Relationships between blooms of Karenia brevis and hypoxia across the West Florida Shelf

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February 17, 2022

Abstract

Harmful algal blooms (HABs) caused by the dinoflagellate Karenia brevis on the West Florida Shelf have become a nearly annual occurrence causing widespread ecological and economic harm. Effects range from minor respiratory irritation and localized fish kills to large-scale and long-term events causing massive mortalities to marine organisms. Reports of hypoxia on the shelf have been infrequent; however, there have been some indications that some HABs have been associated with localized hypoxia. We examined oceanographic data from 2004 to 2019 across the West Florida Shelf to determine the frequency of hypoxia and to assess its association with known HABs. Hypoxia was present in 5 of the 16 years examined and was always found shoreward of the 50-meter bathymetry line. There were 2 clusters of recurrent hypoxia: midshelf off the Big Bend coast and near the southwest Florida coast. We identified 3 hypoxic events that were characterized by multiple conductivity, temperature, and depth (CTD) casts and occurred concurrently with extreme HABs in 2005, 2014, and 2018. These HAB-hypoxia events occurred when K. brevis blooms initiated in early summer months and persisted into the fall likely driven by increased biological oxygen demand from decaying algal biomass and reduced water column ventilation due to stratification. There were also four years, 2011, 2013, 2015, and 2017, with low dissolved oxygen located near the shelf break that were likely associated with upwelling of deeper Gulf of Mexico water onto the shelf. We had difficulty in assessing the spatiotemporal extent of these events due to limited data availability and potentially unobserved hypoxia due to the inconsistent difference between the bottom of the CTD cast and the seafloor. While we cannot unequivocally explain the association between extreme HABs and hypoxia on the West Florida Shelf, there is sufficient evidence to suggest a causal linkage between them.

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27 ABSTRACT

28 Harmful algal blooms (HABs) caused by the dinoflagellate Karenia brevis on the West 29 Florida Shelf have become a nearly annual occurrence causing widespread ecological and 30 economic harm. Effects range from minor respiratory irritation and localized fish kills to large-31 scale and long-term events causing massive mortalities to marine organisms. Reports of hypoxia 32 on the shelf have been infrequent; however, there have been some indications that some HABs 33 have been associated with localized hypoxia. We examined oceanographic data from 2004 to 34 2019 across the West Florida Shelf to determine the frequency of hypoxia and to assess its 35 association with known HABs. Hypoxia was present in 5 of the 16 years examined and was 36 always found shoreward of the 50-meter bathymetry line. There were 2 clusters of recurrent 37 hypoxia: midshelf off the Big Bend coast and near the southwest Florida coast. We identified 3 38 hypoxic events that were characterized by multiple conductivity, temperature, and depth (CTD) 39 casts and occurred concurrently with extreme HABs in 2005, 2014, and 2018. These HAB-40 hypoxia events occurred when K. brevis blooms initiated in early summer months and persisted 41 into the fall likely driven by increased biological oxygen demand from decaying algal biomass 42 and reduced water column ventilation due to stratification. There were also four years, 2011, 43 2013, 2015, and 2017, with low dissolved oxygen located near the shelf break that were likely 44 associated with upwelling of deeper Gulf of Mexico water onto the shelf. We had difficulty in 45 assessing the spatiotemporal extent of these events due to limited data availability and potentially 46 unobserved hypoxia due to the inconsistent difference between the bottom of the CTD cast and 47 the seafloor. While we cannot unequivocally explain the association between extreme HABs and 48 hypoxia on the West Florida Shelf, there is sufficient evidence to suggest a causal linkage 49 between them.

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Keywords: ecosystem-based fisheries management; normoxic; remote sensing; river discharge;
stratification; upwelling

53 HIGHLIGHTS

- Three years (2005, 2014, 2018) had both hypoxia and notable K. brevis blooms
- Blooms with hypoxia initiated early in summer and persisted into fall
- Similar bloom activity occurred in 2006, but no hypoxia was detected

- Hypoxia co-occurred with stratification, high surface temperature and reduced surface
 salinity
 - Several low oxygen events were likely associated with upwelling onto the shelf
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59

61 INTRODUCTION

62 Harmful algal blooms (HABs) can have wide-ranging ecological effects and are a major concern for coastal communities. The main hazards of these events include respiratory irritation 63 64 in mammals, including humans (Backer 2009), bioaccumulation through the food chain causing 65 delayed mortality in higher trophic levels (Landsberg et al. 2009), shutdown of shellfish 66 aquaculture harvest to avoid human consumption of the toxin (Backer 2009), and development of 67 ecosystem-disrupting hypoxia (Pitcher & Probyn 2011). Harmful algal blooms can cause 68 significant fish kills that have downstream negative impacts on coastal communities including 69 fisheries resources as key components of their cultures and economies (Backer 2009). As algal 70 biomass accumulates in the surface water during a HAB, the sinking and decomposition of dead 71 cells near the bottom increases respiratory demand and depletes dissolved oxygen, a condition 72 generally referred to as hypoxia. Hypoxia is typically defined as dissolved oxygen concentrations 73 of 2 mg l^{-1} or less (Vaquer and Duarte, 2008). The term hypoxia has been used to define low 74 oxygen conditions that elicit observed stress to demersal and benthic fauna (Hofmann et al. 75 2011). The 2 mg l⁻¹ value used in this paper for delineating hypoxia is a reasonable threshold in 76 which most organisms display a negative response, but each species' threshold lies on a spectrum between 0 and 4 mg l⁻¹ (Vaquer and Duarte, 2008). Without mixing between surface 77 78 and bottom layers, a hypoxic layer will form and persist near the seafloor (Watson et al. 2016). 79 Of particular concern from an ecosystem-based fisheries management perspective are the 80 negative effects of combined HAB-hypoxia events on demersal and benthic organisms (Diaz & 81 Rosenberg 2008, Vaguer and Duarte 2008, Gravinese et al. 2020). While the HAB-hypoxia 82 sequence has been observed in other regions (e.g., Peruvian coast, Rojas de Mendiola 1979; 83 Saint Helena Bay, South Africa, Pitcher & Probyn, 2011; Washington and Oregon continental 84 shelf, Siedlecki et al. 2015; Lake Erie, USA, Watson et al. 2016), it is unclear to what extent this 85 occurs during HABs that impact the West Florida Shelf. HABs are disruptive events that have 86 long lasting effects on marine ecosystems and human communities.

87 Hypoxia can have negative ecosystem effects depending upon the spatiotemporal scale 88 and the species that are affected by the event (Diaz and Rosenberg, 1995; Diaz and Rosenberg, 89 2008; Vaquer and Duarte, 2008; Hofmann et al. 2011). On an individual level, organisms 90 experiencing hypoxia undergo an acute stress response leading to reduced activity, decreased 91 growth, and possible death (Wu 2002). In addition to a physiological response, a range of 92 behavioral responses can also occur including avoidance of hypoxia and increased movement to 93 find higher dissolved oxygen concentrations (Wannamaker and Rice 2000), decreased predator 94 avoidance (Domenici et al. 2007), reduced feeding (Wu 2002), and changes in dietary 95 composition (Glaspie et al. 2019). Thus, diverse individual responses to hypoxia combine into a 96 complex ecosystem response. Recovery from hypoxic events is dependent upon the ecosystem's 97 capacity to respond to the disturbance and the magnitude of loss of benthic habitats and sessile 98 organisms (Wu 2002, Steckbauer et al. 2011). The causes of hypoxic zone formation depend upon local conditions and are typically due to a combination of several factors. Eutrophication 99 100 from terrestrial sources such as river discharge or coastal runoff can stimulate algal blooms 101 which increases respiratory demand during decomposition of excess algal biomass (Turner and 102 Rabalais 1994, Hagy et al. 2003). Warm surface temperatures and anomalous freshwater 103 discharge can increase stratification of the water column and reduced wind speeds can decrease 104 water column ventilation and increase the likelihood of forming hypoxia (Wiseman et al. 1997). 105 Hypoxic events can be devastating by themselves but may be more ecologically disruptive if 106 they occur in conjunction with HABs (Driggers et al. 2016, Pitcher and Probyn 2011).

107 The motivation for this study was to examine the connection between stratification, 108 hypoxia, and HABs on the continental shelf off the gulf coast of Florida, referred to as the West 109 Florida Shelf. Reports of HABs affecting the coastal waters of the Gulf of Mexico from Florida 110 to Texas date back to the arrival of Europeans with the first well documented bloom in 1844 111 (Magaña et al. 2003). The toxin-producing dinoflagellate *Karenia brevis* is the main causative 112 species for HABs occurring annually on the West Florida Shelf (Walsh et al. 2006). Monitoring 113 of K. brevis cell counts in Florida dates to the 1950s and provides information on several notable 114 events in the past 20 years. The West Florida Shelf is a relatively wide and shallow continental 115 shelf with ample wind energy to mix the water column for much of the year (Yang and 116 Weisberg, 1999). However, during the summer and early fall, warm sea surface temperatures and 117 increased runoff during the Florida wet season leads to increased stratification, and in

118 conjunction with HABs may contribute to an increased likelihood of hypoxia on the West 119 Florida Shelf. For example, in 2014, a National Marine Fisheries Service (NMFS) longline 120 survey observed a fish kill near sampling stations in which bottom oxygen levels were hypoxic 121 and close to the edge of a shoreward-extending HAB (Driggers et al. 2016). For this study, we 122 wanted to assess the prevalence of hypoxia across the West Florida Shelf and determine if these 123 events were associated with annually occurring HABs in the region. We asked several questions 124 to assess potential regional drivers of HAB-hypoxia events. First, how common was hypoxia in the region? Did hypoxia only occur when there were HABs and stratification? And as a 125 126 corollary, were there periods where strong stratification occurred but there was neither HAB nor 127 hypoxia? Additionally, what other processes, like increased primary production not associated 128 with HABs or increased stratification due to runoff or river discharge, were associated with 129 presence of hypoxia? To answer these questions, we examined conductivity, temperature, and 130 depth (CTD) and dissolved oxygen concentration data from oceanographic surveys (2004 to 131 2019) in conjunction with HAB monitoring data (2004 to 2019) and other environmental data. 132

133 METHODS

134 CTD DATA

135 Oceanographic survey data collected on the West Florida Shelf from 2004 through 2019 136 were aggregated from multiple sources into a singular dataset for analyses. The data were 137 obtained from the Southeast Area Monitoring and Assessment Program (SEAMAP), NOAA-138 NMFS surveys, NOAA AOML South Florida Ecosystem Restoration Research surveys, NOAA 139 National Centers for Environmental Information (NCEI), World Ocean Database (WOD), and 140 the Rolling Deck to Repository (R2R) databases. Survey data consisted of cruises with multiple 141 stations where environmental and water column data were collected from the surface to near 142 seabed (further information about data sources and surveys can be found in Supplementary 143 materials 1). The water column data were acquired by either Seabird SBE-911 or SBE-25 144 profilers (CTD) outfitted to record conductivity (converted to practical salinity units). 145 temperature (degrees Celsius), depth (meters), and dissolved oxygen (data collected by SBE43 oxygen sensors, converted to mg l⁻¹). The data were filtered to retain only CTD casts that had a 146 147 maximum depth of 100 m or less and which were located within a bounding box that covered the 148 West Florida Shelf (25°N 86°W, 30.5°N 80.6°W). Duplicated CTD data were a concern because

of the aggregated nature of the databases used for this study. For example, some of the NMFS survey data are regularly added to the SEAMAP, NCEI, and WOD databases. Additionally, the NCEI, WOD, and R2R databases are a collection of data from various sources, which can also include SEAMAP data and NMFS survey data. Duplicated data were filtered and eliminated by CTD casts that had the same cruise and station number (if available) or by filtering for casts that were on the same date and time and were within 1 kilometer of each other to account for some differences in reporting location data by database managers.

156 The aggregated CTD data were quality controlled to produce a consistent dataset for 157 downstream analyses. The quality control methods followed some of the guidelines outlined by 158 the WOD (Garcia et al. 2018). Briefly, depth specific maximum and minimum values 159 recommended by the WOD for temperature, salinity, and dissolved oxygen in the coastal North 160 Atlantic were used to flag data that were outside the range boundaries (see Garcia et al. 2018; 161 Fig. 1 for geographic scope and Appendix 11 for min-max values therein). These min-max 162 values are broad ranges used to flag values that were conspicuously different than expected values for the region. The values for coastal North Atlantic within the WOD are the most 163 164 reasonable reference for QA/QC because the WOD is the most comprehensive database of 165 oceanographic data available to the authors. Gradient checks were used to flag data in 166 consecutive depth bins that exceeded values determined by the WOD quality control group. 167 Additionally, individual data points were removed if it was greater or less than five standard 168 deviations of the overall mean value, except salinity and oxygen were allowed to have a lower 169 tail that extended to zero. Particular attention was focused on dissolved oxygen (DO) data: data 170 were discarded if calibration dates were greater than one year from reported data, and CTD casts 171 with low DO (DO \leq 3.5 mg l⁻¹) were examined by eye. If DO percent saturation at the surface 172 was below 90, the DO data for that cast, and in some cases the whole cruise, were discarded. 173 Data that were flagged by these methods or that already had QA/QC flags produced by the 174 original source were removed to ensure analyses were based upon high quality data.

175 It was necessary to determine the altitude off seafloor of each CTD cast to assess the 176 presence of bottom hypoxia. However, the maximum depth of each profile was not necessarily 177 near the seabed because shipboard echosounders were not always calibrated per station to adjust 178 for speed of sound variation, the CTD operating procedures have changed over the past 20 years, 179 and CTD operators have varying levels of comfort during different sea conditions affecting 180 operational proximity of the CTD to the seafloor. Thus, each cast was not necessarily within 181 acceptable limits to determine if hypoxic conditions were present near the seafloor. As a result, 182 the NOAA Coastal Relief Model (CRM, NOAA National Geophysical Data Center, 2001) was 183 used to assess the proximity of the CTD cast to the seafloor and the appropriateness of the data 184 collected. If either the max depth bin of the CTD cast or the reported station depth were within 185 15 m of the CRM bathymetry the CTD cast was assumed to be close enough to the seafloor to 186 have detected hypoxia otherwise the bottom DO was removed. The 15 m depth cutoff was a 187 tradeoff between the accuracy of the CRM (0.5 - 4.7m), CTD depth binning where the bottom 188 data can include data shallower and deeper than the reported depth, and our inclination for data 189 retention.

190 In addition to the data acquired in-situ, mixed-layer depth and stratification were derived 191 from profile data. The mixed-layer depth (MLD) was calculated as the depth at which the density was 0.125 kg m⁻³ greater than the near surface density (Brainerd and Gregg, 1995). The mixed-192 193 layer temperatures and salinities were determined by estimating the mean of the values from 194 depths down to the MLD. Stratification was defined as the greatest slope of a 5-meter moving 195 linear regression of depth versus density which is intended to remove spikes in density that do 196 not represent the true pycnocline. Bottom DO concentrations were plotted by year per month to 197 visualize the spatial distribution. The bottom DO was defined as the deepest DO data point from 198 each CTD cast. We defined hypoxia as DO concentrations that were at or below 2 mg l⁻¹, near hypoxia was defined as DO greater than 2 and less than or equal to 3.5 mg l⁻¹, and low DO was 199 200 defined as DO less than 3.5 mg l⁻¹, encompassing both hypoxia and near hypoxia, to serve as a 201 summary for reporting results and discussion. We included a broader range of DO concentrations 202 than the traditional definition of hypoxia to capture low DO events that may be associated with 203 hypoxia or may otherwise be potentially impactful to ecosystems in the region (Vaquer and 204 Duarte, 2008; Hofmann et al. 2011).

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206 HARMFUL ALGAL BLOOM DATA

Karenia brevis cell count data (2004 to 2019) were obtained from the Florida Fish and
 Wildlife Research Institute (FWRI) HAB monitoring database, which maintains a repository that
 is available upon request. This data was collected by a collaboration of research institutions and
 citizen scientists for quantification of *K. brevis* cell concentrations. Historical HAB sampling in

211 Florida has been collected opportunistically, limiting the use of the data for robust statistical 212 analyses (Christman and Young 2006), but more recently there have been efforts to conduct 213 routine monitoring. The monitoring data can be used to identify extreme events, examine the 214 geographic extent, and determine approximate temporal limits of an event. To overcome some of 215 the data limitations, we aggregated the K. brevis cell concentrations data by year and month into 216 0.5° latitudinal bins from 24.5° N (near Key West, FL) to 29.5° N (near Steinhatchee, FL) and 217 we calculated the 99-percentile cutoff per year-month-latitude bin. The latitudinal bins cover the 218 full range of latitude on the west coast of Florida, and we therefore consider it to be spatially 219 representative of the region. Water samples used to quantify K. brevis cell concentrations have 220 been collected across the continental shelf, but we only included samples out to 84°W to capture 221 some of the bloom dynamics mid shelf. This aggregation removes some of the known 222 observational bias due to the irregular spatiotemporal sampling and can describe the general 223 characteristics in which HABs occur. This, however, assumes that major events don't go 224 completely undetected, which is not likely for nearshore events but could occur in the case of 225 offshore blooms. The use of the term HABs in this paper refers to blooms of K. brevis in sufficient cell densities (>100,000 cells l⁻¹) to cause respiratory distress in mammals and 226 227 associated with mass mortalities of marine life. This threshold is more conservative than FWRI's 228 definition (i.e., respiratory irritation possible at 1,000 cells 1⁻¹ and fish kills possible at 10,000 229 cells l⁻¹).

230

231 REMOTE SENSING DATA

232 In addition to *in-situ* oceanographic data, satellite-derived chlorophyll was used to 233 examine synoptic-scale variability as a proxy for primary production relevant to HABs and 234 hypoxia along the West Florida Shelf. We examined monthly surface chlorophyll-a anomalies 235 using the Aqua MODIS level-3 data at a 4km spatial resolution from January 2004 to December 236 2019, which amounts to 192 months or 16 years. While there may be a bias in satellite-based 237 estimates of chlorophyll-a especially in deeper waters (Smith 1980), the data are useful to 238 examine synoptic-scale variability especially in shallow, coastal waters where this study was 239 focused. Chlorophyll-a (dataset ID: erdMH1chlamday) data was downloaded from the NOAA 240 NMFS Southwest Fisheries Science Center Environmental Research Division ERDDAP server. 241 The data were logarithm base 10 transformed and then the standardized anomaly was calculated.

242 The anomaly was calculated by aggregating data into monthly bins then subtracting the monthly

climatological mean and dividing by the monthly climatological standard deviation using all 16

244 years of data. Two anomalies were calculated by estimating the mean of the anomalies in the Big

245 Bend (28°N 84.5°W, 29.5°N 82.5°W) and Southwest Florida (25.5°N 83°W, 27.5°N 81.5°W)

- regions, which are the locations for recurrent HABs and hypoxia identified in this study.
- 247

248 RIVER DISCHARGE DATA

249 River discharge was considered as a proxy for runoff contributing to salinity-driven 250 stratification along the West Florida Shelf. Only the major rivers in the region were included in 251 the analyses based upon mean annual discharge (Table 1). The rivers examined, moving west to 252 southeast, were the Apalachicola (USGS ID: 02359170), Suwannee (USGS ID: 02323500), 253 Peace (USGS ID: 02296750), and Caloosahatchee Rivers (USGS ID: 02292900). Other rivers were considered, but the Choctawhatchee River discharge typically stays close to shore and 254 255 disperses westward toward Alabama. The Mississippi River was also considered because it has 256 been known to impact the West Florida Shelf; however, the effects tend to remain near the shelf 257 break and are dependent on the Gulf Loop current dynamics and the regional wind field (Le Hénaff & Kourafalou, 2016). Daily discharge data for each river were downloaded from the 258 259 USGS National Water Information System, aggregated into monthly mean values, and the 260 standardized anomalies were calculated per river using the same method as the chlorophyll data 261 except that the discharge data were not logarithm transformed.

262

263 DATA ANALYSES

264 Data manipulations, transformations, and analyses were conducted in the R Statistical 265 Computing Environment (ver. 4.0.2, R Core Team 2020) and in the RStudio integrated 266 development environment (ver. 1.4.1106, RStudio Team 2021). The following R packages were 267 used: ncdf4 (Pierce 2019) and rgdal (Bivand et al. 2021) for spatial data handling and mapping; 268 gsw (Kelley et al. 2017) and oce (Kelley and Richards 2021) for oceanographic data handling 269 and conversion functions; lubridate (Grolemund and Wickham 2011) for handling date-times; 270 scales (Wickham and Seidel 2020) for plotting and visualization; fields (Nychka et al. 2017) for 271 kriging; and rerddap (Chamberlain 2021) was used to download MODIS chlorophyll-a data.

272

273 RESULTS

274 HYPOXIC AREAS IDENTIFICATION

275 In total, 4930 out of 17935 CTD casts were retained within the spatial and temporal 276 domain of interest for further analyses (for details on cruises see Supplementary materials 1). 277 Out of the 17935 CTD casts, there were 5925 duplicates; 6417 were in waters deeper than 100 278 meters; 236 had quality issues; 393 outside spatial domain; and 34 outside temporal domain. 279 There was an uneven distribution of seasonal sampling on the West Florida Shelf with most of the sampling occurring in the summer months June (n = 1424), July (n = 779), and August (n = 1424), July (n = 1424), Ju 280 281 664). While the least sampled time of year was the winter months December (n = 17), January (n 282 = 22), and February (n = 35). The mean number of CTD casts per year was 308, and, before 283 2009, the number of casts per year was lower, ranging from 84 in 2005 to 188 in 2008. The most 284 sampled year was 2010 (n = 627), followed by 2014 (n = 446) and 2016 (n = 411). The majority 285 of the CTD casts used in this study were collected as part of regular monitoring conducted by 286 NMFS, which includes the bottom longline surveys, and SEAMAP trawl and plankton cruises. 287 These cruises occur in the summer and fall months except for the winter SEAMAP plankton 288 cruises. In addition, the other regular cruises used in this study were the South Florida Ecosystem 289 Restoration cruises conducted by NOAA's Atlantic Ocean and Meteorological Laboratory since 290 at least 2006; however, only cruises conducted quarterly since 2010 were included in our dataset 291 due to availability.

292 There were 4008 CTD casts with reported bottom DO after removing readings that were 293 15 m greater than the CRM depth. Between 2004 and 2019, hypoxia was present in 13 CTD 294 casts over 5 years (Figure 1), in 2005 (n = 2), 2013 (n = 1), 2014 (n = 6), 2015 (n = 1), and 2018 295 (n = 3). Seasonally, hypoxia was observed in the months of August (n = 5), September (n = 5), 296 and October (n = 3). Near-hypoxic conditions were present in 96 CTD casts over 12 years 297 (Figure 1A). Seasonally, June (n = 29), August (n = 23) and September (n = 20) were the months 298 near hypoxia most frequently occurred. Annually, near hypoxia was most prevalent in 2017 (n = 299 29), 2013 (n = 22), and 2018 (n = 16). Generally, low DO events were found throughout the 300 latitudinal range of the West Florida Shelf study area. Hypoxia was found shallower than the 50-301 meter isobath and generally clustered in 2 areas (Figure 2). A northern cluster was identified 302 midshelf (10 to 50 m depth) in the Big Bend region that includes data from 2005 (n = 1), 2013 (n303 = 1), and 2014 (n = 6). A southern cluster found primarily midshelf (10 to 25 m) near Charlotte

304 Harbor composed of data from 2005 (n = 1) and 2018 (n = 3). There was one CTD cast with 305 hypoxia from 2015 that was between the two hypoxic clusters and midshelf (25 to 50 m; Figure 306 2A). Near-hypoxic conditions were more homogeneously spread across the West Florida Shelf 307 compared to hypoxic conditions (Figure 2B). In addition to the clusters mentioned above, near 308 hypoxia was detected midshelf (25 to 50 m) and near the shelf break at approximately 100 m 309 depth across multiple years (Figure 2B). Sampling coverage on the shelf between June 1st and 310 October 31st, which accounts for about 80% of the CTD casts, was sparse before 2009 and 311 regular coverage increased thereafter (Figure S1).

312 There were several notable low DO events observed in multiple CTD casts on the West 313 Florida Shelf, and, in many cases, the low DO was observed across multiple months (Figures 1 314 and 2). In August 2005, hypoxia was observed in two CTD casts, in the Big Bend region and 315 near Boca Grande, and three casts were near hypoxic in the Big Bend region (Figure 2). Near 316 hypoxia was detected in 7 CTD casts during 2011 in June (n = 1), August (n = 4), and September 317 (n = 2). These data were located south and southeast of the Apalachicola River mouth (Figure 2) 318 between the 25 and 50 m isobaths. In 2013, low DO occurred in August (n = 5), September (n = 5)14), and October (n = 4) with hypoxia observed in only one CTD cast in September in the Big 319 320 Bend region (Figure 1 and 2). The near hypoxia in 2013 was observed in the Big Bend and 321 formed a line extending roughly along the 50 m isobath from 28°N to 25.5°N (Figure 1 and 2). 322 Low DO in 2014 was observed in the Big Bend area in 15 CTD casts taken in August (n = 6) and 323 September (n = 9). Hypoxia was observed in 2 CTD casts midshelf (10 to 25 m, Figure 2) in 324 August and 4 casts in September further away from shore (25 to 50 m, Supplementary Materials 325 2; Figure S2). The low DO in 2015 was observed in July through October primarily midshelf (25 326 to 50 m, Figure 2) with hypoxia only observed in one cast in September. There was one near-327 hypoxic event in June of 2017 that was not associated with hypoxia and encompassed 28 CTD 328 casts restricted to an area near the shelf break at 100 m depth and from 27.5°N to 26°N (Figure 329 2). In 2018, low DO was observed in 22 CTD casts off Sanibel Island and further south (Figure 330 2, Supplementary Materials 2; Figure S3). Near hypoxia was observed in August (n = 2), 331 September (n = 2), and October (n = 14), and hypoxia was observed in 3 casts in October. 332 The observed low DO events occurred primarily in summer and early fall (Figure 3A) 333 coinciding with the seasonally lowest median bottom DO observed. This time of year was also 334 associated with the highest stratification (Figure 3B), warmest mixed-layer temperatures (Figure

335 3C), and a reduction of mixed-layer salinity (Figure 3D). Nearly all instances of low DO 336 occurred with some level of stratification (Figure 1B). We estimated the median value of density 337 gradient to be 0.0081 $d\rho dz^{-1}$ (25th percentile = 0.0056; 75th percentile = 0.016) when there was 338 failure to detect MLD, which we call the no stratification cutoff. There were 5 CTD casts that the 339 estimated stratification was near the no-stratification cutoff. These CTD casts were either 340 midshelf (August 2013 and 2014) or later in the year (September 2014 or October 2018). The 341 low DO in 2017 is notable because mixed-layer temperature was low relative to expected values, 342 while stratification was typical for that time of year (Figures 1 and 3).

343

344 HARMFUL ALGAL BLOOM

345 Analysis of the aggregated K. brevis cell counts provided a synoptic scale overview of 346 HABs along the west coast of Florida. Generally, HABs tend to be absent in the early summer 347 months and initially occur in the late summer then dissipate late fall or early winter; however, 348 they can last into the winter and disappear by spring (Figure 1C). However, in 2005, 2006, 2014, and 2018 K brevis cell concentrations above the bloom threshold (>100,000 cells l⁻¹ as defined 349 350 by FWRI) appeared in the summer and persisted into the fall and the 2006-7 bloom lasted into 351 the winter (Figure 1C). The HAB in 2018 had the greatest spatiotemporal extent during the 352 period of interest for this study lasting 16 months from November 2017 to February 2019 and at its largest spatial extent ranged across nearly 4° of latitude (Figure 4B). On the southern extent of 353 354 the K. brevis bloom, low DO was observed in multiple CTD casts (Figure 5F). The 2005 event 355 was also extensive, lasting at least 13 months from February 2005 to January 2006 and 356 encompassed nearly 4° of latitude (Figure 4A). Cell counts increased in a northward progression, 357 and bloom conditions did not abate until February 2006. In August 2005, there were two CTD 358 casts with hypoxia that bracketed most of the K. brevis concentrations for that month (Figure 359 5A). The year 2004, and a stretch of years starting in 2008 and ending in 2010 were notable for 360 unusually low cell counts and no bloom level events (>100,000 cells l⁻¹, Figure 1C and 4A). 361 There were several years with unusual patterns; in 2013 there was an early HAB that was a 362 continuation of the 2012 event followed by anomalously low cell counts in summer and fall of 363 2013 (Figures 1C and 4B). Then in 2014, much of the year there were low cell counts but in 364 August and September there was an intense, localized bloom in the Big Bend region (Figure 4B) 365 concurrent with observed hypoxia (Figure 5C). The sampling effort on this spatiotemporal scale

366 of aggregation was lacking north of Saint Petersburg, but sampling became more consistent after

367 2011 demonstrating some of the dataset limitations even at this coarse resolution. These results

368 serve as qualitative descriptions of major HAB events and years without major HAB events due

to the limitations of HAB monitoring data. The HAB monitoring data provided by FWRI are

- 370 valuable contributions to our understanding of HAB dynamics on the west coast of Florida.
- 371

372 REMOTE SENSING

373 Satellite derived chlorophyll anomalies on the West Florida Shelf demonstrate synoptic-374 scale variability between months across 16 years of data. The chlorophyll anomalies displayed 375 some coherence between the two regions, Big Bend and Southwest Florida, bounded by the 376 black boxes (Figure 6). For example, positive anomalies in 2005 were coherent between regions, 377 and mostly negative anomalies between 2007 and 2013 were also coherent between regions. 378 There were positive chlorophyll anomalies in 2005, 2014, and 2018 (black boxes, Figure 6 A-C) 379 at similar locations and at the same time there were instances of hypoxia observed in the CTD 380 casts (Figures 1A and 5). When examining the time series of anomalies for regions bounded by those black boxes, the chlorophyll anomalies before August 2005, August 2013, August 2014, 381 382 and October 2018 were elevated relative to the other months (Figure 6D) for the Big Bend (2005, 383 2013, 2014) and SWFL region (2018).

384

385 RIVER DISCHARGE

386 River discharge in the region was dominated by the Apalachicola and Suwannee Rivers 387 (Table 1). There was some coherence of river discharge amongst rivers (Figure 7). For example, 388 there was anomalously high discharge for all rivers starting late 2004 and continuing to nearly 389 the end of 2005. Then there was a period of anomalously low discharge starting mid-2006 and 390 continuing to the end of 2012 with brief reprieve in 2010. There was also some coherence in 391 positive anomalies amongst rivers in 2013, 2016, 2017, and 2018 (Figure 7). When we consider 392 the timing and location of hypoxic events identified from the CTD data, some general patterns 393 emerge. In 2005, there were large positive river discharge anomalies from all rivers several 394 months preceding the detection of hypoxia in August (Figure 7). Riverine discharge was 395 anomalously high from the Suwannee River preceding both the 2013 and 2014 hypoxia.

Similarly, the Peace and Caloosahatchee Rivers had positive anomalies preceding the hypoxiaobserved in 2018 (Figure 7).

398

399 DISCUSSION

400 Examining 16 years of CTD data collected over the West Florida Shelf, we identified 401 three hypoxic events in 2005, 2014, and 2018, that co-occurred with major HABs. Other studies 402 have identified hypoxia associated with HABs on the West Florida Shelf in 2005 (Hu et al. 403 2006), 2014 (Driggers et al. 2016), and 2018 (Milbrandt et al. 2021); however, no study has 404 examined this relationship across longer time scales and on a larger geographic scope in this 405 region. We hypothesize that HAB-hypoxia events were driven by the temporal coincidence of 406 HABs and associated climatological factors. During HAB-hypoxia events, K. brevis cell 407 concentrations reached bloom levels (>100,000 cells l⁻¹) during the summer months (June-408 August) and then continued into the fall. In contrast to years with HAB-hypoxia events, there 409 was an absence of HAB activity in early to mid-summer months in years with blooms without 410 hypoxia. The year 2006 was an outlier in which there were summer HABs that continued into the 411 fall, but there was no concurrent hypoxia detected. The absence of hypoxia may be due to the 412 lack of CTD casts in the Big Bend region and sparse sampling south of Tampa Bay during 413 August 2006. Either reduced sampling coverage precluded detection of hypoxia and it dissipated 414 quickly because there was data from September in the region, or, alternatively, there was no 415 hypoxia in 2006. While sampling coverage may be invoked, the alternative that there was no 416 hypoxia was supported by other observational evidence. Specifically, stratification, chlorophyll 417 anomalies, and river discharge anomalies were all lower during the summer of 2006, whereas 418 these properties were elevated during the HAB-hypoxia events identified in this study.

419 Our results indicate that there were several factors that contributed to the timing and 420 creation of hypoxia on the West Florida Shelf. Considering that during summer on the West 421 Florida Shelf wind speeds are low, surface heat content is high, and the south Florida rainy 422 season provides ideal conditions for strongly stratified conditions (Liu and Weisberg 2012), we 423 hypothesized that stratification would be an important driver of decreased bottom DO levels. We 424 found that hypoxia and low DO, more generally, occurred across the range of stratification and 425 mixed-layer temperatures and salinities indicating that there is a partial decoupling of local water 426 column properties from bottom DO. We take this evidence to suggest that the presence of

427 hypoxia was also influenced by remote conditions such as advection of algal bloom biomass and 428 riverine discharge, which contributes to both nutrient enrichment and water column stratification. 429 For example, the hypoxia in 2005, 2014, and 2018 co-occurred both in space and time with 430 HABs (Figure 1, 4, and 5), and CTD profiles with observed hypoxia in 2014 and 2018 indicate a 431 surface freshening consistent with riverine discharge driving the density stratification (See 432 Supplementary Materials 2; Figures S4). Consistent with this explanation, stratification observed 433 with hypoxic CTDs casts were predominantly driven by changes in salinity (See Supplementary 434 Materials 2; Figures S5 and S6); however, there are no examples of exclusively thermal and 435 haline driven stratification. Furthermore, there was little stratification in 2006 in which the water 436 column in both the Big Bend and off Sanibel Island were well mixed compared to either 2005 or 437 2014 (Figure S3). Despite the connection between HABs and hypoxia observed in 2005, 2014, 438 and 2018, hypoxia was not an outcome of all HABs on the West Florida Shelf (e.g., 2006, 2012, 439 and 2016, Figures 1 and 4). This disjunction in the HAB-hypoxia relationship might be due to 440 gaps in the spatiotemporal coverage of surveys that conduct CTD operations or perhaps the 441 relationship only arises in years with extreme HABs that initiate in the summer and persist into 442 the fall.

443 River discharge and chlorophyll anomalies for the years with extreme blooms, combined 444 with salinity-driven stratification above hypoxia, appear to be important conditions that 445 contribute to the formation of HAB-hypoxia events. Riverine discharge has typically been 446 considered a source of nutrients adequate for sustaining a bloom, but not sufficient to initiate a 447 bloom (Vargo et al. 2008). Earlier work failed to establish a linear relationship between 448 Caloosahatchee River discharge and K. brevis blooms (Dixon et al. 2014); however, more 449 recently it has been shown that there is a non-linear relationship between Caloosahatchee 450 discharge, nutrients, and K. brevis blooms in SWFL (Medina et al. 2020). The relationship 451 established by Medina et al. (2020) is dynamic and the outcome is dependent upon the state of 452 other variables in the system. Given the evidence presented in this study for HAB-hypoxic 453 events, a similarly dynamic, state-dependent relationship likely exists between algal blooms, 454 river discharge, stratification, and hypoxia formation. The likely influence of riverine discharge 455 is not supplying nutrients to fuel blooms but rather creating conditions conducive for the 456 formation of hypoxia. River discharge, and runoff more generally, reduces nearshore surface 457 salinity driving stratification and concomitant reduction of water column ventilation. In the

458 HAB-hypoxia years (2005, 2014, and 2018), there were large river discharge and chlorophyll 459 anomalies that were spatially and temporally coherent with the observed hypoxic events. During 460 the 2014 event, there were positive discharge anomalies from the rivers closest to the HAB (i.e., 461 Apalachicola and Suwannee Rivers) and regions of hypoxia. Additionally, Mississippi River 462 discharge was near average in the summer of 2014; however, surface circulation in the GOM 463 transported the river plume onto the West Florida Shelf and southwards toward the Florida Keys 464 creating a midshelf salinity front (Le Hénaff et al. 2016). Restricted cross-shelf transport due to 465 the front, referred to as "nutrient trapping", would support the sinking of excess biomass, and 466 intensify local biological oxygen demand through increased benthic metabolic activity 467 amplifying the likelihood of hypoxia formation (Flynn et al. 2020). The relationships between 468 riverine discharges and the HAB that was initiated in late 2017 and persisted until early 2019 are 469 less clear (also referred to as the 2018 bloom). There was anomalously high discharge from both 470 the Caloosahatchee and Peace Rivers during the period from August to November 2017 that 471 preceded initiation of the long-lasting bloom in 2017-9. A second period of higher-than-normal 472 Caloosahatchee and Peace discharge from April to June 2018 preceded an intensification of the 473 HAB and the formation of hypoxic conditions offshore of Sanibel Island (Figures 4-7). The 474 connection between HABs and river discharge is not direct nor consistent highlighted by several 475 HABs in which river discharge was lower than average (i.e., 2006, 2007, and 2017). Chlorophyll 476 anomalies may be indicative of HABs, which could create hypoxic conditions; however, satellite 477 derived chlorophyll is known to be positively biased by CDOM in regions dominated by coastal 478 runoff and thereby limits inferences exclusively using satellite data (Hu et al. 2006). Taken 479 together, chlorophyll and river discharge likely contribute to the formation of hypoxic regions, 480 particularly when HABs persist through the summer months. Overall, chlorophyll and river 481 discharge were not individually good indicators of either HABs or hypoxia and there are likely 482 multiple pathways leading to HAB-hypoxic events.

Despite the limitations of the data, we were able to characterize multiple hypoxia events, and examine similarities and differences in their expression and relation to HABs. The 2005 and 2018 HABs were the worst events in the past 20 years based upon spatiotemporal extent (Hu et al 2006, Weisberg et al. 2019) and local ecological knowledge (Turley et al. 2021). In contrast, the 2014 event had major socio-ecological impacts but a minor coastal expression (Driggers et al. 2016, Turley et al. 2021). During the 2005 event, hypoxia was detected in August at two

489 locations and coincided with the northwest expansion of the nearshore HAB (Figures 4A and 490 5A). Seasonal surface circulation moves northwest along the Florida coast and winds tend to be 491 favorable for downwelling, which in turn facilitates the retention of algal bloom biomass in the 492 area where hypoxia was observed (Yang and Weisberg 1999). Similarly in 2014, hypoxia was 493 observed off the Big Bend coast of Florida (Figure 5C; see Supplementary materials 2, Figure S2 494 for September 2014), which coincided with the bloom level K. brevis cell concentrations 495 collected by FWRI that expanded and moved northward (Figure 4B). The localized hypoxia and 496 expansion of the bloom support the coastal circulation transport mechanism (Yang and Weisberg 497 1999). Moreover, K. brevis cell concentrations were not anomalously high on the Florida coast 498 south of the Big Bend, consistent with expectations that a cyclonic (counterclockwise) surface 499 circulation pattern in the Big Bend region would prevent the bloom from spreading 500 southeastward into shallow coastal waters. In contrast to 2014, hypoxia in 2018 was found 501 southwest of Sanibel Island (Figure 5F) in an area near where hypoxia was also observed during 502 2005. Near-hypoxic conditions were observed in several CTD casts in the same general area 503 during surveys in August and September associated with HABs (see Supplementary Materials 2; 504 Figure S3 for August and September plots). We suggest that coincident HAB conditions and 505 hypoxia in 2005, 2014 and 2018 are evidence of a sustained accumulation of biomass from the 506 bloom depleting local bottom oxygen and forming near-bottom hypoxia due to favorable 507 physical conditions. Despite the evidence presented in this study, the exact linkage between 508 HABs and hypoxia is not clear. The toxins produced by K. brevis are known to cause mortality 509 in many marine organisms (Landsberg et al. 2009) and their decomposition may lead to hypoxia, 510 however, there is also evidence that decaying organic matter due to hypoxia may release 511 nutrients vital to sustaining HABs forming a positive feedback loop (Vargo et al. 2008). 512 An open question is whether the most deleterious impacts on the ecosystem result from

HABs, hypoxia, or a combination of both stressors—and how these impacts may vary by species. A laboratory study focused on the stone crab *Menippe mercenaria*, which occurs on the West Florida Shelf in areas frequently impacted by HABs and target in an important regional commercial fishery, indicated that lethal and sublethal impacts were more sensitive to oxygen concentrations than *K. brevis* concentrations (Gravinese et al. 2020). Fish population assessments incorporating data on age structure, abundance, and other biological information are routinely used to track population status used in fisheries management. Population assessments for both

520 red grouper and gag grouper have shown major declines in abundance in the years 2005, 2014, 521 and 2018, suggesting that HABs associated with hypoxia cause significant increases in natural 522 mortality for these species (SEDAR 2019, SEDAR 2021, Sagarese et al. 2021). This notion is 523 corroborated by direct observed evidence of HAB induced mortality; for example, Driggers et al. 524 (2016) documented mass grouper kills during fishery surveys that transected an area impacted by 525 the 2014 HAB-hypoxia. Additionally, research efforts conducted to quantify severe HABs over 526 time using fishermen's local knowledge, identified 2005, 2014 and 2018 as extreme HAB years, 527 with grouper, drum and crab species perceived to be the most significantly affected species 528 (Turley et al. 2021). Hypoxia may also impact benthic organisms such as sponges and corals 529 which make up habitat for other species (Smith 1974), leading to limitations in recruitment and 530 delayed recovery of populations that have undergone increased mortality from HABs. Taken 531 together, multiple lines of evidence thus demonstrate that HABs associated with hypoxia have 532 been particularly damaging and can have immediate impacts on some of the major economically 533 important fishery species in the region. Additionally, there is likely a lag between HAB-hypoxia 534 events and population or community level responses because multiple long-term perturbations to 535 these habitats result in cascading effects that take time to manifest.

536 Given that these HAB-hypoxic events have significant impacts on marine ecosystems, 537 another concern is whether we can expect to see increases in the number of HABs and associated 538 hypoxia events under changing climate and associated environmental conditions. There is some 539 evidence that HAB activity is already expanding; some modeling studies indicate that 540 temperature has been a factor in driving intensification of some HABs (Gobler et al. 2017) but 541 meta-analysis also indicates an observed increase in some HABs is partly attributable to 542 improved monitoring over time (Anderson et al. 2021). Overall, it is unclear how K. brevis 543 blooms will respond to changing climate conditions. For example, several studies have found 544 that K. brevis does not survive in culture at temperatures greater than 30-33°C and a rapid 545 decline in viability has been observed at temperatures above 31°C (Eng-Wilmot et al. 1977, 546 Hitchcock 1976, Magana and Villareal 2006, Errera et. al 2014). This suggests increasing 547 temperatures from climate change may reduce the frequency and magnitude of HABs on the 548 West Florida Shelf. However, more recent studies have found that K. brevis growth rates 549 increase with increasing pCO2 concentrations (Bercel and Kranz 2019) and that higher growth 550 rates were observed despite increased temperatures (Errera et. al 2014). Taken together, it is

551 likely that unexpected patterns in future HABs activity may emerge (Wells et al. 2020) 552 especially considering that HABs develop through unique combinations of physical and 553 biological factors. More research is needed to understand the combined effect of multiple 554 stressors since few studies have been carried out examining these interactions (Griffith and 555 Gobler 2020). The West Florida Shelf is predicted to undergo dramatic warming in the future 556 (Liu et al. 2015) increasing stratification, which will tend to favor the formation of HABs on the 557 West Florida Shelf due to the ability of dinoflagellates like K. brevis to vertically migrate and 558 outcompete other phytoplankton species. Tropical storm activity is also expected to increase 559 under future climate conditions (Emanuel 2021), and associated precipitation causes increased 560 runoff as well as discharges to the Caloosahatchee River for flood control purposes (Phlips et al. 561 2020). Generally, precipitation is expected to decrease over the Florida Peninsula and increase 562 over the West Florida shelf in the latter part of the 21st century (Misra et al. 2019). Thus, overall 563 freshwater input will likely decrease in favor of extreme pulses due to storm activity. If land-564 derived nutrients do intensify already active HABs (sensu Medina et al. 2020), these pulses and 565 resulting prolonged HABs may become more common similar to events in 2018 and more recently 2021. In addition to temperature and precipitation, hypoxia is triggered by a host of 566 567 other factors including ocean circulation and wind patterns (Altieri and Gedan 2015), but it is 568 unclear how these regimes may change in the future to impact hypoxia formation in this region.

569 While we found evidence that several hypoxic events on the West Florida Shelf were 570 connected to HABs, our analyses also found four years, 2011, 2013, 2015, and 2017, with low 571 DO events that were not associated with HABs. Due to the spatial distribution of the CTD casts 572 with low DO, we hypothesize that these events were likely a result of upwelling of deeper Gulf 573 of Mexico waters onto the West Florida Shelf. During all four years, most of the low DO, which 574 was primarily near hypoxic, was observed between the shelf break at 100 m and about the 50 m 575 isobath (Figure 2). The distribution of low DO is consistent with the expected path that an 576 upwelled parcel of water would take on the West Florida Shelf (Weisberg et al. 2014, Weisberg 577 et al. 2016b). In a series of modeling experiments, Weisberg et al. (2016b) demonstrated that 578 water upwelled on the eastern edge of the Desoto Canyon at the northwestern corner of the West 579 Florida Shelf would be advected along the shelf bottom in a roughly southwestward direction. In 580 fact, Weisberg et al. (2016a) hypothesized that HABs in 2013 were nearly absent on the West 581 Florida Shelf because upwelling injected deeper, offshore Gulf of Mexico waters onto the shelf.

The upwelled nutrients were believed to be more rapidly taken up by diatoms outcompeting dinoflagellates like *K. brevis*. It is difficult to assess how unusual this upwelling event may have been and the role upwelling at the shelf break plays in the suppression of HABs because the relative amount of upwelling in 2013 was not compared to an expected annual climatology

586 (Weisberg et al. 2014).

587 Invoking upwelling of low DO involves not just movement of deeper Gulf of Mexico 588 water onto the West Florida Shelf, but also requires that the source of the upwelled water has a 589 reduced DO signature. We found low DO in 2005, 2011, 2014, 2015 and 2017 in CTD casts at 590 locations in depths greater than 100 m near the Desoto Canyon on the northwest edge of the shelf 591 (Figure 8). We propose that this low DO observed offshore was likely an important initial 592 condition for some of the low DO events found on the shelf in this study. Of all the potential 593 upwelling-caused, low-DO events in this study, 2017 has the most support from the data. The 594 low DO was found along the shelf break (Figures 2B and 5E), both mixed-layer temperatures 595 (Figure 3C) and bottom temperatures (Supplementary materials 2, Figure S7) were lower than 596 expected, and CTD casts in deeper waters just offshore also had low DO (Figure 8). Taken 597 together, it seems like deeper, colder waters were upwelled onto the shelf edge that had a 598 characteristic low DO signature. Bottom temperatures were also quite low in 2013 599 (Supplementary materials 2, Figure S7), which may be an indication that upwelling was a factor 600 in the low DO that was observed midshelf in 2013 (Weisberg et al. 2014), but hypoxia and near 601 hypoxia was also detected on Florida Panhandle (Figures 2 and 5). There were no HABs detected 602 at that time of the year (Figures 1C and 4B), there were slightly elevated chlorophyll anomalies 603 in the months before (Figure 6C), and river discharge was elevated (Figure 7). From these data, it 604 is not clear what caused the low DO near the Panhandle in 2013. If upwelling occurred in 2013 605 as suggested by Weisburg et al. (2016a), there was an absence of low DO in the 2013 CTD casts 606 further offshore (Figure 8). A more likely explanation of the low DO in 2013 near the shelf break 607 was that nutrients upwelled onto the shelf increased biological oxygen demand through plankton productivity, stratification (Figures 1 and 3), and restricted cross-shelf advection leading to 608 609 localized oxygen depletion. Thus, there are multiple mechanisms by which upwelling could lead 610 to low DO on the West Florida Shelf. The low DO events located midshelf to shelf break in 2013 611 and 2017 were not due to HABs but rather they are likely a result of upwelled low DO water on 612 the West Florida Shelf.

613 There are several caveats and limitations to this study. The CTD data were collated from 614 a variety of sources and thus needed to be quality controlled and standardized. Additionally, 615 much of the data were not available in regular spatiotemporal intervals and as a result the data 616 were frequently analyzed by month to allow a synoptic scale analysis. The lack of 617 comprehensive K. brevis sampling and oceanographic survey data hampers a robust 618 spatiotemporal description of HAB-hypoxic events identified in this paper. The current 619 spatiotemporal coverage of surveys in the region makes it difficult to determine the persistence 620 of hypoxia and its association with HABs. For example, given the large spatial distribution of the 621 HAB in August 2005 identified by FWRI sampling, it is likely that the hypoxic region was much 622 larger than could be reasonably inferred from the CTD data. Unfortunately, the available CTD 623 data for 2005 does not extend into the nearshore area nor into the month of September. 624 Therefore, limiting any estimate of the shoreward extent of hypoxic conditions in 2005 and the 625 ability to determine if hypoxia persisted into September as it did in 2014. The survey data in 626 2005 is limited because sampling schedules were truncated in August and canceled for 627 September due to an active hurricane season in the Gulf of Mexico. While the collated data were 628 likely useful for analyses for their original purpose, the inherent coarse spatiotemporal scales at 629 which they were collected likely explains lack of coherence across time and space in the HAB-630 hypoxia relationship we have posited. We recommend that priority be given to increasing survey 631 coverage in the case of the Big Bend region to include more locations closer to shore in August 632 and September where recurrent hypoxia has been identified in this study. This would better 633 prepare regional stakeholders to identify and adapt to future HAB-hypoxic events that are more 634 likely under future climate change scenarios. Data limitations may also have obscured a 635 comprehensive identification of hypoxia because the maximum depth sampled by the CTD casts 636 were rarely close (within 1 m) to the seafloor thereby hypoxic zones were unavailable to the 637 instruments. As a result, the hypoxia identified here is likely an underestimate of the number of 638 hypoxic events and likely underestimate the spatiotemporal scope of the events on the West 639 Florida Shelf that were identified. There was an inherent limitation in the analyses because all 640 bottom DO data were treated similarly regardless of depth. It is generally true of oceanographic 641 data, including this dataset, that DO is negatively correlated with depth; however, we were not 642 trying to quantitatively predict DO and including bottom depth does not affect our interpretation 643 of the data. Broadly, there was a depth dependence in our analyses in which low DO less than 50

644 meters depth appears to be HAB related and low DO greater than 50 m appears to be upwelling 645 related. Any effort to conclusively link HABs to hypoxia on the West Florida Shelf is also 646 hampered by the lack of a consistent spatiotemporal index of HAB severity. Previous indices 647 have attempted to create a synoptic view of offshore HAB activity over time (Walter et al. 2013), 648 but discontinuation of satellite platforms with changing sensors has presented challenges for 649 creating a complete time series. Incomplete survey coverage across the shelf in addition to 650 uncertainty surrounding true near-bottom sampling is evidence that the hypoxia identified in this 651 study is underestimated.

652 The broader implication for this study includes an initial assessment of parameters that 653 could be used for seasonal prediction of HABs and hypoxia. Given the complexity of hypoxia 654 formation, time-varying, 3-dimensional circulation models incorporating algal bloom biomass 655 transport are needed to better understand the mechanisms of HAB driven hypoxic conditions (for 656 examples, see Bouffard et al. 2013, Siedlecki et al. 2014). Such a model would be able to capture 657 the dynamics in which algal bloom biomass is transported into an area of convergence, sinks, 658 and increases local biological oxygen demand creating a localized region of low DO. Such 659 predictions could be useful for helping the fishing industry to plan their operations around these 660 impacted areas and could improve current nearshore forecast systems to benefit coastal 661 economies and human health. However, additional work is needed to assess in a robust statistical manner the possible relationships described here. The main limitation to a more robust study is a 662 663 lack of a spatiotemporally consistent metric of HAB and hypoxia. Some work has been 664 completed which shows promise for a satellite-based, red-tide index that could be used in a 665 hierarchical model (Walter et al. 2013). However, at the present time, there are no robust 666 satellite-based indices of hypoxia or other synoptic data sources that could be used in similar 667 analyses as HAB. The present study also suggests that HABs with associated hypoxia are 668 particularly damaging to at least some components of marine ecosystems. When considering the 669 spectrum of HABs in the period considered for this study, the events that have been recognized 670 as damaging the ecosystems and fishery resources were also associated with hypoxia. Other 671 HABs with large spatiotemporal expressions (e.g., 2006, 2015, 2016), which were not associated 672 with hypoxia, have not been identified in the literature or during local ecological knowledge 673 interviews as having as great of impacts on ecosystems or fishery resources. For management of 674 economically important species as well as endangered and protected species on the West Florida

675 Shelf, further work needs to be done to understand the immediate and lagged impacts of these

676 HAB-hypoxia events, versus HABs that are not associated with hypoxia. Should these events

become more frequent and severe in the future, it would likely impact overall ecosystem

678 productivity and would need to be accounted for in management plans of many ocean users and

- 679 interest groups including fisheries, protected resources, tourism, and aquaculture industry.
- 680

681 ACKNOWLEDGEMENTS

682 The scientific results and conclusions, as well as any views or opinions expressed herein, 683 are those of the author(s) and do not necessarily reflect those of NOAA or the Department of 684 Commerce. We are greatly appreciative of all the efforts by the crew, scientists, and volunteers 685 who collected data and processed samples for the HAB database provided by FWRI, the 686 SEAMAP CTD data, the NMFS CTD data, and other cruises that were used in this manuscript. Thank you to Dr. Katherine Hubbard (FWRI) for her HAB expertise on the West Florida Shelf 687 688 and Laura Markley (FWRI) for responding to our HAB data requests and for her tireless efforts 689 at K. brevis ID for the HAB database. We are grateful for the HAB-hypoxia discussions and 690 efforts by fishermen associated with the Florida Commercial Waterman's Conservation non-691 profit based in Matlacha, Florida. We are also greatly appreciative of Dr. Rick Stumpf (NOAA-692 NCCOS) and three anonymous reviewers for their helpful comments and suggestions. This 693 research was conducted as part of NOAA's Gulf of Mexico Integrated Ecosystem Assessment 694 program. This research was also carried out [in part] under the auspices of the Cooperative 695 Institute for Marine and Atmospheric Studies (CIMAS), a Cooperative Institute of the University 696 of Miami and the National Oceanic and Atmospheric Administration, cooperative agreement # 697 NA20OAR4320472.

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699 AUTHOR CONTRIBUTIONS

700 BT, MK, MC, and CK collaborated on the original idea for the study and manuscript. BT, MK,

701 MC, DH, and CK contributed to compiling data from various databases. BT harmonized and

702 quality controlled the data, performed the analyses, and created the plots. BT, MK, MC, DH, and

703 CK contributed to writing and editing the manuscript.

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- 916 doi:10.1029/1998JC900101.

917 TABLES AND FIGURES

- 918
- 919 Table 1. Daily river discharge statistics for major rivers influencing the West Florida Shelf.

River name	Mean Daily Discharge (m ³ s ⁻¹)	Daily Minimum (m ³ s ⁻¹)	Daily Maximum (m ³ s ⁻¹)	Daily SD
Apalachicola	638	138	6173	486
Suwannee	249	30	1368	181
Peace	26	0	614	41
Caloosahatchee	54	0	716	74

920



922



923Figure 1. (A) Time series of all near bottom dissolved oxygen concentrations (DO, mg l⁻¹) from924CTD casts on the West Florida Shelf. (B) Time series of stratification defined as the density (kg925m⁻³) gradient with depth. The no-stratification cutoff (0.0081) is indicated by a horizontal dashed926line. (C) Time series of mixed-layer salinities from CTD data. Data points that were hypoxic927(DO ≤ 2 mg l⁻¹) are red and near hypoxic (2 < DO ≤ 3.5 mg l⁻¹) are gold (subplots A and B).928Boxplots demonstrating the distribution of all the data are added (subplots A – C). (D) Time

929 series of monthly 99th percentile of *Karenia brevis* concentrations (cells liter ⁻¹) on the West

- 930 Florida Shelf. Downward pointing red triangles indicate months above the bloom threshold
- 931 (>100,000 cells l⁻¹) denoted by the horizontal dashed line. The vertical dotted lines reference the
- 932 approximate peak of HAB season on September 1st of every year (subplots A D).





934 Figure 2. (A) Spatial distribution of all CTD casts with near bottom dissolved oxygen

- 935 concentrations that were hypoxic (DO $\leq 2 \text{ mg } 1^{-1}$). (B) Spatial distribution of all CTD casts with
- 936 near bottom dissolved oxygen concentrations that were near hypoxic ($2 < DO \le 3.5 \text{ mg l}^{-1}$).
- Bathymetric contours at 10, 25, 50, 100, and 200 meters are included as reference. The mouths
- 938 of the three major rivers defined by highest discharge–Apalachicola River (AR), Suwannee
- 939 River (SR), Peace River (PR) and the Caloosahatchee River (CR)–are indicated as upside-down,
- 940 black triangles.



941

942 Figure 3. Boxplots displaying the climatology per month of bottom dissolved oxygen (A),

943 stratification (B), mixed-layer temperature (C), and mixed-layer salinity (D). The no-

stratification cutoff (0.0081) is indicated by a horizontal green line (subplot B). Data points that

945 were hypoxic (DO $\leq 2 \text{ mg } l^{-1}$) are red and near hypoxic (2 \leq DO $\leq 3.5 \text{ mg } l^{-1}$) are gold. Boxplots 946 are overlaid on top of all the data points.



947

Figure 4. Karenia brevis (cells 1-1) Hovmöller diagram. Each box was aggregated as the 99% 948 949 percentile of *K. brevis* cell counts per 0.5° latitude per month. Missing data are indicated by 950 black dots. (A) Data from 2004 through 2011 and (B) bottom plot is data from 2011 through 951 2019. On the right side of the plots Suwannee River (SR), mouth of Tampa Bay (TB), 952 Caloosahatchee River (CR), and Key West (KW) are indicated as reference. The vertical dotted 953 lines reference the approximate peak of the HAB season in September of every year. Black boxes highlight observed hypoxia events in August 2005, August-October 2013, August-954 955 September 2014, June and August 2017, and August-October 2018. The colorbar has a break at 956 100,000 cells l⁻¹, which is defined by Florida Fish and Wildlife Institute as a medium level HAB 957 with respiratory irritation, shellfish closures, fish kills and likely detection by satellite 958 observations.



959

960 Figure 5. Sampled near bottom dissolved oxygen (DO) concentrations for months with hypoxia $(DO \le 2 \text{ mg } l^{-1})$ or near hypoxia $(2 \le DO \le 3.5 \text{ mg } l^{-1})$ present. Bathymetric contours at 10, 25, 961 50, and 100 meters are included as reference. The diameter of the purple circles is proportional to 962 963 the logarithm base 10 of Karenia brevis cell counts for the same month the CTD data were 964 obtained and black Xs indicate water samples without detectable K. brevis cells. The 965 Apalachicola River (AR), Suwannee River (SR), Peace River (PR), and the Caloosahatchee River (CR) are indicated as upside-down, green triangles. Blue filled triangles are normoxic (DO 966 > 3.5 mg l⁻¹), yellow triangles are near hypoxia ($2 \le DO \le 3.5$ mg l⁻¹), and red triangles are 967 hypoxic (DO $\leq 2 \text{ mg } l^{-1}$). 968



969

Figure 6. Chlorophyll-a anomalies calculated from MODIS imagery for (A) August 2005, (B) 970 971 August 2014, and (C) October 2018. (D) Anomaly time series for the Big Bend region 972 corresponding to box in plot B and southwest Florida (SWFL) coast corresponding to box in plot 973 C. Bathymetric contours at 10, 25, 50, 100, 200, and 300 meters are included as reference. The 974 dashed vertical lines in plot D are September of each year, which is the approximate peak of 975 HAB season. Vertical gray bars indicate the observed hypoxia events in August 2005, August 976 2013, August-September 2014, and August-October 2018. Horizontal gray bars are the +/- 1 977 standard deviation anomaly.



978

979 Figure 7. Daily river discharge anomalies for Apalachicola, Suwannee, Peace, and

980 Caloosahatchee Rivers. Daily discharge data for each river were downloaded from the USGS

981 National Water Information System website. Daily data were aggregated into monthly mean

values and then the standardized anomalies were calculated per river. The vertical dashed lines

983 denote September, which is approximately the peak HAB season. Vertical gray bars indicate the

observed hypoxia events in August 2005, August 2013, August-September 2014, and August-

985 October 2018. Horizontal gray bars are the +/- 1 standard deviation anomaly.



986

987 Figure 8. Bar chart displaying the proportion of CTD casts with low DO (DO \leq 3.5 mg l⁻¹)

sampled at depths greater than 100 m during May through September of each year. The total

989 number of casts regardless of DO concentrations are displayed above bars as a reference.