Comparison of lower stratosphere wind observations from the USTC's Rayleigh Doppler lidar and the ESA's satellite mission Aeolus

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November 24, 2022

Abstract

Since the first space-borne Doppler wind lidar, Aeolus, was launched, global wind field observations from space have been possible. Several ground- and air-based validations followed, although most of these comparisons remained below 10 km in the troposphere, with rare validation work for the stratosphere. The Rayleigh Doppler lidar developed by the University of Science and Technology of China (USTC) was deployed in Xinjiang, China in 2017. It can observe wind speed and temperature in the stratosphere and mesosphere. By setting two geographical ranges centered on the USTC lidar, the Aeolus Rayleigh winds within these ranges can be compared with ground-based lidar wind data. Furthermore, after eliminating the effect of particulate backscatter on the USTC lidar, the lower limit of the detection range was extended to 10 km to obtain more samples. The mean biases between the Aeolus winds and the USTC lidar winds were 1.05 ± 5.98 (-0.35 ± 4.78) m/s, 1.80 ± 6.30 (-1.88 ± 4.97) m/s, and 0.17 ± 5.45 (0.51 ± 4.44) m/s for all data, ascending orbits, and descending orbits, respectively, in a large (small) geographical range. The results for descending orbits have a higher degree of consistency with those for ascending orbits, and the farther the distance between Aeolus observation swaths and the USTC lidar, the greater the bias. Overall, the Aeolus winds are consistent with the USTC lidar winds in the stratosphere.

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Key	Points:
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17	Comparison between the USTC Rayleigh Doppler lidar winds and the Aeolus Level
18	2B Rayleigh winds in the stratosphere from June to December 2019.

• Overall, the Aeolus winds are consistent with the USTC lidar winds with accept-19 able biases. 20

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21 Abstract

Since the first space-borne Doppler wind lidar, Aeolus, was launched, global wind field 22 observations from space have been possible. Several ground- and air-based validations 23 followed, although most of these comparisons remained below 10 km in the troposphere, 24 with rare validation work for the stratosphere. The Rayleigh Doppler lidar developed 25 by the University of Science and Technology of China (USTC) was deployed in Xinjiang, 26 China in 2017. It can observe wind speed and temperature in the stratosphere and meso-27 sphere. By setting two geographical ranges centered on the USTC lidar, the Aeolus Rayleigh 28 winds within these ranges can be compared with ground-based lidar wind data. Further-29 more, after eliminating the effect of particulate backscatter on the USTC lidar, the lower 30 limit of the detection range was extended to 10 km to obtain more samples. The mean 31 biases between the Aeolus winds and the USTC lidar winds were 1.05 ± 5.98 (-0.35 ±4.78) 32 m/s, 1.80 ± 6.30 (- 1.88 ± 4.97) m/s, and 0.17 ± 5.45 (0.51 ± 4.44) m/s for all data, ascend-33 ing orbits, and descending orbits, respectively, in a large (small) geographical range. The 34 results for descending orbits have a higher degree of consistency with those for ascend-35 ing orbits, and the farther the distance between Aeolus observation swaths and the USTC 36 37 lidar, the greater the bias. Overall, the Aeolus winds are consistent with the USTC lidar winds in the stratosphere. 38

³⁹ 1 Introduction

Given the variations in wind speed and direction, atmospheric simulation models 40 and weather forecasting face considerable challenges (Weissmann & Cardinali, 2007; Michel-41 son & Bao, 2008). More accurate wind field data are needed not only to advance the un-42 derstanding of atmospheric processes but also to modify weather forecasting models(Stoffelen 43 et al., 2005). Current methods used to measure wind speed and direction are mostly ground-44 based or airborne. Compared to these locally observing methods, global observations of-45 fer substantial advantages (Stoffelen et al., 2019; Huuskonen et al., 2014; Houchi et al., 46 2010; Chanin et al., 1989). 47

For many years, the European Space Agency (ESA) had been working on a space-48 borne wind lidar(ESA, 1999). In 2018, they successfully launched a low-orbit satellite, 49 called Aeolus, for wind measurements. Similar to some ground-based lidar, the payload 50 of this satellite, the Atmospheric Laser Doppler Instrument (ALADIN), was designed 51 to have two different signal channels: a Fizeau interferometer to analyze the particulate 52 backscatter narrowband signal, and another channel with a Fabry–Pérot interferome-53 ter (FPI) to obtain information regarding molecular broadband backscattered light(McKay, 54 2002; Gentry et al., 2000; Tepley et al., 1993). Thus, by calculating the Doppler shift of 55 the light, the wind speed perpendicular to its orbit can be continuously retrieved (ESA, 56 1999; Stoffelen et al., 2005; Reitebuch, 2012; Kanitz et al., 2019). The instrument also 57 has the capacity to acquire the distribution of the optical properties of aerosols and clouds 58 in the direction of the line of sight(Flamant et al., 2008; Ansmann et al., 2007). 59

Regular calibration and validation are needed for instruments utilizing direct de-60 tection. As such, Aeolus wind data must be compared with other independent wind products(Dabas 61 et al., 2008; Lux et al., 2018). To achieve this goal, the Aeolus team compared the wind 62 products with extensive actual ground-based and airborne measurements(Witschas et 63 al., 2020; Baars, Herzog, Heese, et al., 2020; Baars, Geiß, et al., 2020; Baars, Herzog, Engelmann, et al., 2020; Albertema, 2019) and atmospheric models (Rennie & Isaksen, 2020). 65 The German Aerospace Center (Deutsches Zentrum für Luftund Raumfahrt e.V., DLR) 66 performed two pre-launch airborne validation campaigns equipped with two lidar systems (Marksteiner 67 et al., 2018; Lux et al., 2018; Schäfler et al., 2018). After the launch of Aeolus, Witschas 68 et al. also conducted a validation against corresponding observations from an airborne 69 Doppler lidar (2 μ m DWL)(Witschas et al., 2020). The 2 μ m DWL was used as a ref-70 erence device because of its high sensitivity to particulate returns, also one of the coher-71

ent detection characteristics. Rennie et al. performed the first validation of the Level-72 2B product by comparing it with a numerical weather prediction model. This model, de-73 veloped by European Centre for Medium-Range Weather Forecasts (ECMWF), acts as 74 a reference to generate a calibration method and assimilate the Aeolus data(Rennie & 75 Isaksen, 2020). In addition, they found that Aeolus observations positively impact global 76 numerical weather predictions and provide statistically significant improvements in short-77 range forecasts(Rennie & Isaksen, 2021). Most airborne validation campaigns were con-78 ducted over Europe, where the air is relatively clean. Over regions with severe air pol-79 lution (like some industrial cities in eastern China), however, the high levels of aerosol 80 could decrease the accuracy of wind products. Therefore, Guo et al. investigated the qual-81 ity of the wind observations of Aeolus in these regions. They compared Level-2B (L2B) 82 winds with the winds observed by the radar wind profiler network (Guo et al., 2021), and 83 data from 89 sites across China gave comparative results. The verifications described above 84 were performed for portions of wind profiles at altitudes below 10 km. Baars et al. per-85 formed a unique validation by utilizing the RV Polarstern cruise PS116 in November/December 86 2018. There were six direct intersections between the concerted course and the Aeolus 87 ground track in the Atlantic Ocean. Therefore, the six cases obtained can be compared 88 with wind speeds at altitudes above 10 km in Aeolus, which are rare in the lower stratosphere(Baars, 89 Herzog, Heese, et al., 2020). 90



Figure 1. Location of the USTC lidar (red dot) and the ALADIN observation swath (cyan swath). The red circle is a small range for the first geographical matching principle. The red rectangle is a more extensive range for the second matching principle.

In 2018, a mobile Rayleigh Doppler lidar utilizing the double-edge technique was implemented and demonstrated by the USTC(Dou et al., 2014), capable of measuring wind and temperature in the range of 15–70 km. Zhang et al. deployed this lidar system in Xinjiang, China (41.1 °N, 87.1 °E) in 2018(Zhang et al., 2019) and validated the wind measurements by radiosonde results. The location of the lidar is shown in Fig. 1. In addition, observations with bias corrections were obtained over the period from June to December 2019.

In this paper, we assess the quality of Aeolus Rayleigh winds in the lower stratosphere by comparing them with USTC Rayleigh Doppler lidar observations from 2019. According to the distance between the ground track of Aeolus and the USTC lidar, we analyze HOLS wind profile differences in two geographic ranges. A brief introduction to the Aeolus and ground-based lidar data applied in the study is presented in Section 2. In Section 3, detailed matching principles and comparison methods are described. Section 4 presents and discusses comparative results between Aeolus and USTC wind observations . Finally, in Section 5, we briefly conclude and outline future work.

¹⁰⁶ 2 Instruments and databases

2.1 Aeolus wind observations

The Aeolus satellite developed by the ESA was successfully launched in August 2018. 108 It is a pioneering project in global wind monitoring and its payload is the direct detec-109 tion DWL system, ALADIN. The satellite orbits in a sun-synchronous orbit at an alti-110 tude of 320 km, with an orbital inclination of 97° and a period of seven days. The in-111 strument has a length of 2.9 km per horizontal measurement and takes 0.4 seconds. For 112 the Level-2B wind products used in this study, 30 measurements are gathered together 113 into one group whose length is defined as one observation length. In the vertical direc-114 tion, the instrument provides wind profiles at the range of 0-30 km, with a resolution 115 of 0.25 to 2 km(Stoffelen et al., 2019). The vertical resolution depends on the altitude, 116 and the value in the stratosphere is mostly around 1 to 2 km. To classify two types of 117 measurement bins in different air conditions, the backscatter ratio (the ratio of the sum 118 of the scattering cross-sections of molecule and aerosol to the scattering cross-section of 119 molecule) for each bin in the group is calculated from the raw signal data. "Cloudy" bins 120 usually have a backscatter ratio larger than 1.2 to 1.4, according to Level-2B processor 121 settings; nevertheless, "clear" bins have a smaller backscatter ratio than the threshold. 122 The processor then accumulates the same type of signals horizontally in the observation 123 group and uses different methods to retrieve various types of data separately. This method 124 avoids systematic errors caused by contamination from particulate backscatter signals(Lux 125 et al., 2020). 126

Since 12 May 2020, the Aeolus Level-2B wind product has been released to the pub-127 lic after some correction procedures. The product contains the horizontal line of sight 128 (HLOS) wind velocities for the Mie and Rayleigh channels, validity flags, estimated er-129 rors, geolocations, and altitudes of the observations. It is noteworthy that the estimated 130 error is an indispensable parameter, the theoretical value of which is determined by the 131 signal-to-noise ratio (SNR) and the Rayleigh channel response sensitivity to atmospheric 132 temperature and pressure(Dabas et al., 2008). The quality of one Aeolus wind could be 133 described by the estimated error and validity flag (0 for invalid data, 1 for valid data). 134 Considering that the valid Mie-cloudy wind observations in the stratosphere are too rare 135 to be statistically representative, we focused on the winds of Rayleigh channel. 136

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2.2 USTC lidar wind observations

As mentioned in the introduction, the USTC lidar has the capability to measure 138 wind and temperature simultaneously. Some key parameters of the system are indicated 139 in Table 1, and Fig. 2 shows the optical structure diagram of the USTC lidar. This li-140 dar operates with an eye-safe 354.7 nm wavelength laser and adopts a 1 m diameter Cassegrain 141 telescope with a field of view (FOV) of 0.09 mrad. The collected signal is guided to the 142 Fabry–Pérot interferometer (FPI) by a 200 μ m diameter multimode fiber (Fiber 1 in Fig. 143 2). Similar to ALADIN, the FPI is equipped to acquire the Doppler frequency shift of 144 the broadband molecular (Rayleigh) backscatter signal, which can be translated into wind 145 speed(Dabas et al., 2008; Dou et al., 2014). It is noteworthy that a weak beam of light 146 from the laser also enters the FPI through an integrating sphere (IS) and Fiber 3 as a 147 zero Doppler shift reference light. Thus, the drift of the retrieved wind profile can be eliminated (Zhang 148 et al., 2019). Two subsystems with telescopes inclined at 30° are responsible for mea-149 suring the two horizontal components of wind that are perpendicular to each other. In 150 Xinjiang, the telescope of one subsystem faces east, measuring the zonal wind, and an-151



Figure 2. Schematic setup of the USTC mobile Rayleigh Doppler lidar.

152	other faces south, measuring the meridional wind. In addition, one subsystem with a vertical-
153	pointing telescope measures the temperature.

Parameter	Value	
Laser wavelength (nm)	354.7	
Laser energy/pulse (J)	0.2	
Laser repetition rate (Hz)	100	
Telescope diameter (m)	1	
FOV of telescope (mrad)	0.09	
Zenith angle (deg)	30	
Fabry–Pérot Etalon FSR (GHz)	12.5	
Peak transmission (%)	60	
PMTs Quantum Efficiency $(\%)$	21	

Table 1. Key parameters of the USTC lidar system.

During the six-month observation period from June 2019, on clear nights, the in-154 strument was in operation from 8:00 p.m. to 6:00 a.m. (local time is six hours ahead of 155 the universal time) in summer, and 6:00 p.m. to 6:00 a.m. in winter when the nighttime 156 is longer. To eliminate the adverse effects of contamination from particulate backscat-157 ter signals, the measurement range was set to 15–70 km, where the air is relatively clean. 158 However, we reset the lower altitude limit to 10 km to increase the number of wind sam-159 ples for comparison. Systematic errors due to particulate backscatter signals in the range 160 of 10–15 km are discussed below. More detailed descriptions of the calibration of the USTC 161 lidar system can be found in Zhang's study (Zhang et al., 2019). The vertical height and 162 temporal resolution of the wind data used in this study are 200 m and 30 min. We se-163 lect HLOS wind data with an SNR greater than 42.5 (corresponding to an error of 4 m/s), 164 which results in smaller error than the estimated error of Aeolus winds for most of the 165 horizontal synthetic winds. 166

¹⁶⁷ 3 Method

Since the temporal and spatial resolutions of Aeolus and USTC lidar data are different i.e., the Aeolus wind profile has a vertical resolution of 250–2000 m, mostly 1–2 km in the stratosphere, whereas the USTC lidar wind profile has a vertical resolution of 200 m-a reasonable matching process is needed to make the comparison. Fig. 3 shows a flowchart of pre-processing for the USTC and the Aeolus winds.



Figure 3. Flowchart of the pre-processing procedures for comparing USTC lidar winds with Aeolus winds.

The wind is relatively stable in the stratosphere at a mid-latitude region in China(Dou 173 et al., 2014; Shu et al., 2012). Therefore, the USTC lidar wind measurement time must 174 be within six hours before and after and closest to the Aeolus observation time. Mean-175 while, two different geographical matching principles are presented in Fig. 1. Consid-176 ering the USTC lidar was located in the middle of two Aeolus observation swaths, the 177 distance between the USTC lidar and Aeolus observation swath should be less than 85 178 km. The red circle in Fig. 1 shows the range of the first matching principle. It covers 179 observation swaths (indicated by cyan swaths) for one ascending orbit and one descend-180 ing orbit. The Aeolus observations in the red circle are close enough to the USTC lidar. 181 However, samples for comparison are too rare to sufficiently validate the quality of Ae-182 olus wind data. We applied another geographical matching principle based on a more 183 extensive range that covers observation swaths for four ascending orbits and five descend-184 ing orbits. The red rectangular in Fig. 1 represents this new range, where the lidar po-185 sition coordinates are ± 7 degrees of longitude and ± 1 degree of latitude. The samples 186 in this range are selected as a supplement for comparison. If more than one Aeolus wind 187 profile satisfies the matching principle for the USTC lidar wind profile, only one profile 188 with the shortest horizontal distance will be selected. 189

In the next step, we filtered the data, as mentioned above, to obtain valid USTC 190 wind data. The highest and lowest points of the USTC lidar wind profiles were then matched 191 with the Aeolus data to select the wind profiles with overlapping ranges. The USTC li-192 dar data always completely covered the content of the Aeolus wind profile in the field 193 of comparison, i.e., 10–30 km, and the vertical resolution of the lidar data was higher. 194 Therefore, we used linear interpolation to interpolate the USTC lidar wind data by the 195 height corresponding to the Aeolus wind profile. Then, the USTC lidar wind vector av-196 eraged into every Aeolus vertical bin was projected onto the HLOS direction of Aeolus 197 wind as follows(Witschas et al., 2020): 198

$$v_{lidar_{HLOS}} = \cos(\Psi_{Aeolus} - wd_{lidar}) \cdot ws_{lidar},\tag{1}$$

where Ψ_{Aeolus} is the wind azimuth angle acquired from the Aeolus L2B product, and ws_{lidar} and wd_{lidar} represent the velocity and direction of USTC lidar wind, respectively.



Figure 4. Example lidar wind profile into HLOS and interpolated into Aeolus grids. (a) The projected USTC lidar wind is indicated by the green line with error bars. The Aeolus L2B Rayleigh HLOS wind and its estimated error are indicated by the red line with error bars. The blue line represents the ERA5 data (also projected into HLOS). (b) The USTC lidar HLOS wind is interpolated into the Aeolus height grids. The lines represent the same as those in (a).

An example is given in Fig. 4. The wind velocity profile was obtained by USTC 202 lidar at 10:30 (UTC), and the Aeolus wind profile was measured at 6:20 (UTC) on Septem-203 ber 19, 2019. The central location of the Aeolus measurements is at 40.7 °N, 88.0 °E, 204 which is very close to the USTC lidar. The latest climate reanalysis produced by ECMWF, 205 the ERA5 horizontal wind profile(B, 2018), is also shown in Fig. 4. Considering the tem-206 poral and spatial resolution of the ERA5 data, we chose the closest wind profile: 40.75 207 $^{\circ}$ N, 88.00 $^{\circ}$ E at 6:00 (UTC). Overall, the two wind profiles observed by USTC lidar and 208 Aeolus, as well as the ERA5 wind profile, have the same trend with height. The ERA5 209 wind and the Aeolus wind are smaller than the USTC lidar wind below 12.5 km, whereas 210 the USTC lidar profile is in better agreement with the Aeolus measurements above 17.5 211 km. 212

To assess the performance of the Aeolus Rayleigh HLOS winds $(v_{Aeolus_{HLOS}})$ in the stratosphere, the biases of the corresponding HLOS USTC lidar winds and the Aeolus HLOS winds $(v_{lidar_{HLOS}})$ are given by

$$v_{diff} = v_{Aeolus_{HLOS}} - v_{lidar_{HLOS}} \tag{2}$$

In good weather conditions, the UTSC lidar measurement range can be improved up to 216 a much higher altitude than the range we discuss in this study. In Fig. 4, it can be eas-217 ily noticed that the USTC lidar wind error is much smaller than the Aeolus wind error 218 at 10–30 km. Therefore, the error of Aeolus wind is the main factor affecting the reli-219 ability of the comparison. Referring to Witchas et al. and Guo et al. (Witschas et al., 2020; 220 Guo et al., 2021), we filtered the data to remove Aeolus Rayleigh wind with a more sig-221 nificant estimated error before the statistics. As shown in Fig. 5, a threshold of 7 ms^{-1} 222 for the Aeolus estimated error kept the wind difference between the USTC lidar winds 223 and Aeolus Rayleigh winds relatively constant and densely distributed around zero, ex-224 cept for in several samples. The wind differences increase significantly for those data with 225 estimated errors larger than 7 ms^{-1} . Thus, the estimated error threshold for Rayleigh 226 winds was set to 7 ms^{-s} . 227



Figure 5. Biases of Aeolus HLOS winds and USTC lidar winds derived from Eq. 2 against the corresponding estimated errors given by the Aeolus L2B product. The red (green) dots represent data in a large (small) geographical range for descending orbits (a) and ascending orbits (b). The blue dashed line indicate the error threshold in this study.

Several statistical parameters give a reliable indication of the performance of Ae-
olus winds. The wind mean bias
$$(Mean_b)$$
 and standard deviation of bias (Std_b) are given
by

$$Mean_b = \frac{1}{n} \sum_{i=1}^n v_{diff} \tag{3}$$

232 and

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$$Std_b = \sqrt{\frac{1}{n-1}\sum_{i=1}^{n} (v_{diff} - Mean_b)^2}$$

$$\tag{4}$$

where n is the number of valid data pairs. Besides the two parameters above, the correlation coefficient (R) between the USTC lidar and Aeolus winds is calculated.

²³⁶ 4 Comparison results

4.1 Results

Through the method described above, from June to September 2019, we obtained 238 647 samples in a large geographical range (LGR) and 173 samples in a small geograph-239 ical range (SGR), covering altitudes from 10–30 km for comparison (shown in Fig. 6). 240 Since the USTC lidar typically has a detection range of more than 15 km, we discuss the 241 data point pairs in the altitude ranges of 10-15 km and more than 15 km separately. For 242 wind data pairs below 15 km, the values of R are 0.58 (descending) and 0.55 (ascend-243 ing) in the LGR, 0.68 (descending), and 0.63 (ascending) in SGR. The values of R in the 244 SGR are slightly larger than those in the LGR, which may result from wind varying sig-245 nificantly along the horizontal distance at the lower stratosphere. Except for the linear 246 fit result for ascending orbits in the LGR (the slope is 0.81), the slopes are far from 1 247 (0.56 for descending orbits in the LGR, 0.58 for descending orbits in the SGR, and 0.41248 for ascending orbits in the SGR). Thus, the biases of the USTC lidar winds and Aeo-249 lus winds are relatively large in both geo-ranges. This result is expected at the lower strato-250 sphere, where the wind speeds vary more dramatically with horizontal distance and time 251 than at higher altitudes. 252



Figure 6. Aeolus against the USTC lidar Rayleigh HLOS winds for (a, b, c, and d) larger geographical range and (e, f, g, and h) smaller geographical range, for (a, b, e, and f) winds in the range of 10–15 km and (c, d, g, and h) winds in range above 15 km, for (a, c, e, and g) descending orbits and (b, d, f, and h) ascending orbits. The corresponding least squared linear fit results are shown by green dashed lines. The fit results, number of data point pairs N, and correlation coefficients R are also shown in the insets, and y = x is indicated by gray dashed lines.

For data pairs above 15 km, there are fewer samples than below 15 km. The val-253 ues of R are 0.87 (0.93) for descending orbits in the LGR (SGR), and 0.83 (0.32) for as-254 cending orbits in the LGR (SGR). The slopes of linear fit are 0.86 (0.97) for descend-255 ing orbits in the LGR (SGR), and 0.84 (0.13) for ascending orbits in the LGR (SGR). 256 With the exception of the data pairs for ascending orbits in the SGR, all the other Ae-257 olus winds are consistent with the USTC lidar winds at this height. The USTC lidar winds, 258 as well as Aeolus winds for ascending orbits in the SGR, vary between small cells around 259 30 m/s, and the number of samples is only 22, which is too small. Therefore, the results 260 of the linear fit and correlation coefficient are not enough to reflect the true bias of the 261 two winds. Fig. 7 indicates the vertical distribution of the mean bias between the Ae-262 olus HLOS winds and the USTC lidar winds in the LGR. In the range where the num-263 ber of samples is more than 3, the maximum mean bias for descending (ascending) or-264 bits is 6.65 (6.94) m/s. The standard deviation of bias values increases with decreasing 265 altitude, with a maximum value of 13.32 (14.44) m/s for descending (ascending) orbits. 266 The standard deviation of the biases remains within a relatively low level above 15 km, 267 with a maximum value of 7.56 (8.88) m/s for descending (ascending) orbits. 268

A similar situation exists for the SGR (shown in Fig. 8). The mean and standard 269 deviation of the biases at lower altitudes are larger than those at higher altitudes, in-270 dicating that the biases have a remarkable dependency on the altitude. The mean bi-271 ases for descending orbits are all negative, for both the LGR and SGR below 12.5 km, 272 because the velocity of the USTC lidar winds is larger than Aeolus winds at this height. 273 As mentioned above, the normal observation range of the USTC lidar is above 15 km. 274 Hence, the contamination of particulate backscatter can be ignored. To further inves-275 tigate the performance of Aeolus products at the bottom of the stratosphere, it is essen-276 tial to assess the influence of particulate backscatter signals received by the USTC li-277 dar below 15 km. 278



Figure 7. Vertical distribution of the mean biases between the Aeolus HLOS winds and the USTC lidar HLOS winds and number of samples for (a and b) descending orbits and (c and d) ascending orbits in a large geo-range. The blue lines represent the mean biases, and the blue shadowed areas represent the standard deviation. The horizontal red bars represent the number of samples at each height. The orange dashed lines represent zero biases.

4.2 Influence of particulate backscattering

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According to the way lidar works, the retrieved wind speed at altitudes below 15 280 km is biased, as there is no energy monitor channel for numerical iterations to remove 281 the aerosol contribution (Dou et al., 2014). An approximate algorithm proposed by Klett 282 and Fernald is thus applied to retrieve the lidar backscatter ratio (Fernald, 1984). An ex-283 ample is provided in Fig. 9(a), showing that the USTC lidar HLOS wind profile is con-284 sistent with the Aeolus HLOS wind profile above 13 km. However, the bias of the two winds increases rapidly below 12.5 km, the same altitude where the backscatter ratio grows 286 beyond 1.2. Strong particulate backscatter signals may lead to deviations in the Rayleigh 287 scattering spectrum, and may even cause the saturation of PMTs, resulting in signifi-288 cant systematic errors. In the next step, the retrieved winds within the height range where 289 the backscatter ratio is greater than 1.2 (the shadowed area in Fig. 9(a)) are removed. 290 A scatter plot of the Aeolus winds against the USTC lidar winds after the process is pre-291 sented in Fig. 9(b). It can be seen that the scatter distribution is in a smaller range around 292 the mean value compared with that in Fig. 6. The mean biases between the two winds 293 is 1.80 ± 6.30 (-1.88±4.97) m/s, 0.17 ± 5.45 (0.51 ± 4.44) m/s, and 1.05 ± 5.98 (- 0.35 ± 4.78) 294 m/s for ascending orbits, descending orbits, and all data in the LGR (SGR), respectively. 295 The details of the linear fit and statistical parameters are tabulated in Table 2. The slopes 296 of linear fits are 0.94 (0.52), 0.78 (0.94), and 0.88 (1.02) for all data, ascending orbits, 297 and descending orbits in the LGR (SGR), respectively. The values of R are 0.96 (0.35), 298 0.84 (0.95), and 0.89 (1.02) for all data, ascending orbits, and descending orbits in the 200 LGR (SGR), respectively. Overall, most results are more expected, except that the slope 300 and R of data pairs for ascending orbits in the SGR are distorted due to the small sam-301 ple size. 302

After eliminating the effect of the particulate backscatter signal, we found that the Aeolus Rayleigh winds agree well with the USTC lidar winds from the stratosphere. Moreover, the results for descending orbits have a higher degree of consistency than those for ascending orbits. Fig. 10 shows the vertical distribution of the mean biases with a backscatter ratio filter. The number of samples below 15 km drops by around half compared to before filtering, and the maximum of mean biases (standard deviations of bias) are re-



Figure 8. Vertical distribution of the mean biases between the Aeolus HLOS winds and the USTC lidar HLOS winds and number of samples for (a and b) descending orbits and (c and d) ascending orbits in a small geo-range. The lines, shadows, and the histograms represent the same as those in Fig. 7.

	Ascending		Descending		All	
	LGR	SGR	LGR	SGR	LGR	SGR
Number	204	43	173	76	377	119
Mean (m/s)	1.80	-1.88	0.17	0.51	1.05	-0.35
Std (m/s)	6.30	4.97	5.45	4.44	5.98	4.78
R	0.84	0.52	0.89	0.94	0.96	0.98
Slope	0.78	0.35	0.88	0.95	0.94	1.02
Intercept	5.77	20.57	-1.89	-1.52	-0.89	0.40

Table 2. Statistical comparison of Aeolus HLOS winds and USTC lidar winds.

duced to 4.17 (8.69) m/s and 3.14 (8.06) m/s for ascending orbits and descending orbits, respectively. The results barely change above 15 km, where the atmosphere is relatively clean.

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4.3 Geographical distance from the observation swaths

Another relevant factor risks skewing the results, namely, the horizontal distance 313 between the Aeolus observation swaths and the USTC lidar. We derived the results un-314 der the assumption that the variation of wind speeds is insignificant in a limited region 315 with the same latitude. However, a brief discussion of the influence of geographic dis-316 tance is needed. Fig. 11 shows the mean bias and number of samples for each orbit at 317 different longitudes. The numbers of samples of Aeolus winds are 15 and 11 for descend-318 ing orbits at 81.6 °E and ascending orbits at 82.8 °E, respectively. As such, the num-319 ber of samples is insufficient for a statistically valid comparison. The mean biases of the 320 remaining three ascending orbits at 85.6 °E, 88.8 °E, and 91.9 °E are -1.93 \pm 4.49 m/s, 321 2.39 ± 5.34 m/s, and 6.23 ± 7.24 m/s, respectively. The results for descending orbits at 88.2 322 $^{\circ}$ E and 91.5 $^{\circ}$ E are 0.39±4.61 m/s and -1.40±5.65 m/s, respectively. Overall, the far-323 ther the distance between the Aeolus observation swath and the USTC lidar, the greater 324 the bias, indicating that the horizontal distance slightly influences the comparison re-325



Figure 9. (a) Interpolated USTC lidar HLOS wind profile (red line) and Aeolus HLOS wind profile (green line with error bars) at 6:06 a.m., Aug. 28, 2019 (UTC), and the vertical distribution of the backscatter ratio (blue line). The shadowed area covers the height range with a backscatter ratio greater than 1.2. (b) Aeolus against the USTC lidar HLOS winds filtered for the backscatter ratio for ascending orbits (red dots) and descending orbits (green dots). The linear fit results are given for ascending orbits (red dotted line), descending orbits (green dotted line), and all data (blue dotted line). The gray dotted line indicates y = x.

sults. The Aeolus winds for ascending orbits at 88.8 °E and 91.9 °E, and for descending orbits at 88.2 °E, would be more suitable for a comparison with the USTC lidar winds.
Likewise, it is evident that the wind products for descending orbits are better than those
for ascending orbits. The Aeolus winds correspond to different orbits, implying different measurement times (Stoffelen et al., 2005), i.e., in the morning for descending orbits
and in the evening for ascending orbits. This demonstrates that the accuracy of Aeolus Rayleigh winds is also influenced by the time of observation.

5 Conclusion

This paper showed the results of the USTC Rayleigh Doppler lidar winds compared 334 to the Aeolus L2B Rayleigh winds in the stratosphere from June to December 2019. The 335 lower limit of the vertical detection range of the USTC lidar was extended to 10 km, thus 336 increasing the number of data pairs available for comparison. Data from two geograph-337 ically different sizes were discussed separately to assess the performance of Aeolus winds 338 in this study. After eliminating the effect of particulate backscatter signals using the Klett-339 Fernald algorithm, the mean biases were calculated at 1.05 ± 5.98 m/s in LGR and -0.35 ± 4.78 340 in SGR, indicating that the Aeolus winds are consistent with the USTC lidar winds. It 341 is expected that the farther the horizontal distance between the USTC lidar and Aeo-342 lus observation swaths, the greater the bias. For the three most suitable orbits for com-343 parison, the mean biases were -1.93 ± 4.49 m/s and 2.39 ± 5.34 m/s for ascending orbits 344 at 85.6 °E and 88.8 °E, respectively, and 0.39 ± 4.61 m/s for descending orbits at 88.2 345 °E. This may be an effect of the different times of observation. The effect of seasonal vari-346 ation on the results was not discussed in this paper, as the sample size varies too much 347 from season to season to be statistically representative. However, further work can be 348 carried out when more ground-based lidar winds and Aeolus winds are obtained. 349



Figure 10. Vertical distribution of the mean biases between the Aeolus HLOS winds and the USTC lidar winds filtered for the backscatter ratio, and the number of samples for descending orbits (a and b) and ascending orbits (c and d). The lines, shadows, and histograms represent the same as those in Fig. 7.



Figure 11. Mean bias between Aeolus HLOS winds and the USTC lidar winds, and the number of samples for each orbit at different longitudes. The winds are filtered for the backscatter ratio. The red (green) dots show the mean bias with error bars, representing the corresponding standard deviation for ascending (descending) orbits. The histograms indicate the number of samples. The blue dotted line shows the longitude of the USTC lidar.

350 Acknowledgments

We thank Prof. Xianghui Xue and Prof. Dongsong Sun for their valuable suggestions 351 regarding the observation results. We acknowledge data resources from the National Space 352 Science Data Center, National Science and Technology Infrastructure of China (https:// 353 www.nssdc.ac.cn) and the Ground-based Space Environment Network. We are grate-354 ful to the European Center for Medium-range Weather Forecasts for providing the ERA5 355 data. This work is supported by National Natural Science Foundation of China (Grant 356 Nos. 41904136, 41774193, and 42104146), Key-Area Research and Development Program 357 of Guangdong Province (2020B0303020001), Shanghai Municipal Science and Technol-358 ogy Major Project (Grant No. 2019SHZDZX01), and the Fundamental Research Funds 359 for the Central Universities. 360

The USTC lidar wind profiler data presented in this paper are not publicly available but may be obtained from the authors upon reasonable request. The Aeolus wind

products can be downloaded from https://aeolus-ds.eo.esa.int/oads/access/collection.

The ERA5 data can be downloaded from https://cds.climate.copernicus.eu/.

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