Beamforming of Rayleigh and Love waves in the course of Atlantic cyclones

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Abstract

The main sources of the ambient seismic wavefield in the microseismic frequency band (peaking in the ~0.04-0.5 Hz range) are the earth's oceans, namely wind-driven surface gravity waves (SGW) coupling oscillations into the seafloor and the upper crust underneath. Cyclones (e.g. hurricanes, typhoons) and other atmospheric storms are efficient generators of high ocean waves with complex but distinct microseismic signatures. In this study, we perform a polarization (i.e. 3-component) beamforming analysis of microseismic (0.05-0.16 Hz) retrograde Rayleigh and Love waves during major Atlantic hurricanes using a virtual array of seismometers in North America. Oceanic hindcasts and meteorological data are used for comparison. No continuous generation of microseism along the hurricane track is observed but rather an intermittent signal generation at specific oceanic locations along the track. Both seismic surface wave types show clear cyclone-related microseismic signatures and are consistent with a colocated generation at near-coastal or shallow regions, however the Love wavefield is comparatively less coherent. We identify two different kind of signals: a) intermittent signals that originate with a constant spatial lag at the trail of the hurricanes and b) signals remaining highly stationary in direction of arrival even days after the hurricane passed the presumable source region. This high complexity highlights the need for further studies to unravel the interplay between site-dependent geophysical parameters and SGW forcing at depth, as well as the potential use of cyclone microseisms as passive natural sources.

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Key Points:

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| 6 | • | Primary and secondary microseismic Love and Rayleigh waves excited by Atlantic |
|----|---|--|
| 7 | | nurricanes were detected via onshore polarization beamforming |
| 8 | • | The observed microseisms are only efficiently excited at certain shallow oceanic |
| 9 | | regions as the cyclone passes nearby |
| 10 | • | Some signals are lasting and nearly source location-stationary, while others are |
| 11 | | transitory and originate behind the cyclone as it advances |

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12 Abstract

The main sources of the ambient seismic wavefield in the microseismic frequency band 13 (peaking in the $\sim 0.04-0.5$ Hz range) are the earth's oceans, namely wind-driven surface 14 gravity waves (SGW) coupling oscillations into the seafloor and the upper crust under-15 neath. Cyclones (e.g. hurricanes, typhoons) and other atmospheric storms are efficient 16 generators of high ocean waves with complex but distinct microseismic signatures. In 17 this study, we perform a polarization (*i.e.* 3-component) beamforming analysis of mi-18 croseismic (0.05-0.16 Hz) retrograde Rayleigh and Love waves during major Atlantic hur-19 ricanes using a virtual array of seismometers in North America. Oceanic hindcasts and 20 meteorological data are used for comparison. No continuous generation of microseism 21 along the hurricane track is observed but rather an intermittent signal generation at spe-22 cific oceanic locations along the track. Both seismic surface wave types show clear cyclone-23 related microseismic signatures and are consistent with a colocated generation at near-24 coastal or shallow regions, however the Love wavefield is comparatively less coherent. We 25 identify two different kind of signals: a) intermittent signals that originate with a con-26 stant spatial lag at the trail of the hurricanes and b) signals remaining highly station-27 ary in direction of arrival even days after the hurricane passed the presumable source 28 region. This high complexity highlights the need for further studies to unravel the in-29 terplay between site-dependent geophysical parameters and SGW forcing at depth, as 30 well as the potential use of cyclone microseisms as passive natural sources. 31

32 Plain Language Summary

Ocean waves are responsible for the generation of microseisms, faint ground vibra-33 tions which have a rather complex character and which comprise a major portion of the 34 background seismic noise of the earth. In this study, we implement a seismic detection 35 method to study the microseisms generated by cyclones in the North Atlantic (hurricanes), 36 which are major generators of large ocean waves. We observed that cyclones only seem 37 to generate detectable microseisms as they move over certain regions in the ocean, namely 38 near coastal or shallow water regions, and also that the apparent source regions of these 39 microseisms are sometimes fixed while others move along with the hurricanes, trailing 40 behind of them. Understanding the relationship between ocean waves and cyclone-related 41 microseisms is an important step for the potential use of these vibrations to study the 42 earth, ocean and atmosphere. 43

Keywords: Ambient seismic noise, Oceanic microseisms, Hurricanes, Ocean grav ity waves, Array seismology, Marine Geophysics

46 1 Introduction

Atmospheric phenomena and ocean waves are long known to be intimately related. 47 and the imprint of the latter in seismological records has been persistently pointed out 48 (e.g. Gutenberg, 1936; Longuet-Higgins, 1950; Kibblewhite & Wu, 1996; Nishida, 2017). 49 Water column pressure fluctuations induced by wind-forced surface gravity waves (SGW) 50 and swells couple into the seafloor and produce elastic waves in the solid earth, so called 51 oceanic microseisms. Evidence suggests that cyclones, have become increasingly stronger 52 worldwide since the last four decades owing to global warming (Kossin et al., 2020); their 53 latitude of formation and maximum magnitude is shifting polewards (Kossin et al., 2014); 54 their built-up rate has sped-up (Emanuel, 2017b) and their associated rainfall volume 55 increased (Emanuel, 2017a). The societal relevance of cyclones has thus grown accord-56 ingly at the same time that other effects of climate change such as sea-level rise make 57 the scenario even more threatening. While cyclones have been traditionally a study sub-58 ject for the meteorologist and oceanographer, understanding their dynamics and what 59 to expect from them in the near future is of great interest for other fields as well. Con-60

cretely, the analysis of microseisms have the potential of contributing to the understanding of the mechanical coupling between the atmosphere, ocean and solid earth.

Previous studies reported oceanic microseisms related to storms and hurricanes (both 63 sub-types of cyclones) in different scenarios (e.g. Gilmore, 1947; Gutenberg, 1958; Sut-64 ton & Barstow, 1996; Gerstoft et al., 2006; Hadziioannou et al., 2012; Tanimoto & Val-65 ovcin, 2015). Oceanic microseisms are generally divided into primary (PM), having the 66 same frequency as the causative SGW and being generated often close to the shore, and 67 secondary (SM) with twice the frequency of the forcing SGW. Debate still exists on a 68 69 set of matters, including the specific generation areas of these signals and the physical nature of the ocean-seafloor-subsurface coupling. Some authors argue that most micro-70 seismic energy originates near coasts in shallow waters (e.g. Essen et al., 2003; Traer et 71 al., 2012; Bromirski et al., 2013; Ying et al., 2014), while others claim that teleseismic 72 detection of microseisms in deep open waters is possible (e.g. Kedar et al., 2008; Landès 73 et al., 2010; Meschede et al., 2017; Retailleau & Gualtieri, 2019). The forcing mechanism 74 behind Love waves is still disputed: these are proposed to result from vertical water pres-75 sure interactions with sloping/irregular bathymetry (Saito, 2010; Fukao et al., 2010), hor-76 izontal tractions due to ocean wave movement (Ardhuin et al., 2015; Juretzek & Hadzi-77 ioannou, 2017), or to a minor extent on conversions and multiple scattering (Ziane & Hadzi-78 ioannou, 2019). A detailed knowledge on the shape and spectral characteristics of the 79 cyclone-related microseismic sources, and their exact relation with the physical proper-80 ties of the cyclones is still incomplete, although recent advances exist (e.g. Retailleau 81 & Gualtieri, 2021). 82

The seismic array approach to study cyclones can be traced back to Cessaro and 83 Chan (1989), who at the time used single-component f-k beamforming to locate PM 84 sources during the passage of two cyclones near the Pacific and Atlantic coasts of Canada 85 with two land-based arrays, one in Alaska (with 19 stations) and the other inland Canada 86 (25 stations). The authors concluded that the analysed signals (allegedly Rayleigh waves) 87 had enough stability over one-hour windows to be useful for triangulation and that most 88 energy came from near-shore processes that could be linked to the storms. No contin-89 uous tracking was sought by the authors and a broad area was triangulated. Later, Cessaro 90 (1994) extended the study of Rayleigh waves into the SM band and included NORSAR 91 as a third array in an attempt for continuous tracking. The author found that backaz-92 imuths do not follow the storm track directly. SM results are described as more stochas-93 tic, sporadically meandering around the synoptic region of peak SGW activity, while PM 94 sources appeared more stable and localised, lying over specific near-shore regions in the 95 Labrador sea and off the coast of western North America. Overall, the results of both 96 studies had low space-time resolution but demonstrated that the seismic array detection 97 of cyclones is possible. In contrast to these studies, the here implemented polarization 98 beamforming processing as well as the use of modern seismic records allowed for the inqq troduction of Love phase analysis and improved the achievable space-time resolution and 100 coverage. In addition, the now available high resolution hindcast and cyclone meteoro-101 logical data used in our analysis was not present for the former studies. 102

Later microseismic beamforming studies focused on regional ambient microseisms 103 in Europe using pre-existing seismic arrays to resolve the dominant generation areas dur-104 ing longer time intervals, most of which appear to lie along coasts (e.g. Friedrich et al., 105 1998; Essen et al., 2003; Juretzek & Hadziioannou, 2017). Single-cyclone tracking was 106 not the main aim of these studies but rather to define the dominant microseism spatial 107 distribution over a given timespan. Friedrich et al. (1998) for example, used polariza-108 tion beamforming at Graefenberg and NORSAR arrays to define a dominant source at 109 the north-Norwegian coast. The Love/Rayleigh energy ratios in their study were found 110 to be much higher for PM than for SM ambient noise, indicating possible differences in 111 source mechanisms. Ward Neale et al. (2018) used the P-wave beamformer output of a 112 number of arrays to produce a combined output image overlaid on a geographical grid. 113

According to the authors, their procedure sharpened and improved the coverage of the image in comparison to one single array. However, mixed results were found in terms of storm location, as some arrays failed to locate the storms under study. The sometimes large array-storm interdistances where quoted as a relevant factor for this.

The concrete goal of our study is to implement the polarization (3-component) beam-118 forming method to analyse the seismic surface wavefield (Rayleigh and Love) during a 119 few major north Atlantic cyclones (hurricanes) in the microseismic frequency band (~ 0.05 -120 0.16 Hz). In contrast to station configurations deliberately installed for array analysis 121 122 (e.g. NORSAR, Graefenberg) we here utilize a virtual array consisting of onshore seismometer stations of the World-Wide Standardized Seismograph Netzwork (WWSN) orig-123 inally installed for routine earthquake monitoring. The specific regions where the oceanic 124 microseisms are generated is of particular importance, as some debate still exists on the 125 topic. It is also sought to compare the spatio-temporal characteristics of the Rayleigh 126 and Love wavefields, as several studies tend to consider only one of these wave types or 127 body waves. We study the PM and SM wavefields in detail to relate them to the pro-128 gression of the hurricane track and link their generation to specific areas and to outstand-129 ing meteorological and oceanographic characteristics. Generally speaking, we intend to 130 contribute to the understanding of the complex relationship between atmospheric and 131 seismic phenomena by gathering information on the ambient seismic wavefield during 132 major hurricanes. 133

In the following sections, a short review on cyclones and microseisms as well as the applied data processing will be given. Then, we present the region of study alongside the utilized data and give beamforming results for selected hurricanes including a detailed discussion of these results. Finally, we summarize the most relevant observations and their implications.

¹³⁹ 2 Cyclones and microseisms

Cyclones are low-pressure center convective weather systems with well-defined struc-140 tures and life-cycles that develop mostly over the ocean in the tropics and mid-latitudes, 141 where warm waters are available. Depending on their maximum 1-minute sustained wind-142 speeds, tropical cyclones (those that form almost exclusively in tropical regions) are re-143 ferred to (in increasing order) as tropical depressions, tropical storms, typhoons (in the 144 western pacific ocean) or *hurricanes* (in the eastern pacific and Atlantic ocean) (Wallace 145 & Hobbs, 2006). When tropical cyclones move into medium or high latitude regions, these 146 are denoted as: subtropical and extratropical, respectively. Cyclones are mostly clustered 147 in the tropical cyclone season, during which the strongest ones occur. The Atlantic hur-148 ricane season peaks typically during the northern summer (between June and October). 149 The center (eye) of cyclones usually has a radius between 10 and 60 km, while the whole 150 systems have ROCIs (radius of the outermost closed isobar, a measure to define the ra-151 dius of a cyclone up to its outermost wind circulation region) from about 200 km up to 152 1000 km. Their paths are often erratic, controlled by Coriolis effect and high-level winds 153 but covering in average recurrent geographical corridors, translating roughly westward 154 from the tropical Atlantic region where they form between the western tip of Africa and 155 Middle America at about 2 to 10 m/s as they widen and intensify, and then shifting pole-156 wards to diffuse and weaken by cold waters or land along their path, to finally reach trans-157 lational speeds of up to 25 m/s (Ochi, 2003). 158

¹⁵⁹ Wind blowing over the sea surface is known to be the major cause for ocean sur-¹⁶⁰ face gravity waves (SGW) at frequencies ≥ 0.01 Hz (Knauss (1997)) and their wave heights ¹⁶¹ are proportional to the speed, timespan and fetch of the wind (Young, 1998). The strong ¹⁶² winds of cyclones force the water surface to develop wind waves that later evolve into ¹⁶³ long-period swells as they radiate away more or less radially. The directional SGW spec-¹⁶⁴ trum of cyclones is rather complex, especially during landfall (Chen & Curcic, 2015). In

the northern hemisphere, the highest SGW tend to occur at the frontal sector (*i.e.* front 165 left and right quadrants) of the cyclone (in travelling direction), near the area where wind-166 speeds are highest (Wallace & Hobbs, 2006; Esquivel-Trava et al., 2015). Because winds 167 are a superposition of the forward motion of the storm and the circulating air, their in-168 tensity is the highest in the right (left) quadrants in the northern (southern) hemisphere. 169 Farther away from the eye the SGW spectra become multimodal, consisting of a super-170 position of local wind-sea and swells (low frequency SGW after propagating large dis-171 tances from their sources). Young (2006) explains that wave period is proportional to 172 maximum wind speed (and thus wave propagation speed) and that swells originating near 173 the intense wind crescent at an earlier point in the track dominate in all its quadrants 174 except for the right-rear. Hu and Chen (2011) argue that the dominant wave direction 175 in the front quadrants radiate out from the right of the eye, while in the rear are mostly 176 locally generated, except for the rear left where outward radiation is also evident. Storm 177 surges can also occur as cyclones approach coastal areas, where the wind-driven current 178 can reach the shallow bottom, pushing water towards the coast and raising sea-level by 179 several meters (Wallace & Hobbs, 2006). 180

The high amplitude SGW resulting from cyclones are believed to create two dif-181 ferent types of ocean microseisms: The more energetic SM with twice the frequency of 182 the generating SGW and the less energetic PM with the same frequency of the SGW. 183 SM is commonly cited to be generated by non-linear wave-wave interactions between SGW 184 of nearly the same frequencies travelling at quasi-opposite directions, which would re-185 sult in standing SGW with amplitudes proportional to the product of the original waves, 186 doubled frequencies (DF) and hydroacoustic waves that reach the ocean bottom trav-187 elling downwards nearly unattenuated (Longuet-Higgins, 1950; Hasselmann, 1963; Kib-188 blewhite & Wu, 1996). Alternatively, it has been proposed that SM are caused directly 189 by water column pressure propagation under Bernoulli's principle and via cylindrical wave 190 radiation around the center of cyclones (Bowen et al. (2003)). SM have frequencies above 191 ~ 0.08 Hz in the open ocean and up to ~ 1 Hz locally at marginal seas (Becker et al. (2020)), 192 but tend to generate the strongest oceanic microseisms in the ~ 0.1 -0.2 Hz band. PM are 193 thought to arise from ocean wave shoaling and SGW-seabed interactions over relatively 194 shallow waters (Ebeling, 2012; Nishida, 2017). The typical frequencies of the latter in 195 the ocean are in the range $\sim 0.05-0.1$ Hz (10 to 20 s-periods). 196

197 **3 Data**

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A total of six cyclones were selected for our study. These are summarized with their trajectories in Fig. 1. As every major hurricane develops its strength continuously, analysing the strongest ones has the advantage of containing lower categories at progressive stages. The categories, geographical paths, ocean depth ranges and inter-distances to array center were chosen to be as diverse as possible for comparison. Relatively simple and long trajectories were preferred to increase the probability of tracking.

3.1 Seismic data

A virtual array that we named "QC" near Saint Lawrence river in Quebec, Canada 205 was arranged by selecting stations of the Canadian National Seismograph Network (CN) 206 due to its proximity to the Atlantic coast and ideal aperture (~ 69 to 104 km, depend-207 ing on missing stations). Fig. 2 shows its geometry and the array response function (ARF), 208 *i.e.* its transfer function for two different frequencies. The ARF at 0.06 Hz (in the PM 209 range) has a broad and prominent main lobe and a few weak side lobes, while that for 210 0.12 Hz (in the SM range) is more influenced by numerous side lobes while having a sharper 211 central maximum. The latter is due to the mean inter-station distances (about 20 km), 212 which lead to a minor degree of spatial aliasing of the shortest wavelengths without im-213 plicating our results. Station CN.CACQ of QC array was only available for hurricanes 214



Figure 1. Atlantic hurricanes considered in this study. Categories on the Saffir-Simpson scale. Dots mark the locations of the *eye* of the hurricane at 3h-time steps. Their radius is proportional to the maximum sustained wind speeds (see Fig. 5 for absolute values), while the dashed lines mark the width (ROCI) of the system. The orange star marks the location of the QC array. Hurricane track data obtained from IBTrACS (Knapp et al., 2010).



Figure 2. a) QC array geometry with inverted triangles indicating the seismic stations. The corresponding transfer functions (ARFs) are indicated for b) 0.06 Hz and c) 0.12 Hz. Stations CN.CACQ and CN.BSCQ are not taken into account for these ARFs, but doing so improves their quality (see text for explanation).

Florence, Michael and Lorenzo, while CN.BSCQ was missing for Gonzalo and Leslie. However the transfer functions were not substantially changed by adding or removing any of these two stations, remaining almost identical to those presented in Figs. 2b,c. On the other hand, the array lies in a seismically very quiet area and is relatively close to the Atlantic ocean. Further details on the arrays and data selection are given in the supplementary text S1.

3.2 Hindcast data

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In order to compare the microseismic signatures with the ongoing distribution of ocean state anomalies, ocean hindcasts from a global model were used (see further details in Text S2, suporting information). The variables related to microseisms chosen for this study are:

- Waveheight: significant ocean wave height in metres. Represents the mean troughto-crest amplitude of the highest waves in a region and is treated here as four times the standard deviation of the ocean surface elevation. It is expected to be proportional to the amplitudes of PM signals and partially to those of SM.
- **p2l** (or F_{p3D}): Power spectral density (PSD) (frequency spectrum) of the equiv-230 alent second-order SGW-induced pressure fluctuation near the water surface $(F_p$ 231 in eq. S1 in supporting information), which is a proxy for the strength of the non-232 linear interaction of colliding SGW in opposite directions, and indirectly a proxy 233 for the intensity of the associated SM signal with double frequency (DF) relative 234 to the causative SGW (Stutzmann et al., 2012). This includes microseisms due 235 to interaction of storm wind waves. The results are given in $\log_{10}(\text{Pa}^2\text{m}^2\text{s}\times10^{12})$. 236 It empirically takes coastal reflections into account based on bathymetry and coastal 237

shape but other site effects at the source region are not considered (Gualtieri et
al., 2021). To correct for this, the bathymetry amplification factors for land-measured
microseismic Rayleigh waves for typical crustal parameters as proposed by Tanimoto
(2013) are considered (See Text S3 for a detailed description of this variable as
here implemented).

²⁴³ 4 Methods and data processing

4.1 Polarization Beamforming

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We use polarization beamforming, *i.e* three-component beamforming (Esmersoy 245 et al., 1985; Löer et al., 2018; Nakata et al., 2019) to determine the Love and Rayleigh 246 waves contributions in the incoming microseismic wave field at our virtual network. The 247 goal of beamforming is to separate the coherent portion of the recorded wavefield from 248 the stochastic one. This is done by generating outputs (beams) with the largest possi-249 ble signal-to-noise ratio (S/N), which (in the time domain) are propagation model-dependent 250 stacks of lagged input traces or equivalently (in the frequency domain) the weighted lin-251 ear superposition of Fourier transforms of the cross-correlation between every pair of record-252 ings, as here implemented. If coherent and prominent signals exist, the suitable set of 253 weights among a space of possible combinations increases the output power, *i.e.* the beam-254 power (BP), which in turn remains comparatively low if uncorrelated noise dominates. 255 BP can be expressed in the frequency (f) domain as (Nakata et al., 2019): 256

$$BP(f) = \frac{1}{L^2 M^2} \mathbf{w}^H \mathbf{X}(f) \mathbf{X}^H(f) \mathbf{w} = \frac{1}{M^2} \mathbf{w}^H \mathbf{C}(f) \mathbf{w},$$
(1)

where H denotes a conjugate transpose, L is the number of samples, M the num-257 ber of sensors and $\mathbf{X}(f)$ contains the Fourier transform of each recording. The entries 258 in \mathbf{w} are the so-called weights that maximise BP depending on the assumed wave type 259 (e.g. polarization state and wavelength) as well as the array geometry. The term $\mathbf{C}(f)$ 260 is known as the cross spectral density matrix and can be though of as the kernel of beam-261 forming, having information on the phase-delay relations between every pair of spectra 262 from any two sensors, namely the Fourier transform of the auto/cross-correlation between 263 every pair of stations. 264

BP can be regarded as a measure of the relative coherency and implicitly the am-265 plitude of the signal traveling through an array. Coherency refers in our context to the 266 degree of agreement/predictability of a signal under a particular propagation model, or 267 alternatively, as the degree of certainty to relate the signal to a unique source acting at 268 a defined location and over a given timespan. In the approach used here, a single, plane 269 wave front will produce a high BP value while several interfering sources or bent wave 270 fronts would result in lower BP values. For details on the implementation of beamform-271 ing see the supplementary Text S4. 272

4.2 Seismic Data Processing

After pre-processing of the raw data (see Text S5 in the supporting information for details), the polarization beamforming was implemented using the approach outlined in Esmersoy et al. (1985) and developed by Juretzek and Hadziioannou (2016), in which a grid-search in the f-domain is performed using the cross spectral density matrix. A plane-wave is assumed and thus anisotropy and wavefront curvature are ignored. This is normally a safe assumption for the far-field and for wavelengths in the order of the aperture of the array.

For beamforming, we investigate two polarization states of the microseismic wavefield: elliptic retrograde and transverse, representing retrograde Rayleigh waves and Love waves, respectively. We set the slowness range to 0.22-0.37 s/km in order to include only surface waves and exclude most of the body wave energy or other undesired phases. The beamforming analysis window length (T_{BF}) was set to 300 s with a 50% overlap of consecutive windows, and the covariance matrix was averaged over 24 time windows, so that the output snapshots have a 1-hour resolution, unless otherwise specified. Performance tests to detect earthquakes of magnitude as low as 5.0 were successful. However a typical backazimuth (β) deviation of $\pm 5^{\circ}$ was observed, so that this is taken as the implicit uncertainty of our estimates.

291 5 Results

In the following, the polarization beamforming results for two hurricanes (C1 Leslie in 2012 and the last four days of C4 Gonzalo in 2014, see Fig. 1) at PM and SM frequencies are illustrated in detail as a way of example. Thereafter, summarized results for all the hurricanes considered are explained.

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5.1 Leslie and Gonzalo - Primary microseisms

Z-component spectrograms recorded at a station CN.LMQ of the QC array dur-297 ing hurricanes Leslie (Fig. 3a) and Gonzalo (Fig. 3b) depict intermittent energy pulses 298 with variable duration and frequency distribution. A lobe of relatively continuous PM 299 energy below 0.1 Hz is observed during the last stages of Leslie (indicated by a black cir-300 cle). The double-frequency (DF) phenomenon is particularly clear during Gonzalo, as 301 the low-frequency PM features repeat themselves with stronger amplitudes and twice the 302 frequencies in the SM range between the 17-20th of October. The linear trends during 303 the dissipation stage (black segments) approximate the dispersion of prominent micro-304 seismic arrivals, which are typical for storms approaching. Based on the short (deep wa-305 ter) linear SGW group velocity dispersion relation $(U_q = g/4\pi f)$ as in Bromirski and 306 Duennebier (2002), a distance (Δx) from the SGW source (any region under the cyclone) 307 to the microseismic source region can be roughly estimated from the slopes of these lin-308 ear trends $(\Delta f / \Delta t)$ by using: 309

$$\Delta x = \frac{g}{4\pi} \frac{\Delta t}{\Delta f} \tag{2}$$

where q is the acceleration of gravity at sea level and t represents time. This yields 310 an estimated distance in the range 600 to 1000 km, which is somewhat above the aver-311 age radius of these hurricanes during dissipation stage (~ 450 km). Figs. 3c-f show the 312 maximum BP values in the time-backazimuth (t, β) space picked over the slowness range 313 for each time and azimuthal step. The BP was pre-averaged at each slowness step in the 314 PM frequency band (0.05-0.09Hz). The features in the spectrograms partially match those 315 in the beamforming results for both Rayleigh (Figs. 3c-d) and Love (Figs. 3e-f) waves 316 during Leslie (left column) and Gonzalo (right column). The colored dots depict the true 317 bearing towards the center of the investigated hurricane and the black dashed lines its 318 outermost winds from the perspective of the QC array, respectively. White dots repre-319 sents the global and most prominent local BP maxima for each time step. 320

Based on Figs. 3c-f, a set of observations can be pointed out: 1) two types of ap-321 parent sources of the BP signatures stand out that can be related in time and space to 322 the tracks of the main hurricanes: stationary (*i.e.* static, displaying constant backazimuths, 323 see September 2-11 during Leslie and October 17-19 during Gonzalo) and non-stationary 324 (*i.e.* moving and radiating signals continuously changing in direction of arrival, see Septem-325 ber 11-13 during Leslie and October 19-20 during Gonzalo); 2) Both signals can be as-326 sociated with sections of the hurricane tracks remarkably well, the former appearing as 327 the hurricane intercepts the $160 \sim 165^{\circ}$ backazimuth range in both cases and remaining 328

active for a couple of days after the true hurricane backazimuth significantly changes, 329 while the non-stationary signals have a noticeable spatial shift looking towards the rear 330 rim of the hurricane as it moves northwards. This is particularly clear for both Rayleigh 331 and Love waves during Gonzalo (Figs. $3d_{f}$); 3) While the BP maxima are aligned with 332 the hurricanes considered, no clear correlation exists for the simultaneously active cy-333 clones (light blue-colored dots in Figs. 3c,e) occurring farther away ($\gtrsim 4000$ km) in the 334 ocean, so that their contribution to the total BP is negligible; 4) Rayleigh and Love waves 335 are both generated by the hurricane at about the same time arriving from about the same 336 direction, while having different coherency levels (absolute BP values are generally higher 337 for Rayleigh waves and have thus a higher contrast with respect to the background lev-338 els) and statistical variations in time and space distributions (Rayleigh maxima tend to 339 be less scattered than Love wave maxima). 340

Consistent with the first observation, it can be hypothesised that the stationary 341 signals are related to fixed regions in the ocean that are "activated" as the hurricane passes 342 nearby and remain active for some days after it moves away. On the other hand, the non-343 stationary microseismic sources trail behind the hurricane and can be detected as it ap-344 proaches the coast closest to the array. This can be observed through comparison with 345 the mean and maximum significant waveheights over a 4×4 degs-square centered at Bermuda 346 island in the Sargasso sea and the Gulf of Maine near the US-Canada border (Fig. 3g,h), 347 both being likely locations for microseism generation as these are the shallowest and most 348 bathymetrically variable oceanic regions lying simultaneously closest to the QC array 349 and along the observed stationary microseismic backazimuth line $(160 \sim 165^{\circ})$. The wave-350 heights at the Gulf of Maine remain relatively low and stable during the passage of both 351 hurricanes, while those at Bermuda increase by several meters correlating with the on-352 set of the stationary PM signal. However, it is also observed that the microseismic sig-353 nals continue to be generated at the same location even after the waveheights decay, such 354 that a third source location centered elsewhere along the stationary backazimuth line might 355 exist. Based on the assumption that PM is generated by the largest wave heights, an ex-356 pected azimuthal distribution of sources can be obtained from the waveheight hindcasts 357 (Figs. 3i-j) which shows a partial agreement between the seismic and the hindcast data, 358 as high waveheights occur beneath the hurricane track, as expected. However, accord-359 ing to the hindcast model the maximum waveheights occur approximately under the eye 360 of the cyclone and not in the rear quadrants as the seismic data suggest, while at the 361 same time not all the BP features are clearly represented in the hindcast data and vice-362 versa. 363

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5.2 Leslie and Gonzalo - Secondary microseisms

Apart from statistical backazimuth variations, the source distribution of SM (in the 365 band 0.10-0.16 Hz) Rayleigh and Love waves are comparable (Figs. 4a-d), although a 366 few arrivals of one wave type are occasionally not evidenced in the other. The station-367 ary and non-stationary signatures are still evident for both hurricanes and are similar 368 to those of PM, yet there appears to exist a noticeable variability in direction of arrival 369 of the main hurricane microseisms, being slightly higher for SM in comparison to PM. 370 The F_{p3D} variable is shown here for comparison instead of waveheights, as SM are ex-371 pected to result from non-linear SGW interactions. Similarly to the waveheights and the 372 corresponding PM results, higher F_{p3D} values are observed at Bermuda as the station-373 ary signals occur in comparison to the Gulf of Maine (Figs. 4e-f), while the F_{p3D} val-374 ues in the latter increase during the very last days as the hurricanes approach the Grand 375 banks off Newfoundland. The azimuthal distribution of F_{p3D} (Figs. 4g-h) shows a more 376 scattered distribution of sources which is consistent with the higher variability of max-377 ima in Figs. 4a-d. A good consistency between the hurricane tracks and the maximum 378 F_{p3D} values exists, as they overlap each other while the stationary microseismic signal 379 occurs (Sep. 4-11 for Leslie and Oct. 17-18 for Gonzalo in Figs. 4g,h). Here however, 380 a noticeable backazimuth lag between the eye of the hurricane and the maximum F_{p3D} 381



Figure 3. Results for Leslie (left column) and Gonzalo (right column) in the PM band (0.05-0.09 Hz). a,b) Spectrograms with 256s-PSD time window and 60% overlap. BP as a function of time and backazimuth at QC array for Rayleigh (c,d) and Love (e,f) waves. True bearings at regular time steps towards the eyes of Leslie (Gonzalo) are shown as red (orange) dots (their saturation is proportional to maximum sustained windspeeds), while the backazimuth towards the cyclone rims (ROCI) are marked by the dashed black lines. Simultaneous hurricanes located farther away are shown as blue dots. The mean and maximum significant waveheights over a 4×4 earth degs-square centered at Bermuda and the Gulf of Maine (g,h) and the maximum waveheights observed along 4000km-radius lines away from the QC array (i,j) are shown for comparison overlaid by the same Rayleigh BP maxima of (b,c) respectively as white dots.



Figure 4. Results for Leslie (left column) and Gonzalo (right column) in the SM band (0.10-0.16 Hz) following the scheme of Fig. 3. BP as a function of time at QC array for Rayleigh (a,b) and Love (c,d) waves. The (logarithmic) mean and maximum F_{p3D} values in 4×4 degs-square surfaces at Bermuda and the Gulf of Maine are shown for comparison (e,f). The maximum F_{p3D} values observed within a distance of 4000km in the respective azimuthal direction from QC array (g,h) are shown for comparison, overlaid by the same Rayleigh BP maxima of (a,b) as white dots.

values exists during the last days of both hurricanes, at the same time that the non-stationary
 BP signature is observed (white dots in same figures).

384

5.3 Temporal and azimuthal distribution of hurricane microseisms

In order to visualize the temporal distribution of BP signatures for all the hurricanes considered, Fig. 5 summarizes the BP values (colour coded) and the degree of agreement between observed/expected backazimuths, calculated as $\beta_0/(\Delta\beta+1)$, *i.e.* the inverse of the deviation between the (true) backazimuth towards the eye of each hurricane and that of the global BP maximum for PM at each time step $(\Delta\beta)$ using $\beta_0 = 4^\circ$ as a reference normalization value for all hurricanes, so that backazimuth matches of this order or less are exaggerated. Rayleigh waves (Fig. 5a) show higher BP values than Love waves (Fig. 5b), which could be explained as a higher coherency of the wavefield of the former (or lower S/N ratio of the two-component transversal polarizations). The results for SM are similar in distribution but on average much lower in absolute BP values. The latter are included in the supplementary material (Fig. S1 in supporting information).

From Fig. 5 it can be observed that in overall no clear correlation exists between 396 397 the hurricane category and the degree of observed track agreement. Particularly, hurricanes Gonzalo, Nicole and Lorenzo do not show a good correlation, while Florence and 398 Michael only show partial correlation for a few days. Hurricane Leslie has high levels of 399 backazimuth agreement along its lifetime, but the category variations are not clearly re-400 flected in its seismic response. Moreover, this agreement is only apparent from the per-401 spective of a single array. The highest BP values do not necessarily match timespans with 402 the highest observed/expected backazimuth agreement nor with those having the high-403 est hurricane category. Figs. 5a-b indicate a low agreement in azimuthal directions as obtained from BP maxima and the the meteorological centre of the hurricane during clos-405 est approach to the array, which is explained by the fact that the detected signals often 406 point towards the trail of the hurricane, as discussed in Secs. 5.1 and 5.2. However, high 407 Rayleigh wave BP values tend to occur shortly before and during the closest hurricane 408 approach (Fig. 5a), indicating reliable signals. This is not as obvious for Love waves how-409 ever (Fig. 5b). The higher coherency of PM Rayleigh waves than Love waves might be 410 due to the fact that there is generally less incoherent Rayleigh wave energy in this fre-411 quency band and the large deviation at the closest hurricane approach to the array could 412 correspond to the fact that the signals are not generated at the centre of the hurricane 413 but at some other region of it. It is also worth noting that low track agreements where 414 the smallest inter-distances exist do not necessarily indicate bad correlations, having in 415 mind that large objects cover a wider range of backazimuths the closer they are to the 416 observation point. In general, it is confirmed from Fig. 5 that coherent microseismic sig-417 nals likely related to hurricanes only occur intermittently and not during their whole tra-418 jectory. 419



Figure 5. Track agreement between expected/observed backazimuths of the BP maxima relative to the bearing towards the eye of each hurricane (as the height of each bar - see text for detailed description) for Rayleigh (left) and Love (right) waves in the PM band. Data is aligned relative to the closest approach of each hurricane to the QC array (vertical orange lines). Distances between the array and hurricane center as continuous (black) lines and maximum sustained wind speeds in (red) dotted-dashed line. The values of largest and smallest distances (maximum windspeeds) during study the interval are given to the left (right)

The maximum BP value in each azimuthal direction along the entire lifetime of each 420 hurricane (global BP maxima of beamforming plots as those of Figs. 3c,d,e,f and 3a,b,c,d) 421 are depicted in Fig. 6. For PM (Figs. 6a,c), well-defined BP maxima with backazimuths 422 towards the Atlantic ocean stand out for hurricanes Leslie and Gonzalo (marked in dashed 423 black lines) as well as for most hurricanes on both Rayleigh and Love waves. In partic-424 ular, the $\sim 165^{\circ}$ direction belonging to the stationary signal tentatively linked to Bermuda 425 island described for Gonzalo and Leslie in Secs. 5.1 and 5.2 is also present for the remain-426 ing hurricanes and for both, Rayleigh and Love waves. Recurrent signals at $30-60^{\circ}$ oc-427 cur likewise during each hurricane. Other representative backazimuths only exist for some 428 of the hurricanes, but some dominant directions are clearly discernible. 429

SM maxima (Figs. 6b,d) exhibit a higher spatial variability, but still dominant backazimuths also occur that barely match between Rayleigh and Love waves. Notice that the BP value range (in blue) is considerably smaller for the latter in comparison to former, implying that Love waves have BP values that are closer to the noise floor and are thus more likely to be affected by random fluctuations. This observation applies as well for the (low) BP values of some hurricanes relative to others (*e.g.* Florence and Michael relaative to the others).

It follows from Fig. 6 that the surface wave microseisms that occur during major hurricanes are bounded to some fixed directions. This is particularly clear for PM, while at the same time a higher azimuthal variability exists for SM, in accordance with the fact that the latter could theoretically be generated over a larger set of oceanic regions, and not only near the coast, as expected for PM. Rayleigh wave signals are more stable and consistent with specific directions of arrival in comparison to Love waves for both the PM and SM bands.

The maps in Fig. 7 synthesise the observations in Figs 5 and 6 for hurricanes Leslie 444 (Figs. 7a,c) and Gonzalo (Figs. 7b,d) and additionally depict hindcast data averaged over 445 the timespan of the hurricanes. Large significant waveheights (Figs. 7a,b) at or near coastal/shallow 446 waters indicate regions where efficient PM generation is expected, while large F_{p3D} val-447 ues (Figs. 7c,d) are in principle expected where the strongest SM are excited. The tracks 448 of the hurricanes are partially observed as aligned maxima in the hindcast data and the 449 higher variability of SM sources in comparison to PM observed in Figs. 6b and 6d is also 450 apparent in the F_{p3D} maps in comparison to the waveheights. 451

The backazimuths corresponding to the BP maxima in Fig. 6 are shown in Fig. 7 452 with a 5° uncertainty range. These backazimuths show a rather low correspondence with 453 regions where the maximum waveheights (or F_{p3D}) occur. In fact, some of the beam-454 forming maxima point towards regions with low mean oceanic anomaly along distances 455 of more than 4000 km. Apart from the continental platform of North America, islands 456 and seamounts in the Sargasso sea and the Caribbean, most ocean depths in the west-457 ern North Atlantic exceed 4 km (Fig. 1), which can be a factor for preventing the ex-458 citation of sufficiently strong microseisms. Conversely, some of the regions with high SGW 459 anomaly are not represented in the beamforming analyses, which would only be consis-460 tent for weak teleseismic sources or exceedingly deep waters in the case of PM but not 461 otherwise. On the other hand, some of the observed seismic sources do match locations 462 where high wave heights and F_{p3D} values occur, e.g the ~ 52° and ~ 98° backazimuths 463 crossing the Labrador sea and the Grand banks of Newfoundland, respectively, during Leslie, or the stationary signal at $\sim 168^{\circ}$ near the Sargasso sea. As discussed in 5.1 and 465 5.2, it is confirmed that the most likely location of the stationary source along the $\sim 165^{\circ}$ 466 backazimuth line is somewhere near Bermuda island, where high waveheights as well as 467 468 F_{p3D} anomalies occur as opposed to the Gulf of Maine, which is the closest shoreline along that line but has very low mean SGW amplitudes. 469

In summary, our beamforming results indicate that cyclone-related microseismic signals are only excited at particular backazimuths roughly sourced towards the loca-



Figure 6. Azimuthal distribution of maximum BP values (at each backazimuth) during the lifetime of each hurricane after averaging over the corresponding frequency range in the PM (a,c) and SM (b,d) bands. Results are given for Rayleigh and Love waves (upper and lower row, respectively). Some of the most prominent arrivals for Leslie and Gonzalo (and also for the remaining hurricanes) looking towards the Atlantic ocean marked in black dashed lines.



Figure 7. Significant waveheights (a,b) and F_{p3D} (c,d) maps for Leslie (a,c) and Gonzalo (b,d) with the prominent directions of arrival of Fig. 6 represented in $\pm 5^{\circ}$ -sectors and 4000 km-long lines (black dashed). For scale reference, a 1000 km-radius around the QC array is shown in cyan (comparable to the maximum distance found in 5.1).

tion of the corresponding cyclone, as if microseism generation regions were "activated" 472 by the latter. Fig. 8 depicts a full-year beamforming analysis at QC array. It can be seen 473 that particularly for Rayleigh waves (Fig. 8a) consistent directions of arrival occur through-474 out the whole year and not only between June and October, which corresponds to the 475 north Atlantic hurricane season. For instance, the $\sim 165^{\circ}$ stationary microseismic source 476 observed for several hurricanes and outlined in Figs. 6a,c is most active during the north-477 ern hemispheric summer, but also remains active and stable at other times of the year. 478 The same applies for other backazimuth ranges where beampower (BP) maxima tend 479 to cluster. Similarly, the $\sim 38^{\circ}$ source is most active during the northern hemispheric 480 winter season, while the $\sim 98^{\circ}$ source pointing towards Newfoundland is only sporad-481 ically active throughout the year for no more than a couple of days in a row. The Love 482 wave BP maxima (Fig. 8b) are more scattered, variable and often do not match the di-483 rection of arrival of those for Rayleigh waves, but the general picture and the seasonal 484 variations remain the same. The $\sim 38^{\circ}$ and $\sim 165^{\circ}$ stationary sources can be traced 485 for Love waves, having relatively low continuity throughout the year. 486



Figure 8. Backazimuth versus time plot for primary microseisms (PM) in 2014 averaged at 1-day timesteps for Rayleigh (a) and Love (b) waves. The dominant PM backazimuths of Figs. 6a,c are marked for comparison. White dots represent prominent BP peaks.

487 6 Discussion

We observe hurricane generated Rayleigh and Love waves microseisms originating from the North Atlantic at a virtual seismometer array in Canada in both the PM and SM bands. These microseisms manifest as semi-continuous but intermittent pulses prone to fall under the detection threshold if sufficiently weak or at very large source-station separations, preventing a continuous detection and thus continuous cyclone tracking via far-field arrays.

Our results argue in favour of nearly colocated sources of cyclone-related micro-494 seisms for Love and Rayleigh in both PM and SM bands, suggesting common forcing mech-495 anisms and/or a strong site control. This observation is supported by e.g. Nishida et al. 496 (2008); Juretzek and Hadziioannou (2016, 2017). Matsuzawa et al. (2012) conclude that 497 moderate deviations exist between the Rayleigh and Love wavefields source areas, while 498 acknowledging that the arrival directions of both are similar. Gal et al. (2017) investi-499 gated the background microseismic Rayleigh and Love wavefield in the high frequency 500 end of SM (0.35 - 1 Hz) and observed a markedly distinct spectral and azimuthal dis-501 tribution of each, Love waves correlating with near-continent sedimentary basins while 502 Rayleigh waves correlate with convex coastlines. The source area colocation for both PM 503

and SM is also pointed out by e.g. Cessaro (1994) and Nishida et al. (2008), while several studies argue that PMs are only linked to shallow areas, while SMs can be generated in the deep ocean as well, as mentioned in Sec. 1. We note however, that the background microseismic wavefield resulting from swells and wind regimes acting over broader oceanic regions and longer time scales could differ from the microseismic wavefield linked to the more spatially localized and short-lived cyclone winds and their corresponding highly directional swells.

In spite of the aforementioned, the observed backazimuths of Love wave BP max-511 512 ima in Figs 3 and 4 tend to have a higher variance and less continuity than those of Rayleigh waves, as the latter have smoother, less scattered and in general less diffuse cyclone-related 513 signatures. This is in agreement with a Love wavefield generated over a comparatively 514 broader generation area or resulting from complex radiation patterns due to a strong in-515 fluence of heterogeneities and/or propagation effects. Previous works have explained this 516 observation in terms of shear tractions due to ocean wave-induced pressure fluctuations 517 over seabed topographic features (Fukao et al., 2010), scattering, wave conversions and 518 diffractions (Juretzek & Hadziioannou, 2017; Ziane & Hadziioannou, 2019), or interac-519 tions with heterogeneous 3-D subsurface structure (Gualtieri et al., 2021). 520

The observed beampower (BP) maxima corresponding to retrograde Rayleigh waves 521 during cyclones have on average higher values than those of the Love wavefield. This is 522 true for both, the SM and PM frequency range. Love waves in the SM band generally 523 show the lowest values, indicating weak/non-existent coherent wavefields or a relative 524 abundance of uncorrelated Love wave noise in this frequency band. While this dominance 525 of Rayleigh over Love waves is expected for the SM frequency range, it was also observed 526 in the PM band, in contrast to previous studies that have presented evidence of dom-527 inant Love waves as well as high H/V ratios in the PM band (Friedrich et al., 1998; Becker 528 et al., 2020). Our observation could be explained by the fact that seismic energy from 529 SM sources with low frequencies (of about $0.08 \sim 0.09$ Hz) due to the high winds and the 530 resulting long period SGWs might "leak" into the PM band defined here (0.05-0.09 Hz), 531 contributing to increase the BP of PM Rayleigh waves. This energy leakage however seems 532 to be more likely to occur the other way round, if we consider that dominant SGW with 533 frequencies as low as ~ 0.04 Hz (half of 0.08 Hz) are even for hurricanes relatively un-534 common (and thus unlikely to generate strong SM signals), whereas the dominant SGW 535 at higher frequencies $(f \gtrsim 0.1 Hz)$ may leak PM energy into the SM band considered 536 here (0.10~0.16 Hz). For examples of SGW spectra of hurricanes, see e.g. Knauss (1997); 537 Ochi (2003) and Xu et al. (2014). 538

An alternative explanation for the observed dominance of Rayleigh BP values as 539 in e.g. Fig. 6a is that cyclones are particularly efficient in exciting Rayleigh waves in the 540 PM band. Such efficient Rayleigh over Love wave excitation could be site-dependent, as 541 the maximum values occur only at well-defined backazimuths, even in the longer term, 542 as depicted in Fig. 8. Under this assumption, the sporadic nature of cyclones and other 543 major storms generating (the typically absent) Rayleigh waves in the PM band would 544 explain the overall dominant PM Love waves reported in the literature, even if the lat-545 ter were weak. The dominance of microseismic Rayleigh over Love waves in the SM band 546 has been reported by e.g. Nishida et al. (2008) and Tanimoto et al. (2016). The latter 547 studied a ring laser dataset spanning one whole year in Germany and found that SM Love 548 waves are about 10 to 20 % stronger than Rayleigh during most of the year, while re-549 porting the opposite during June and July (during which the hurricane season takes place). 550 We are unaware of other observations of dominant Rayleigh over Love microseismic waves 551 in the PM range. It is worth noting that comparing absolute BP values is however not 552 fully objective since these partially depend on the added (and variable) S/N ratios of all 553 stations over a given timespan, which can change in time e.g. if undesired background 554 arrivals variably superpose in the frequency range of interest or if sensor coupling/sensitivity 555 changes. 556

An additional observation is that the agreement of beamforming results between 557 Rayleigh and Love waves in the same frequency band is often better relative to the agree-558 ment that there is for the same wave type between different frequency bands. The sim-559 ilarity is particularly obvious for Love and Rayleigh waves in the PM band, which sug-560 gests a coupled generation mechanism for both wave types in this frequency band. On 561 the other hand, SM features tend to show stronger backazimuth variability of Love rel-562 ative to Rayleigh waves, suggesting marked differences in the generation of each wave 563 type in the SM band. A similar observation is outlined in Juretzek and Hadziioannou 564 (2017). Alternatively, the higher variation in the SM frequency band may relate with high 565 frequency PM leaking into the SM band, as explained above. 566

As indicated in Sec. 5, cyclone-related signals corresponding to stationary (fixed location) as well as non-stationary sources were identified. These signals are recognized during timespans with high wavefield coherency (high BP) and bearings that coincide with or consistently lag behind the backazimuths towards the hurricanes. While the stationary signal might only be apparent (as we only evaluate a single array), its recurrent backazimuth-invariability over long timespans is remarkable and contrasts with the changing bearing towards the hurricane tracks that triggered them.

The stable, stationary surface wave source located along the bearing towards Bermuda 574 from the QC array was persistently highlighted as Gonzalo and Leslie crossed the region 575 nearby (Figs. 3 and 4). The same backazimuth was also seen sporadically during all the 576 other hurricanes studied (see Fig. S2 in the supporting material) and persistently dur-577 ing a full year (Fig. 8). The source region of this signal is discarded to be near the coast 578 or shelf off the Gulf of Maine (which also lies along the same backazimuth great circle 579 from QC array) since the waveheight observations in Figs. 3g,h and 4e,f are in better 580 agreement with the region of Bermuda and additionally because the microseismic sig-581 nal sets in just as Gonzalo crosses Bermuda on Oct 17 at noon (Figs. 3c-f and 4a-d). How-582 ever, the exact location of this microseismic source region is not known. Based on the 583 evidence, it is expected to lie somewhere in the Sargasso sea, around Bermuda, which is one of the very few oceanic islands located along the typical routes of Atlantic hur-585 ricanes (other than the Caribbean Antilles) and where geomorphological features such 586 as seamounts and abrupt bathymetric changes are common. Further analyses are nec-587 essary to confidently explain the origin of such an efficient microseismic generation re-588 gion at Bermuda, which we presume to be related to insular bathymetric features, ge-589 ology and/or SGW regime around Bermuda, even though this region is not always clearly 590 highlighted in the hindcast data. 591

Strong sources are observed as hurricanes approach the shallow-water regions over the continental platform. This was observed during the landfall of Florence; the re-entry of Michael into the Atlantic; or on Oct 16 during Nicole, in which a prominent signal was seen as its track approached the protruding edge of the continental slope (Fig. S2). The non-stationary microseisms observed during the approach of hurricanes Gonzalo and Leslie to the Great Banks of Newfoundland and its continental slope also support this observation and were confirmed via P-wave ray tracing (not shown).

Fan et al. (2019) studied Z-component records in the band 0.02-0.05 Hz (below the 599 PM band) and reported similar microseisms source areas at the Great Banks of New-600 foundland parallel to the shelf break offshore Nova Scotia in front of the Saint-Lawrence 601 river bay during hurricane Gonzalo in both its rear quadrants on Oct 19th 2014 (a C1 602 hurricane at the time), between 6:00-9:00am (compare Figs. 7b,d with supporting Fig. 603 S3, which includes a modified version of the original Fig. 3e in Fan et al., 2019). These 604 605 microseisms roughly match the here observed Rayleigh and Love wave BP peaks in both the PM and SM bands occurring in the same region and same times (Figs. 3d,f and 4b,d). 606 It is worth noting that these kind of signals were not detected as Gonzalo had a higher 607 category (> 2) but was farther away from the QC array. In Fan et al. (2019), the traced 608 sources also do not occur where the maximum waveheights are, but rather in the rear 609

quadrants of Gonzalo as well as outside of the main wind influence area, slightly more
numerous to the left of the path (in the movement direction), around an area in which
a gradient of wave heights exists and where the main wave direction was perpendicular
to the shelf line (Fig. S3a). The sources there seem to be primarily controlled by the shape
of the shelf break instead of the shape of the waveheight anomaly.

In our study, signals related to Gonzalo continue to exist further north up to the 615 coast off Newfoundland, entering Labrador Sea, all along the continental platform, but 616 it is unclear if these are generated along the continental slope break or underneath the 617 track (over the flat continental shelf). The discontinuity and slight decrease in BP val-618 ues observed for SM Rayleigh and Love waves during Gonzalo on Oct 19 at 12:00 (4b,d) 619 coincides with the translation of the microseismic source area from the shelf break onto 620 the continental platform. Rayleigh waves continue to be clearly detected afterwards, while 621 Love waves become somewhat scattered and weak. This suggests that SM Love waves 622 are amplified mostly along the inclined shelf slope, probably due to the rugged relief and/or 623 complex layering structures, which are thought to be efficient generators of Love and con-624 verted waves (e.g. Tanimoto et al., 2016; Nishida, 2017; Ziane & Hadziioannou, 2019; 625 Le Pape et al., 2021). At the same time, prominent PM signals for both Rayleigh and 626 Love waves appear as the source area moves into the continental slope (Figs. 3d,f), as 627 expected from the shallow generation of PM. The potential use of microseisms for imag-628 ing of shallow sedimentary layers is thus evoked. We detected no signal that was actively 629 generated over the flat deep ocean. 630

For non-stationary signals, we observed that they generally trail the eye of the hur-631 ricane (e.q. Figs. 3 and 4). This means that the source lies in the rear quadrants of the 632 hurricane or along its wake. This observation of microseisms linked to the trail of storms 633 was also reported by Chevrot et al. (2007); Chi et al. (2010) and Zhang et al. (2010). Sim-634 ilar results were also found by Farra et al. (2016) and Lin et al. (2017), who observed 635 microseismic sources in the left rear quadrant of a Northern hemisphere typhoon, where 636 crossing seas occur, and up to 200 km behind the eye (Davy et al., 2014). Interestingly, 637 the highest wave heights occur in the right (left) quadrant of hurricanes in the North-638 ern (Southern) hemisphere, where wind speed and cyclone speed vectors align construc-639 tively, so that the highest waves do not necessarily determine microseismic excitation, 640 as also suggested by Fig. 7. Park and Hong (2020) found persistent delays of 6h or more 641 between activation of a microseismic source area and the passage of the eye of the ty-642 phoon over the respective area, in accordance with our observations. Altogether, the idea 643 of a forcing region of microseisms "behind" storms was suggested by Tabulevich (1971) 644 and is implicit as well in the class IIIa source mechanism of SM (see Ardhuin, Stutzmann, 645 Schimmel, & Mangeney, 2011), suggested originally by Longuet-Higgins (1950), in which 646 the backwards propagating wind waves at the wake of a storm interact with the forward 647 swells generated in previous times if the system moves fast enough. Interestingly, the ob-648 served PM signals for the hurricanes show the same spatial delay as the SM signals. For 649 PM frequency band Rayleigh waves this might be explained by leaking of SM mecha-650 nisms with low frequencies into the PM frequency band or would alternatively suggest 651 that the non-linear SGW self-interaction mechanism (or the interaction with reflected 652 SGW or distant swells) might not be necessary to explain the trailing SM signals. In any 653 case, a strong site control agrees with the uniformity of the beamforming results for all 654 wave types in the entire microseismic band. 655

Our results reveal that cyclone-generated microseismic surface waves can be generated in shallow bathymetry (*i.e.* the continental shelf, rise or regions around islands) not exclusively linked to coasts. Microseisms generation in shallow bathymetry was also observed by e.g. Essen et al. (2003); Bromirski et al. (2013); Ying et al. (2014). Guo et al. (2020) studied Rayleigh waves in the eastern North American margin and found that PMs (0.050 to 0.085 Hz) are likely distributed along the continental shelf and adjacent deep ocean areas (in this study these seem strongly related to shallow waters too), while

the long-period SM (0.1 to 0.2 Hz - attributed to distant swells) occurs in deep ocean 663 regions near the continental slope, in agreement with our results. In contrast, other au-664 thors argue for deep ocean microseisms (e.g. Zhang et al., 2010; Gualtieri et al., 2015). 665 Based on our results, the existence of coherent, deep-ocean-generated microseismic signals strong enough to be detected inland cannot be ruled out neither be supported. As 667 an example, very little microseismic energy was detected as hurricane Lorenzo (the far-668 thest away from QC array) moved along the mid-Atlantic ridge (Fig. 1). It would be in-669 teresting to confirm if microseismic generation over this region is actually possible, as 670 the water depths are comparable to those of the shelf break sections in which microseisms 671 were readily detected. If strong, deep water microseismic signals do exist, evidence would 672 suggest that attenuation makes them virtually invisible to arrays located as far as 4000 673 km away. As a reference, Ebeling (2012) cites 2000 km as the threshold distance for cy-674 clone microseism detection, while Davy et al. (2014) shows with OBS stations that at 675 distances of more than 1300 km the microseisms generated by a C1 cyclone are less than 676 $\sim 10\%$ their source amplitudes. 677

The oceanic regions with largest mean waveheights or F_{p3D} values during each hur-678 ricane were not always highlighted by prominent BP maxima, which in turn often pointed 679 towards regions with low oceanic anomalies, if present at all (see Fig. 7). Added to wa-680 ter depth, further physical parameters that combine with the SGW forcing might play 681 a relevant role in the observed microseismic signals. Candidates include: seabed mor-682 phology at wavelength scale; subsurface lithology and structure; oceanic mesoscale phe-683 nomena and structure, or other factors not yet considered. As a way of example, Sepúlveda 684 et al. (2005), Rodgers et al. (2010), Khan et al. (2020), amongst others, outline how sur-685 face wave (de-)focusing can occur due to reflections in topography such as ridges and moun-686 tain tops, being a possible contributing factor for microseisms amplification at the con-687 tinental break. 688

689 7 Conclusions

Cyclones wandering over the ocean generate distinctive microseismic waves that can be detected at land stations. These microseisms occur for both retrograde Rayleigh and Love waves in the microseismic frequency band, from about 0.05 to 0.2 Hz. A significant observation is that these signals are not excited equally during the entire lifetime of the cyclone but instead intermittently as semi-continuous pulses at specific oceanic locations as the cyclones are passing by, hampering a continuous cyclone-tracking via far-field arrays.

Apart from differences in BP levels and distribution of maxima, cyclone-related Love 697 and Rayleigh wave sequences tend to occur simultaneously and roughly match each other 698 in direction of arrival quite well in most scenarios, particularly in the same frequency band, 699 suggesting a colocation of the generation area and a strong local (site) control. However, 700 Love wave radiation is more diffuse and less coherent or weaker, while the generation of 701 Rayleigh waves is more coherent and focused. The sharpest and most accurate cyclone 702 trackings were obtained for Rayleigh waves in both the primary (PM) and secondary (SM) 703 frequency band. Both wave types were most efficiently excited at fixed shallow regions 704 including the continental slope and shallow shelf off Newfoundland and possibly a re-705 gion surrounding the island of Bermuda, virtually independently of storm category. No 706 cyclone microseisms were safely linked to deep open ocean regions. 707

Two types of cyclone-related signals were identified: non-stationary and stationary. The former appear to occur at the trail of cyclones, often shifted more than 500 km off the *eye*, suggesting that wind waves in the rear quadrants and cyclone-originated swells play a significant role in the microseismic generation, likely providing an optimum surface gravity wave (SGW) directional spectrum. Occasionally, the passage of cyclones over or nearby oceanic regions where microseismic generation is highly efficient triggers strong stationary signals that can last several days. Moreover, the power spectral density (PSD)

- of the SGW pressure fluctuation generated by non-linear wave-wave interactions and the
 bathymetry alone seem insufficient to reliably predict regions where the strongest cyclone
- ⁷¹⁷ microseisms are excited.

Major advances in the field of microseisms have been published, yet the complex 718 interplay between site factors such as seabed morphology, near-bottom geology and struc-719 ture and the SGW spectrum forcing is not fully understood. On the other hand, the ex-720 istence of prominent microseisms related to cyclones at well-defined oceanic regions and 721 722 their strong dependence on the aforementioned site properties is inviting for passive imaging and monitoring, in particular considering that forecasts of the tracks of cyclones are 723 pre-available via accurate meteorological models. Such goals would significantly bene-724 fit of near-field (on- and off-shore) observations using OBS, floating seismographs (e.g. 725 MERMAIDS - see Hello & Nolet, 2020), or dense, optimal and widespread sensor lay-726 outs such as large-N-arrays or DAS. An improved detection and understanding of oceanic 727 microseisms has the potential to refine the existing atmosphere-ocean-solid earth cou-728 pled models. 729

730 Acronyms

- ⁷³¹ **ARF** Array response (transfer) function
- 732 **BP** Beampower
- **DF** Double frequency (related to non-linear interactions of surface gravity waves trav elling in opposite directions)
- ⁷³⁵ **DAS** Distributed Acoustic Sensing
- 736 **OBS** Ocean-bottom seismometer(s)
- ⁷³⁷ **PM** Primary Microseism(ic)
- 738 **PSD** Power spectral density
- ⁷³⁹ **QC** Reference to the virtual array in Québec, Canada implemented in this study
- 740 **SGW** Surface gravity wave(s)
- ⁷⁴¹ **SM** Secondary Microseism(ic)
- **ROCI** Radius of outermost closed isobar of a cyclone
- 743 WWSSN World-Wide Standardized Seismograph Network

744 Open Research

- The Atlantic cyclone data was obtained from http://ibtracs.unca.edu/ (Knapp
- K.R., Applequist, S., Diamond, H.J., Kossin, J.P., Kruk, M., and Schreck, C. (2010). NCDC
- ⁷⁴⁷ International Best Track Archive for Climate Stewardship (IBTrACS) Project, Version
- 3. 2010-2019 catalogue. NOAA National Centers for Environmental Information. DOI:10.7289/V5NK3BZP.
- ⁷⁴⁹ last accessed on march 2021). The seismic data was recorded by seismometers of the Cana-
- dian National Seismic Network (https://www.fdsn.org/networks/detail/CN/) and
- was freely accessed through the IRIS client (http://ds.iris.edu/ds/) of the Interna-
- tional Federation of Digital Seismograph Networks server (https://www.fdsn.org/).
- ⁷⁵³ Stations for the virtual array were selected using the wilber3 tool of the IRIS consortium
- ⁷⁵⁴ (http://ds.iris.edu/wilber3/find\$_\$event). Hindcast data was downloaded from
- the French Research Institute for Exploitation of the Sea (IFREMER, https://wwz.ifremer
- .fr/) at ftp://ftp.ifremer.fr/ifremer/ww3/HINDCAST, and bathymetry from GEBCO
- ⁷⁵⁷ (https://www.gebco.net/). The 3C-Beamforming script was mainly developed by Ca-
- rina Juretzek. The data downloading, pre-processing, processing and plotting of results
- relied mainly on Obspy ("ObsPy: a python toolbox for seismology, author=Beyreuther,
- ⁷⁶⁰ M and Barsch, R and Krischer, L and Megies, T and Behr, Y and Wassermann, J", 2010),
- a Python-based seismological data management module (https://docs.obspy.org/https://docs.obspy.org/).

- ⁷⁶² Several other standard libraries and modules for scientific computing were implemented
- ⁷⁶³ (*e.g.* Numpy, Scipy, Matplotlib, Cartopy, Colorcet and Cmocean).

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