Super Resolution Reconstruction of E3SM Data Using a FSRCNN

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Abstract

We present a first application of a fast super resolution convolutional neural network (FSRCNN) approach for downscaling climate simulations. Unlike other SR approaches, FSRCNN uses the same input feature dimensions as the low resolution input. This allows it to have smaller convolution layers, avoiding over-smoothing, and reduced computational costs. We further adapt FSRCNN to feature additional convolution layers after the deconvolution layer, we term FSRCNN-ESM. We use highresolution (0.25°) monthly averaged model output of five surface variables over a part of North America from the US Department of Energy's Energy Exascale Earth System Model's control simulation. These high-resolution and corresponding coarsened lowresolution (1°) pairs of images are used to train the FSRCNN-ESM and evaluate its use as a downscaling approach. We find that FSRCNN-ESM outperforms FSRCNN and other methods in reconstructing high resolution images producing finer spatial scale features with better accuracy for surface temperature, surface radiative fluxes and precipitation. This manuscript has been authored by UT-Battelle, LLC under Contract No. DE-AC05-00OR22725 with the U.S. Department of Energy. The United States Government retains and the publisher, by accepting the article for publication, acknowledges that the United States Government retains a non-exclusive, paid-up, irrevocable, world-wide license to publish or reproduce the published form of this manuscript, or allow others to do so, for United States Government purposes. The Department of Energy will provide

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⁹ Super Resolution Reconstruction of E3SM Data Using ¹⁰ a FSRCNN

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¹⁴ Key Points:

We present a fast super resolution convolutional neural network (FSRCNN) based approach for downscaling gridded earth system model data. FSRCNN-ESM's reconstruction of high resolution spatial patterns improves upon

- ¹⁸ both traditional and machine learning downscaling methods.
 ¹⁹ FSRCNN-ESM is computationally less expensive to train over other machine learning downscaling methods.
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21 Abstract

We present a first application of a fast super resolution convolutional neural network (FS-22 RCNN) based approach for downscaling earth system model (ESM) simulations. Unlike 23 other SR approaches, FSRCNN uses the same input feature dimensions as the low res-24 olution input. This allows it to have smaller convolution layers, avoiding over-smoothing, 25 and reduced computational costs. We further adapt FSRCNN to feature additional con-26 volution layers after the deconvolution layer, we term FSRCNN-ESM. We use high-resolution 27 $(\sim 0.25^{\circ})$ monthly averaged model output of five surface variables over a part of North 28 America from the US Department of Energy's Energy Exascale Earth System Model's 29 control simulation. These high-resolution and corresponding coarsened low-resolution 30 $(\sim 1^{\circ})$ pairs of images are used to train the FSRCNN-ESM and evaluate its use as a down-31 scaling approach. We find that FSRCNN-ESM outperforms FSRCNN and other super-32 resolution methods in reconstructing high resolution images producing finer spatial scale 33 features with better accuracy for surface temperature, surface radiative fluxes and pre-34 cipitation. 35

³⁶ Plain Language Summary

High resolution global climate data is computationally expensive to run but crucial for assessing climate change effects at local and regional scales. Here, we adapt a new deep learning technique, called fast super-resolution convolutional neural network, to remap climate data from low resolution to high resolution grids. This approach is faster and more accurate for statistical downscaling climate data compared to other prevalent methods.

43 **1** Introduction

Accurate and reliable climate data is critical for assessing the risk of climate change to our society's well-being. Increases in temperature, sea-level, and extreme weather events can render many aspects of our society vulnerable including our health, natural resources and energy-systems (Nicholls & Cazenave, 2010; Trenberth, 2012). Local and regional climate future projection data is the most crucial for planning and mitigating these risks, but is often the least reliable (Schmidt, 2010). Current Earth System Models (ESMs) used for simulating Earth's past climate and future projections are often computation-

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ally limited to coarse horizontal resolutions, generally between 1° to 3° (Vandal et al., 2017). These low resolution models fail to accurately simulate important physical processes such as precipitation extremes (Kharin et al., 2007). Recent advances in computing resources have allowed for global ESMs to be run at higher resolutions ($\sim 0.25^{\circ}$) for longer time periods and have shown to improve the simulations of regional mean climate as well as extremes (Wehner et al., 2010a; Mahajan et al., 2015). However, these high resolution models remain prohibitively expensive.

A computationally less expensive approach to derive high resolution climate data 58 over a region of interest is to map data from low resolution global model simulations to 59 high resolution grids using dynamical or statistical downscaling techniques. Dynamical 60 downscaling involves running high resolution regional dynamical models to extrapolate 61 large scale boundary conditions obtained from a coarser global ESM to finer resolutions 62 on regional scales. Statistical downscaling (SD) aims to map coarse resolution data to 63 high resolution projections using statistical methods like linear regression. Recent stud-64 ies have shown that machine learning techniques, like neural networks (Vu et al., 2016; 65 Fistikoglu & Okkan, 2011) and support vector machines (Ghosh, 2010), for SD signif-66 icantly outperform other traditional SD methods. In this study, we use one such com-67 puter vision approach called super-resolution (SR), which generates a high resolution im-68 age from its low resolution equivalent. SR techniques attempt to generalize across im-69 ages and have been shown to learn local scale patterns more efficiently than other down-70 scaling methods (Vandal et al., 2017). 71

One pioneering work in SR deep learning is a SR convolutional neural network (SR-72 CNN). A convolutional neural network is a type of artificial neural network that con-73 volves a kernel with the data to extract features for further use in the overall neural net-74 work architecture (LeCun et al., 1989, 2015; Goodfellow et al., 2016). The SRCNN was 75 originally proposed by Dong, Loy, He, and Tang (2014) and was shown to achieve sig-76 nificantly better performance over other traditional and state of the art SR methods. Vandal 77 et al. (2018) demonstrated the usefulness of using a stacked SRCNN, called DeepSD, to 78 downscale ensemble ESMs and showed that it outperforms other methods including bias 79 correction spatial disaggregation (BCSD), artificial neural networks (ANN), Lasso and 80 support vector machines (SVM). Several recent studies have used similar super resolu-81 tion approaches to downscale ESMs to higher resolutions and demonstrated significant 82 skill. These include a super resolution general adversarial network (SRGAN) (Stengel 83

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et al., 2020) to downscale wind and solar radiation fields to 50x resolutions and a Laplacian pyramid super-resolution network, termed, ResLap, that uses a residual dense block to allow hierarchical feature extraction from the convolutional layers (Cheng et al., 2020).

One common feature of these SR approaches is a pre-processing step where the low 87 resolution images are pre-interpolated to the desired high-resolution output image size 88 (say, using bilinear interpolation) before running the network. Dong, Loy, and Tang (2016) 89 developed a method, termed as fast SRCNN (FSRCNN), that alleviates this pre-processing 90 and replaces it with a deconvolution layer at the end, facilitating mapping directly at 91 the resolution of the low resolution image. Since the computational complexity of a CNN 92 is proportional to the input image size, this lowers the computational cost of the net-93 work significantly - almost by a factor of n^2 compared to a SRCNN, where n is the downscaling factor. Further, the smaller input image size in FSRCNN implies that narrower 95 filters can capture the same information, thus allowing for more filters for greater fea-96 ture extractions while also lowering computational cost. This use of smaller kernel sizes 97 to improve CNN models was proved in Simonyan and Zisserman (2014). The FSRCNN 98 has been shown to improve image reconstruction skill significantly compared to a gen-99 eral SRCNN for high downscaling factors, with the convolution-deconvolution structure 100 reducing edge smoothing and loss of detail and improving feature reconstruction. Fur-101 ther, the same FSRCNN network can be used for different upscaling factors with only 102 the deconvolution layer needing further tuning. 103

Here, we present a first attempt to apply FSRCNN for ESM downscaling and find 104 that it is generally more skillful than DeepSD. Further, we improve upon the FSRCNN 105 by adapting it to use additional SRCNN-like convolutional layers after the deconvolu-106 tion step. By adding these additional convolutional layers, we are able to extract more 107 information and finer spatial details in the high resolution images. We refer to this new 108 adapted FSRCNN architecture as FSRCNN-ESM. Following previous validation stud-109 ies (Stengel et al., 2020) of the application of super resolution approaches to climate data, 110 we also reconstruct high resolution data from a coarsened version of the same data. We 111 evaluate the reconstruction results using an objective evaluation metrics like the mean 112 bias error, and find FSRCNN-ESM to be a promising downscaling method with supe-113 rior skills as compared to both DeepSD and FSRCNN. Section 2 describes the ESM data 114 used as well as the improved FSRCNN network architecture in more detail. We present 115

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the results of our objective and subjective evaluations in Section 3 and summarize our work in Section 4.

118 2 Methods

119 2.1 Data Collection

For this study we use monthly output of a 30-year segment of the 1950-control sim-120 ulation with the global high resolution (0.25°) configuration of the Energy Exascale Earth 121 Systems Model (E3SM) (E3SM Project, 2018). Model data was obtained from the Earth 122 System Grid Federation (ESGF) (Cinquini et al., 2014). It should be noted that E3SM 123 data is bilinearly interpolated from its native non-orthogonal cubed-sphere grid to an 124 equivalent regular 0.25°x0.25° longitude-latitude grid. We call this model data, E3SM-125 HR. This high resolution data is interpolated to a $1^{\circ}x1^{\circ}$ grid using a bicubic method to 126 obtain the corresponding low resolution input images, which lose the fine scale features 127 present in the high resolution data. Our goal is to reconstruct the high resolution im-128 ages back from these coarsened data using FSRCNN. When using a computer vision ap-129 proach to gridded E3SM data, we can think of each grid point as a pixel in an image. 130 For this study, we look at a subset of E3SM data corresponding to North America. The 131 low-resolution images as a result are 60×60 pixels, while high-resolution images are four 132 times larger across both dimensions and have a size of 240×240 pixels each. 133

We chose five variables to test the FSRCNN - surface temperature (TS), shortwave 134 heat flux (FSNS), longwave heat flux (FLNS), precipitation convective rate (PRECC) 135 and the large scale precipitation rate (PRECL). This results in 360 images for one vari-136 able, or 1,800 images in total. We use all the variables together, each normalized using 137 the min-max scaler, in a single one-channel network when training so our algorithm can 138 learn how to extract multiple spatial features. The addition of multiple variables in one 139 network enhanced our reconstruction. For example, when training just PRECC on a sin-140 gle network, the average mean square error (MSE) for testing was 7.58e-7. When us-141 ing all variables together, the MSE on the testing dataset for PRECC is 2.79e-11 (see 142 table 1). It is common in computer vision to learn many different classifications of im-143 ages in a single algorithm to improve feature reconstruction. Before training the model, 144 we split the last 3 years of data into a testing set, corresponding to 180 images or 36 im-145 ages for a single variable. 146

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We also explore the use of elevation as a second input channel to our methods. Several studies have shown the addition of elevation as an input is important for enhancing the reconstruction quality of precipitation data (Vandal et al., 2017; Liu et al., 2020).

2.2 Deep Learning

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2.2.1 SRCNN and DeepSD

The SRCNN is trained to directly learn practical mappings between low resolution and high resolution images with little pre- and post-processing (Dong et al., 2014). The low resolution image must be interpolated to the desired output size before training using a bicubic method. The SRCNN consists of three operations: patch extraction and representation, non-linear mapping and reconstruction (Dong et al., 2014). The goal of an SRCNN is to take the low resolution image Y and generate a high resolution image G that is close to the ground truth image I.

Layer 1 of the SRCNN consists of 64 filters of 9x9 kernels, layer 2 has 32 filters of 1x1 kernels and the output layer has a single filter with a 5x5 kernel, the same as described in Dong et al. (2014). We trained this SRCNN on 100 epochs with an adam loss optimizer, sigmoid activation function and an initial learning rate of 0.001 using tensorflow. The choice of a sigmoid activation function allows for back propagation to return an output value between 0 and 1 which is useful in this context, since we normalize the images before training with a min-max scaler.

Vandal et al. (2017) uses a stacked SRCNN apporach called DeepSD and here we 166 test that method against the FSRCNN-ESM. DeepSD uses elevation as a second input 167 channel for the SRCNNs to train on. The first SRCNN is used to interpolate the images 168 from a 1° resolution to 0.5° . The estimated 0.5° resolution images are then passed to 169 the next SRCNN and interpolated to the final 0.25° images. Here, we only use 2 stacked 170 SRCNN, as opposed to 3 in the original paper, since we are only downscaling by a fac-171 tor of 4. It is important to note that Vandal et al. (2017) used the DeepSD method to 172 downscale one variable, precipitation, and here we are using it to downscale five. 173

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2.2.2 General FSRCNN

The basic FSRCNN method was created to accelerate the SRCNN process and the redesign involved three features: (1) smaller convolutional kernels but more feature maps,

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(2) an added deconvolutional layer, and (3) the input feature dimension is the same as 177 the original low resolution image (Luo et al., 2019). Because the FSRCNN takes the orig-178 inal 60×60 image as the input and does not have to interpolate it to a 240×240 im-179 age, it learns 16 times fewer weights than the SRCNN and as a result is much faster when 180 training. The final reconstruction to the HR image then requires a deconvolutional layer 181 at the end to remap the data from the low resolution reconstruction steps to a higher 182 resolution grid. We note that the deconvolutional layer is not the same as an unpool-183 ing plus convolutional layer, sometimes known as convolutional interpolation, which in 184 its purest form resizes an image by copying pixels as many times as needed to achieve 185 the desired image size before passing through a convolutional layer. A deconvolutional 186 layer, also known as a transpose convolutional layer, instead pads the image with zeros 187 to desired size before upsampling the image using learned kernels. Dong et al. (2016) found 188 that replacing the deconvolutional layer with an unpooling layer resulted in a significant 189 drop in reconstruction quality. 190

The FSRCNN can be broken down into five parts: feature extraction, shrinking, 191 nonlinear mapping, extension, and deconvolution (Dong et al., 2016). The feature ex-192 traction step in Dong et al. (2016) consists of a 5 by 5 filter size with d number of fil-193 ters. The number of filters can be thought of as the number of desired learned features 194 in the low resolution image. The shrinking step is a 1 by 1 filter with s number of fil-195 ters, here s < d, that acts as a way to condense the number of features found in step 196 1. The nonlinear mapping step maps the features in step 2 nonlinearly to a new set of 197 features. It uses multiple layers of nonlinear mapping with a filter size of 3 by 3. By se-198 lecting smaller convolutional kernels but more feature maps (large d), the FSRCNN learns 199 more non-linear features in the data and creates better SR reconstruction results com-200 pared to the SRCNN. The FSRCNN then moves on to the expansion layer, which acts 201 like an inverse of the shrinking layer, to generate a larger number of feature maps to im-202 prove high resolution reconstruction. Finally, the FSRCNN uses the deconvolution step 203 to achieve the final high resolution image. 204

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2.2.3 FSRCNN-ESM Architecture

Here, we expand upon the basic FSRCNN method to maximize accuracy for image reconstruction for E3SM data. We include additional convolutional layers after the deconvolution step in the FSRCNN - an added patch extraction step consisting of 64 ker-

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nels, and a nonlinear mapping step with 32 kernals, similar to those in the SRCNN - both
of which are applied to the high resolution data generated after the deconvolution step
as shown in Fig. 1. Fig. 1 shows the SRCNN, original FSRCNN and the new configuration. We refer to this new network as FSRCNN-ESM. We found that these additions
to the network further improve image reconstruction in our data, as determined by a loss
function. The loss is calculated by using a pixel-wise MSE using the following equation:

$$MSE(I,G) = \frac{1}{N} \sum_{i,j} (I_{i,j} - G_{i,j})^2 (1)$$

where I is the original high resolution image and G is the generated high resolution image and i and j denote the location of the pixel. The training loss decreased by 50% with the added feature extraction step after the deconvolutional layer in the FSRCNN-ESM compared to the original FSRCNN architecture. Similarly, the average reconstruction loss in MSE for the FSRCNN was 0.000568 compared to the average MSE for the FSRCNN-ESM at 0.000261.

We also evaluate the impact of the addition of elevation as a second input on reconstruction quality. Table 1 shows the MSE for variables in the test set for the FSR-CNN, FSRCNN plus elevation, FSRCNN-E3SM and FSRCNN-E3SM plus elevation. Elevation improved the simple FSRCNN method, but we did not see the same improvement for the FSRCNN-ESM. Therefore, we add elevation as an input channel to the FS-RCNN but not our FSRCNN-ESM in our further evaluations with the testing data.

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2.3 Evaluation Metrics

We evaluate the mean absolute error (MAE) for each reconstruction method on each variable the testing dataset. Before computing the MAE, we scale the variables back to their original values using min-max scaler. We define the MBE as follows:

$$MAE = \frac{1}{N} \sum_{1}^{N} |P_i - A_i|$$
(2)

where P_i is the predicted image *i* and A_i is the actual truth image. The MAE for each variable is expressed in the variable's units. Here *N* is 36, or the number of images per variable in the testing dataset. We also evaluate the skill of each method's ability to reconstruct high resolution images by computing the L_1 and L_2 error for each sample as follows:

$$L_1 = \frac{\sum_{i,j} |G_{i,j} - I_{1,j}|}{\sum_{i,j} |I_{1,j}|}, L_2 = \frac{\sum_{i,j} (G_{i,j} - I_{1,j})^2}{\sum_{i,j} (I_{1,j})^2}$$
(3)

We compute both the L_1 and L_2 errors and the MBE across each variable on the held out testing dataset.

238 3 Results

We compare the FSRCNN-ESM method as applied to E3SM data with the DeepSD method (Dong et al., 2014) and with a basic bicubic interpolation using the above stated metrics for determining image reconstruction quality: MSE, MAE, L1- and L2-error. We also compare the computational training time of the FSRCNN and FSRCNN-E3SM against DeepSD.

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3.1 Reconstruction Evaluation

Figure 2 show samples of reconstruction of high resolution images over a part of 245 Northern America from low-resolution images using bicubic interpolation, DeepSD, FS-246 RCNN and FSRCNN-ESM approaches. We randomly pick one sample of a summer (June) 247 month from 3 years of reconstruction test data for each of the five variables to visually 248 illustrate the reconstruction quality. The inset plot shows a zoomed in portion to bet-249 ter visualize some of the finer spatial details of some region, generally over the Rocky 250 Mountains and over Northern Andes - regions that show strong gradients in the high res-251 olution images owing to the topography there. The plots (first column) show the loss of 252 fine-scale features as the high resolution images (last column) are interpolated to low-253 resolution images. To restate, the goal is then to reconstruct the high resolution image 254 from these low resolution images. It is clear that a bicubic interpolation to downscale 255 performs poorly. The DeepSD generally improves over the bicubic interpolation, but still 256 lacks the finer-scale details noted in the high resolution images - this is apparent in most 257 of the images for all variables. For example, the DeepSD is not able to discern the strong 258 gradients over the Northern Andes clearly for convective precipitation (PRECC) (Fig-259 ure 2, second and fourth rows). Similarly, it is not able to capture the fine scale features 260 of surface temperature (TS), net surface shortwave radiation (FSNS), net surface long-261

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wave radiation flux (FLNS) and large-scale precipitation (PRECL) over North America in the summer (Figure 2, first, third and fifth rows). It is clear visually that FSRCNNESM better generates finer details in high resolution data when compared to the DeepSD
and bicubic interpolation, which both tend to over-smooth the images. For the above
stated examples, the FSRCNN-ESM is able to isolate the strong gradients in PRECC
over the Northern Andes and in TS, FSNS, FLNS and PRECL over North America in
the summer.

To quantify the reconstruction skill of these methods, we use the MBE metric over 269 the testing dataset. Table 2 summarizes the results of our analysis for the three meth-270 ods. We calculate the MBE for each variable image over each month in the testing dataset. 271 Consistent with the visual illustrations (Figure 2), the FSRCNN-ESM generally outper-272 forms the other methods in terms of this metric across most variables and months, with 273 the exception of FSNS in which the FSRCNN had a higher reconstruction skill on av-274 erage. Dong et al. (2016) noted that a large fraction of the increase in reconstruction re-275 sults came from replacing the bicubic interpolation step in the SRCNN with the decon-276 volution layer in FSRCNN when they partitioned their error metric into different steps. 277 We see this here with the increase in skill from DeepSD to both the FSRCNN and FSRCNN-278 ESM. It is interesting to note that the bicubic interpolation skill is comparable to DeepSD 279 for our data, and exceeds it for most variables. Most methods tend to underestimate pre-280 cipitation variables. Precipitation occurs intermittently and is a highly non-linear pro-281 cess and results from multi-scale, multi-phase physical processes creating large spatial 282 heterogeneity. The above suggest that image reconstruction becomes more difficult as 283 spatial heterogeneity increases. 284

We also use the L_1 and L_2 error metrics to quantify reconstruction skill of the var-285 ious methods on our held out testing dataset. Figure 4 shows the histogram of the L_1 286 and L_2 errors for FSRCNN-ESM (a,e), the original FSRCNN (b,f), DeepSD (c,g) and 287 bicubic (d,h) respectively. The majority of our FSRCNN-ESM predicted samples (Fig-288 ure 4a) have a L_1 error less than 10% and an L_2 error less than 1% suggesting the ef-289 fectiveness of our FSRCNN-ESM for interpolating E3SM data to high resolution grids. 290 Our FSRCNN-ESM also generally achieves better reconstruction skill compared to the 291 other two methods based on both the metrics, consistent with the findings using the MBE 292 metric. 293

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3.2 Computational Performance

Figure 4j shows the cumulative CPU computational time required to train FSRCNN-295 ESM (blue), DeepSD (red) and FSRCNN (green) on 100 epochs. FSRCNN-ESM com-296 pleted training 600% faster than the DeepSD, comparable to the decrease in training time 297 with the FSRCNN, while still maintaining similar loss values (Figure 3i). This is largely 298 due to the fact that the DeepSD has a pre-processing step of interpolating low resolu-299 tion images to the desired output size before training, while the FSRCNN-ESM uses the 300 low resolution image size. As stated earlier this allows using narrower filters on the smaller 301 images, reducing the total number of parameters and computations. Once trained, how-302 ever, the DeepSD and FSRCNN-ESM take roughly the same amount of time, about 25 303 seconds, to downscale all 1,800 images. 304

Dong et al. (2016) showed that an FSRCNN with similar number of steps as a SR-305 CNN produced a speed-up of about 40x when upscaling by a factor of three. This was 306 largely (about 30x) due to the narrower filters in the FSRCNN that led to the large dif-307 ference between the number of trainable parameters in the nonlinear mapping step of 308 SRCNN and that in the corresponding three steps (combination of shrinking, non-linear 309 mapping and expanding steps) in FSRCNN. The use of the low-res image as input in the 310 FSRCNN contributed to the remaining reduction in computational cost of network train-311 ing. The computation of FSRCNN-ESM only provides a speed up of 6x over the DeepSD 312 for a upscaling factor of four. The increase in cost of FSRCNN-ESM as compared to the 313 base FSRCNN is due to the addition of new patch extraction, nonlinear mapping and 314 reconstruction steps after the deconvolution step in FSRCNN-ESM. The combination 315 of these new steps is equivalent to a full SRCNN in itself that uses the full HR image 316 size as an input, but with narrower filters. The use of narrower filters in these steps then 317 still allows for a faster training of the overall FSRCNN-ESM network as compared to the 318 DeepSD. 319

320 4 Summary and Discussion

We apply a novel super-resolution based approach for downscaling ESM data that uses a modified version of the FSRCNN method. We find that this FSRCNN-ESM is able to map low resolution climate images to a four times higher resolution with a better skill than DeepSD, FSRCNN and bicubic interpolation; for surface radiative fluxes and large

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scale and convective precipitation; while remaining computationally inexpensive to train.
 Our FSRCNN-ESM is also able to downscale images in a single-step process and with out need for access to GPUs for training. The FSRCNN-ESM as a result is a more approchable method of downscaling using machine learning.

This study focused on reconstructing monthly averaged images. In the future, we 329 will explore the application of FSRCNN-ESM for downscaling higher temporal resolu-330 tion data like daily and sub-daily data, and target extreme events. Also, FSRCNN-ESM 331 does not consider the concurrency of images of the different variables - the network is 332 agnostic of the presence of data for other variables. In the future, we plan to use multi-333 channel approaches (Vandal et al., 2018; Stengel et al., 2020; Cheng et al., 2020) using 334 all the concurrent variables simultaneously in the network for reconstruction allowing 335 the network access to more correlated data, which may improve reconstruction skill. We 336 also plan to evaluate the FSRCNN-ESM against other recent machine learning approaches 337 that have been used for downscaling, for example, SRGAN (Stengel et al., 2020), and 338 ResLap (Cheng et al., 2020), all of which have been shown to perform better than the 339 SRCNN or other approaches for different variables, regions and scaling factors. This study 340 is the first to use FSRCNN for ESM data and we applied the original version of FSR-341 CNN here, and with some improvements (FSRCNN-ESM), to demonstrate its utility. 342 We plan to explore the applicability of the latest advances in FSRCNN and SRCNN to 343 ESM downscaling in the future. Some advanced applications include using GANs as a 344 latent blank to improve image reconstruction on an SRCNN (Chan et al., 2021), using 345 a student-teacher supervised learning approach to training (L. Wang & Yoon, 2022), ap-346 plying skip connections to alleviate the vanishing gradient problem (Zou et al., 2021), 347 and the use of a multi-path residual to improve efficiency in the SRCNN (Q. Wang et 348 al., 2021). 349

The resolution of finer scales in the high resolution model and scale-agnostic na-350 ture of current sub-grid scale physical parameterizations used in climate models imply 351 that a low-resolution model simulation is not statistically equivalent to coarsened data 352 from a high-resolution model. For example, the simulation of precipitation extremes is 353 found to be stronger in high resolution simulations than the low resolution simulations, 354 even after conservative mapping of high-resolution simulation data to the low-resolution 355 grid (Wehner et al., 2010b, 2014; Mahajan et al., 2015). In order to apply FSRCNN-ESM 356 directly to low-resolution model output to generate high resolution images at the skill 357

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- of a high resolution simulation, we would thus require a bias-correction step. We plan
- to explore traditional bias-correcting methods as well as utilize machine learning approaches
- for it. We would also explore the use of nudged simulations or regionally refined mod-
- els to generate equivalent pairs of low-resolution and high-resolution model simulations
- that could be used for training a FSRCNN-ESM network.

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The E3SMv1 data used in this study is freely available through the Earth System Grid Federation (ESGF) distributed archives via https://doi.org/10.1029/2018MS001603 and is available through the ESGF interface https://esgf-node.llnl.gov/projects/ e3sm/ (E3SM Project, 2018).

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Table 1.The MSE for each variable in the test data set for the FSRCNN, FSRCNN pluselevation, FSRCNN-ESM and FSRCNN-ESM plus elevation.

	TS	FSNS	FSNT	PRECC	PRECL
$\begin{array}{c} \ \ \ \ \ \ \ \ \ \ \ \ \ $	3.251e-5	4.377e-5	3.563e-5	3.299e-5	3.767 e-5
	3.189e-5	4.285e-5	3.499e-5	3.246e-5	3.709e-5
$\overline{ FSRCNN-ESM } \\ FSRCNN-ESM + elevation }$	2.628e-5	3.582e-5	3.026e-5	2.796e-5	3.233e-5
	3.336e-5	4.467e-5	3.841e-5	3.614e-4	4.167 e-5

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Figure 2. Super resolution reconstruction results for a sample in January.

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Hendle ITS Design ITS FSICNN TS FSICNN TS FSICNN TS FSICNN FSIS F										
10 0.37 0.09 0.01 0		Bicubic TS	DeepSD TS	FSRCNN TS	FSRCNN-ESM TS	Bicubic FSNS	DeepSD FSNS	FSRCNN FSNS	FSRCNN-ESM FSNS	
Fib 0.01	Jan	0.377	0.499	0.013	0.061	3.869	3.208	1.179	1.128	
Mar 0.314 0.17 0.117 0.036 0.173 0.116 0.070 0.230 0.071 0.230 0.071 0.230 0.071 0.230 0.071 0.230 0.071 0.023 0.021 0.023 0.021 0.021 0.023 0.021 0.021 0.021 0.023 0.021 0.023 0.021 0.023 0.021 0.023 0.021 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.023 0.	Feb	0.409	0.511	0.106	0.074	3.116	2.527	0.949	0.863	
NP 0.01 0.010 0.010 0.010 0.011 0.020 0.013 0.021 0.020 0.021 0.021 0.021 0.021 0.021 0.021 0.021 0.021 0.021 0.021 0.021 0.023 0.021 0.021 0.023 0.021 0.021 0.023 0.021 0.023 0.012 0.023 0.012 0.023 0.012 0.023 0.012 0.023 0.012 0.023 0.012 0.023 0.012 0.023 0.012 0.013 0.0	Mar	0.314	0.437	0.117	0.046	1.736	1.116	0.070	0.520	
Mit 0.330 0.031 0	Apr	0.294	0.417	0.069	0.003	0.318	0.289	0.620	0.788	
JU 0.432 0.636 0.037 0.037 0.215 0.473 0.413 0.	May	0.380	0.504	0.048	0.011	0.319	0.978	0.617	0.998	
Jul 0.40 0.566 0.141 0.031 0.313 0.333 0.313 0.333 0.313 0.313 0.333 0.313 0.333 0.333 0.333 0.333 0.333 0.333 0.333 0.333 0.333 0.333 0.333 0.333 0.333 0.333 0.333 0.	Jun	0.432	0.558	0.063	0.027	0.215	0.847	0.479	0.499	
MB 0.430 0.647 0.125 0.000 0.021 0.236 0.021 0.236 0.356 0.021 0.356 0.	Jul	0.469	0.586	0.141	0.031	0.058	0.567	0.313	0.312	
57 0.014 0.017 1.304 0.673 0.533 0.936 Nev 0.335 0.047 0.037 0.047 0.036 1.304 0.673 0.936 Nev 0.335 0.047 0.047 0.047 0.047 0.036 1.332 1.023 0.936 Nev 0.335 0.047 0.047 0.047 0.046 1.023 0.936 Heubic FLNS besp3D FLNS FSRCNN FLNS FSRCNN FLNS FSRCNN FLNS FSRCNN FLNS FSRCN FLNS FSRCN FLNS 1.026 0.936 Jan 0.409 0.217 0.187 0.116 0.116 0.017 0.038 0.038 Jan 0.409 0.217 0.141 0.116 0.116 0.013 0.031 0.038 Nev 0.335 0.114 0.116 0.113 0.031 0.035 0.035 0.043 Nev 0.335 0.113 0.114 0.124 0.013 0.014 0.035 0.035	Aug		0.430	0.547	0.125	0.006	0.606	0.021	0.238	0.056
Ort 0.200 0.332 0.077 0.023 1.162 0.036 Die 0.337 0.077 0.033 0.072 0.033 1.162 0.036 Dieubie FLNS Denp5D FLNS FSRCNN FLNS FSRCNN FLNS FSRCNN FSNS 9.046 1.162 0.036 Jan 0.403 0.110 0.117 0.117 0.117 0.017 0.033 1.006 Jan 0.409 0.137 0.110 0.110 0.017 0.003	Sep	0.394	0.514	0.048	0.017	1.304	0.676	0.523	0.356	
Nov 0.135 0.033 0.047 0.030 3.392 3.715 1.129 1.026 Hicubic FLNS Desp5D FLNS FSRCNN FLNS FSRCNN FSLNS FSRCNN FSLNS FSRCNN FSLNS FSRCNN FSLNS 1.122 1.026 Jam 0.4185 D.0177 0.0187 0.0171 0.0187 0.0171 0.0191 0.017 0.035 0.0	Oct	0.260	0.392	0.070	0.023	2.502	1.886	1.162	0.926	
	Nov	0.188	0.335	0.047	0.030	3.392	2.715	1.029	1.028	
Bicubic FLNS DeepSD FLNS FSRCNN FLNS FSRCNN FLNS FSRCNN FLNS FSRCNN FLNS FSRCNN-ESM FLNS	Dec	0.327	0.453	0.072	0.046	4.088	3.421	1.124	1.096	
Jan 0.409 0.217 0.187 0.187 0.011 0.001 0.003 0.034 0.033 0.034 0		Bicubic FLNS	DeepSD FLNS	FSRCNN FLNS	FSRCNN-ESM FLNS	Bicubic PRECC	DeepSD PRECC	FSRCNN PRECC	FSRCNN-ESM PRECC	
	Jan	0.409	0.217	0.187	0.164	0.071	0.041	0.038	0.033	
	Feb	0.361	0.169	0.141	0.115	0.091	0.061	0.058	0.053	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Mar	0.302	0.110	0.085	0.057	0.092	0.062	0.059	0.054	
	Apr	0.269	0.077	0.054	0.024	0.079	0.049	0.046	0.041	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	May	0.222	0.030	0.009	0.023	0.023	0.006	0.009	0.014	
	Jun	0.261	0.069	0.046	0.015	0.016	0.046	0.049	0.054	
Aug 0.234 0.102 0.077 0.033 0.066 0.072 0.073 0.024 0.021	Jul	0.288	0.096	0.072	0.042	0.033	0.063	0.066	0.071	
Sep 0.365 0.173 0.145 0.120 0.073 0.073 0.073 Nev 0.355 0.173 0.145 0.145 0.145 0.073 0.073 0.073 Nev 0.435 0.247 0.211 0.016 0.061 0.065 Nev 0.435 0.247 0.213 0.194 0.016 0.063 0.053 Nev 0.435 0.247 0.211 0.194 0.061 0.063 0.031 Nev 0.435 0.214 0.117 0.016 0.024 0.033 Jan 0.106 0.057 0.049 0.057 0.049 0.024 0.016 Jan 0.107 0.052 0.057 0.049 0.024 0.016 Mar 0.118 0.074 0.083 0.082 0.017 0.016 Mar 0.119 0.074 0.023 0.024 0.024 0.016 Mar 0.119 0.074 0.022 0.0107	Aug	0.294	0.102	0.077	0.048	0.033	0.063	0.066	0.072	
Oct 0.362 0.170 0.143 0.117 0.061 0.064 0.069 Nev 0.439 0.247 0.215 0.117 0.061 0.064 0.069 Dec 0.439 0.247 0.215 0.0134 0.012 0.024 0.024 Bicubic PRECL PRCNN PRECL FSRONN PRECL FSRONN-ESM PRECL 0.024 0.024 0.024 0.024 0.024 0.024 Jan 0.106 0.062 0.057 0.034 0.024	Sep	0.365	0.173	0.145	0.120	0.041	0.070	0.073	0.079	
Nov 0.435 0.243 0.211 0.190 0.004 0.004 0.004 0.0024 0.0166 0.0167 0.0166	0ct	0.362	0.170	0.143	0.117	0.031	0.061	0.064	0.069	
Bicubic PRECL DeepSD PRECL FSRCNN PRECL FSRCNN-ESM PRECL Good Jan 0.137 0.062 0.057 0.049 Good Jan 0.137 0.094 0.058 0.081 Good Mar 0.119 0.0074 0.089 0.081 Good Mar 0.119 0.0074 0.089 0.081 Jan May 0.034 0.032 0.031 Jan Jan Jun 0.025 0.049 0.012 Jan Jan Jun 0.026 0.099 0.107 Jan Jan Jun 0.051 0.0109 0.107 Jan Jan Jun 0.051 0.095 0.107 Jan Jan Jun 0.051 0.095 0.107 Jan Jan Jun 0.051 0.095 0.107 Jan Jan Jun 0.052 0.096 0.107 Jan Jan Jun 0.052	Nov Dec	0.435 0.439	0.243 0.247	0.211 0.215	0.190 0.194	0.013	0.016 0.024	0.019 0.021	0.024 0.016	
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$		Bicubic PRECL	DeepSD PRECL	FSRCNN PRECL	FSRCNN-ESM PRECL					
	Jan	0.106	0.062	0.057	0.049					
Mar 0.138 0.094 0.089 0.081 Apr 0.0119 0.074 0.069 0.061 May 0.034 0.069 0.017 0.062 Jun 0.025 0.069 0.017 0.022 Jul 0.051 0.069 0.017 0.082 Jul 0.056 0.074 0.082 0.082 Jul 0.051 0.095 0.017 0.082 Jul 0.051 0.095 0.107 0.082 Aug 0.051 0.095 0.107 0.032 Aug 0.061 0.107 0.107 0.107 Oct 0.036 0.107 0.107 0.107 Oct 0.047 0.096 0.107 0.107 Nov 0.047 0.029 0.103 0.104 Nov 0.024 0.029 0.036 0.036	Feb	0.137	0.093	0.088	0.080					
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Mar	0.138	0.094	0.089	0.081					
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Apr	0.119	0.074	0.069	0.062					
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	May	0.034	0.009	0.014	0.022					
Jul 0.050 0.094 0.099 0.107 Aug 0.051 0.095 0.100 0.107 Sep 0.061 0.106 0.100 0.107 Cet 0.047 0.091 0.016 0.104 Nov 0.024 0.029 0.014 Doc 0.024 0.029 0.036 Doc 0.024 0.029 0.036	Jun	0.025	0.069	0.074	0.082					
Aug 0.051 0.095 0.100 0.107 Sep 0.041 0.106 0.110 0.118 Oct 0.047 0.094 0.094 0.094 Nov 0.020 0.029 0.036 0.036 Doct 0.029 0.036 0.036 0.036	Jul	0.050	0.094	0.099	0.107					
Sep 0.061 0.106 0.110 0.118 Oct 0.047 0.096 0.104 0.014 Nov 0.024 0.026 0.036 0.036 Doct 0.024 0.029 0.036 0.036	Aug	0.051	0.095	0.100	0.107					
Oct 0.047 0.091 0.096 0.104 Nov 0.020 0.029 0.036 Doc 0.081 0.029 0.036	Sep	0.061	0.106	0.110	0.118					
Nov 0.020 0.024 0.029 0.036 Door 0.041 0.024 0.029 0.030	; Oct	0.047	0.091	0.096	0.104					
	Nov	0.020	0.024	0.029	0.036					

vective rate (PRECC mm/day) and the large scale precipitation rate (PRECL mm/day). The PSNR for the variables is averaged across each month and presented **Table 2.** The mean bias error (MBE) for surface temperature (TS K), shortwave heat flux (FSNS w/m^2), longwave heat flux (FLNS w/m^2), precipitation conhere in te



Figure 3. L_1 and L_2 error computed for all reconstruction methods on the held out testing dataset (a-h) and the cumulative training time in minutes (i) and the training loss (j) for the DeepSD, FSRCNN and FSRCNN-ESM over 100 epochs.