Diffuse radiation forcing constraints on gross primary productivity and global terrestrial evapotranspiration

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Abstract

The diffuse radiation fertilization effect – the increase in plant productivity in the presence of higher diffuse radiation (K—,d) – is an important yet understudied aspect of atmosphere-biosphere interactions and can modify the terrestrial carbon, energy, and water budgets. The K—,d fertilization effect links the carbon cycle with clouds and aerosols, all of which are large sources of uncertainties for our current understanding of the Earth system and for future climate projections. Here we establish to what extent observational and modeling uncertainty in sunlight's diffuse fraction (kd) affects simulated gross primary productivity (GPP) and terrestrial evapotranspiration (λ E). We find only 48 eddy covariance sites with simultaneous sufficient measurements of K—,d with none in the tropical climate zone, making it difficult to constrain this mechanism globally using observations. Using a land modeling framework based on the latest version of the Community Land Model, we find that global GPP ranges from 114 Pg C year-1 when using kd forcing from the MERRA-2 reanalysis to a ~7% higher value of 122 Pg C year-1 when using the CERES satellite product, with especially strong differences apparent over the tropical region (mean increase ~9%). The differences in λ E, although smaller (-0.4%) due to competing changes in shaded and sunlit leaf transpiration, can be greater than regional impacts of individual forcing agents like aerosols. Our results demonstrate the importance of comprehensively and systematically validating the simulated kd by atmosphere modules as well as the response differences in diffuse fraction within land modules across Earth System Models.

Diffuse radiation forcing constraints on gross primary productivity and global terrestrial evapotranspiration – Supporting Information

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Figure S1 Response of net ecosystem productivity to inter-product diffuse fraction spread. Associations between net ecosystem productivity (NEP) and diffuse fraction (k_d) across different land model simulations forced using k_d from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, and (f) polar climate. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. The vertical error bars show the inter-annual standard error for the 10-year period.













Figure S2 Response of ecosystem respiration to inter-product diffuse fraction spread. Associations between ecosystem respiration (ER) and diffuse fraction ($k_{\rm d}$) across different land model simulations forced using $k_{\rm d}$ from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, and (f) polar climate. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. The vertical error bars show the inter-annual standard error for the 10-year period.









Figure S3 Response of latent heat flux to inter-product diffuse fraction spread. Associations between latent heat flux and diffuse fraction $(k_{\rm d})$ across different land model simulations forced using $k_{\rm d}$ from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) arid climate, (b) temperate climate, (c) boreal climate, and (d) polar climate. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. For temperate climate, (a) logarithmic fit and the associated equation is also noted (in red). The vertical error bars show the inter-annual standard error for the 10-year period.









Figure S4 Response of sensible heat flux to inter-product diffuse fraction spread. Associations between sensible heat flux and diffuse fraction $(k_{\rm d})$ across different land model simulations forced using $k_{\rm d}$ from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) arid climate, (b) temperate climate, (c) boreal climate, and (d) polar climate. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. The vertical error bars show the inter-annual standard error for the 10-year period.









Figure S5 Response of Bowen ratio to inter-product diffuse fraction spread. Associations between Bowen ratio and diffuse fraction (k_d) across different land model simulations forced using k_d from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) arid climate, (b) temperate climate, (c) boreal climate, and (d) polar climate. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. The vertical error bars show the inter-annual standard error for the 10-year period.













Figure S6 Response of evaporation from canopy to inter-product diffuse fraction spread. Associations between evaporation from canopy and diffuse fraction (k_d) across different land model simulations forced using k_d from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, and (f) polar climate. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. The vertical error bars show the inter-annual standard error for the 10-year period.












Figure S7 Response of evaporation from ground to inter-product diffuse fraction spread. Associations between evaporation from ground and diffuse fraction (k_d) across different land model simulations forced using k_d from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, and (f) polar climate. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. The vertical error bars show the inter-annual standard error for the 10-year period.













Figure S8 Response of sensible heat flux from vegetation to inter-product diffuse fraction spread. Associations between sensible heat flux from vegetation and diffuse fraction $(k_{\rm d})$ across different land model simulations forced using $k_{\rm d}$ from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, and (f) polar climate. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. The vertical error bars show the inter-annual standard error for the 10-year period.













Figure S9 Response of sensible heat flux from ground to inter-product diffuse fraction spread. Associations between sensible heat flux from ground and diffuse fraction $(k_{\rm d})$ across different land model simulations forced using $k_{\rm d}$ from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, and (f) polar climate. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. The vertical error bars show the inter-annual standard error for the 10-year period.













Figure S10 Response of gross primary productivity to inter-product diffuse fraction spread for 2030-2039 period. Associations between gross primary productivity (GPP) and diffuse fraction (k_d) across different land model simulations forced using k_d from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, and (f) polar climate for the 2030-2039 10-year period. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. For tropical and temperate climate, logarithmic fits and associated equations are also noted (in red). The vertical error bars show the inter-annual standard error for the 10-year period.













Figure S11 Response of latent heat flux to inter-product diffuse fraction spread for 2030-2039 period. Associations between latent heat flux and diffuse fraction $(k_{\rm d})$ across different land model simulations forced using $k_{\rm d}$ from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, and (f) polar climate for the 2030-2039 10-year period. The lines of best fit and the linear regression equations, with coefficient of determination r^2 and p-values are noted. For tropical and temperate climate, logarithmic fits and associated equations are also noted (in red). The vertical error bars show the inter-annual standard error for the 10-year period.

Table S1 Summary of AmeriFlux sites considered in the present study, along with their location, elevation, and underlying land cover class. ENF=Evergreen Needleleaf Forest; GRA=Grassland; CRO=Cropland; DBF=Deciduous Broadleaf Forest; MF= Mixed Forest; OSH=Open Shrubland; WET= Permanent wetland

Site Name	Latitude	Longitude	Elevation	Land cover
US-A32	36.81927	-97.8198	335	GRA
US-A74	36.80846	-97.5489	337	CRO
US-ARM	36.6058	-97.4888	314	CRO
US-Bi2	38.109	-121.535	-4.98	CRO
US-HB2	33.3242	-79.244	4.7	ENF
US-MRf	44.64649	-123.551	263	\mathbf{ENF}
US-xAB	45.76243	-122.33	363	ENF
US-xBN	65.15401	-147.503	263	ENF
US-xBR	44.06388	-71.2873	232	DBF

US-xCP	40.8155	-104.746	1654	GRA
US-xDC	47.16165	-99.1066	559	GRA
US-xDJ	63.88112	-145.751	529	ENF
US-xDL	32.54172	-87.8039	22	\mathbf{MF}
US-xGR	35.68896	-83.502	579	DBF
US-xHA	42.5369	-72.1727	351	DBF
US-xHE	63.87569	-149.213	705	OSH
US-xJE	31.19484	-84.4686	44	ENF
US-xJR	32.59068	-106.843	1329	OSH
US-xKA	39.11044	-96.613	1329	GRA
US-xKZ	39.10077	-96.5631	381	GRA
US-xNG	46.76972	-100.915	578	GRA
US-xNQ	40.17759	-112.452	1685	OSH
US-xRM	40.27591	-105.546	2743	ENF
US-xSE	38.89008	-76.56	15	DBF
US-xSL	40.4619	-103.029	1364	CRO
US-xSP	37.03337	-119.262	1160	ENF
US-xSR	31.91068	-110.835	983	OSH
US-xST	45.50894	-89.5864	481	DBF
US-xTE	37.00583	-119.006	2147	ENF
US-xTL	68.66109	-149.37	843	WET
US-xTR	45.49369	-89.5857	472	DBF
US-xUK	39.04043	-95.1922	335	DBF
US-xUN	46.23388	-89.5373	518	\mathbf{MF}
US-xWD	47.12823	-99.2414	579	GRA
US-xWR	45.82049	-121.952	407	ENF
US-xYE	44.95348	-110.539	2116	ENF

Table S2 Summary of FLUXNET sites considered in the present study, along with their location, elevation, and underlying land cover class. ENF=Evergreen Needleleaf Forest; GRA=Grassland; CRO=Cropland; DBF=Deciduous Broadleaf Forest; MF= Mixed Forest; OSH=Open Shrubland; WET= Permanent wetland

Site Name	Latitude	Longitude	Elevation	Land cover
CZ-BK1	49.50208	18.53688	875	\mathbf{ENF}
CZ-BK2	49.49443	18.54285	855	GRA
DE-Geb	51.09973	10.91463	161.5	CRO
DE-Hai	51.07921	10.45217	430	DBF
DE-Lnf	51.32822	10.3678	451	DBF
DE-Tha	50.96256	13.56515	385	ENF
FI-Hyy	61.84741	24.29477	181	ENF
FR-Gri	48.84422	1.95191	125	CRO
FR-LBr	44.71711	-0.7693	61	ENF
IT-Ren	46.58686	11.43369	1730	ENF
RU-Che	68.61304	161.3414	6	WET
NL-Hor	52.24035	5.0713	2.2	GRA

Table S3 Summary of observed net ecosystem exchange at AmeriFlux sites divided into low ($k_{\rm d} < 0.35$) and high ($k_{\rm d} > 0.65$) $k_{\rm d}$ regimes for different bins of absorbed shortwave radiation at the surface ($K_{\rm abs}$). Differences in net ecosystem exchange between the regimes that are statistically significant (p<0.01) are in bold and cases where not enough data are available to perform (a) two-tailed t-test are in grey.

	Net ecosystem exchange (μ mol CO ₂ m ⁻² s ⁻¹)	Net ecosystem exchange (μ mol CO ₂ m ⁻² s ⁻¹)	Γ
K_{abs} bins	100-200 W m ⁻²	100-200 W m ⁻²	2
Site Name	$k_{ m d} {<} 0.35$	$k_{d} \! > \! 0.65$	k
US-A32	-0.98	-1.75	-2
US-A74	0	-0.7	-(
US-ARM	NaN	NaN	Ν
US-Bi2	NaN	NaN	Ν
US-HB2	NaN	NaN	Ν
US-MRf	-1.83	-6.16	
US-xAB	-0.55	-3.24	-2
US-xBN	0.66	-1.1	_(
US-xBR	-0.85	-2.76	-2
US-xCP	-0.57	-0.07	-(
US-xDC	NaN	NaN	0
US-xDJ	NaN	NaN	Ν
US-xDL	-0.85	-0.49	-;
US-xGR	-3.37	NaN	Ν
US-xHA	2.28	NaN	-2
US-xHE	NaN	0.39	Ν
US-xJE	NaN	NaN	Ν
US-xJR	-1.05	NaN	-(
US-xKA	0.16	1.94	-
US-xKZ	NaN	NaN	Ν
US-xNG	-0.26	-0.57	N
US-xNQ	NaN	NaN	Ν
US-xRM	-0.03	-0.94	_(
US-xSE	NaN	NaN	Ν
US-xSL	NaN	NaN	-(
US-xSP	1.66	NaN	Ν
US-xSR	0.67	1.13	0
US-xST	NaN	-6.8	Ν
US-xTE	-1.07	NaN	-
US-xTL	NaN	NaN	Ν
US-xTR	0.91	-3.24	-(
US-xUK	0.89	-3.15	4
US-xUN	-14.19	NaN	Ν
US-xWD	0.19	-0.84	-
US-xWR	-0.36	-5.01	0
US-xYE	-0.17	-2.38	-

Table S4 Summary of observed latent heat flux at AmeriFlux sites divided into low ($k_{\rm d} < 0.35$) and high ($k_{\rm d} > 0.65$) $k_{\rm d}$ regimes for different bins of absorbed shortwave radiation at the surface ($K_{\rm abs}$). Differences in latent heat flux between the regimes that are statistically significant (p<0.01) are in bold and cases where not enough data are available to perform (a) two-tailed t-test are in grey.

Latent heat flux (W m ⁻²) K_{abs} bins 100-200 W m ⁻² Site Name $k_d < 0.35$ US-A32 64.25 US-A74 68.76	Latent heat flux (W m ⁻²)	Latent heat flux (W m ⁻²)	Latent heat
	100-200 W m ⁻²	200-300 W m ⁻²	200-300 W
	$k_d > 0.65$	$k_d < 0.35$	$k_d > 0.65$
	61.4	87.19	94.08
	73.38	98.31	105.95

US-ARM	50.93	62.35	81.1	97.08
US-Bi2	NaN	44	138.24	71.32
US-HB2	48.81	77.32	68.54	97.02
US-MRf	57.14	41.9	66.55	64.88
US-xAB	32.5	34	59.01	61.46
US-xBN	22.83	22.26	38.99	54.39
US-xBR	40.5	51.76	61.98	84.77
US-xCP	21.89	33.2	41.18	38.13
US-xDC	NaN	NaN	32.89	37.25
US-xDJ	NaN	NaN	NaN	NaN
US-xDL	42.03	55.14	78.28	90.69
US-xGR	22.49	NaN	NaN	NaN
US-xHA	10.06	NaN	96.64	NaN
US-xHE	NaN	29.95	NaN	NaN
US-xJE	NaN	NaN	NaN	108.4
US-xJR	50.65	NaN	35.58	NaN
US-xKA	39.69	41.15	63.52	65.76
US-xKZ	NaN	NaN	NaN	NaN
US-xNG	8.92	14.95	NaN	NaN
US-xNQ	NaN	NaN	NaN	NaN
US-xRM	29.03	41.99	41.96	59.19
US-xSE	NaN	NaN	NaN	NaN
US-xSL	NaN	NaN	47.18	NaN
US-xSP	7.03	NaN	NaN	NaN
US-xSR	13.76	35.27	21.05	42.87
US-xST	NaN	35.12	NaN	NaN
US-xTE	53.95	NaN	43.53	NaN
US-xTL	NaN	NaN	NaN	NaN
US-xTR	2.28	56.44	52.16	12
US-xUK	68.35	55.85	63.74	83.56
US-xUN	36.51	NaN	NaN	193.94
US-xWD	12.48	24.09	44.34	66.03
US-xWR	40.54	53.88	54.27	104.58
US-xYE	34.24	67.35	51.18	84.4

Table S5 Summary of observed gross primary productivity at FLUXNET sites divided into low ($k_{\rm d} < 0.35$) and high ($k_{\rm d} > 0.65$) $k_{\rm d}$ regimes for different bins of absorbed shortwave radiation at the surface ($K_{\rm abs}$). Differences in gross primary productivity between the regimes that are statistically significant (p<0.01) are in bold and cases where not enough data are available to perform (a) two-tailed t-test are in grey.

	Gross primary productivity (μ mol CO ₂ m ⁻² s ⁻¹)	Gross primary productivity (μ mol CO ₂ m ⁻² s
K_{abs} bins	$100-200 \text{ W m}^{-2}$	$100-200 \text{ W m}^{-2}$
Site Name	$k_{\rm d} {<} 0.35$	k_{d} >0.65
CZ-BK1	10.26	11.22
CZ-BK2	6.85	6.91
DE-Geb	4.17	5.33
DE-Hai	6.89	8.59
DE-Lnf	6.94	8.89
DE-Tha	8.75	7.5
FI-Hyy	5.99	6.91
FR-Gri	6.02	6.85

FR-LBr	7.85	7.7
IT-Ren	5.3	6.78
NL-Hor	8.37	NaN

Table S6 Summary of observed latent heat flux at FLUXNET sites divided into low ($k_{\rm d} < 0.35$) and high ($k_{\rm d} > 0.65$) $k_{\rm d}$ regimes for different bins of absorbed shortwave radiation at the surface ($K_{\rm abs}$). Differences in latent heat flux between the regimes that are statistically significant (p<0.01) are in bold and cases where not enough data are available to perform (a) two-tailed t-test are in grey.

	Latent heat flux (W m ⁻²)	Latent heat flux $(W m^{-2})$	Latent heat flux (W m^{-2})	Latent heat
$K_{\mathbf{abs}}$ bins	100-200 W m ⁻²	100-200 W m ⁻²	200-300 W m ⁻²	$200-300 \mathrm{W}$
Site Name	$k_{d} < 0.35$	$k_{d} \! > \! 0.65$	$k_{\rm d} {<} 0.35$	$k_{d} \! > \! 0.65$
CZ-BK1	30.41	34.63	52.42	65.41
CZ-BK2	29.69	22.78	51.43	45.63
DE-Geb	33.69	37.15	59.58	73.44
DE-Hai	45.14	50.31	79.44	97.25
DE-Lnf	34.86	45.95	59.22	93.23
DE-Tha	39.98	30.21	53.25	44.69
FI-Hyy	32.13	43.44	51.51	77.55
FR-Gri	38.78	52.12	62.23	88.88
FR-LBr	41.97	56.8	68.28	94.4
IT-Ren	35.97	60.5	56.93	103.33
NL-Hor	75.53	NaN	147.64	NaN

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1	Diffuse radiation forcing constraints on gross primary productivity and global terrestrial
2	evapotranspiration
3	TC Chakraborty ^{1,2} , X Lee ² , and D. M. Lawrence ³
4	
5	¹ Pacific Northwest National Laboratory, Richland, WA, USA
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8	Key Points
9	• Diffuse radiation fertilization effect is understudied and hard to quantify globally using
10	observations, necessitating model simulations
11	• Response of terrestrial carbon and energy budget simulated by Community Land Model
12	to a realistic range of diffuse fraction forcing tested
13	• Large differences in carbon budget (small for water budget) due to range of forcing;
14	systematic evaluations across models important
15	
16	
17	
18	Key words: Diffuse radiation fertilization effect; gross primary productivity; evapotranspiration;
19	land-surface models; atmosphere-biosphere interactions
20	

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22 Abstract

The diffuse radiation fertilization effect – the increase in plant productivity in the presence of 23 higher diffuse radiation $(K_{\downarrow,d})$ – is an important yet understudied aspect of atmosphere-biosphere 24 interactions and can modify the terrestrial carbon, energy, and water budgets. The $K_{\perp,d}$ 25 26 fertilization effect links the carbon cycle with clouds and aerosols, all of which are large sources of uncertainties for our current understanding of the Earth system and for future climate 27 projections. Here we establish to what extent observational and modeling uncertainty in 28 sunlight's diffuse fraction (k_d) affects simulated gross primary productivity (GPP) and terrestrial 29 evapotranspiration (λE). We find only 48 eddy covariance sites with simultaneous sufficient 30 measurements of $K_{\downarrow,d}$ with none in the tropical climate zone, making it difficult to constrain this 31 mechanism globally using observations. Using a land modeling framework based on the latest 32 version of the Community Land Model, we find that global GPP ranges from 114 Pg C year⁻¹ 33 when using k_d forcing from the MERRA-2 reanalysis to a ~7% higher value of 122 Pg C year⁻¹ 34 when using the CERES satellite product, with especially strong differences apparent over the 35 tropical region (mean increase ~9%). The differences in λE , although smaller (-0.4%) due to 36 37 competing changes in shaded and sunlit leaf transpiration, can be greater than regional impacts of individual forcing agents like aerosols. Our results demonstrate the importance of 38 comprehensively and systematically validating the simulated k_d by atmosphere modules as well 39 as the response differences in diffuse fraction within land modules across Earth System Models. 40

41 Plain Language Summary

Due to clouds and small particles present in the air, some part of sunlight changes its direction. 42 Leaves that are normally in the shadow of upper leaves can absorb this sunlight and then take 43 part in photosynthesis, which also increases water release from these leaves. The global strength 44 of this effect is difficult to calculate using observations because most observations are not in 45 places where this effect might be strongest (like tropical forests). So, we commonly use 46 computer models to do this. Here we first consider all sites that have the required measurements 47 to study this effect to show that they are not suitable for global calculations. Then, we run a 48 49 computer land model using different global datasets that can give us a realistic range of the change in the direction of sunlight. We find that the change in photosynthesis due to this range 50 has larger than expected effects on the carbon absorbed by the Earth's plants during 51 52 photosynthesis in this model. The effects are less important for water released from leaves. Since different computer models calculate this effect differently, we need to test how other models 53 react to similar ranges of the change in direction of sunlight. 54

55 **1. Introduction**

Clouds and the carbon cycle represent two large sources of uncertainty in our understanding of 56 the Earth system, particularly relevant for the inter-model spread in future climate projections 57 (Arias et al., 2021; Friedlingstein et al., 2014; Lawrence et al., 2016; Webb et al., 2017). An 58 important and currently understudied mechanism that links cloud cover and the terrestrial carbon 59 budget is the diffuse radiation fertilization effect (Mercado et al., 2009; Rap et al., 2018). The 60 presence of scattering agents like clouds and aerosols in the atmosphere can change the direction 61 62 of a portion of the total solar radiation (K_{\downarrow}) , thus exposing normally shaded leaves to sunlight. By absorbing this diffuse radiation $(K_{\downarrow,d})$, these leaves can then contribute to photosynthesis, 63 increasing carbon uptake by vegetation, enhancing evapotranspiration, and lowering surface and 64 air temperature (Chakraborty et al., 2021; Knohl & Baldocchi, 2008; Mercado et al., 2009; Rap 65 et al., 2018). 66

The $K_{\downarrow,d}$ fertilization effect is difficult to quantify and constrain with observations due to the 67 dearth of simultaneous in situ measurements of $K_{\downarrow,d}$ and carbon and energy fluxes (Chakraborty 68 & Lee, 2021; Emmel et al., 2020; Steiner et al., 2013; Zhou et al., 2021). Consequently, to 69 estimate the impact of $K_{\downarrow,d}$ fertilization effect on climate, we have to rely on global models, 70 which of course have multiple sources of uncertainties. In atmospheric models, accurate 71 estimates of $K_{\downarrow,d}$ depend on adequate parameterizations for clouds, radiation transfer, and 72 aerosols, all of which vary widely between models (Chakraborty & Lee, 2021; Pincus et al., 73 74 2016). Unfortunately, most models taking part in the Coupled Model Intercomparison Project 75 (CMIP) do not publicly archive the diffuse component of K_{\downarrow} . For the few current-generation global reanalysis and satellite-derived products that do provide $K_{\downarrow,d}$, large differences in $K_{\downarrow,d}$ are 76 seen, which is at least partly due to differences in cloud cover (Chakraborty & Lee, 2021). On 77

the land modeling side, capturing the response of surface climate to $K_{\downarrow,d}$ depends strongly on how the leaf-to-canopy upscaling process is represented, another major source of inter-model variability (Chakraborty et al., 2021; Luo et al., 2018).

Recent modeling evidence suggests that even when the total K_{\downarrow} stays the same, changes in the 81 diffuse fraction (k_d) affects gross primary productivity (GPP) and latent heat flux (λE) 82 (Chakraborty et al., 2021). However, to reduce uncertainty associated with disparate 83 representation of the $K_{\downarrow,d}$ fertilization effect requires improvements in multiple model 84 85 components. Current generation inter-model comparisons have not focused on this aspect of 86 atmosphere-biosphere interactions. For instance, for the Radiative Forcing Model Intercomparison project (RFMIP), the focus, naturally, is on the total radiative effect of climate 87 forcers, but the partitioning of K_{\downarrow} into $K_{\downarrow,d}$ and its direct beam component $(K_{\downarrow,b})$ (Pincus et al., 88 2016) is not considered. For the biosphere component, two relevant MIPs, the Land Surface, 89 Snow and Soil moisture Model Intercomparison Project (LS3MIP) (van den Hurk et al., 2016) 90 91 and Coupled Climate-Carbon Cycle Model Intercomparison Project (C4MIP) (Jones et al., 2016), are not focused on the impact of $K_{\perp,d}$ on the carbon or energy cycle. None of the land-only 92 forcing datasets used in the LS3MIP or TRENDY (Trends in the land carbon cycle) (Sitch et al., 93 2015) projects provide k_d , meaning the partitioning of K_{\downarrow} into $K_{\downarrow,d}$ and $K_{\downarrow,b}$ is left at the discretion 94 of the land component, which also varies between models (Clark et al., 2011; Wozniak et al., 95 2020; Zhang et al., 2020). 96

Here we quantify the $K_{\downarrow,d}$ fertilization effect across a network of flux tower sites and then use a modeling framework with different global estimates of k_d to illustrate the important role of this inter-product k_d forcing spread on estimates of the terrestrial carbon and energy budgets. Our results demonstrate the need to comprehensively and systematically examine the simulated k_d by the atmosphere components and as well as the $K_{\downarrow,d}$ fertilization effect across land components in Earth System Models (ESMs).

103 2. Materials and Methods

104 **2.1 Processing site-level observations**

We obtained publicly-available data from all AmeriFlux (Novick et al., 2018) (Table S1) and 105 FLUXNET (Baldocchi et al., 2001) (Table S2) sites that include observations of $K_{\downarrow,d}$ (Fig 1a). 106 Since the data structures from these two observation networks are different, their data were 107 processed separately. The hourly FLUXNET measurements were subset based on quality control 108 flags for the relevant variables, namely $K_{\downarrow,d}$, K_{\downarrow} , reflected shortwave radiation (K_{\uparrow}), λE , and GPP. 109 The GPP field used was the one calculated using the daytime partitioning method (Lasslop et al., 110 111 2010). All hourly observations that were measured, gap-filled with high quality, or could be downscaled from reanalysis data were used. Finally, nighttime values and measurements 112 corresponding to when the diffuse fraction $(k_d = K_{\downarrow,d}/K_{\downarrow})$ was greater than 1 or lower than 0 (both 113 theoretically impossible) were removed. 114

For the AmeriFlux measurements, nighttime and physically impossible k_d values were first 115 omitted. For multiple observations of K_{\downarrow} , $K_{\downarrow,d}$, or K_{\uparrow} at a single site, the unweighted mean of the 116 observations were used. AmeriFlux sites do not include the separated GPP field, so the net 117 ecosystem exchange (NEE) columns were examined instead. All data points were binned based 118 on absorbed radiation ($K_{abs} = K_{\downarrow} - K_{\uparrow}$) into 100 W m⁻² bins between 100 and 600 W m⁻². K_{abs} is 119 more relevant for estimating the available energy for photosynthesis at the canopy-scale than K_{\downarrow} , 120 but similar results are seen when using K_{\downarrow} bins (not shown). For each bin, low ($k_d < 0.35$) and high 121 $(k_d > 0.65)$ k_d regimes are defined, following Davin & Seneviratne (2012), and the variables of 122

interest (moisture and carbon fluxes) were compared. Note that not all sites have sufficient (or any observations) in all bins and k_d regimes.

125 **2.2 Simulating meteorological and default radiative forcing data**

Our modeling framework consists of generating climatological forcing data by running the 126 127 Community Atmosphere Model (CAM) (Neale et al., 2010) and then simulating the surface energy and carbon budget by running the Community Land Model (CLM) (Lawrence et al., 128 2019). The latest version of CAM (CAM version 6) was first run with a slab ocean model, 129 130 prescribed sea ice, and present-day distribution of aerosols for the period 2001-2003 at a spatial resolution of $0.9375 \times 1.25^{\circ}$. Among other improvements, CAM6 uses a new cloud 131 macrophysics parameterization for better performance while simulating boundary layer clouds 132 and also captures cloud-aerosol interactions (indirect effect) in its default configuration 133 (Gettelman et al., 2019). The atmospheric variables simulated by CAM that were used to force 134 CLM include the direct beam radiation $(K_{\perp,b})$, $K_{\perp,d}$, incoming longwave radiation, and 135 precipitation at surface and air temperature, specific humidity, wind speed, and atmospheric 136 pressure at screen height. 137

138 **2.3** Generating monthly-climatology-adjusted diffuse fraction forcing data

In order to examine the sensitivity of model-simulated carbon and energy fluxes to a realistic spread of k_d , we extracted $K_{\downarrow,d}$ and K_{\downarrow} at the surface for the 2001-2003 period from five global data products that publicly archive $K_{\downarrow,d}$ or $K_{\downarrow,b}$ (in addition to the CAM-simulated values). These are: (1) NOAA-CIRES-DOE -- Twentieth Century Reanalysis version 3 (Slivinski et al., 2019) from National Oceanic and Atmospheric Administration (NOAA), Cooperative Institute for Research in Environmental Science (CIRES), and the Department of Energy (DOE), (2) NCEP/NCAR -- 50-year Reanalysis (Kistler et al., 2001) from National Centers for
Environmental Prediction (NCEP) and National Center for Atmospheric Research (NCAR), (3) 146 MERRA-2 -- Modern-Era Retrospective analysis for Research and Applications, version 2 147 (Randles et al., 2017) from National Aeronautics and Space Administration (NASA), (4) ERA5 -148 - Fifth Generation Reanalysis (Hersbach et al., 2020) from the European Centre for Medium-149 Range Weather Forecasts (ECMWF), and (5) CERES -- latest version of the Clouds and the 150 151 Earth's Radiant Energy System product from NASA (CERES EBAF Ed4.1) (Rutan et al., 2015). Of these, $K_{\downarrow,d}$ is derived as the sum of diffuse photosynthetically active radiation (PAR_d) 152 and diffuse near-infrared radiation (NIR_d) for MERRA-2 and as the difference between K_{\downarrow} and 153 $K_{\downarrow,b}$ for ERA5. Since these datasets have different spatial resolution (Chakraborty & Lee, 2021), 154 all the datasets were interpolated to a regular $0.5 \times 0.5^{\circ}$ grid – the forcing resolution used for the 155 subsequent land model runs – using nearest-neighbor interpolation. 156

The climatological state at the hourly scale will not necessarily be consistent across all these 157 products, partly because unlike assimilated surface meteorology, atmospheric constituents like 158 159 clouds are modeled and aerosols are not explicitly represented in most of these products (except MERRA-2; Randles et al., 2017). Since the meteorological forcing and total K_{\downarrow} are specific to 160 the CAM-simulated (not assimilated) climatology and same for all the simulations, we adjusted 161 the k_d for the other forcing data based on their monthly k_d . This is because unlike hourly 162 climatology, the monthly k_d climatology do show similar intra-annual patterns (but with large 163 differences in magnitude; Chakraborty & Lee, 2021). Thus: 164

165
$$K_{\downarrow,d,a} = k_{d,m} K_{\downarrow,h}$$
 (1)

where $K_{\downarrow,d,a}$ is the monthly-climatology-adjusted hourly $K_{\downarrow,d}$ for a particular product, $k_{d,m}$ is monthly mean k_d for that month for that product, and $K_{\downarrow,h}$ is the hourly K_{\downarrow} from the CAM simulations. Then $K_{\downarrow,b,a}$ (monthly-climatology-adjusted $K_{\downarrow,b}$) is the difference between $K_{\downarrow,h}$ and $K_{\downarrow,d,a}$. Similarly, instead of using the hourly k_d simulated by CAM when generating the final CAM forcing data, we adjusted $K_{\downarrow,d}$ based on the average k_d for each simulation month for consistency with the result of the simulations. Another reason for this adjustment is because NCEP/NCAR and NOAA-CIRES-DOE $K_{\downarrow,d}$ are only available at the monthly scale.

173 **2.4 Land model simulations**

The meteorological and longwave radiation forcing data from CAM and six sets of $K_{\downarrow,d}$ and $K_{\downarrow,b}$ 174 fields (from NCEP/NCAR, NOAA-CIRES-DOE, MERRA-2, ERA5, CERES, and CAM after 175 monthly-climatology-adjustment) were used to run the latest version of the Community Land 176 Model (CLM version 5; Lawrence et al., 2019) with biogeochemistry turned on. The 177 biogeochemistry module allows for prognostic vegetation and helps us examine feedback on the 178 canopy state due to the $K_{\perp,d}$ fertilization effect (and its inter-product spread). Since the 179 differences in forcing are small (only due to changes in k_d), we allowed enough time for the 180 model to adjust to the different forcing sets by looping over the same forcing for 100 years 181 initiated for the year 2001. The results from the years 2090-2099 are presented as by then, all 182 183 components of the carbon budget, including soil carbon would equilibrate to the forcing differences. To examine possible feedback, we also analyzed data for 2030-2039. The model 184 outputs are for every month at a spatial resolution of $0.9375 \times 1.25^{\circ}$. 185

In addition to the GPP, sensible heat flux (*H*), and λE , we examined how their sub-components respond to the inter-product spread in k_d . The ecosystem respiration (ER) was estimated as the difference between GPP and net primary productivity (NEP). The total λE can be further subdivided into evaporation from ground (λE_g), evaporation from canopy (λE_c), and transpiration 190 (λE_t) , while the sensible heat flux *H* can be from the ground (H_g) or vegetation (H_v) . All of these 191 terms were simulated by CLM. We modified the CLM code to separately output the total λE_t 192 from sunlit $(\lambda E_{t,sun})$ and shaded leaves $(\lambda E_{t,sha})$. These modifications are based on the internal 193 implementation of the two big-leaf model of evapotranspiration in CLM and given by:

194
$$\lambda E_{t,sun} = \frac{\frac{LAI_{sun}}{r_b + r_s^{Sun}}}{\frac{LAI_{sun}}{r_b + r_s^{Sun} + \frac{LAI_{sha}}{r_b + r_s^{Sha}}} \lambda E_t$$
(2)

195 and

196
$$\lambda E_{t,sha} = \frac{\frac{LAI_{sha}}{r_b + r_s^{sha}}}{\frac{LAI_{sun}}{r_b + r_s^{sun} + \frac{LAI_{sha}}{r_b + r_s^{sha}}} \lambda E_t$$
(3)

Here, LAI_{sun} and LAI_{sha} are the leaf area index (LAI) for sunlit and shaded leaves, respectively, r_s^{sun} and r_s^{sha} are the stomatal resistances for sunlit and shaded leaves, respectively, and r_b is the leaf boundary layer resistance.

200 2.5 Regions of Interest

Land area weighted means of the variables of interest were calculated using the CLM surface

dataset. Additionally, the CLM grids were also separated into the Koppen-Geiger climate zones

- 203 (Rubel & Kottek, 2010), namely tropical, arid, temperate, boreal, and polar (Fig. 1a) and similar
- weighted means were calculated for these zones. These climate zones represent distinct classes
- of surface characteristics and atmospheric forcing (Chakraborty & Lee, 2019; Rubel & Kottek,

206 2010).

207 2.6 Impact of monthly-climatology adjustment on model simulations

208	In its default configuration (CAM forcing to drive CLM), this modeling framework has been
209	extensively evaluated against both gridded and point measurements in a previous study
210	(Chakraborty et al., 2021). Additionally, the $K_{\downarrow,d}$ and K_{\downarrow} fields of all the datasets have been
211	compared with <i>in situ</i> measurements (Chakraborty et al., 2021; Chakraborty & Lee, 2021). The
212	monthly-climatology adjustment would have some impact on the simulations though, since k_d
213	varies both during the month and even during the day and the bias-adjustment thus overestimates
214	the k_d slightly. To quantify the impact of this simplification on model simulations, we compared
215	the relevant variables (GPP, λE , H) for two simulations – one using the original CAM-simulated
216	$K_{\downarrow,d}$ ($K_{\downarrow,d,h}$) and another using monthly-climatology-adjusted values ($K_{\downarrow,d,a}$). The results are
217	summarized in Table 1 for global land surfaces and each climate zone. Overall, the spatial
218	patterns are virtually identical (r^2 =0.99) in all cases with small biases. The biases are greatest for
219	GPP at -2.26% for global surfaces, which are smaller than the overall perturbations we see
220	between the products. We can also compare these perturbations against the results of a
221	previous study using a similar modeling framework for the aerosol impact on surface processes
222	that used actual three-hourly k_d differences based on radiation diagnostic simulations
223	(Chakraborty et al., 2021). That study showed that an increase in the global k_d over land from
224	0.27 (comparable to an aerosol-free atmosphere) to 0.34 would increase GPP by 2.2 Pg C y^{-1}
225	(1.8%). Linearly extrapolating to the range of k_d used here (0.35 to 0.60) would lead to a change
226	in GPP of 7.8 Pg C y^{-1} versus the 7.6 Pg C y^{-1} found in the present study (see Results).

Table 1 Evaluation of the sensible heat flux, latent heat flux, and gross primary productivity simulated by CLM using the original CAM $K_{\downarrow,d}$ ($K_{\downarrow,d,h}$) forcing and the monthly-climatologyadjusted values ($K_{\downarrow,d,a}$) for the world's land surfaces and for each climate zones. The top two rows for each variable show the grid-area weighted mean for the two cases (grid-area weighted sum for GPP). The statistical parameters for model evaluation are the coefficient of determination (r^2) and mean percentage error (MPE).

		Regions of interest					
Variable	Case	Global land	Tropical	Arid	Temperate	Boreal	Polar
Consthio	CAM $K_{\downarrow,d,h}$	32	42.02	56.16	40.67	19.71	-9.84
Sensible	CAM $K_{\downarrow,d,a}$	31.94	42.12	56.08	40.61	19.5	-9.91
$(W m^{-2})$	r^2	0.99	0.99	0.99	0.99	0.99	0.99
(••• •••)	MPE (%)	-0.19	0.24	-0.14	-0.15	-1.07	0.71
T () 1 (CAM $K_{\downarrow,d,h}$	37.4	80.06	24.24	51.8	27.83	7.3
Latent heat	CAM $K_{\downarrow,d,a}$	37.36	79.76	24.25	51.65	27.96	7.36
$(W m^{-2})$	r^2	0.99	0.99	0.99	0.99	0.99	0.99
(w m)	MPE (%)	-0.11	-0.37	0.04	-0.29	0.47	0.82
Gross	CAM $K_{\downarrow,d,h}$	119.73	58.11	12.93	23.37	23.01	2.4
primary	CAM $K_{\downarrow,d,a}$	117.02	56.7	12.83	22.62	22.57	2.41
productivity	r^2	0.99	0.99	0.99	0.99	0.99	0.99
(Pg C year ⁻¹)	MPE (%)	-2.26	-2.43	-0.77	-3.21	-1.91	0.42
		*					

233

234 **2.7 Statistical analysis**

For the *in situ* AmerFlux and FLUXNET observations, two-sampled t-tests were used to confirm whether the GPP (or NEE) and λE are statistically different (p<0.01) between the low and high k_d regimes in each bin. For the global study, we examined the inter-product spread at the grid level by calculating standard deviation (σ) from the six simulations with the six k_d forcing data (Fig 2). Since standard deviation would be impacted by the baseline values, we also calculated the coefficient of variation (CV), which is unitless and scale independent, to get the relative dispersion around the mean. CV is given by:

$$242 \quad CV = \frac{\sigma}{\mu} \tag{4}$$

243 where μ is the six-product or six-simulation mean.

The global and regional mean variables of interest (and their subcomponents) were also linearly regressed against the k_d across the respective simulations to examine sensitivities of the variables to the inter-product k_d spread. Since the response of GPP to k_d has been shown to be non-linear in past studies at the site level (Mercado et al., 2009; Zhou et al., 2021), we also used a logarithmic fit of the form:

$$y = a + b \log(x) \tag{5}$$

for tropical and temperate climate, where the GPP (and λE) response to k_d is expected to be stronger. Here *y* is the variable of interest, *x* is forcing k_d and *a* and *b* are the model coefficients.

252 **3. Results**

253 **3.1** Observational evidence of the diffuse radiation fertilization effect at the site scale

To illustrate the dearth of observational constraints on the $K_{\downarrow,d}$ fertilization effect, we processed all the AmeriFlux (Novick et al., 2018) and FLUXNET (Baldocchi et al., 2001) site data with measurements of $K_{\downarrow,d}$. This included 12 FLUXNET sites and 36 AmeriFlux sites, with the majority located in evergreen needleleaf forests (16), deciduous broadleaf forests (9), and grasslands (9; Tables S1, S2). Importantly, none of these sites are located in Tropical rain forests, where the $K_{\downarrow,d}$ fertilization effect is expected to be the strongest (Chakraborty et al., 2021; Fig. 1a).

The $K_{\downarrow,d}$ fertilization effect can be seen by identifying low (<0.35) and high (>0.65) k_d regimes and comparing GPP (or net ecosystem exchange) and λE during these two regimes. Almost all the sites show a clear $K_{\downarrow,d}$ fertilization effect, with λE and GPP being higher (NEE is lower) for the high k_d regime across bins and especially at high absorbed shortwave levels (Fig 1b, Tables S3, S4, S5, S6). Of note, the impacts of the $K_{\downarrow,d}$ fertilization effect is more clearly visible for the FLUXNET sites compared to the Ameriflux sites (Tables S3, S4, S5, S6). This is because Ameriflux measurements are more intermittent and generally have much fewer available data points.





Figure 1 Diffuse radiation fertilization effect at the site scale. Sub-figure (a) shows the locations 270 of the measurement sites with simultaneous measurements of diffuse radiation, carbon fluxes, 271 and energy fluxes considered in this study. The background colors represent the extent of the 272 Koppen-Geiger climate zones used to examine regional trends. Sub-figure (b) illustrates the 273 latent heat flux and gross primary productivity (GPP) for the Gebesee FLUXNET site in 274 Germany (site with the most available data points) for high and low regimes of diffuse fraction 275 in different absorbed shortwave radiation bins (similar results when using incoming shortwave 276 radiation bins; not shown). The number of hourly observations in each bin is noted and all 277 differences are statistically significant (p<0.01). Results for the rest of the sites are summarized 278 in Tables S3, S4, S5, and S6. 279

Although there are other flux tower networks throughout the world, including some in tropical forests (Restrepo-Coupe, N. et al., 2021), few have continuous measurements of $K_{\downarrow,d}$ (Zhou et al.,

282 2021). The results presented here (Table S3, S4, S5, S6) are consistent with other existing site-283 based estimates (Davin & Seneviratne, 2012; Emmel et al., 2020; Ezhova et al., 2018; Wang et 284 al., 2018; Yue & Unger, 2017) and demonstrate the $K_{\downarrow,d}$ fertilization effect at the site-scale. 285 However, the tower site results cannot be used to provide global estimates due to both the 286 sampling biases (e.g., lack of representation of tropical and other ecosystems) and lack of 287 complete annual temporal coverage after quality-control.

3.2 Global spatial distributions of inter-product variability

Since models are frequently used to examine the $K_{\perp,d}$ fertilization effect to avoid the 289 spatiotemporal sampling issues of *in situ* observations (Chakraborty et al., 2021; Mercado et al., 290 2009; Oliveira et al., 2011; O'Sullivan et al., 2021; Rap et al., 2018; Zhang et al., 2021), we 291 examine how simulated GPP and λE would vary for a realistic range of atmospheric k_d forcing. 292 The meteorological forcing data are from the latest version of CAM, while the k_d is derived from 293 monthly-climatology-adjusted current-generation data products, namely the NCEP/NCAR 294 295 (Kistler et al., 2001), NOAA-CIRES-DOE (Slivinski et al., 2019), ERA5 (Hersbach et al., 2020), and MERRA-2 (Randles et al., 2017) reanalysis and the CERES (Rutan et al., 2015) 296 product, as well as the default CAM outputs. Larger differences in k_d across these datasets are 297 found in the mid-latitudes and high-latitudes, probably due to the higher baseline k_d in these 298 regions (Fig. 2a). We account for this difference in baseline by also calculating the coefficient of 299 variation (CV) (regions where CV is less than 30% are marked with + signs in Fig. 2). Most of 300 the high latitudes fall within this zone, but the CV exceeds 30% for the rest of the Earth's 301 surface, except for the Amazon and parts of eastern China. These forcing data, with all variables 302 except for k_d being identical, are then used to run the latest version of CLM (Lawrence et al., 303 2019). 304



Figure 2 Spatial patterns of inter-product variability. Global distribution of the standard deviation in (a) diffuse fraction from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study and simulated (b) gross primary productivity (GPP), (c) latent heat flux, and (d) sensible heat flux from Community Land Model simulations that differ only in their diffuse fraction as defined by the six products. Grids with a coefficient of variation of less than 3% (<30% for diffuse fraction) are marked with + signs to represent regions with stronger agreement.

The standard deviation and CV in the simulated surface energy budget components (λE and sensible heat flux *H*) and GPP are lower than that for k_d (a CV threshold of only 3% is used for these). This is expected since the six simulations are forced with identical meteorological data, except for their k_d values, which provides a strong constraint on simulated GPP, λE , and *H*. GPP shows the greatest variability (Fig. 2b), with higher CV values seen over the Congo Basin, Southeastern US, and large parts of South and South-East Asia. Interestingly, even though the $K_{\downarrow,d}$ fertilization effect directly affects λE , there are regions with higher CV values for H (Fig.

321 2d).





Figure 3 Response of gross primary productivity to inter-product diffuse fraction spread. 325 Associations between gross primary productivity (GPP) and diffuse fraction (k_d) across different 326 land model simulations forced using k_d from the six products (NCEP/NCAR, NOAA-CIRES-327 DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all 328 329 terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, and (f) polar climate. The lines of best fit and the linear regression equations, with coefficient of 330 determination r^2 and p-values are noted. For tropical and temperate climate, logarithmic fits and 331 associated equations are also noted (in red). The vertical error bars show the inter-annual 332 standard error for the 10-year period. 333

The global mean k_d over land varies between 0.35 for MERRA-2 to 0.6 for CERES, with the true 334 climatological mean expected to be around 0.42 based on the recent Bias-adjusted RADiation 335 336 dataset (BaRAD; Chakraborty & Lee, 2021). The spread in simulated GPP is strongly associated with this inter-product k_d spread, not only globally but also for most climate zones (Fig. 3). 337 Among these, tropical and temperate areas show the greatest sensitivity of annual GPP to k_d 338 (15.2 and 4.5 Pg C per unit change in k_d , respectively) and the polar region shows a weak 339 relationship ($r^2=0.04$). The global GPP simulated by CLM using the default CAM forcing is 340 close to upscaled FLUXNET-based estimates (118 Pg C year⁻¹; Jung et al., 2011), but varies 341 from 114 Pg C year⁻¹ when using MERRA-2 k_d as forcing to a ~7% higher value of 122 Pg C 342 year⁻¹ when using CERES k_d . By comparison, Chen et al. (2017) found a standard deviation of 343 global GPP across eight biome models biome models using the same climate forcing of 13 Pg C 344 y^{-1} , with the inter-quartile range approaching 25 Pg C y^{-1} . The inter-product spread in GPP of 345 ~8 Pg C y^{-1} found in the present study is also much higher than mean (from nine dynamic global 346 vegetation models) global land carbon sink (-2.4 Pg C y⁻¹), a dominant source of uncertainty in 347 our understanding of the carbon cycle (Sitch et al., 2015). The tropical annual GPP varies from 348 54 to 59 Pg C (9.3% higher) when switching from MERRA-2 to CERES k_d forcing. When 349 examining the sensitivity of NEP and ER to the inter-product spread in k_d , similar positive 350 correlations are seen for all cases other than for polar climate (Fig. S1, S2). Note that although 351 site-level analyses have shown non-linear and somewhat asymptotic response of GPP to k_d 352 353 (Mercado et al., 2009; Zhou et al., 2021), when examining climate-zone-scale perturbations of GPP due to the inter-product k_d spread, the associations are practically linear, as illustrated by the 354 comparisons with the logarithmic regressions for tropical and temperate climate (Figs 3b, 3d). 355



Figure 4 Response of energy budget components to inter-product diffuse fraction spread. 358 Associations between (a) latent heat flux, (b) sensible heat flux, and (c) Bowen ratio and diffuse 359 fraction (k_d) across different land model simulations forced using k_d from the six products 360 (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the 361 present study for all terrestrial surfaces. Sub-figures (d), (e), and (f) are similar, but for tropical 362 climate. The lines of best fit and the linear regression equations, with coefficient of 363 determination r^2 and p-values are noted. For tropical climate, a logarithmic fit and the associated 364 equation is also noted for latent heat flux (in red). The vertical error bars show the inter-annual 365 standard error for the 10-year period. 366

The sensitivities of the surface energy budget components to the inter-product k_d spread are generally weaker than that for GPP (Figs 4, S3, S4, S5). Globally, λE increases by only ~0.4%

(from 37.24 to 37.38 W m⁻²) and *H* decreases by ~3.0% (32.15 to 31.19 W m⁻²) for the range of 369 $k_{\rm d}$ considered. As such, the Bowen ratio ($\beta = H/\lambda E$) decreases globally and for all climate zones 370 (Figs 4c, S5). For tropical regions, the changes are slightly stronger, with λE increasing by 371 ~1.1% (79.31 to 80.19 W m⁻²) and H decreasing by ~5.9% (43 to 40.46 W m⁻²). As the case with 372 GPP, the improvements when using a logarithmic fit instead of a linear fit are marginal (r^2) 373 374 increases from 0.91 to 0.96; Fig. 4d; also see Fig. S3b for temperate climate). The range of simulated λE and H due to different k_d forcing is smaller than the standard deviation across 375 CMIP6 (3.5 W m⁻² for λE and 2.7 W m⁻² for H) and CMIP5 (3.9 W m⁻² for λE and 2.6 W m⁻² for 376 H) models (Wild, 2020). To examine further, we separate λE and H into its sub-components. 377 Globally and across most climate zones, the $\lambda E_{t,sha}$ and λE_c increased, while $\lambda E_{t,sun}$ and λE_g 378 decreased (Figs S6, S7, 5, 6). Since the total K_{\perp} is kept constant in all model simulations, the 379 increase in $\lambda E_{t,sha}$ is compensated by a decrease in $\lambda E_{t,sun}$, leading to minor decreases in total λE . 380 Global and regional decreases in H_g for the increasing k_d runs (around 5.5% globally; Fig. S8a) is 381 only slightly compensated for by the increase in H_v (roughly 2% globally, but contrasting 382 patterns across climate zones; Fig. S9). This explains the larger spread in H (compared to λE) 383 due to k_d forcing across the six simulations also seen in Fig. 2d. 384



Figure 5 Response of transpiration from sunlit leaves to inter-product diffuse fraction spread. 387 Associations between transpiration from sunlit leaves and diffuse fraction (k_d) across different 388 land model simulations forced using k_d from the six products (NCEP/NCAR, NOAA-CIRES-389 DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all 390 terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, 391 and (f) polar climate. The lines of best fit and the linear regression equations, with coefficient of 392 determination r^2 and p-values are noted. The vertical error bars show the inter-annual standard 393 error for the 10-year period. 394



Figure 6 Response of transpiration from shaded leaves to inter-product diffuse fraction spread. 397 Associations between transpiration from shaded leaves and diffuse fraction (k_d) across different 398 399 land model simulations forced using k_d from the six products (NCEP/NCAR, NOAA-CIRES-DOE, ERA5, MERRA-2, CERES, and CAM) considered in the present study for (a) all 400 terrestrial surfaces, (b) tropical climate, (c) arid climate, (d) temperate climate, (e) boreal climate, 401 and (f) polar climate. The lines of best fit and the linear regression equations, with coefficient of 402 determination r^2 and p-values are noted. The vertical error bars show the inter-annual standard 403 error for the 10-year period. 404

405 **4. Discussion**

Since both K_{\downarrow} and $K_{\downarrow,d}$ vary in these gridded products, we would expect the effect of variations in 406 K_{\downarrow} to overwhelm that of changes in $K_{\downarrow,d}$ (Chakraborty & Lee, 2019; Wild et al., 1998; Winter & 407 Eltahir, 2010). The differences between datasets are also larger than perturbation signals seen for 408 many individual atmospheric components (Chakraborty et al., 2021; Matsui et al., 2008; Oliveira 409 et al., 2011; O'Sullivan et al., 2021). A couple of cases are discussed here. For eastern United 410 States during the summer, Matsui et al. (2008) showed an average decrease in K_{\downarrow} of 15.4 W m⁻² 411 and an increase in k_d by 3.48% for the 2000-2001 period on removing all aerosols. For the LSM 412 used in that study, these aerosol-induced perturbations led to decreases in λE and H by over 2% 413 and 11%, respectively. In comparison, the difference in annual average K_{\downarrow} over the entire United 414 States between CERES and NCEP/NCAR is 41.3 W m⁻², while the k_d varies from 0.24 in 415 CERES to 0.45 in MERRA-2. Therefore, the effect of switching between gridded products of k_d 416 to force an LSM will be potentially larger than the effect of removing all aerosols from the 417 418 atmosphere. Oliveira et al. (2011) showed that for Europe and eastern United States, a roughly 7 W m⁻² solar dimming between 1960–1990 decreased λE by 1.5 W m⁻² and increased surface 419 runoff by ~5%. Similarly, the subsequent solar brightening between 1990 and 2004 of 6 420 W m⁻² increased λE by 3 W m⁻² and decreased surface runoff by 7% and 10% for the two 421 regions. For the gridded products considered here, K_{\downarrow} changes by 46.6 W m⁻² between ERA5 422 and NCEP/NCAR for Europe and by 41.3 W m^{-2} over the United States, both perturbations 423 being substantially larger than the temporal change in that study. Oliveira et al. (2011) also 424 found that higher k_d (from 0.3 to 0.35) between 1960 and 1990 increased evapotranspiration in 425 the tropics by 2.5 W m⁻². In comparison, the mean k_d over the tropical grids varies from ~0.30 426 when using MERRA-2-based forcing vs 0.6 for CERES-based forcing; 6 times that range. 427

Since the focus here is on the $K_{\downarrow,d}$ fertilization effect, we keep the total K_{\downarrow} constant across model 428 simulations to isolate the impact of changing k_d on carbon and energy fluxes. GPP shows a 429 430 stronger sensitivity to k_d than λE , which is in line with recent results for only the aerosol-induced changes in k_d (Chakraborty et al., 2021). Since we use a dynamic vegetation scheme with canopy 431 state responding to the atmospheric forcing, we find that this sensitivity remains essentially the 432 433 same for the 2090-2099 period compared to 2030-2039 period globally and across most climate zones (Figs 1, 2, S3, S10, S11). For global land for instance, GPP increases by 6.1% (λE 434 decreases by 0.35%) in 2030-2039, versus +7% (GPP) and -0.37% (λE) for 2090-2099. These 435 small changes (less than a percent for GPP) over the roughly eighty-year span suggest we should 436 be cautious when linearly extrapolating the results from perturbation studies. For instance, taking 437 the sensitivities from the feedback loop between increases in k_d due to emissions of Biogenic 438 Volatile Organic Compounds and GPP enhancement proposed by Rap et al. (2018a) and 439 implementing it between the total k_d values in MERRA-2 and CERES would yields a 5.7% 440 increase in global terrestrial GPP due to the feedback alone. In reality, the actual changes would 441 be mediated by other negative feedback loops (Rap, 2019; B. Wang et al., 2019). One such 442 feedback is surface cooling (and thus GPP decrease) (Zhu et al., 2016), including cloud-induced 443 444 cooling, with Ban-Weiss et al. (2011) showing a global surface temperature reduction of 0.54 K due to an increase in evaporative fraction (EF= $\lambda E/(\lambda E+H)$; by 0.014) via increased cloudiness. 445 The change in EF when switching from MERRA-2 to CERES k_d forcing is 0.008; roughly half 446 of that. Note however that these estimates of potential feedback (both in Rap et al. 2018a and the 447 present study) are modeled and thus dependent on the accuracy with which the models can 448 capture the response to $K_{\downarrow,d}$. For the summertime GPP simulated by the uncoupled multi-layer 449

450 implementation of CLM, for instance, there is evidence that the response to $K_{\downarrow,d}$ is overestimated

451 for a temperate deciduous forest site (Wozniak et al., 2020).

Although inter-model spread in K_{\downarrow} has been examined across CMIP6 and CMIP5 models (Wild, 452 2020), similar analysis for $K_{\downarrow,d}$ (and thus k_d) are missing, partly because this variable is not 453 always publicly archived. Although we do not expect the variability in k_d in current ESMs to be 454 much larger than the range considered here, it is important to examine the spread across the 455 radiative transfer modules used in CMIP6 models to identify potential reasons for the 456 discrepancies. A bigger limitation of the present study is that we use a single land-surface model 457 458 (LSM). Even with the same forcing data, different LSMs can show wide ranges in simulated carbon and moisture fluxes due to different implementations of model physics, land use 459 460 representations, canopy architecture, presence or absence of dynamic vegetation, topography, etc. (Hao et al., 2021; Lawrence et al., 2016; Wild, 2020; Yao et al., 2014). However, CLM is a 461 good starting point since different versions of it have been incorporated in multiple operational 462 463 ESMs that are participating in CMIP6 (Chakraborty et al., 2021). Given the large response of the terrestrial GPP and evapotranspiration to the inter-product spread in k_d forcing seen here, it is 464 critical to systematically examine these sensitivities across land modules in currently operational 465 ESMs. Doing so can identify potential deficiencies in current-generation models, thereby 466 informing future model development, and better constrain land carbon uptake and its potential 467 feedback in future climate assessments. 468

469 Conclusions

Clouds, aerosols, and the carbon budget are large sources of uncertainty in our understanding ofthe Earth system and how it will change in the future. The diffuse radiation fertilization effect

links these three components and remains a relatively understudied aspect of atmosphere-472 biosphere interactions with global estimates relying on model simulations. Here we first 473 demonstrate the sampling bias in existing flux tower networks to observationally constrain this 474 effect and then examine the impact of a realistic spread in diffuse fraction forcing, derived from 475 global gridded products, on components and subcomponents of the terrestrial carbon and energy 476 477 budgets simulated by the latest version of the Community Land Model (CLM). Large differences are seen in gross primary productivity (GPP; around ~7% globally) for this inter-product spread 478 with larger differences (~9%) in tropical regions. Overall, simulated GPP due to inter-product 479 diffuse fraction spread in CLM is roughly a third of the inter-quartile GPP spread seen 480 previously across biome models. Changes in terrestrial evapotranspiration are smaller due to 481 contrasting changes in shaded and sunlit leaf transpiration but greater than regional impacts of 482 individual forcing agents. No current Model Intercomparison Project, whether focusing on the 483 atmosphere or the biosphere, explicitly accounts for the diffuse radiation or its impacts. Our 484 results demonstrate the importance of systematically examining the simulated diffuse radiation 485 by atmosphere modules and response to the same in land modules across Earth System Models. 486 Doing so can identify potential deficiencies in current-generation models, inform future model 487 488 development, and better constrain land carbon uptake and its potential feedback in future climate change assessments. 489

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506 Data availability

The Community Earth System Model is a public domain software and its releases are accessible 507 through this GitHub repository: https://github.com/ESCOMP/CESM. The CERES data were 508 obtained from the NASA Langley Research Center CERES ordering tool 509 (https://ceres.larc.nasa.gov/). The NOAA-CIRES-DOE and NCEP-NCAR reanalysis datasets 510 were downloaded from the PSL website (https://psl.noaa.gov/). The MERRA-2 reanalysis 511

- 512 dataset can be found on NASA's website (<u>https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/</u>).
- 513 The ERA5 reanalysis data were downloaded from the Copernicus Climate Data Store
- 514 (<u>https://cds.climate.copernicus.eu/</u>). Other datasets used and generated for this study are available
- 515 from the authors upon request.

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