOPITT assimilation. The a priori covariances are indepen-277 dent of the observations and therefore, the same values were applied to the MOPITT 278 and IASI assimilations. The combustion sources of CO (fossil fuels, biofuels and biomass 279 burning) are combined with the oxidation source from VOCs, assuming a uniform 50%280 a priori error. The CO source from the oxidation of CH_4 is optimized separately as a glob-281 ally aggregated source assuming a 25% a priori error. 282

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2.2.2 Assimilation Configuration

Biases in the initial condition for the state of CO can adversely affect the optimized 284 emissions and it is therefore essential to first mitigate these biases before optimizing CO 285 emissions. Due to the differences in the a priori profiles, vertical sensitivity and sampling 286 frequencies leading to differences in the MOPITT and IASI CO measurements, it is nec-287 essary to generate separate initial conditions that are unique to each instrument. Ini-288 tial conditions were generated by assimilating MOPITT or IASI measurements to op-289 timize the CO distribution using the weak-constraint 4D-Var assimilation scheme (Stanevich 290 et al., 2021). Further details of the weak-constraint 4D-Var assimilation scheme are de-291 scribed in Appendix A. 292

The emissions optimization with the 4D-Var assimilation scheme is performed as follows. The optimized state for 1 June 2017 obtained from the weak-constraint 4D-Var assimilation is used as the initial condition. A 4-month assimilation window was selected (1 June - 1 October 2017). The first month (June) is treated as a spin-up period and the last month (September) is considered as a spin-down period. The spin-down period provides additional observations to constrain emissions in the previous months. The months of July and August are the analysis period corresponding to the peak months of the bo-

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real wildfire season. In this emission optimization, the optimized emission estimates are
 returned as monthly emission scale factors.

For both MOPITT and IASI assimilations, the observations are treated in a super-302 observations scheme as described by X. Zhang et al. (2019), which reduces the represen-303 tativeness error associated with the variability of CO measurements within each model 304 grid-box (Miyazaki et al., 2012). For each hour of simulation, observations are binned 305 onto the GEOS-Chem horizontal grid. The model VMR and partial column profiles, for 306 MOPITT and IASI respectively, are transformed following Rodgers and Connor (2003) 307 by the instrument averaging kernel and a priori profiles to yield a smoothed model to-308 tal column as described in Appendix B. For both MOPITT and IASI observations, we 309 have selected a uniform observation error covariance (\mathbf{S}_{ϵ}) of 20% of the total column of 310 each measurement as stated in Section 2.2.1 to account for representativeness errors and 311 the influence of random transport errors in the model. 312

The CO observations only provide constraints on the total amount of CO emitted in a given region and therefore there is insufficient information in the inversion to distinguish between individual source types. As such, combustion sources (i.e., fossil fuel, biofuel, and biomass burning) are aggregated with the CO source from the oxidation of biogenic non-methane NMVOCs as a single source term. The inversions provide a constraint on the CO source in each grid box. The CO source from the oxidation of CH₄ is treated as a single global source.

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3 Results & Discussion

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3.1 Global Optimized CO Emission Estimates

The total a posteriori CO emissions for July-August 2017 obtained from the MO-322 PITT and IASI inversions are tabulated in Table 1 for the source regions of Figure 1, 323 and are shown in Figure 2. The a posteriori emissions are generally consistent across all 324 regions between the two inversions. The North American anthropogenic CO a posteri-325 ori values are 10.3 Tg and 10.9 Tg, for MOPITT and IASI, respectively, which are not 326 significantly different from the a priori of 11.0 Tg. Biomass burning emissions of boreal 327 North America (BONA) are a considerable nearby source with an a priori of 20.0 Tg. 328 MOPITT and IASI a posteriori emissions are 20.2 Tg and 17.1 Tg, respectively. Sim-329 ilarly, European a posteriori emissions are near identical for the MOPITT and IASI in-330



Figure 1. Source regions for biomass burning (shaded), and anthropogenic sources (black rectangles) used for the regional analysis as summarized in Table 1.

versions (10.5 Tg and 10.4 Tg, respectively), which is slightly greater than the a priori 331 of 9.6 Tg. Boreal Asian (BOAS) wildfire emission estimates show more notable differ-332 ences, with a posteriori emissions of 17.2 Tg and 14.2 Tg for MOPITT and IASI, respec-333 tively, compared to an a priori of 17.9 Tg. Small differences are observed between the 334 MOPITT and IASI a posteriori estimates for Temperate North America (TENA) and 335 Central Asia (CEAS) which are a minor contribution to Northern Hemisphere biomass 336 burning sources, while Europe (EURO) are identical. The rest of the world (ROW; sum 337 of all other regions) biomass burning a posteriori estimates are consistent between the 338 two inversions with a difference of $\sim 4\%$. 339

Asian anthropogenic a posteriori emissions are both lower than the a priori (48.2)340 Tg) for the MOPITT (33.2 Tg) and IASI (37.7 Tg) inversions. This result is consistent 341 with past studies illustrating a decreasing trend of CO emissions in this region (e.g., Jiang 342 et al. (2017)). Elsewhere (ROW), a posteriori anthropogenic emissions are greater than 343 the a priori in both inversions, which is indicative of the increasing trend of CO emis-344 sions in developing countries. The differences in the MOPITT and IASI a posteriori es-345 timates for the sources from ROW biomass burning, and CH₄ and NMVOCs oxidation 346 sources are not significantly different. 347

Type	Name	Description	a priori [Tg]	MOPITT [Tg]	IASI [Tg]
Anthro.	NA	North America	11.0	10.3	10.9
	EU	Europe	9.6	10.5	10.4
	AS	Asia	48.2	33.2	37.7
	ROW	Rest of World	16.6	19.0	23.1
Biomass	BONA	Boreal North America	20.0	20.2	17.1
	TENA	Temperate North America	1.9	2.0	2.6
	BOAS	Boreal Asia	17.9	17.2	14.2
	EURO	Europe	0.5	0.6	0.6
	CEAS	Central Asia	4.7	4.7	5.2
	ROW	Rest of the World	41.1	65.8	68.6
Other	CH_4	Methane Oxidation	178.4	179.9	182.6
	NMVOC	NMVOC Oxidation	134.7	141.7	147.7

Table 1. Total a priori and a posteriori CO emissions from the MOPITT and IASI inversionsfor July - August 2017.



Figure 2. MOPITT and IASI inversion a priori and a posteriori CO emissions for July-August 2017 for anthropogenic, biomass burning and NMVOC oxidation sources. Differences between the a posteriori estimates of the MOPITT and IASI inversion are also shown.

3.2 Regional Analysis of High-latitude Wildfires

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In this section, we present the results of the MOPITT and IASI emission inversions for July - August 2017 focusing on the high-latitude boreal wildfire regions of BONA and BOAS. The results are tabulated in Table 2. In July 2017, the a priori and a posteriori emission estimates are near identical for the MOPITT and IASI inversions. The July wildfire emissions were absent of any large wildfire smoke plumes. For North American anthropogenic emissions, the a posteriori estimates (4.5 Tg for MOPITT and 4.4 Tg for IASI) are lower than the a priori of 5.5 Tg.

In August 2017, the boreal North American wildfires presented an exceptional per-356 turbation to wildfire CO emissions. The August 2017 a priori estimate is considerably 357 greater than for July at 14.2 Tg and 5.8 Tg, respectively. The a posteriori estimate ob-358 tained from the MOPITT inversion is 14.4 Tg, which is a minor difference from the a 359 priori. A reduction of emissions is observed in the a posteriori estimate for IASI, which 360 is 11.4 Tg. However, both the a posteriori estimates for North American anthropogenic 361 emissions in August are greater than for July. As anthropogenic CO sources have smoothly-362 varying seasonal variability, a marked increase in anthropogenic emissions is not expected 363 from July to August. The differences may be largely attributed to the inability of the 364 inversion to accurately distinguish between North American anthropogenic and wildfire 365 sources. Due to the long-range transport of the wildfire smoke plumes, the westerly trans-366 port of these emissions also coincides with continental outflow of anthropogenic sources. 367

This misattribution is particularly evident for wildfire emission estimates from the 368 boreal regions of boreal North America (BONA) and Asia (BOAS) due to their adja-369 cent anthropogenic source regions, North America and Europe. For July, anthropogenic 370 North American a posteriori emissions are 4.5 and 4.3 Tg for the MOPITT and IASI in-371 versions, respectively, with an a priori of 5.5 Tg. Boreal North American a posteriori emis-372 sions are 5.8 Tg and 5.7 Tg for the MOPITT and IASI inversions, respectively, with a 373 priori of 5.8 Tg. For August, an increase in the a posteriori North American anthropogenic 374 emissions is observed, with the MOPITT and IASI inversions suggesting 5.8 Tg and 6.6 375 Tg, respectively. During the period of the 2017 Canadian wildfires in August, a slight 376 increase in boreal North American wildfire emissions is observed in the a posteriori for 377 MOPITT, while for IASI a decrease is observed. Considering net emissions from North 378 America (a priori of 11.3 Tg and 19.7 Tg, for July and August, respectively), the total 379

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		July	- 2017		
Emissions [Tg/month]	Global Biomass Burning	North America Anthro.	Boreal North America	Europe Anthro.	Boreal Asia
a priori	38.4	5.5	5.8	4.8	9.7
MOPITT a posteriori	45.0	4.5	5.8	4.9	9.3
IASI a posteriori	45.6	4.4	5.7	4.8	7.7
		Augu	st 2017		
Emissions [Tg/month]	Global Biomass Burning	North America Anthro.	Boreal North America	Europe Anthro.	Boreal Asia
a priori	53.6	5.5	14.2	4.8	8.2
MOPITT	73.3	5.8	14.4	5.6	7.9
IASI	70.7	6.6	11.4	5.6	6.5

Table 2. A priori and a posteriori emissions for the MOPITT and IASI inversions for August 2017. Global anthropogenic emissions are shown for all global sources, North America (NA) and Europe (EU). Biomass burning emissions are shown for boreal North America (BONA) and boreal Asia (BOAS) a posteriori emissions from the MOPITT inversion are 10.1 Tg and 20.2 Tg for July and
August, respectively. For IASI, the combined emissions are 10.0 Tg and 18.0 Tg, for July
and August, respectively. For both instruments, the combined anthropogenic and wildfire a posteriori emissions are lower than the a priori.

Total anthropogenic and wildfire (BONA and TENA) a priori in the North American domain is 31 Tg for July to August. The a posteriori estimates are 30.5 Tg and 27.9 Tg for the MOPITT and IASI inversions, respectively, which are not notably different from the a priori. However, regional differences are present between the MOPITT and IASI inversions, as discussed in the following section.

389

3.3 Regional Analysis of the 2017 Canadian Wildfires

In this section, we focus on the two main wildfire emission hot-spots identified by 390 Lutsch et al. (2019): British Columbia (BC) and Northwest Territories (NWT). These 391 regions are shown in Figure 3 and the results of the MOPITT and IASI inversions are 392 tabulated in Table 3. The BC and NWT hot-spots account for $\sim 70\%$ of all wildfire emis-393 sions in North America for the month of August 2017. A posteriori emissions obtained 394 from the MOPITT and IASI inversions show generally consistent results on continental-395 scales as shown in Table 2. The distinct differences between the two inversions are ob-396 served for the BC and NWT wildfires. The net a posteriori estimates in MOPITT and 397 IASI inversions differ by ~ 1.5 Tg for August, which is predominantly attributed to wild-398 fires in BC and NWT, with a difference of 2.8 Tg. Differences in a posteriori estimates 399 of other North American wildfire regions are negligible. Both inversions exhibit an in-400 crease in North American anthropogenic emissions from July to August which may be 401 partly attributed to misrepresentation of wildfire emissions as anthropogenic. 402

To interpret these differences we need to consider the differences in the wildfire regimes 403 and plume transport of the BC and NWT sources. The transport of these plumes was 404 examined in Lutsch et al. (2019) using a back-trajectory analysis and the results are sum-405 marized here. The predominant wildfire emissions from these sources occurred in Au-406 gust 2017. A large plume originated in the BC region on 10 August 2017, which was trans-407 ported northward to NWT, and combined with the NWT source on 14 August 2017. The 408 combined plume was then transported poleward, where it reached the Eureka and Thule 409 FTIR measurement sites on 19 August and 20 August, respectively. Through the back-410

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	J	uly 2017			
Emissions [Tg/month]	North America Anthro.	North America BB	BC	TWN	
a priori	5.5	7.1	2.2	0.8	
MOPITT a posteriori	4.5	6.9	2.2	0.8	
IASI a posteriori	4.3	6.8	2.4	0.8	
	Au	gust 2017			
Emissions [Tg/month]	North America Anthro.	North America BB	BC	TWN	
a priori	5.5	16.1	3.1	7.9	
MOPITT a posteriori	5.5	16.5	3.5	7.7	
IASI a posteriori	6.6	14.0	2.8	5.6	

Table 3. a priori and a posteriori estimates for continental North American (NA) domain, and regional wildfire sources of British Columbia (BC) and the Northwest Territories (NWT)



Figure 3. Cumulative July - August 2017 wildfire CO emissions over Canada. The black boxes indicate the BC (bottom) and NWT (top) wildfire source regions. Locations of the FTIR sites Eureka and Thule are shown by the red and green stars, respectively.

trajectory analysis, Lutsch et al. (2019) suggested the BC wildfire plumes influencing the 411 FTIR measurement sites likely corresponded to the injection of the plume into the up-412 per free-troposphere (>5 km), while the NWT plume corresponded to near-surface emis-413 sions, or injection into the lower free-troposphere (<5 km). Plume injection heights are 414 provided by the GFASv1.2 emissions inventory. For the selected BC regions, a maximum 415 plume top altitude of ~ 8.5 km was reported for 10 August, with an August monthly mean 416 of ~ 4.5 km. For NWT, an August maximum plume top altitude of ~ 3.6 km on 14 Au-417 gust was observed, with a monthly mean of 1.9 km. However, in the GEOS-Chem ad-418 joint model, wildfire injection heights are not currently included, and wildfire emissions 419 are released assuming a uniform distribution through the boundary layer. 420

To examine the impact of smoke plume injection heights on the inversion, a sensitivity test was performed as shown in Appendix C by evenly distributing the emissions from the surface to the daily maximum injection height from the GFASv1.2 inventory. The simulations illustrate that the inclusion of injection height information for the BC and NWT wildfire resulted in an insignificant contribution to the MOPITT inversion, and only a minor influence for IASI. While the inclusion of the injection height informa-

-18-

tion in the model may slightly improve the simulation of smoke plume transport, the inherent transport errors of the global coarse-resolution model are likely still the dominant
source of smoke plume transport errors. A past study by Gonzi et al. (2011) had examined the sensitivity of MOPITT CO inversions to wildfire plume injection heights, and
also concluded they had a minor influence on the a posteriori emissions.

A second sensitivity test was performed to further diagnose the ability of each in-432 strument to constrain wildfire emissions. In this test, BONA wildfire emissions are per-433 turbed by a factor of 2 and the results are summarized in Table D1. The results showed 434 that both instruments were generally able to recover the a posteriori anthropogenic es-435 timates of the truth from the unperturbed case of Table 3. For wildfires sources, the IASI 436 inversion yielded a posteriori estimates closer to the the truth than MOPITT. This re-437 sult suggests that the data assimilation of IASI measurements provides a better constraint 438 of wildfire emissions. The contributions of each instrument's temporal coverage and ver-439 tical sensitivity are examined in Section 3.5. 440

441

3.4 Comparison Against Ground-based FTIR Measurements in the Arctic

Independent measurements of CO during the 2017 Canadian wildfires are scarce. 443 Due to the transport of these plumes into the high-Arctic, observations are further lim-444 ited due to the lack of dedicated observation sites in the region. Although there are a 445 number surface-based measurement networks for CO, model comparisons to surface ob-446 servations are prone to representativeness error. As shown by Lutsch et al. (2019), ground-447 based FTIR measurements during the 2017 Canadian wildfires were recorded in Eureka, 448 Nunavut and Thule, Greenland as part of NDACC. Additional observations are provided 449 at the Eureka site from TCCON measurements. The FTIR timeseries are shown in Fig-450 ure 4. 451

All GEOS-Chem simulations show a general underestimation in comparison to the Eureka FTIR measurements on the fire-affected day of 19 August 2017. Consistent with the a posteriori emission estimates, the MOPITT a posteriori is nearly identical to the a priori, while the IASI a posteriori is more greatly underestimated in comparison to the Eureka FTIR measurements. As illustrated in Lutsch et al. (2019), Eureka was predominately influenced from 17-21 August 2017 by wildfire emissions originating in NWT. For

-19-



Figure 4. Hourly-averaged FTIR CO total columns for August 2017 at Eureka and Thule (black). The GEOS-Chem a priori timeseries (grey), MOPITT inversion (blue) and IASI (red) inversion timeseries are also shown.

Thule, there is some indication that GEOS-Chem captures the influence of the wildfire emissions during the period of enhanced FTIR measurements from 19–22 August. Thule was found to be predominately influenced by wildfire emissions originating in BC. As was the case for Eureka, the a posteriori estimates for MOPITT are nearly identical to the a priori, while the IASI a posteriori emission estimates leads to a further underestimation in comparison to the Thule FTIR measurements.

Although the GEOS-Chem to FTIR comparisons would suggest an underestima-464 tion of emissions from BC and NWT wildfire sources, it should be noted that inherit model 465 errors may also contribute to this underestimation. Global models tend to suffer from 466 numerical diffusion errors (Eastham and Jacob (2017); Rastigejev et al. (2010)) as a re-467 sult of the coarse vertical and horizontal resolution. Numerical diffusion will contribute 468 to errors in the simulated transport pathways of the plume, in addition to artificial loss 469 of the tracer species. Additionally, the coarse model resolution leads to loss of vertical 470 convection as a result of degrading the input meteorological fields from its native res-471 olution (Yu et al., 2018). The poorly resolved vertical convection may lead to further er-472 rors in simulating the transport wildfire smoke plumes. However, these model errors will 473

⁴⁷⁴ also contribute to errors in the inversion itself, leading to uncertainties in the model a

⁴⁷⁵ posteriori emissions, although these issues are inherent in the inversion.

476

3.5 Observing System Simulation Experiments (OSSEs)

477	To diagnose the sensitivity of the inversion to the observation coverage and ver-
478	tical resolution of the observations, we perform several observing system simulation ex-
479	periments (OSSEs). First, pseudo observations are generated by sampling the optimized
480	CO states from the IASI inversion at the MOPITT and IASI measurement locations, in
481	both space and time. These pseudo observations are then used for six OSSEs:

482	1. MOPITT sampled with an averaging kernel of unity applied.
483	2. IASI sampled with an averaging kernel of unity applied.
484	3. MOPITT sampled with MOPITT averaging kernel applied.
485	4. MOPITT sampled with IASI averaging kernel applied.

5. IASI sampled with MOPITT averaging kernel applied.

⁴⁸⁷ 6. IASI sampled with IASI averaging kernel applied.

Cases (1) and (2) allow for the impact of the temporal coverage of the observations on the inversion to be quantified. Cases (3) and (4) provide a means to quantify the contribution of the averaging kernels with the temporal coverage of MOPITT. Similarly, Cases (5) and (6), will quantify the contribution of the averaging kernels with the greater temporal coverage of IASI.

Both the MOPITT and IASI measurement averaging kernels are spatially and tem-493 porally variable as they are dependent on the a priori CO profiles and true profiles of 494 the measurement. However, the shape and magnitude of the averaging kernels of both 495 instruments do not vary greatly. The averaging kernels of each instrument are distinct 496 between the two. For the OSSE inversions, it is ideal to apply the true averaging ker-497 nel profiles of each instrument to the pseudo observations. Due to the different tempo-498 ral coverage of MOPITT and IASI, it is not possible to match the pseudo observations 499 to the instrument averaging kernels in Cases (4) and (6). To mitigate this issue, a mean 500 averaging kernel is generated for each instrument by averaging all measurements glob-501 ally for all of 2017. This method provides an idealized averaging kernel for each mea-502

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Figure 5. Global mean total column averaging kernels for 2017 of MOPITT and IASI CO measurements. The shaded region represents the standard deviation from the mean.

surement to be applied for all pseudo observations. These mean MOPITT and IASI averaging kernels are shown in Figure 5.

The mean MOPITT averaging kernel exhibits a fairly uniform distribution, with 505 mean values between 0.5 and 1.0 through the troposphere and lower stratosphere. Min-506 imal sensitivity to the surface is observed, with a mean value of approximately 0.2 ± 0.2 . 507 The mean IASI averaging kernel exhibits a more pronounced peak through the mid- to 508 upper-troposphere (\sim 4-12 km), with values exceeding 1.0 in this range. Although the 509 magnitude of the mean averaging kernels of IASI and MOPITT differ as a result of the 510 differences in their respective retrieval schemes, both instruments have sufficient verti-511 cal sensitivity in the free troposphere. Given the more pronounced peak of the IASI av-512 eraging kernels in the 4-12 km range, it is expected that the IASI assimilation should 513 be more sensitive to transported wildfire emissions which are generally most abundant 514 at these altitudes. 515

The results of the OSSEs are summarized in Table 4 and shown in Figure 6. For July 2017, wildfire emissions observed in the a priori and a posteriori of the truth are largely attributed to the BC wildfires, while anthropogenic emissions in North America

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			J uly 2017		
OSSE	Emissions [Tg/month]	North America Anthro.	North America BB	BC	TWN
Ι	a priori	5.5	6.6	2.2	0.8
truth	a posteriori	4.4	6.4	2.4	0.7
1	MOPITT (AVK=1)	4.4 (0%)	$6.1 \ (-5\%)$	2.0 (-20%)	0.8~(13%)
2	IASI (AVK=1)	4.4 (0%)	6.3 (-2%)	2.2 (-8%)	0.8~(13%)
c,	MOPITT (AVK=MOPITT)	4.5 (2%)	6.2~(-3%)	2.0 (-20%)	0.8~(13%)
4	MOPITT (AVK=IASI)	4.6(5%)	6.2~(-3%)	2.0 (-20%)	0.8~(13%)
ŋ	IASI (AVK=MOPITT)	4.4 (0%)	6.2~(-3%)	2.2 (-8%)	0.8~(13%)
9	IASI (AVK=IASI)	4.3 (0%)	6.2~(-3%)	$2.1 \ (-13\%)$	0.8~(13%)
			August 2017		
OSSE	Emissions [Tg/month]	North America Anthro.	North America BB	BC	TWN
I	a priori	5.5	15.2	3.2	7.9
truth	a posteriori	6.6	13.2	2.8	5.5
	MOPITT (AVK=1)	5.9 (-11%)	$14.9 \ (12\%)$	2.6 (-7%)	7.1 (29%)
2	IASI (AVK=1)	6.3~(-5%)	$13.6\;(3\%)$	2.7 (-4%)	$6.5\;(18\%)$
c,	MOPITT (AVK=MOPITT)	5.9(-11%)	$14.2\;(8\%)$	2.6(-7%)	7.3~(32%)
4	MOPITT (AVK=IASI)	5.8(-12%)	14.4~(9%)	2.6(-7%)	$7.5\ (36\%)$
5	IASI (AVK=MOPITT)	6.2~(-6%)	13.8~(5%)	2.6(-7%)	6.8~(24%)
9	IASI (AVK=IASI)	$6.1 \ (-8\%)$	14.0~(6%)	2.5(-10%)	7.0(27%)



Figure 6. Regional a priori and a posteriori emissions for the OSSEs corresponding to Table4.

are the predominant CO source. In all OSSEs, the a posteriori anthropogenic emissions are similar to the truth, with absolute differences of 5% or less. Similarly, total wildfire emissions of North America are also comparable to the truth, with absolute differences of 5% or less. For BC, the a posteriori emissions of the OSSEs differ from the truth ranging from 8% to 20%. Cases (1) and (2) yield the best agreement to the truth with an 8% overestimation. However, the a posteriori estimates of NWT are identical for all OSSEs.

For August 2017, total North American emissions are largely attributed to wildfire emissions. In all cases, a underestimation of North American anthropogenic emissions is observed. For North American wildfire emissions, an overestimation is observed in all OSSEs. BC wildfire emissions are underestimated in the OSSEs, while they are overestimated for NWT. It should be noted that the magnitude of the differences in the a posteriori estimates of the OSSEs is correlated with the magnitude of emissions, with greater emissions resulting in greater differences.

Comparing Cases (1) and (2) indicates the relative impact of the spatial and temporal coverage of MOPITT and IASI, respectively, for both North American anthropogenic and wildfire emissions. For these continental regions, Case (2) provides the closest agreement to the truth, indicating that greater temporal coverage is advantageous in constraining continental scale emissions. Similarly, IASI coverage (Case 2) provides better estimates for the regional wildfire sources of BC and NWT, with the exception of NWT in July, which is a small emission source. The combined impact of the instrument cover-

-24-

age and its vertical sensitivity is highlighted in Cases (3) to (6) for NWT in August, which
result in poorer agreements with the assumption of a IASI averaging kernel, regardless
of the instrument coverage. The averaging kernel only has a modest impact, while the
instrument coverage is the main factor influencing the ability of the assimilation to constrain wildfire emissions.

The advantage of the greater temporal sampling of IASI is also observed in Table 4 and Figure 6. The greater temporal sampling better captures the transport of wildfire emissions in comparison to MOPITT, while providing a greater number of measurements near the wildfire sources. Therefore, IASI provides an improvement in the ability to resolve the episodic wildfire sources.

549 4 Summary

In this study, we examined the ability of MOPITT and IASI CO observations to 550 constrain episodic boreal wildfire emissions using a case study of the 2017 Canadian wild-551 fires. Global CO emission sources were optimized using data assimilation of MOPITT 552 and IASI CO observations, respectively. As discussed in Section 3.1, the MOPITT and 553 IASI inversions produced generally consistent posterior emissions globally (see Table 1). 554 For the high-latitude boreal wildfire regions of BONA and BOAS, MOPITT a posteri-555 ori emissions were nearly identical to the a priori. The regional analysis presented in Sec-556 tion 3.2 of the high-latitude wildfire regions of BONA and BOAS suggest that both the 557 MOPITT and IASI inversions partially attribute wildfire emissions in these regions to 558 neighboring anthropogenic sources of North America and Europe, respectively. This re-559 sult indicates that both the MOPITT and IASI instruments are unable to accurately con-560 strain episodic boreal wildfire emissions. 561

The inability of MOPITT to constrain high-latitude wildfire emissions, while IASI provided some improvement, was further illustrated in the regional analysis of the 2017 Canadian wildfires of Section 3.3. Optimized wildfire emissions for the BC and NWT obtained from the MOPITT inversion were nearly identical to the a priori. In contrast, the IASI inversion yielded a slight reduction of the a posteriori wildfire emissions in BC and NWT from the a priori.

To identify the contribution of temporal converge and vertical sensitivity of each instrument, a series of OSSEs was performed as presented and discussed in Section 3.5.

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For the BC and NWT wildfire sources, it was apparent that the greater temporal sampling of IASI consistently yielded a posteriori estimates that most closely replicated the truth. It was also observed that the vertical sensitivity of each instrument only had a minor contribution to the optimized emissions, whereas the MOPITT averaging kernel had a slight improvement over IASI.

The results of this study indicate that to constrain high-latitude boreal wildfire emis-575 sions in a global CTM, the frequency and spatial density of the measurements is more 576 important than the surface sensitivity. However, the inherent model errors may also ad-577 versely impact the ability to accurately optimize high-latitude wildfire emissions. The 578 use of a higher-spatial resolution model would mitigate transport errors that are prone 579 at course resolution. In addition, the use of a high-resolution model will also serve to im-580 prove the accuracy of simulating smoke plume transport. The implementation of real-581 istic wildfire emission injection heights would also be beneficial to improve this aspect 582 of the model. Combined, these model improvements would enable more accurate esti-583 mates of wildfire emissions, while taking full advantage of IASI and future missions. 584

⁵⁸⁵ Appendix A Weak-constraint 4D-Var Data Assimilation

The 4D-Var assimilation scheme assumes the model is perfect and neglects the influence of model errors in the cost function. To account for the influence of model errors, a forcing term is added to the cost function of Equation 1:

$$J(\mathbf{x}_{0}, \mathbf{u}) = \sum_{i=1}^{N} \left[\mathbf{F}(\mathbf{x}) - \mathbf{z}_{i} \right]^{T} \mathbf{S}_{\sigma}^{-1} \left[\mathbf{F}(\mathbf{x}) - \mathbf{z}_{i} \right] + \left[\mathbf{x} - \mathbf{x}_{0} \right]^{T} \mathbf{B}^{-1} \left[\mathbf{x} - \mathbf{x}_{0} \right] + \sum_{i=1}^{N-1} \mathbf{u}_{i}^{T} \mathbf{Q}^{-1} \mathbf{u}_{i},$$
(A1)

where \mathbf{x} is the CO distribution, \mathbf{x}_0 is the initial CO distribution, \mathbf{B} is the a priori co-589 variance, u_i are the model forcing terms, and **Q** is the model a priori covariance matrix. 590 The forcing terms have been assumed to be uncorrelated in time and uncorrelated to the 591 estimated parameters and is updated every 6 days during the assimilation window. The 592 model a priori covariance matrix \mathbf{Q} is assumed to be constant. It should be noted that 593 the terms the Equations 1 and A1 are nearly identical and therefore in the context of 594 chemical data assimilation the forcing term may be thought of as artificial sources and 595 sinks throughout the atmosphere. The assimilation is performed over a 3-month assim-596

ilation window from 1 May - 1 July 2017 to generate an optimized CO distribution for
June 2017.

Column measurements of CO from MOPITT and IASI illustrate considerable dif-599 ferences as shown by George et al. (2015). Column differences between the MOPIT v5-600 TIR and the IASI v5T v20100815 retrievals are generally slightly higher over land with 601 bias ranging from 0 to 13%. The MOPITT-IASI bias also exhibited a seasonal and lat-602 itudinal dependence. Biases in the total column CO measurements of the MOPITT v8J 603 and IASI v20140922 have yet to be quantified. However, the initial model distribution 604 is optimized for each instrument therefore partially mitigating the impact of the instru-605 ment biases in the inversion. The optimized initial states for MOPITT and IASI are shown 606 in Figure A1. 607

Appendix B Consideration of Measurement Averaging Kernels

Averaging kernels represent the sensitivity of the measurement information content provided by the measurement or a priori. It is essential that the averaging kernel is taken into account for the assimilation scheme. For both the MOPITT and IASI inversions, the averaging kernels are applied as follows.

613 B1 MOPITT averaging kernels

The model state matching the MOPITT observation in both space and time is smoothed by the MOPITT averaging kernel:

$$\hat{x} = \boldsymbol{h}^T \boldsymbol{x}_a + \boldsymbol{a}^T \left[\log(\boldsymbol{x}) - \log(\boldsymbol{x}_a) \right], \tag{B1}$$

- where \hat{x} is the smoothed model column (in units of molec cm⁻²), **h** is the column op-
- $_{617}$ erator (in units of molec cm⁻²), **a** is the MOPITT total column averaging kernel (in units
- of molec cm⁻²), and \mathbf{x} and \mathbf{x}_a are the model and a priori MOPITT VMR profiles, re-
- spectively. Each vector has a length of 10 corresponding to the number of levels in the
- MOPITT retrieval grid. As such, the model profiles are first binned from their 47-layer
- ₆₂₁ grid to the 10-layer MOPITT grid.



Figure A1. 1 June 2017 daily-mean a priori (top) and a posteriori (middle) CO states and a posteriori-a priori relative difference for the MOPITT and IASI CO state optimizations.

622 B2 IASI Averaging Kernels

For IASI, the averaging kernel is applied in a similar method to MOPITT. The model column is smoothed by the IASI averaging kernel:

$$\hat{x} = x_a + \boldsymbol{a}^T \left[\boldsymbol{x} - \boldsymbol{x}_a \right], \tag{B2}$$

where \hat{x} is the smoothed model column (in units of molec cm⁻²), **a** is the IASI total col-

- umn averaging kernel (unitless), \boldsymbol{x} and \boldsymbol{x}_a are the model and IASI a priori partial col-
- $_{627}$ umn profiles (in units of molec cm⁻²), respectively. Each vector has a length of 19 cor-
- responding to the number of levels in the IASI retrieval grid. As such, the model pro-
- files are first binned from their 47-layer grid to the 19-layer IASI grid.

630 Appendix C Sensitivity of Inversion to Boreal Wildfire Injection Heights

631	Since wildfire emissions are commonly injected into the free troposphere, the as-
632	sumption of emissions being distributed through the planetary boundary layer may not
633	always be realistic. This assumption may also contribute further model plume transport
634	errors in addition to the inherent model transport errors. To investigate the influence
635	of wildfire emission injection heights, we performed a sensitivity test by injecting emis-
636	sions based on archived plume injection heights from the GFASv1.2 emission inventory $% \mathcal{A}$
637	mean altitude of maximum injection (MAMI) product. Modeled emissions were then dis-
638	tributed evenly from the surface to the daily maximum MAMI value in each $4^{\circ} \times 5^{\circ}$ hor-
639	izontal grid box. The results of MOPITT and IASI inversions are tabulated in Table C1.

		J uly 2017			
Emissions [Tg/month]	North America Anthro.	North America BB	BC	TWN	
a priori	5.5(0)	7.1(0)	2.2(0)	0.8(0)	
MOPITT a posteriori	4.7 (0.2)	6.7(0)	$2.1 \ (0.1)$	0.8(0)	
IASI a posteriori	4.3 (0.1)	6.5 (-0.3)	$2.1 \ (0.3)$	0.8(0)	
		August 2017			
Emissions [Tg/month]	North America Anthro.	North America BB	BC	TWN	
a priori	5.5(0)	16.1 (0)	$3.1 \ (0)$	7.9(0)	
MOPITT a posteriori	5.4(-0.4)	$16.3 \ (-0.1)$	3.5(-0.2)	$7.7\;(0.2)$	
IASI a posteriori	4.3(-0.1)	$15.0\ (1.1)$	2.9(0.1)	$6.5\;(1.1)$	

Northwest Territories (NWT) for the sensitivity test with a priori BONA wildfire emissions injected to the GFAS MAMI values. Differences in the emissions from Table C1. A priori and a posteriori estimates for continental North American (NA) domain, and regional wildfire sources of British Columbia (BC) and the the true case of Table 3 are shown in parentheses.

Appendix D Sensitivity of the Inversion to A Priori Boreal Wildfire Emissions

In the inversion system, the a posteriori emission estimates are sensitive to the a 642 priori. In the case of boreal wildfire emissions, these episodic events occur on short-term 643 timesecales, on the order of several weeks and unlike anthropogenic emissions, boreal wild-644 fires are subject to a high degree of variability. Total monthly emissions from boreal wild-645 fires may be comparable to or exceed those of nearby anthropogenic sources. The com-646 bination of the measurement uncertainty and model errors contribute to model noise, 647 and as such, the wildfire emission signal may be comparable to the model noise. As a 648 result, the inversion may lack the sensitivity to effectively constrain these emissions. 649

To examine the sensitivity of the MOPITT and IASI inversions to boreal wildfire emissions, we perform a sensitivity experiment to quantify this impact. To do so, BONA wildfire emissions are scaled by a factor of 2 for the assimilation window from 1 June -1 October 2017. As the perturbed emissions may be unphysically large, the global a priori covariance was also increased from 50% to 100%. The inversion results are tabulated in Table D1.

		July 2017			
Emissions [Tg/month]	North America Anthro.	North America BB	BC	TWN	
a priori	5.5(0)	$13.6\;(6.5)$	4.2(2.2)	1.6(0.8)	
MOPITT a posteriori	3.4(-1.1)	$10.6 \; (3.7)$	3.0(0.8)	$1.4\ (0.6)$	
IASI a posteriori	4.2(-0.1)	$9.1 \ (2.3)$	$3.1 \ (0.7)$	$1.2 \ (0.5)$	
		August 2017			
Emissions [Tg/month]	North America Anthro.	North America BB	BC	TWN	
a priori	5.5(0)	$31.6\ (15.6)$	$6.3 \; (3.1)$	15.8(7.9)	
MOPITT a posteriori	5.4(-0.5)	26.3(9.8)	4.9(1.3)	$13.2\ (5.5)$	
IASI a posteriori	6.5(-0.1)	17.9(4.0)	4.0(1.2)	4.7 (-0.8)	

Northwest Territories (NWT) for the sensitivity test with a priori BONA wildfire emissions being scaled by a factor of 2. Differences in the emissions from the true Table D1. A priori and a posteriori estimates for continental North American (NA) domain, and regional wildfire sources of British Columbia (BC) and the case of Table 3 are shown in parentheses.

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Data Availability: MOPITT Version 8 data are freely available at https://earthdata 679 .nasa.gov/. The IASI Level-2 CO data are provided by the Aeris data infrastructure 680 and are available at https://www.aeris-data.fr/. The NDACC CO measurements are 681 available from the NDACC database hosted by NOAA at https://www-air.larc.nasa 682 .gov/missions/ndacc/data.html and the Eureka TCCON measurements are available 683 at https://tccondata.org. The authors acknowledge the use of the GFASv1.2 emis-684 sions inventory, which contains modified Copernicus Atmosphere Monitoring Service in-685 formation (2018). The GEOS data used in this study have been provided by the Global 686 Modeling and Assimilation Office (GMAO) at NASA Goddard Space Flight Center. 687

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