

# Convective cloud size distributions in idealized cloud resolving model simulations

Julien Savre<sup>1</sup> and George C. Craig<sup>2</sup>

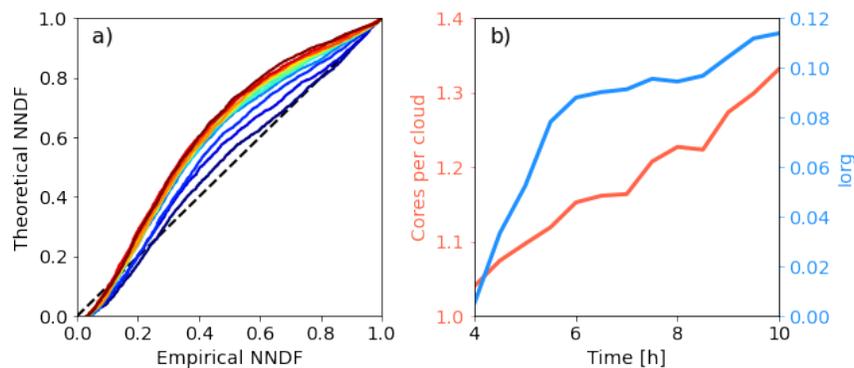
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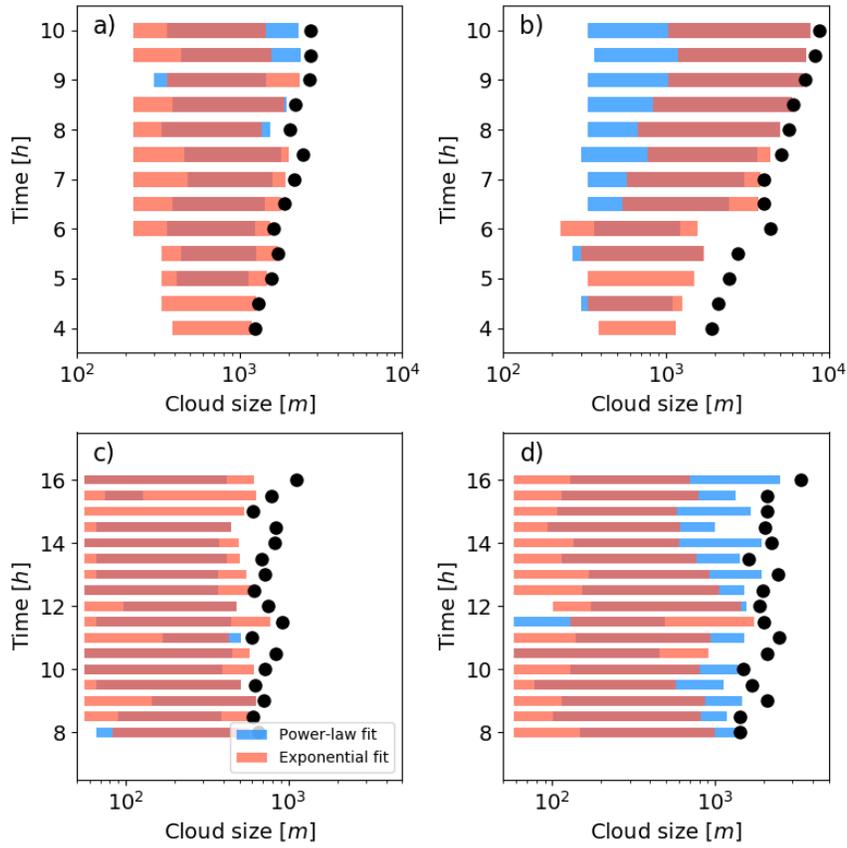
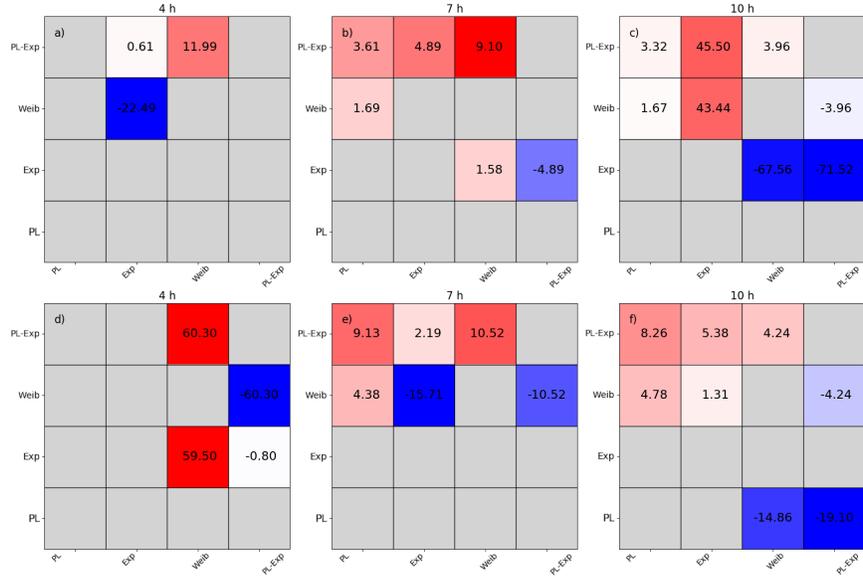
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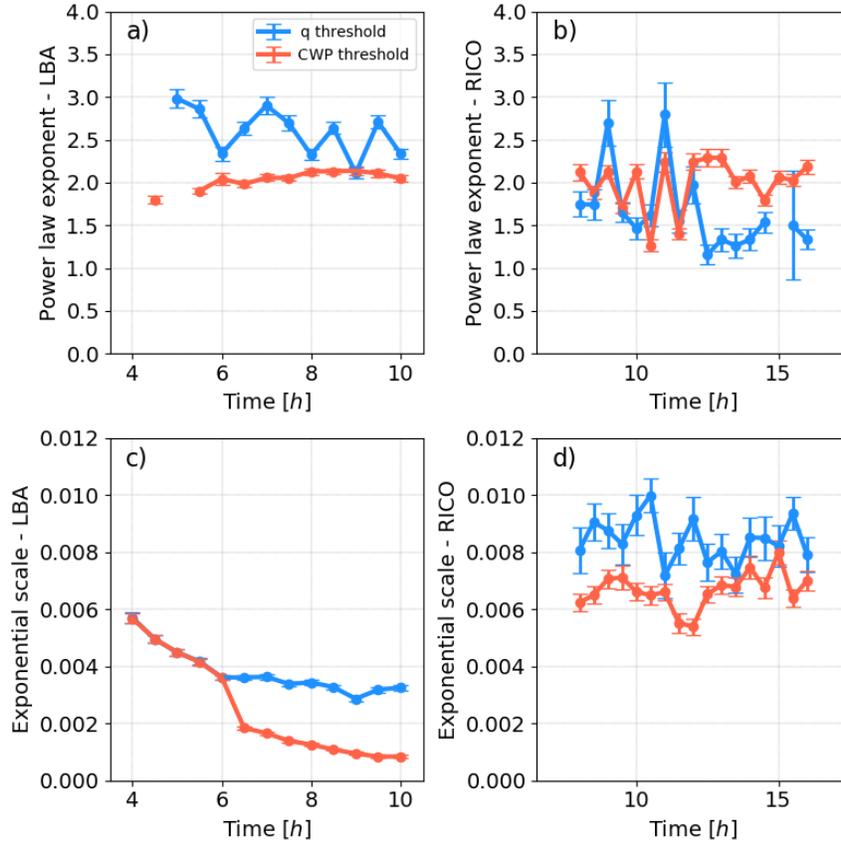
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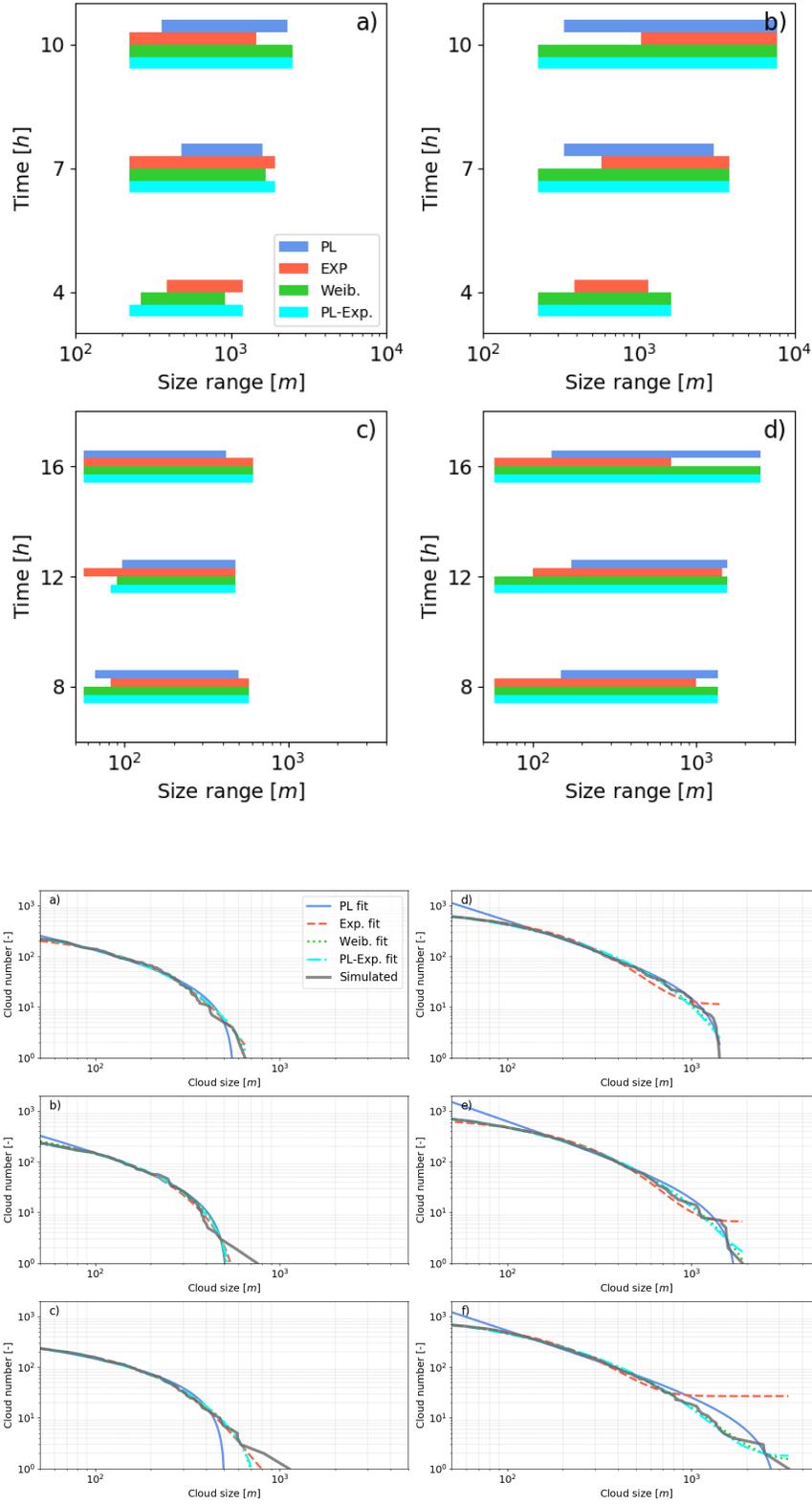
## Abstract

It is now widely accepted that cumulus cloud size distributions follow power-laws, at least over part of the cloud size spectrum. Providing reliable fits to empirical size distributions is however not a simple task, and this is reflected by the large spread in power-law exponents reported in the literature. Two well-documented idealized high-resolution numerical simulations of convective situations are here performed and analyzed in order to gain a clearer understanding of cumulus size distributions. Advanced statistical methods, including maximum likelihood estimators and goodness-of-fit tests, are employed to produce the most accurate fits possible. Various candidate distributions are tested including exponentials, power-laws and other heavy-tail functions. Size distributions estimated from clouds identified just above cloud base are found to be best modeled by exponential distributions. If one considers instead clouds identified from an integrated condensed water path, robust power-law behaviors start to emerge, in particular when deep convection is involved. In general however, these empirical distributions are best represented by alternative heavy-tail distributions such as the Weibull or cutoff power-law distributions. In an attempt to explain these results, it is suggested that exponential size distributions characterize a population where clouds interact only weakly, whereas heavy-tail distributions are the manifestation of a cloud population that self-organizes towards a critical state.









# Convective cloud size distributions in idealized cloud resolving model simulations

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## Key Points:

- Advanced statistical methods are used to fit cloud size distributions from two simulated convective cloud ensembles
- Cloud objects identified above their base, and especially cloudy updrafts, preferentially follow an exponential size distribution
- Clouds identified from an integrated condensed water criterion exhibit clear power-law scaling, but are best approximated by cutoff power-laws
- These results are consistent with the hypothesis that the cloud ensemble self-organizes towards a critical state

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 16 least over part of the cloud size spectrum. Providing reliable fits to empirical size  
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 29 Weibull or cutoff power-law distributions. In an attempt to explain these results, it is  
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 32 population that self-organizes towards a critical state.

## 33 **Plain Language Summary**

34 [Clouds constitute an important element of the climate system by reflecting in-  
 35 coming solar radiation back to space and emitting infra-red radiation that heats the  
 36 atmosphere. The net radiative impact of clouds however depends on many factors in-  
 37 cluding their size. It is thus of prime importance to characterize the size of clouds, in  
 38 particular convective clouds, and understand the underlying processes shaping them.  
 39 In this study, a numerical model is used to simulate two idealized convective situa-  
 40 tions at horizontal resolutions providing a fine description of all cloud processes. After  
 41 defining and calculating the size of individual simulated clouds, advanced statistical  
 42 methods are employed to characterize how clouds are distributed in size. It is shown  
 43 that the distributions of cloud sizes measured near cloud base are close to exponential  
 44 functions. In contrast, cloud size distributions as measured from above (similar to  
 45 what satellite imagery would do) resemble power-law functions with a cut-off at large  
 46 sizes. It is suggested that these results can be explained by a combination of basic  
 47 physical principles and complex interactions organizing the cloud population.]

## 48 **1 Introduction**

49 The first Landsat satellite launched in 1972 provided high-resolution data that  
 50 allowed the first large-scale analysis of cloud properties and their radiative impact on  
 51 our climate. It was later recognized that spatial inhomogeneities in individual cloud  
 52 scenes are an important factor determining cloud radiative properties. It quickly ap-  
 53 peared that an essential step towards better predicting the radiative impact of broken  
 54 cloud fields (cumulus and stratocumulus) consisted in understanding the large-scale  
 55 structure and organization of such cloud fields, as well as the small-scale characteristics  
 56 of individual clouds (including their fractal shapes and size distributions) (Wielicki &  
 57 Welch, 1986; Welch et al., 1988; Kuo et al., 1988).

Earlier observational studies have suggested that cloud size distributions could be  
 fitted using various functional forms including exponential (Wielicki & Welch, 1986)  
 and log-normal (Lopez, 1977; Houze Jr. & Cheng, 1977) distributions. In the late  
 80's, Parker et al. (1986), Welch et al. (1988) and Kuo et al. (1988) have introduced  
 the power-law as the functional form that best represents cumulus and stratocumulus  
 cloud size distributions. Accordingly, the number of clouds  $n$  having a certain size  $L$

obeys:

$$n(L) \propto L^{-b}, \quad (1)$$

58 where  $\propto$  should be read "scales as", and  $b$  is a constant characterizing the power-law.  
 59 Since then, power-laws (or derived forms thereof like power-laws with an exponential  
 60 cutoff) have been universally recognized as the best functions to model cloud size  
 61 distributions obtained from either satellite imagery (Welch et al., 1988; Sengupta  
 62 et al., 1990; Kuo et al., 1993; Benner & Curry, 1998; Zhao & Di Girolamo, 2007;  
 63 Koren et al., 2008; Wood & Field, 2011; Bley et al., 2017; Senf et al., 2018), aircraft  
 64 measurements (Benner & Curry, 1998; Jiang et al., 2008; Wood & Field, 2011), or  
 65 high-resolution simulations (Neggers et al., 2003; Xue & Feingold, 2006; Jiang et al.,  
 66 2008; Dawe & Austin, 2012; Heus & Seifert, 2013; Rieck et al., 2014; Senf et al., 2018).

67 Being able to accurately characterize cloud size distributions and recognize them  
 68 as power-laws (or any other functional form) is not just a matter of satisfying our  
 69 curiosity. Doing so may indeed be of prime importance for the development of improved  
 70 cumulus parameterizations, and may give crucial indications on how clouds organize.

71 If we first consider the parameterization issue, knowing the precise distribution  
 72 of cumulus clouds may help constrain spectral schemes (Arakawa & Schubert, 1974)  
 73 for which the subgrid variability associated with convection can be described using a  
 74 size-resolved cloud population. By explicitly introducing information on cloud sizes,  
 75 such schemes can easily be made scale-aware (Neggers, 2015) and therefore provide  
 76 interesting solutions to parameterizing convection in the "grey zone" (that is at model  
 77 resolutions equivalent to the characteristic convective length scale). Following this  
 78 idea, Neggers (2015) and Brast et al. (2018) introduced and tested an extension of  
 79 the Eddy Diffusivity Mass-Flux scheme (EDMF, (Siebesma et al., 2007)) in which the  
 80 cloud population is assumed to be distributed in size following a power-law like Eq. (1).  
 81 The model was found to yield promising results (Brast et al., 2018) by providing a  
 82 gradual transition between fully resolved and fully parameterized convection across the  
 83 "grey zone", although this transition was found to be too sharp compared to coarse  
 84 grained data. This points to the necessity to further improve the representation of  
 85 cloud size distributions in models.

86 Besides, as mentioned earlier, knowing that cloud sizes are power-law distributed  
 87 may give indications on the mechanisms controlling the organization of cumulus cloud  
 88 ensembles. Over the years, numerous generative mechanisms and hypotheses have  
 89 indeed been put forth to explain power-law behaviors often found in social sciences,  
 90 natural sciences, economics or physics (Newman, 2005; Sornette, 2006; Marković &  
 91 Gros, 2015). Among these, self-organized criticality (SOC) (Bak et al., 1988; Jensen,  
 92 1998; Marković & Gros, 2015) has been frequently invoked to rationalize the emergence  
 93 of power-law cumulus and rain cluster size distributions (Peters & Neelin, 2006; Peters  
 94 et al., 2009, 2010; Yano et al., 2012; Teo et al., 2017; Windmiller, 2017). Systems dis-  
 95 playing SOC properties are dynamical systems that are slowly driven towards a critical  
 96 point marking the transition between two distinct states. However, SOC systems are  
 97 also characterized by a quick relaxation of their internal energy when criticality is  
 98 reached such that the system is effectively attracted towards the critical point. The  
 99 emergence of SOC generally does not depend on the details of the system or driving  
 100 mechanism, it does not require tuning (it self-organizes), and it can be described by  
 101 scale-invariant properties that show up as power-law distributions. A priori, these  
 102 propositions agree well with what we know from convective cloud ensembles.

103 Despite the large number of studies reporting power-law cloud size distributions,  
 104 it should be stressed that unambiguously identifying scale-free behaviors in empirical  
 105 distributions is not a simple task. This is reflected by the large spread in power-law  
 106 exponents reported in the literature, varying between  $\sim 1$  (Benner & Curry, 1998) to  
 107 more than 3 (Bley et al., 2017; Senf et al., 2018) (with most reported values lying in

the 1.7 – 2.4 range). As argued by Goldstein et al. (2004) and Clauset et al. (2009), relying solely on the apparent constant slopes of empirical distributions in log-log coordinates may introduce large biases when estimating the exponent  $b$  in Eq. (1). In particular, linear regression requires the subjective determination of the range over which the power-law holds (and is sensitive thereof), and it is known to be generally too sensitive to the noise in the distributions tails. These limitations may in part explain the range of cloud size distribution exponents mentioned previously. Consequently, Clauset et al. (2009) proposed an alternative fitting procedure dedicated to power-law distributions and making use of a combination of robust statistical methods among which maximum likelihood estimation and goodness-of-fit tests. Although the procedure has previously been applied to fit rain cluster size distributions (Peters et al., 2010; Traxl et al., 2016), we wish to emphasize here following Clauset et al. (2009) that the use of such advanced statistical methods should always be preferred over simple linear regression techniques when estimating power-law best fits to cloud size distributions.

In this work, we analyze cloud size distributions obtained from Cloud Resolving Model (CRM) simulations of two well-documented idealized convective situations: a diurnal transition from shallow to deep convection over land (Grabowski et al., 2006), and a case of maritime shallow convection in the trade-winds region (vanZanten et al., 2011). These cases were selected as they are representative of cloud populations evolving at different spatial and temporal scales. Besides, the degree of idealization adopted facilitates our analysis by suppressing variability introduced by large-scale transport or heterogeneous surface conditions. The main objective is then to adapt the methodology proposed by Clauset et al. (2009) to identify power-laws in empirical cloud size distributions, evaluate the goodness of these fits, and test possible alternatives. Thanks to the robustness of the fitting procedure, our results are later used to identify and analyze systematic behaviors (organization) in the cloud ensembles.

The paper is organized as follows. In section 2, we give details on the numerical setups used to extract cloud size distributions as well as on the statistical methods forming the basis of our fitting algorithm. Results from the procedure, testing both the power-law and exponential hypotheses, are presented in section 3. Various alternative distributions are then evaluated in section 4 and possible generative mechanisms explaining the simulated empirical cloud size distributions are discussed in section 5. Finally, we give our conclusions in section 6.

## 2 Methods

### 2.1 Numerical experiments

The first selected numerical experiment is based on the Large-scale Biosphere-Atmosphere (LBA) intercomparison study Grabowski et al. (2006), with modifications similar to Böing et al. (2012) and Savre (2021). The initial potential temperature profile was taken from Grabowski et al. (2006), while the initial moisture content was modified with a relative humidity held constant and equal to 80% between the surface and 2.5 km, and decreasing linearly to 15% up to 18 km. Horizontal winds were initially set to  $0 \text{ m s}^{-1}$  everywhere. Although a diurnal cycle is imposed through time dependent surface fluxes in the original case description, we chose here to adopt fluxes constant in time, equal to  $161/343 \text{ W m}^{-2}$  (sensible and latent fluxes respectively) following Böing et al. (2012). The numerical model is doubly periodic, uses a constant horizontal grid spacing of 100 m with  $1024 \times 1024$  grid points. The domain extends vertically to 14250 m with 180 grid points. The vertical grid spacing is held constant and equal to 25 m below 2 km, and increases geometrically above. A Rayleigh damping layer with characteristic time scale of 2 h was prescribed above 12 km, and horizontal

158 winds were nudged everywhere to their initial value with a time scale of 6 h. The  
 159 simulation was run for a total of 10 h, but the first 4 h were discarded.

160 The second case follows the Rain In Cumulus over the Ocean (RICO) model inter-  
 161 comparison (vanZanten et al., 2011), as simulated for example by Dawe and Austin  
 162 (2012); Heus and Seifert (2013). The overall setup follows closely the description given  
 163 by vanZanten et al. (2011), with similar initial potential temperature, total water mixing  
 164 ratio, horizontal wind, and large scale forcing profiles. Here again, no interactive  
 165 radiation is employed. Instead, net radiative cooling is accounted for in the prescribed  
 166 forcing. Surface fluxes are computed using bulk formula with a prescribed surface  
 167 temperature of 299.8 K, and surface water vapor assumed to be at saturation. The  
 168 numerical domain spans 20 km<sup>2</sup> in the horizontal, with a homogeneous grid spacing of  
 169 26 m (768 × 768 grid points). This is similar to the setup employed by Heus and Seifert  
 170 (2013) despite a slightly smaller domain (25 km<sup>2</sup> in Heus and Seifert (2013)). The do-  
 171 main extends vertically up to 3.9 km with a constant spacing of 26 m. A Rayleigh  
 172 damping layer with characteristic time scale of 2 h was also used above 3.2 km. The  
 173 simulation was run for 16 h, and the results analyzed after 8 h.

174 The LBA simulation exhibits a fast transition from shallow to deep, precipitating  
 175 convection, with clouds reaching 10 km in altitude. This transition occurs within a few  
 176 hours, although a little bit slower than in the original configuration from Grabowski  
 177 et al. (2006). The cloud population then continues to evolve under the influence of  
 178 subcloud layer organization (Böing et al., 2012), with clouds continuously deepening  
 179 and eventually forming anvils. In contrast, the RICO case is mostly driven by the  
 180 large-scale forcing held constant during the course of the simulation. This results in a  
 181 very slowly evolving cloud population (Heus & Seifert, 2013), with clouds remaining  
 182 relatively shallow (maximum depth on the order of 2 km) and light drizzle at the  
 183 surface. These two simulations, driven by mechanisms operating at different time  
 184 scales, result in cloud populations presenting widely different characteristics.

185 Both experiments were carried out using the MISU-MIT Cloud and Aerosol  
 186 (MIMICA) model solving anelastic governing equations for potential temperature, to-  
 187 tal water mass mixing ratio and momentum (Savre et al., 2014; Savre, 2021). The  
 188 numerical methods employed in both cases are similar: scalar advection uses a flux-  
 189 limited version of the Lax-Wendroff scheme, momentum advection uses 4th order cen-  
 190 tral finite differences, and time integration is performed using a 2nd order Runge-Kutta  
 191 method. Turbulent mixing was parameterized using the Smagorinsky-Lilly closure,  
 192 whereas no interactive radiation was necessary in either case. Different microphysical  
 193 schemes were employed for the LBA and RICO simulations. In the first case, we used  
 194 the simple one-moment microphysics from Grabowski (1998) which only distinguishes  
 195 between precipitating and non-precipitating cloud particles, and partitions liquid and  
 196 ice based on a linear function of the temperature. In the second case, the warm part of  
 197 the more advanced two-moment microphysics scheme from Seifert and Beheng (2006)  
 198 was used.

## 199 2.2 Cloud identification

200 The first step towards estimating cloud size distributions is to define cloud objects  
 201 and extract their properties. To do so, horizontal slices are first extracted at 2000 m  
 202 and 1200 m in the LBA and RICO cases respectively. Two different criteria are then  
 203 used to identify cloud objects: a cloud water mixing ratio  $q$  exceeding 0.01 g kg<sup>-1</sup>, or  
 204 a Condensed Water Path (CWP, including both liquid and ice particles) exceeding 50  
 205 g m<sup>-2</sup> in the LBA case, or 5 g m<sup>-2</sup> in the RICO case (different thresholds were chosen  
 206 to accommodate the different cloud depths). In both situations, clouds are simply  
 207 identified as clusters of connected grid boxes respecting either criterion above. Only  
 208 four point connectivity is considered here, that is connected grid boxes must at least

209 share a face. Clusters consisting of a single grid points were discarded. Once cloud  
 210 objects are identified, their equivalent sizes are calculated as  $L = \sqrt{\Delta x \Delta y N_{pts}}$ , with  
 211  $N_{pts}$  the number of grid points covered by a cloud.

212 The distinction between clouds identified from  $q$  and CWP criteria is justified  
 213 by the fact that both definitions correspond to distinct situations commonly met in  
 214 atmospheric sciences. The CWP criterion allows the identification of clouds as seen  
 215 from above, and in particular as retrieved from satellite imagery. In contrast, the  $q$   
 216 criterion is relevant in the context of convection parameterizations since these latter  
 217 are mostly concerned with cloud properties near their base and as they develop in the  
 218 lower free troposphere.

## 219 **2.3 Fitting size distributions**

### 220 **2.3.1 Theoretical distributions**

221 Four kinds of theoretical distributions are evaluated: power-law, exponential,  
 222 Weibull and power-law distributions with an exponential cutoff (cutoff power-laws).  
 223 These can be written:

$$p_{PL}(L) = C_{PL} L^{-\alpha} \quad (2)$$

$$p_E(L) = C_E \exp(-\lambda L) \quad (3)$$

$$p_W(L) = C_W L^{\beta-1} \exp(-\eta L^\beta) \quad (4)$$

$$p_{PE}(L) = C_{PE} L^{-\nu} \exp(-\mu L), \quad (5)$$

224 where  $L$  is the cloud size,  $p_X$  are the theoretical distribution functions and  $C_X$  are  
 225 appropriate normalizing factors.  $\alpha$ ,  $\lambda$ ,  $\beta$ ,  $\eta$ ,  $\mu$  and  $\nu$  are the parameters characterizing  
 226 each distribution that we seek to estimate. Log-normal distributions have also been  
 227 considered as possible alternative distributions, but they generally yielded worse fits  
 228 than any other function and were therefore ruled out as viable choices.

The corresponding complementary cumulative distribution functions (CCDFs)  
 are defined from  $p_X(L)$  as:

$$P_X(L) = \int_L^{L_{max}} p_X(L^*) dL^*, \quad (6)$$

229 where  $L$  varies from  $L_{min}$  to  $L_{max}$  (the size bounds over which the fits are performed),  
 230 and  $P_X(L)$  represents the probability that a given cloud has a size larger than  $L$ . This  
 231 yields, for the four tested distributions:

$$P_{PL}(L) = \frac{L^{1+\alpha} - L_{max}^{1+\alpha}}{L_{min}^{1+\alpha} - L_{max}^{1+\alpha}} \quad (7)$$

$$P_E(L) = \frac{\exp(-\lambda L) - \exp(-\lambda L_{max})}{\exp(-\lambda L_{min}) - \exp(-\lambda L_{max})} \quad (8)$$

$$P_W(L) = \frac{\exp(-\eta L^\beta) - \exp(-\eta L_{max}^\beta)}{\exp(-\eta L_{min}^\beta) - \exp(-\eta L_{max}^\beta)} \quad (9)$$

$$P_{PE}(L) = \frac{\Gamma(1 + \nu, \mu L) - \Gamma(1 + \nu, \mu L_{max})}{\Gamma(1 + \nu, \mu L_{min}) - \Gamma(1 + \nu, \mu L_{max})} \quad (10)$$

with  $\Gamma$  the upper incomplete gamma function defined by:

$$\Gamma(a, b) = \int_b^{+\infty} x^{a-1} e^{-x} dx. \quad (11)$$

232 Theoretical distributions are here defined over a finite size range  $L_{min} - L_{max}$  fol-  
 233 lowing Deluca and Corral (2013) (what is called "truncated distributions"). The use

234 of truncated functions appears as a necessity since in most cases the maximum cloud  
 235 sizes remain small and the power-law scalings are relatively narrow (at most an order  
 236 of magnitude).

237 Let's stress that the advantage of using and plotting CCDFs instead of standard  
 238 frequency distributions is twofold. First, calculating cumulative distributions does  
 239 not require binning the data. Second, when plotted, heavy-tailed distributions often  
 240 appear noisy, especially in the tail, while their cumulative counterparts are smoother.  
 241 As a result, linear regression in log-log coordinates generally introduces important  
 242 biases when fitting frequency distributions (a 40% error can be expected for a power-  
 243 law with  $\alpha = 2.5$ ) (Goldstein et al., 2004; Clauset et al., 2009).

### 244 **2.3.2 The Clauset et al. method**

245 Clauset et al. (2009) proposed a detailed procedure to identify power-law distri-  
 246 butions in empirical data and estimate their exponents with great precision. The  
 247 suitability and precision of the whole procedure were tested thoroughly by Clauset et  
 248 al. (2009) for many classical empirical distributions exhibiting power-law behaviors.

249 In the following, a modified version of the method proposed by Deluca and Corral  
 250 (2013); Peters et al. (2010) is described and used. The modifications introduced allow  
 251 the application of the procedure to truncated distributions for which both the lower  
 252 and upper bounds of validity must be simultaneously estimated. The procedure can  
 253 be summarized as follows (each method is described in detail in section 2.3.3):

- 254 1. Best fit power-law exponents  $\hat{\alpha}$  are estimated using Maximum Likelihood Esti-  
 255 mation (MLE) for all possible values of  $L_{min}$  and  $L_{max}$ ;
- 256 2. The goodness-of-fit between the empirical and theoretical distributions is cal-  
 257 culated for each triplet  $\{\hat{\alpha}, L_{min}, L_{max}\}$  using a Kolmogorov-Smirnov (KS)  
 258 goodness-of-fit test;
- 259 3. The best fit triplet is the one that maximizes the ratio  $r = L_{max}/L_{min}$ , while  
 260 maintaining the computed KS statistics ( $D$ ) below an arbitrary threshold set  
 261 to 0.05. The p-value associated with the KS statistics for the retained triplet  
 262 is then computed using Monte-Carlo sampling. The fit is accepted only if the  
 263 p-value is below an arbitrarily chosen confidence level of 5%;
- 264 4. The power-law fit can finally be compared to alternative distributions over the  
 265 same range  $L_{min} - L_{max}$  using the likelihood ratio (LR) test.

266 Although the method was originally designed to estimate power-law fits only, we  
 267 will also employ it to determine best fits to the alternative distributions introduced in  
 268 section 2.3.1. The mathematical foundation behind the application of the technique  
 269 to distributions other than power-laws may be weaker, we are considering it for the  
 270 sake of comparison.

### 271 **2.3.3 Statistical methods**

The procedure described above makes use of several statistical methods briefly  
 summarized below, starting with MLE. Let's assume a set of empirical data  $\mathbf{x} =$   
 $(x_{min}, \dots, x_{max})$  that we wish to approximate by a known distribution  $p_{X|\Theta}$  described  
 by  $N$  parameters  $\Theta = (\theta_1, \dots, \theta_N)$ . Defining the log-likelihood function as:

$$\ell(\Theta, \mathbf{x}) = \ln \prod_{x=x_{min}}^{x_{max}} p_{X|\Theta}(x), \quad (12)$$

272 the set of parameters  $\hat{\Theta}$  yielding the best fit is the one that maximizes  $\ell(\Theta, \mathbf{x})$ . For  
 273 numerous standard distributions such as non-truncated power-law and exponential

274 distributions, the optimal parameters maximizing  $\ell(\Theta, \mathbf{x})$  can be found analytically  
 275 by letting  $\partial\ell(\Theta, \mathbf{x})/\partial\Theta = 0$ . In the more general case however, and in particular for  
 276 the truncated distributions introduced in section 2.3.1 for which  $\{L_{min}, L_{max}\} \in \Theta$ ,  
 277  $\hat{\Theta}$  cannot be reduced to a simple analytical formula. In this situation,  $\hat{\Theta}$  is obtained  
 278 from the numerical maximization of the log-likelihood function  $\ell$ .

Once optimal parameters  $\hat{\Theta}$  have been found, the KS statistics  $D$  is computed to  
 give an estimate of how good the fit is.  $D$  is simply defined by the maximum absolute  
 distance between the empirical and theoretical CCDFs between  $x_{min}$  and  $x_{max}$ :

$$D = \sup_{x_{min} < x < x_{max}} \left| P_e(x) - P_{X|\hat{\Theta}}(x) \right|, \quad (13)$$

279 where  $P_e$  and  $P_{X|\hat{\Theta}}$  denote the empirical and theoretical cumulative distributions.

280 Whereas lower  $D$  values intuitively indicate better fits, the statistical significance  
 281 of this quantity depends strongly on the number of data points considered. For this  
 282 reason, the statistics must be complemented by a  $p$ -value computed from the prob-  
 283 ability density function (PDF) of  $D$ , indicating the probability that the underlying  
 284 hypothesis should be rejected. In the situation where  $D$  is calculated for a theoret-  
 285 ical distribution whose parameters are estimated, Monte-Carlo sampling must be used  
 286 (Clauset et al., 2009; Deluca & Corral, 2013). First,  $n$  points ( $n$  being the number of  
 287 points in the empirical data set) are drawn randomly from the estimated theoretical  
 288 distribution  $p_{X|\hat{\Theta}}$ . New values for the best fit parameters are then obtained for the  
 289 simulated data, and the associated KS statistics is computed. Repeating the procedure  
 290 a sufficiently large number of times (500 in our case), a  $D$  PDF can be constructed,  
 291 and if the probability of occurrence of the original  $D$  value is equal to or lower than a  
 292 predefined threshold (here set to 0.05), the test is rejected.

Finally, to compare power-law distributions to other plausible hypotheses an  
 alternative goodness-of-fit test is employed, the LR test. More generally, best fits  
 obtained for any distribution  $p_X$  can be compared to any other alternative hypothesis  
 by means of the LR test. For any best fit distribution  $p_{X|\hat{\Theta}}$  determined over the range  
 $x_{min} - x_{max}$ , and any alternative distribution  $p_{X|\hat{\Phi}}$  described by a set of parameter  $\hat{\Phi}$   
 fitted over the same  $x$  range, the log-likelihood ratio is defined by:

$$LR = -2 \ln \frac{\mathcal{L}(\hat{\Theta}, \mathbf{x})}{\mathcal{L}(\hat{\Phi}, \mathbf{x})} = -2 \left[ \ell(\hat{\Theta}, \mathbf{x}) - \ell(\hat{\Phi}, \mathbf{x}) \right] \quad (14)$$

293 where  $\mathcal{L}$  is the standard likelihood function. Because larger  $\ell$  values indicate a higher  
 294 probability for the data to be drawn from the hypothesized distribution, a negative like-  
 295 likelihood ratio  $LR < 0$  means that the null hypothesis is more likely than the alternative  
 296 hypothesis. In contrast, a positive LR value means that the alternative hypothesis  
 297 is more likely. In practice,  $LR$  must be sufficiently negative (respectively positive)  
 298 for the null hypothesis (respectively the alternative hypothesis) to be unambiguously  
 299 identified as the better hypothesis.

### 300 **3 Power-law and exponential fits**

301 We are initially interested in fitting simulated cloud size distributions to power-  
 302 law and exponential functions only. These two functions are the simplest among those  
 303 presented in section 2.3 as they only depend on a single degree of freedom. Besides,  
 304 power-laws are the most common functions used to fit empirical cloud size distri-  
 305 butions, while exponentials are ubiquitous in natural sciences and physics, including  
 306 atmospheric sciences (Craig & Cohen, 2006).

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### 3.1 Visual inspection

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Cumulative Cloud Size Distributions (or CCSDs) extracted at three different times into the LBA and RICO simulations are shown in figures 1 and 2 respectively. At this point, we are only concerned with the empirical distributions depicted as solid grey lines. In the LBA simulation, starting at 4 h, clouds identified using a CWP threshold are generally bigger and twice as numerous as those determined from a  $q$  threshold ( $\sim 6000$  with CWP as opposed to  $\sim 3200$  with  $q$ ). At this time, most clouds remain indeed relatively shallow and do not reach an altitude of 2000 m where they can be identified using the  $q$  criterion. At later times, the largest clouds now reach about 2 km and 4 km when determined based on  $q$  and CWP thresholds respectively. The number of clouds identified using CWP is also largely reduced to  $\sim 3500$ . The emergence of much bigger clouds indicates the development of convective outflows and the possible merging of cloud objects as convection becomes deeper. After 10 h, a clear linear scaling in log-log coordinates between 200 m and 3000 m is visible, and the largest clouds reach up to 9 km in size. Despite the narrower overall cloud size range, a power-law scaling between 250 m and 1500 m is also visible on the CCSD obtained from a  $q$  threshold.

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As expected, CCSDs extracted from the RICO simulation (Figure 2) do not show the same evident temporal evolution as the ones obtained from the LBA case. The total number of clouds identified based on the  $q$  criterion is relatively constant at  $\sim 220$ , but the biggest clouds in the domain get bigger over time (from 700 m to 1200 m). No power-law scaling can be identified here. Again, many more clouds can be identified using a CWP threshold (between 600 and 700), with cloud objects reaching up to 3.3 km in size after 16 h. Again, no clear power-law behavior is evident at first sight. Note however that the visual determination of power-law behaviors is here biased by the fact that truncated power-law CDFs do not necessarily appear as straight lines in log-log coordinates. This is a direct consequence of the way the truncated function  $P_{PL}(L)$  is defined in equation 7.

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### 3.2 Estimated power-law and exponential best fits

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The procedure introduced in section 2.3.2 is now applied to the CCSDs described in the previous section. At the moment, we only focus on finding best fits to both truncated power-law and exponential distributions.

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Figure 3 shows the temporal evolution of the best fit power-law exponents  $\alpha$  and exponential rate parameters  $\lambda$  in the LBA and RICO simulations. In the LBA case, our algorithm was not able to find reliable fits to power-law distributions early in the analysis period. From 5.5 h, considering only clouds defined based on CWP,  $\alpha$  is relatively constant in time, taking values between 2.05 and 2.13, with an average of 2.07 between 6 h and 10 h. To evaluate the robustness of these estimates, Root Mean Square Errors (RMSE) can be computed for each fit using a standard bootstrapping procedure. The method involves resampling the empirical data with replacement, computing the corresponding best fit parameters for the resampled data, and repeating the operation a sufficient number of times (here 5000 times) to calculate reliable statistics. The average RMSE (represented by error bars on Figures 3) computed between 6 h and 10 h for the considered case remains low at about 0.04, indicating that the identified power-law scalings are a robust feature of these distributions. More variability is found for  $\alpha$  values obtained for clouds identified from  $q$ , with estimates ranging between 2.1 and 2.9, and associated RMSE averaging to 0.075 between 6 h and 10 h.

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Best fit  $\lambda$  values in the LBA case are seen to decrease continuously with time.  $\lambda$  is indeed directly related to the inverse of the mean cloud size and is thus expected to decrease as clouds get bigger. Early in the simulation, both CWP and  $q$  thresholds yield precisely the same  $\lambda$  estimates which suggests that clouds interact only weakly

358 in the shallow cumulus phase. After 6 h,  $\lambda$  values obtained for clouds computed based  
 359 on CWP decrease abruptly below 0.002 as the exponential best fit range shifts from  
 360 the bulk to the tail of the distributions (see section 3.3). At this time, clouds suddenly  
 361 get wider and deeper, and deep convective outflows develop. In contrast, cloud sizes  
 362 calculated from a  $q$  criterion yield  $\lambda$  values relatively constant in time. Again, RMSE  
 363 calculated for all  $\lambda$  values remain small, giving us confidence into our  $\lambda$  estimates.

364 In the RICO case, best fit  $\alpha$  values obtained for both cloud definitions show  
 365 stronger temporal variability compared to the LBA case. Only after 12 h do the  
 366 estimated  $\alpha$  seem to stabilize, with mean values of 2.1 and 1.35 for clouds identified  
 367 based on CWP and  $q$  thresholds respectively. The corresponding mean RMSE is  
 368 also larger than in the LBA case with values of 0.085 and 0.13 respectively. That  
 369 the power-law best fit estimates are here less reliable than in the LBA case is likely  
 370 a consequence of the smaller cloud samples available in each RICO scene. Similar  
 371 remarks can be made regarding best fit exponential parameters for RICO. Compared  
 372 to the LBA case,  $\lambda$  remains on average constant in time, but display larger temporal  
 373 variability and larger RMSE.

374 The mean  $\alpha$  estimates discussed previously are in relatively good agreement with  
 375 power-law exponents reported in the literature where values ranging between  $\sim 1$  to  
 376  $\sim 3.3$  can be found depending on the case. Considering deep convection, exponents  
 377 between  $\sim 1.7$ – $1.9$  (Kuo et al., 1993; Rieck et al., 2014) to  $\sim 2.6$ – $3.3$  (Bley et al., 2017;  
 378 Senf et al., 2018) were reported from high-resolution simulations and satellite imagery.  
 379 Considering maritime shallow convection, our average  $\alpha$  estimate is somewhat smaller  
 380 than the value of 2.42 given by Heus and Seifert (2013) for simulations performed  
 381 under similar conditions, but generally larger than values reported for other similar  
 382 cases between 1.7 (Neggers et al., 2003) and 1.9 (Dawe & Austin, 2012). Satellite  
 383 retrieval yields a broader range of exponents, from 1.6 to 2.2 (Benner & Curry, 1998;  
 384 Zhao & Di Girolamo, 2007; Koren et al., 2008; Wood & Field, 2011).

### 385 **3.3 Power-law and exponential best fit ranges**

386 Figure 4 displays the calculated best fit size ranges obtained for the LBA and  
 387 RICO simulations. In the LBA case, when clouds are identified based on a  $q$  threshold,  
 388 the power-law range remains very narrow (less than a decade), and is always narrower  
 389 than the exponential range. This latter frequently extends up to the biggest clouds in  
 390 the domain, especially before 8 h. In contrast, the power-law range for clouds deter-  
 391 mined from CWP increases over time as clouds get deeper and wider, with a cloud size  
 392 ratio  $r$  reaching 23.5 at 10 h. A clear transition can be seen at 6.5 h, with the power-  
 393 law fits becoming valid over an increasingly broader size range, and the exponential  
 394 fits shifting to the distributions tails. Interestingly, the power-law fits are also found  
 395 to extend up to the largest clouds identified, something made possible by the use of  
 396 truncated functions. Judging goodness-of-fit based on the fit range only, CCSDs com-  
 397 puted based on a  $q$  criterion are best represented by exponential distributions, whereas  
 398 those obtained from CWP are best modeled by power-law distributions (especially in  
 399 the deep convection regime after 6 h).

400 Considering the RICO simulation, exponential distributions generally produce  
 401 best fits valid over a broader range than power-laws for clouds computed from  $q$ . That  
 402 best fits rarely extend to the biggest clouds identified may be a consequence of the  
 403 relatively small cloud samples available. Considering clouds identified from a CWP  
 404 threshold, both power-law and exponential best fits extend over about one order of  
 405 magnitude at almost all times ( $r$  ranging between 9 and 20). Contrary to the LBA  
 406 case, exponential best fits are generally valid over broader size ranges than power-  
 407 laws, and preferentially cover the bulk of the empirical CCSDs. This suggests that  
 408 exponential distributions may be the best choice to represent all CCSDs from RICO.

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### 3.4 Comparison to linear regression

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To evaluate biases introduced when fitting power-laws using linear regression in log-log coordinates, power-law exponents were recomputed with this technique for all empirical CCSDs analyzed previously. The results are compared to our best fit estimates in Figure 5. Linear regression was here applied over size ranges determined visually from the distributions plotted in Figures 1 and 2.

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Linear regression generally tends to overestimate power-law exponents obtained by MLE in both LBA and RICO cases. In the LBA case, linearly regressed exponents differ only moderately from the MLE ones with  $\alpha$  values averaged between 6 h and 10 h of 2.24 and 2.85 (to be compared to the MLE estimates of 2.07 and 2.52). The corresponding relative errors computed for clouds identified from CWP and  $q$  thresholds amount to 23% and 35% respectively. In contrast, estimates from linear regression computed for the RICO simulation show a large spread around the MLE ones, with mean errors reaching almost 100% with both cloud identification criteria.

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The somewhat erratic power-law exponents obtained by linear regression can be directly attributed to the difficulty of clearly identifying the range over which the fits must be performed. As an illustration, best fit exponents for CWP clouds at time 10 h in the LBA case were recomputed using lower and upper size bounds varying from 200 m to 500 m, and from 1000 m to 3000 m respectively (Figure 6).  $\alpha$  is found to increase systematically with increasing  $L_{min}$  and  $L_{max}$  such that the smallest estimated exponent of 2.05 is found in the range 200–1000 m, and the largest exponent of 2.32 in the range 500 – 3000 m. This sensitivity can be explained by the fact that linear regression tends to be overly sensitive to the few, noisy points located in the distributions tails where the slope is steeper.

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Overall, these results indicate that the use of linear regression may explain some of the largest exponent estimates reported in the literature. Lower estimates may in turn be explained by the subjective choice of fitting ranges biased towards smaller cloud sizes where distributions are generally flatter.

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## 4 Alternative distributions

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### 4.1 Visual impression and direct goodness-of-fit

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Figures 1 and 2 allow us to visually compare best fits obtained for all distributions proposed in section 2.3.1. Fits were obtained applying the procedure described in section 2.3.2 to all distributions. Corresponding best fit parameters are reported in tables 6 and 6. In both the LBA and RICO cases, the exponential and power-law functions only irregularly extend to the largest cloud sizes, whereas the Weibull and cutoff power-law distributions consistently provide excellent fits to the distributions tails with minimal errors. Large differences are also obtained for smaller clouds where both exponential and power-law distributions generally provide poorer fits.

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A more objective way to compare the different models is given by the range  $L_{min} - L_{max}$  over which each fit is valid (see Figures 7). Note that since  $D$  depends implicitly on the size range, it cannot be used here to draw a fair comparison between fits obtained over different ranges. First considering the LBA case (top panels), the size ranges indicate that exponential distributions provide better fits than power-laws for CCSDs calculated from a  $q$  threshold, while the opposite is true for CCSDs computed from a CWP threshold. The Weibull and cutoff power-law distributions are generally valid over comparable size ranges, these being systematically broader than those obtained with both the exponential and pure power-law. In the RICO case (bottom panels), conclusions consistent with our previous analysis can be drawn, with the exponential distribution being a better model for CCSDs calculated from  $q$  (broader

458  $L_{min} - L_{max}$  range). The results are more contrasted for CWP clouds, with the expo-  
 459 nential distribution being perhaps again the better choice. As in the LBA case, both  
 460 alternative distributions are valid over similar size ranges being broader than those  
 461 obtained with the exponential and pure power-law functions.

462 Consistent with our visual inspection, this analysis suggests that in all situations,  
 463 both the Weibull and cutoff power-law distributions provide the best representations  
 464 of our empirical CCSDs. However, the two hypotheses can't be easily differentiated  
 465 without further analyses.

## 466 4.2 Likelihood ratio tests

467 As mentioned in sections 2.3.2 and 2.3.3, the LR test constitutes a more robust  
 468 tool to assess the goodness of various hypotheses. The strength of the LR test resides  
 469 in the fact that different hypotheses can be compared over the same ranges. Matrices of  
 470  $LR$  values for each possible combination of null and alternative hypotheses are shown  
 471 on Figures 8 and 9. Null hypotheses (the reference) are shown on the horizontal axes,  
 472 while alternative hypotheses can be read on the vertical axes. If a matrix element  
 473 corresponding to given null and alternative distributions is blue (respectively red),  
 474 corresponding to a negative (resp. positive)  $LR$ , the reference (resp. alternative)  
 475 distribution can be regarded as the better fit. Grey squares indicate that no reliable  
 476 fit could be found with the corresponding alternative distribution.

477 Focusing first on the LBA simulation (Figure 8), the LR test confirms that the  
 478 cutoff power-law is the distribution yielding the best fits in all cases. This can be  
 479 deduced from the positive  $LR$  values obtained when the cutoff power-law is used as  
 480 the alternative distribution (red squares in the top rows), and from the negative  $LR$   
 481 values obtained when it is used as the reference distribution (blue squares in the  
 482 rightmost columns). Both exponential (at earlier times) and Weibull (at later times)  
 483 distributions are also found to yield reasonable fits in certain situations. In contrast,  
 484 the power-law appears to be constantly outperformed by other alternatives (red squares  
 485 in the leftmost columns, and grey or blue squares in the bottom rows).

486 In the RICO case (Figure 9), both exponential and power-law fits are outper-  
 487 formed by the two alternative distributions at any time and with any cloud definition.  
 488 For clouds identified from a  $q$  threshold, the Weibull and cutoff power-law distributions  
 489 generally result in equally good fits (low  $LR$  values), with a slight advantage to the  
 490 cutoff power-law. For clouds based on a CWP threshold, the two alternative distribu-  
 491 tions again perform similarly well, although the Weibull distribution now emerges as  
 492 the better choice.

493 In summary, the cutoff power-law distribution generally constitutes the best op-  
 494 tion to approximate CCSDs estimated from the LBA simulation, while the cutoff  
 495 power-law, Weibull and exponential distributions may all be regarded as the better  
 496 model in the RICO case depending on the situation. None of the estimators used here  
 497 suggest that power-laws should be the preferred option to model empirical CCSDs.

## 498 5 Physical interpretation and generative mechanisms

### 499 5.1 Interpreting exponential fits

500 Using arguments from statistical physics, Craig and Cohen (2006) predicted that  
 501 the mass flux distribution of a convective cloud ensemble should follow an exponential  
 502 distribution. This result was obtained assuming that the system is in equilibrium,  
 503 that clouds do not interact, and that the mass flux associated with individual clouds  
 504 is an independent and identically distributed (i.i.d.) random variable. This result is  
 505 consistent with the maximum entropy principle, according to which the exponential

506 distribution is the distribution with specified mean, supported on the interval  $[0, +\infty)$   
 507 that maximizes the information entropy. In other words, the exponential distribution  
 508 is the most likely model to represent cloud mass fluxes in a non-interacting cloud  
 509 ensemble.

510 Following the same line of thought, the maximum entropy principle applied to  
 511 cloud sizes (having a finite mean and being supported on the open interval  $[0, +\infty)$ )  
 512 predicts that the most likely model describing CCSDs is the exponential distribution.  
 513 This is again valid only as long as clouds do not interact strongly. Deviations from  
 514 this principle may explain why empirical distributions are only loosely represented by  
 515 pure exponential distributions.

516 It is interesting to note that only clouds identified from a  $q$  threshold appear  
 517 to preferentially follow exponential distributions. Clouds determined from a CWP  
 518 threshold are indeed more likely to be subject to complex interactions, merging and  
 519 possibly overlapping at various altitudes. This may also be true for clouds developing  
 520 in presence of strong self-organization (for example in the LBA case at 10 h). In this  
 521 situation, the probability that a cloud forms at a particular location is not uniform but  
 522 depends strongly on the underlying dynamics (clouds are for example known to develop  
 523 preferentially at the intersection of propagating cold pool fronts (Haerter et al., 2019)).  
 524 The emergence of power-law scalings in CCSDs may therefore be a manifestation of  
 525 strong self-organization, cloud clustering and cloud merging. Conversely, exponential  
 526 CCSDs may indicate an absence of self-organization.

## 527 **5.2 Power-laws and heavy-tailed distributions**

528 In general, cloud size distributions were found to be better approximated by  
 529 Weibull or cutoff power-law distributions, a characteristic already noted by Windmiller  
 530 (2017) and van Laar et al. (2019). More than just a coincidence, this general feature  
 531 might give us clues regarding the mechanisms organizing the cloud ensemble.

A distinctive property of the Weibull and cutoff power-law distributions is that  
 they are expressed as the product of a power-law and an exponential. This is reminis-  
 cent of the characteristic distributions found for sub-critical percolating (Stauffer &  
 Ahaorny, 1994) and SOC (Bak et al., 1988; Jensen, 1998) systems:

$$p(s) = s^{-\tau} \mathcal{G}(s/s_0) \quad (15)$$

532 with  $s$  a characteristic size,  $s_0$  a correlation length,  $\tau$  a critical exponent and  $\mathcal{G}$  a scaling  
 533 function. In a system of finite size, the correlation length is related to the system size  
 534  $L$  via  $s_0 \propto L^d$ , with  $d$  a critical exponent. Note that the pure power-law behavior  
 535 is only recovered asymptotically as the correlation length diverges. For  $s_0$  sufficiently  
 536 small, finite-size scaling will affect the power-law pre-factor and critical exponents.

537 By analogy, several authors have proposed SOC as a possible explanation to  
 538 describe convective cloud and rain cluster ensembles (Peters & Neelin, 2006; Peters  
 539 et al., 2009, 2010; Teo et al., 2017; Yano et al., 2012). For a system to exhibit SOC,  
 540 it is generally believed that certain conditions have to be fulfilled (Bak et al., 1988;  
 541 Jensen, 1998): 1) an external force drives the system slowly towards an unstable state,  
 542 2) a threshold exists beyond which avalanches are triggered, 3) there exists a strong  
 543 scale separation between the slow external force and the fast relaxation following each  
 544 avalanche (relaxation is key to ensure that energy is conserved). All three criteria are  
 545 generally met in our simulations and, more generally, in convective situations.

546 Following these ideas, a simple model of the convective atmosphere can be de-  
 547 signed. Here, atmospheric moisture plays the role of the control parameter, as sug-  
 548 gested by Peters and Neelin (2006); Peters et al. (2009, 2010), and the following  
 549 principles apply:

- 550 1. The atmosphere is slowly driven towards instability by surface fluxes of energy  
 551 and moisture. Convective thermals originating from the surface induce local  
 552 bursts of moisture similar to grains being added one by one in the sandpile  
 553 model from Bak et al. (1988).
- 554 2. When one of these thermals becomes supersaturated, that is when the system's  
 555 critical threshold is exceeded, a cloud forms, that is an avalanche is triggered.
- 556 3. Entrainment and detrainment mix the cloud with its environment leading to  
 557 moisture being diffused to nearest neighbors. The avalanche grows as long as  
 558 these neighbors become supersaturated. An avalanche may thus spread to other  
 559 critical sites nearby, just like clouds may merge with their neighbors.
- 560 4. The cloud eventually dissipates, having moistened its environment. A new cycle  
 561 may then start again.

562 Note that, as explained by Marković and Gros (2015), systems apparently show-  
 563 ing critical behaviors may in fact not reach criticality at all. This applies notably  
 564 to non-conservative systems as well as when small variations are introduced in clas-  
 565 sical SOC models. In such situations, the resulting characteristic distributions may  
 566 differ from equation 15. In non-conservative SOC systems, energy is dissipated each  
 567 time an avalanche is triggered which provides a stabilizing mechanism that constrains  
 568 avalanches (clouds) to be of finite sizes even in virtually infinite systems. This state  
 569 was termed "self-organized quasi-criticality" (SOqC) (Bonachela & Muñoz, 2009) as  
 570 the system seems to approach criticality without ever reaching it. Going back to the  
 571 previous analogy between a convective cloud ensemble and SOC, large-scale drying  
 572 through compensating subsidence in the environment may play the role of the stabiliz-  
 573 ing factor by removing moisture. It is thus suggested here that SOqC might be a more  
 574 appropriate model than SOC to describe and explain convective cloud ensembles.

575 Note that whereas Weibull distributions are generally not considered to be an  
 576 emerging feature of SOC systems, it has been shown that they often provide excellent  
 577 fits to empirical distributions exhibiting power-law scalings with quickly decaying tails  
 578 (Laherrere & Sornette, 1998). As mentioned by Laherrere and Sornette (1998), the  
 579 Weibull distribution could stem from the superposition of finite-size scaling (pure ex-  
 580 ponential decay), and deviations from a pure power-law. This is particularly relevant  
 581 in the RICO case for which the sample size and overall cloud size range are small. In  
 582 addition, deviations in the tail of the simulated empirical distributions may also be  
 583 accentuated by dynamical feedbacks constraining the size of the biggest clouds, and  
 584 possibly being affected by the size of the numerical domain (Heus & Seifert, 2013).  
 585 From this perspective, the Weibull distribution is not necessarily inconsistent with the  
 586 SOC hypothesis, and is perhaps a manifestation of natural deviations from the general  
 587 form represented by equation 15.

### 588 **5.3 Transition from exponential to power-law scaling**

589 In the quickly organizing LBA simulation, a clear transition from an exponential  
 590 behavior at earlier times to heavy-tailed cloud size distributions at later times could  
 591 be identified (see Figure 4). As suggested in sections 5.1 and 5.2, exponential cloud  
 592 size distributions characterize cloud ensembles where individual clouds only weakly  
 593 interact, whereas a power-law scaling is a manifestation of self-organized states where  
 594 short-range interactions prevail. Following this idea, we can expect cloud clustering  
 595 and cloud merging to become more frequent during the transition.

596 Cloud clustering can be visualized on Figure 10a where, following Nair et al.  
 597 (1998), the simulated nearest-neighbour cumulative distribution functions (NNCDF)  
 598 for CWP clouds in the LBA case are plotted against theoretical NNCDF obtained  
 599 for randomly distributed clouds. The simulated NNCDFs constantly lie above the  
 600 diagonal line suggesting that clustering happens at all times (Nair et al., 1998). The

earlier NNPDFs (blue lines) indicate however that the cloud ensemble is still close to spatial randomness before 5 h. As time progresses, clustering becomes stronger and peaks at the end of the simulation.

Clustering can alternatively be quantified by means of the  $I_{org}$  parameter (Tompkins & Semie, 2017) which is proportional to the NNPDF integral with respect to the diagonal line in Figure 10a. Consistent with the displayed NNPDFs,  $I_{org}$  increases with time (Figure 10b), from a value slightly above 0 (clouds being randomly distributed in space) at 4 h, to 0.12 at 10 h. A break in the slope of the  $I_{org}$  time evolution at 6 h reveals a change in the behavior of the cloud ensemble. This change coincides with the emergence of a clear power-law scaling in the empirical CCDFs. Although this is insufficient to conclude on a causal relationship between clustering and power-law CCDFs, it is here hypothesized that the two are intimately connected.

Figure 10b displays the time evolution of the mean number of active cores within each identified cloud. To determine active cores, local  $w$  maxima were first identified within each cloud object using windows of  $5 \times 5$  pixels. Only distinct maxima larger than  $3 \text{ m s}^{-1}$  were then counted as independent, and clouds with no such local maximum were counted as having a single core. Overall, the number of cores per cloud increases throughout the simulation indicating that individual clouds are more likely to contain several cores, or that individual clouds are made up of an increasingly large number of cores. This suggests that as clouds organize and cluster (increasing  $I_{org}$ ), they are also more likely to merge. As a result, the very large clouds constituting the tail of the empirical CCSDs (in particular after 6 h) are in fact formed by a collection of several individual cores that have merged in regions of strong clustering.

## 6 Conclusion

In order to provide more robust fits to cumulus cloud size distributions, and therefore permit unbiased interpretations of the properties and organization of a cloud ensemble, an advanced fitting algorithm, inspired by the works of Clauset et al. (2009), was described and applied to simulated convective situations. The method is based on the following principles: 1) smooth cumulative distributions are used instead of the more noisy frequency distributions; 2) a robust maximum likelihood estimator is employed to determine best fits to predefined theoretical distributions; 3) a goodness-of-fit test is employed to find the optimal size range over which these fits hold. In addition, the described algorithm also permits direct comparisons between best fits obtained with various distributions including exponential, power-law, Weibull and cutoff power-law functions. Overall, the method directly addresses some of the main issues generally associated with fitting techniques based on linear regression.

The algorithm was demonstrated using two cloud resolving model simulations representative of the diurnal shallow-to-deep convection transition over land, and maritime shallow cumuli in the trade-winds region. In addition, two criteria were tested to identify cloud objects: the first is based on a condensed water content ( $q$ ) threshold, and the second on condensed water path (CWP). For clouds identified based on  $q$ , empirical size distributions were generally reasonably well approximated by exponential distributions, although alternative distributions (Weibull and cutoff power-law) frequently yielded better fits. Clear power-law scalings were identified for CWP based cloud size distributions. However, despite these robust estimates, the alternative heavy-tail functions tested were found to unequivocally be the best models representing the simulated distributions.

Two main mechanisms were invoked to explain the emergence of both exponential and heavy-tail cloud size distributions in our simulations. Exponential distributions can be derived from the maximum entropy principle: the exponential is the most

**Table 1.** Best fit parameters estimated from the CCSDs obtained in the LBA simulation. Cloud objects are identified based either on a  $q$  threshold at  $z = 2000$  m (top rows), or a CWP threshold (bottom rows).

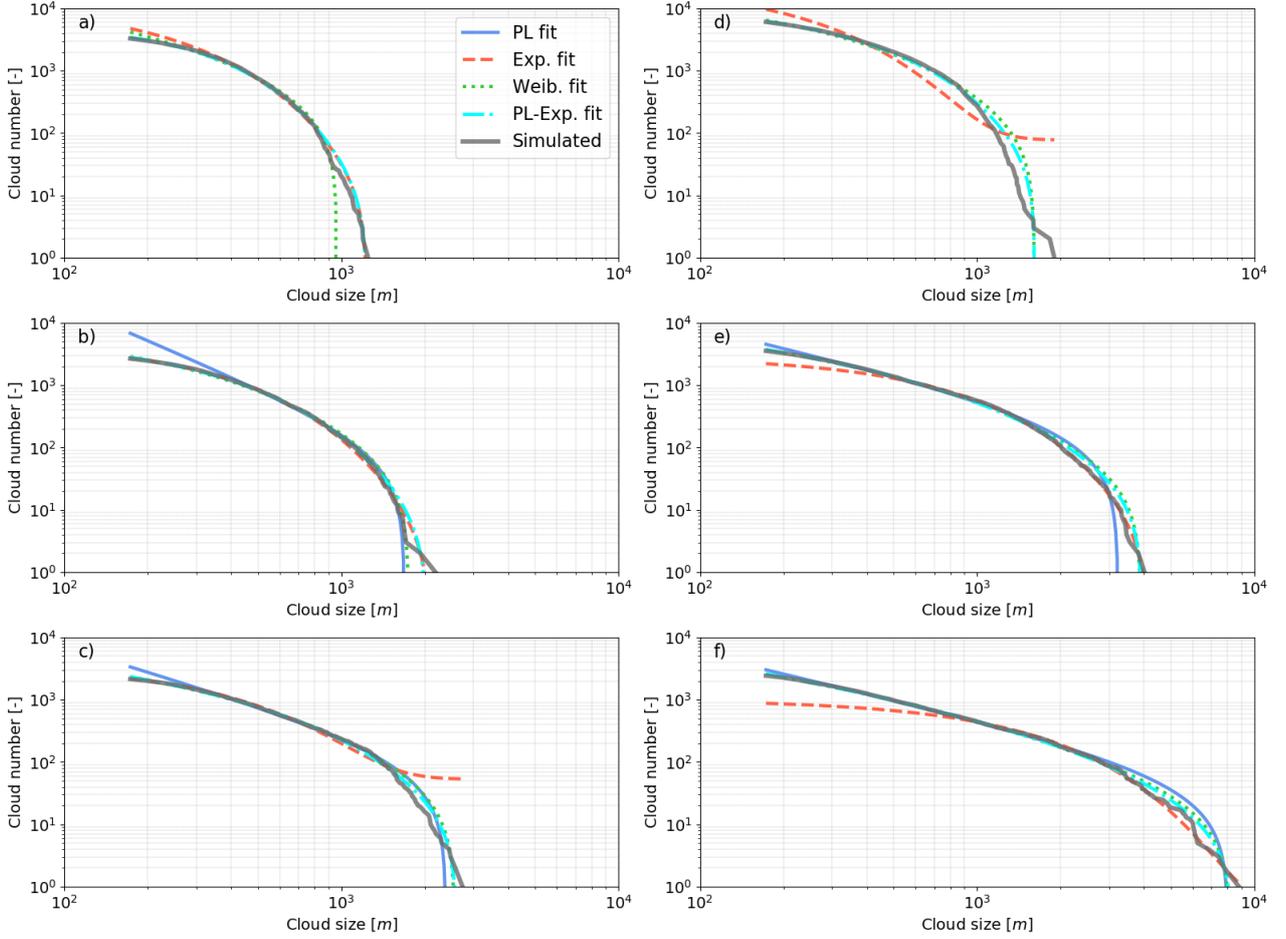
Time	$\hat{\lambda}$	$r$	$\hat{\alpha}$	$r$	$\hat{\eta}\text{-}\hat{\beta}$	$r$	$\hat{\mu}\text{-}\hat{\nu}$	$r$
10 h	$3.26 \times 10^{-3}$	6.5	-2.34	6.4	0.15-0.47	11.1	$1.27 \times 10^{-3}$ -1.16	11.1
7 h	$3.64 \times 10^{-3}$	8.6	-2.90	3.4	0.042-0.66	7.5	$3.0 \times 10^{-3}$ -0.36	8.6
4 h	$5.71 \times 10^{-3}$	3.1	-	-	0.26-0.44	3.5	$6.71 \times 10^{-3}$ -0.92	5.3
10 h	$0.84 \times 10^{-3}$	7.5	-2.05	23.5	1.39-0.20	34.8	$2.1 \times 10^{-4}$ -1.71	34.8
7 h	$1.66 \times 10^{-3}$	6.7	-2.06	9.1	0.21-0.42	17.1	$7.1 \times 10^{-4}$ -1.37	17.1
4 h	$5.68 \times 10^{-3}$	3.0	-	-	0.04-0.66	7.2	$3.37 \times 10^{-3}$ -0.11	7.2

651 probable size distribution model as long as clouds interact only weakly and do not  
652 organize (random occurrence). In contrast, the emergence of power-law scalings was  
653 suggested to be the manifestation of self-organized criticality (SOC) or, to be more  
654 precise, self-organized quasi-criticality (SOqC). A direct analogy between convective  
655 cloud ensembles and a typical (non-conservative) SOC model could indeed be drawn  
656 where water vapor plays the role of the control parameter, and clouds correspond to  
657 avalanches triggered when water vapor locally exceeds a critical threshold (saturation).  
658 Note however that as attractive as the concept may be, a more careful evaluation  
659 of convective cloud ensembles and their characteristics should be conducted before  
660 concluding on the relevance of SOC.

661 The two mechanisms mentioned here were shown to be consistent with the transi-  
662 tion observed in the continental convection case from an exponentially distributed  
663 cloud population at earlier times (when shallow clouds prevail), to heavy-tail cloud size  
664 distributions at later times (deep convective regime). As the transition takes place, it  
665 was indeed shown that both cloud clustering and cloud merging increase, thus support-  
666 ing the fact that exponentials characterize weakly interacting clouds, while heavy-tail  
667 functions are a manifestation of self-organization.

668 The two cases analyzed in this study yielded comparable power-law exponents  
669 of about 2.1 (when applicable), a value consistent with most estimates reported in the  
670 literature, and with the theoretical critical exponent of 2 expected from relevant SOC  
671 models. Note however that previously published best fit exponents take values ranging  
672 between  $\sim 1$  to  $> 3$ , a variability that can only in part be explained by the use of  
673 unsuitable fitting techniques. While we can expect the proposed method to increase  
674 the confidence associated with best fit exponent estimates, other factors are likely  
675 responsible for much of the variability. For example, it has been shown previously  
676 that exponent values may vary as clouds become more mature. It was also suggested  
677 that boundary layer properties influence the size of the biggest clouds in a cloud scene,  
678 and possibly the power-law scaling. Overall, this suggests that more efforts should be  
679 put into trying to understand the factors influencing scaling exponents of cumulus size  
680 distributions.

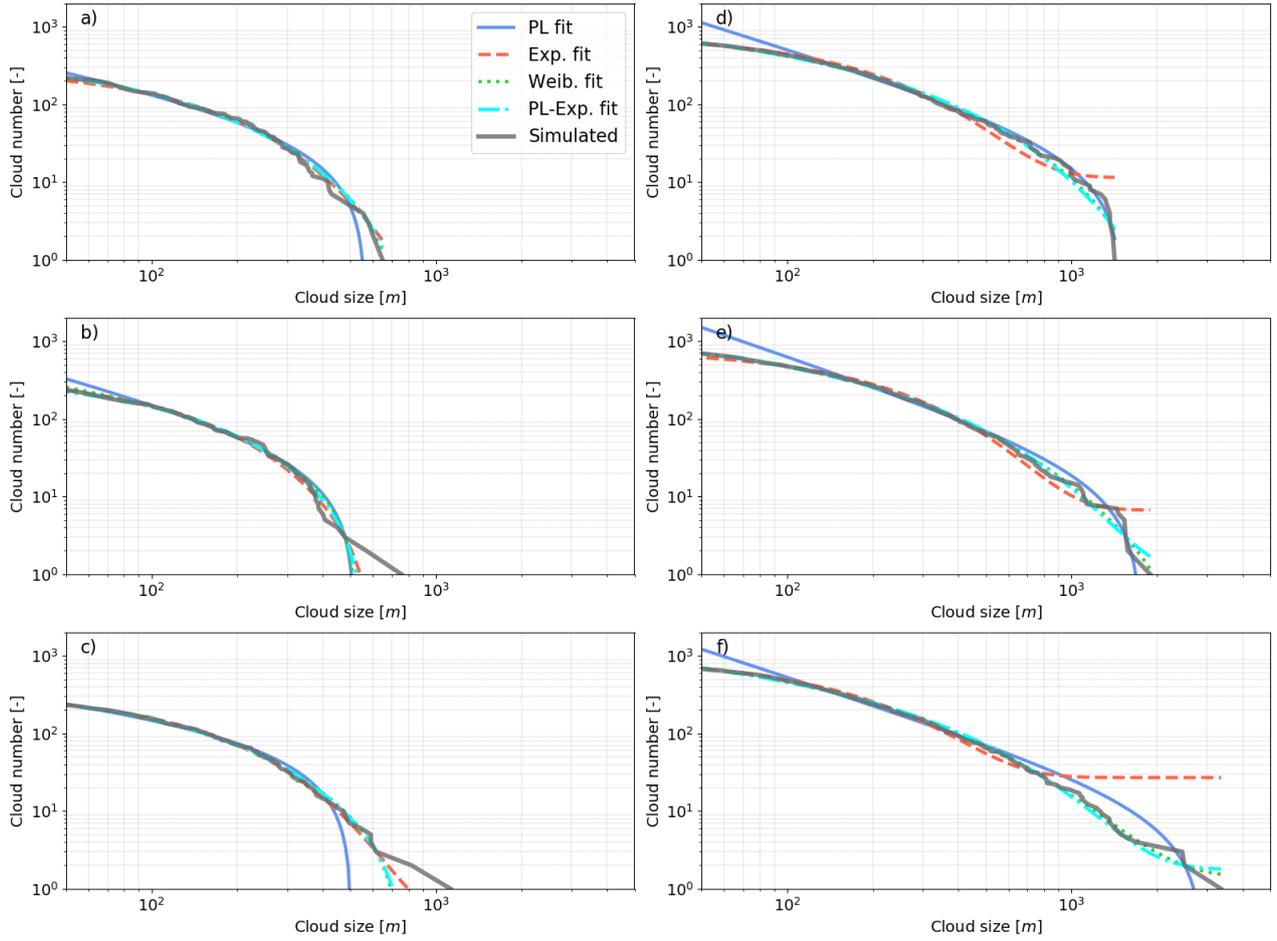
681 Finally, it should be stressed that our analysis of empirical cloud size distributions  
682 may be affected by sub-sampling biases. If the cloud sample available is too small,  
683 we may indeed expect errors in the distribution tails as the largest clouds become  
684 under-represented. Ultimately, the best solution to minimize these biases and yield  
685 reliable fits remains to use very large samples obtained from long-term, large domain  
686 simulations.



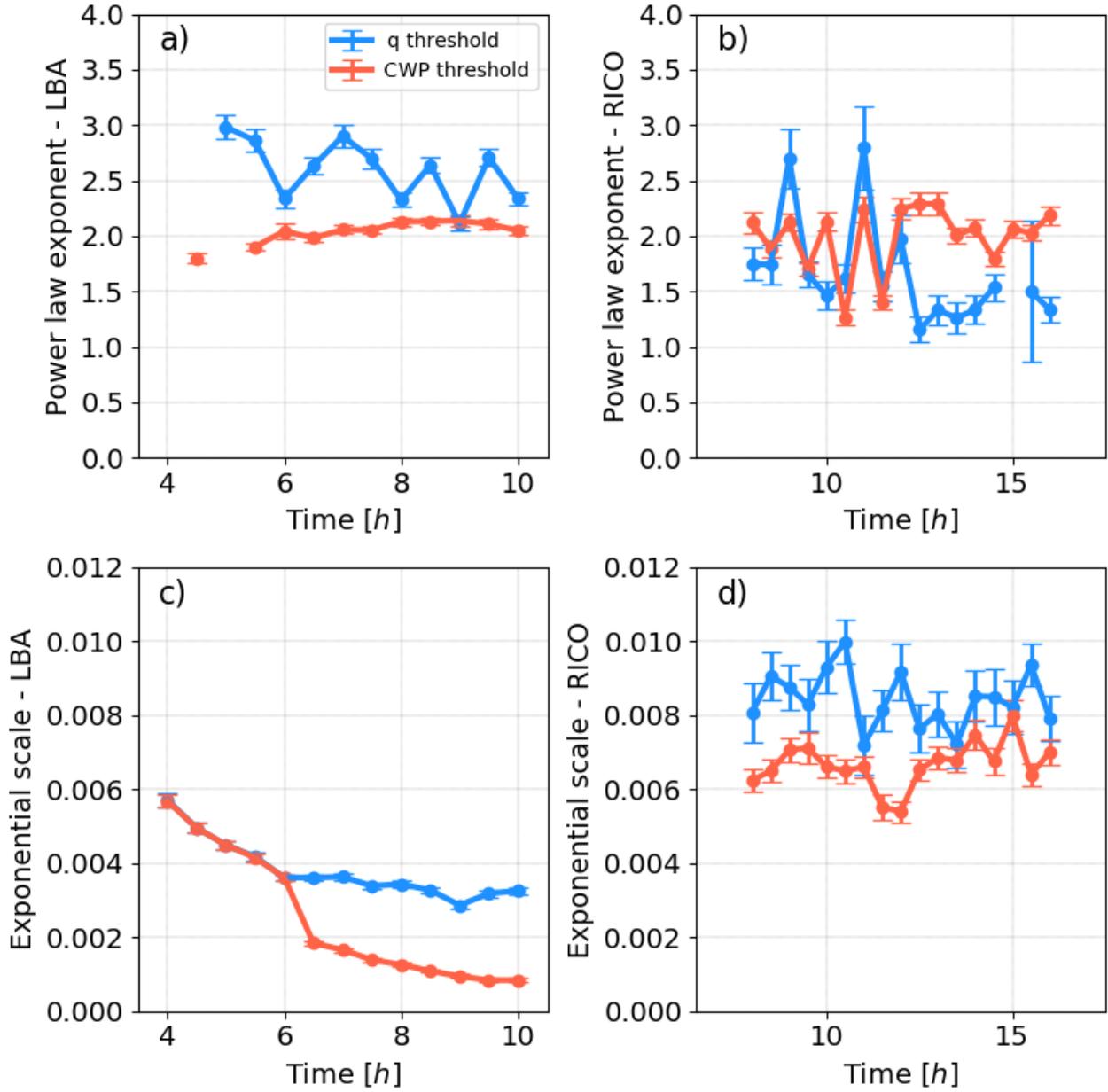
**Figure 1.** CCSDs calculated for the LBA diurnal cycle case, at three different times into the simulation. From top to bottom: at 4 h, 7 h and 10 h. Left column: clouds are identified based on a condensed water content  $q$  threshold of  $0.01 \text{ g.m}^{-3}$  at 2000 m. Right column: clouds are identified based on a CWP threshold of  $50 \text{ g m}^{-2}$ . Best fits obtained with power-law, exponential, Weibull and cutoff power-law functions are also plotted. The corresponding best fit parameters are collected in Table 6).

**Table 2.** Same as table 6 but for the RICO simulation.

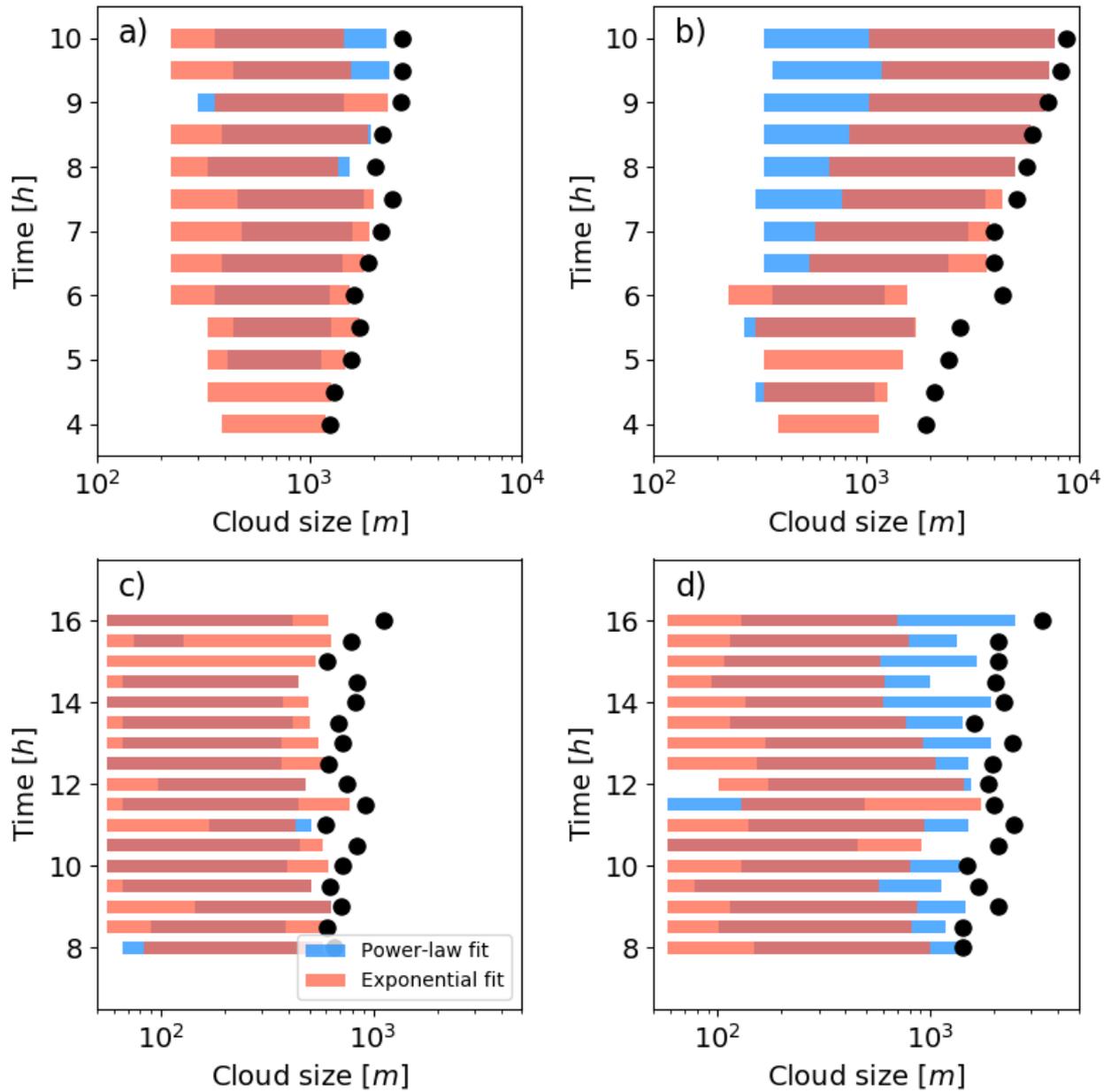
Time	$\hat{\lambda}$	$r$	$\hat{\alpha}$	$r$	$\hat{\eta}$ - $\hat{\beta}$	$r$	$\hat{\mu}$ - $\hat{\nu}$	$r$
12 h	$7.93 \times 10^{-3}$	11.0	-1.34	7.5	0.029-0.78	11.0	$5.61 \times 10^{-3}$ -0.47	11.0
8 h	$9.18 \times 10^{-3}$	8.6	-1.97	4.9	0.17-0.51	5.3	$7.72 \times 10^{-3}$ -0.14	5.8
4 h	$8.08 \times 10^{-3}$	7.0	-1.75	7.5	0.037-0.75	10.4	$5.75 \times 10^{-3}$ -0.59	10.4
12 h	$7.01 \times 10^{-3}$	12.0	-2.18	19.2	0.10-0.56	43.0	$2.2 \times 10^{-3}$ -1.05	43.0
8 h	$5.40 \times 10^{-3}$	14.3	-2.24	9.0	0.078-0.59	26.7	$2.55 \times 10^{-3}$ -0.93	26.7
4 h	$6.24 \times 10^{-3}$	17.1	-2.12	9.1	0.073-0.6	23.5	$2.63 \times 10^{-3}$ -0.9	23.5



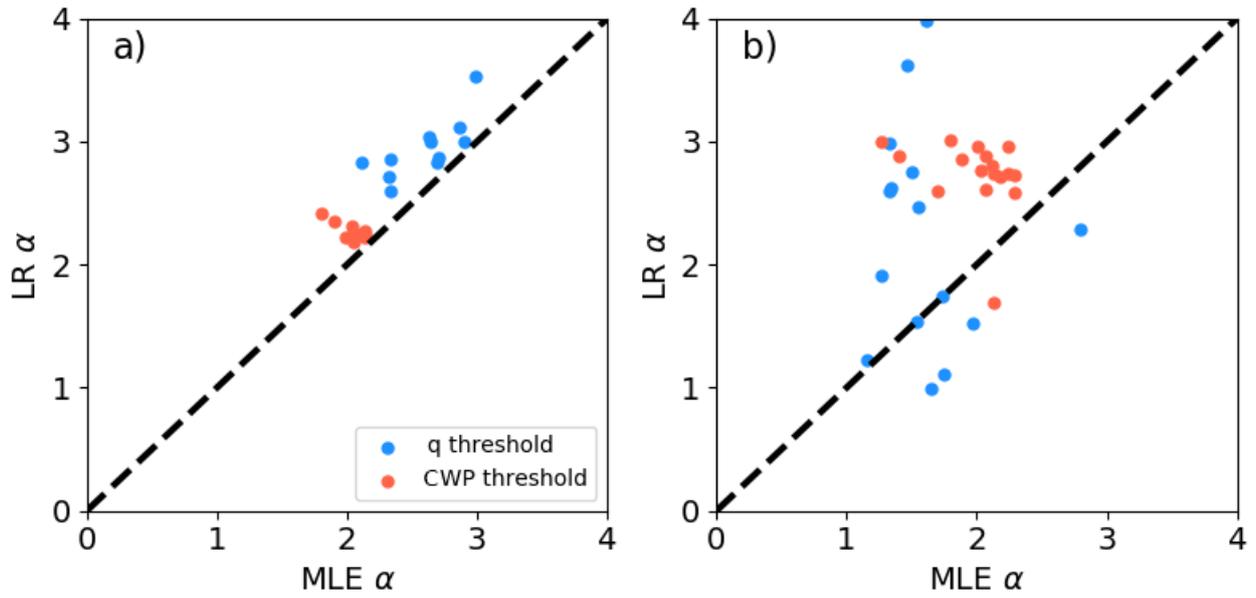
**Figure 2.** Same as Figure 1 for the RICO case. From top to bottom: at 8 h, 12 h and 16 h. The corresponding best fit parameters are collected in Table 6).



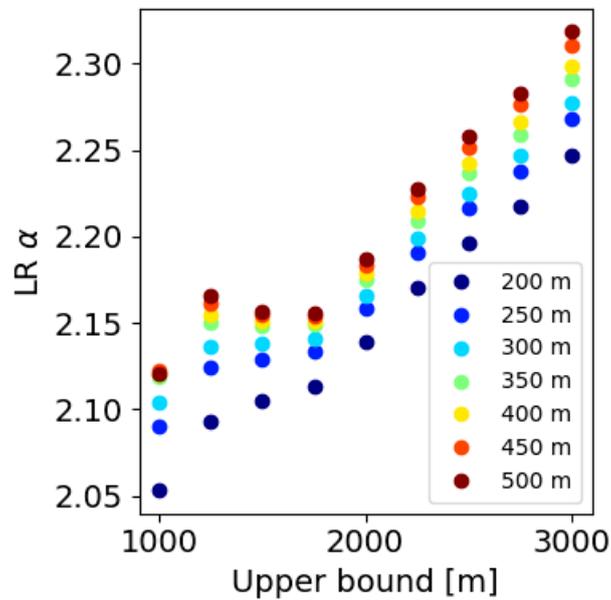
**Figure 3.** Time series of estimated power-law exponents  $\alpha$ , and exponential scales  $\lambda$  estimated in the LBA (left, panels a and c) and RICO (right, panels b and d) cases. Uncertainty bars corresponding to the root mean square errors computed directly from bootstrapping (see text) are also depicted. Estimates that do not satisfy the  $p$ -value condition are omitted.



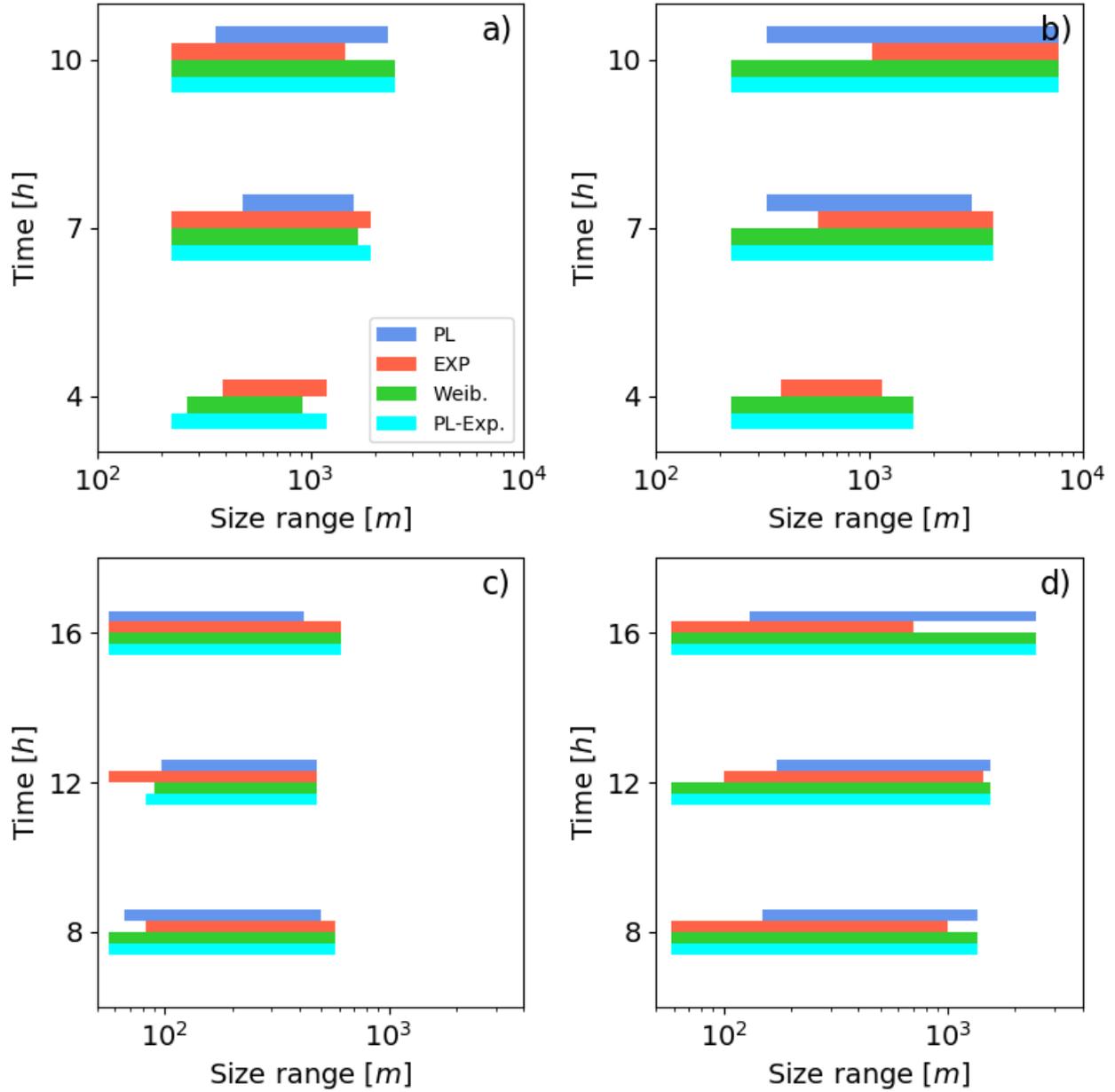
**Figure 4.** Time evolution of power-law (blue) and exponential (red) best fit size ranges computed for the CWP and  $q$  CCSDs (left and right column respectively). Top row: LBA case; bottom row: RICO case.



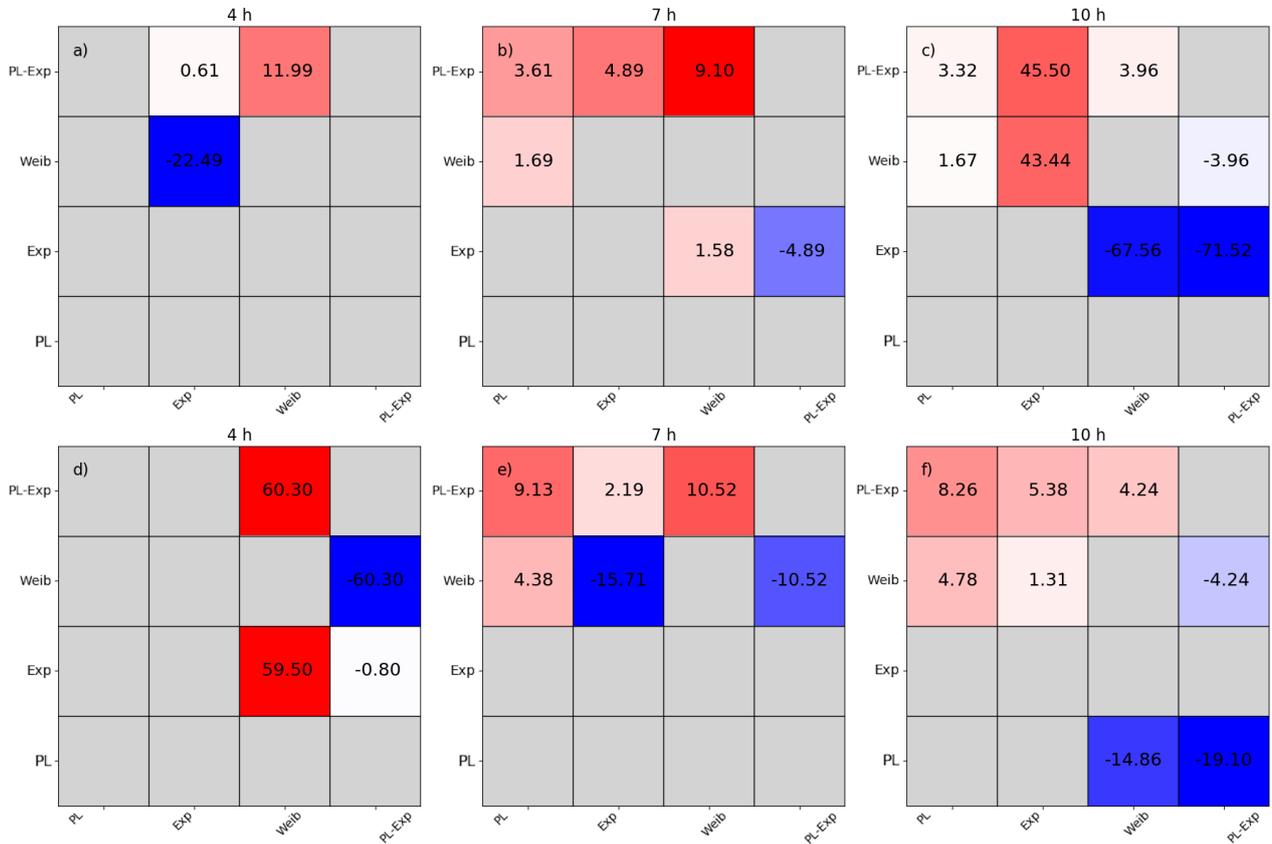
**Figure 5.** Estimated power-law exponents as plotted on figures 3 for the LBA and RICO simulations, against equivalent best-fit estimates obtained using linear regression.

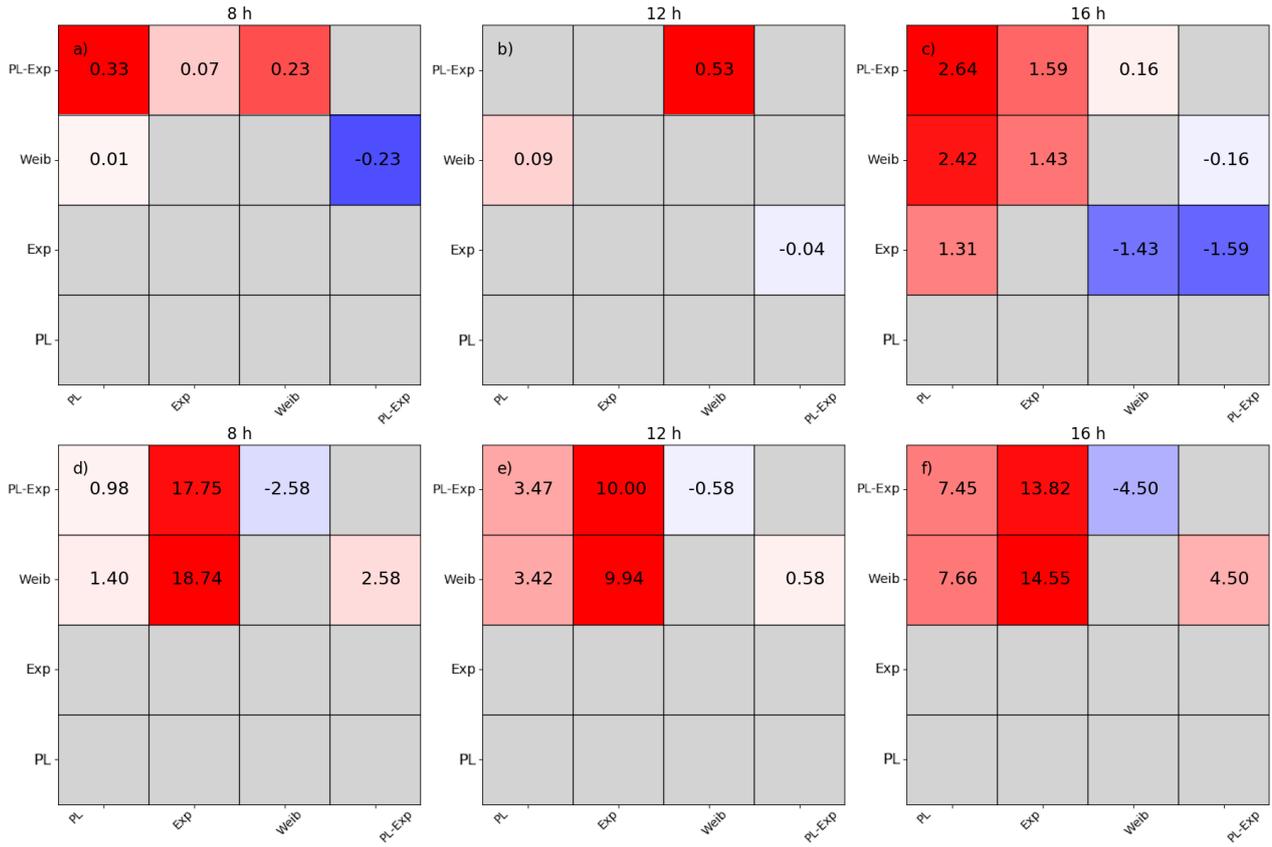


**Figure 6.** Sensitivity of linearly regressed exponents to the lower and upper size bounds in the LBA case for CWP clouds at 10 h.

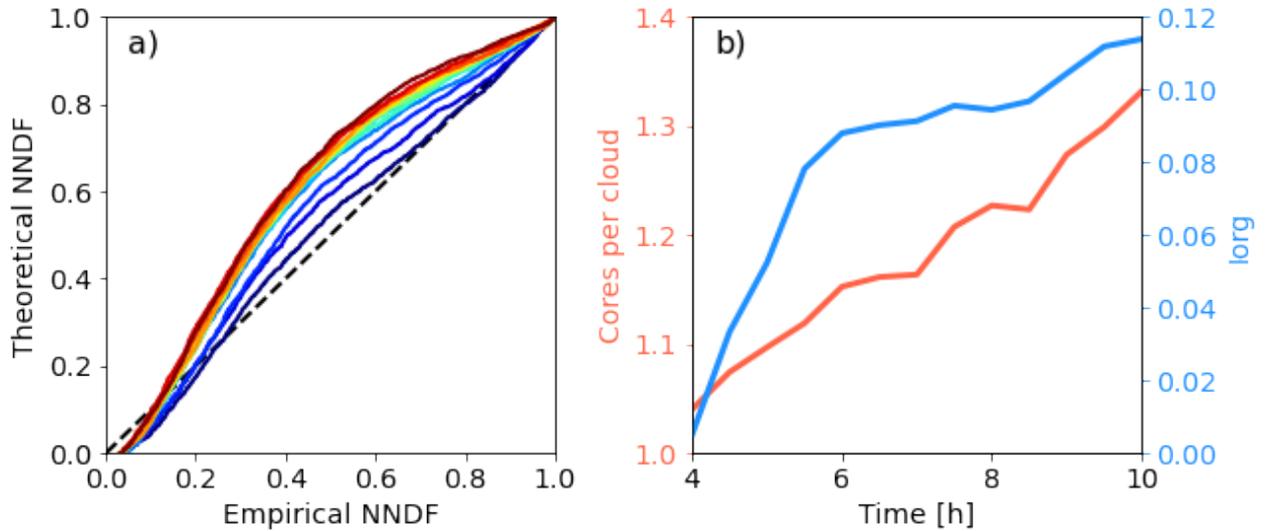


**Figure 7.** Size ranges ( $\Delta L = L_{max} - L_{min}$ ) over which best-fits with power-law (blue), exponential (red), Weibull (green) and cutoff power-law (cyan) distributions were obtained. Only three different times for both the LBA (top row) and RICO (bottom row) simulations are shown. Size ranges for CWP and  $q$  based CCSDs are on the left and right respectively.





**Figure 9.** Same as figure 8 but for cloud distributions from the RICO simulation.



**Figure 10.** Clustering indices plotted as a function of time for the LBA case: a) NNPDF distributions (see text for further information) with lines colored according to time, from 4 h (blue) to 10 h (dark red), b)  $I_{org}$  and mean number of cores ( $w > 4 \text{ m s}^{-1}$ ) per cloud object.

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