Climate change and drought amplify the potential for uncontrollable fires in Nepal

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Abstract

In 2021, Nepal underwent its most severe fire season, resulting in a fire rate 10 times greater than the historical average in many areas of the country with record-high air pollution levels. Leading the fire outbreaks in March of 2021, the country experienced an extreme precipitation deficit and drought in the post-monsoon season. Current community forest management practices and resultant forest growth may have exacerbated the conflagration, but an analysis using observational, reanalysis, and climate model ensemble data indicates that climate variability and climate change induced severe drought conditions that resulted in the anomalous fire season. While warning of the likely re-occurrence of extremely active fire seasons in Nepal through the end of the 21st century, this research also proposes a statistical model for sub-seasonal prediction that could help mitigate the projected effects of the drought-fire paradigm.

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1 Climate change and drought amplify the potential for uncontrollable fires in Nepal

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- 19 Key Points:
- Nepal underwent a record breaking fire season in 2021 with active fire counts ten times
 greater than the historical average.
- This fire season was exacerbated by climate change and future projections suggest increased drought conditions and more active fire seasons.
- In response to this risk, a simple empirical prediction model is made to forecast active fire counts one to two months in advance.
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- 27

28 Abstract

In 2021, Nepal underwent its most severe fire season, resulting in a fire rate 10 times greater than 29 the historical average in many areas of the country with record-high air pollution levels. Leading 30 the fire outbreaks in March of 2021, the country experienced an extreme precipitation deficit and 31 32 drought in the post-monsoon season. Current community forest management practices and resultant forest growth may have exacerbated the conflagration, but an analysis using 33 observational, reanalysis, and climate model ensemble data indicates that climate variability and 34 35 climate change induced severe drought conditions that resulted in the anomalous fire season. While warning of the likely re-occurrence of extremely active fire seasons in Nepal through the 36 end of the 21st century, this research also proposes a statistical model for sub-seasonal prediction 37 that could help mitigate the projected effects of the drought-fire paradigm. 38

39 **1 Introduction**

In the winter of 2020 through the spring of 2021, Nepal experienced a historic fire 40 season. While seasonal fires after the summer monsoon are commonly used to manage farmlands 41 and pastures (Matin et al., 2017), extremely dry conditions resulted in uncontrollable blazes 42 throughout April. Impacts were felt throughout the nation. Almost 20 lives were claimed by fire, 43 school closures were widespread due to hazardous air quality conditions, and black carbon 44 fallout was observed across the Himalayas which has been linked to more rapid melt of glaciers 45 and mountain snow (Qian et al., 2011). This extreme fire season was concomittent with the 46 lowest average precipitation for October through March since 1980 (Figure S1). Similar cases of 47 drought have been identified as one of the primary forcings for several severe fire seasons in 48 49 Nepal, most notably in 2008 and 2016 (Matin et al., 2017). Although there is a general understanding that high-fire years in Nepal follow severe winter droughts, the historical 50 relationship between meteorological drought and fire potential is largely unknown. 51

Research also suggests that this drought is part of trend towards drier winter conditions 52 partly fueled by anthropogenic climate change (S.-Y. Wang et al., 2013). Decreased precipitation 53 from satellite and rain gauge observations and decreased soil water have been noted in tandem 54 55 with increased temperature – a recipe for enhanced drought stress (Hamal et al., 2020; Shrestha et al., 2012; Wang et al., 2013). Warming in the Himalayan region has outpaced the global 56 57 average (A. B. Shrestha et al., 1999) and the potential for climate change to have greater ecosystem impacts on high elevation regions further implicates that Nepal is highly vulnerable to 58 59 drought (Alamgir et al., 2014; Bhatta & Aggarwal, 2016; Macchi et al., 2015; Pandey & Bardsley, 2015). Additionally, fires in Nepal are managed by communities rather than a 60 61 centralized agency. Community forest management is very successful for forest sustainability, however, an increase in forest fires and changing land use practices may jeopardize the 62 effectiveness of community management (Sapkota et al., 2015). The potential for climate change 63 to disproportionately impact Nepal makes it imperative to understand the impact of climate 64 65 change on drought and fire in the region.

66 Sub-seasonal drought and fire prediction has emerged as an important tool for 67 environmental planning and fire management (Chen et al., 2020; Marshall et al., 2021; Turco et 68 al., 2018) and Nepal's community fire management may similarly benefit from prediction tools 69 that are generally accessible. Humans are a major source of fire frequecy and fire ignitions in

- Nepal, with approximately 58% of the fires in Nepal started intentionally by people (Kunwar &
- 71 Khaling, 2006). This suggests that changes to community management in response to sub-
- seasonal drought forecasts might mitigate fire potential.

As drought has been anecdotally associated with recent fire extremes, we will analyze the historical relationship between drought and fire frequency for Nepal. Given the vulnerability of Nepal to climate change and the recent extreme fire season, we will then provide climate change projections of the relationship between drought and fire frequency using the Coupled Model Intercomparison Project Version 6 (CMIP6). As a potential tool for adaptation to the expected impacts of climate change on regional fire, we produce a simple empirical prediction model to provide fire outlooks one-to-two months in advance.

80 2 Data and Methods

81 **2.1** Observational Data

Observed data in this study is obtained from meterological stations in Nepal (Department 82 of Hydrology and Meteorology, Government of Nepal) that recorded temperature precipitation 83 data for at least 80% of the days in the record from 1980–2021. This criteria resulted in the use 84 85 of 117 stations, of which the daily data was converted into monthly averages. We use this data to compute the Standardized Precipitation and Evapotranspiration Index (SPEI) (Vicente-Serrano et 86 al., 2010), using precipitation and potential evapotranspirtation (PET) from the Thornthwaite 87 method (Thornthwaite, 1948). SPEI was used at monthly (SPEI-1), seasonal (SPEI-3) and annual 88 (SPEI-12) timescales. 89

For active fire points, we used the Moderate Resolution Imaging Spectroradiometer 90 (MODIS) (aqua and terra combined) active fire detection products (Giglio & Justice 2015). The 91 combined MODIS data are available from 2002 that are used in this study. MODIS detects active 92 fire points not individual fire events, and one large fire can consist of many active fire points. 93 Therefore, it is analogous to burned area and the correlation coefficient between burned area and 94 95 active fire points from November through March is 0.96 from 2001 through 2021. The rest of this study will use these active fire points as an analog of burned area and fire potential. Further 96 description of observational data can be found in the supporting information, Text S1. 97

98 **2.1** Model Data

99 We also use the CMIP6 ensemble with the historical, natural and SSP585 high-emissions scenario. To attribute changes in the historical fire record for Nepal, we compare the SPEI-1 and 100 SPEI-12 in the historical (incuding anthropogenic greenhouse gas emissions) and natural runs 101 from 1981–2014. SPEI-1 and SPEI-12 are computed from these scenarios using the 102 Thronthwaite method. For the future projection of active fire counts in Nepal under the SSP585 103 104 scenario, we use the historical relationship of SPEI-3 and fire count to project the relationship of anthropogenically driven drought on active fire counts to the end of century. All CMIP6 data 105 considered in this study represent the multi-model ensemble mean. Monthly mean temperature 106

- 107 and precipitation were bias corrected relevant to the observed data. More information about
- biased-correction scheme can be found on Hawkins et al. (2013) and Mishra et. al., (2020).

109 **2.3 Empirical fire outlook**

We use a nonlinear regression model to evaluate the empirical predictability of active fire count from SPEI-3:

active burn counts(t) =
$$a * e^{-\beta * SPEI3(t)} + \varepsilon$$
,

where α and β are regression coefficients, *e* is Euler's number (approximately 2.718), *t* is the year, 113 and ε is an error term. The SPEI-3 index from January and February are used as predictor variables 114 for March fire count. The β parameter is optimized at an α of 250 using non-linear least squares 115 to fit the model to the training data. The model is cross-validated, using a leave-one-out and a 116 leave-three-out cross validation. In the leave-one-out validation, the model is trained with all the 117 observed data except for the value which is to be predicted. The leave-three-out cross validation 118 entails training the model without one-sixth of the observed data to provide a more robust 119 validation (L. Wang et al., 2017). The correlation and the R² value between the observed fire count 120 and the predicted fire count used to measure the skill of the prediction. 121

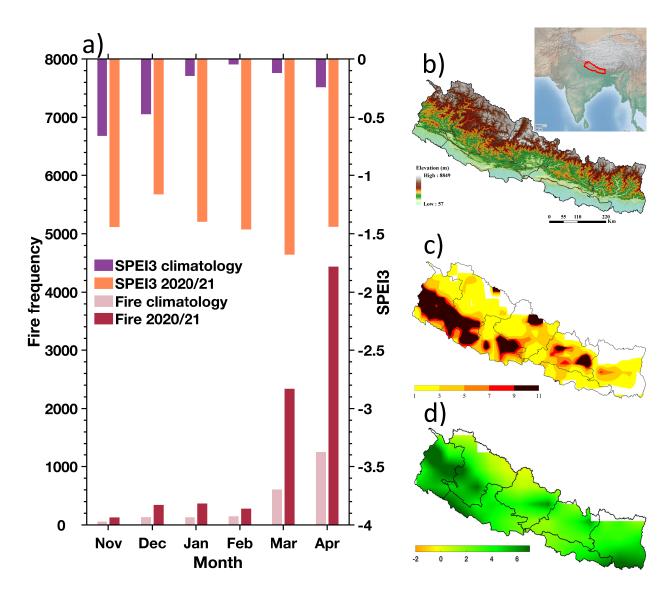
122 **4 Results**

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123 **4.1 Observed relationships between drought and fire**

Starting in November 2020, the SPEI more than doubled across Nepal compared to an average 124 of the 18 previous seasons (Figure 1a, top). Drought conditions were persistent from November 125 through March (Figure 1a), and the associated number of fires was well above normal (Figure 1a, 126 bottom). The cumulative active fire points by March and April surpassed each dry season in the 127 historical record (Figure S2). During Nepal's fire seasons between 2003 and 2020, the months of 128 129 November through April averaged 2,327 active fire points; this six-month aggregate was exceeded in just one month-March of 2021. The surges were greatest in Nepal's western lowlands, the 130 region immediately southeast of the Annapurna Conservation Area, and the countryside 131 surrounding the Kathmandu Valley; all of which saw a 10-fold increase in the active fire points in 132 2020-21 compared to the long-term mean (Figure 1b,c). 133

This record-setting fire season did not occur in a static fire fuels environment. An analysis of leaf area index (LAI), obtained from a global reanalysis of vegetation phenology during 1981– 2012 indicates that the January-April average of LAI increased by about 10% from that period (Figure 1c). Satellite-derived LAI change from 2003 to 2020 suggests a continual increase (result not shown). The LAI analysis aligns with recent research showing forest cover expansion across Nepal (Fox et al., 2019; Van Den Hoek et al., 2021).



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Figure 1: MODIS (Aqua and Terra satellite) measured fire detection points and observed precipitation and SPEI for November through April (long-term average and 2020–2021) in Nepal. a) Total monthly fires during 2020–2021, long-term average from 2003-2020, and the SPEI 3month drought index. b) Topography of Nepal. c) ratio of the number of fires in 2020–2021 to the long-term average (2003–2020) for November through March. d) change in LAI (%) from 1981-1990 compared to 2001–2010. Inserted Map in upper right shows South Asia and Nepal with average JFMA LAI outlining the forests.

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Our examination of the relationship between monthly precipitation deficits and drought (Figure 2a) shows a robust correlation between SPEI and the number of active fire points, ranging from a strong signal (p<0.1) when November and December precipitation are considered together, to a very strong signal (p<0.01) when January and February are included as well. Including the months of March and April nominally strengthens the relationship (Figure 2a). The relationship between the active fire points and SPEI-3 (Figure 2b) is best quantified by a nonlinear model based on the correlation coefficient (Figrue 2a). The fire prediction model for each month from January
 to May with the lead time 0- and 1-month shows good correlation with observational fire (Table

157 S1) however the bias is large, mainly during the high fire months (March and April). The aim of

the prediction model is to predict the qualitative fire prediction, not the quantitative. The record

number of active fire points in 2021 were associated with the most severe SPEI-3 values observed in the last 18 years. Drought quantified by the SPEI-3 explains a crucial 75% of the variability in

active fire points.



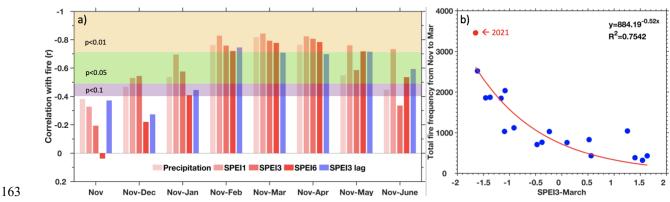


Figure 2: The relationship between 2002–2020 monthly precipitation deficits and subsequent fire season behavior in Nepal. a) shows the correlation coefficient between the total number of fires, starting in November, and average precipitation from the preceding month, average SPEI-1 from Oct to a given month, a given month's SPEI-3 and SPEI-6, and preceding month lag for SPEI-3 from left to right, respectively. The 99% (p<0.01), 95% (p<0.05), and 90% (p<0.1) significant

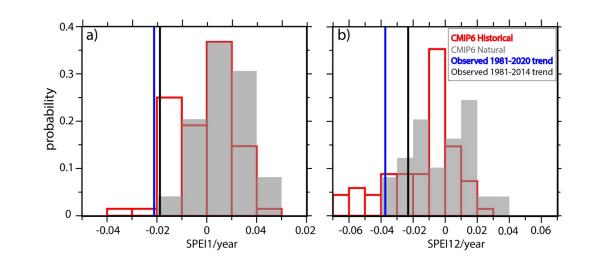
109 Hom left to right, respectively. The 99% (p <0.01), 95% (p <0.05), and 90% (p <0.1) significant 169 levels are shaded with purple, green and yellow, respectively. b) shows the scatter plot relationship 170 between November-March total fire frequency and the SPEI-3 in March and the non-linear 171 regression fit (red line). 2021 is highlighted via an arrow.

172 **4.2 Attribution of drought and future projections fire**

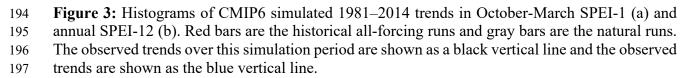
Observed dry-season drought frequency and intensity in Nepal has increased over the past four 173 decades and the strong relationship between drought and fire for the region indicates that this 174 175 increase in drought is partially responsible for enhanced fire potential. It is noteworthy that SPEI-1 and SPEI-12, which both exhibit fluctuating but predominantly positive values between 1981 176 and 2005, has been mostly negative since then (Figure S3), underscoring the drought trend. 177 178 However, low-frequency climate variability can also result in drought conditions over Nepal 179 (Wang & Gillies, 2013), so we evaluate the role of anthropogenic climate change to determine if these trends are associated with changes to the climate mean state. 180

This analysis was conducted using the CMIP6 ensemble of single-forcing experiments, based on the multi-model and multi-realization average 1981–2014 trends of seasonal SPEI-1 and annual SPEI-12. The historical simulations of SPEI-1 and SPEI-12, which included all anthropogenic forcings, are both substantially negative. Histograms comparing trends from the 68 "historical" simulations to the 50 "natural", as shown in Figure 3, were found to be distinguishable at a high level of statistical significance via a Student's t-test (p<.01). Comparison of the observations to the model over the same 1981–2014 periods reveals that the trend over the entire 1981–2020 observations could not be attained in any of the simulations without human changes to the
 composition of the atmosphere. We therefore conclude that anthropogenic climate change has
 contributed to the noticeable drying trend in Nepal during the winter-dry season; this is comparable
 to previous work based on earlier-generation simulations of CMIP5 (Wang et al., 2013).

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Given the significant correlations between SPEI-3 and seasonal fires (Figure 2) and the role of 198 199 anthropogenic warming on drought (Figure 3), we provide a projection of active fire counts in Nepal based on the calculation of SPEI-3 from the CMIP6 high-emission ensemble simulations. 200 To calculate SPEI-3, model monthly precipitation and temperature were bias-corrected within the 201 historical period. The CMIP6 SPEI-3 was then used to estimate the November-March active fire 202 counts using the regression model from Figure 2b. The CMIP6 ensemble mean of March SPEI-3 203 and its spread, under the SSP585 warming scenario, indicates a distinct decreasing trend has begun 204 205 and is projected to continue through the end of 21st century (Figure 4a), giving rise to more periods of worsening drought. Based on statistical modeling, the derived active fire counts are projected 206 to increase in association with drought driven by climate change (Figure 4b). Notably, the spread 207 in active fire count anomalies across individual CMIP6 model projections becomes amplified in 208 209 the latter part of the 21st century (Figure 4b). Regardless of the spread, active fire counts are projected to increase above the average historic levels. 210

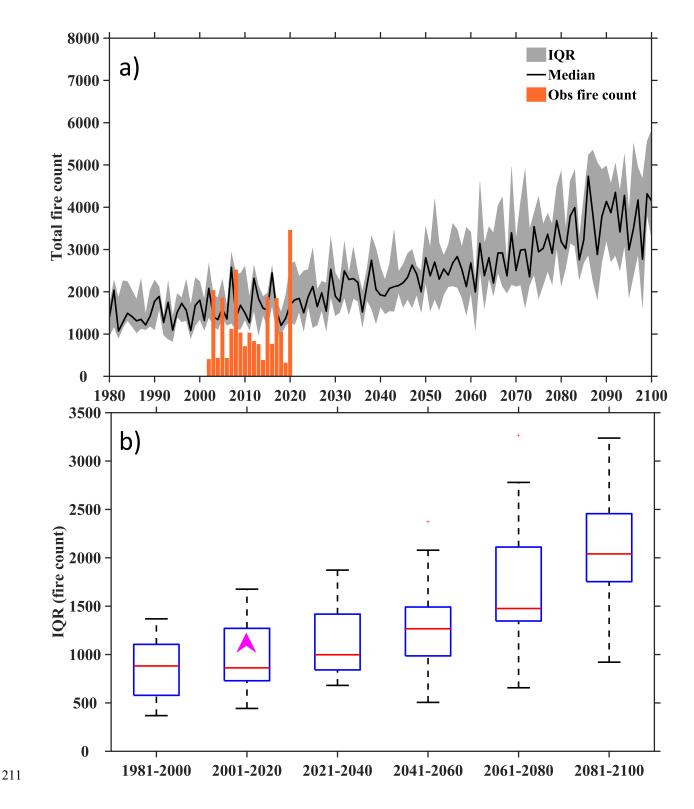


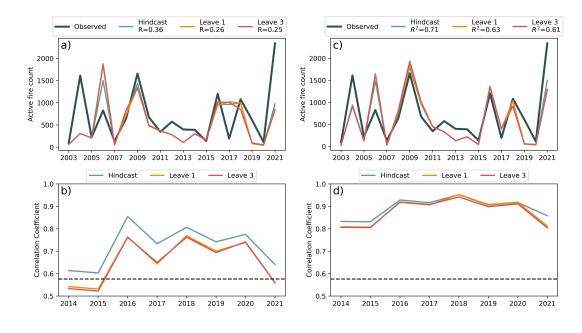
Figure 4: a) CMIP6 future projection of active fire counts from November to March over Nepal with observed active fire counts (orange bars). b) Inter Quartile Range (IQR) box plots of historical and projected active fire counts with the purple arrow indicating the observed median for active

215 fire counts.

216 4.3 Sub-seasonal empirical prediction

The strong historical and projected relationships between drought and fire suggest that 217 nationwide fire outlooks are possible at short lead times with antecedent drought conditions. 218 Using nonlinear regression, Figure 5 depicts the two-month (Figure 5a,b) and one-month 219 predictions (Figure 5c,d) of March active fire counts in Nepal using SPEI-3 from January and 220 February respectively. The regression model trained with all data points, the hindcast, accounts 221 for a significant amount of variance in the total time series of March active fire points at both the 222 two-month and one-month lead times. The regression model has modest skill for the cross-223 validation as well, with the leave-one-out and leave-three-out methods producing similar results 224 to the hindcast. These regression models predicted the active fires in March of 2021 but fell short 225 of the record-setting magnitude. This shortcoming may be caused by anthropogenic forcings (the 226 regression model does not account for changes to the frequency of human ignitions or the 227 impacts of climate change) or the inability of SPEI-3 alone to account for fuel moisture and 228 abundance. Regardless, these simple regression models show good skill (high r² values, low error 229 and bias) towards fire outlooks for March in Nepal with information about the fire tendency 230 (Table S2). Fire count in April is highly predictable at a 1-month lead using these same methods 231 but the 2-month prediction lacks skill (Figure S4). Summary statistics of model error, bias and 232

233 parameter estimates are provided in Table S2.



234

Figure 5: a) Observed active fire count in March along with the hindcast from the regression of January (two-month lead) SPEI-3. Leave-one and leave-three-out cross-validated models are shown in orange and red respectively. b) Twelve-year rolling correlation of observed March active fire counts and regressed active fire counts from January SPEI-3. c) Same as 5a, but for February SPEI-3. d) Same as 5b, but for February SPEI-3. The black dotted line indicates the 95% confidence level for 10 degrees of freedom.

241

242 **5 Discussion and conclusions**

Anthropogenic impacts on fire in Nepal are not restricted to climate change however, as 243 community forest management has also changed. Under localized control policies, which began 244 in 1976, about 3 million hectares of forests in Nepal are now under the control of community-245 based forest management groups, and these groups have been widely credited with driving 246 significant increases in forest growth via restoration efforts over the past 45 years, an uncommon 247 phenomenon in developing nations over recent decades (Ghimire & Lamichhane, 2020). Despite 248 249 clear benefits in sequestering carbon (Devkota, 2020; Ghimire, 2019) and sustaining biodiversity, the fact that Nepal's forests feasibly cover more area now than in past years (Figure 1d) may well 250 impact fire potential, particularly in association with the increasing trend of post-monsoonal 251 drought. Of note, the largest forest restoration developments are observed in the western lowland 252 and western mid-mountain regions of Nepal, where the recent, more numerous, and fierce 253 conflagrations occurred in 2009, 2016 and the most recent fire season (Figure 1c). Two other areas 254 of high 2020-21 fire activity, i.e., in the southeast of Annapurna and the Kathmandu Valley, were 255 also areas of significant LAI gain (Figure 1c). In summary, increased forest area and/or forest 256 density is an ideal circumstance with respect to the addition of fire fuel. These reforestation gains, 257 concurrent with decades of increasingly prolonged and severe droughts (Figure S3), are arguably 258 prospective grounds for a marked increase in fires (Figure 4). 259

The CMIP6 results for Nepal echo prior research that projects declining winter precipitation, 260 alongside moderately increased monsoon precipitation, under the SSP585 high-emissions scenario 261 (Almazroui et al., 2020). Arguably, this transition may already be underway, as significant declines 262 have been identified in Mediterranean-originating winter precipitation sources (Dakhlaoui et al., 263 2019; Marchane et al., 2017). In addition, persistent warming in the Indian Ocean has acted to 264 enhance the winter drought trend in Nepal through modifications in the local branch of the Hadley 265 circulation, associated with strengthened subsidence over northern India and the Himalayas (Wang 266 et al., 2013a). While the 2020-21 fire season was exacerbated by climate change, climate 267 variability likely played an important role in the seasonal drought conditions. The winter of 2020– 268 21 saw a strong La Niña event which often induces drought conditions in Nepal (Hamal et al., 269 2020). As some El Niño and La Niña teleconnections have strengthened in the warming climate 270 (Wang et al., 2015; Stevenson, 2012), the increased spread in projected fire frequency near the end 271 272 of century (Figure 4b) may be partially attributed to natural variability amplified by global warming. These observed impacts of climate change and model-based projections suggest drought 273 conditions will likely continue and are expected to amplify, enabling a higher potential for fire risk 274 through to the end of the 21st century. 275

Mitigating risk will require an improved understanding of the factors that contribute to fire in Nepal, but the nation currently lacks a significant and active drought and fire forecasting efforts. Previous studies have succeeded in generating fire-risk maps for Nepal (Parajuli et al., 2020; Sharma et al., 2014), however the society at large lacks predictive models that can be employed to better prepare for fire on a monthly, seasonal, or long-term basis. To these ends, the study undertaken here offers: i) a practical statistical tool, derived from easily obtainable climate variables, towards sub-seasonal fire outlooks for the nation as whole, ii) knowledge through CMIP6-based projections that indicate the likelihood of more drought and fire events through the remainder of this century, and iii) an account of the suspected anthropogenical, climatological and sociological drivers of the anomalous 2020–21 fire season. Thus, this study provides a platform for Nepal to formulate future strategies to ameliorate the environmental hazards the country will

face in a changing climate.

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- 301
- 302 Data Availability Statement.

MODIS active fire count data was used in this study can be freely accessed from 303 https://firms2.modaps.eosdis.nasa.gov/download/, CMIP6 bias corrected data is generated by 304 Mishre et. al. (2020)and can be freelv accessed from 305 https://zenodo.org/record/3873998#.YavSVi8RoTt. CMIP6 GHG and Natural run data are freely 306 available at https://www.wcrp-climate.org/wgcm-cmip/wgcm-cmip6. Groud-based raingauge data 307 can be purchased from Department of Hydrology and Meteorology, Government of Nepal (DHM) 308 (www.dhm.gov.np/). 309

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