## Variability of Atmospheric CO2 Over the Arctic Ocean: Insights From the O-Buoy Chemical Observing Network

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#### Abstract

As the Arctic climate rapidly warms, there is a critical need for understanding variability and change in the Arctic carbon cycle, but a lack of long-term observations has hindered progress. This work analyzes and interprets measurements of atmospheric carbon dioxide (CO2) mixing ratios from long-term on-ice measurements (the O-Buoy Network), as well as coastal observatories from 2009-2016. The on-ice measurements show smaller seasonal amplitudes when compared to the coastal observatories, in contrast to the general observation of poleward increases of seasonal cycle amplitude. Average on-ice mixing ratios were lower than their coastal counterparts during the winter and spring months, contradicting the expectation that wintertime presents a poleward increasing gradient of CO2. We compare the observations to CO2; simulated in an updated version of the GEOS-Chem 3-D chemical transport model, which includes new tracers of airmass history and CO2; sources and sinks. The model reproduces the observed features of the seasonal cycle and shows that terrestrial biosphere fluxes and synoptic transport explain most CO2; variability over the surface of the Arctic Ocean. Interannually, the coastal observations were more comparable in overall CO2; growth than concurrent measurements over sea ice. We find evidence indicating the presence of ocean gas exchange in and around sea ice during periods where this growth discrepancy occurs. Periods with large spatial gradients are examined, showing that release of CO2; from Arctic waters in years with low sea ice concentration could possibly contribute to the greater interannual increase of CO2; over sea ice compared to land.

OB	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
1	-0.17*	0.30**	-0.50***	0.26*	0.17*	-0.30**	0.03			-0.03	-0.32**	0.78***
2				0.03	0.49***	-0.48***	0.73***			0.44***	0.59***	
4	-0.09	-0.44***	-0.14	0.52***	-0.36**	-0.02	0.48***	-0.06	0.72***	0.52**	-0.81**	-0.03
5								0.05	-0.13*	0.44		
6				-0.39*	-0.02	0.45***	0.11	0.12	-0.57***			
8a								0.31	0.48***	0.03		
8b	-0.20*	-0.37*							0.06	0.17*	-0.04	0.21*
10	0.60***	0.09	-0.01	0.79**				0.11	0.13	0.23*	-0.34**	-0.09
11	-0.48***	0.18*	0.30**	0.41***	0.01	0.03	0.02	0.26**		-0.33**	-0.20*	0.48***
12	-0.00		0.02	0.06	0.21*	0.80***				-0.43**	-0.08	0.10
13										-0.09	0.41***	-0.11
14	0.09	-0.24**	0.22*	0.24**	0.17*	-0.15**	0.27**	0.41***	0.09	-0.26**	-0.07	0.31**
15	-0.37***	-0.28*							-0.08	0.13	0.29*	-0.28**

O-Buoy	Region	Start date	Start Location	End Date	End Location	Number of
						Observations
1	Beaufort Gyre	2009-10-09	77.04 N, -135.42 E	2010-07-14	75.77 N, -160.18 E	1759
2	Beaufort Gyre	2010-10-08	76.71 N, -135.19 E	2011-07-22	75.68 N, -162.59 E	1422
4	Central Arctic-Fram Strait	2011-09-06	88.14 N, -157.46 E	2012-08-25	78.87 N, -12.27 E	2834
5	Beaufort Gyre	2011-08-08	78.04 N, -139.95 E	2012-01-20	75.92 N, -131.75 E	1206
6	Central Arctic-Fram Strait	2012-04-11	89.67 N, 9.13 E	2012-09-15	81.70 N, 1.55 E	1257
8	Beaufort Gyre	2012-08-27	80.21 N, -130.00 E	2012-10-24	80.70 N, -129.19 E	413
8	East Siberian Sea	2015-09-05	82.67 N, 124.09 E	2016-02-06	85.99 N, 135.06 E	1236
10	Beaufort Gyre	2013-08-25	76.92 N, -138.84 E	2014-04-12	75.13 N, -152.81 E	1636
11	Beaufort Gyre	2014-10-07	79.04 N, -149.96 E	2015-09-02	74.37 N, -139.76 E	2622
12	Beaufort Gyre	2014-10-12	76.01 N, -139.84 E	2015-06-20	77.04 N, -162.40 E	1601
13	Beaufort Gyre	2015-09-29	78.60 N, -141.64 E	2015-12-17	75.24 N, -138.07 E	535
14	Beaufort Gyre	2015-10-03	79.41 N, -148.59 E	2016-11-09	74.32 N, -107.80 E	2847
15	East Siberian Sea	2015-09-12	79.44 N. 168.46 E	2016-02-22	82.99 N173.09 E	875

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20	<sup>†</sup> Retired				
21	Key Points:				
22 23	• Atmospheric CO <sub>2</sub> mixing ratio observations over Arctic Ocean sea ice are examined and interpreted using a chemical transport model				
24 25	• Seasonal cycle amplitudes of atmospheric CO <sub>2</sub> are smaller, on average, over sea ice than at their coastal counterparts				
26 27	• Interannual variability of atmospheric CO <sub>2</sub> over sea ice may be impacted by ocean processes, in addition to long-range transport from the mid-latitudes				

## 28 Abstract

- 29 As the Arctic climate rapidly warms, there is a critical need for understanding variability and
- 30 change in the Arctic carbon cycle, but a lack of long-term observations has hindered progress.
- 31 This work analyzes and interprets measurements of atmospheric carbon dioxide (CO<sub>2</sub>) mixing
- 32 ratios from long-term on-ice measurements (the O-Buoy Network), as well as coastal
- 33 observatories from 2009–2016. The on-ice measurements show smaller seasonal amplitudes
- 34 when compared to the coastal observatories, in contrast to the general observation of poleward
- 35 increases of seasonal cycle amplitude. Average on-ice mixing ratios were lower than their coastal
- 36 counterparts during the winter and spring months, contradicting the expectation that wintertime
- 37 presents a poleward increasing gradient of CO<sub>2</sub>. We compare the observations to CO<sub>2</sub> simulated
- in an updated version of the GEOS-Chem 3-D chemical transport model, which includes new
- 39 tracers of airmass history and CO<sub>2</sub> sources and sinks. The model reproduces the observed
- features of the seasonal cycle and shows that terrestrial biosphere fluxes and synoptic transport
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- 46 concentration could possibly contribute to the greater interannual increase of CO<sub>2</sub> over sea ice
- 47 compared to land.

## 48 Plain Language Summary

49 The Arctic is undergoing immense biogeochemical change, due to the enhanced warming it has 50 experienced in recent decades. These rapid changes contribute to uncertainty in the Arctic carbon cycle and the physical processes impacting carbon gas exchange at these latitudes. In this work, 51 52 we analyzed and modeled atmospheric CO<sub>2</sub> concentrations obtained over Arctic sea ice and 53 compared them with CO<sub>2</sub> concentrations measured at coastal observatories. We found that CO<sub>2</sub> 54 concentrations over sea ice do not follow the general observed behavior that seasonal cycle 55 amplitudes of CO<sub>2</sub> (dominated by photosynthesis and respiration of the terrestrial biosphere) are 56 increasing poleward as our global climate continues to warm. We also found that the interannual growth of CO<sub>2</sub> is different between the coast and over sea ice. Our findings suggest that another 57 physical process (likely gas exchange in and around sea ice) may be impacting atmospheric CO<sub>2</sub> 58 59 concentrations year-to-year at the highest latitudes and obtaining more observations over sea ice

60 will add to knowledge of this sparsely observed region.

## 61 **1 Introduction**

62 There is no region on Earth where climate change is happening faster than the Arctic,

- 63 where temperatures are increasing at twice the global average rate (Osborne et al., 2018). This
- 64 rapid environmental change has made quantifying the carbon budget at the northern high
- 65 latitudes difficult. In particular, assessing whether the Arctic will behave as a carbon source or

sink in the coming decades remains a challenging task (McGuire et al., 2009, 2010). Since

atmospheric CO<sub>2</sub> mixing ratios are a function of meteorological patterns (transport and vertical

mixing) and exchange with the surface (fluxes), quantifying CO<sub>2</sub> mixing ratios and variability

will provide metrics that increase our understanding of processes impacting the carbon cycle athigh latitudes.

71 Observations have already shown that large carbon reservoirs in the Arctic are changing (McGuire et al., 2014). The uptake and release of carbon by the terrestrial biosphere dominates 72 the seasonal cycle of atmospheric CO<sub>2</sub> mixing ratios over land north of 45 °N, especially in the 73 summertime (Keeling et al., 1996). The boreal terrestrial biosphere is a net carbon sink (Pan et 74 75 al., 2011); furthermore, it has previously been shown that warmer surface air temperatures are lengthening the growing season (Myneni et al., 1997), resulting in increased seasonal amplitudes 76 77 of atmospheric CO<sub>2</sub> (Graven et al., 2013). However, this terrestrial biological response to 78 warming and other climatic changes may be heterogeneous and non-linear (Soja et al., 2007), 79 due to the variations in plant species composition across boreal ecosystems (Goetz et al., 2005) and their migration in response to climate change (Elmendorf et al., 2012). Studies have also 80 shown climatic variables, like air temperature and snow-cover, impact the interannual variability 81 of CO<sub>2</sub> fluxes at high latitudes (Rennermalm et al., 2005; Groendahl et al., 2007). In addition, 82 83 disturbances like insect or disease infestation (Verbyla, 2008), fires (Kasischke et al., 2010), and 84 anthropogenic impacts (Magnani et al., 2007) further contribute to the difficulty of quantifying

the carbon cycle and  $CO_2$  exchange at the northern high latitudes.

86 The global ocean is a net sink for atmospheric CO<sub>2</sub>. Arctic Ocean uptake has been estimated at  $180 \pm 130$  Tg C yr<sup>-1</sup>, which is  $8 \pm 6$  % of global ocean CO<sub>2</sub> uptake (Ciais et al., 87 88 2013; Takahashi, 2002; Takahashi et al., 2009; Yasunaka et al., 2018) while the Arctic Ocean covers just 4 % of global ocean surface area. In ice-free regions, high-latitude oceans are 89 seasonally strong CO<sub>2</sub> sinks due to fast gas exchange under high winds, high gas solubility at 90 cold temperatures, and high CO<sub>2</sub> uptake by primary production in nutrient-rich waters 91 (Takahashi et al., 2009). The uptake of CO<sub>2</sub> by sea-ice covered areas, however, has been 92 93 traditionally considered to be minimal or non-existent, though this view is changing (Loose et 94 al., 2011; Miller et al., 2011). Loss of sea ice may enhance air to sea fluxes of CO<sub>2</sub> (Bates et al., 2006). The effects of these observed changes in sea ice on the Arctic carbon cycle are highly 95 96 uncertain for the rest of the century (Cai et al., 2010; McGuire et al., 2009, 2010).

Understanding the behavior of CO<sub>2</sub> over the Arctic Ocean has been limited by the 97 availability of long-term measurements. The harsh polar sea ice conditions of the Arctic Ocean 98 make obtaining observations difficult, especially for autonomous sensors. Most long-term Arctic 99 atmospheric observations, particularly chemical composition data, have been collected at 100 101 terrestrial stations around the periphery of the Arctic Ocean. This results in a major spatial gap in surface data collection. Measurements of CO2 mixing ratios and fluxes over sea ice have been 102 103 made from research vessels, such as icebreakers, that may alter atmosphere-ocean fluxes by 104 breaking ice and exposing the underlying seawater, or from ice camps that preferentially sample 105 certain seasons and ice conditions (mainly spring melt and fall freeze-up seasons) (Else et al.,

- 106 2011; Geilfus et al., 2012, 2015; Miller et al., 2011; Nomura et al., 2013; Prytherch et al., 2017;
- 107 Semiletov et al., 2004). Additionally, the Arctic Ocean has been observed to be regionally
- 108 complex. It is currently described as a strong CO<sub>2</sub> sink in the Chukchi Sea (Bates et al., 2006),
- 109 the East Siberian Sea (Semiletov et al., 2007), and pan-Arctic (Yasunaka et al., 2016, 2018),
- 110 especially in open water regions, but a  $CO_2$  source nearshore (Semiletov et al., 2007; Williams &
- 111 Carmack, 2015), and neutral in the Canada Basin (Cai et al., 2010).
- 112 This work presents a new, multi-year record of atmospheric CO<sub>2</sub> mixing ratios directly
- measured by autonomous, ice-tethered buoys in the Arctic Ocean. The O-Buoy Network
- 114 measured atmospheric  $CO_2$  mixing ratios from 2009–2016, and provides the longest, most
- 115 extensive collection of in situ CO<sub>2</sub> observations collected over sea ice. In this work, we examine
- the seasonal and interannual variability in the observations, and further interpret them using an
- 117 atmospheric CO<sub>2</sub> transport model. We discuss the differences in observed quantities between
- 118 coastal and on-ice locations, as well as the physical processes that control atmospheric  $CO_2$
- 119 mixing ratios in the Arctic.

## 120 **2 Methods**

- 121 2.1 O-Buoy CO<sub>2</sub> measurements
- 122 The O-Buoy Network of Chemical Sensors (O-Buoys) is a set of ice-tethered,
- 123 autonomous buoys deployed in the Arctic Ocean to measure atmospheric trace gases, including
- 124 CO<sub>2</sub> and meteorological variables (Knepp et al., 2010). Thirteen overlapping deployments
- 125 occurred during 2009–2016, operating for as long as 16 months (Fig. 1, Table S1). O-Buoys
- 126 were deployed at latitudes between 76 °N and 89 °N and most remained north of 75 °N for the
- 127 duration of their deployments. Nine O-Buoys were deployed in the Beaufort Gyre, two in the
- 128 East Siberian Sea, and two in the central Arctic Ocean near the North Pole eventually drifting
- 129 through Fram Strait.



**Figure 1.** (a) Drift trajectories of O-Buoys (OB) measuring  $CO_2$  (2009–2016) and coastal stations with  $CO_2$  measurements used in this work. (b) Number of  $CO_2$  observations obtained by the O-Buoys in each month, divided into regions where the measurements were obtained.

130 Each O-Buoy measured ambient atmospheric CO<sub>2</sub> mixing ratios every 3 hours with a nondispersive infrared analyzer adapted for buoy deployment (modified LI-COR 820). 131 Meteorological observations on the O-Buoys included wind speed and direction (RM Young 132 Model 05103), humidity and temperature (Vaisala HMP45C), and pressure (Vaisala PTB110), 133 134 and were reported hourly. Measurements of ozone by absorption-based ozone monitors and the reactive gas bromine monoxide (BrO) by multi-axis optical absorption spectroscopy have been 135 reported separately (Burd et al., 2017; Halfacre et al., 2014; Peterson et al., 2016; Swanson et al., 136 2020). Knepp et al. (2010) provide further details of the O-Buoy design and instrument 137 performance characteristics. Measurements of ambient atmospheric CO<sub>2</sub> were regularly 138 139 calibrated in the field with two gas cylinders containing known standards installed in the O-Buoy hull, whose CO<sub>2</sub> mole fraction in dry air was measured with high precision and accuracy prior to 140 deployment. The uncertainties in the in-buoy calibration gases themselves were determined prior 141 to each long-term O-Buoy deployment. When possible, calibrations were repeated at the end of 142 the deployments. At deployment, the CO<sub>2</sub> measurements had a precision of  $\pm 0.1$  ppm and 143 accuracy of  $\pm$  0.2 ppm, sufficient to monitor the seasonal variations of 25–30 ppm against 144 atmospheric background mixing ratios around 400 ppm, as well as day-to-day variability of up to 145  $\pm$  7 ppm. This accuracy is comparable to pairs of samples collected by the NOAA ESRL across 146 their observation network (NOAA ESRL Global Monitoring Division, 2015; Zhao & Tans, 147 2006). Post-deployment corrections to the CO<sub>2</sub> mixing ratios were done when a multi-parameter 148 (CO<sub>2</sub>, temperature, relative humidity and atmospheric pressure, with in-sensor and 149 environmental values for each parameter) analysis of the calibration gases indicated a residual 150 was related to the internal pressure of the CO<sub>2</sub> sensor. This correction was less than 5 % of the 151 152 measured value and only used in a few deployments. For further information, refer to Knepp et 153 al. (2010).

154 2.2 Coastal sampling stations CO<sub>2</sub> measurements

155 To provide a more complete representation of  $CO_2$  variability around the Arctic Ocean, we examined measurements at Arctic coastal sites from the CO<sub>2</sub> GLOBALVIEWplus v4.0 156 ObsPack (Cooperative Global Atmospheric Data Integration Project, 2018). The Arctic sites 157 (https://www.esrl.noaa.gov/gmd/dv/site/) are at Alert, Nunavut, Canada (ALT), Utgiagvik, 158 Alaska, USA (BRW), Ny-Alesund, Svalbard, Norway (ZEP), and Tiksi, Russia (TIK) (Fig. 1a). 159 The stations are operated by Environment Canada (ALT), U.S. NOAA (BRW), the Norwegian 160 161 Institute for Air Research (ZEP), and U.S. NOAA/ Russian Federation's Roshydromet/ U.S. NSF/ Finnish Meteorological Institute (TIK). Observations were conducted using discrete flask 162 163 samples, which are normally collected weekly in 2-4 replicate flasks. For some analyses, we used hourly in situ CO<sub>2</sub> mixing ratios at Utqiagvik, AK, also from GLOBALVIEWplus. 164 CO<sub>2</sub> flask samples were collected when winds were within a designated clean air sector 165 (e.g. 0 to 90 °E at Utqiagvik) and exceeded 2 m s<sup>-1</sup>, both of which minimized the likelihood of 166 contamination from local anthropogenic CO<sub>2</sub> sources. All flask samples were collected in pairs, 167

168 with the mixing ratio differences between pair members lying within 0.5 ppm of each other

- 169 (Dlugokencky et al., 2016). We removed three outlier measurements at Alert, NU, because they
- 170 differed from preceding and following observations by more than 10 ppm: 2014–01–02 19Z,
- 171 2014–01–30 20Z, and 2014–02–26 19Z. Similarly, we removed the following six outliers at
- 172 Utqiagvik, AK: 2009–08–31 10Z, 2009–02–27 14Z, 2010–11–26 15Z, 2015–10–02 18Z, 2015–
- 173 10-05 18Z, 2016-12-13 23Z. For times when multiple CO<sub>2</sub> observations were recorded at one
- 174 location, we averaged the measurements made in the same hour. We set the measurement times
- at the coastal stations to the nearest hour to identify measurements that are nearly simultaneous
- 176 with the O-Buoys.
- 177 2.3 Sea ice concentration and thickness

178 To understand the sea ice environment around the O-Buoys, we used sea ice

179 concentration (unitless fraction varying 0–1) from passive microwave remote sensing (Nimbus-7

180 SMMR and DMSP SSM/I-SSMIS Passive Microwave Data, Version 1, available from the

181 National Snow and Ice Data Center, https://nsidc.org/data/nsidc-0051). Data are generated from

182 brightness temperature measurements and are designed to provide a continuous time series of sea

183 ice concentrations spanning the coverage of several passive microwave instruments. The data are

184 provided in a polar stereographic projection at a grid cell size of  $25 \times 25$  km, and the pixel with

- 185 each O-Buoy was selected.
- 186

## 2.4 GEOS-Chem atmospheric transport model

187 We simulated atmospheric CO<sub>2</sub> mixing ratios and diagnosed atmospheric transport using 188 the GEOS-Chem global 3-D chemical transport model (version 12.7.2, www.geos-chem.org). 189 The model was driven by assimilated meteorology from the NASA Modern-Era Retrospective 190 analysis for Research and Applications, Version 2 (MERRA-2; Gelaro et al., 2017) and 191 simulations here have a resolution of  $2^{\circ} \times 2.5^{\circ}$  with 47 vertical levels. Model results cover the 192 complete O-Buoy period from 2009–2016 with hourly sampling at the locations of the O-Buoys 193 and coastal stations.

194 Since the  $CO_2$  simulation relies on the accuracy of the meteorological data and because 195 there are few surface observations available to assimilate in the Arctic, we evaluated MERRA-2

196 over the Arctic Ocean against O-Buoy meteorological measurements. We compared MERRA-2

197 at its native horizontal resolution  $(0.5^{\circ} \times 0.625^{\circ})$  and at the resolution used in the simulations

here  $(2^{\circ} \times 2.5^{\circ})$  to measurements of sea-level pressure, air temperature, and wind speed on O-

- Buoys 11 and 12. Supplementary Table 2 provides full results of the comparisons for sea-level
- 200 pressure, air temperature, and wind speed. The coefficient of determination  $(R^2)$  for sea-level
- 201 pressure and air temperature shows a close relationship between observed and reanalysis
- quantities (at  $2^{\circ} \times 2.5^{\circ}$ , sea-level pressure  $R^2 = 0.983$  and RMSE = 1.27 hPa; air temperature  $R^2$ = 0.977 and RMSE = 2.47 K; Supplementary Table 2). For wind speed, the apparently poor
- comparison (at  $2^{\circ} \times 2.5^{\circ}$ ,  $R^2 = 0.558$  and RMSE = 2.12 m s<sup>-1</sup>) may be due to occasional rime-
- 205 icing of the O-Buoy anemometer. Since large-scale atmospheric transport is controlled by
- 206 synoptic pressure gradients, our surface pressure comparison suggests a reasonable

207 representation of long-range transport. In addition, prior GEOS-Chem work using GEOS-5

derived meteorology also supports the reanalysis' ability to resolve long-range transport events
in the Arctic (Fisher et al., 2010; Wang et al., 2011).

210 2.4.1 CO<sub>2</sub> simulation and CO<sub>2</sub> source tags

The GEOS-Chem CO<sub>2</sub> simulation was previously described by Nassar et al. (2010). For 211 212 this work, all emissions and surface fluxes were updated, using inventories summarized in Table 1. Anthropogenic emissions are from the Community Emissions Data System (CEDS; Hoesly et 213 214 al., 2018). The CEDS inventory ended in 2014, so our 2015 and 2016 emissions consist of the 2014 CEDS spatial pattern scaled to match the global total emissions for those later years in the 215 216 Open-Source Data Inventory for Anthropogenic Carbon Dioxide (ODIAC; Oda & Maksyutov, 2011). We used this approach, rather than using ODIAC alone, because the CEDS inventory 217 218 includes anthropogenic biofuel emissions that are not in ODIAC. Biomass burning emissions came from the Global Fire Emissions Database (GFED4.1s; van der Werf et al., 2017). Net 219 220 terrestrial and ocean fluxes were taken from NOAA CarbonTracker (version CT2019: Jacobson 221 et al., 2020, with updates documented at carbontracker.noaa.gov). Similar to other flux 222 inversions, CarbonTracker provides no estimate of CO<sub>2</sub> fluxes over the Arctic Ocean, which is equivalent to assuming zero flux. Therefore, the analysis in Section 3 critically examines if 223 224 surface CO<sub>2</sub> fluxes over the Arctic Ocean are necessary to explain the O-Buoy CO<sub>2</sub> 225 measurements. Atmospheric chemical production of CO<sub>2</sub> from oxidation of CO and organic gases was archived from a prior GEOS-Chem simulation (Bukosa et al., 2021). 226 The CO<sub>2</sub> simulation was initialized in January 2007 with the observed global marine 227 surface mean CO<sub>2</sub> mixing ratio (382.8 ppm; Dlugokencky and Tans, NOAA/GML, 228 www.esrl.noaa.gov/gmd/ccgg/trends/, accessed 2020-12-15) and the CO<sub>2</sub> spatial distribution 229 from a prior CO<sub>2</sub> simulation by Nassar et al. (2010). After two years of model spin up, results 230 were analyzed beginning in 2009 and continuing through 2016. 231

To understand the fluxes that contributed to total  $CO_2$  mixing ratios in the model, we tagged  $CO_2$  from each source and sink for the duration of the simulation (2007–2016). The six tags included fossil fuel emissions, biomass burning emissions, net ocean flux, net terrestrial biosphere flux, chemical production of  $CO_2$ , and initial condition (each corresponding with the datasets described in Table 1). At every time and location simulated, the sum of the  $CO_2$  tags equaled the total modeled  $CO_2$  mixing ratios, within some small numerical error.

As detailed in Table 1, the global carbon budget in the simulation for 2014 included 238 anthropogenic emissions to the atmosphere of 9.7 Pg C yr<sup>-1</sup>. Atmospheric chemistry and biomass 239 burning added another 1.0 and 0.4 Pg C yr<sup>-1</sup>, respectively. Terrestrial and ocean fluxes can be 240 positive or negative, depending on location and season, but in the net were 5.3 Pg C yr<sup>-1</sup> uptake 241 to the land and 3.2 Pg C yr<sup>-1</sup> uptake to the ocean. Therefore, the atmospheric accumulation was 242 2.6 Pg C yr<sup>-1</sup> in 2014. Flux inventories varied from year to year in the simulation, but the 2014 243 budget is similar to other simulated years. The total carbon budget in our model generally aligns 244 with recent estimates from the Global Carbon Project (GCP; Friedlingstein et al., 2019). In the 245

- GCP synthesis for 2014, human-induced emissions equaled  $9.6 \pm 0.5$  Pg C yr<sup>-1</sup>, land use change
- emissions totaled  $1.7 \pm 0.7$  Pg C yr<sup>-1</sup>, and land and ocean uptake equaled  $3.7 \pm 0.9$  Pg C yr<sup>-1</sup> and
- 248  $2.6 \pm 0.5 \text{ Pg C yr}^{-1}$ , respectively. The atmospheric growth rate was estimated to be  $4.3 \pm 0.2 \text{ Pg}$
- 249 C yr<sup>-1</sup>; the unaccounted imbalance of the carbon budget was 0.7 Pg C yr<sup>-1</sup> (Friedlingstein et al.,
- 250 2019).

Source or sink	Global annual flux total (2014) <sup>a</sup> [Pg C yr <sup>-1</sup> ]	Inventory	Reference
Anthropogenic <sup>b,c</sup>	9.7	CEDS	Hoesly et al. (2018)
Land <sup>d</sup>	-5.3	CarbonTracker 2019	Jacobsen et al. (2020)
Ocean <sup>d</sup>	-3.2	CarbonTracker 2019	Jacobsen et al. (2020)
Biomass burning <sup>c</sup>	0.4	GFED4.1s	van der Werf et al. (2017)
Atmospheric chemistry <sup>c,e</sup>	1.0	GEOS-Chem	Bukosa et al. (2021)

**Table 1:** Budget of atmospheric CO2 sources and sinks in GEOS-Chem.

<sup>a</sup> Positive flux values increase atmospheric CO<sub>2</sub>. All flux inventories used in the model vary by year and values for 2014 are provided here.

<sup>b</sup> Includes fossil fuel, biofuel, agriculture, and industrial sources. The CEDS inventory ends in 2014. The 2015 and 2016 anthropogenic emissions in this work are computed by scaling the CEDS 2014 pattern to the global total emissions reported by ODIAC (Oda et al., 2010). <sup>c</sup> Monthly temporal resolution

<sup>d</sup> 3-hourly temporal resolution.

<sup>e</sup> Atmospheric chemical production of CO<sub>2</sub> from the oxidation of other carbon gases (CO and volatile organic compounds) is archived from a previous full chemistry simulation in GEOS-Chem.

## 251 2.4.2 Atmospheric transport diagnostic tracers

We defined new tracers of atmospheric transport to help interpret differences in CO<sub>2</sub> 252 between Arctic sites. The tracers quantify airmass contact with different surface types: sea ice, 253 open water, and land. The sea ice locations and fractions vary in time, as specified by MERRA-254 2, while others are temporally constant. Over each of these surfaces the tracers were emitted at a 255 uniform rate of 1 molecule  $m^{-2} s^{-1}$ . The tracers were subsequently transported identically to CO<sub>2</sub> 256 and decayed with a specified e-fold lifetime. The tracers are similar to e90 (an artificial tracer 257 258 with 90-day decay time; Prather et al., 2011), but resolve contact with different surface types whereas e90 reflects overall surface contact. The tracer surface contact sum (TSCS; the sum of 259 each tracer emitted over each surface type) allows us to understand total surface contact and 260 vertical transport. A greater TSCS at the surface indicates "trapped" air within the boundary 261 layer (i.e., less free tropospheric entrainment), whereas a lower TSCS at the surface indicates 262 greater mixing and ventilation. 263 264 This paper discusses surface contact tracers with 5-day lifetimes, but we also tested

additional lifetimes up to 90 days. The atmospheric tracer values were then sampled at the times
 and locations of the observed CO<sub>2</sub> measurements, to provide insight into upwind surfaces
 potentially influencing the observed CO<sub>2</sub> mixing ratios over the timescale of the imposed

268 lifetime. The analysis focuses on spatial and temporal variations in the surface contact tracers,

which reflect differences in the upwind contact of airmasses with the different surface types.

Absolute magnitudes of the contact tracer mixing ratios, by contrast, are not as meaningful

because they are proportional to an arbitrary choice of surface emission rate (1 molecule  $m^{-2} s^{-1}$ ).

272 2.5 Statistical methods

273 Several statistical analyses were used to quantify seasonal cycles, day-to-day variability, 274 growth rates, and spatial differences in CO<sub>2</sub> measurements. These methods account for the 275 different sampling frequencies and duration of measurements at the various O-Buoys and coastal 276 stations.

For each O-Buoy and coastal station in both observations and model prediction, we quantified multi-year CO<sub>2</sub> growth rates with ordinary least squares regression separately for the months of April–May (CO<sub>2</sub> maximum) and August–September (CO<sub>2</sub> minimum).

280 We followed the NOAA ESRL method (with code obtained from

281 https://www.esrl.noaa.gov/gmd/ccgg/mbl/crvfit/crvfit.html) described by Thoning et al. (1989) to

calculate smoothed curves of CO<sub>2</sub> measurements and an overall reference trend at Utqiagvik, AK

283 (using flask and in situ measurements). The smoothed curve of a time series of  $CO_2$ 

284 measurements is defined as the function fit plus the filtered residuals using a short-term cutoff

value of 80 days. The trend is defined as the upward growth in the data with the seasonal cycle

removed. It is the polynomial part of the function fit plus the filtered residuals using the long-

term cutoff value (667-day cutoff frequency). To aid in overall time series analysis, we detrended

the  $CO_2$  time series for all O-Buoys and coastal stations using this common trend from

289 Utqiagvik, AK (Fig. S1). In addition, we subtract the smoothed data from our series of  $CO_2$ 

290 measurements to obtain a time series of the high-frequency variability in our dataset, from which

291 we calculated the mean standard deviation per month. For Utqiagvik, AK, we calculated the

standard deviation from both the in situ and flask data, resampled to the same frequency as the

293 O-Buoy period. Similarly, this method was followed for calculating Pearson correlation

coefficient values between the observed and modeled CO<sub>2</sub> mixing ratios, as well as the observed
 CO<sub>2</sub> mixing ratios and observed sea ice concentrations.

O-Buoy CO<sub>2</sub> records are not continuous due to deployment timing, destruction of O-296 Buoys, or instrumental problems. To compare seasonal amplitudes between the coastal stations 297 and O-Buoys, we compared years where O-Buoy observations were continuous for an entire 298 299 seasonal cycle (2011, 2012, 2015, 2016). From each time series, we removed the trend calculated at Utqiagvik, AK. Then, seasonal amplitudes were calculated as the maximum daily mean CO<sub>2</sub> 300 301 minus minimum daily mean in each year. We also explored seasonal amplitudes calculated from a 7-day rolling mean. Deployments prior to 2011 did not provide sufficient samples in the spring 302 303 and summer to estimate a seasonal amplitude.

For case studies of differences between O-Buoys and coastal stations, we compared daily means of CO<sub>2</sub> mixing ratio, with variance calculated as the unbiased squared standard deviation. If two O-Buoys co-observed, their measurements were averaged together daily.

#### 307 3 Results and discussion

308 The O-Buoy network provides a wealth of data on atmospheric  $CO_2$  mixing ratios and 309 variability over the sparsely observed Arctic Ocean on time scales from hours to years. Figure 1 shows the spatial and temporal coverage of the data. The 13 O-Buoys measured CO<sub>2</sub> and 310 meteorological observations for a total of 1,873 unique dates during 2009-2016, obtaining data 311 for 17,116 cumulative hours. The longest of the O-Buoy deployments with CO<sub>2</sub> observations 312 313 lasted 13 months and drifted over 4,750 kilometers (O-Buoy 14). Measurements span the entire annual cycle (Fig. 1b). Since the O-Buoys were most commonly deployed in August-October, 314 the months of October–December are sampled most intensively (over 2,000 measurements each 315 month in the total dataset), but there are at least 1,000 measurements in every month. Regionally, 316 317 the Beaufort Gyre has the largest fraction of O-Buoy CO<sub>2</sub> measurements (69%), followed by the 318 central Arctic through Fram Strait (20%) and the East Siberian Sea (11%) (Fig. 1b). 319 The O-Buoys were deployed during times of varying sea ice cover, which has changed extensively in recent decades. In addition to declining summertime minima, multi-year sea ice 320 321 constitutes a smaller fraction of the remaining sea ice. Partially open waters, melt ponds, leads, or cracks may provide opportunities for both atmospheric CO<sub>2</sub> enhancement and decrease. If 322 other environmental processes remained constant, an increased oceanic CO<sub>2</sub> uptake capacity 323 should result in a lower atmospheric CO<sub>2</sub> growth rate. An ongoing debate on whether the 324 seasonally opening central Arctic Ocean waters are capable of absorbing more atmospheric CO<sub>2</sub> 325 326 (Bates et al., 2006; Cai et al., 2010; Else et al., 2013; Jutterström & Anderson, 2010) appears to lean towards a weak sink (relatively weak due to low biological activity and increasing 327

	t trend at Orquey	ik calculated al			II Series.		
Location	Data Type	Averaging	2011	2012	2015	2016	Mean
Utqiagvik, AK	Flask + in situ	Daily	26.9	25.4	25.1	22.5	$25.0 \pm 1.6$
	Model	Daily	28.8	37.4	30.5	30.1	$31.7\pm3.4$
	Flask + in situ	7-day	23.2	21.8	20.3	20.5	$21.4 \pm 1.2$
	Model	7-day	26.3	30.4	22.9	25.1	$26.2\pm2.7$
Alert, NU <sup>b</sup>	Flask	Daily	22.9	20.2	19.7	19.3	$20.5 \pm 1.4$
	Model	Daily	24.9	27.7	23.8	24.8	$25.3\pm1.5$
O-Buoys	In situ	Daily	22.8	19.7	20.4	26.1	$22.2 \pm 2.5$

31.1

20.9

27.0

29.5

18.8

27.5

25.2

18.7

22.4

27.8

24.5

24.2

 $28.4\pm2.2$ 

 $20.7 \pm 2.3$ 

 $25.3 \pm 2.1$ 

**Table 2:** CO<sub>2</sub> seasonal cycle amplitudes (ppm) in observations and the GEOS-Chem model<sup>a</sup>. Observed trend at Utqiagvik calculated and removed from all series.

<sup>a</sup> Amplitudes are calculated from the maximum value minus minimum value within a year, using both daily mean values and 7-day rolling mean values. The model was resampled to match the frequency of observed measurements.

<sup>b</sup> Only flask data was considered from the NOAA GLOBALVIEW+ ObsPack at Alert, NU.

Daily

7-day

7-day

Model

In situ

Model

328 stratification; i.e., a lower seasonal CO<sub>2</sub> growth rate). At times, a weak source of CO<sub>2</sub> has been

estimated for Pacific-influenced coastal Arctic seas, due to increasing ventilation and a higher
 seasonal CO<sub>2</sub> growth rate (Cai et al., 2014).

331 3.1 CO<sub>2</sub> Seasonality

We find that atmospheric CO<sub>2</sub> mixing ratios measured by O-Buoys over the remote ice-332 333 covered Arctic Ocean generally have similar seasonal cycles and growth as compared to mixing ratios measured along Arctic coasts, with some notable differences (Fig. 2a). Table 2 shows that 334 335 the average CO<sub>2</sub> seasonal cycle amplitude over the Arctic Ocean ( $22.2 \pm 2.5$  ppm, mean  $\pm$ standard deviation) was slightly smaller than at Utqiagvik, AK ( $25.0 \pm 1.6$  ppm) and larger than 336 337 at Alert, NU ( $20.5 \pm 1.4$  ppm) during the period from 2011–2016. These relative differences are observed in 3 of the 4 years. In 3 years, we also find that the peak CO<sub>2</sub> mixing ratios in winter 338 339 are smaller over sea ice at the O-Buoys than at the surrounding Arctic coastal stations (i.e., 2012, 2014, 2015; Fig. 2). From past literature, it is generally expected that the atmospheric CO<sub>2</sub> 340 maxima in winter increase poleward, and that the seasonal cycle amplitude also increases 341 poleward (Graven et al., 2013; Ramanathan et al., 1979; Tans et al., 1990). O-Buoy data show 342

that both of these large-scale atmospheric patterns stop or reverse when progressing northward

from Utqiagvik, AK (71.3 °N) to over the Arctic Ocean.



**Figure 2.** Daily (a) and monthly (b) mean  $CO_2$  mixing ratios observed over the Arctic Ocean from the O-Buoys and at coastal Arctic stations. (c) Daily mean  $CO_2$  simulated at the O-Buoy and coastal locations. (d) Daily sea ice concentrations observed at the O-Buoys.

The mean  $CO_2$  seasonal cycle amplitudes over the Arctic Ocean and coastal sites during 345 2011–2016 (19–24 ppm) are all greater than the average amplitude measured at Utgiagvik, AK 346 over its entire record ( $16.6 \pm 1.8$  ppm for 1973–2015; NOAA). These results are consistent with 347 an increasing seasonal amplitude in the high northern latitudes over the last several decades 348 349 (Graven et al., 2013), which has grown up to 50 % north of 45 °N since 1960 (Bacastow et al., 1985; Graven et al., 2013; Keeling et al., 1996; Randerson et al., 1997). This increasing seasonal 350 amplitude has been attributed to the combined effect of changes in Arctic and boreal terrestrial 351 vegetation dynamics (i.e., increased vegetation cover, longer and greater gross primary 352 353 production) and climate change (i.e., warmer air temperature and increased precipitation) (Forkel et al., 2016), both favoring earlier and longer CO<sub>2</sub> uptake (Reichstein et al., 2007). In addition, it 354 has been argued that the changes in boreal land fluxes are driven mostly by photosynthesis and 355 respiration processes rather than agriculture and land use change, plant phenology, fire, and 356 permafrost dynamics (Graven et al., 2013; Reichstein et al., 2007). 357 358 Figure 2c shows simulated CO<sub>2</sub> mixing ratios in GEOS-Chem compared to observations at the O-Buoys and Arctic coastal stations (Fig. 2a). The model is sufficiently similar to 359 observations that differences are difficult to discern in Figure 2, so monthly mean model error is 360 shown in Figure 3. Simulated CO<sub>2</sub> is generally within 1 ppm of the observations during winter, 361 362 and within 5 ppm of the observations in summer. Table 2 also shows the amplitude of the simulated seasonal cycle. While the model's seasonal cycle amplitude is 25–30 % larger than 363 observations at all Arctic sites for reasons to be discussed in Section 3.3, the model nevertheless 364 predicts the same relative differences in the amplitude between sites: decreasing CO<sub>2</sub> amplitude 365 from Utqiagvik, AK ( $31.7 \pm 3.4$  ppm) to the O-Buoys ( $28.4 \pm 2.2$  ppm) to Alert, NU ( $25.3 \pm 1.5$ 366 367 ppm). Likewise, the model also correctly predicts that peak wintertime CO<sub>2</sub> mixing ratios at the 368 buoys are the same or smaller than at Utqiagvik (Fig. 2). Over two-thirds of the O-Buoy measurements were recorded in the Beaufort Gyre (Fig. 1), less than 1000 km away from 369 Utqiagvik, AK, so the CO<sub>2</sub> amplitude and peak drop relatively quickly when moving offshore 370

371 into the Arctic Ocean.



**Figure 3.** GEOS-Chem CO<sub>2</sub> model error (model minus observation) averaged monthly for all land stations and O-Buoys, with standard deviation shaded.

372 We use the model to understand the combination of marine, terrestrial, and transport processes that drive the smaller CO<sub>2</sub> seasonal cycle amplitude and smaller peak winter CO<sub>2</sub> over 373 374 the Arctic Ocean. Relevant ocean processes could include winter uptake of atmospheric CO<sub>2</sub> through leads or sea ice over undersaturated water (Miller et al., 2011) or summertime gas 375 376 exchange through leads, melt ponds, or sea ice (Loose et al., 2011). While respiration in the terrestrial biosphere is the general cause of high atmospheric CO<sub>2</sub> in winter, the polar dome 377 airmass may partially isolate O-Buoys and Alert, NU from this CO<sub>2</sub> source, giving these sites 378 lower winter CO<sub>2</sub> (Bozem et al., 2019; Serreze and Barry, 2014). As already described, the 379 380 GEOS-Chem model correctly predicts the smaller observed CO<sub>2</sub> amplitude and winter peak over the Arctic Ocean and at Alert, NU than at Utqiagvik, AK. Within the model, these features 381 cannot be driven by CO<sub>2</sub> fluxes over the Arctic Ocean sea ice because those fluxes are prescribed 382 to be zero (following CarbonTracker2019). CO<sub>2</sub> tags in the model, shown in Figure 4 for 2014– 383 2015, demonstrate 90–100 % of the CO<sub>2</sub> seasonal cycle amplitude over the Arctic Ocean is 384 385 driven by net terrestrial exchange. Near Iceland, ocean exchange contributes 10-20 % of the overall seasonal cycle amplitude but the ocean component is otherwise small (Fig. S2), following 386 what has been documented about continental Arctic CO<sub>2</sub> mixing ratios (e.g. Graven et al., 2013). 387 From Figure S2, we see that the region around Alert and Ellesmere Island is predicted to have a 388 389 smaller CO<sub>2</sub> amplitude than anywhere else in the Arctic. The sparse tundra vegetation on these high Arctic islands, including around Alert, NU, contributes little to the seasonal cycle of CO<sub>2</sub> 390 uptake and release. This region is also where others have identified the polar dome airmass in 391 spring (Bozem et al., 2019; Stohl, 2006), consistent with inhibited transport causing the small 392 amplitudes at Alert. Thus, while these features of the atmospheric CO<sub>2</sub> seasonal cycle over the 393



**Figure 4.**  $CO_2$  mixing ratios in observations and model (top) and simulated contributions from key sources (bottom). All data are daily averages at the O-Buoys from October 2014 through September 2015. Read land and ocean contributions on the left axis and fossil fuel contribution on the right axis. Contributions to total  $CO_2$  from biomass burning and atmospheric chemistry do not contribute to variability seen in the figures and are therefore not shown.

Arctic Ocean have not previously been reported, they can be explained through terrestrial CO<sub>2</sub>
 sources and transport, without requiring large CO<sub>2</sub> fluxes over or through sea ice. Fluxes over
 sea ice may contribute to other aspects of CO<sub>2</sub> variability over the Arctic Ocean, however, as will
 be discussed in Section 3.4.

Atmospheric  $CO_2$  mixing ratios at the O-Buoys were obtained during times of varying sea ice cover (Fig. 2d, 5). There is a seasonal cycle of sea ice that corresponds to the seasonal cycle of  $CO_2$ , with minima occurring annually around September. The wintertime freeze is apparent with sea ice concentrations reaching 100 % at the majority of O-Buoys, with some variability evident. Most of the high-frequency variability of sea ice concentration occurs during the warmer months, presumably when sea ice is melting and winds shift sea ice at a higher





**Figure 5.** Relationships between  $CO_2$  mixing ratio, sea ice concentration, and latitude for O-Buoys 5 (Beaufort Gyre), 4 and 6 (central Arctic through Fram Strait), which were deployed 2011–2012. Colors show the month of observation. All data are daily means.

During 2011–2012, the lowest CO<sub>2</sub> mixing ratios were measured in August and 405 September, even at latitudes higher than 78 °N when sea ice concentration was 50–70 %, on 406 407 average (Fig. 5). Mid-range CO<sub>2</sub> mixing ratios were usually recorded in late spring and fall 408 (385–395 ppm) regardless of latitude, with the highest values in winter and early spring (>400 ppm). The April-May O-Buoy observations were collected during mostly complete or complete 409 sea ice cover, with varying snow cover (Webster et al., 2018). At the time of the August-410 September minima, sea ice concentration was 80 % or less, a direct result of the seasonal cycle 411 of sea ice. During the 2011–2012 period, buoys measured in areas with sea ice concentration as 412 low as 30 %. Once the sea ice broke up, however, early O-Buoys (1–4) stopped data collection 413 when they became free floating and unable to remain upright. 414

415 3.2 CO<sub>2</sub> sub-monthly temporal variability

Close inspection of Figure 2 suggests that day-to-day CO<sub>2</sub> variations are larger in
summer and fall than spring. Figure 6 highlights the magnitude of this sub-monthly temporal
variability, averaged across years. At the O-Buoys and Utqiagvik, AK, day-to-day variability is

- 419 smallest (standard deviation < 1 ppm) in March–May and increases two-fold or more through summer into early winter (1–2 ppm). Sub-monthly variability is also greater at Utgiagvik, AK 420 than at the O-Buoys over sea ice, particularly in the fall when it reaches about 2 ppm at 421 Utqiagvik. Both locations have an initial peak variability in July, then hold steady or slightly 422 423 decrease before reaching their annual maximum variability in fall. Over sea ice, the model 424 predicts larger amplitudes of sub-monthly variability than was observed throughout the entire seasonal cycle, consistent with the model overestimation of the annual CO<sub>2</sub> amplitudes. The 425 model also overpredicts the amplitudes of sub-monthly variability during most of the annual 426 427 cycle at Utqiagvik, AK, except in the late summer and fall. At both locations, the largest model
- 428 overestimation is during July.
- 429 Figure 4 shows that observed sub-monthly CO<sub>2</sub> variations at the O-Buoys are predicted
- 430 by the model and nearly all attributable to variations in CO<sub>2</sub> from net terrestrial exchange,
- 431 indicated by the net terrestrial biosphere flux tag (i.e., land). While CO<sub>2</sub> from fossil fuel also
- 432 correlates with the sub-monthly variability in the model, fossil fuel contributes little to the
- 433 overall variability in CO<sub>2</sub> because of its smaller magnitude of variation. The sign of correlation
- 434 between net terrestrial exchange and fossil fuel CO<sub>2</sub> also changes with season. In winter,
- 435 terrestrial exchange is a source of CO<sub>2</sub> to the atmosphere, so it is positively correlated with fossil
- 436 fuel CO<sub>2</sub>. In the summer, land becomes a sink for atmospheric CO<sub>2</sub> and the correlation with
- 437 fossil fuel CO<sub>2</sub> turns negative. The fluxes of CO<sub>2</sub> from fossil fuels in the Arctic are small and
- 438 uncorrelated with net terrestrial exchange, so the correlation of these CO<sub>2</sub> tags in the model is
- 439 due to their joint transport into the Arctic from lower latitudes. This means that the sub-monthly



**Figure 6.** Variability of  $CO_2$  mixing ratios within each month of the year. Points show standard deviations of  $CO_2$  mixing ratio after removing the seasonal cycle, averaged monthly across all years (2009–2016). Vertical bars show interannual variability of the standard deviation.

440 CO<sub>2</sub> variability seen in observations and the model in Figure 4 is primarily due to long-range

- transport, rather than local sources and sinks. Transport has been found to dominate day-to-day
- 442 variability of CO<sub>2</sub> mixing ratios at the surface in the midlatitudes (Parazoo et al., 2008) as well as
- 443 within the total column of  $CO_2$  across many latitudes (Jacobs et al, 2021; Keppel-Aleks et al.,
- 444 2011, 2012). Results from Barnes et al. (2016) found that transport from the midlatitudes, rather
- than surface fluxes, dominates the seasonal cycle amplitudes at high latitudes. Our work shows
   that transport from lower latitudes explains most of the high-frequency variability observed at
- that transport from lower latitudes explains most of the high-frequency variability observed atthe O-Buoys, even though our observations are at the surface. Finally, the biomass burning and
- atmospheric chemistry seasonal patterns do not contribute to the sub-monthly variability in CO<sub>2</sub>
   mixing ratios (data not shown).

The ratio of observed:modeled standard deviations (Fig. 6, mean ratio of 0.78 at the O-Buoys) is similar to the ratio of observed:modeled seasonal amplitude value of 0.77 at the O-Buoys (i.e. the observed  $CO_2$  seasonal amplitude is 77 % of the modeled  $CO_2$  seasonal amplitude on average at the O-Buoys, Table 2), as discussed in Section 3.1. Since transport is the primary driver of the seasonal cycle amplitudes and high-frequency variability, this model underestimation is likely due to transport error within MERRA-2 reanalysis (Schuh et al., 2019).

- 456 Schuh et al. (2019) found an excess of  $CO_2$  near the surface at the northern high-latitudes likely 457 due to errors insufficient vertical mixing in the boundary layer and lower troposphere.
- We found no clear pattern between the high-frequency variability of sea ice concentrations and CO<sub>2</sub> mixing ratios. For the O-Buoys, we analyzed the Pearson correlation coefficients between both, each with the respective seasonal cycles removed, but found no compelling or consistent relationships, all of which are reported in Supplemental Table 5. Large correlation coefficients in winter (e.g., January where p < 0.05) are likely an artifact of the smoothing method, since the sea ice concentration is at or near 100 %.
- 464 3.3 CO<sub>2</sub> interannual variability
- 465 Figure 7 shows interannual variation of CO<sub>2</sub> mixing ratios for the annual maxima (April-May) and minima (August-September). The dominant feature in both seasons is the increase in 466 CO<sub>2</sub> mixing ratios year after year, which is a global phenomenon. At coastal sites, CO<sub>2</sub> minimum 467 mixing ratios grew slightly faster in August–September  $(2.4 \pm 0.1 \text{ ppm yr}^{-1})$  than maximum 468 mixing ratios in April–May ( $2.3 \pm 0.0$  ppm yr<sup>-1</sup>; p = 0.13 for difference, two-sample z-test; Table 469 S2). Over sea ice, CO<sub>2</sub> mixing ratios grew slower  $(2.1 \pm 0.02 \text{ ppm yr}^{-1})$  than the coastal sites in 470 both seasons. While the difference in growth rates between coastal sites and ice appears 471 significant (p < 0.001), the diverging trends reported here for 2009–2016 may not be sustained 472 over longer periods. Instead, the differing trends likely reflect both differences in the buoys' 473 sampling locations in each year, as well as real differences in interannual CO<sub>2</sub> variability over 474 475 sea ice versus coastal sites.

The interannual variability around the overall increasing trend is broadly similar at all of the coastal sites (Fig. 7), particularly in August–September at Alert, Utqiagvik, and Ny-Alesund. At all of these sites, CO<sub>2</sub> growth was largest (3–4 ppm yr<sup>-1</sup>) in years 2009–2010, 2012–2013, and

- 479 2015–2016. The smallest CO<sub>2</sub> growth (0–1 ppm yr<sup>-1</sup>) occurred in years 2010–2011 and 2013–
- 480 2014. There is also coherent interannual variation in CO<sub>2</sub> growth among the coastal sites during
- 481 April–May, but the deviations from the overall increasing trend are smaller, so we focus on
- 482 interpreting the summer patterns below. Over the sea ice, the interannual  $CO_2$  variability at the
- 483 O-Buoys is different from the coastal sites. In August–September, CO<sub>2</sub> growth was largest in
- 484 2011-2012 (5 ppm yr<sup>-1</sup>) and smallest in 2015–2016, neither of which corresponds with features
- 485 at coastal sites.



**Figure 7.** Trends and interannual variability of CO<sub>2</sub> at the O-Buoys and land stations during April–May ("CO<sub>2</sub> Maxima") and August–September ("CO<sub>2</sub> Minima"). Results are shown for observations and model. Note that net terrestrial exchange (NTE) axis is inverted and negative NTE removes CO<sub>2</sub> from the atmosphere.

GEOS-Chem predictions are consistent with observations for years of largest and 486 smallest CO<sub>2</sub> growth at the coastal sites, and the CO<sub>2</sub> source tracers identify the causes. As seen 487 488 in Figure 7, CO<sub>2</sub> from net terrestrial flux can explain the sign and magnitude of interannual 489 variability at the coastal sites. We examined all other CO<sub>2</sub> source tags, but found that they contributed relatively little to interannual variability (as discussed previously), so they are not 490 shown. During years with large CO<sub>2</sub> growth (2009–2010, 2012–2013, and 2015–2016), the net 491 492 terrestrial biosphere uptake from the atmosphere was slower than average; during the small CO<sub>2</sub> growth years, the net uptake was larger than average. Past studies suggest the reasons for these 493 interannual variations in net terrestrial flux. In summer 2009, the Arctic tundra was less green 494 than normal (suggesting lower net primary productivity), due to a spike in atmospheric aerosols 495 including volcanic dust, and cool summer temperatures (Walker et al., 2011). Less observed 496 497 greening explains the large CO<sub>2</sub> growth from 2009–2010. In 2010 and 2011, the Arctic was 498 greener than 2009, explaining the slow CO<sub>2</sub> growth from 2010–2011 (Walker et al., 2011; 499 Epstein et al., 2013). Thus, the observed interannual variations in CO<sub>2</sub> minima at Arctic coastal 500 sites are consistent with variations in the terrestrial biosphere that are already constrained by 501 CarbonTracker inversion fluxes, as implemented in GEOS-Chem.

502 Over sea ice at the O-Buoys, GEOS-Chem predicts that interannual CO<sub>2</sub> variability in summer should be similar to the coastal sites, with the same years of highest and lowest growth, 503 for the same reasons tied to net terrestrial flux. The observations, however, show different 504 interannual variability from the coastal sites, as noted above. It appears, therefore, that there is an 505 506 additional driver of interannual CO<sub>2</sub> variability over the Arctic Ocean, which is not represented in GEOS-Chem and has minimal influence on Arctic coastal sites. Air-sea or air-ice fluxes over 507 the Arctic Ocean may be the missing process, since, like many atmospheric CO<sub>2</sub> models, GEOS-508 Chem currently neglects these fluxes over sea ice. 509

We speculate what might drive the missing surface flux over the Arctic Ocean based on 510 known interannual variability in Arctic Ocean sea ice and seasonal weather. From 2011–2012, 511  $CO_2$  growth was large over sea ice (5 ppm yr<sup>-1</sup>), unlike coastal sites. O-Buoys in 2011 observed 512 in the Beaufort Gyre (OB5) and Central Arctic (OB4), and in 2012 observed in the Fram Strait 513 514 (OB4 and OB6) and Beaufort Gyre (OB8). Between these two summers, the Arctic Ocean saw a 515 net loss of sea ice; the minimum area in 2011 was 4.33 million km<sup>2</sup>, and in 2012 was 3.41 million km<sup>2</sup> (NSIDC). It has been noted that this ocean is acting as a net sink of CO<sub>2</sub> (Bates et 516 al., 2011; MacGilchrist et al., 2014). However, as sea ice continues to decline (Perovich et al., 517 2020), it has also been pointed out that this air-sea exchange lacks uniformity (Bates et al., 2006; 518 Nomura et al., 2018), and the Arctic Ocean may also act as a source of CO<sub>2</sub> to the atmosphere at 519 times (Bates et al., 2011; Cai et al., 2010; MacDonald et al., 2010; Popova et al., 2014). Release 520 of CO<sub>2</sub> from Arctic waters in years with low sea ice could contribute to the greater interannual 521 522 increase of CO<sub>2</sub> over sea ice compared to land (Loose et al., 2011).

Between 2015–2016, the O-Buoys observed within the Beaufort Gyre (OB11, OB13, 523 524 OB14) and East Siberian Sea (OB8, OB15), and showed little to no growth of CO<sub>2</sub> during the minima. The opposite occurred over land and within GEOS-Chem. Sea ice area declined at the 525 O-Buoys (Fig. S3) and overall between these two years, with minimum area values at 4.63 526 million km<sup>2</sup> in 2015, and 4.14 million km<sup>2</sup> in 2016 (NSIDC). The lower value of local sea ice 527 528 coverage may contribute to larger CO<sub>2</sub> uptake in ice-free waters near the O-Buoys, due to the regional variability of CO<sub>2</sub> sources and sinks. At the coastal stations, increased Arctic greening 529 was observed in 2015 and 2016 (compared to 2014), though the North Slope of Alaska has been 530 greening continuously throughout the long-term record (Richter-Menge et al., 2016). Despite this 531 532 observed greening (and thus an increased plant uptake of CO<sub>2</sub>), the observed interannual growth is large on land from 2014–2016. Possible explanations include CO<sub>2</sub> release from near-shore 533 waters of the Arctic Ocean, where the marine microbial ecosystem is largely heterotrophic 534 during this time of year (Carmack & Wassmann, 2006). Furthermore, newly ice-free waters 535 exposed to enhanced wind-caused turbulence can experience enhanced upwelling (Mundy et al., 536 2009; Tremblay et al., 2011), that may episodically bring CO2-rich waters into contact with the 537 atmosphere, thus acting as a CO<sub>2</sub> source (Else et al., 2012a; Else et al., 2012b; Lansard et al., 538 2012; Mathis et al., 2012; Mucci et al., 2010). 539

540 3.4 Case studies of CO<sub>2</sub> spatial gradients

541 Looking at the overall time series of  $CO_2$  mixing ratios (Fig. 2a and 2b), we chose to 542 further examine two periods with notable differences between the coastal locations and O-Buoys: 543 the annual cycle from 2011–2012, and winter to spring from 2014–2015. These periods had co-544 observing O-Buoys, which improved comparison for a case study. The following subsections 545 examine these time periods in detail and explore possible causes of the  $CO_2$  spatial gradients.

546 3.4.1 Annual cycle 2011–2012

547 Our first case study is 2011-2012 when three O-Buoys were sampling simultaneously. O-548 Buoys 4 and 6 deployed in the Central Arctic, then flowed through Fram Strait, while O-Buoy 5 549 deployed in the Beaufort Gyre (Fig. 1). O-Buoy 4 measured for almost an entire year, from fall 550 2011 through summer 2012. O-Buoy 5 sampled from summer 2011 through winter 2012, and O-551 Buoy 6 observed from late spring through summer 2012. During this time, the buoys ranged 552 420–1500 km from Alert, NU the closest coastal CO<sub>2</sub> measuring station on average.

The mean O-Buoy CO<sub>2</sub> mixing ratios were lower than nearby Alert, NU by  $1.6 \pm 1.5$  ppm 553 in winter (January–March) and higher by  $0.9 \pm 0.7$  ppm in spring (April–May) (Fig. 2b). The 554 555 model, however, predicts O-Buoy mixing ratios higher than Alert, NU in January-March (Fig. 556 2c). For March–May, the O-Buoys saw an increase of about 7 ppm, while Alert, NU only measured an increase of about 1-2 ppm. The observed patterns from March-May also go 557 uncaptured by the model, which simulated an increase of about 3 ppm at the O-Buoys, and about 558 559 2 ppm at Alert, NU. The failure of the model to properly capture the large increase in CO<sub>2</sub> mixing ratio over sea ice reflects the difficulty to capture the possible processes occurring over 560 land (transport) and sea ice (ocean flux processes). 561

562 Throughout the winter and into spring, a larger and more variable simulated TSCS was 563 measured at Alert, NU than at the O-Buoys, suggesting mid-latitude free tropospheric 564 entrainment (or relatively less vertical mixing) to the Arctic Ocean. This pattern reverses in the 565 early summer, when the TSCS is greater over the O-Buoys than at Alert, NU. Both locations 566 experience greater contact with sea ice than with land or open ocean for the majority of the 567 shown time series (Fig. S4).

568 3.4.2 Winter and spring of 2014–2015

569 From the end of 2014 through spring 2015, O-Buoys 11 and 12 drifted in the Beaufort 570 Gyre, less than 1000 kilometers from Utqiagvik, AK (Fig. 1). The O-Buoys routinely measured 571 smaller CO<sub>2</sub> mixing ratios than at nearby Utqiagvik, AK from December 2014 through May

- 571 smaller CO<sub>2</sub> mixing ratios than at nearby Otq1agvik, AK from December 2014 through May 572 2015, averaging  $1.2 \pm 1.8$  ppm lower (Fig. 2b). The model predicted CO<sub>2</sub> mixing ratios to be
- 572 greater at the O-Buoys than at Utgiagvik, AK by about 0.5 ppm on average (Fig. 2c).

574 Though similar surface contact tracers were modeled at both locations, greater sea ice influence was modeled at the O-Buoys than at the coast, with greater land contact modeled at 575 Utgiagvik, AK than at the O-Buoys (Fig. S6). The TSCS remains relatively constant over the 576 Arctic Ocean throughout this period (Fig. 8). Over land, the TSCS decreased from winter to 577 578 spring inland, but not at the coast. An isolating Arctic dome may be responsible for the winter stagnation over the sea ice (Stohl, 2006), and thus resulted in smaller CO<sub>2</sub> mixing ratios observed 579 580 at the O-Buoys. In addition, the location of the atmospheric polar front separates colder Arctic and warmer polar continental air masses, which tends to correspond to the sea ice edge 581 582 (Zakharov, 1997) and may have separated the air sampled by the O-Buoys from air over Utgiagvik, AK. Conversely, the winter-elevated CO<sub>2</sub> mixing ratios over coastal Alaska have 583 been ascribed both to the transfer from the ocean via ocean-sea ice-atmosphere fluxes and/or to 584 the atmospheric transport from mid-latitudes sources, similar to those for Arctic haze (Halter et 585



**Figure 8.** Simulated CO<sub>2</sub> mixing ratios and surface contact tracers during the winter to spring season 2014–2015. Tracer results are shown in columns 1 through 3, a polar view of each contact tracer with a 5-day lifetime (bottom color-bar indicates respective magnitude of contact with each surface type). The last column shows simulated CO<sub>2</sub> mixing ratios for the corresponding months (units in ppm).

al., 1985; Peterson et al., 1980), which may have contributed to the larger CO<sub>2</sub> mixing ratios
 observed at Utgiagvik, AK during this time period.

#### 588 4 Conclusions

We obtained and analyzed measurements of atmospheric CO<sub>2</sub> mixing ratio from thirteen 589 overlapping autonomous ice-tethered buoys in the ice-covered Arctic Ocean, part of the O-Buoy 590 Network of Chemical Sensors (Knepp et al., 2010), as well as from coastal Arctic stations (Alert, 591 592 NU; Utqiagvik, AK; Ny-Alesund, Svalbard; Tiksi, Russia) during the period 2009–2016. In our analysis, we evaluated seasonal, interannual, and sub-monthly variability evident within the 593 observed values over sea ice and discussed the processes responsible for these observed patterns. 594 The on-ice CO<sub>2</sub> mixing ratio observations were compared to nearby coastal station observations, 595 to identify and understand differences between CO<sub>2</sub> over sea ice and over coasts. The surface 596 CO<sub>2</sub> measurements were then compared to an updated version of the GEOS-Chem CO<sub>2</sub> model, 597 to provide additional insight into how these CO<sub>2</sub> mixing ratios can be interpreted. Additionally, 598 transport diagnostics were used to understand surface contact at our points and locations of 599 600 interest. Observed CO<sub>2</sub> mixing ratios were also compared with remotely sensed sea ice 601 concentrations.

Atmospheric CO<sub>2</sub> mixing ratios over Arctic sea ice showed multi-year trends in annual 602 growth rate that are similar to those observed at nearby coastal sites. At these high latitude 603 604 surface locations, our model tags showed that the terrestrial biosphere exchange dominates the annual seasonal CO<sub>2</sub> cycle, which follows expected behavior. However, the O-Buoy 605 observations displayed smaller seasonal amplitudes than their nearby coastal counterparts on 606 average, defving the conventional expectation that seasonal cycles only increase poleward. 607 Similarly, CO<sub>2</sub> mixing ratios over sea ice were observed to be equal or smaller than at the coastal 608 609 stations during most winters, contradicting the idea that CO<sub>2</sub> mixing ratios increase poleward during the winter months. While this conclusion considers latitude as the dependent variable, we 610 611 can broaden our expectations of seasonal cycle amplitudes if we consider the magnitude and exchange of nearby terrestrial sources and sinks, which the Arctic Ocean lacks. 612

613 GEOS-Chem tended to overestimate the seasonal  $CO_2$  cycle, particularly in the summer 614 months, both at the coastal stations and over sea ice. From our analysis of  $CO_2$  tags and high-615 frequency  $CO_2$  variability, we found that vertical transport error may cause the magnitude of the 616 seasonal cycle and sub-monthly  $CO_2$  variability to be overestimated.

At coastal locations, interannual CO<sub>2</sub> growth was observed to be greater during the CO<sub>2</sub> minima (August– September) than during the maxima (April–May). Similar growth patterns were observed at Alert, NU, Utqiagvik, AK, and Ny-Alesund, Svalbard. The years with slower CO<sub>2</sub> growth were likely due to enhanced tundra greening, providing a pathway for net CO<sub>2</sub> drawdown into the terrestrial biosphere. On the contrary, when these three coastal stations

622 observed quicker CO<sub>2</sub> growth, it was likely due to conditions promoting less greening in the

623 Arctic terrestrial vegetation.

624 Interannual CO<sub>2</sub> growth was typically captured by GEOS-Chem, especially over the coastal stations. The model was unable to capture the distinct interannual variability observed 625 over sea ice, particularly during the CO<sub>2</sub> minimum season. While the model provides insight that 626 627 transport is the main driver of variability over sea ice, it is also plausible that a marine 628 component of CO<sub>2</sub> gas exchange is impacting the interannual variability, which is not currently present within GEOS-Chem for sea ice. In our results, we showed examples where ocean uptake 629 or release may have played a role in the interannual variability between CO<sub>2</sub> mixing ratios 630 observed over sea ice and over the nearby coasts. Release of CO<sub>2</sub> from Arctic waters in years 631 with low sea ice could contribute to the greater interannual increase of CO<sub>2</sub> over sea ice 632 633 compared to land. While this provides evidence for interannual variability attributed to ocean 634 exchange, no clear or compelling connection was found between high-frequency variability of CO<sub>2</sub> and sea ice concentrations. 635

The differences that exist between observed CO<sub>2</sub> mixing ratios at coastal stations and 636 637 over sea ice provide insight into the physical mechanisms that influence its variability. Transport acts as the primary driver of gradients over sea ice and at the coastal stations on seasonal and 638 synoptic timescales. This was well predicted by the model, which confirmed that seasonal 639 variability at these latitudes is dominated by terrestrial biosphere exchange. While the model 640 641 performed well overall, the interannual variability of CO<sub>2</sub> mixing ratios over sea ice was not adequately reproduced. This poor performance suggests that ocean processes, which are 642 currently neglected in atmospheric models like GEOS-Chem, may play a role in moderating the 643 interannual variability of CO<sub>2</sub> over sea ice. As a whole, this work demonstrates the value of 644 continuous atmospheric CO<sub>2</sub> observations over sea ice as the Arctic warms and high-latitude 645 646 carbon reservoirs changes.

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## 677 **Open Research**

- All O-Buoy data are available at the National Science Foundation Arctic Data Center
- 679 (https://arcticdata.io/). All land station data are available at National Oceanographic and
- 680 Atmosphere Administration Earth System Research Laboratory Global Greenhouse Gas
- 681 Reference Network (https://www.esrl.noaa.gov/gmd/ccgg/). All sea ice concentration data are
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- 684 website at http://carbontracker.noaa.gov. GEOS-Chem source code can be obtained at
- 685 www.geos-chem.org and model output used in this manuscript are available from Zenodo (DOI
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# **@AGU**PUBLICATIONS

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Supporting Information for

## Variability of Atmospheric CO<sub>2</sub> Over the Arctic Ocean: Insights From the O-Buoy Chemical Observing Network

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## Additional Supporting Information (Files uploaded separately)

Captions for Tables S1 and S5

## Introduction

This supporting information includes figures and tables that are not included in the original manuscript. Any methods are explained in corresponding captions.



**Figure S1.** Detrended CO<sub>2</sub> mixing ratios for the O-Buoys and land stations. All data are daily averages. The trend at Utqiagvik, AK was removed from all time series.



**Figure S2.** (a) Greatest seasonal cycle amplitudes calculated as the maximum and minimum daily CO<sub>2</sub> mixing ratios in the model surface layer, averaged over years 2011, 2012, 2015, and 2016. (b) The fraction of the seasonal cycle amplitude in (a) explained by the seasonal cycle amplitude of the net terrestrial biosphere flux tag, or net terrestrial exchange (NTE), calculated as NTE / CO<sub>2</sub>. Where the fraction exceeds 1, other CO<sub>2</sub> surface fluxes partially offset the amplitude due to NTE.



**Figure S3.** Interannual variability of CO<sub>2</sub> (black) and sea ice fraction (teal) at the O-Buoys, averaged during the CO<sub>2</sub> maxima (April–May; dots) and CO<sub>2</sub> minima (August–September; diamonds).



**Figure S4.** GEOS-Chem surface contact tracer abundance (unitless) at the locations of O-Buoys 4, 6 (Central Arctic through Fram Strait) and 5 (Beaufort Gyre) (left) and Alert, NU (right) for August 2011-July 2012. The tracers indicate integrated upwind airmass contact with respective surface (sea ice, land, open water, or sum of all surface contact) on the timescale of the 5-days tracer lifetime. Shading indicates standard error of the mean.



**Figure S5.** Relationships between CO<sub>2</sub> mixing ratio, sea ice concentration, and latitude for O-Buoys 11 and 12 in the Beaufort Gyre, which were deployed 2014–2015. Colors show the month of observation. All data are daily means.



**Figure S6.** GEOS-Chem surface contact tracer abundance (unitless) at the locations of O-Buoys 11 and 12 in the Beaufort Gyre (left) and Utqiagvik, AK (right) for April 2014–April 2015. The tracers indicate integrated upwind airmass contact with respective surface (sea ice, land, open water, or sum of all surface contact) on the timescale of the 5-days tracer lifetime. Shading indicates standard error of the mean.

Table S1. O-Buoy deployment locations, dates, and number of CO<sub>2</sub> measurements.

	$R^2$		RMSE	
MERRA-2 Resolution	$2^{\circ} \times 2.5^{\circ}$	$0.5^{\circ} \times 0.625^{\circ}$	$2^{\circ} \times 2.5^{\circ}$	$0.5^{\circ} \times 0.625^{\circ}$
Sea-level pressure	0.983	0.993	1.27 hPa	0.82 hPa
Air temperature	0.977	0.980	2.47 K	2.43 K
Relative humidity	0.890	0.885	3.60 %	3.77 %
Wind speed (3m)	0.558	0.591	2.12 m s <sup>-1</sup>	2.17 m s <sup>-1</sup>

Table S2. O-Buoy deployment locations, dates, and number of CO<sub>2</sub> measurements.

Period		April–May	August-September
Ice-covered Arctic Ocean	Observed	$2.1\pm0.01$	$2.1\pm0.02$
	Modeled	$1.9\pm0.01$	$2.4\pm0.03$
Coastal land stations	Observed	$2.3\pm0.03$	$2.4\pm0.06$
	Modeled	$2.0\pm0.05$	$2.0\pm0.07$

**Table S3.** Multi-year mean growth rate of CO<sub>2</sub> (units of ppm yr<sup>-1</sup>) of over 13 ice-tethered O-Buoys in the Arctic Ocean and around 4 land-based, marine boundary layer monitoring stations (Utqiagvik, Alert, Ny-Alesund, Tiksi). Ranges show standard error. Data cover 2009–2016, except that Tiksi, Russia began sampling CO<sub>2</sub> in 2011 and the O-Buoy August–September data cover the 2012–2016 period only, as buoys in previous years succumbed to sea ice loss.

Season/Month	Season/Month $Error \pm SD [ppm]$		Season/Month	$Error \pm SD [ppm]$		
	O-Buoys	<b>Coastal Stations</b>		O-Buoys	<b>Coastal Stations</b>	
DJF	$-1.2\pm2.0$	$-1.8\pm2.0$	JJA	$-4.4 \pm 2.8$	$-4.0\pm2.7$	
December	$-1.0\pm2.2$	$-1.5 \pm 2.1$	June	$-2.8 \pm 1.8$	$-2.6\pm1.6$	
January	$-1.3\pm1.9$	$-1.9\pm2.2$	July	$-5.5\pm2.9$	$-4.0\pm2.8$	
February	$-1.5 \pm 1.7$	$-1.9\pm1.6$	August	$-5.4\pm2.7$	$-5.4\pm2.8$	
MAM	$-1.5 \pm 1.4$	$-1.7 \pm 1.7$	SON	$-2.5 \pm 2.4$	$-3.4\pm2.3$	
March	$-1.1 \pm 1.7$	$-1.7 \pm 1.7$	September	$-3.8\pm2.4$	$-4.5\pm2.3$	
April	$-1.4 \pm 1.4$	$-1.4 \pm 1.6$	October	$-2.6 \pm 2.0$	$-3.2 \pm 2.1$	
May	$-1.7 \pm 1.1$	$-2.0\pm1.6$	November	$-1.4 \pm 2.2$	$-2.4\pm2.1$	

**Table S4.** Mean seasonal and monthly model error (model minus observation) and standard deviation of error (SD) at the times and locations of the O-Buoys, and averaged at the coastal stations (Alert, Utgiagvik, Ny-Alesund, and Tiksi).

**Table S5.** Correlation coefficient (*R*) between daily observed CO<sub>2</sub> mixing ratios and observed sea ice concentrations with the seasonal cycle removed (i.e. following the NOAA ESRL method, we removed the smoothed curve from each series, which is the function fit plus the filtered residuals using a short term cutoff value of 80 days), averaged monthly at each O-Buoy. Marker of \*\*\* indicates p-value < 0.05, \*\* indicates  $0.05 \le p$ -value < 0.25, \* indicates  $0.25 \le p$ -value < 0.50, no marker indicates p-value  $\ge 0.50$ .