# Characterizing Multi-Subevent Earthquakes Using the Brune Source Model

Meichen Liu<sup>1,1</sup>, Yihe Huang<sup>1,1</sup>, and Jeroen Ritsema<sup>1,1</sup>

<sup>1</sup>University of Michigan

November 30, 2022

### Abstract

Although the Brune source model describes earthquake moment release as a single pulse, it is widely used in studies of complex earthquakes with multiple episodes of high moment release (i.e., multiple subevents). In this study, we investigate how corner frequency estimates of earthquakes with multiple subevents are biased if they are based on the Brune source model. By assuming complex sources as a sum of multiple Brune sources, we analyze 1,640 source time functions (STFs) of Mw 5.5-8.0 earthquakes in the SCARDEC catalog to estimate the corner frequencies, onset times, and seismic moments of subevents. We identify more subevents for strike-slip earthquakes than dip-slip earthquakes, and the number of resolvable subevents increases with magnitude. We find that earthquake corner frequency correlates best with the corner frequency of the subevent with the highest moment release (i.e., the largest subsevent). This suggests that, when the Brune model is used, the estimated corner frequency and therefore the stress drop of a complex earthquake is determined primarily by the largest subevent rather than the total rupture area. Our results imply that the stress variation of asperities, rather than the average stress change of the whole fault, contributes to the large variance of stress drop estimates.

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## 1 Characterizing Multi-Subevent Earthquakes Using the Brune Source Model

## 2 Meichen Liu<sup>1</sup>, Yihe Huang<sup>1</sup>, and Jeroen Ritsema<sup>1</sup>

<sup>3</sup> <sup>1</sup>Department of Earth and Environmental Sciences, University of Michigan, Ann Arbor, MI,

4 USA.

5 Corresponding author: M. Liu (meichenl@umich.edu)

## 6 Key Points:

- We use global source time functions to investigate the Brune source parameters of multi subevent earthquakes
- We find that the master event corner frequencies correlate better with those of the large
   subevent
- The Brune stress drop is better correlated with the stress change of the asperity with the
   largest moment release
- 13

### 14 Abstract

- 15 Although the Brune source model describes earthquake moment release as a single pulse, it is
- 16 widely used in studies of complex earthquakes with multiple episodes of high moment release
- 17 (i.e., multiple subevents). In this study, we investigate how corner frequency estimates of
- 18 earthquakes with multiple subevents are biased if they are based on the Brune source model. By
- 19 assuming complex sources as a sum of multiple Brune sources, we analyze 1,640 source time
- 20 functions (STFs) of Mw 5.5-8.0 earthquakes in the SCARDEC catalog to estimate the corner
- 21 frequencies, onset times, and seismic moments of subevents. We identify more subevents for
- 22 strike-slip earthquakes than dip-slip earthquakes, and the number of resolvable subevents
- 23 increases with magnitude. We find that earthquake corner frequency correlates best with the
- 24 corner frequency of the subevent with the highest moment release (i.e., the largest subsevent).
- This suggests that, when the Brune model is used, the estimated corner frequency and therefore the stress drop of a complex earthquake is determined primarily by the largest subevent rather
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- 28 average stress change of the whole fault, contributes to the large variance of stress drop
- estimates.

### 30 Plain Language Summary

- 31 The Brune source model, which describes earthquakes as a single pulse of energy release with
- 32 time, is widely used to study earthquake sources regardless of the true source process. However,
- 33 multiple energy-release pulses, termed subevents for an earthquake, are not uncommon. The
- 34 Brune source is characterized by its corner frequency that is related to the inverse of source
- 35 duration. The frequency spectrum begins to decay at the corner frequency, thereby controlling
- 36 the hazardous high-frequency ground motions. In this study, we explore the relationship between
- 37 the corner frequency of an earthquake and corner frequencies of its subevents. Assuming each
- 38 subevent is a Brune source, we extract corner frequencies of subevents for 1,640 earthquakes
- 39 recorded in a global dataset, and find the earthquake corner frequency is closely related to the
- 40 corner frequency of the subevent with the highest energy release. It indicates that the stress
- 41 release converted from the Brune-source corner frequency of an earthquake represents the stress
- 42 release in the source region of its largest subevent rather than of the entire earthquake. Our
- 43 findings improve interpretation of the corner frequency obtained from the Brune source model
- 44 and help explain the large uncertainty of stress release estimates.
- 45
- 46

### 47 **1 Introduction**

48 The classical earthquake source model proposed by J. Brune more than five decades ago 49 (Brune, 1970) is still widely used to understand the initiation of faulting, the propagation of a fault rupture, and the radiation of seismic energy. In the Brune model, a circular crack 50 instantaneously experiences a shear dislocation due to a constant stress drop (i.e., the change of 51 52 stress) on the fault. The Brune model links three key elements of an earthquake: the seismic 53 moment, corner frequency, and stress drop with simple functions in which the seismic moment 54 and corner frequency are the two free parameters. The Brune model predicts that the source 55 spectrum is constant at frequencies lower than the corner frequency, and decays proportional to 56 the square of frequency at frequencies higher than the corner frequency, an important feature for 57 the calculation of high-frequency ground motions for engineering applications (Papageorgiou 58 and Aki, 1983; Purvance and Anderson, 2003; Sotiriadis et al., 2021). Numerous studies of small 59 and large, shallow and deep, tectonic and induced earthquakes using regional and teleseismic 60 data are based on the Brune source model when estimating stress drops (e.g., Abercrombie, 61 1995; Garcia et al., 2004; Allmann & Shearer, 2009; Baltay et al., 2011; Oth, 2013; Chen & 62 Shearer, 2018; Huang et al., 2016; Ruhl et al., 2017; Prieto et al., 2017; Trugman et al., 2017; 63 Wu et al., 2018; Shearer et al., 2019; Liu et al., 2020; Yu et al., 2021). 64 Nevertheless, it is well recognized that earthquakes are complex on a wide variety of 65 spatial and temporal scales. The barrier (Das and Aki, 1977) and asperity (Lay and Kanamori, 1981; Lay et al., 1982) models describe stress and frictional differences on the fault plane. The 66 67 rupture velocity and the moment rate during rupture expansion can change due to dynamic waves 68 in fault damage zones (e.g., Huang and Ampuero, 2011) as well as fault curvature and 69 segmentation (e.g., Ando and Kaneko, 2018; Ulrich et al., 2019). The complexity of rupture 70 processes is evident for Mw > 7 earthquakes (e.g., Ye et al. 2016; Hayes, 2017) but also for smaller earthquakes (e.g., Boatwright, 1984). Using local seismic arrays, moment rate 71 72 fluctuations have been observed for Mw < 3.5 earthquakes in the Charlevoix, Quebec seismic 73 zone (Li et al., 1995; Fischer, 2005), on the San Andreas Fault (Wang et al., 2014; Abercrombie, 2014; Abercrombie et al., 2020), and in the 2008 Mogul, Nevada swarm (Ruhl et al., 2017). 74

- 75 Danré et al. (2019) used the Gaussian source model to systematically analyze the source
- 76 complexity for SCARDEC STFs. They observed increasing source complexity with earthquake
- and an important scaling of the moment of subevent with the earthquake moment by a factor of
- 78 0.8. For the Brune source model, the source complexity may cause earthquake source spectra to
- deviate from the frequency-squared spectral decay for moderate to large (e.g., Luco, 1985;
- 80 Atkinson, 1993; Beresnew and Atkinson, 2001; Denolle and Shearer, 2016; Yin et al., 2021) and
- 81 small earthquakes (e.g., Uchide and Imanishi, 2016). The Brune source model has also been
- 82 modified to include two corner frequencies to explain the deviation (Archuleta & Ji, 2016;
- 83 Denolle and Shearer, 2016; Uchide and Imanishi, 2016; Ji & Archuleta, 2021).
- For many earthquakes, however, there are insufficient data to model source complexity.
  It is also not a common practice to use complex source models to predict earthquake ground

86 motions. Therefore, the Brune source model is still widely used to estimate source parameters

- and ground motions regardless of earthquake source complexity. This poses a fundamental
- question: What is measured by the Brune source model when it is applied to complex
- 89 earthquakes?

90 Here we investigate what kind of source properties are represented by the Brune source

- 91 model for earthquakes with multiple episodes of high moment release (i.e., multiple subevents).
- 92 We first quantify earthquake source complexity by analyzing the number and source properties
- of subevents in source time functions (STFs) of hundreds of Mw 5.5 8.0 earthquakes in the
   SCARDEC (Seismic source CHAracteristic Retrieved from DEConvolving teleseismic body
- 95 waves) catalog (Vallée and Douet, 2016). We describe and decompose the STF as a sum of
- 96 Brune sources, and estimate corner frequencies and seismic moments of subevents. By
- 97 comparing measured source complexity to that observed by Danré et al. (2019), we will further
- 98 understand the scaling relationship between the source complexity and the subevent moment. We
- also derive the theoretical source spectrum of a complex earthquake with two Brune subevents.

100 Using both SCARDEC analysis and theoretical derivation, we compare the earthquake's overall

101 corner frequency to the corner frequencies of individual subevents, and show how earthquake

- 102 corner frequency and stress drop depend on the temporal spacing and relative moments of
- 103 subevents.

### 104 2 Methods

- 105 2.1 Source Time Function decomposition
- 106 In the time domain, the Brune source is defined as

107 
$$\Omega(t, t_0, f_c, M_0) = M_0 (2\pi f_c)^2 (t - t_0) e^{-2\pi f_c (t - t_0)} H(t - t_0)$$
(1)

108 where  $H(t - t_0)$  is the Heaviside function,  $t_0$  is the onset time of the rupture,  $M_0$  is the seismic

109 moment, and  $f_c$  is the corner frequency that is scaled to a characteristic rupture time  $1 / f_c$ . The 110 Brune model predicts a far-field spectrum

111 
$$\Omega(f, f_c, M_0) = \frac{M_0}{1 + \frac{f^2}{f_c^2}}$$
(2)

112 which has a plateau at frequencies much lower than  $f_c$  and decreases proportional to  $f^2$  at

113 frequencies higher than  $f_c$ . The stress drop  $\Delta \sigma$  is proportional to  $f_c^3$  (Madariaga, 1976).

114 We call the Brune source that best matches the STF of an earthquake  $\Omega_{STF}$ . The seismic 115 moment and corner frequency of  $\Omega_{STF}$  are  $M_{STF}$  and  $f_{STF}$ , respectively. To determine  $M_{STF}$  and 116  $f_{STF}$  we transform the SCARDEC STF to the frequency domain using a Fast Fourier Transform 117 algorithm (Cooley and Tukey, 1965) and estimate  $f_{STF}$  in the frequency range of 0.01 - 2.0 Hz 118 using the trust-region-reflective least-square algorithm (Branch et al., 1999). For a complex STF 119 with multiple maxima,  $M_{STF}$  approximates the earthquake's integrated moment rate, and  $f_{STF}$ 120 represents an average value of the rupture duration.



Figure 1. Flowchart of STF decomposition that finds the best corner frequency of subevents that minimizes the misfit one by one in time series. See main text for detailed processes to locate subevents and fit corner frequencies.

125 To model a complex STF with multiple episodes of high moment rate (i.e., multiple 126 subevents), we write the STF as a sum of Brune pulses:

121

127 
$$\Omega_{SUM}(t) = \sum_{N=1}^{N_{ev}} \Omega_N(t, t_N, f_N, M_N)$$
(3)

128 To determine the number of resolvable Brune pulses in  $\Omega_{SUM}$ , we follow the iterative 129 approach by Danré et al. (2019) with some modifications (Figure 1). There are three essential steps: i) To determine subevent N, find the time  $t_{MAX}$  of the N local maximum in the STF that is 130 131 larger than 10% of the STF's maximum value to avoid overfitting small oscillations as individual subevents. Then we find the time  $t_{MIN}$  of the first local minimum in the STF more than 0.5 s 132 133 after  $t_{MAX}$ , to avoid overfitting oscillations close to each other as individual subevents. This 134 requirement should not affect the number of subevents because 0.5 s is only about 10% and 1% 135 of the rupture duration of M5.5 and M8 earthquakes. Ii) Find the seismic moment  $M_N$  and corner 136 frequency  $f_N$  of subevent N that minimize the least-squares difference between the STF and  $\Omega_{SUM} = \sum_{k=1}^{N} \Omega_k(t, t_k, f_k, M_k)$  in the time range  $[0, t_{MIN}]$ . Iii) Repeat steps i) and ii) gradually 137 138 adding subevents to  $\Omega_{SUM}$  until the last subevent  $N_{ev}$ . We normalize the STFs such that the total 139 integrated area is 1.0 and calculated the residual curve between the STF and  $\Omega_{SUM}$ . We then 140 calculated the integrated area of the residual curve to obtain the misfit. We discard STFs if the 141 misfit is larger than 0.5. Analogous to the estimate of  $M_{STF}$  and  $f_{STF}$  we define  $M_{SUM}$  and  $f_{SUM}$ as the seismic moment and corner frequency of a single Brune pulse that best matches  $\Omega_{SUM}$  in a 142 143 least-squares sense.

144 2.2 Source Time Functions as a sum of two Brune pulses

We derive for the first time the source time functions and source spectra of earthquakes with multiple subevents whose spectra are described by the Brune model. We focus on 147 earthquakes with two subevents. As shown in section 3.2, two-subevents earthquakes account for

- 148 43% of the SCARDEC dataset. The expression of source time functions can also be extended to
- 149 earthquakes with three or more subevents. We write the source time function of an earthquake
- 150 with two subevents as

151

$$\Omega_{SUM}(t) = \Omega_L(t, t_L, f_L, M_L) + \Omega_S(t, t_S, f_S, M_S)$$
(4)

152 where the parameters  $t_L$ ,  $f_L$ , and  $M_L$  and the parameters  $t_S$ ,  $f_S$ , and  $M_S$  are the onset times, corner

153 frequencies, and seismic moments of the large and small subevents  $\Omega_L$  and  $\Omega_S$ , respectively. The

154 power spectrum of  $\Omega_{SUM}$  for two pulses is

155 
$$\Omega_{SUM}^2(f) = \frac{M_L^2}{k_L^2} + \frac{M_S^2}{k_S^2} + \frac{2M_SM_L}{k_Sk_L}\cos\{2\pi f(t_L - t_S) + \alpha_L - \alpha_S\}$$
(5)

156 where  $k_L = 1 + f^2/f_L^2$ ,  $k_S = 1 + f^2/f_S^2$ ,  $\sin^2 \alpha_L = (k_L - 1)/k_L$ , and  $\sin^2 \alpha_S = (k_S - 1)/k_S$ .

- 157 The first and second terms in (5) are Brune spectra with different low-frequency plateaus and
- 158 corner frequencies that determine the onset of the spectral fall off. The third term represents
- 159 oscillations in the spectrum with periods determined by T and the phase shifts determined by  $f_L$
- 160 and  $f_s$ . We reduce the number of free parameters to four by considering the moment ratio M =
- 161  $M_L/M_S$  and the onset time difference  $T = t_L t_S$  of the largest and smallest subevents instead of
- 162  $M_L, M_S, t_L$ , and  $t_S$  individually.



163

Figure 2. (a)  $\Omega_{SUM}$  for a sum of two Brune pulses. The large and small subevents have corner frequencies of 0.15 Hz and 0.40 Hz, respectively. The moment ratio  $M = M_L/M_S = 3$ . In cases 1 (red) and 2 (blue), the largest pulse is the first and second in the sequence so T = -2 s and T = +2 s, respectively. (b) Amplitude spectra (solid lines) of the STFs with corresponding colors shown in (a). The dashed line is the spectrum of a single-pulse Brune source that best matches  $\Omega_{SUM}$  in a least-squares sense. They are virtually the

168 of a single-pulse Brune source that best matches  $\Omega_{SUM}$  in a least-squares sense. They are virtually the 169 same for T = -2 s and T = +2 s. The corner frequency of this Brune source is  $f_{SUM} = 0.19$  Hz.

170 Figure 2 illustrates the typical form of  $\Omega_{SUM}$  in the time (Figure 2a) and frequency

- 171 (Figure 2b) domains.  $\Omega_{SUM}$  has two subevents with corner frequencies  $f_L = 0.15$  Hz and  $f_S = 0.40$
- Hz and a moment ratio M = 3. We consider T = -2 s and T = +2 s for which the large subevent
- 173 precedes and succeeds the small subevent by two seconds, respectively (Figure 2a). The order of
- the small and the large subevent can significantly change the shape of the STF and its peak
- 175 values. For example, when T = -2 s, the two maxima in the STF are similar but for T = +2 s, the
- second maximum is 60% higher than the first one. The spectra for T = -2 s and T = +2 s have

- 177 local minima at different frequencies, and they converge and decay approximately proportional
- to  $f^2$  at frequencies higher than about 0.5 Hz (Figure 2b). The Brune pulse that optimally fits 178
- $\Omega_{SUM}$  has a corner frequency  $f_{SUM} = 0.19$  Hz for both T = -2 s and T = +2 s, about two times 179
- lower than  $f_S$ . The location of the first spectral minimum and the spectral decay at high 180
- 181 frequencies depend on the values of  $f_L$ ,  $f_S$ , M, and T.



### 182

183 Figure 3. (a) Contour plot of the corner frequency  $f_{SUM}$  as a function of T and M. The subevent corner 184 frequencies are  $f_L = 0.15$  Hz and  $f_S = 0.40$  Hz. (b) Contour plot of  $f_{SUM}$  as a function of  $f_L$  and  $f_S$ . The 185 moment ratio and onset time difference of the two subevents are T = 2 s and M = 3.

186 Figure 3a shows how  $f_{SUM}$  varies as a function of T and M for ranges we resolve for the majority of STFs in the SCARDEC catalog with two subevents. As in Figure 2,  $f_L$  is 0.15 Hz and 187  $f_S$  is 0.40 Hz. For high values of M,  $f_{SUM}$  approaches  $f_L$  because the largest of the two subevents 188 189 dominates  $\Omega_{SUM}$ . For values of M near 1 and for T near 0,  $f_{SUM}$  is intermediate between  $f_L$  and

190  $f_S$ . The asymmetry of  $f_{SUM}$  about T = 0 indicates that  $f_{SUM}$  depends on the order of the large and

191 small subevents in the STF, especially when the onset time difference between the subevents is 192

small. The asymmetry originates from a phase shift of  $2(\alpha_L - \alpha_S)$  when the sign of T changes

(see (5)), which is the strongest when M is high. Figure 3b shows how  $f_{SUM}$  varies with subevent 193 corner frequencies  $f_L$  and  $f_S$ . We find that  $f_{SUM}$  is more related to  $f_L$  than  $f_S$  when M = 3 and T =194

2.  $f_{SUM}$  is closer to the smaller one of  $f_L$  and  $f_S$  and increases with either of them. 195

#### 196 **3** Analysis

197 3.1 The SCARDEC catalog

198 The SCARDEC catalog with source information of hundreds of earthquakes facilitates 199 our exploration. Although it does not include constraints on fault slip distribution such as the 200 finite-fault modeling databases developed by Ye et al. (2016) and Hayes (2017), it is an order of 201 magnitude larger. The SCARDEC analysis is based on the analysis of the waveforms of the teleseismic body-wave phases P, PcP, PP, ScS, and SH and their surface reflected phases to 202 203 maximize the range of wave take-off angles in the analysis and thus resolution. There are no simplifications regarding the spatial-temporal complexity of the rupture process, so differences 204 205 of the STFs at different stations may capture rupture directivity. However, we use the average of the STFs from all stations as an estimate of the overall time dependence of moment rate. The 206 207 SCARDEC catalog has been used in determining the variations of strain drop, stress drop, and

- 208 radiated energy with depth, magnitude, and tectonic settings (Vallée, 2013; Courboulex et al.,
- 209 2016; Chounet & Vallée, 2018; Denolle, 2018; Yin et al., 2021), as well as inversions for rupture
- 210 velocity and rupture direction (Chounet et al., 2018).
- 211 We decompose STFs of Mw 5.5–8.0 earthquakes between 1992–2017 in the SCARDEC
- 212 catalog. Out of 3,348 earthquakes, 1,640 earthquakes (49%) have two or more subevents. Danré
- et al. (2019) identified a higher percentage of earthquakes with multiple subevents (81%) most
- 214 likely because the Gaussian model describes the source with three free parameters in contrast to
- the two free parameters in the Brune model. Nevertheless, both studies indicate that at least half
- 216 of moderate to large earthquakes are complex.



217

Figure 4. (a) Normalized STFs of the Caroline Islands Mw 6.2 earthquake on December 8, 2017 from the

219 SCARDEC dataset (black line) and the best-fitting sum  $\Omega_{SUM}$  of two Brune subevents (red line). (b) The

spectra of the STF (black line),  $\Omega_{STF}$  (dashed line) and  $\Omega_{SUM}$  (red line). The corner frequency  $f_{STF} = 0.11$ 

- Hz is marked by a black reversed triangle. The corner frequencies  $f_L = 0.13$  Hz and  $f_S = 0.30$  Hz are
- marked by red reversed triangles. (c) and (d) are the same as (a) and (b) but for the southern Chile Mw 7.6 earthquake on December 25, 2016, with corner frequencies  $f_{STF} = 0.028$  Hz,  $f_L = 0.048$  Hz, and  $f_S =$

224 0.048 Hz and M = 1.08.

As an example, Figures 4a and 4c shows the reconstructed STFs (i.e.,  $\Omega_{SUM}$ ) and the original STFs of the December 8, 2017 Mw 6.2 earthquake in Caroline Islands and of the

- 227 December 25, 2016 Mw 7.6 earthquake in southern Chile. Figures 4b and 4d show their spectra 228  $\Omega_{STF}$  and  $\Omega_{SUM}$ . For the Caroline Islands earthquake, we determine that  $\Omega_{SUM}$  is a sum of two
- Brune sources with a moment ratio of 5.75 and with corner frequencies of 0.13 Hz ( $f_L$ ) and 0.30
- Hz ( $f_s$ ). The large subevent occurred 2.3 seconds after the small subevent. The misfit between
- the normalized STF and  $\Omega_{SUM}$  is 32.8%. The corner frequency is inferred to be 0.11 Hz, slightly
- lower than  $f_L$ , because the largest subevent represents more than 85% of the total moment. The
- 233 observed and synthetic STFs release 90% of the total moment at 6.6 s and 7.8 s. The southern
- 234 Chile earthquake is also decomposed into two Brune sources although it has a longer source

- duration. For this event, the onset time difference T = +6.82 s, and the moment ratio M = 1.08
- with a misfit of 18.7%. The corner frequencies  $f_L$  and  $f_S$  are both 0.048 Hz and much larger than
- the inferred earthquake corner frequency (0.028 Hz) because the two subevents have similar
- moments. The observed and synthetic STFs release 90% of the total moment at 17.0 s and 19.3 s,
- respectively. We note that the synthetic source duration is larger than the observed source
- duration since the fixed Brune STF decreases slower than the observed STF. Compared to Figure
- 241 4d, spectra in Figure 4b have an extra plateau at 0.2 0.3 Hz because of the large difference
- between  $f_L$  and  $f_S$ .
- 243 3.2 Analysis of SCARDEC source time functions

244 Figure 5 summarizes how the number of subevents varies with moment magnitude, focal 245 mechanism, and source depth. It suggests that the number of subevents increases with moment 246 magnitude in the range of 5.5–8.0 (Figure 5a) and that strike-slip earthquakes are more complex 247 than dip-slip earthquakes (Figure 5b). Earthquakes that have 8 or more subevents are all strike-248 slip earthquakes. This is in agreement with the previous study by Danré et al. (2019), indicating 249 that the correlation of source complexity with magnitude and faulting type, as quantified by the number of subevents, is a robust characteristic of the SCARDEC catalog and weakly influenced 250 251 by the assumed source model for the subevent. We also find that shallow (< 50 km) and very

- deep (> 600 km) earthquakes have more subevents than earthquakes between 50 and 600 km
- depth (Figure 5c). Patterns in Figure 5b and 5c are also observed in Yin et al. (2021).



254

Figure 5. Contour plot of the number of earthquakes. The y-axis shows the number of subevents in the STF up to 10. The x-axis indicates the earthquake's moment magnitude (a), faulting type (b), and focal

- depth (c). The values of faulting type range from -1 (normal faulting) to 0 (strike-slip faulting) to +1
- 258 (reverse faulting) following the quantification by Shearer et al., (2006). Blue and red circles signify means
- and medians determined for bins of  $\pm 0.1$  (moment magnitude),  $\pm 0.1$  (faulting type), and  $\pm 25$  km (focal
- depth).

3.3 Analysis of source time functions with two subevents

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Figure 6. Histograms of (a) moment ratio  $M = M_L/M_S$ , (b) onset time difference  $T = t_L - t_S$ , (c) corner frequency of the largest subevent  $f_L$  and of the smallest subevent  $f_S$  (d) ratio of  $f_L$  to  $f_S$  for 714 STFs with two subevents in the SCARDEC catalog.

From the 1,640 multi-subevent STFs in the SCARDEC catalog, 714 STFs (43%) have two subevents, more than the sum of the number of earthquakes with three (361), four (198), and five (104) subevents. Since two-subevents earthquakes are most common and the simplest scenario of complex earthquakes, our analysis focuses on earthquakes with two subevents.

271 The magnitude range of two-subevents earthquakes is Mw 5.7-8.0. The ratio M is lower than 8 for about 75% of the STFs (Figure 6a) and the absolute onset time difference T is between 272 273 2.0 and 8.0 s for about 80% of the STFs (Figure 6b). T is negative for 521 STFs, suggesting the 274 largest subevent precedes the smallest subevent more often. The corner frequency  $f_L$  of the large 275 subevent has a median value of 0.14 Hz, higher than the corner frequency  $f_{\rm S}$  of the small 276 subevent that has a median of 0.21 Hz (Figure 6c), consistent with the common observation that 277 smaller events have higher corner frequencies.  $f_L/f_S$  has a median of 0.65 (Figure 6d), with 76% 278 values smaller than 1.0, which is consistent with the common observation that smaller events

tend to have higher corner frequencies.





Figure 7. (a) and (b) shows the corner frequency  $f_{STF}$  as a function of the corner frequency  $f_S$  and  $f_L$ color-coded by moment ratio M. (c) and (d) shows the corner frequency  $f_{STF}$  as a function of the corner frequency  $f_S$  and  $f_L$  color-coded by absolute onset time difference |T|. The dashed lines indicate a 1:1 correlation.

285 In Figure 7 we evaluate the significance of the corner frequency  $f_{STF}$  of the 714 286 SCARDEC STFs that are decomposed to have two subevents. The correlation between  $f_{STF}$  and 287  $f_L$  (Figures 7b and 7d) is higher than the correlation between  $f_{STF}$  and  $f_S$  (Figure 7a and 7c) with 288 cross-correlation coefficients of about 0.90 and 0.57, respectively. This indicates that the large 289 subevent determines  $f_{STF}$  the most, which agrees with the theoretical results shown in Figure 2b. 290 We find that the corner frequencies of subevents  $f_S$  and  $f_L$  are overall higher than the earthquake 291 corner frequency  $f_{STF}$ . The correlations between  $f_{STF}$  and subevent corner frequencies further 292 support the finding of Danré et al. (2019) that the moment of subevents is correlated to the 293 moment of the main event for self-similar earthquakes.

294 The color-coding in Figures 7a and 7b indicates that with increasing moment ratio M, the difference between  $f_{STF}$  and  $f_S$  tends to increase while the difference between  $f_{STF}$  and  $f_L$  tends 295 296 to decrease, which is also observed in Figure 2a. The plot of the  $f_S/f_{STF}$  and  $f_L/f_{STF}$  ratios in 297 Figure 8a further illustrate this. The limitation in frequency bandwidth could result in increasing 298  $f_S/f_{STF}$  with M if  $f_S$  is high enough, but here most corner frequency estimates are within 0.7 Hz 299 which should be resolvable given a time step of 0.005s. Beginning with a similar spread at M =1, the scatter in  $f_S/f_{STF}$  increases with increasing M, while  $f_L/f_{STF}$  tends to cluster to a value of 300 301 about 1.2. Although  $f_L/f_{STF}$  is expected to approach 1 theoretically for the highest values of M, we suspect that the misfit of the decomposition of STF renders  $\Omega_{SUM}$  to have a slightly different 302

303 frequency content than  $\Omega_{STF}$ . Figures 7c and 7d show that for an increasing absolute onset time

- difference |T| between subevents,  $f_{STF}$  and  $f_L$  decreases. This is consistent with the fact that |T|
- 305 controls the total source duration, which is inversely proportional to the corner frequency of the
- Brune pulse. Therefore,  $f_{STF}$  and the closely correlated  $f_L$ , are inversely proportional to |T|,
- 307 whereas the change of  $f_S$  with |T| is less obvious due to high scatter.
- Figure 8b shows an asymmetry in the ratios  $f_S/f_{STF}$  and  $f_L/f_{STF}$  with reference to T = 0, implying that the order of the large and small subevents of subevent (i.e., T > 0 and T < 0) has an
- 310 influence on the corner frequency estimates. The variation in  $f_S/f_{STF}$  for T < 0 is two times
- 311 higher than for T > 0, suggesting that  $f_S$  is similar to  $f_{STF}$  and better constrained if the small
- 312 subevent precedes that large subevent. The variation in ratio  $f_L/f_{STF}$  does not change with T, but
- 313 the mean value of  $f_L/f_{STF}$  for T < 0 is slightly smaller than  $f_L/f_{STF}$  for T > 0 (1.60 versus 1.79).
- 314 Since the absolute value of *T* is higher than 1 for most STFs in the SCARDEC catalog (see
- Figure 6b), the relatively small influence of T on  $f_L/f_{STF}$  is consistent with Figure 2a, where we
- found that  $f_{STF}$  depends strongly on *T* only when |T| < 1.
- 317



318

- Figure 8. The ratio between corner frequencies  $f_L$  (solid black circles) and  $f_S$  (gray open diamonds) to
- 320  $f_{STF}$  as a function of moment ratio M (a) and onset time difference T (b).
- 321



322



of the large and small subevents, respectively. (b) Cumulative fraction of the ratios  $\Delta \sigma_L / \Delta \sigma_{STF}$  (black line) and  $\Delta \sigma_S / \Delta \sigma_{STF}$  (grey line).

326 The Brune model relates the corner frequency  $f_c$  to stress drop  $\Delta \sigma$  assuming a circular 327 crack model:

$$\Delta \sigma = \frac{7M_0 f_c^3}{16\beta^3 k^3} \tag{6}$$

328 Here k is a constant and  $\beta$  is the shear wave velocity (Madariaga, 1976). In (6),  $\Delta\sigma$  represents the average stress change on the fault plane. Analogous to our definitions for  $f_{STF}$ , we define 329  $\Delta \sigma_{STF}$  as the average stress drop determined for the SCARDEC STF. Further, we define  $\Delta \sigma_L$  and 330 331  $\Delta \sigma_S$  and  $M_L$  and  $M_S$  as the stress drops and seismic moments of the large and small subevents, 332 respectively. The shear wave velocity is referred from PREM (Dziewonski & Anderson, 1981) 333 model. We assume the rupture velocity is about 0.7  $\beta$  (Ye et al., 2016; Hayes, 2017; Chounet et 334 al., 2017). Note that the value of k is related to the spherical average of the corner frequency, and is different for P- and S-waves (Sato & Hirasawa, 1973; Madariaga, 1976; Kaneko & Shearer, 335 2014, 2015; Wang & Day, 2017). Since SCARDEC STFs are obtained by averaging P and S 336 337 waves after removal of Green's functions, we set k as 0.32 according to Sato & Hirasawa (2017) and Kaneko & Shearer (2015).  $\Delta \sigma_{STF}$ ,  $\Delta \sigma_L$ , and  $\Delta \sigma_S$  are proportional to the cube of  $f_{STF}$ ,  $f_L$ , and 338  $f_S$ . Therefore, as for  $f_L$ ,  $f_S$ , and  $f_{STF}$ , the correlation between  $\Delta \sigma_{STF}$  and  $\Delta \sigma_L$  is higher than the 339 340 correlation between  $\Delta \sigma_{STF}$  and  $\Delta \sigma_{S}$  (Figure 9a). The correlation of Brune stress drop estimates with the largest asperity supports the usage of the moment-weighted stress drop and the energy-341 342 based stress drop (Noda et al., 2013).  $\Delta \sigma_L$  and  $\Delta \sigma_S$  are also larger than  $\Delta \sigma_{STF}$  (Figure 9b). For 50% of the STFs  $\Delta \sigma_L$  and  $\Delta \sigma_S$  are larger than  $\Delta \sigma_{STF}$  by a factor of 4, and stress drops of the small 343

344 subevents is an order of magnitude higher than the overall stress drop for 20% of the earthquakes

345 in the SCARDEC catalog (see also Figure 6c).

#### 347 **4** Discussion

#### 348 4.1 Comparison with finite-fault inversion results

349 Through the STFs decomposition, we find that the corner frequency of the master event 350 is more related to the largest subevent. STFs show temporal behavior of the rupture moment 351 release, however, provide no spatial information of the rupture process. Thus, we compare 352 subevent corner frequencies measured from STFs with rupture dimensions of subevents 353 estimated from finite-fault inversion datasets. Ye et al. (2016) applied finite-fault inversion to 354 teleseismic P waveforms of 114 earthquakes larger than Mw7.0. We fit the source spectra of 355 STFs from finite-fault inversion to the Brune source model to estimate the corner frequency of 356 the earthquake  $f_{STF}$  and convert it to rupture radius following  $r_{STF} = k\beta/f_{STF}$ , where k is a constant and  $\beta$  the shear wave velocity. Assuming an average crustal shear-wave velocity ( $\beta$  = 357 3.5 km/s), the rupture velocity used by Ye et al. (2016) (2.5 km/s) is 70% of the shear wave 358 359 velocity. We use corresponding k values of P waves from Sato & Hirasawa (1973) and Kaneko 360 & Shearer (2015). We then decompose STFs to estimate the moment of the largest subevent. 361 Assuming that the largest subevent with the highest slip can be approximated by a circle, we use 362 the moment release distribution to find the radius  $r_{FNT}$  when the total moment release within the 363 circle is equal to the largest subevent. As an example, Figures 10a and 10b display the STF for

the 2014 April 18, Guerrero earthquake and its slip map where the circle with a radius of  $r_{FNT}$  = 24 km outlines the region of slip of the largest subevent.



### 366

Figure 10. (a) The normalized source time function and (b) slip distribution in Ye et al. (2016) for the April, 18, 2014 Mw7.3 Guerrero earthquake. The black curve in (a) is the STF from finite-fault inversion and the red curve is its decomposition into two Brune sources. The white dashed circle in (b) with a radius  $r_{FNT} = 24$  km signifies the rupture area of the largest subevent. The best-fit Brune corner frequency is  $f_{STF} = 0.04$  Hz. (c) Radius  $r_{STF}$  converting from  $f_{STF}$  using k = 0.32 as a function of the largest subevent radius  $r_{FNT}$  measured from finite-fault inversion. The grey dashed line signifies a 1:1 relation. (d) Same as (c) but with k = 0.23.

374 Figures 10c and 10d show that  $r_{STF}$  are positively correlated with  $r_{FNT}$ . The radius  $r_{STF}$ 375 depends linearly on k. For k = 0.23 (Sato & Hirasawa, 1973)  $r_{STF}$  is about 30% higher than for k = 0.32 (Kaneko & Shearer, 2015), but k has no influence on the correlation between  $r_{STF}$  and 376  $r_{FNT}$ . A change of 10% moment would result in approximate 10% change of the radius. We note 377 378 that the estimation of  $r_{FNT}$  is rough because the rupture areas of subevents may not be circles. 379 Nevertheless, the proportionality of  $r_{STF}$  and  $r_{FNT}$  supports our conclusion that the largest subevent strongly influences estimates of the earthquake corner frequency and rupture 380 381 dimension, and estimates of earthquake corner frequency represent rupture dimensions of the 382 largest subevent.

383 4.2 Observed stress drop variability

The stress drops estimated from the SCARDEC STFs dataset have a standard deviation of about a factor of 3.5. This standard deviation is close to the factor-of-three variability of stress drop estimated from the SCARDEC STFs by Courboulex et al. (2016), and is similar to the variability of stress drop estimated from the moment rate functions of earthquakes in dynamic rupture simulations (Gallovic and Valentova, 2020). Allmann and Shearer (2009) obtained a stress drop variability of about a factor of 4.5 using a spectral fitting method based on global eGfs. Our results show that the stress drop variability may be a consequence of earthquake 391 complexity. Whereas for a simple source, the stress drop inferred from the Brune source corner

- 392 frequency represents the average stress drop on the fault plane, the stress drop of a complex
- rupture with multiple subevents is influenced strongly by the largest subevent. Therefore,
- 394 earthquakes with the same magnitudes can have varying stress drops depending on the source
- 395 complexity and the largest subevent dimension. This could explain the significant higher
- 396 variability of stress drop estimated from source time functions of simulated ruptures than the
- variability of stress drop prescribed in dynamic rupture models (Cotton et al., 2003; Lin and
  Lapusta, 2018; Gallovic and Valentova, 2020). A better understanding of the source of stress
- drop variability helps to predict ground velocity and acceleration after major earthquakes, which
- 400 are essential for the seismic hazard assessment.

401 We note that, in addition to the source complexity, the simplicity of the Brune source 402 model itself can also lead to a systematic deviation of the stress drop estimation. The Brune 403 source model is widely applied due to its simplicity, but also suffers from inaccurate 404 representation for complex earthquake sources. Although we obtain similar distributions of 405 subevent numbers using the Brune source model as Danré et al. (2019) who used the Gaussian 406 source model, the variation of stress drop estimates is cubed when stress drop is converted from 407 corner frequency estimates. Apart from the model choice, the quality of dataset (Green's 408 function removal in SCARDEC STFs), the frequency bandwidth, and the spectral fit method all 409 contribute to the corner frequency and stress drop variation.

410 4.3 Application to spectral ratios

411 Since the spectral ratio method is frequently used to estimate corner frequencies (e.g., 412 Abercrombie, 2015; Huang et al, 2016; Uchide and Imanishi, 2016; Liu et al, 2020) we explore 413 the resolution of the corner frequencies of a large earthquake (referred to as the master event 414 hereafter) after dividing its spectrum  $\Omega_M$  by the spectrum  $\Omega_E$  of a co-located but smaller 415 earthquake. The spectral ratio method isolates the source term of the master event, because for the same station the propagation and receiver effects are the same in  $\Omega_M$  and  $\Omega_E$ . Therefore, the 416 417 smaller earthquake can be regarded as the empirical Green's function (referred to as eGf 418 hereafter).

419 Assuming Brune sources as in eq. (1), the spectral ratio is  $\Omega_{RATIO}(f, f_{RATIO}, M_{RATIO}) =$  $\Omega_M(f, f_M, M_M)/\Omega_E(f, f_E, M_E)$ , where,  $M_M, M_E, f_M, f_E$  are seismic moments and corner 420 frequencies of the master event and the eGf. The spectral ratio  $\Omega_{RATIO}$  has a seismic moment 421 422 ratio  $M_{RATIO}$  and a first corner frequency  $f_{RATIO}$  (i.e., master event corner frequency inferred 423 from the spectral ratio method). Note that the spectral ratio also has a second corner frequency 424 that corresponds to the eGf corner frequency. If  $f_E$  is much higher than  $f_M$ ,  $\Omega_{RATIO}$  is equivalent to  $\Omega_M$  and  $f_M$  is equivalent to  $f_{RATIO}$ . If  $f_E$  is similar to  $f_M$ ,  $\Omega_{RATIO}$  decays more slowly at high 425 frequencies than  $\Omega_M$ . There are two approaches to get the source spectral information  $M_M$  and 426  $f_M$ : 1) removing the Green's function and performing spectral fitting (e.g., Shearer et al., 2007, 427 2009), and 2) fitting the spectral ratio of two Brune models based on empirical Green's function 428

429 (e.g. Abercrombie, 1995; Abercrombie, 2014, 2015), with two approaches benchmarked in 420 Shearer et al. (2010)

430 Shearer et al. (2019).

431 We show the spectra and the spectral ratio of the second spectral ratio approach in Figure 432 11a. Figures 11c and 11d demonstrate this for the master events used in Figure 2 (i.e., events composed of two subevents with onset time difference of T = -2 s and T = +2 s) that have a 433 corner frequency  $f_M = 0.19$  Hz for both cases of T. The eGfs used to compute  $\Omega_{RATIO}$  are single-434 435 pulse Brune sources with corner frequencies of 0.5 Hz (Figure 11c) and 1.5 Hz (Figure 11d). In both cases,  $f_{RATIO}$  is inferred to be lower than  $f_M$  because the first oscillation in the spectral 436 ratios causes an earlier and faster decay near  $f_M$  (Figure 2b). This decreasing effect on  $f_{RATIO}$  is 437 438 stronger when the eGf has a corner frequency closer to  $f_M$ . For  $f_E$  higher than 1 Hz,  $f_{RATIO}$ 

- 439 approaches  $f_M$  asymptotically (Figure 11b). In addition, the sequence of the large and small
- 440 subevents affects  $f_{RATIO}$ . The master event corner frequency is inferred to be larger when large
- 441 subevent precedes small subevent (T = -2 s).



### 442

Figure 11. (a) Spectra of the master event (black solid) with  $f_M = 0.1$  Hz,  $M_M = 5$  and the eGf (grey solid) with  $f_E = 0.5$  Hz,  $M_E = 0.5$  Hz as well as their spectral ratio (dashed line). (c) Spectral ratios for T = +2 s (blue) and T = -2 s (red) when  $f_E = 0.5$  Hz. The master event has the same spectra as the spectra shown in

446 Figure 2. The corners  $f_M$  of the spectral ratio  $\Omega_M$  are indicated by reversed triangles for the cases where

- 447 the large subevent precedes (in red) or succeeds (in blue) the small subevent by 2 s. (d) Same as (a) for  $f_E$
- 448 = 1.5 Hz. (b)  $f_M$  as a function of  $f_E$  for T = +2 s (blue) and T = -2 s (red). The horizontal and vertical
- 449 black dashed lines indicate the corner frequency  $f_M = 0.19$  Hz of the master event.

450 There is an upper bound of the frequencies (2 Hz in our case) in the source spectrum used for the fitting of the Brune source spectrum. Because  $\Omega_M$  and  $\Omega_E$  decay identically above  $f_E$ , the 451 first corner of a spectral ratio is primarily determined by signals at frequencies lower than  $f_E$  that 452 453 is usually smaller than the upper frequency range. For multi-subevent earthquakes, oscillations at 454 frequencies smaller than  $f_E$  dominate the modeling of spectral ratios. Theoretically, if the eGf 455 has the form of a single-pulse Brune spectrum, its corner frequency does not strongly influence 456 the estimate of the corner frequency of the master event. For complex master events, however, 457 oscillations at frequencies smaller than  $f_E$ , rather than the overall fall-off control the fitting. As  $f_E$  decreases, we are more likely to fit the first oscillation which has a corner frequency smaller 458 459 than the master event. Therefore, the spectral ratio method yields a larger variance in the 460 estimated corner frequency than the direct fitting of earthquake source spectra when the master 461 event consists of multiple subevents.

462 4.4 More complex spectral models

463 It is necessary to differentiate two subevent corner frequencies in our analysis from the double-corner frequency model (Archuleta and Ji, 2016; Denolle and Shearer, 2016; Uchide and 464 465 Imanishi, 2016; Wang & Day, 2017; Ji & Archuleta, 2021). The double-corner frequency has an 466 additional corner compared to the Brune source model and variable fall-off rates, so it can better 467 model complex source spectra at high frequency. The underlying physics of an additional corner 468 is an extra time scale relating to one of the following source properties: the slip rise time (Brune, 469 1970), the time between the starting and stopping phases (Luco, 1985), the spacing of barriers 470 and asperities (Denolle and Shearer, 2016), and the superposition of two subevents (Atkinson, 471 1993). Our analysis is based on the Brune source model, so we only estimate a single corner 472 frequency of the master event, which characterizes the whole earthquake. Similarly, subevent 473 corner frequencies only characterize the source properties of subevents separately.

### 474

### 4.5 Comparison with previous SCARDEC decomposition results

475 Our decomposition approach is the same as Danré et al. (2019), but we assume the Brune source instead of the Gaussian source used in their analysis. The Gaussian source model is 476 477 described by three source parameters and thus more adaptable than the Brune source model with two parameters. Though Danré et al. (2019) resolved more subevents than found in this study, 478 479 the relative number of subevents per faulting type are consistent in two studies, indicating that source models have no effect on the analysis. Both Danré et al. (2019) and our study showed that 480 481 the smallest earthquakes have the fewest subevents, but both studies are limited by the 482 decomposition method and the resolvable frequency bandwidth of SCARDEC STFs which are 483 obtained from teleseismic body-wave phases. Because teleseismic waveforms above 0.5 Hz have 484 relatively low signal-to-noise ratios and STFs are averaged over stations, high-frequency 485 contents are deficient in SCARDEC STFs. Additionally, the decomposition method requires 486 subevents to have moments that are at least 10% of the total moment. Therefore, it is likely that 487 smaller subevents were missed by our analysis. The spectral analysis of regional and local seismograms would enable a study of the relationships of corner frequencies and rupture 488

- 489 dimensions of subevents of Mw 3–4 earthquakes to test whether small earthquakes are as
- 490 complex as large earthquakes (e.g., Fischer, 2005; Abercrombie, 2014, Ruhl et al., 2017).
- 491 4.6 Limited frequency range of the SCARDEC STFs

492 SCARDEC STFs above 0.5 Hz is inaccurate due to the wave attenuation and wave 493 propagation complexities as well as averaging of spectra from global stations. This inherent lack 494 of high frequency of SCARDEC STFs reduces our resolution of subevents for smaller earthquakes, and would lead to a constant resolvable subevent magnitude for different 495 496 earthquake magnitudes. However, our decomposition results show that the magnitudes of 497 smallest resolvable subevents increase with earthquake magnitude, and the resolvable magnitude 498 range of subevents is almost the same ( $\sim 1.3$ ) for various earthquake magnitudes (Figure S1), 499 consistent with Danré et al. (2019). It suggests that our decomposition method, which requires a 500 minimum moment rate of subevents according to the STF, controls the resolution of subevents. 501 Therefore, the observed increasing number of subevents with earthquake magnitude is not an 502 artifact.

## 503 **5 Conclusions**

504 We use SCARDEC source time functions to investigate how estimates of the corner 505 frequency of earthquakes with multiple subevents are biased by assuming a simple Brune source. 506 By decomposing SCARDEC STFs, we find more than half of Mw 5.5-8.0 earthquakes have 507 multiple subevents. We derive theoretical solutions of the source spectrum for an earthquake 508 with two Brune-type subevents. The theoretical derivation demonstrates that the earthquake 509 corner frequency correlates better with the corner frequency of the large subevent than the small 510 subevent. In both synthetic tests and the analysis of the SCARDEC catalog, earthquake corner 511 frequency approaches the largest subevent corner frequency as the moment ratio between 512 subevents increases, whereas the onset time difference between subevents has a minor effect 513 with slight asymmetry. The positive correlation is also observed for earthquake rupture 514 dimension estimated from its corner frequency and rupture dimension of the largest subevent 515 estimated from finite-fault inversion. Our findings suggest that the corner frequency estimates 516 may reflect the stress change of the largest asperity instead of the average stress drop on the 517 whole rupture area, which helps to explain the commonly observed large variance of stress drop 518 estimates.

## 519 Acknowledgments, Samples, and Data

520 This study is sponsored by the National Science Foundation award EAR–2019379. We thank

- 521 Martin Vallée for advice on the SCARDEC catalog of source time functions. The SCARDEC
- 522 catalog is available at: <u>http://scardec.projects.sismo.ipgp.fr/</u>. All data and codes used in this paper
- 523 will be available through Deep Blue Data repository (<u>https://doi.org/10.7302/4ga6-8574</u>).
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