Exploring the Implications of Modeling Choices on Prediction of Water Savings

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Abstract

Improvements in irrigation technology are expected to yield water savings. Recent research highlights the need for accompanying institutional conditions (e.g., restricting irrigation expansion). However, estimating the expected quantity of water savings remains uncertain, even under such institutional conditions. This is because estimates of the water savings resulting from improved irrigation technology are subject to several methodological (sometimes arbitrary) choices. Three key choices are: (1) the underlying hydrologic model used to partition irrigation water into consumed (e.g., evapotranspiration) and non-consumed (e.g., runoff) components, (2) the selected hydrologic model parameters, and (3) the convention used to represent non-beneficial losses (e.g., non-crop evaporative losses during channel conveyance, on-farm application, off-farm storage, or unrecoverable seepage). This study is the first to explore the combined implications of these choices as regards predicting water savings. It is also the first to attribute the uncertainty in expected water savings to each of these choices. To explore these implications, we use an ensemble of water savings under all possible combinations of three different conceptual hydrologic model structures (HYMOD, HBV, SAC-SMA), a hundred equifinal parameter sets (for each model), and two conventions for representing non-beneficial losses are the largest sources of uncertainty in water savings, contributing ~49% and ~33% respectively to overall uncertainty. These results provide a quantitative estimate for the minimum range of uncertainty one may expect when considering policy options that depend on quantified estimates of water savings.

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2	Savings
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6	Key Points:
7 8	Predictions of water savings are highly sensitive to modeling choices, especially the choice of hydrologic model parameters
9 • 10	The method used to represent non-beneficial losses (e.g., conveyance losses) can contribute to uncertainty in predicted water savings

11 Abstract

12 Improvements in irrigation technology are expected to yield water savings. Recent research 13 highlights the need for accompanying institutional conditions (e.g., restricting irrigation 14 expansion). However, estimating the expected quantity of water savings remains uncertain, even 15 under such institutional conditions. This is because estimates of the water savings resulting from 16 improved irrigation technology are subject to several methodological (sometimes arbitrary) 17 choices. Three key choices are: (1) the underlying hydrologic model used to partition irrigation 18 water into consumed (e.g., evapotranspiration) and non-consumed (e.g., runoff) components, (2) 19 the selected hydrologic model parameters, and (3) the convention used to represent non-20 beneficial losses (e.g., non-crop evaporative losses during channel conveyance, on-farm 21 application, off-farm storage, or unrecoverable seepage). This study is the first to explore the 22 combined implications of these choices as regards predicting water savings. It is also the first to 23 attribute the uncertainty in expected water savings to each of these choices. To explore these 24 implications, we use an ensemble of water savings under all possible combinations of three 25 different conceptual hydrologic model structures (HYMOD, HBV, SAC-SMA), a hundred 26 equifinal parameter sets (for each model), and two conventions for representing non-beneficial 27 losses - a total of 600 scenarios. The results show that parameter selection and alternative 28 conventions of representing non-beneficial losses are the largest sources of uncertainty in water 29 savings, contributing ~49% and ~33% respectively to overall uncertainty. These results provide a 30 quantitative estimate for the minimum range of uncertainty one may expect when considering 31 policy options that depend on quantified estimates of water savings.

32 1 Introduction

33 Improving the productivity of agriculture is a critical component of achieving food 34 security, especially given intensified competition for water resources. There are a variety of 35 possible interventions, many of which not only focus on developing and expanding irrigated 36 areas but also on minimizing unproductive water losses via improved irrigation technology 37 (Perry, 2017). It is sometimes claimed that water saved may be used further downstream in other 38 activities, such as environmental preservation, without necessarily reducing crop production - for 39 example experimental evidence shows that switching from furrow irrigation to subsurface drip 40 used 57% less water yet maintained similar yields of the lettuce crop (Hanson et al., 1997). 41 Richter et. al. (2017) document similar experiments. The monetary value to downstream users of 42 expected water savings is often used to craft policies designed to incentivize upstream farmers to 43 adopt improved irrigation technology. For example, in Australia (Williams & Grafton, 2019), 44 India (Narayanamoorthy, 2004; Polak et al., 1997; Sivanappan, 1994), and the United States 45 (Huffaker & Whittlesey, 1995; Scheierling et al., 2006). 46

47 However, erroneous estimates of expected water savings abound in policy practice 48 (Williams & Grafton, 2019). Apparent savings - also known as dry or paper savings (Seckler, 49 1996) - are often mistaken for *real* savings (Grafton et al., 2018; Williams & Grafton, 2019). 50 Such errors occur partly because of a lack of full water budget accounting – for example ignoring 51 return flows (Richter et al., 2017); and partly because real water savings resulting from improved 52 irrigation technology are only possible under certain policy conditions (Grafton et al., 2018; 53 Huffaker, 2008; Huffaker & Whittlesey, 1995; Richter et al., 2017; Seckler, 1996). Such 54 conditions have been well documented in the literature and include a general requirement that 55 investments in improved irrigation technology are accompanied by other policy measures, such 56 as restrictions on acreage expansion and limits on withdrawals (Grafton et al., 2018; Pérez-57 Blanco et al., 2020).

58

The expected savings resulting from such farmer incentivization (or other conservation)
policies are commonly predicted using computational models (Berbel & Mateos, 2014; Berbel et
al., 2015, 2018; Huffaker, 2008; Huffaker & Whittlesey, 1995; Huffaker & Whittlesey, 2003;
Jägermeyr et al., 2015; Törnqvist & Jarsjö, 2012; Ward & Pulido-Velazquez, 2008; Williams &

63 Grafton, 2019). This is especially the case given that such policies are usually implemented 64 across spatial scales much larger than typical plot-scale experimental studies, and some 65 generalization of plot-scale experimental results is required. Such models can typically ensure 66 that computed savings are *real* in three ways. First, by explicitly tracking the soil partitioning of 67 irrigation water - into consumed (beneficial or non-beneficial) and non-consumed quantities. Second, by accounting for the additional policy constraints, such as restrictions on acreage 68 69 expansion. Third, explicitly defining the spatial extent where the policy is implemented and 70 computing savings downstream of that extent. Nevertheless, even when policies are evaluated 71 using models that account for the physical fate of irrigation water and the necessary 72 accompanying policy constraints, within well-defined extents, the proper quantification of real 73 water savings is still uncertain. This time, plagued by arbitrary model and parameter choices that 74 are necessary for mathematical specification and estimation of computational models. Such 75 choices are inherently uncertain, and such uncertainty exists in the predictions of the model. This 76 study is interested in quantifying the uncertainty in water savings predictions given 77 computational modeling choices.

78

79 In terms of computationally predicting the expected *real* water savings from improved 80 irrigation technology, for policy analyses, three modeling choices are inevitable. First, the 81 selection of the accounting scheme used to partition irrigation water into consumed (e.g., 82 evaporation, crop transpiration) and non-consumed (e.g., runoff, infiltration) quantities. In terms 83 of accounting schemes, a spectrum - from simple to complex - of possible model choices exist. 84 On the simple end, some studies use a static scalar value to represent the portion of irrigation 85 water that is consumed (Huffaker, 2008; Ward & Pulido-Velazquez, 2008). On the complex end, 86 the models used to partition irrigation water are dynamic, physically-based models (Jägermeyr 87 et al., 2015; Malek et al., 2017). To account for hydrologic fluxes, and ensure predicted savings 88 are real, the choice of partitioning scheme is inevitable. However, this choice implies a selection 89 from alternative structural representations of the partitioning of soil moisture input (Mendoza et 90 al., 2016). Ultimately, such a choice has implications for the predicted water savings.

91

92 The second inevitable choice is the selection of parameters used to fully specify the 93 selected soil moisture accounting model structure. For the simple models, usually a scalar is

94 specified. For example Ward & Pulido-Velazquez (2008) set a value where ~40% of irrigation water is depleted as evapotranspiration (see Tables 1 and 2 in that study). The partitions to other 95 96 hydrologic fluxes, e.g., deep percolation and runoff, are similarly specified using scalar fractions. 97 In studies that select more complex hydrologic partitioning schemes, the parameters are set via 98 either manual or automatic calibration (Belder et al., 2007; Pool et al., 2021). The nonlinear 99 nature of hydrologic processes implies that for a selected model structure, there are a non-trivial 100 number of equifinal parameter sets that are practically identical (Beven, 2006), each of which 101 presents a plausible representation of the hydrologic response. Little exists to discriminate 102 among the members of that equifinal set (Efstratiadis & Koutsoyiannis, 2010). Thus, the choice 103 of a parameter set from the equifinal collection of parameter sets is necessary, yet, inherently 104 uncertain. Such a choice has implications for the predicted water savings.

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106 The third inevitable choice with regards to prediction of *real* water savings, is selecting a 107 convention to represent the hydrologic response to water saving measures. Water saving 108 measures are the technologies intended to alter certain hydrologic flow pathways and reduce the 109 irrecoverable, non-beneficial losses (e.g., non-crop evaporative losses during channel 110 conveyance, on-farm application, off-farm storage, or unrecoverable seepage). For any given 111 technology, there are a range of choices to represent the hydrologic response to that technology. 112 For example, consider mulching, a technology that reduces non-beneficial evaporation and 113 irrigation requirements. Alliaume et al. (2017) and Chukalla et al. (2015) represent the 114 hydrologic effect of mulching by a simple reduction of the evapotranspiration (albeit using 115 different models of evapotranspiration); while Filipović et al. (2016) represents mulching by 116 altering the top boundary conditions of a hydrologic model. For another example, consider 117 sprinklers, a technology used to apply irrigation water to fields. One can model sprinklers by 118 adding an extra evaporative term to a hydrologic model (Malek et al., 2017), or by increasing the 119 precipitation term without accounting for any extra evaporation (Leng et al., 2017), or by 120 resetting soil moisture to field capacity during irrigation events (Khan & Abbas, 2007). A third 121 example - which will be the focus of experiments in this paper - involves the generic 122 representation of non-beneficial losses of water during channel conveyance and field application 123 of irrigation water. Such losses are usually represented by the operation of a scalar. The scalar 124 may be applied either on the irrigation withdrawals (Jägermeyr et al., 2015; Rost et al., 2008;

125 Siderius et al., 2020), or on the computed return flows (Huffaker & Whittlesey, 2000). In these

126 examples, it is not evident whether alternative representational choices for the same technology

127 are computationally or mathematically equivalent. As such, the selection of an alternative

128 representation for the technologies in question likely has implications for predicting water

129

savings.

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131 The three modeling choices highlighted above: (1) the hydrologic model structure to use, 132 (2) the hydrologic model parameters to select, and (3) the representation of the given 133 technologies, are necessary given that full hydrologic accounting is crucial to estimate *real* 134 savings. However, these choices are usually predominantly a function of factors such as the 135 research question, analyst's familiarity with the tool (Addor & Melsen, 2019), computational 136 tractability, data availability, fidelity with observations where they exist (Clark et al., 2015), and 137 so on. Such factors, while pragmatic and non-trivial, are contingent and largely arbitrary. Such 138 choices may reveal more about the model builder(s) than the geophysical process in question 139 (Addor & Melsen, 2019) - in this case, the hydrologic response to irrigation technology. More 140 importantly for this study, we hypothesize that each one of these modeling choices (and the 141 interactions of these choices) has implications for the uncertainty in the predicted water savings. 142 This study is concerned with quantifying the uncertainty that results from the above highlighted 143 modeling choices. It is worth noting that there are a host of other choices aside from these three 144 presented above. For example, the choices of forcing dataset (and any data pre-processing if 145 necessary), model resolution (spatial and temporal), parameters to calibrate (if any), calibration 146 metrics, calibration algorithm, calibration period, calibration bounds (Melsen et al., 2019; 147 Mendoza et al., 2016). However, we limit the scope of this study to the three modeling choices 148 outlined.

149

There is a consensus in the literature that accurately estimating real water savings
requires full hydrologic accounting of irrigation water (Richter & Orr, 2017), alongside
uncertainty quantification of all relevant terms of the mass balance (Grafton et al., 2018).
Modeling studies to estimate water savings have increasingly adopted full hydrologic accounting
(see review in Section 1.1 for justification). However, by doing so they face certain inevitable
modeling choices, the combined uncertainty implications of which are unexplored in the

156 literature – especially the literature regarding the prediction of water savings. Model estimates of

157 water savings under improved irrigation technology are rarely accompanied by a rigorous

158 exploration of the uncertainty implications of model methodological choices and assumptions.

159 Furthermore, attributing total model uncertainty in water savings to individual choices is missing

160 in the literature. The following literature review will explore these claims.

161 1.1 Literature review

1.1.1 The Growing Use of Hydrologic Models Estimating Water Savings
 The risk of mistaking apparent savings for real savings, and the well-documented
 paradox of improved irrigation efficiency (Berbel et al., 2015; Grafton et al., 2018), have
 catalyzed studies that explicitly partition irrigation water into different consumed and non consumed quantities and represent the water consumed in irrigation.

167

168 Many of such studies rely on hydrologic models as the standard tool to partition irrigation 169 water into consumed and non-consumed fractions. For example, Malek et. al. (2017, 2018) 170 couple the variable infiltration capacity (VIC) model with the crop model CropSyst to account 171 for crop transpiration. They also directly modify the VIC equations using engineering-specified 172 representations of evaporation components for specific irrigation technologies. Jägermeyr et al. 173 (2015) and Rost et al. (2008) incorporate irrigation representations in the Lund-Potsdam-Jena 174 managed Land (LPJmL) model to partition irrigation water and estimate water savings; Droogers 175 et al. (2000) use the Soil-Water-Atmosphere-Plant (SWAP) model to partition irrigation water; 176 Assefa et al. (2018) use the Agricultural Policy Environmental eXtender (APEX) model; (Jiang 177 et al. (2015) and Xu et al. (2019) couple the SWAP with the Environmental Policy Integrated 178 Climate (EPIC) crop model to partition irrigation water; Ahmadzadeh et al. (2016) and Santhi et 179 al. (2005) use the Soil and Water Assessment Tool (SWAT) model; Shibuo et al. (2007) and 180 Törnqvist & Jarsjö (2012) use the PCRaster model.

181

Other studies that do not explicitly include hydrologic models, directly specify the
quantity of irrigation water consumed, including non-consumed return flows, under different
forms of irrigation technology using exogenous parameters (Berbel et al., 2018; Huffaker, 2008;
Huffaker & Whittlesey, 2003; Ward & Pulido-Velazquez, 2008; Zhang et al., 2019). These

specified partitioning fractions can be interpreted as indirectly playing the role of the hydrologicmodels - albeit in a highly simplified manner.

188 1.1.2 Exploration of Hydrologic Uncertainty as regards Irrigation

189 The ubiquity of hydrologic models intended to prevent the misspecification of water 190 savings raises other concerns - primarily related to model uncertainty. Hydrologic models are 191 subject to well-known uncertainties stemming from model choices - specifically, model structure 192 formulation and parameter identification (Beven, 1993, 2006; Oreskes et al., 1994). With respect 193 to the hydrologic effects of irrigation technology and policy (of which water savings is one), 194 Leng et al. (2017) demonstrate that predicted hydrologic response to irrigation technology is 195 sensitive to the representation of irrigation sources and irrigation application type. This 196 sensitivity suggests that the predicted results reflect the specific modeling choices to represent 197 the hydrologic response to irrigation water saving measures. Given that such choices are 198 inherently uncertain, it stands to reason that the results are uncertain as well. In the following 199 sections, we review how the uncertainty from each of these choices has been covered in the 200 literature.

201

1.1.2.1 Uncertainty from Model Structures

202 Section 1.1.1 outlined multiple examples of alternative model structures that have been 203 used to estimate water savings. The models outlined have structural differences that are known to 204 lead to differential partitioning of soil water input (Clark et al., 2008, 2015; Knoben et al., 2019). 205 Multiple studies have investigated the effects of structural uncertainties on hydrologic model 206 predictions such as runoff (Najafi et al., 2011), evapotranspiration (Jayathilake & Smith, 2020), 207 and soil moisture (Andresen et al., 2020). From these studies, we learn that hydrologic model 208 choices substantially influence the prediction of the water balance components of hydrologic 209 models (Mendoza et al., 2016). However, these studies largely focus on unimpaired basins, with 210 little anthropogenic modifications of irrigation. For the studies that compare hydrologic 211 simulations including anthropogenic impacts, such as reservoir operations and irrigation, they 212 have largely focused on improving simulation of river discharge (Veldkamp et al., 2018) or 213 estimating the uncertainty in predictions of water scarcity (Greve et al., 2018). No studies have 214 specifically investigated the implications of alternative hydrologic model structures used to 215 model irrigation activities and predict water savings. Other studies model irrigation explicitly,

but use only one hydrologic model (and so are rather silent on model structural uncertainty), or
do not specifically investigate water savings (Leng et al., 2017). This situation is peculiar given
the growing evidence that the representation of alternative irrigation technologies within the
hydrologic model structure influences predictions (Pool et al., 2021) and model sensitivity (Han
et al., 2021).

221 1.1.2.2 Uncertainty from Model Parameters

222 Model parameters are a source of uncertainty that is well explored as regards unimpaired 223 hydrology (Efstratiadis & Koutsoyiannis, 2010). However, like studies of hydrologic model 224 structure, most of the studies investigating parameter uncertainty have not included basins with 225 substantial human impact. Thus, the implications of this source of uncertainty are not well 226 understood especially regarding predicted water savings. To predict water savings, some models 227 use static fractions to partition irrigation water into consumed and non-consumed portions 228 (Huffaker & Whittlesey, 2000; Mateos, 2008; Ward & Pulido-Velazquez, 2008; Zhang et al., 229 2019). However, in these studies, there is no mention of the uncertainty in these predictions that 230 is due to the specification of the static fractions. Recent evidence suggests that the selection of 231 partitioning fractions in computational models can substantially alter estimates of water savings 232 (Williams & Grafton, 2019). Thus, it is not clear the extent to which the results presented by 233 studies such as Huffaker & Whittlesey (2000), Mateos (2008), Ward & Pulido-Velazquez 234 (2008), and Zhang et al.(2019) are artifacts of the chosen fractions. This manual selection of 235 partitioning fractions is analogous to the automatic calibration of hydrologic model parameters 236 used in other studies of water savings (Ahmadzadeh et al., 2016; Assefa et al., 2018; Xu et al., 237 2019). However, no study has investigated the implications of equifinal parameter sets on 238 predictions of water savings.

239 1.1.2.3 Uncertainty from Representing Non-Beneficial Losses due to Irrigation
240 Technology

As discussed earlier (paragraph six of Introduction), numerical implementation of irrigation technologies in hydrologic models requires modeling choices to represent the nonbeneficial losses that occur either during conveyance (to or from the farm), or during application of irrigation water. Such loss effects of irrigation technology are conventionally represented before or after the hydrologic model partitions the irrigation water on-farm. For example, the

models used in some studies apply the losses on the irrigation withdrawals before such irrigation
water is input to the hydrologic model (Jägermeyr et al., 2015; Rost et al., 2008; Siderius et al.,
2020). To do this they include a scalar multiplier that depletes the withdrawn water quantity prior
to field application. In contrast, the models used in other studies represent non-beneficial losses
after the simulation of farm soil-moisture processes (Huffaker & Whittlesey, 2000, 2003). Their
model includes a scalar operator on the quantity of non-consumed water that returns to the
watercourse.

253

254 This difference in representing losses is meaningful when considered in the following 255 manner. If non-beneficial losses are represented prior to the soil mass balance partitioning - as in 256 Jägermeyr et al. (2015), Rost et al. (2008), and Siderius et al. (2020), then there is a systematic 257 depletion of water input for hydrologic partitioning. The first order effect is on the soil moisture 258 (a key hydrologic model state variable) which directly depends on water inputs to the hydrologic 259 model. In the case when losses are represented after the on-farm partitioning of irrigation water, 260 as in Huffaker & Whittlesey (2000) and (2003), the total withdrawn water is delivered without 261 any losses. This means that a relatively higher quantity of water is systematically applied to the 262 farm's soil. All else equal, these systematic differences in soil moisture are meaningful.

263

264 Current hydrologic models partition water input into consumed (e.g. evapotranspiration) 265 or non-consumed (e.g. runoff) quantities depending on the current soil storage (Knoben et al., 266 2019). The quantity of water in soil storage itself is a direct function of the water input. This 267 means that a systematic difference in the water input, will result in a systematic difference in the 268 partitioning of the soil input. Given such non-linearities in the intervening soil moisture process, 269 it is not immediately obvious whether the resulting effects on savings are mathematically 270 equivalent under alternative choices to represent non-farm losses. Hence differences in 271 representing non-beneficial losses add structural uncertainty worthy of scientific investigation. 272

273 Realistically, irrigation losses occur all along the irrigation process, however, the
274 conventional representations (either applied on withdrawals or runoff) are stylized
275 representations, whose implications have not been studied. Studies such as Leng et al. (2017)
276 provide evidence of the sensitivity of hydrologic predictions (runoff, evaporation and water table

depth) to the representation of irrigation technology. They show that hydrologic predictions vary

with the technology used (i.e., sprinkler, flood, drip), and the water source used (i.e., surface vs

279 groundwater). However, they rely only on one representation of three irrigation technologies,

and only address changes in irrigation withdrawals - not water savings. So far, no study has

investigated the uncertainty in predictions of water savings due to alternative representations oflosses.

283 1.2 Objectives

284 Thus far, the preceding sections have reviewed the literature covering the estimation of 285 water savings, the use of hydrologic models in such estimations, and the treatment of uncertainty 286 in the representation of the hydrologic effects of irrigation technology. We summarize the 287 literature in three points: (1) that investigations of the effects of model structural uncertainty on 288 hydrologic predictions exist mainly for studies of unimpaired locations. Such studies have not 289 covered predictions of water savings under anthropogenically impaired hydrologic conditions 290 such as changing irrigation technology; (2) that investigations of the hydrologic effects of 291 irrigation technology have not investigated the effects of parameter equifinality on the 292 predictions of water savings; and (3) the implications of alternative conventions of representing 293 the non-beneficial losses are unknown.

294

To address these gaps, we explore the implications of these identified sources of uncertainty for predictions of water savings. We do so by asking the following questions: (1) what is the minimum range of predicted water savings when we account for alternative choices in hydrologic model structure, parameter selection and representation of non-beneficial losses? (2) how important is each source to the overall uncertainty in predicting water savings?

In the sections immediately following, we outline the methods, data, models, and
 experiments used to address the questions raised. The rest of the paper presents the results and
 discusses the implications of these results for investments in improved irrigation technology.

304 2 Methods and Data

In this study, we delineate the range of uncertainty in estimates of water savings by comparing predictions across a 600-member ensemble of predicted water savings. The ensemble consists of three hydrologic model structures, 100 parameter sets for each model structure, and two configurations of non-beneficial losses. This section describes the study location, the selected hydrologic models, the experimental design, and the metric for estimating water savings.

311 2.1 Study Location

312 The study location is Weru-Weru, a sub-basin of the Pangani river basin in the Hai 313 District of Northern Tanzania. The Weru-Weru river begins along the southwestern slopes of 314 Mount Kilimanjaro and flows through small clusters of farms and irrigation schemes. The river 315 then joins the larger Pangani river further downstream (see inset B, Figure 1). The Weru-Weru 316 sub-basin is a good test location for investigating uncertainties in water savings because ideas 317 and recommendations regarding the ability to generate substantial water savings from adopting 318 improved irrigation technology arise often in policy conversations in Tanzania (IFPRI, 2016; 319 Lankford et al., 2004).

320

321 In Tanzania, agricultural water use accounts for about 89% of national water use, and 322 currently, about 500,000 hectares of land are irrigated. Of this irrigated land, small-holder 323 farmers operate about 80%, while larger farmers and plantations operate 20% (van Koppen et al., 324 2016; MoWLD, 2002). In the case of the Weru-Weru sub-basin, smallholder farmers are 325 upstream of larger farmers, who themselves are upstream of the Tanganyika Plantation Company 326 (TPC), and the Nyumba ya Mungu lake used to generate hydropower (see Figure 1). Given that 327 surface water is the key source of water in the region (Komakech, 2018), asymmetries based on 328 proximity to the headwater sources result in conflicts among competing users (Komakech et al., 329 2011; Komakech & Condon, 2012; Ostrom & Gardner, 1993).

330

The literature covering ecosystem services in the region classifies downstream irrigation
 water as an ecosystem service worth conserving and proposes payments to redistribute benefits
 from irrigation water from downstream large-scale farmers to upstream small-scale farmers

- 334 (Hipel et al., 2015; Lalika et al., 2017; Lalika, Meire, & Ngaga, 2015; Lalika, Meire, Ngaga, et
- al., 2015). Such benefits are usually framed in terms of water saved for downstream use under
- more efficient upstream irrigation technology (IFPRI, 2016; Lein & Tagseth, 2009). However, it
- is not obvious how much water savings may result from such policies, especially under severe
- 338 uncertainties resulting from arbitrary modeling choices.
- 339



Figure 1: Study location. The main figure - labelled "A" - shows the Weru-Weru sub-basin (light grey). Upstream farms are depicted in dark green, downstream farms are shown in dark orange, and the Nyumba ya Mungu dam is shown as well. The inset figure ("B") shows the Weru-Weru sub-basin within the spatial context of the Pangani basin and Tanzania.

- 340 This case in Tanzania provides a real-life example of long-standing scientific
- 341 conversations regarding proper accounting for and quantifying the uncertainty of the benefits of
- 342 improved irrigation technology (Grafton et al., 2018).

343 2.2 Meteorological Forcing

344 We force the hydrologic models, at a daily timestep, using the Climate Hazards Infrared 345 Precipitation with Stations (CHIRPS) dataset (Funk et al., 2015) for precipitation and Berkeley 346 Earth Land/Ocean Temperature record (Rohde & Hausfather, 2020) for temperature. The 347 precipitation data is downscaled from 0.05 degrees (~5km) to 0.02 degrees (~2km) to match the 348 grid of the hydrologic models. The temperature data is also resampled from 1 degree to 0.02 349 degrees. To account for the effects of elevation on temperature, the 90m digital elevation model 350 provided by the Shuttle Radar Topography Mission (SRTM) (Jarvis, 2008) is upscaled to match 351 the 0.02-degree (\sim 2km) grid by taking the average of all 90m pixels within the 2km gridcell. The 352 aggregated elevation values are then used to downscale the temperature data using a lapse rate of 353 -9.8oC per kilometer rise in elevation (Sheridan et al., 2010). The reference elevation is the 354 lowest basin elevation. Potential evapotranspiration fluxes were then calculated using the 355 Thornthwaite equation (Thornthwaite, 1948) using the downscaled temperature data.

356 2.3 Hydrologic Models

357 The hydrologic models used in this study are HYdrologic MODel (HYMOD) (Moore, 358 2007), Hydrologiska Byrans Vattenavdelning (HBV) model (Bergström & Singh, 1995), and the 359 SACramento Soil Moisture Accounting (SAC-SMA) model (Duan et al., 2004). These models form a gradient of increasing complexity and have been used in various studies in Tanzania. 360 361 Some studies have applied the HYMOD model to the Mara basin that spans southern Kenya and 362 Northern Tanzania, (Roy, Gupta, et al., 2017; Roy, Serrat-Capdevila, et al., 2017). Mwanuzi & 363 Mutabazi (1993) use the SAC-SMA model to the Ndembera catchment in central Tanzania and 364 Yang & Wi (2018) use the HBV model in the Upper Ruaha catchment in central Tanzania. 365

The models are based on different hydrologic conceptualizations. They are also relatively parsimonious in terms of parameterizations and data requirements. For locations such as northern Tanzania where data is scarce, such models are useful. Herman et al. (2013) present evidence that highlights key structural differences resulting from the different parameterizations of these models. Herman's study shows that under different climatic events, different parameters control the soil moisture partitioning and runoff generation processes of these models. The parsimony of these models as well as their conceptual differences make them useful tools for the experiment described below. Clark et al. (2008) demonstrate that the choice of the model structure is as

374 important as the selection of model parameters. Given a selection of alternative models, we

375 select 100 alternative model parameter sets using a genetic algorithm. Each parameter set is

behaviorally equivalent (i.e., there is no way to tell the difference in outputs of each parameter

set) in terms of the selected objective (see the calibrated streamflow in Figure 2).

378 2.4 Model Calibration

379 We use observed monthly flow data at the stream gauge *1DD1* located near the 380 Tanganyika Planting Company (TPC) (see Figure 1 for the location of gauge). The Pangani 381 Basin Water Office (PBWO) provided the records. Records at this gauge begin in October 1928 382 and end in September 2006. Given that there are no records specifically for the Weru-Weru sub-383 basin, we compute the average basin runoff generated upstream of the available gauge – this 384 upstream portion includes the Weru-Weru sub-basin. We then calibrate each hydrologic model to 385 reproduce the average monthly runoff value. CHIRPS precipitation data is available starting 386 1981, therefore, we use the runoff in the twenty years 1985 to 2005 as the calibration set. The ten 387 years 2006 to 2015 are used for the experiments.

388

389 We use the BORG multiobjective evolutionary algorithm (Hadka & Reed, 2013) to 390 calibrate the hydrologic models. We initialize the BORG algorithm using 100 different seeds and 391 set up two objectives for calibration. The first objective is the Nash-Suttcliffe Efficiency (NSE) 392 value (McCuen et al., 2006). The second objective is the Nash-Suttcliffe Efficiency computed on 393 the logarithm of the runoff values (log-NSE), which emphasizes model performance on low flow 394 values. The evolutionary algorithm returns parameter sets that span the Pareto-front of the two 395 objectives. We then select 100 parameter sets using the following process: (1) compute the 396 percentile rank of each objective for each parameter set returned from the calibration tool; (2) set 397 a threshold quantile, in this case, we begin with the 95th percentile; (3) select parameters sets 398 whose NSE and log-NSE values are above the threshold quantile. (4) iteratively reduce the 399 threshold quantile until there are 100 parameter sets selected.

400

401 Evolutionary algorithms require some stopping criteria. In our case, we stop the402 algorithm after 10000 function evaluations. This number of function evaluations is a tradeoff

between model performance and computational time. This selection is based on the lead author's
experience with the algorithm. Figure (S1) shows the convergence of the algorithm's selection of
parameters.

406 2.5 Experiment

407 Given three hydrologic model structures, two conventions for applying non-beneficial 408 losses, and 100 alternative parameter sets, we set up the experiment. The experimental design 409 follows similar model intercomparison studies such as Kollet et al. (2017), where observations of 410 the predicted variable of interest (in this case, water savings) are scarce or entirely unavailable, 411 and therefore, it is not easy to validate such predictions. For such studies, it is meaningful to 412 explore the range of possible predictions resulting from combining as many factors as possible 413 that affect the prediction. As such, we compute the water savings for each irrigation technology 414 scenario under the full combination of model structures, parameter selections, and 415 representations of losses.

416

2.5.1 Representation of Alternative Non-Beneficial Losses

417 In the hydrologic literature, the hydrologic response to irrigation technologies is usually 418 represented by the operation of a scalar on a quantity of interest. The scalar is widely termed 419 "efficiency". This term is contentious and its multiple definitions have received much attention 420 in the literature (Lankford, 2012; Perry, 2007). It is outside the scope of this paper to investigate 421 the range of definitions of the term irrigation efficiency and what physical quantities are the 422 correct inputs to the functions used to compute its value. Here, we focus on the common 423 representations of the hydrologic effects of irrigation technology - especially in terms of the 424 representation of non-beneficial losses that result from conveyance. Technologies deemed "low" 425 or "high" efficiency are usually represented by low or high values of the scalar acting upon the 426 physical flows in the conveyance channels. The value of the scalar is then used to modify 427 different physical hydrologic quantities such as the water withdrawn to estimate the water lost 428 during conveyance, such as through evaporation or seepage.

429

430 In this paper, we focus on the implications of two specific applications of such a scalar
431 value. In studies such as Jägermeyr et al. (2015), Rost et al. (2008), and Siderius et al. (2020) the

432 scalar value is applied to the water withdrawn prior to its application to the farm. In such models, 433 the non-beneficial losses are computed as follows: $Loss = Withdrawals * (1 - e_c)$; where 434 $e_c \sim [0, 1]$ is the model parameter that controls non-beneficial losses from the conveyance 435 process and serves as a proxy for the efficiency of the irrigation system. In this method, as 436 efficiency is increased, less water is lost non-beneficially.

437

438 The second representation of non-beneficial losses is depicted in studies such in Huffaker 439 & Whittlesey (2000) and (2003). In such studies, the scalar value representing the efficiency of 440 the conveyance process is applied on the runoff – after the hydrologic model partitions water into various consumed and non-consumed components. Irrigation withdrawals are input to the 441 442 hydrologic model without losses. The losses are computed on the runoff component. The 443 equation is: Loss = Runoff * $(1 - e_c)$; where $e_c \sim [0, 1]$ is identical to the model parameter 444 defined in the paragraph above as representing the hydrologic effect of irrigation technologies on 445 non-beneficial losses – albeit operating on a different quantity.

446

2.5.2 Irrigation Technology Scenarios

Komakech et al. (2011) report that irrigation efficiency in the Pangani river basin "lies in
the range 15-25%", which is consistent with other reports from the country (Yang & Wi, 2018).
Such efficiencies are associated with technologies such as surface flooding irrigation and openchannel furrows that are common in the region (Lankford, 2004). For such schemes, nonbeneficial losses are primarily due to non-crop evaporation and irretrievable canal seepage (Roth
et al., 2014).

453

454 Conversations about improving irrigation technology revolve around the implementation 455 of certain technologies that can reduce such evaporative and seepage losses. For Tanzania, 456 historical improvements in irrigation technology for small-holder farmers have resulted in only 457 modest improvements in the efficiency of irrigation technology. For example, Mohan (2006) 458 highlights a technology-induced improvement of about 16 percentage points (11% to 27%) in the 459 dry season and about 10 percentage points (8% to 19%) in the wet season in Tanzania. These 460 improvements are noted to have led to a "re-establishment" of base flows, now available for 461 downstream users. The improvements in question have focused on non-intensive infrastructure

462 modifications such as the re-engineering of intakes (Lankford, 2004), and modifications of on463 farm practices (Mohan, 2006).

464

We, therefore, assume these historically documented characteristics of technology improvements constitute a reference class (Flyvbjerg, 2008) on which we anchor our scenarios of likely improvements due to investments in irrigation technology for small-holder farms. For this location, we do not expect dramatic increases in irrigation efficiency that are typically associated with the adoption of capital-intensive technologies such as drip and sprinkler systems.

470

471 As such, we experiment with two realistic scenarios of improved technology such that 472 efficiency is raised from the current baseline efficiency (i.e., 25%; $e_c = 0.25$) to 30% ($e_c =$ 473 0.30) in one scenario, and to 36% ($e_c = 0.36$) in the other scenario. These improved efficiency 474 scenarios are further validated during on-site conversations with local experts. For demonstrative 475 purposes, we include a theoretical scenario with a substantially higher efficiency of 75% 476 ($e_c = 0.75$).

477 2.5.3 W

2.5.3 Water Savings Metric

478 In this study, we adopt the metric used in Williams & Grafton (2019) i.e. the net effect of 479 irrigation technology on river flows. We measure this effect at the outlet of the Weru-Weru 480 subbasin. Williams & Grafton (2019), decompose the net effect into its various constituent 481 variables - (1) the expected net reductions in irrigation diversions (given by government 482 estimates) and (2) the fraction of water savings that are due to changes in recoverable runoff. 483 Their model bounds the range of water savings under different irrigation policies, by 484 experimenting with alternative fractions of recoverable runoff. They achieve this without a 485 hydrologic simulation of river flows. Our study is slightly different - we achieve the range of net 486 effects on river flow using a hydrologic simulation under the identified technology scenarios. 487 Based on the simulation of flow, we represent savings as either (1) increasing the fraction of 488 withdrawn water that is delivered to the farm (i.e., decreasing the losses that are applied on the 489 withdrawals), or (2) increasing the fraction of water that returns as recoverable runoff (i.e., 490 decreasing the losses applied on the runoff). The combinatorial framework (combinations of 491 hydrologic model structures, parameter sets, and non-beneficial loss representations) adopted in 492 this study returns an ensemble of time-series for the streamflow variable. The ensemble consists

493 of the combination of hydrologic models, parameter sets, and representations of non-beneficial
494 losses for each scenario of irrigation efficiency. The equation for the water savings metric is:

$$Savings_{i,j,k} = \sum_{m} Q_{m,i,j,k} - \sum_{m} Q_{m,i,j,k=0.25}$$

Where *Savings* is the predicted water savings; Q is the predicted streamflow summed across the long rainy months (m) March, April and May (MAM); i is an index representing the given hydrologic model, j is an index that represents a given parameter set for a given model, kis the index for the irrigation scenarios (0.25, 0.3, 0.36, 0.75). We compute the water savings metric relative to the baseline of current irrigation efficiency (i.e., 0.25).

500 **3 Results**

501 The results of the experiments are presented in six sections. The first section shows the 502 results of calibrating the hydrologic models. The second section covers the water balance under 503 alternative scenarios of irrigation technology, the third covers the effects of alternative 504 representations of non-beneficial losses on the internal partitioning of soil moisture into 505 consumed and non-consumed portions. The fourth section presents results that show the changes 506 in the predicted hydrographs of evapotranspiration, runoff and streamflow under alternative 507 model structures. The fifth section shows the baseline streamflow, while the last section of the 508 results shows range of uncertainty in annual savings, and the attribution of uncertainty.

509 3.1 Model Calibration

The model calibration results in parameters that predict the average daily runoff (millimeters) of the basin at low and high flows with reasonable accuracy. Average NSE values and log-NSE values computed using 100 parameter sets for each model are 0.6 (HBV), 0.11 (HYMOD), and 0.53 (SACSMA) - an average of ~0.43 across the models. The calibrated models also perform satisfactorily for the log-NSE metric - an average of 0.41 across the models. The three selected models show different performance across the performance metrics (see figure S2). The HYMOD model shows the largest range of values on both metrics.



Figure 2: The results of calibrating the three selected hydrologic models. The rows show the individual models. The left column shows the timeseries and the average performance of the models (NSE and log-NSE). The middle column shows the scatter plot of observed runoff against predictions and the one-to-one line. The right column shows the flow duration curves of the observations and predicted flows.

518 3.2 Water Balance

519 In the models used in Jägermeyr et al. (2015) and Huffaker & Whittlesey, (2000), 520 increasing efficiency results in decreasing losses. So, as expected, losses decrease with 521 increasing irrigation efficiency. The decrease in losses can be seen in the decreasing hatched area 522 on both rows. In figure 3, this effect is visible and consistent for all the models (see columns of 523 figure 3). Also, when losses are applied before partitioning (top row), the quantity of water 524 delivered to the grid cell increases. However, the quantity of water arriving at the grid cell is the 525 same when losses are applied after partitioning. The implication is that the total quantity of water 526 leaving the grid cell increases in the case where non-beneficial losses are applied on 527 withdrawals. However, water leaving the grid cell remains the same when losses are applied after partitioning. When losses are applied on the runoff quantity (bottom row), the outputs are 528 partitioned identically (see the unchanging fraction of ET a given hydrologic model e.g., 39% for 529

- 530 HYMOD, 3% for HBV, and 65% for SACSMA). However, improving the efficiency results in
- 531 different partitions of runoff (for example, across the scenarios, runoff increases from 15% of the
- 532 gridcell outflows to 46% in the HYMOD model, from 24% to 73% in the HBV model, and from
- 533 9% to 26% in the SACSMA model).



Figure 3: Shows the components of the water balance for a sample model grid cell that is fully irrigated. The area of this grid cell is 480 hectares, which is the maximum size of a 2km model grid cell. The contents of the water balance are grouped as inputs (precipitation and irrigation deliveries) and outputs (evapotranspiration and runoff). The panels on the top row show the results for each model when non-beneficial losses are applied to the withdrawn quantity (i.e., before hydrologic partitioning). The bottom row shows the water balance when losses are applied to the partitioned runoff quantity. The dotted line on each panel represents the sum of precipitation and irrigation withdrawals.

534

- 535 3.3 Effects of alternative representations of non-beneficial losses on soil moisture
- 536 partitioning

537 The two identified methods of representing losses have immediate effects on the water 538 input into the soil, the soil moisture state, and ultimately, the resulting soil moisture partitioning. 539 Figure 4 shows the annual distribution of soil moisture states and the fraction of moisture that is 540 not consumed for each hydrologic model (i.e., runoff) for the 100 calibrated parameter sets. 541 These results show that when losses are applied to the withdrawals, there is a systematic

542 reduction of the soil moisture input to the hydrologic model. This leads to systematic differences

543 in the quantity of soil moisture that is partitioned into non-consumption (residual soil moisture,

- 544 seepage, and subsequently, runoff).
- 545

To illustrate these effects, we show the relative soil moisture state and non-consumed partition. These partitions are computed for each water-year (starting October 1st of a given year and ending on September 30th of the following year). The metric for relative moisture is the annual average soil moisture (x-axis) normalized by the maximum soil moisture of that timeseries. This metric represents a normalized value of the primary hydrologic state variable. The metric for the non-consumed partition (y-axis) is also calculated annually using the following formula: *non consumed partition* = $1 - \frac{ET}{Precipitation + Irrigation Deliveries}$

553



Figure 4: Soil moisture effects of implementing alternative non-beneficial loss conventions. On each panel (a, b, and c) the x-axis shows the relative soil moisture metric. The y-axis shows the non-consumed partition. The dots show the average value of relative soil moisture and non-consumed partition for each of the 100 parameter sets for the baseline irrigation scenario $e_c = 0.25$.

554

555

556 We use the Mann-Whitney U test to verify that the distributions are statistically distinct; 557 see Table 1 in supporting information. The results in show that the differences in the resulting

558 distributions of average soil moisture values and the partitioned factions are statistically

559 significant. This figure demonstrates the resulting effects of the choice of loss representation on 560 the internal hydrologic states.

561 3.4 Effects of model choice, parameter selection, and loss representation on the 562 predicted evapotranspiration, runoff, sub-basin outflows

563 Figure 5 highlights the importance of the choices of hydrologic models, parameter sets, 564 and the representation of non-beneficial losses. Some of the differences in hydrologic model 565 structure are quite apparent. For example, the evapotranspiration from the HBV model (Figure 566 middle row in 5a) is a much smoother curve than the predictions from the HYMOD or SACSMA 567 models. Such differences in the hydrographs are due to functional differences in the models. 568 Another important point from this figure is the crucial role of parameters. The parameters 569 selected have a pronounced role in the predictions - see the shaded portions corresponding to the 570 hydrographs. These shaded portions become wider as the represented irrigation efficiency 571 improves (i.e., the modeled non-beneficial losses reduce). In the demonstrative scenario ($e_c =$ 572 0.75), the differences in the average model predictions are almost indistinguishable (see 573 rightmost columns in 5a, 5b). The differences due to the choice of equation used to represent 574 non-beneficial losses seems apparent in the baseline scenario (leftmost column in 5a and 5b) and 575 the two scenarios of modest change (middle columns in 5a and 5b) - for the evapotranspiration 576 and runoff variables. The uncertainty from the equifinal parameter sets is visible in Figure 4, 577 especially for the HBV model. We see that the equifinal parameters of the HBV model span a 578 much wider range of the hydrologic model state-space. This manifests as very wide prediction 579 uncertainties – even though the parameters are members of the same pareto set of parameters.



Figure 5: The annual hydrographs of the primary hydrologic outputs - evapotranspiration, runoff reported in millimeters per day.

583	3.5 Rainy season streamflow in the baseline scenario
584	Figure 6 shows the model predictions for streamflow in the baseline scenario ($e_c =$
585	0.25). Baseline streamflow is the sum of streamflow in the rainy season months of March, April
586	and May. This sum is computed each year and then averaged across all the years of the analysis
587	(2006 - 2015). The annual average across all the models is about 18.7 million cubic meters of
588	flow in the rainy months. Figure 6 shows some variation in this prediction due to the hydrologic
589	models, parameter sets, and loss representations. The range around this mean from the
590	combination of hydrologic models, parameters, and loss models is ~27MCM, spanning between
591	~7MCM (minimum) and ~34MCM (maximum). The figure also shows that the interquartile
592	ranges of the computed savings are consistently higher when the non-beneficial loss model is
593	applied on the withdrawals than when the non-beneficial losses are applied on the runoff.



Figure 6: Sum of streamflow in the rainy season for all models. The boxplots show the values from each of the 100 parameter sets. The colors differentiate the alternative loss models applied.

595 3.6 Estimated water savings

596 Figure 7 shows the computed values of water savings in the long rainy season (March, 597 April, May). The annual savings are reported in million cubic meters per year. The figure also 598 shows the average across all hydrologic models, parameters, and loss representations in each 599 scenario. The efficiency improvement from 25% to 30% results in an average savings of about 600 2%. Improving to 36% results in savings of ~5%, while 75% results in average savings of ~32%. 601 For each scenario, the choice of the hydrologic model makes a substantial difference in the 602 quantity of annual savings predicted and results in variations around the average value. The 603 distributions of predicted water savings are different across each model. For example, given an 604 improvement from 25% to 30%, the SACSMA predicts savings of 0.30MCM on average (range 605 of 0.65MCM), HYMOD predicts about 0.48MCM (range 0.81MCM) on average and the HBV 606 model predicts about 0.37 (range 1.08MCM).



Figure 7: Water savings in the three scenarios, predicted for each model. The boxplots show the savings predicted for 100 parameter sets. The colors represent the alternative loss models.

608

609 The first research question asks: what is the minimum range of predicted water savings 610 when we account for these three sources of uncertainty? Figure 7 addresses this question; it 611 shows that there is a range of ~ 1.1 MCM for the 30% scenario; a range of ~ 2.64 MCM for the 612 36% scenario, and a range of ~18.9MCM for the demonstrative scenario. We use Welch's 613 analysis of variance (ANOVA) to evaluate average model differences across each scenario. The 614 results show significant differences (see Supporting Information Table 2); thus, we reject the null 615 hypothesis that the predicted average water savings across the models are equal. 616 617 The results also show that there are important differences that result from the convention 618 chosen to represent non-beneficial losses. For the hydrologic model structures in consideration, 619 the choice of loss representation is significant. In the case of the SACSMA and HYMOD 620 models, the variance due to the choice of loss model is more pronounced than the HBV9P model 621 (the median and interquartile range in the tan and red box plots are much more spread apart for 622 SACSMA and HYMOD, than for HBV). This is likely due to structural differences in the

623 representation of evaporation and runoff processes. This difference is also visible in the

hydrographs of evapotranspiration (Figure 5a), where we notice differences in the shape of the
hydrographs of the HBV model when compared to the other two hydrologic models.

We use Welch's ANOVA to test the significance of the differences in the predicted averages across non-beneficial representations. Also, for each model, we perform a Mann-Whitney U test on the samples to verify any statistically significant difference in the distributions. Tables 3a and 3b in the Supporting Information show statistically significant differences in predictions as a result of the choice of alternative representations of non-beneficial losses.

633

Now, we turn to the second research question: how important is each source to the overall uncertainty in predicting water savings? We use a multi-factor ANOVA as performed in (Schlef et al. (2018) and Whateley & Brown (2016) to address this question. First, we formulate the ANOVA model as a two-factor model (factors are model choice and non-beneficial loss representation) with 100 replicates, where each parameter set is a replicate. Then, we fit the linear ANOVA model to predict water savings for each scenario. This allows the model residuals to capture the variance from the parameters. Results of this ANOVA are shown in Figure 8.



Figure 8: Relative contribution of each source of uncertainty to the overall prediction uncertainty in different scenarios

643

644 The ANOVA results show (not in the figure 8) that the total amount of uncertainty in the 645 prediction of water savings increases as the irrigation technology improves. The total sum of 646 squared deviations from the mean increases from ~27 in the 30% scenario to ~150 in the 36% 647 scenario; at the 75% efficiency scenario, the sum of squared deviation is about 7000. Figure 8 648 shows relative contributions of uncertainty from the three modeling choices considered. The 649 predominant source of uncertainty is the selected parameter set. This remains constant as the 650 modeled irrigation technology improves - albeit with changing relative contributions from the 651 three factors considered in this study. In all scenarios, the parameter sets contribute the most to 652 the uncertainty of the predictions of water savings, and the contribution increases with increasing 653 efficiency. The next most important source of variation in the results is the convention of the loss 654 model to apply. Variance in the results due to the loss model decreased from $\sim 39\%$ (in the low-655 efficiency scenario) to ~15% (in the high-efficiency scenario). The relative contribution to the 656 total uncertainty from the choice of hydrologic model is relatively constant (at $\sim 11\%$) across the 657 scenarios. While this is non-trivial, the choice of the hydrologic model is not a major source of 658 uncertainty. Other interactions between the choices of hydrologic model and non-beneficial loss 659 representation contribute some uncertainty (\sim 7.5%) in the modest scenarios. However, the 660 uncertainty from these other sources is negligible ($\sim 2\%$) in the demonstrative scenario. This low 661 contribution from the hydrologic model structure may be an artefact of the decision by the 662 authors to select three structures from the host of available hydrologic models. It is possible that 663 an experiment considering more hydrologic model structures could show a larger contribution to 664 total uncertainty from the choice of hydrologic model.

665 4 Discussion

666 The experiments in this study were designed to provide an ensemble of predictions of 667 water savings given a set of modeling choices. Here we explore some of the implications of the 668 findings: (1) the effects of model choices on water savings predictions (2) limitations of the 669 study, and (3) relevance of the findings. 670 4.1 The Effects of Model Choices on Predictions

671 The first finding of interest is the substantial uncertainty of the predictions of water 672 savings due to the choice to apply non-beneficial losses either on the withdrawn irrigation water 673 (i.e., before hydrologic partitioning) or on the computed runoff (i.e., after the hydrologic 674 partitioning). Uncertainty in hydrologic predictions stemming from model choices is 675 commonplace in the literature. However, for predicting water savings, no studies have 676 investigated either the effects of alternative hydrologic model structures and parameters or the 677 effects of alternative conventions to represent non-beneficial losses. Few studies have started to 678 investigate details in the representation of irrigation sources and application technology and their 679 implications for irrigation modeling. For example, Leng et al. (2017) studied the effects of 680 representing alternative water sources. Their findings show that the careful consideration of 681 alternative water sources can account for a source of substantial uncertainties in predicting 682 hydrologic variables in locations of heavy irrigation. Their finding that the representation of an 683 irrigation process accounts for substantial model uncertainty is similar to the finding from this 684 study. However, they do not account for alternative model representations of the application 685 technologies. A reason for the large effect of the convention to represent non-beneficial losses is 686 the non-linearities in the hydrologic models. Hydrologic models partition soil water into runoff 687 and evapotranspiration based on the quantity of soil moisture available in the soil. This means 688 that any convention that systematically alters the quantity of soil moisture will create large 689 effects in the resulting predictions.

690

691 The representation of non-beneficial losses influences model predictions more than the 692 hydrologic model used to partition the soil moisture. More interesting is that the uncertainty from 693 the non-beneficial loss representations is almost as important as model parameters, especially for 694 modest changes in irrigation technology. This is an interesting finding because while we use 100 695 equifinal parameters in the experiment, we have just investigated two approaches to represent 696 non-beneficial losses. It is possible that there are many more ways to represent this non-697 beneficial loss process. For example, in this study, we assumed that all evapotranspiration from 698 irrigated areas is beneficial. This is not necessarily the case. Other assumptions of the delineation 699 of beneficial vs non-beneficial evapotranspiration that occurs on irrigated areas are possible (for 700 example, see Malek et. al. (2017), where non-beneficial evaporation from irrigated areas is

701 represented as a direct modification of the evaporation process within the VIC hydrologic model 702 itself). In this study, rather than endogenize non-beneficial losses within the hydrologic model 703 itself, we compute non-beneficial losses exogenously from the hydrologic model. This 704 experimental decision possibly constrains the range of water savings due to alternative non-705 beneficial loss representations. It is possible that considering more increases the contribution of 706 the loss model to the total uncertainty. Also, this finding is interesting because it suggests that 707 studies that predict hydrologic variables in locations of heavy irrigation need to think carefully 708 not only about the hydrologic model (and its parameters), but also about the numerical 709 representation of other irrigation induced hydrologic processes such as non-beneficial losses. A 710 point to note is that even the two conventions of non-beneficial losses adopted in this study are 711 members on a spectrum of possible representations of non-beneficial losses. Non-beneficial 712 losses do occur before, during, and after irrigation water is delivered to the soil. This means that 713 this experiment explores but a portion of the minimum range of uncertainty that can arise from 714 these stylized representations of these non-beneficial losses. This study considers losses applied 715 strictly before and after hydrologic partitioning. The hydrologic interactions that occur under a 716 systematic combination of a more comprehensive set of representations remains a point for 717 future investigations.

718 4.2 Limitations of the study

719 While this study is useful to outline the implications for methodological choices in 720 irrigation research, a host of factors limit the utility of the findings. One of such limitations is the 721 experimental design that used entire model structures as experimental factors. It is well known 722 that the complexity of hydrologic models prevents controlled comparisons (Clark et al., 2015). 723 For this reason, multiple studies have focused on designing modular hydrologic frameworks that 724 are useful for controlled experiments (Clark et al., 2008, 2015). In this study, it is thus difficult to 725 isolate the effects of different specific processes that contribute to the component of hydrologic 726 model structural uncertainty. The uncertainty from the hydrologic models cannot be apportioned 727 in a controlled manner into its alternative components, and therefore, we cannot really attribute 728 the differences in the hydrologic predictions - such as the shapes of the different hydrographs 729 (see figure 5), and the differences in hydrologic partitioning (see figure 3) - to any internal 730 process of the models.

732 Nevertheless, a few studies, such as Herman et al. (2013), have investigated the 733 differences in the same three models used in this study. Herman et al. (2013) show that the 734 predicted streamflow is strongly sensitive at different time periods to different parameters. 735 Different combinations of parameters dominate the variation in streamflow predictions for 736 different types of climate conditions. For example, of the three selected models, the SACSMA 737 model is the most complicated (with the most parameter combinations and equations). This is 738 probably not unrelated to the finding that the difference in predicted averages across non-739 beneficial losses is the widest, especially for scenarios with modest improvements. While a full 740 investigation of model sensitivity to individual parameters is beyond the scope of this work, it is 741 noteworthy to recognize that Herman et. al. (2013) focus on unimpaired hydrologic basins. It is 742 likely that sets of parameter combinations different to those identified by Herman et. al. (2013) 743 are at play in the hydrologic conditions in the study location (Weru-Weru) - a basin heavily 744 influenced by anthropogenic processes such as irrigation. However, verification of such 745 parameter combinations requires that the parameters themselves are well specified to capture the 746 hydrologic response to anthropogenic activity such as irrigation.

747

748 This leads to another limitation of this study: the calibration of the model parameters 749 without specific information on the specific predicted metric - water savings under irrigation 750 technology. The absence of such information is common in the literature; thus, this study focuses 751 on an exploration of a wide range of model structural and parametric uncertainty. This study 752 specified the changes in streamflow, by calibrating to available information for high flows (NSE) 753 and low flows (log-NSE), and then selecting a wide range of parameters. However, the 754 calibration process did not consider a host of other relevant processes that are relevant for 755 irrigation. For example, Pool et al. (2021) use spatially aggregated metrics related to evaporation, 756 groundwater, and soil moisture to calibrate their model. A testament to the difficulty of using 757 such information is their reliance on "expert knowledge", and short records for calibration. 758 Calibrating hydrologic models that include representations of human activities is incredibly 759 difficult (Condon & Maxwell, 2014; O'Keeffe et al., 2018; Pool et al., 2021; Xu et al., 2019). 760 This is partly because the hydrologic response to human activities is difficult to isolate from the 761 hydrologic response to other environmental effects, and observations are scarce. This study used

long observations of runoff available from local partners and optimized two metrics (NSE and
log-NSE) related to streamflow prediction. The use of a stochastic multi-objective evolutionary
algorithm to calibrate the parameters guaranteed a spread of parameter sets that can reasonably
cover the space of hydrologic behaviors.

766 4.3 Relevance of the study

767 Despite the limitations of the study, the results take initial steps to a long articulated 768 challenge in irrigation research - the quantification of hydrologic uncertainty in irrigation-769 relevant predictions (Grafton et al., 2018). This is especially important in the context of low-770 income countries such as Tanzania, where wrong estimates of water savings have the potential to 771 lead to wasted funds in a society that can ill afford such. Indeed, reliable predictions (reported 772 alongside associated uncertainties) are useful for planning and decision making. This study 773 highlights some important considerations for modeling efforts to predict the hydrologic response 774 to irrigation technology.

775

776 In addition to the practical relevance of this study, it improves the understanding of 777 hydrologic predictions in locations that are heavily dominated by human activities. 778 Understanding the hydrologic model parameter sensitivity to anthropogenic induced change is a 779 potential next step for this study. Furthermore, most of the studies that represent irrigation 780 technology in terms of its effects on non-beneficial losses do so with the convention that 781 represents losses on the withdrawn quantity (i.e. before the hydrologic model) (Jägermeyr et al., 782 2015; Rost et al., 2008; Roth et al., 2014; Siderius et al., 2020). Much fewer studies represent 783 non-beneficial losses after the partitioning (Huffaker & Whittlesey, 2000, 2003). This study has 784 shown that the representation of non-beneficial losses is one significant choice in the prediction 785 of water savings. That model choices influence model predictions is not a new finding. However, 786 given an improved understanding of important factors that influence, researchers can use the 787 findings from here to begin investigations into other factors that influence hydrologic responses 788 in basins that are under human activity.

789 **5** Conclusion

This study presents the first multifactorial exploration of the uncertainty in hydrologic prediction of water savings. Specifically, the experiment focuses on three important factors: (1) the choice of hydrologic model used to partition irrigation water on-farm soil, (2) the equifinal set of parameters, and (3) the representation of non-beneficial losses.

794

The results show that the prediction of water savings is highly sensitive to the parameters and the representation of non-beneficial losses. This is a new finding in the scientific literature covering the computational prediction of irrigation water savings. The study also partitions the total uncertainty into specific portions and attributes these portions to the specific factors in question. In regions where observations are scarce, a multi-model, multi-factor exploration, as performed in this study can help to outline the minimum range of uncertainty.

801

802 This study could be extended in a few ways: one way can focus on a detailed study of the 803 hydrologic sensitivity, using a modular framework. This will help to clarify some of the missing 804 intuition regarding the hydrologic model as a source of uncertainty. Another extension can focus 805 on how such model uncertainty propagates in a decision-making framework. For example, if 806 alternate predictions of water savings could lead to different economic investment decisions. 807 Much has been written about the uncertainty of irrigation investment decisions to future changes, 808 and other behavioral and socioeconomic uncertainties that are relatively exogenous to the water 809 system and the representations of it. Studies that investigate investment decisions under severe 810 model uncertainty are rare (Brown et al., 2015; Herman et al., 2019).

811

To conclude, this study demonstrates the need to take seriously the pervasiveness of severe model uncertainty in current representations of water systems. Loucks' remark that "... we do not understand sufficiently the multiple interdependent physical ... and political (human) processes that govern the [water system's] behavior ..." (Loucks, 1992) still holds true. Thus, it behooves researchers and investors engaged in designing irrigation systems for societal benefit to think carefully about the ways we can properly account for this uncertainty whilst making decisions.

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