Incoherency in Central American hydroclimate proxy records spanning the last millennium

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Abstract

Continued global warming is expected to result in drying of Central America, with projections suggesting a decrease in precipitation. Poor hindcasting of precipitation, however, due in part to spatial and temporal limitations in instrumental data, subjects these projections to considerable uncertainty. Paleoclimate proxy data are therefore critical for understanding regional climate responses during times of global climate reorganization. Here we present two lake-sediment based records of precipitation variability in Guatemala along with a synthesis of Central American hydroclimate records spanning the last millennium (800-2000 CE). The synthesis reveals that regional climate responses have been strikingly heterogeneous, even over relatively short distances. Our analysis further suggests that shifts in the mean position of the Intertropical Convergence Zone, which have been invoked by numerous studies to explain variability in Central American and circum-Caribbean proxy records, cannot alone explain the observed pattern of hydroclimate variability. Instead, interactions between several ocean-atmosphere processes and their disparate influences across variable topography have resulted in complex precipitation responses. These complexities highlight the difficulty of reconstructing past precipitation changes across Central America and point to the need for additional paleo-record development and analysis before the relationships between external forcing and hydroclimate change can be robustly determined. Such efforts should help anchor model-based predictions of future responses to continued global warming.

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2	millennium
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11	
12	Key Points:
13 14	• We developed two precipitation proxy records from Central America and compared several hydroclimate records from the region.
15	• We found that precipitation patterns in Central America are highly heterogenous.
16 17 18	• Disparities among the precipitation records are a result of complex interactions between several ocean-atmosphere processes.

19 Abstract

Continued global warming is expected to result in drying of Central America, with 20 projections suggesting a decrease in precipitation. Poor hindcasting of precipitation, however, due 21 in part to spatial and temporal limitations in instrumental data, subjects these projections to 22 considerable uncertainty. Paleoclimate proxy data are therefore critical for understanding regional 23 24 climate responses during times of global climate reorganization. Here we present two lakesediment based records of precipitation variability in Guatemala along with a synthesis of Central 25 American hydroclimate records spanning the last millennium (800-2000 CE). The synthesis 26 reveals that regional climate responses have been strikingly heterogeneous, even over relatively 27 short distances. Our analysis further suggests that shifts in the mean position of the Intertropical 28 Convergence Zone, which have been invoked by numerous studies to explain variability in Central 29 American and circum-Caribbean proxy records, cannot alone explain the observed pattern of 30 hydroclimate variability. Instead, interactions between several ocean-atmosphere processes and 31 their disparate influences across variable topography have resulted in complex precipitation 32 responses. These complexities highlight the difficulty of reconstructing past precipitation changes 33 across Central America and point to the need for additional paleo-record development and analysis 34 before the relationships between external forcing and hydroclimate change can be robustly 35 determined. Such efforts should help anchor model-based predictions of future responses to 36 37 continued global warming.

38 Plain Language Summary

39 During the last 40 years, Central American precipitation has decreased substantially, creating problems for a region that depends heavily on agriculture. Records suggest, however, that 40 precipitation is not changing uniformly in the region. This differs from climate models that predict 41 uniform drying for the entire Central American region. We set out to investigate Central American 42 climate during the last 1200 years to see if this variability is consistent through time, using 43 precipitation records derived from sediment and speleothems. We acquired two new records from 44 lakes in Guatemala and compare them with existing records from Central America. Our analysis 45 indicates that precipitation has been highly variable across space and time. We suggest that 46 47 interactions between several atmospheric and oceanic processes produce complex spatiotemporal precipitation variability in the region. 48

49 **1 Introduction**

50 The last 40 years have been marked by a significant decrease in Central American precipitation (Anderson et al., 2019). Continued global warming will further influence moisture 51 availability in the region (Neelin et al., 2006; Almazroui et al., 2021), potentially through changes 52 in the width, strength and/or position of the Intertropical Convergence Zone (ITCZ; Byrne & 53 Schneider, 2016; Mamalakis et al., 2021). Limitations in regional instrumental data, however, have 54 prevented the robust characterization of precipitation changes in many areas of Central America 55 56 (CA), and have hindered assessments of climate model hindcasting of precipitation (Imbach et al., 2018). Simulations of future hydroclimate patterns exhibit significant inter-model heterogeneities 57 and fail to replicate the spatially complex precipitation patterns of present day (Christensen et al., 58 2007; Bhattacharya & Coats, 2020; Fig. 1, Supplementary Figure SF 1), which result from 59 interactions between several ocean-atmosphere processes (Martinez et al., 2019) and steep 60 topographic gradients (Waylen et al., 1996; Imbach et al., 2018). Paleoclimate proxy evidence 61

from Central America is therefore necessary for establishing a long-term perspective on hydroclimate variability that can inform model hindcasting and future projections.



Figure 1. Top: Map of Central America, the Caribbean, and northern South America showing mean annual precipitation based on three gridded data products (Willmott & Matsuura, 2001; Schneider et al., 2011; Harris et al., 2014) spanning the period 1966-2016 CE. Red squares show the location of proxy records mentioned in the text. Bottom: Map showing the mean rate of change in annual precipitation over the same time period with the same data. Note the large gradients in rate of change and mean precipitation in western Central America, especially in the region where large spatial heterogeneity is observed in the proxy records. For both maps, gridded data was interpolated and smoothed.

71 A substantial body of evidence points to a change in ITCZ dynamics during the last 72 millennium, especially during the Little Ice Age (LIA; ~1300 to 1850 CE), when the ITCZ is hypothesized to have shifted to a more southerly mean position (Haug et al., 2001; Hodell et al., 73 74 2005; Bird et al., 2011). Such an occurrence, were it to be conclusively identified in the paleoproxy data, would provide insight on CA hydroclimate responses to a potential future southward 75 shift in the ITCZ, which some models project should occur as temperatures increase globally 76 (Christensen et al., 2007; Mamalakis et al., 2021). However, proxy evidence from CA is 77 inconsistent, pointing to significant spatial variability in hydroclimate during the LIA. For 78 example, proxy records from the northern Yucatán Peninsula (Hodell et al., 2005) and northern 79 80 South America (Haug et al., 2001) indicate regional droughts at this time, suggesting a potential southward displacement of the rain belt, while proxy records from Belize (Asmerom et al., 2020), 81

the highland regions of Guatemala (Winter et al., 2020; Stansell et al., 2020), and central Mexico
(Lozano-García et al., 2007) do not support this pattern, implying a complex regional hydroclimate
response that ITCZ dynamics alone cannot explain. These contrasting results suggest that spatial
heterogeneity in precipitation variability could be a persistent feature of CA climate on decadal

- and longer timescales and that synoptic-scale processes, such as changes in ITCZ mean position,
- 87 can potentially produce incoherent hydroclimate responses across CA.

Here we present results from radiocarbon-dated sediment cores obtained from two lakes in 88 the Guatemalan lowlands, Lake Petén Itzá (LPI core) and Lake Izabal (LI core). Our results, 89 combined with a synthesis of proxy records from western CA, show evidence of spatially complex 90 patterns of hydroclimate change during the last millennium, especially during the LIA. We assert 91 that the combination of steep topographic gradients and the interaction between several ocean-92 atmosphere processes is the reason for the heterogenous pattern of hydroclimate variability. Our 93 analysis suggests that the development of additional paleoclimate records is needed to achieve 94 clarity on how precipitation patterns have varied in CA in response to external forcing and 95 synoptic-scale circulation changes. 96

97 2 Study Area and Modern Climatology

Modern precipitation in CA is influenced by the North Atlantic Subtropical High (NASH), 98 the ITCZ, the Caribbean Low-Level Jet (CLLJ), and changes in sea-surface temperatures (SSTs) 99 in both the Pacific and Atlantic basins (Martinez et al., 2019; Fig. 2). The interaction of these 100 ocean-atmosphere processes along with large topographic gradients produces complex spatial 101 102 patterns of precipitation variability in the region (Fig. 1). For example, due to the high topography along the Caribbean coast of CA, the CLLJ promotes orographic uplift, increasing precipitation in 103 the area (Fig. 1). During the summer, easterly winds bifurcate in the western Caribbean Sea, 104 delivering moisture to the Yucatán Peninsula (Wang, 2007). During the winter, easterly winds 105 shift southward away from the Yucatán Peninsula and across the CA Isthmus towards the Pacific 106 Ocean, reducing precipitation in the Yucatán but, through orographic uplift, increasing 107 precipitation in the highland region of Guatemala (Martinez et al., 2019; Duarte et al., 2021). 108 Disparities between the influence of the CLLJ, the ITCZ, and the NASH, combined with 109 topographic complexities, has resulted in different rates of precipitation change across CA during 110 the last 50 years and in differing precipitation amounts at Izabal and Petén Itzá, with the former 111 receiving around twice as much (~3300 mm yr-1 versus ~1800 mm yr-1; Fig. 1). 112

Lake Petén Itzá is located in northern Guatemala (Fig. 1), having a surface area of 100 km^2 , an elevation of ~110 m above mean sea level, and a maximum depth of 160 m. The lake water is dominated by bicarbonate and sulfate, calcium, and magnesium ions (Hodell et al., 2008) with minimal river input. Lake Izabal is in the eastern lowlands of Guatemala at ~1.5 m above mean sea level, with a surface area of 672 km² and a maximum depth of 15 m. Lake Izabal contains fresh water (Brinson & Nordlie, 1975), and riverine input is significant due to its large catchment (8740 km²; Obrist-Farner et al., 2019).

120 **3 Materials and Methods**

121 2.1 Coring

122 Sediment cores were collected using two piston corers, one for unconsolidated mud-water interface (MWI) sediments (Fisher et al., 1992) and the other, a modified Livingstone corer, for 123 deeper, consolidated sediments (Deevey, 1965). The cores were collected during two field seasons 124 using a wooden platform mounted on two canoes. We collected two sediment cores, one from 125 Lake Petén Itzá (LPI core; 515 cm long) and one from Lake Izabal (LI core; 455 cm long; Fig. 1). 126 In Petén Itzá (16°56', 89°55'), the MWI core was collected from the side of the platform to a 127 128 sediment depth of 72 cm in 8.4 m of water. The core was extruded in the field at 2.0-cm intervals, and samples were placed in Whirl-Pak® bags. Next, a PVC casing pipe was lowered through a 129 hole in the center of the platform and forced into the sediment to a depth of 0.5 cm. Once the casing 130 was set and cleaned, six core sections were retrieved, to a depth of 515 cm. In Izabal (15°24', 131 89°16'), the MWI core was collected from the side of the platform to a sediment depth of 55 cm 132 in 5.7 m of water. The core was extruded in the field at 3.0-cm intervals, and samples were placed 133 in Whirl-Pak® bags. Next, a PVC casing pipe was lowered through a hole in the center of the 134 platform and forced into the sediment to a depth of 0.5 m. Once the casing was set and cleaned, 135 four core sections were retrieved, to a depth of 455 cm. Sediment cores were kept inside the 136 polycarbonate core barrels and transported to Missouri University of Science and Technology for 137 further analysis. 138



Figure 2. Correlation maps of annual (top), April to September (middle), and October to March (bottom) precipitation
 amounts and climate indexes including NAO (left) and Niño3 SSTs (right). Precipitation data are based on three ridded
 data products spanning 1966-2016 CE (Willmott & Matsuura, 2001; Schneider et al., 2011; Harris et al., 2014). Black

hatches mark regions of significance (p < 0.1). Scale bars depict Pearson's r values.

143 2.2 Radiocarbon dating

We obtained accelerator mass spectrometry (AMS) radiocarbon dates from both cores
 using charcoal and terrestrial wood fragments. Charcoal and wood fragments were washed using

deionized water and submitted to the Center for Accelerator Mass Spectrometry at Lawrence 146 Livermore National Laboratory and to the National Ocean Sciences Accelerator Mass 147 Spectrometry (NOSAMS) Facility at Woods Hole Oceanographic Institution. All samples were 148 first treated with a standard acid-base-acid treatment, graphitized, and their radiocarbon 149 concentrations measured via Accelerator Mass Spectrometry. Radiocarbon results were calibrated 150 with OxCal 4.4 (Bronk Ramsey, 2009) using IntCal20 (Reimer et al., 2020). We established age-151 depth models with the Bayesian software Bacon (Blaauw & Christen, 2011) for the LPI and LI 152 cores (SF2, SF3) using five and seven radiocarbon dates, respectively (ST1, ST2). 153

154 2.3 Core scans and photographs

155 Cores were scanned using a GEOTEK Multi-sensor core logger at the University of Florida 156 and at LacCore facilities at the University of Minnesota. Cores were first scanned for magnetic 157 susceptibility and density and then opened and split in half to obtain line-scan photographs. 158 Sedimentological observations for both cores were carried out on split core surfaces with the aid 159 of the line-scan photographs (Schnurrenberger et al., 2003). Bed color, sedimentary texture and 160 structure, as well as bedding planes were observed and recorded at 1-cm intervals.

161 The split cores from both locations were analyzed at the Large Lakes Observatory, University of Minnesota, Duluth, USA, using an ITRAX XRF core scanner using a Cr source tube 162 at 30 kV and 55 mA at 5-mm resolution with a 15-second dwell time (SF4, SF5). Raw data were 163 reprocessed to optimize peak-fitting, using QSpec 8.6.0 software (ST3, ST4). In addition, X-164 radiographs were collected using a Cr source tube run at 60 kV and 30 mA, with variable exposure 165 times, depending on the sediment density. We performed principal component analysis (PCA) in 166 ©MATLAB to investigate the relationship between elements, which allows to represent a 167 multivariate data set as a smaller set of variables to interpret trends or changes in the sediment 168 cores through time. Before PCA analysis, all elemental counts were standardized (converted to z-169 170 scores) to avoid confounding effects of dimensional heterogeneity. We utilized the combination of elemental abundances, elemental ratios, and PCA analysis to infer changes in lake catchment 171 and in-lake processes. Elemental ratios discussed in the text are presented on a logarithmic scale 172 due to the asymmetry associated with ratios. Finally, we did not carry out XRF analyses on the 173 upper 50 cm of both cores due to the confounding effects of high water content on XRF analysis 174 (MacLachlan et al., 2015). 175

176 2.4 Proxy data age-depth modeling and uncertainty analysis

We assembled eleven hydroclimate proxy records from lake sediment cores, marine 177 sediment cores, and speleothems, and focused our analysis on 800 to 2000 CE. All proxy records 178 were obtained from the NOAA/World Data Service for Paleoclimatology archives website 179 (https://www.ncei.noaa.gov/access/paleo-search/). For all proxy records, we obtained the 180 published radiocarbon (sediment cores) and U-Th (speleothems) dates and their uncertainties and 181 utilized Bacon (Blaauw & Christen, 2011) to generate age-depth relationships (SF 2, 3, 8-16). For 182 all proxy sites, an additional date was introduced to constrain the most recent year of the record 183 184 (i.e., the year of sample collection). For the radiocarbon-based age-depth models, we used IntCal20 (Reimer et al., 2020) to calibrate the dates. For the Cariaco Basin marine record, we used the 185 published calibrated ages because the radiocarbon ages and the associated uncertainties were not 186 187 available. For U-Th speleothem models, we used the calibrated U-Th ages and their uncertainties.

Bacon (compiled in R) uses the radiocarbon dates and the other age-control points (e.g., date of core collection or U-Th dates) to model sedimentation rates for sediment cores and growth rates

190 for speleothems and provides age uncertainty quantification.

For the uncertainty analysis, 1000 age-depth pairs were obtained from Bacon, allowing us 191 192 to generate 1000 age-proxy pairs for each record. We utilized the 1000 age-proxy pairs and resampled them at 5-year intervals using a linear interpolation. We used ©MATLAB to assemble 193 age-model iterations for each proxy site, resulting in a range of proxy values for a specific modeled 194 195 age (SF 17-27). We used the 1000 age-proxy pairs and calculated correlation coefficients and significance (p-values) and report the mean and 1σ for correlation values and the mean p-value. 196 We used Bretherton's et al. (1999) formula for the effective sample size (ESS) given two time 197 series X and Y (their equation 30): 198

199
$$ESS = N \frac{1}{\sum_{i=-(N-1)}^{(N-1)} \left(1 - \frac{|i|}{N}\right) \rho_i^X \rho_i^Y}$$

where N is the number of matching samples in both series, and the ρ i's are the ith-lag autocorrelation coefficients of the individual time series. This is the more general expression of their equation 31:

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204
$$ESS = N \frac{1 - \rho_1^X \rho_1^Y}{1 + \rho_1^X \rho_1^Y}$$

which is only valid when ρ_1^X , $\rho_1^Y \ll 1$.

3 Results and Interpretations

For both studied cores, the ages of the terrestrial wood fragments are in stratigraphic order. The 515 cm-long LPI core covers the last ~7000 years (Obrist-Farner & Rice, 2019; SF 2, ST 1), while the 455 cm-long LI core is much shorter, covering the last ~1400 years (Hernández et al., 2020; SF 3, ST 2). Our results utilize the weighted mean modeled ages for both cores and are focused on the uppermost 50 to 115 cm (800 to 1585 CE) from the LPI core and on the segment between 55 and 435 cm (800 to 1926 CE) from the LI core.

The 65-cm-long segment of the LPI core is characterized by thinly bedded carbonaceous 213 mud that alternates in color between dark and light gray (Obrist-Farner & Rice, 2019). The mud 214 contains variable amounts of gastropod shells and scattered organic debris. PCA analysis of 215 elemental abundances shows that PC1 predominantly captures variability in terrigenous elements 216 217 derived from bedrock erosion (Ti, Al, Fe, K, and Si). The first principal component (PC1) explains 62.2% of the variance in the LPI data (Fig. 3), and we infer that changes in the PC1 score through 218 time indicate changes in catchment erosion and runoff (e.g., Kylander et al., 2011; Davies et al., 219 2015; Duarte et al., 2021). The second principal component (PC2) explains variations related 220 mostly to Sr and Ca (Fig. 3) and explains an additional 19.0% of the total variance. We infer that 221 changes in PC2 scores reflect the presence of evaporites, potentially during times of low lake 222

levels, reduced precipitation, and/or increased evaporation (e.g., Mueller et al., 2009; Kylander et al., 2011; Davies et al., 2015; Fig. 3). Similarly, we utilize the ratio of Ca over Ti as a proxy for
increased evaporation (e.g., Mueller et al., 2009; Kylander et al., 2011; Davies et al., 2015), in
support of our PC2 results.

From ~800 to ~1200 CE, elemental abundances from terrigenous elements (e.g., Ti, Al, 227 and Si) decrease along with PC1 (SF4, ST3), indicating reduced catchment erosion for Lake Petén 228 Itzá (Fig. 3). During this time, there is a slight increase in Ca and S, in PC2 scores, and in the 229 log(Ca/Ti) ratio. After ~1200 CE, Ti, Al, Si, and K decrease and PC1 scores decline rapidly, while 230 PC2 scores and the log(Ca/Ti) ratio exhibit a pronounced increase. These results suggest an 231 increase in evaporation at Lake Petén Itzá that peaked at ~1320 CE. After ~1320 CE, terrigenous 232 elemental abundance and PC1 scores remain low, and both PC2 scores and the log(Ca/Ti) ratio 233 decrease toward the uppermost part of the interval, indicating continued low catchment erosion 234 and a decrease in evaporation. 235



Figure 3. Principal component analysis for selected elemental abundances and time series data (black lines) and 10point running mean (red lines) showing PC1 scores, log(Ca/Ti), and PC2 scores from lakes Petén Itzá and Izabal. See

238 SF 4 and SF 5 for additional results.

The 400-cm-long segment of the LI core is characterized by homogeneous olive gray silty 239 mud that is faintly laminated and very thinly bedded with minimal organic debris (Hernández et 240 al., 2020). The PCA results show that PC1, which explains 59.2% of the variance, mainly captures 241 variability in Ti, Al, Si, Fe, and K (Fig. 3). We infer that positive PC1 scores indicate an increase 242 in catchment erosion and runoff (e.g., Kylander et al., 2011; Davies et al., 2015; Duarte et al., 243 2021; Fig. 3). PC2 for the LI core is mostly related to changes in Sr and S and explains 12.9% of 244 the total variance. The processes that PC2 reflect at Lake Izabal are ambiguous because S and Sr 245 in the lake can be related to evaporation, marine water transgression, or redox processes (Duarte 246 et al., 2021; Obrist-Farner et al., 2022). 247

From ~800 to ~1140 CE, there is a decrease in both PC1 and terrigenous elemental 248 abundances, such as Ti, Al, K, and Si (Fig. 3, SF 5, ST 4). Similarly, there is an increase in the 249 log(Ca/Ti) ratio and a decrease in PC2 scores. From ~1140 to ~1410 CE, there is an increase in 250 PC1 scores and a decrease in the log(Ca/Ti) ratio, while PC2 scores are variable but generally 251 increase. These results suggest a decrease in catchment erosion and runoff in the Lake Izabal area 252 from ~800 to 1140 CE, followed by an increase from 1140 to 1410 CE. After ~1410 CE, PC1 253 scores decrease and the log(Ca/Ti) ratio increases, supporting a decrease in catchment erosion and 254 runoff. PC2 scores are highly variable after ~1410 CE. 255

256 4 Discussion

257 There are at least two processes that could potentially explain the spatiotemporal variability in the proxy data from Petén Itzá and Izabal. First, changes in catchment erosion could have 258 resulted from agricultural practices and deforestation in the catchments of both lakes. 259 Paleolimnological investigations in Guatemala and the Yucatán Peninsula have shown that there 260 was a significant increase in catchment erosion during times of increased agricultural activities in 261 the area, especially during the apogee of the Maya civilization (Brenner et al., 2002). Human 262 settlements in the region were at their maximum extent at ~800 CE, resulting in significant erosion, 263 as observed in sediment cores from many Petén lakes (Brenner et al., 2002). The collapse and 264 disintegration of large cities led to rapid forest recovery (Curtis et al., 1998) with a coeval decrease 265 in catchment erosion after ~1000 CE. Our Lake Petén Itza record indicates a decrease in erosion 266 in the area starting at ~1200 CE with a minimum in catchment erosion at ~1350 CE (95% range 267 1230-1420), while the Izabal record indicates an increase in erosion at ~1140 CE with a maximum 268 occurring at ~1410 CE (95% range 1300-1440). Although deforestation and agricultural practices 269 undoubtedly had some influence, it is unlikely that the observed differences in the two records are 270 solely due to these processes. 271

A second possible mechanism for explaining the differences in the Petén Itzá and Izabal 272 records is that the inferred changes in catchment erosion, as well as changes in evaporation, 273 resulted from different hydroclimate patterns at the two locations. For example, the decrease in 274 erosion and increase in evaporation from 800 to ~1100 CE in both records could reflect a decrease 275 in precipitation and increase in evaporation during the well-known Maya droughts (Hodell et al., 276 1995; Kennett et al., 2012). Between 800 to 1100 CE the two lake records are similar to other 277 paleoclimate datasets from Guatemala, Belize, and the Yucatán Peninsula that suggest a decrease 278 in precipitation at that time (e.g., Douglas et al., 2016). However, after this interval, the records 279 diverge, with the Petén data indicating a decrease in erosion at ~1350 CE and the Izabal record 280 showing a maximum in erosion at ~1410 CE that is highly unlikely to have resulted from human 281

disturbance given the known decline in major Mayan population centers well before this time. This
 difference instead suggests spatially complex and inconsistent hydroclimate in western CA,
 especially after 1100 CE.

Comparison of paleoclimate records from CA reveals that, much like with Izabal and Petén 285 Itzá, inconsistency between records is the norm, even over relatively short distances and especially 286 during the LIA (Figs. 4, 5). Notably, assessment of the relationship between proxy records is made 287 difficult by the uncertainties in radiocarbon and U-Th age-depth models and by the inherent 288 289 differences in each of the proxy systems. For example, lakes of different sizes and degrees of hydrological closure should be expected to respond differently to changes in hydroclimate, and 290 lake sediment archives from closed-basin settings will not capture the same information as 291 speleothem isotope records, with the former reflecting the balance between evaporation and 292 precipitation and the latter typically reflecting precipitation amount (Hodell et al., 1995; Lachniet, 293 2009). However, the substantial disparities between the proxy records exist even when comparing 294 only speleothem records (SF 6), when comparing records from similar lacustrine systems (SF 7), 295 and when considering age-depth model uncertainties (Figs. 4, 5). For example, the YOK-G 296 speleothem δ^{13} C record from Belize (Asmerom et al., 2020; ~70 km north from Izabal) is 297 negatively correlated with Izabal (r = -0.44 ± 0.08 ; Fig. 5) and indicates wetter than average 298 conditions from ~1400 to ~1850 CE, while both Izabal and the YOK-I speleothem δ^{18} O record 299 (Kennett et al., 2012) are positively correlated ($r = 0.30 \pm 0.06$) and indicate peak precipitation at 300 1300-1410 CE and dryer conditions thereafter (Fig. 4). The Yok Balum records themselves exhibit 301 a weak negative correlation (r = -0.20 ± 0.05 ; Fig. 5), indicating that these two speleothem records 302 from the same cave are not consistent. Additionally, the δ^{18} O record from Lake Kail in the western 303 highlands (Stansell et al., 2020; ~250 km west from Izabal) and the Rey Marcos speleothem in the 304 central highlands of Guatemala (Winter et al., 2020; ~100 km west from Izabal) do not exhibit 305 significant correlations with Izabal or Petén Itzá (Figs. 4, 5) and indicate minimal change in 306 precipitation and a reduction in precipitation at ~1350 CE, respectively. The δ^{18} O record from 307 308 Lake Punta Laguna (Curtis et al., 1996) is negatively correlated with Izabal ($r = -0.29 \pm 0.10$) and Petén Itzá (r = 0.20 ± 0.14 ; Figs. 4, 5) and indicates less precipitation between ~1150 and ~1400 309 CE and wetter conditions thereafter. In contrast, the Aguada X'caamal δ^{18} O record (Hodell et al., 310 2005; ~240 km west from Lake Punta Laguna) is positively correlated with Izabal ($r = 0.50 \pm 0.10$) 311 and Petén Itzá (r = 0.52 ± 0.16 ; Figs. 4, 5) and indicates persistently dry conditions after ~1250 312 CE. The Tzabnah Cave Chaac δ^{18} O record from the Yucatán Peninsula (Medina-Elizalde et al., 313 2010; ~40 km northeast from Aguada X'caamal) is negatively correlated with Izabal (r = $-0.20 \pm$ 314 0.09) and Petén Itzá (r = -0.13 ± 0.13) and indicates alternating dry and wet periods during the 315 LIA. 316

Comparison between more distant records also supports our inference of profound 317 hydroclimate heterogeneity in CA. The δ^{18} O record from Lake El Gancho in Nicaragua (Stansell 318 et al., 2013) is positively correlated with Izabal ($r = 0.44 \pm 0.12$; Fig. 5) and weakly correlated with 319 Petén Itzá (r = 0.30 ± 0.18 ; Fig. 5) and indicates one short wet period between ~1400-1550 CE 320 within an overall drying trend (Figs. 4, 5), similar to the observations from the Izabal and the YOK-321 I speleothem records. The onset of drying inferred from the Izabal record and the Cariaco Basin 322 Ti record (Haug et al., 2001) are similar ($r = 0.33 \pm 0.14$; Fig. 5); however, Petén Itzá indicates a 323 reduction in precipitation at ~ 1350 CE, ~ 400 years earlier than the driest time in northern 324 Venezuela (Fig. 4). Out of the 11 proxy records analyzed, only two of 55 cross-correlations are 325

statistically significant (p < 0.1; Figs. 4, 5; see Supplementary Material), highlighting the significant inconsistencies in regional hydroclimate proxy records.

It is difficult to reconcile the distinct differences in hydroclimate proxy records from CA by invoking changes in ITCZ dynamics alone. A southward shift in the ITCZ during the LIA (Haug et al., 2001; Hodell et al., 2005) would have produced persistent regional droughts during boreal summer if changes in the mean zonal position of the ITCZ were the only factor. Our analysis does not support this inference, indicating that other ocean-atmosphere processes as well as local climate controls related to complex topography must be responsible for the complex, regionally heterogeneous hydroclimate changes observed in the CA proxy records.



Figure 4. Proxy time series (gray lines) and 10-point running mean (colored lines) from A) Lake Petén Itzá, B) Cariaco
Basin, C) Chaac speleothem, D) Aguada X'caamal, E) Lake Punta Laguna, F) Lake El Gancho, G) Yok Balum YOKG, H) Rey Marcos, I) Lake Kail, J) Yok Balum YOK-I, and K) Lake Izabal. Numbers show mean correlation
coefficient values, 1σ, and p-values (see Figure 5) for the time series versus Izabal (upper numbers) and Petén Itzá
(lower numbers).

Asmerom et al. (2020) hypothesized that during the LIA, the ITCZ became wider and 340 weaker, resulting in a decrease in precipitation in northern Venezuela and in an increase along the 341 northern flanks of the rain belt. However, the drying trend after the onset of the LIA inferred from 342 Izabal and the YOK-I speleothem (Fig. 4) does not support this hypothesis. That the ITCZ became 343 wider/weaker during the LIA also fails to explain how the Petén region could have become drier 344 at this time, as suggested by the proxy data from Lake Petén Itzá and from Aguada X'caamal. An 345 alternative hypothesis is that moisture availability in western CA was affected by SST gradients 346 between the Pacific and Atlantic oceans (Metcalfe et al., 2015; Bhattacharya & Coats, 2020) 347 through changes in the El Niño Southern Oscillation (ENSO) and the North Atlantic Oscillation 348 (NAO). Modern precipitation analysis indicates that positive ENSO events result in reduced 349 precipitation across the entire Pacific coast of Central America (Dai & Wigley, 2000), whereas 350 positive NAO conditions result in an increase in precipitation along the eastern coast of Guatemala, 351 Belize, and the Yucatán Peninsula (Stansell et al., 2020; Fig. 2). During the beginning of the last 352 millennium, La Niña-like conditions (Cobb et al., 2003) and a more positive NAO (Mann et al., 353 2009) would have produced a wetter climate in both the Pacific coast of Central America and on 354 the eastern coast of Guatemala, Belize, and the Yucatán Peninsula. In contrast, during the LIA, a 355 change to El Niño-like conditions and a more negative NAO (Cobb et al., 2003; Mann et al., 2009) 356



- would have resulted in a drier climate across almost all of CA. Our proxy record synthesis indicates
- a regionally incoherent pattern of hydroclimate change during the last millennium, and especially during the LIA, that likely was not predominantly controlled by any one of these processes, and instead suggests that a combination of factors controls hydroclimate patterns in CA on multidecadal and longer timescales.
- 362 **Figure 5.** Matrix showing the range of correlation values (upper right) for all proxy record realizations utilizing
- 363 1000 age-proxy pairs (see supplementary information). The diagonal quantifies the uncertainties in the age-depth
- model; for example, an age-depth model with no uncertainty would have correlation equal to 1. Each distribution
- represents how correlated each pair of sets of 1000 age-proxy realizations are to each other (see Supplementary

Material). The lower left shows mean correlation values, $\pm 1\sigma$, and mean p-values for the records analyzed. The $\delta^{18}O$

records been multiplied by negative one so that positive correlation indicates consistent behavior between proxysites.

One potential mechanism that could further explain the CA proxy record patterns is a 369 change in the intensity of the CLLJ along with topographic controls on moisture delivery to the 370 region. Expansion of the western edge of the NASH could have resulted in a diversion of the CLLJ, 371 the main source of moisture to western CA (Hastenrath, 1984). A southward shift in the CLLJ, 372 combined with steep topography along the Caribbean coast, would have the potential to produce 373 an increase in precipitation at Izabal through enhanced convergence via orographic uplift along 374 with a reduction in precipitation at Petén Itzá. Alternatively, changes in Caribbean SSTs and the 375 Atlantic Warm Pool could have resulted in an increase in moisture availability (Winter et al., 2020; 376 Duarte et al., 2021) and through orographic uplift, increase precipitation along the Caribbean coast 377 and central highlands of Guatemala. Both mechanisms, however, still do not explain why records 378 in the Yucatán Peninsula and other regions of Guatemala show disparate hydroclimate signals over 379 380 the last millennium and in particular the LIA (Figs. 4, 5).

381 **5 Conclusions**

Our results highlight that interactions between numerous ocean-atmosphere processes, 382 including the CLLJ, NAO, ENSO, and changes in ITCZ dynamics, as well as the effects of 383 topography, make it difficult to understand external forcing impacts on hydroclimate in CA. 384 Modern-day precipitation patterns are also spatially complex, with large differences in 385 precipitation amounts over short distances, especially along the mountain ranges and coasts of CA. 386 The available hydroclimate proxy data do not support a simple explanation for hydroclimate 387 variability during the last millennium, in particular that ITCZ dynamics (i.e., changes in latitudinal 388 mean position, width and/or strength) were the main driver of hydroclimate change in the absence 389 of other major controlling factors. Instead, the proxy data suggest that several processes must have 390 interacted to produce the inferred precipitation patterns across the northern tropical rainbelt. 391 Additional proxy records from previously unexplored regions, such as the highland region and 392 Pacific and Caribbean coasts of CA could help clarify and disentangle the influence of the various 393 ocean-atmosphere circulation mechanisms on CA hydroclimate. 394

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402 Data Availability

Data for replicating the results of this study are available as supplementary files.
 Additional paleoclimate proxy datasets are available at the National Oceanic and Atmospheric

Administration National Centers for Environmental Information paleoclimate data repository
 (https://www.ncei.noaa.gov/products/paleoclimatology).

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Paleoceanography and Paleoclimatology

Supporting Information for

Incoherency in Central American hydroclimate proxy records spanning the last millennium

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Additional Supporting Information (Files uploaded separately)

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- Table S2. Radiocarbon dates for the core from Lake Izabal.
- Table S3. Elemental abundances for the Petén Itzá core in counts per second.
- Table S4. Elemental abundances for the Izabal core in counts per second.

Introduction

This document contains supplementary figures and references as supporting information. Additional data tables are provided as separate excel files. The methodology used to generate the following figures is described in the main text of the manuscript.



Figure S1. Maps of Central America, the Caribbean, and northern South America showing mean monthly precipitation based on three gridded data products (Willmott & Matsuura, 2001; Schneider et al., 2011; Harris et al., 2014) spanning the period 1966-2016 CE.



Figure S2. Age-depth model for Lake Petén Itzá (this study; Obrist-Farner & Rice, 2019). All radiocarbon dates were obtained from charcoal/wood fragments. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. All dates were calibrated using IntCal20 (Reimer et al., 2020). The age-depth model was generated using Bacon (Blaauw & Christen, 2011). Raw data provided in supplementary table 1.



Figure S3. Age-depth model for Lake Izabal (this study; Hernández et al., 2020). All radiocarbon dates were obtained from charcoal/wood fragments. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. All dates were calibrated using IntCal20 (Reimer et al., 2020). The age-depth model was generated using Bacon (Blaauw & Christen, 2011). Raw data provided in supplementary table 2.



Figure S4. Proxy data versus age for the Lake Petén Itzá core. Figure shows elemental abundances in counts per second (cps). The gray line shows the unfiltered data and the black lines show the 10 point moving average. Raw data provided in supplementary table 3.



Figure S5. Proxy data versus age for the Lake Izabal core. Figure shows elemental abundances in counts per second (cps). The gray line shows the unfiltered data and the black lines show the 10 point moving average. Raw data provided in supplementary table 4.



Figure S6. Matrix showing the range of correlation values (upper right) for all speleothem record realizations used in this study utilizing 1000 age-proxy pairs. The diagonal quantifies the uncertainties in the age-depth model; for example, an age-depth model with no uncertainty would have correlation equal to 1. The distribution represents how correlated the 1000 age-proxy realizations are to each other (see Supplementary Material). The lower left shows mean correlation values, $\pm 1\sigma$, and mean p-values for the records analyzed. The δ^{18} O records been multiplied by negative one so that positive correlation indicates consistent behavior between proxy sites.



Figure S7. Matrix showing the range of correlation values (upper right) for all small and closed lacustrine basin record realizations used in this study utilizing 1000 age-proxy pairs. The diagonal quantifies the uncertainties in the age-depth model; for example, an age-depth model with no uncertainty would have correlation equal to 1. The distribution represents how correlated the 1000 age-proxy realizations are to each other (see Supplementary Material). The lower left shows mean correlation values, $\pm 1\sigma$, and mean p-values for the records analyzed. The δ^{18} O records been multiplied by negative one so that positive correlation indicates consistent behavior between proxy sites.



Figure S8. Age-depth model for Aguada X'caamal (Hodell et al., 2005). All radiocarbon dates are from terrestrial organic material. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. All dates were calibrated using IntCal20 (Reimer et al., 2020). The age-depth model was generated using Bacon (Blaauw & Christen, 2011).



Figure S9. Age-depth model for the Cariaco Basin sediment core (Haug et al., 2001). All radiocarbon dates are from planktic foraminifera and corrected from the marine reservoir effect. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. The age-depth model was generated using Bacon (Blaauw & Christen, 2011).



Figure S10. Age-depth model for Lake El Gancho (Stansell et al., 2013). All radiocarbon dates were obtained from charcoal fragments. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. All dates were calibrated using IntCal20 (Reimer et al., 2020). The age-depth model was generated using Bacon (Blaauw & Christen, 2011).



Figure S11. Age-depth model for Lake Kail (Stansell et al., 2020). All radiocarbon dates were obtained from charcoal and leaf fragments. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. All dates were calibrated using IntCal20 (Reimer et al., 2020). The age-depth model was generated using Bacon (Blaauw & Christen, 2011).



Figure S12. Age-depth model for Punta Laguna (Curtis et al., 1996). Radiocarbon dates were obtained from five terrestrial wood samples and four from shell material. Shell radiocarbon dates were corrected for hard water lake error for Punta Laguna. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. All dates were calibrated using IntCal20 (Reimer et al., 2020). The age-depth model was generated using Bacon (Blaauw & Christen, 2011).



Figure S13. Age-depth model for the Rey Marcos speleothem (Winter et al., 2020). The age model is based on 21 U/Th dates. radiocarbon dates were obtained from charcoal/wood fragments. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. The age-depth model was generated using Bacon (Blaauw & Christen, 2011). Model generated is only for the first 4000 years of the record.



Figure S14. Age-depth model for the Tzabnah speleothem (Medina-Elizalde et al., 2010). The age model is based on 12 U/Th dates. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. The age-depth model was generated using Bacon (Blaauw & Christen, 2011).



Figure S15. Age-depth model for the YOK-G speleothem (Asmerom et al., 2020). The age model is based on 52 U/Th dates. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. The age-depth model was generated using Bacon (Blaauw & Christen, 2011).



Figure S16. Age-depth model for YOK-I (Kennett et al., 2012). The age model is based on 40 U/Th dates. Red line shows the best-fit model based on weighted mean ages, and stippled gray lines show 95% confidence intervals. The age-depth model was generated using Bacon (Blaauw & Christen, 2011).



Figure S17. Median, 50% and 90% confidence bounds for the Aguada X'caamal δ^{18} O record (Hodell et al., 2005). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.



Figure S18. Median, 50% and 90% confidence bounds for the Cariaco Basin titanium record (Haug et al., 2001). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.



Figure S19. Median, 50% and 90% confidence bounds for the Lake El Gancho δ^{18} O record (Stansell et al., 2013). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.



Figure S20. Median, 50% and 90% confidence bounds for the Lake Izabal PC1 scores (this study). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.



Figure S21. Median, 50% and 90% confidence bounds for the Lake Kail δ^{18} O record (Stansell et al., 2020). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.



Figure S22. Median, 50% and 90% confidence bounds for the Lake Petén Itzá PC1 scores (this study). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.



Figure S23. Median, 50% and 90% confidence bounds for the Punta Laguna δ^{18} O record (Curtis et al., 1996). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.



Figure S24. Median, 50% and 90% confidence bounds for the Rey Marcos speleothem δ^{18} O record (Winter et al., 2020). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.



Figure S25. Median, 50% and 90% confidence bounds for the Tzabnah Cave speleothem δ^{18} O record (Medina-Elizalde et al., 2010). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.



Figure S26. Median, 50% and 90% confidence bounds for the Yok Balum YOK-G speleothem δ^{13} C record (Asmerom et al., 2020). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.



Figure S27. Median, 50% and 90% confidence bounds for the Yok Balum YOK-I speleothem δ^{18} O record (Kennett et al., 2012). The plot shows the range of proxy values for 1000 age-depth models (same set of models used for the correlation analysis shown in Figure 5). Note that the graph shows the unconditional probability at each time step. That is, the graph does not represent correlations between time steps.

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