Observations of elves and radio wave perturbations by lightning

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Abstract

The electromagnetic and electrostatic fields from powerful lightning heat and ionize the lower ionosphere. The disturbances appear as halos, sprites and elves, and are also observed as perturbations in crossing radio signals. The characteristic of the lightning discharges leading to the various types of perturbations is not fully understood. Here we present an analysis of 63 elves and corresponding VLF and MF signal perturbations from an almost stationary thunderstorm that allows us to untangle some of the dependencies of perturbations on the lightning characteristics. We characterize the perturbations to a VLF-transmitter signal as "long-recovery-early-events" (LOREs), "early" events, or "rapid-onset-rapid-decay" (RORD) events. We find that LOREs are related to high lightning current and bright elves, and their amplitude and sign depend on their location along the signal path. With observations in the ELF and MF band, we find that lightning with elves has three times the impulse charge moment change (iCMC) and ten times the power than lightning of similar peak current without elves. Attenuation in MF links appear in a higher proportion and longer duration observed with elves than with high peak current lightning without elves. The remaining types of VLF perturbations occur without TLEs but with sequences of lightning that produce slowly rising CMCs reaching high values (up to ~3500 C km within ~500 ms). Slower rise times lead to lower fields in the mesosphere that may not create significant ionization but instead drive dissociative attachment of free electrons. The depletions can result in perturbations to crossing VLF signals.

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Key Points:

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13	Analysis of 63 elves above a thunderstorm in the Adriatic Sea and pertu	irbations
14	to MF and/or VLF transmitter signals passing the storm	
15	Lightning causing elves has ${\sim} {\rm ten}$ times the power and ${\sim} {\rm three}$ times the	iCMC of
16	lightning with a similar peak current without elves	
17	Only LOREs are observed with elves. Shorter VLF perturbations are like	cely caused
18	by e-field driven electron attachment in the mesosphere	

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19 Abstract

The electromagnetic and electrostatic fields from powerful lightning heat and ionize the 20 lower ionosphere. The disturbances appear as halos, sprites and elves, and are also ob-21 served as perturbations in crossing radio signals. The characteristic of the lightning dis-22 charges leading to the various types of perturbations is not fully understood. Here we 23 present an analysis of 63 elves and corresponding VLF and MF signal perturbations from 24 an almost stationary thunderstorm that allows us to untangle some of the dependencies 25 of perturbations on the lightning characteristics. We characterize the perturbations to 26 a VLF-transmitter signal as "long-recovery-early-events" (LOREs), "early" events, or 27 "rapid-onset-rapid-decay" (RORD) events. We find that LOREs are related to high light-28 ning current and bright elves, and their amplitude and sign depend on their location along 29 the signal path. With observations in the ELF and MF band, we find that lightning with 30 elves has three times the impulse charge moment change (iCMC) and ten times the power 31 than lightning of similar peak current without elves. Attenuation in MF links appear in 32 a higher proportion and longer duration observed with elves than with high peak cur-33 rent lightning without elves. The remaining types of VLF perturbations occur without 34 TLEs but with sequences of lightning that produce slowly rising CMCs reaching high 35 values (up to ~ 3500 C km within ~ 500 ms). Slower rise times lead to lower fields in the 36 mesosphere that may not create significant ionization but instead drive dissociative at-37 38 tachment of free electrons. The depletions can result in perturbations to crossing VLF signals. 30

⁴⁰ Plain Language Summary

Powerful lightning can create local disturbances to the atmosphere at around 70-41 100 km altitude. Such disturbances appear as phenomena known as halos, sprites and 42 elves and can also be observed as changes in phase and amplitude of radio communica-43 tion signals that pass through the disturbed region. The characteristics of the lightning 44 strokes leading to the various types of perturbations is not fully understood. In this work, 45 we analyse 63 elves and corresponding amplitude changes in radio signals from an al-46 most stationary thunderstorm that allows us to untangle some of the dependencies of 47 perturbations on the lightning characteristics. We find that lightning that produce elves 48 has ten times the power and three times the impulse charge moment change than light-49 ning of similar peak current that did not produce elves. Also we find that elves are as-50 sociated with the longest types of perturbations ($\sim 10 \text{ min duration}$) in the VLF radio 51 signals, whereas the shorter types of perturbations (~ 1 min duration) occur without op-52 tical emission. Our results suggests that these are a result of density changes at 70-85 53 km altitude caused by electron attachment by slower rising electric fields. 54

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55 1 Introduction

Elves are rings of optical emissions at the base of the ionosphere ($\sim 80-95$ km al-56 titude) that expand rapidly up to ~ 700 km diameter during ~ 1 ms following a power-57 ful cloud-to-ground (CG) lightning stroke. They are emissions from atmospheric con-58 stituents that are excited and ionized by collisions with free electrons heated by the elec-59 tromagnetic pulse (EMP) radiated by the lightning return current (Fukunishi et al., 1996; 60 Barrington-Leigh & Inan, 1999; van der Velde & Montanyà, 2016). Since their first dis-61 covery from the space shuttle orbiters (Boeck et al., 1992), elves have been studied from 62 63 the ground (e.g., Fukunishi et al., 1996; Blaes et al., 2016; van der Velde & Montanyà, 2016; Kolmašová et al., 2021), from space (e.g., Chen et al., 2008, 2014) and with mod-64 els (e.g., Inan, Sampson, & Taranenko, 1996; Marshall et al., 2010; Marshall, 2012). The 65 properties of lightning return strokes that control the excitation of elve emissions are still 66 not fully understood because comprehensive data are lacking caused, for instance, by lim-67 itations in instrument sensor sensitivities, triggered data selection, and the relatively mod-68 est number of optical observations of elves. Whereas the radiated EMP is proportional 69 to the time derivative of the peak current, the most commonly adopted parameter for 70 elve probability is the peak current itself because it is a parameter provided by lightning 71 detection networks. An estimate of the lower limit required to generate elves range from 72 \sim 38 kA (Chen et al., 2014), where elves were observed with the ISUAL spectrophotome-73 ter from space, to ~ 130 kA (van der Velde & Montanyà, 2016) based on camera obser-74 vations from ground in Spain and France. Observations in the western United States con-75 cluded that the threshold for 50% probability of elves was 88 kA and 90\% probability 76 at 106 kA (Blaes et al., 2016). Global variations in the height of the ionosphere and elec-77 tron density gradients with altitude may influence the production of elves, as well as me-78 teorological variations such as thunderstorm altitudes, and thereby the average lightning 79 channel length (Blaes et al., 2016). Thus, van der Velde and Montanyà (2016) found elves 80 far more likely in maritime winter thunderstorms than summer thunderstorms over land 81 and Chen et al. (2014) found only dependence on stroke energy, but no significant oceanic 82 and land difference. The diversity of conditions in the above reports points to the dif-83 ficulty in determining a globally and seasonally independent lower limit on peak current 84 (or other lightning parameters) for the causative lightning of elves. 85

Narrow-band navigational transmitter signals in the VLF band propagate in the 86 earth-ionosphere wave-guide. They reflect at altitudes of elves and the signal properties 87 are therefore affected by conductivity changes at this boundary. The electron density changes 88 associated with elves (Marshall et al., 2010) cause steplike perturbations to the trans-89 mitter signals (amplitude and phase) if the transmitter-receiver (TR) path crosses the 90 region affected by the elve. Such perturbations are called Long Recovery Early Events 91 (LOREs)(e.g., Haldoupis et al., 2013; Mika et al., 2006; Naitamor et al., 2013; Salut et 92 al., 2012). They fall into the category of "early" VLF events because they are caused 93 by direct coupling of the lightning EMP and the ionosphere, thus showing a very short 94 delay (a few ms) from the return stroke pulse. LOREs persist for tens of minutes, and 95 sometimes the signal does not recover before it is masked by other variations in the sig-96 nal levels (Mika et al., 2006). The long recovery time is linked to the lifetime of free elec-97 trons at this altitude (Rodger, 2003). The LORE phenomenon has almost exclusively 98 been observed in association with elves and is considered the VLF signature of elves (Haldoupis 99 et al., 2013; Mika et al., 2006; Kolmašová et al., 2021), although larger data sets have 100 not been published until now. On the other hand, the physical mechanisms responsible 101 for "early/fast" and "early/slow" VLF events (Inan et al., 1993; Haldoupis et al., 2006). 102 which are similar to LOREs but with recovery within a few minutes, are still under de-103 bate (Marshall et al., 2008; Kabirzadeh et al., 2017). They relate to sprites (Inan et al., 104 1995; Haldoupis et al., 2004, 2010) and sprite halos (Moore et al., 2003); however, nu-105 merous observations of early/fast events with no associated transient luminous events 106 (TLEs) also exist (Marshall et al., 2006). To relate to sprites and halos they must be driven 107 by the quasi-electrostatic (QE) field of lightning discharges. The field affects the atmo-108

sphere at lower altitudes (70-80 km) where the lifetimes of free electrons are of the order of 10-100 s (Pasko & Inan, 1994; Rodger et al., 1998) in line with the observed recovery rates. Another type of VLF perturbation associated with the QE field of lightning are the so-called "rapid onset rapid decay" (RORD) events (Dowden et al., 1994;
Inan, Slingeland, et al., 1996). With onsets within 20 ms and recovery in less that 3 seconds, corresponding to the duration of the field, they are believed to be VLF signatures
of the conductivity changes due to heating by QE fields(Inan, Slingeland, et al., 1996).

Narrow-band signals from radio transmitters in the MF band (0.3-3 MHz) reflect 116 117 during the night impact of ~ 105 km altitude and may be absorbed if passing through disturbed regions. Strong CG strokes are found to be associated with ms-duration atten-118 uation of narrow-band radio transmission in a band of 500 - 1600 kHz, with the ampli-119 tude of attenuation proportional to the peak current of the causative stroke (Farges et 120 al., 2007). Although MF perturbations are four-five orders of magnitude shorter than 121 VLF perturbations, the size of the perturbed regions is comparable. To understand this 122 brief blackout phenomenon, Farges et al. (2007) modeled the propagation of the MF ra-123 dio waves through a region of the ionosphere disturbed by the lightning EMP under three 124 different scenarios: that the EMP causes only ionization, only electron heating, and both 125 combined. The results were compared to the absorption calculations obtained in the ab-126 sence of flashes and showed that electron heating alone could explain the measured at-127 tenuation. Moreover, the decay of electron heating, which is less than 100 ms at elve al-128 titudes (Rodger et al., 1998), is the only process that is compatible with the observed 129 attenuation (1 - 10 ms). For comparison, the decay of enhanced ionization is 10,000 times 130 longer. Finally, Farges et al. (2007) concluded that the disturbances could be an addi-131 tional signature of the presence of elves. However, simultaneous observations of elves and 132 MF blackouts have not been published until now. 133

In this paper, we present observations of a high number of elves produced over the 134 Adriatic Sea during the night of December 9-10, 2020, with simultaneous observations 135 of perturbations in the signals of one VLF link and seven MF links passing the region. 136 Our goal is to investigate the relationship between lightning characteristics and light-137 ning effects in the ionosphere. For the first time (to our knowledge), optical observations 138 of 63 elves were recorded from an almost stationary storm. The observations offer a rare 139 opportunity to limit the influence of geographic location, local time and season, view-140 ing conditions and instrument sensitivity while still having a large data sample. We in-141 clude in our analysis impulse current moment changes (iCMC) and charge moment changes 142 (CMC) of selected strokes derived from Extremely Low Frequency (ELF) measurements 143 and energy of causative strokes from broadband electric field measurements. The rela-144 tionship between the LOREs of positive and negative polarity is discussed from pertur-145 bations by a second storm on the Italian south coast towards the Tyrrhenian Sea. 146

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2 Data, instrumentation and methods

2.1 Lightning data

¹⁴⁹ We use lightning data from the Vaisala Global Lightning Dataset, GLD360 (Said ¹⁵⁰ et al., 2010; Said & Murphy, 2016). It contains time, location, peak current and type (CG ¹⁵¹ or intracloud (IC)). The detection efficiency (DE) and location accuracy (LA) in the USA ¹⁵² is evaluated to \sim 75–85% relative to the National Lightning Detection Network, which ¹⁵³ has a flash DE >95% (Mallick et al., 2014). The median LA is 1.8 km. The accuracy ¹⁵⁴ in the Adriatic sea is assumed to be the same, as the sensor density is similar to that in ¹⁵⁵ the USA (R. Said, personal communication, March 17, 2021).

The vertical broadband electric field from 1 kHz to 5 MHz was measured with a dipole whip antenna installed in the center of France, 900 to 1050 km from the storm location (labelled "BB" in Figure 1) (Farges & Blanc, 2011). The system triggers if the field exceeds 2 V/m, storing 30 ms of data from 6 ms before the trigger at a sampling
 frequency of 12.5 MHz. We use the measurements to characterize lightning and black outs of MF radio transmitter signals.

The current moment waveform (CMW) and CMC were obtained from measure-162 ments of an ELF receiver system in the Bieszczady mountains in Poland (49.2°N, 22.5°E 163 \sim 850 km from the storm and labelled "ELF" in Figure 1). It measures the magnetic field 164 component with two antennas aligned in the north-south and east-west directions in the 165 frequency range 0.02 Hz to 1.1 kHz. The receiver features a Bessel anti-aliasing filter with 166 a bandwidth of 900 Hz. The sampling frequency is 3 kHz. The CMW and the CMC were 167 reconstructed using the method of Mlynarczyk et al. (2015) that accounts for the depen-168 dence with the frequency of the signal attenuation and the propagation velocity in the 169 ELF range. 170

2.2 VLF receiver

A Sudden Ionospheric Disturbances (SID) monitor measures perturbations to narrow-172 band VLF signals from powerful transmitters used for communication with submarines. 173 The monitor used in this study is operated by the Slovak Organization for Space Activ-174 ities and placed in Bojnice, Slovakia (48.8° N, 18.6° , labelled "SID" in Figure 1). It can 175 record up to sixteen VLF transmitters simultaneously with a sampling frequency of 2 176 Hz. We use the NSY transmitter operated by the Naval Computer and Telecommuni-177 cations Station in Sicily (37.1°N, 14.4°E), which broadcasts at 45.9 kHz with 250 kW. 178 The signal propagates from Sicily to Slovakia (~ 1345 km) and reflects multiple times at 179 the surface of the Earth and the bottom of the ionosphere. The propagation great cir-180 cle path (GCP) of the NSY signal crosses directly the thunderstorm location (see Fig-181 ure 1). 182

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2.3 Optical observations

The optical observations are performed by a TLE observatory installed in Rustrel, 184 France (43.94° N, 5.48° E) at 1025 m altitude. It is mounted on a building made avail-185 able by Laboratoire Souterrain à Bas Bruit (LSBB). The camera is a Watec 1/2" monochrome 186 CCD camera (WAT-902H) with a 16 mm lens that gives $\sim 23^{\circ}$ horizontal and 17° ver-187 tical field of view (FOV). It takes 50 interlaced fields per second, corresponding to a time 188 resolution of 20 ms. The images are time referenced after synchronization with an NTP 189 server, giving an absolute time uncertainty below 5 ms. The camera is mounted on a Quick-190 Set motorized Pan-Tilt unit allowing for active and automatic tracking of thunderstorms. 191 The night of the observations, the camera tracked two thunderstorms automatically, se-192 lecting a new pointing direction every 15 minutes. The camera, therefore, pointed away 193 from the storm during parts of the night. The analysis excludes strokes that occurred 194 in these gaps. From 02:45 UTC the camera stayed in the same position for the rest of 195 the night. 196

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2.3.1 Methods and error estimations

Figure 2, shows an image of an elve that occurred at 03:57:56.578 UTC on Decem-198 ber 10th, 2020. From the images, we estimated their altitude and relative brightness. Fol-199 lowing van der Velde and Montanyà (2016), we calculated the altitude by combining the 200 elevation of the elve centers retrieved from the software 'Cartes du ciel' with the loca-201 tion of the CG stroke reported by GLD360, assuming a spherical earth with radius of 202 203 6370 km and a camera altitude of 1025 m. Before the image analysis, the background for each elve, determined as the mean value of two interlaced fields preceding the elve, 204 was subtracted. The same fields were used to determine the elevation angle of the FOV. 205 The elves are faint and diffuse, and their centers can be difficult to determine, which in-206 troduces an error in the elevation angle. We found that the read-out error was less than 207

²⁰⁸ 0.1 deg, which corresponds to an altitude uncertainty of ± 1.4 km at 800 km distance to ²⁰⁹ the elves. The uncertainty in the location of the parent strokes (median value 1.8 km ²¹⁰ (Said & Murphy, 2016)) introduces an uncertainty of around 0.3 km at 800 km distance ²¹¹ to the storm. We estimated the relative brightness of the elves from the sum of all pix-²¹² els in the background subtracted elve images after scaling by the size of the elve in the ²¹³ image. The brightest elve was used as a reference.

²¹⁴ **3** Meteorology and storm development

On December 9, 2020, a low-pressure system was centered over northern Italy ac-215 cording to the geopotential at 500 hPa with a minimum of about 5.275 km (red lines in 216 Figure 1). The counter-clockwise winds reached up to 40 m s⁻¹ at 500 hPa (~ 5.5 km), 217 corresponding to large geopotential gradients along an arc extending over northern Africa 218 and southern Italy. This jet carried warm, humid air from the Mediterranean Sea into 219 the Adriatic Sea, enhancing strong atmospheric forcing in the region. Over the Adriatic 220 Sea, the wind shear between 1000 and 500 hPa (0-5.5 km) was modest, and the CAPE 221 was moderate ($< 700 \text{ J kg}^{-1}$) and higher over water. These conditions led to several elec-222 trically active cells that produced lightning with very high peak currents. Figure 3 shows 223 the cloud top temperature (CTT) in the Adriatic Sea where the elves were observed (rect-224 angle in Figure 1). The CTT is obtained from the 10.8 μ m band of the Spinning Enhanced 225 Visible and InfraRed Imager (SEVIRI) on the Meteosat Second Generation (MSG) satel-226 lite and is shown here for each hour of elve observation (20:00 UTC to 05:00 UTC). The 227 CTT data is corrected for parallax, corresponding to 0.1° in latitude and 0.03° in lon-228 gitude for cloud tops at ~ 10 km altitude. All CG strokes with peak currents above 200 229 kA absolute value are plotted with black crosses, and the elve-producing strokes are plot-230 ted in green. The elve-producing cells are less than 100 km across at $CTT < -40^{\circ}C$ (blue 231 regions in Figure 3). The coldest CTT is about -60° C, which is not much colder than 232 the troppopuse $(-56^{\circ}C)$ at the same location, suggesting the clouds did not reach much 233 above the tropopause. The elves were caused by strokes over the Adriatic Sea, where CAPE 234 was higher than over land. In the second active region in southern Italy, more CG strokes 235 are over land or at the coastline where CAPE in this region is higher (Figure 1). The 236 CG stroke rate of the Adriatic storm does not exceed 10 CG strokes per minute. The 237 relatively low convective activity, seen from the modest development of clouds cells and 238 low stroke rate, is due to the limited CAPE and wind shear over the Adriatic Sea. From 239 GLD360 we also get that 91% of the CG strokes produced in the Adriatic region (gray 240 rectangle in Figure 1) from 19 to 6 UTC were negative with high average peak currents 241 at -92 kA. The elve-producing strokes all have absolute peak currents stronger than 228 242 kA with an average of 453 kA. 243

4 Observations

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4.1 Elves

During the night of the storm, the camera at Rustrel detected 63 elves. One is shown in Figure 2, and the rest is in the Figures S1-S63 in the Supporting Information. The lowest altitude at the storm that the camera could observe was 50 km (at 750 km distance) and 80 km (at 950 km distance). Thus, we cannot rule out other TLEs, such as sprites and halos, occurring below these altitudes. The camera observed the storm from 20:00 to 05:35 UTC with gaps totaling one hour and 45 minutes (22:00-22:30; 23:30-00:00; 00:30-01:15 UTC).

The optical characteristics were studied for all but a few elves. In four cases, no parent stroke was reported by GLD360 that allowed the estimation of their distance, three were too faint to define their shape, and the brightness of four could not be determined because the moon was behind the elve in the video field. Out of 63 elves, 56 were used for the brightness and altitude calculation.

The altitudes of the elves ranged from 80-90 km, which is within the range and vari-258 ability of the results in van der Velde and Montanyà (2016). All elves below 83 km oc-259 curred in the first hour of observations. We attribute this to the storm cell that was ac-260 tive during this hour rather than to changes in the ionosphere based on results from the 261 NASA international reference ionosphere model (IRI). There is no clear trend between 262 altitude and local time for the rest of the night. The relative brightness varies down to 263 $\sim 17\%$ of the brightest elve. The brightness is correlated with the peak current and the 264 Power Spectral Density (PSD) of the parent stroke in the band of the broadband receiver 265 (see below), a parameter discussed in later paragraphs. 266

²⁶⁷ 4.2 Lightning

During the periods the camera observed the storm, GLD360 detected 234 strokes 268 with absolute values above 200 kA within the camera's FOV, leading to 175 of these that 269 did not produce elves (CG strokes for four elves were not detected). To understand why 270 some produced elves and others not, we made a parameter analysis of the waveform of 271 the vertical electric field from the lightning strokes, measured by the broadband receiver 272 in France. For each stroke, we determined the maximum amplitude of the ground wave 273 (E_{GW}) , the rise-time of the electric field pulse, defined as the time from 50% to 90% of 274 E_{GW} , and the fall time from the maximum to the background. These statistics showed 275 no apparent difference, a conclusion also reached when we averaged and compared the 276 complete waveforms of those that generated elves with those that did not (see Figure 277 S64 in the Supporting Information). 278

In Blaes et al. (2016), the peak current of the CG stroke is found to be a decisive 279 parameter for elve generation, with a probability reaching 50% at 88 kA. Other authors 280 found thresholds from 38 to 130 kA (Chen et al., 2014; van der Velde & Montanyà, 2016). 281 In our case, the formation threshold of elves is around 200 kA. The variability of reported 282 thresholds is likely an effect of the sensitivity of the optical instrument used (camera or 283 photometer, for example), or uncertainty in the estimation of the peak currents reported 284 by the detection networks. However, a question remains why not all high-current strokes 285 generate elves, as noted in Kolmašová et al. (2021). 286

To explore this question further, we computed the electric field wave power, $P(E^2)$, 287 which is the frequency integral of the electric field PSD over the whole antenna band-288 width (1 kHz to 5 MHz) using the method of Ripoll et al. (2021). It is computed over 289 1.5 ms from the arrival time of the ground wave, to include the ground wave and all the 290 sky waves. Figure 4 shows that the CG strokes producing elves have about one order of 291 magnitude larger power, and also three orders of magnitude larger power than the typ-292 ical flashes analyzed in (Ripoll et al., 2021) using the same electric field sensor. This sug-293 gests that the generation of elves depends on the complete electromagnetic energy re-294 lease of the stroke. 295

We also analyzed ELF measurements of the electromagnetic signals from a subset 296 of the lightning strokes from the sensor in the Bieszczady Mountains in Poland. The se-297 lection included high-current strokes with and without elves, and strokes with elves com-298 bined with no, weak or strong LOREs. We calculated the current moment (CM), the CMC 299 and the impulse charge moment change (iCMC) (Cummer & Lyons, 2004) defined as the 300 total CMC during the first 2 ms of the lightning stroke according to the method of Mlynarczyk 301 et al. (2015). The iCMC is likely more relevant for elves than the total CMC since elves 302 are generated within the first milliseconds. The results and other relevant stroke param-303 eters are presented in Table 1. We see that the iCMC and the CM are three times larger 304 for strokes that generate elves. Larger values can result from larger currents, longer chan-305 nel lengths, or both. Since GLD360 data only provide the maximum current obtained 306 in the VLF range, one cannot expect full correlation with the iCMC or CM, as pointed 307 out in Lu et al. (2012). Their relation to LOREs is discussed in a following paragraph. 308

Event	Time (UTC)	Lon (deg)	Lat (deg)	Peak current (kA)	iCMC (C km)	CM (kA km)
F ± eI	12 00 20:00:08 217	15.46	43 30	630	133.0	199
E + sL E + sL	12-09 22:48:54.353	16.56	43.39 42.90	-725	-148.5	-122
E + sL	12-10 01:33:38.668	15.68	43.09	-520	-146.6	-137
E + wL	$12-09 \ 23:05:50.076$	16.67	42.97	-504	-106.5	-93
Ε	$12-09\ 23:14:30.984$	16.68	42.87	-535	-134.0	-120
Ε	$12 ext{-}10\ 01 ext{:}16 ext{:}58 ext{.}561$	14.84	42.81	-498	-117.4	-108
No E	12-10 20:25:15.397	15.27	43.34	$+359^{*}$	-59.1	-55
No E	12-10 01:41:08.681	18.54	42.51	-430	-29.3	-33
No E	12-10 02:33:11.310	18.45	42.66	-378	-32.7	-30
No E	12-10 03:31:06.092	14.74	42.85	-426	-43.8	-39

Table 1. Comparison of impulse charge moment change (iCMC) and current moment (CM) for strokes that produced elves, LOREs (strong or weak as sL or wL, respectively) or not. *reported polarities disagree.

4.3 VLF transmitter signal perturbations

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The amplitude of the NSY VLF signal from the night of December 9-10th is shown 310 in Figure 5 on different temporal scales. The variation on scales larger than ~ 30 min-311 utes are not related to thunderstorm activity, but to other ionospheric processes because 312 the signal from the same transmitter during a night without storms shows similar vari-313 ations. However, amplitude perturbations on shorter scales are multiple, and many cor-314 relate in time with lightning activity detected by GLD360. These are identified using the 315 criterion that the perturbation amplitude must be greater than 0.25 dB relative to the 316 average amplitude (in dB) of the preceding 10 seconds. This condition corresponds to 317 a threshold of 4σ , where σ is the average standard deviation for the night. The value is 318 close to the typical value at 0.2 dB of Inan, Slingeland, et al. (1996). In addition, we re-319 quire that a lightning stroke be detected by GLD360, or an elve by the camera, within 320 0.5 sec before the perturbation, corresponding to the temporal resolution of the receiver. 321 The algorithm used to identify candidate events is used on a 3-point moving average of 322 the signal, shown in Figure 6c in red. All candidate events are manually validated and 323 categorized. The events are grouped in three categories: LOREs, the so-called "early/fast" 324 or "early/slow" events (Inan et al., 1993; Haldoupis et al., 2006), and some events that 325 are also early and fast but only last for 0.5-2 seconds, similar to the previously observed 326 rapid onset, rapid decay (RORD) signatures (Dowden et al., 1994). In Figure 6 we show 327 examples of the three types. 328

The time resolution of the VLF receiver (0.5 s) is sufficient to classify, with a high 329 probability, events as "early" and to exclude lightning-induced electron precipitation that 330 has onsets of 0.3-1.6 s relative to their causative stroke (Burgess, 1993; Peter & Inan, 331 2007). However, it does not allow for classification of the onset duration below 500 ms 332 and therefore "early/fast" (onset <20 ms) and "early/slow" fall in the same category, 333 which we call "early" events (although, by definition LOREs and RORD are also early 334 events). The origin of the "early/fast" and "early/slow" events is discussed in a later para-335 graph. 336

Based on their duration and shape, it is rather simple to categorize many perturbations as either LORE, "early" events, or RORD events. RORD events are simple because they only appear as short perturbations of 1-4 measurement points (2 Hz sampling frequency) before the signal returns to pre-lightning conditions. We identify 33 RORD events (3 with negative amplitude and 30 with positive amplitude of perturbation). Note

that the algorithm could miss some because the 3-point smoothing puts them below the 342 0.25 dB threshold. The two other types are identified using a 20-point moving average 343 (corresponding to 10 sec), and are shown in red in Figures 5 and 6a,b. "Early" events 344 appear as sudden increases in the signal with a recovery (decrease of signal) that starts 345 within 10 seconds of the peak. These events, therefore, appear as a peak with no plateau 346 on the top in the smoothed signal. LOREs are defined as step-like perturbations that 347 can be either positive or negative and that do not show recovery within the first 20 sec-348 onds. It means there will be either a plateau after the step or the amplitude keeps de-349 creasing/increasing for at least 20 sec (see the example in Figure 6a). We identify 68 "early" 350 events (all with positive amplitude) and 18 LOREs (14 negative and 4 positive). The 351 events are marked in Figure 5 and the main characteristics of the lightning strokes re-352 lated to the three types of perturbations are given in Table 2. There are also signal per-353 turbations related to high peak current lightning or even elves that have the shape of 354 negative amplitude LOREs except that the onset is significantly slower at 1 to 3 s. One 355 example is seen in Figure 5b at 22:46:53 UTC. For brevity, such events are not investi-356 gated further in this work. 357

Because of the considerable variation of the background signal and the high num-358 ber of lightning-induced perturbations, it is hard to determine a recovery time for the 359 individual perturbations. However, for all the "early" events, the recovery time looks shorter 360 than 3 minutes (most are ~ 1 min), which is consistent with the typical recovery time 361 of these events. The LOREs may not recover before other variations mask them. How-362 ever, they appear longer than the "early" events. In some cases, the perturbation is hard 363 to categorize (positive LORE or "early" event) because the LORE step or the shape of 364 an "early" event is unclear due to the varying background signal. Another complication is that perturbations can overlap. Thus, a few events can be miscategorized. 366

	LORE	"Early"	RORD
# of events (pos/neg amplitude)	18 (4/14)	68 (0/68)	33 (30/3)
Lightning peak current parameters in absolute value			
Range (kA) [min max] # CG/IC # Neg/pos Mean/Median (kA) 95 % conf. int. (kA)	$\begin{array}{c} [314 \ 725] \\ 15/0^* \\ 14/1^* \\ 526/526 \\ [461 \ 592] \end{array}$	$\begin{array}{c} [3 \ 660] \\ 49/19 \\ 30/38 \\ 82/45 \\ [55 \ 108] \end{array}$	$\begin{array}{c} [5 \ 315] \\ 31/2 \\ 25/8 \\ 124/107 \\ [94 \ 154] \end{array}$

Table 2. Statistics from GLD360 data on the three types of VLF perturbations.

*Three events do not have parent lightning detected by GLD360, but coincide with elve.

Figure 7a,c shows the location of the lightning strokes related to the different types of perturbation and the histogram in Figure 7b shows the minimum distance from the stroke to the VLF path for all three types.

370 4.3.1 LOREs

13 out of 14 negative LOREs were associated with elves caused by lightning strokes of peak current ranging from 314 to 725 kA. The remaining negative LORE (at 23:36 UTC) occurred simultaneously with a lightning stroke with a peak current of +536 kA when the camera was not observing the storm. Thus, it is likely that there was an elve at the time. These results show that the decreases considered as LOREs are related to elves. Nevertheless, the drop in the signal amplitude is counter to most reported LOREs

that exhibit a steep increase in amplitude, believed to be related to a better VLF sig-377 nal reflection efficiency (Haldoupis et al., 2013; Kolmašová et al., 2021). The four pos-378 itive LOREs were generated by the storm on the west coast of Italy, not covered by the 379 camera. However, the related lightning strokes had high peak currents, ranging from -380 383 kA to -631 kA, making them very likely to produce elves. Therefore, we infer that 381 a decrease or increase in the signal amplitudes is a signal propagation effect that depends 382 on the relative locations of the transmitter, disturbance, and receiver, as proposed from 383 observations and models (Haldoupis et al., 2013; Naitamor et al., 2013; Marshall & Inan, 384 2010). As seen from Figure 5, most of the recorded elves (78%) were not associated with 385 perturbations in the VLF signal, although they were caused by very high peak current 386 lightning and occurred within 150 km from the VLF link. Also, the LOREs have very 387 different amplitude. These observations will be discussed in Section 5. 388

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4.3.2 "Early" VLF events

The "early" events, all seen as increases in the VLF signal, are unrelated to elves but correlate in time with lightning of both polarities within 150 km of the VLF path. The median of the absolute values of peak currents is 45 kA, and as seen from Table 2, this is much lower than for the LORE producing strokes and lower than the strokes related to RORD events. The mean peak current and 95% confidence interval calculated for the "early" event strokes match the peak current intensities reported for this type of phenomenon earlier, e.g., 20 kA to 180 kA in Inan, Sampson, and Taranenko (1996).

We calculate the CMC for the discharges related to three of the "early" events (called 397 a, b and c) and present the results in Table 3 together with the stroke parameters re-398 ported by GLD360. Figure 8 shows the current moment waveform and CMC for "early" 399 event c. The three events are chosen because they are related to lightning from the same 400 storm cell. Thus we limit the influence of differences in storm cell characteristics, rel-401 ative location and local time. The time of the three events is read from Table 3 and the 402 VLF signal perturbations are shown in Figure 5c. As seen from the example in Figure 403 8, the CM waveform around the time of the event shows a series of discharges and con-404 tinuing current that make the CMC increase for almost 500 ms. All three "early" events 405 studied here had similar signatures in ELF, and the CMC increased for at least 400 ms 406 in all cases. 407

Some "early" events (19/68) coincide with IC discharges detected by GLD360 and 408 without accompanying CG strokes. GLD360 reports peak current amplitudes for these 409 IC discharges between 3 and 42 kA. We checked Earth Networks lightning data as well, 410 and for 10 of these events, they also only report IC discharges. At the time of the remain-411 ing 9 events, Earth Networks reports either no lightning or a very low peak current (0.3)412 to 7.5 kA CG stroke. The locations of the IC discharges are marked with cyan stars in 413 Figure 7c, and as seen here, all of them are located close (within 34 km) to the VLF path. 414 In these cases, the lightning detection system could have missed the causative CG stroke. 415 However, it is unlikely that two independent lightning detection networks both miss CG 416 strokes but detect weak IC pulses. In addition, the similar location of the events sug-417 gests that they are, in fact, related to IC processes. Our interpretation aligns with Johnson 418 and Inan (2000), who report on measurements of "early/fat" events without a parent 419 CG but with spheric signatures interpreted as intracloud pulses, and many CG strokes 420 with high currents close to the VLF signal path that were not associated with VLF events. 421 They suggest that "early/fast" VLF events are exclusively produced by lightning episodes 422 that include a large IC cluster. In addition, Haldoupis et al. (2006) note that the IC ac-423 tivity of weaker but densely clustered sferics can explain the slower onset of "early/slow" 424 events that do not match the timescales of return strokes. 425

Table 3. Comparison of charge moment change (CMC) for discharges at the time of "early" and RORD events. The lightning stroke parameters (GLD360) are only shown for the strongest discharges, although weaker discharges were also detected in most cases. *reported polarities disagree.

Event	Time (UTC)	Lon (deg)	Lat (deg)	Peak current (kA)	CMC (C km)
"Early" event a	23:17:50.311	16.75	42.94	-26	854.1
"Early" event a	23:17:50.616	16.71	42.91	+68	2708.8
"Early" event b	23:29:57.844	16.72	42.87	-210	818.2
"Early" event b	23:29:57.988	16.73	42.90	+19	2876.6
"Early" event c	23:41:10.851	16.76	42.88	+51	3535.2
RORD a	22:53:17.210	16.61	42.96	-229	1374.0
RORD a	22:53:17.679	16.60	43.01	+53	1009.8
RORD b	23:01:25.721	16.67	42.94	-99	1160.5
RORD b	23:01:26.123	16.53	42.85	-39*	547.6
RORD c	23:02:19.6305	16.57	42.92	-33	1176.3

4.3.3 Rapid onset rapid decay events (RORD)

RORD events are believed to be VLF signatures of heating by QE fields in cases
where the QE field is insufficient to drive ionization changes (Inan, Slingeland, et al., 1996).
Heated electrons cool almost instantaneously, leading RORDs to last as long as the fields,
typically less than a few seconds. In the storm, they are linked with lightning of both
polarities, with peak currents from 5 to 315 kA. As seen in Table 2, most RORDs (30)
were positive amplitude perturbations.

We also calculate the CMC for the discharges that occur at the time of three RORD events (see Table 3 and Figure 5c for the VLF signal). These events are also related to the same storm cell as the "early" events a, b and c. From Table 3 it is clear that the total CMC is smaller for the RORD events than for the "early" events; however, the CMC increased for at least 600 ms in all three cases.

4.4 MF radio wave attenuation

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As shown by Farges et al. (2007), the electromagnetic fields generated by lightning 439 may heat electrons of the lower ionosphere causing millisecond-duration reduction of the 440 amplitudes of signals from MF radio stations. To explore the relationship between such 441 perturbations and lightning with and without elves, we identified 7 MF transmitters from 442 www.mwlist.org, where the signals to the receiver pass over the storm. The measurement 443 concept is shown in Figure 9. The top panel shows the broadband spectrogram corre-444 sponding to the elve at 03:57:56.578 UTC, the middle panel shows the corresponding narrow-445 band signal amplitudes of four MF stations at 540 kHz, 576 kHz, 630 kHz, and 891 kHz, 446 and the bottom panel shows the GCPs of the signals from the transmitters to the re-447 ceiver. The attenuation is most pronounced at 540 kHz, smaller for the three other fre-448 quencies and absent in the remaining three links. From the map, we see that the four 449 links impacted pass close to the center of the elve. 450

For the 59 events with lightning and elves, at least one link is impacted in 86% of the cases, in line with the observation of Farges et al. (2007) for flashes over 60 kA. For the MF attenuation related to the 175 CG high-current strokes that did not produce elves, we found that 53% of the events had at least one attenuated link. The numbers are given in Table 4.

The same technique used by Farges et al. (2007) was systematically employed here 456 to calculate the temporal variation of the attenuation amplitude. The peak attenuation, 457 onset time, rise time and duration of the events were estimated for the seven MF radio 458 links. The averaged values of links with perturbations are shown in Table 4 for the 59 459 events with elves and the 175 without. The mean peak attenuation is stronger than found 460 by Farges et al. (2007), however they showed that the peak attenuation increases with 461 the peak current. The found value is coherent to the ones calculated for CG strokes with 462 peak current higher than 125 kA. The onset and rise time mean values are like those of 463 Farges et al. (2007), whereas the duration is shorter, particularly for strong CGs strokes 464 that are 5-8 ms in Farges et al. (2007). Our MF measurements confirm that the phe-465 nomenon discussed by Farges et al. (2007) is also present when storms are further from 466 the receiver. Regarding cases with and without elves, no difference can be seen for the 467 amplitude but the rise time and durations are significantly shorter for the latter. This 468 could suggest that the MF perturbations in case of no elves observations are due to short 469 or dim elves not able to trigger the camera. We have indeed cases in absence of elves with 470 $P(E^2)$ which are of the same order of magnitude as with elves. 471

	MF blackout	Peak att (dB)	Onset time (ms)	Rise time (ms)	Duration (ms)
Elve No Elve	$86\% \\ 53\%$	-16.30 -15.68	$\begin{array}{c} 1.01 \\ 1.06 \end{array}$	1.20 1.00	3.44 2.89

Table 4. Mean values for MF perturbations for lightning with and without elves.

472 5 Discussion

The only types of radio wave perturbations that are observed simultaneously with elves are the LOREs and the MF blackouts. We first discuss how they are related to elves, then continue with "early" VLF events and RORD events.

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5.1 Elves, LOREs and MF blackouts

Our observations support the understanding that elves are associated with LORES (Haldoupis et al., 2013), while the early VLF perturbations and RORD events are distinct from LOREs and have other origins. However, the observations also raise questions regarding the relationship between elves and LOREs. First of all, why did 78% of the elves occur without LOREs? Second, why do the LOREs vary in amplitude from 0.25 dB to >1 dB? In the following, we try to answer what determines the generation and amplitude of a LORE.

The five strongest LOREs (at 20:09, 22:48, 01:33, 02:19, and 3:56 UTC) have am-484 plitude decreases close to, or larger than, 1 dB. We refer to them as sLOREs (strong) 485 and the rest as wLORE (weak). As seen from Table 1, the presence or amplitude of LOREs 486 is not mirrored in the parameters derived from the ELF data. For instance, the current 487 moment was smaller for cases with weak or absent LOREs, but not significantly so. We 488 cannot, then, search for an explanation in the ELF data. Turning to the VLF data, Marshall 489 and Inan (2010) present a finite difference, frequency domain model of narrow-band VLF 490 transmitter signal propagation in the Earth-ionosphere wave-guide. They place an elec-491 tron density perturbation at the ionospheric boundary at 85 km somewhere along the 492 propagation path and calculate the changes in the signal properties at a receiver. They 493 show that the amplitude perturbation can be both positive and negative, that it is most 494

⁴⁹⁵ perturbed if the disturbance is where the amplitude at the ground is low, such as an in⁴⁹⁶ terference null, and can be suppressed entirely if it falls at an interference null at the re⁴⁹⁷ flection altitude. The amplitude also depends on parameters such as the path length,
⁴⁹⁸ ionospheric and ground properties, and the signal frequency, however, the night-time elec⁴⁹⁹ tron density fluctuations have a minor influence. In line with their model, we find both
⁵⁰⁰ positive and negative perturbations in the amplitude and no dependence in local time
⁵⁰¹ of the occurrence of LOREs and their amplitudes.

In Figure 7a, we show the location given by GLD360 of the CG strokes that pro-502 duced elves and LOREs (also "early" and RORD events), and panel c is a zoom of a re-503 gion close to the VLF path. As noted earlier, Figure 7a shows that the location of all 504 negative LOREs is similar but remarkably different for the positive LOREs. However, 505 the strong LOREs (light blue squares in Figure 7c) are not found closer or at a differ-506 ent geometry relative to the VLF path than the weak LOREs (dark blue diamonds in 507 Figure 7c). Likewise, although many of the elves without LOREs are at a greater dis-508 tance and different location relative to the VLF signal path, such as those of the cell at 509 $\sim 42.8^{\circ}$ N, $\sim 14.5^{\circ}$ E, some are very close (see Figure 7c). The observations confirm the 510 model results of Marshall and Inan (2010) and observations in Naitamor et al. (2013) 511 that the relative location of the lightning/disturbance and the VLF path is important 512 for the amplitude and sign of LOREs. It may not be the whole story, though, because 513 we also see from Figure 10a that elves with LOREs are brighter and produced by strokes 514 of higher power, $P(E^2)$, and that the strong LOREs are among the highest in this group. 515 This observation implies that the stroke energy should be large enough to create appre-516 ciable ionization before we can observe a LORE. From Figure 10b, we see that the al-517 titude could also be relevant since all elves associated with LOREs were above 86 km. 518 The altitude limit suggested by our results could be related to the reflection height of 519 the VLF signal, which according to Ratcliffe (1959, Figure 12.1) is ~ 86 km for this par-520 ticular frequency of 45.9 kHz. 521

We next turn to the MF blackouts. Since both LOREs and MF blackouts are re-522 lated to elves, although linked to different processes in the elve generation (ionization 523 and heating, respectively), we looked in our data for a relationship between the two types 524 of phenomena. Figure 10a, b, show that the elves without MF blackouts were caused by 525 lightning with power from $22-56 (V/m)^2$. However, many elves in this range were also 526 found with MF blackouts. Thus, we cannot determine a threshold. The data in Figure 527 10b could suggest that elves at lower altitudes are more likely to be related to MF black-528 outs, however, such a conclusion would be uncertain. It is also uncertain if the location 529 of the disturbance relative to the signal path plays a role. In the region shown in Fig-530 ure 7c, all but one elve created MF blackouts, which is the same proportion as overall. 531 Understanding the relationship between elves, LOREs and MF blackouts appear to re-532 quire extensive modeling in addition to data analysis. We can, however, state that MF 533 blackouts may occur without LOREs, but not LOREs without MF blackouts. Accord-534 ing to current theories for the two types of perturbations, this statement corresponds to 535 the presence of heating without ionization but not ionization without heating. 536

5.2 "Early" and RORD events

537

We can now discuss the origin of the other types of perturbation. The physical mech-538 anisms responsible for early VLF events are still under debate. The candidate mecha-539 nisms that have gained the most attention are that they are a result of scattering from 540 ionization regions associated with sprites and/or halos (Haldoupis et al., 2004; Moore 541 et al., 2003). Although the camera horizon was below 70 km for 74% of the "early" events, 542 we do not see optical signatures of sprites or halos. Therefore, we suggest that the "early" 543 VLF events were caused by density changes in the mesosphere that did not produce op-544 tical emission. 545

Marshall et al. (2008) showed that density changes in the lower ionosphere by elec-546 tron losses through dissociative attachment to molecular oxygen can create measurable 547 amplitude changes in VLF transmitter signals that travel through the disturbed region. 548 The energy required for attachment (3.7 eV) is lower than that of N₂ optical emissions 549 often seen in sprites and elves (7.5 eV) and N_2 and O_2 ionization (15.6 eV) (Haldoupis 550 et al., 2006; Neubert & Chanrion, 2013). This implies that attachment can occur with-551 out optical emission and ionization, explaining why we and other studies (e.g., Marshall 552 et al., 2006) report "early" events without associated optical emissions, and also why op-553 tical emissions without "early" events are rare (Haldoupis et al., 2004, 2010). The timescale 554 of attachment at ~ 70 km altitude with high electric fields, but below the threshold field 555 for discharges, is around 0.1 ms while the timescales for screening out the field (the di-556 electric relaxation time τ_{σ}) is around 10 ms (Neubert & Chanrion, 2013). This means 557 that electric fields may last long enough for attachment to change the density and thereby 558 the conductivity of the bottom ionosphere introducing perturbations in VLF signals. The 559 timescales of recovery for density changes in the lower ionosphere controlled by attachment-560 detachment processes is in the order of 100 s (Pasko & Inan, 1994), consistent with the 561 recovery times of the "early" events. As discussed in (Marshall et al., 2008), a consequence 562 of this hypothesis is that "early" VLF events caused by attachment-depleted regions would 563 mostly have positive perturbation amplitudes due to less VLF signal absorption in the reduced density region. This scenario is consistent with our results as well as results from 565 other previous studies (e.g., Inan et al., 1993; Inan, Sampson, & Taranenko, 1996; Mar-566 shall et al., 2006; Haldoupis et al., 2004). 567

Marshall et al. (2008) attribute the attachment process to the EMP from succes-568 sive in-cloud lightning discharges. Because we observe very high CMC related to the early 569 events (Table 3), we suggest that the QE field caused by the CMC related to the dis-570 charges could also contribute to attachment, either alone or in combination with the EMP. 571 The CMC appears high enough to produce ionization in the mesosphere. Common thresh-572 old values for breakdown in the lower ionosphere are about 600 C km (Cummer & Lyons, 573 2005), and for winter thunderstorms, sprite producing strokes were found to have aver-574 age CMC values of 1400 ± 600 C km, with only extreme events exceeding 3500 C km 575 (Yair et al., 2009). However, we know from Pasko et al. (1997) and it is demonstrated 576 and generalized in Hiraki and Fukunishi (2006), that the electric field in the mesosphere 577 depends on the CMC but also on the the timescale of charge removal. Sprites and ha-578 los usually occur after an impulsive enhancement of the CMC by lightning flash or cur-579 rent in the continuing discharge in the order of milliseconds. From Figure 8, we see that 580 the events are related to long ($\sim 500 \text{ ms}$) sequences of discharges, with both larger and 581 smaller discharges some of which are slower than typically and probably related to IC 582 activity. The CMC increases during 10-100 ms, which is likely too slow to produce TLEs. 583 It could, however, be sufficient to increase attachment (Neubert & Chanrion, 2013) that would lower the electron density and perturb the VLF transmitter signal that passes through 585 the affected region. 586

The short-duration RORD events in which the entire signal amplitude change lasts 587 only for 0.5-2 seconds are consistent with the heating of the ambient electrons by QE 588 fields in cases when heating is not intense enough to exceed the attachment (3.7 eV) or 589 ionization thresholds (15.6 eV). According to this hypothesis, RORD events are equiv-590 alent to the MF blackouts. The conductivity changes due to heating alone last only as 591 long as the fields, which is typically a few seconds for QE fields (Inan, Slingeland, et al., 592 1996) and only ms for the EMP (Farges et al., 2007). When heating energy exceeds at-593 tachment or ionization thresholds, the electron density is reduced or enhanced respec-594 tively, in which case the medium would relax back to the ambient conditions in the time 595 scales of the local chemistry (typically 10-100 seconds at sprite altitudes (Pasko & Inan, 596 1994; Rodger et al., 1998)), as is the case in "early" events. The CMCs associated to the 597 four RORD events in Table 3 are clearly smaller than for the early events while their time 598 constants are the same, supporting this theory. 599

600 6 Summary

We analyze for the first time observations of a large number of elves (63) from a single storm over the Adriatic Sea and associated perturbations to MF and VLF transmitter signals. We find three types of perturbations in the VLF transmitter signal: LOREs, "early" and RORD events. We also analyze the iCMC and CMC of selected lightning strokes. Based on the observations, we conclude that:

- 1. Elves are either accompanied by LOREs (14) or no perturbation (49). Thus, elves 606 are not observed with other types of perturbations in the VLF transmitter signal. 607 2. Our results suggest that bright elves at higher altitudes (>86 km) generated by 608 high energy strokes are primarily associated with LOREs. 609 3. The sign of the LORE amplitude perturbation depends on the location of the dis-610 turbance (elve) relative to the VLF TR path. 611 4. MF blackouts occur more often with elves (86 %) than with CG strokes of sim-612 ilar high current, but without elves (53 %). 613 5. CG strokes that produce elves have one order of magnitude higher power $P(E^2)$ 614 and three times higher iCMC than strokes of similar peak current that do not pro-615 duce elves. 616 6. "Early" and RORD events correlate with lightning sequences with slowly increas-617 ing CMCs (400 ms) that reach high values. The CMC is higher for early events 618 (>3535 C km) than for RORD events (>1176 C km). 619
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635 Data availability

The data used for this publication can be obtained from the public repository (xxx will be available by acceptance xxx).

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Figure 1. Overview map showing the location of instrumentation used in this study. All CG lightning strokes within \sim 250 km from the GCP of the VLF signal are plotted in black and the elve producing strokes in green. Red contour lines show the geopotential height at 500 hPa and CAPE is shown in colors. The rectangle frames the region where the elves were observed.



Figure 2. Example of elve image from this study. This elve appeared on December 10 2020, at 03:57:56.578 UTC.



Figure 3. Hourly snapshots of cloud top temperature and strokes with peak currents absolute values higher than 200 kA marked with black crosses from 20 to 05 UTC. The elve-producing strokes are plotted in green.



Figure 4. The $P(E^2)$ of the electric field in the band from 1 kHz to 5 MHz computed over 1.5 ms.



Figure 5. (a) Intensity of the NSY VLF signal (45.9 kHz) recorded in Bojnice (Slovakia) on the night of December 9-10, 2020. Overlaid are the times of elves, LOREs, "early" events and RORD events. b) A zoom of the signal in the time 22:45-23:15 UTC. c) A zoom of the signal in the time 23:15-23:47 UTC.



Figure 6. a) An example of a negative and positive LORE event. The negative LORE is caused by a lightning stroke of -725 kA that also produced an elve. The positive LORE is caused by a -530 kA stroke which would likely produce an elve, but the camera was not pointed towards its direction. b) Three examples of "early" events. The first and third have simultaneous strokes with -121 kA and +59 kA currents. The second has no identified stroke but coincides with an IC pulse of -7 kA. c) An example of a RORD event caused by a -155 kA stroke.



Figure 7. a) Locations of elve-producing strokes (green dots) and LORE-producing strokes (blue/red diamonds). The CG strokes responsible for "early" events are shown with yellow squares and RORD with gray circles. The VLF GCP is shown in black. b) Histogram showing the distance between causative lightning stroke and VLF GCP for the three types of events. c) Zoom to the region marked with a rectangle in panel a). In addition to the markers in panel a), we highlight the strong LOREs (>1 dB) with cyan marker, the "early" events produced by IC pulses with cyan stars and annotate MF blackouts related to an elve. Gray dashed lines are MF GCPs that cross this region.



Figure 8. Current moment and charge moment change for "early" event c. The three CG strokes are detected by GLD360.



Figure 9. (top) Electric field and associate spectrogram for the elve observed at 03:57:56.578 UTC (shown in Figure 2). (middle): relative amplitude of four transmissions at 540, 576, 630 and 891 kHz (dB). (bottom): map showing the location of the strong CG strokes occurring during the December 9 to 10, 2020 over the Adriatic Sea (grey circles without elves, red ones with elves, the blue circle is for the elve at 03:57:56.578 UT and the light blue disk indicates where the elve is theoretically expanding), magenta dots show the location of transmitters used in this study and the dashed curves are the GCPs of each of these2%ransmitters to the CEA station located in the center of France.



Figure 10. a) Elve relative brightness vs. stroke $P(E^2)$ calculated over 1.5 ms. The elves associated with LOREs and MF blackouts are marked. b) Elve altitude vs. stroke $P(E^2)$ calculated over 1.5 ms. The uncertainty on the altitude is ± 1.7 km.

Supporting Information for "Observations of elves and radio wave perturbations by lightning"

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1. Figures S1 to S64

Introduction

The Figures S1 to S63 show the background subtracted elve images taken with a Watec 1/2" monochrome CCD camera (WAT-902H) with a 16 mm lens from LSBB in Rustrel. Many of the images have diagonal lines across them. This is an artifact of unknown origin (could be external, such as an radio signal) that we could not remove during post processing of the images because it moved from field to field.



Figure S1. Elve occurring at 2020-12-09T20:04:46.607 UTC.



Figure S2. Elve occurring at 2020-12-09T20:07:07.313 UTC.



Figure S3. Elve occurring at 2020-12-09T20:09:08.216 UTC.



Figure S4. Elve occurring at 2020-12-09T20:10:47.929 UTC.



Figure S5. Elve occurring at 2020-12-09T20:16:13.883 UTC.



Figure S6. Elve occurring at 2020-12-09T20:17:57.214 UTC.



Figure S7. Elve occurring at 2020-12-09T20:20:58.790 UTC.



Figure S8. Elve occurring at 2020-12-09T20:22:55.682 UTC.



Figure S9. Elve occurring at 2020-12-09T20:29:45.575 UTC.



Figure S10. Elve occurring at 2020-12-09T20:29:58.690 UTC.



Figure S11. Elve occurring at 2020-12-09T20:30:08.119 UTC.



Figure S12. Elve occurring at 2020-12-09T20:31:17.727 UTC.



Figure S13. Elve occurring at 2020-12-09T20:32:58.789 UTC.



Figure S14. Elve occurring at 2020-12-09T20:33:10.516 UTC.



Figure S15. Elve occurring at 2020-12-09T20:46:42.528 UTC.



Figure S16. Elve occurring at 2020-12-09T20:49:54.100 UTC.



Figure S17. Elve occurring at 2020-12-09T21:09:03.613 UTC.



Figure S18. Elve occurring at 2020-12-09T21:54:33.415 UTC.



Figure S19. Elve occurring at 2020-12-09T22:35:15.984 UTC.



Figure S20. Elve occurring at 2020-12-09T22:48:54.352 UTC.



Figure S21. Elve occurring at 2020-12-09T23:05:50.075 UTC.



Figure S22. Elve occurring at 2020-12-09T23:14:30.984112607Z UTC.



Figure S23. Elve occurring at 2020-12-10T01:16:58.560 UTC.



Figure S24. Elve occurring at 2020-12-10T01:24:50.840 UTC.



Figure S25. Elve occurring at 2020-12-10T01:27:34.330 UTC.



Figure S26. Elve occurring at 2020-12-10T01:33:38.668 UTC.



Figure S27. Elve occurring at 2020-12-10T01:35:02.158 UTC.



Figure S28. Elve occurring at 2020-12-10T01:37:55.356 UTC.



Figure S29. Elve occurring at 2020-12-10T01:41:23.277 UTC.



Figure S30. Elve occurring at 2020-12-10T01:43:29.316 UTC.



Figure S31. Elve occurring at 2020-12-10T01:50:15.490 UTC.



Figure S32. Elve occurring at 2020-12-10T01:50:28.950 UTC.



Figure S33. Elve occurring at 2020-12-10T02:03:58.538 UTC.



Figure S34. Elve occurring at 2020-12-10T02:07:50.666 UTC. No CG was detected by GLD360.



Figure S35. Elve occurring at 2020-12-10T02:11:08.862 UTC. No CG was detected by GLD360.



Figure S36. Elve occurring at 2020-12-10T02:13:20.216 UTC.



Figure S37. Elve occurring at 2020-12-10T02:14:48.433 UTC.



Figure S38. Elve occurring at 2020-12-10T02:19:51.788 UTC.



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Figure S48. Elve occurring at 2020-12-10T03:20:33.680 UTC.



Figure S49. Elve occurring at 2020-12-10T03:21:49.979 UTC.



Figure S50. Elve occurring at 2020-12-10T03:31:32.347 UTC.



Figure S51. Elve occurring at 2020-12-10T03:40:26.663 UTC.



Figure S52. Elve occurring at 2020-12-10T03:42:34.366 UTC.



Figure S53. Elve occurring at 2020-12-10T03:45:38.110 UTC. No CG was detected by GLD360.



Figure S54. Elve occurring at 2020-12-10T03:50:04.616 UTC.



Figure S55. Elve occurring at 2020-12-10T03:57:37.617 UTC.



Figure S56. Elve occurring at 2020-12-10T03:57:56.574 UTC.



Figure S57. Elve occurring at 2020-12-10T04:13:43.939Z UTC. No CG stroke was detected by GLD360.



Figure S58. Elve occurring at 2020-12-10T04:19:28.843 UTC.



Figure S59. Elve occurring at 2020-12-10T04:27:56.658 UTC.



Figure S60. Elve occurring at 2020-12-10T04:33:03.529 UTC.



Figure S61. Elve occurring at 2020-12-10T04:50:20.225 UTC.



Figure S62. Elve occurring at 2020-12-10T05:08:41.960 UTC.



Figure S63. Elve occurring at 2020-12-10T05:09:00.625 UTC.





Figure S64. Comparison of stack of normalized waveforms for elve parent strokes (blue) and for not producing elves strokes (red). The ground wave and the first and second sky waves are indicated with arrows. The PSD of each flash (Figure 4 in the paper) is computed over this time window.