# A Spectral element method to compute Earth's free core nutation

Mian  $Zhang^1$  and  $Chengli Huang^1$ 

<sup>1</sup>Shanghai Astronomical Observatory (CAS)

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#### Abstract

The Free Core Nutation (FCN) is a rotational mode related to non-alignment of the rotation axis of the core and of the mantle. There is a big gap between the observed FCN period (about 430 Sidereal days) and the theoretically calculated period (ranging from 450 Sd to 470 Sd). We propose a spectral element method to compute the period of FCN and obtain a good result, which is \$437\$ Sd. {\bf Keywords: } FCN, Spectral Element Method

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## Mian Zhang $^{1,2},$ Cheng-li Huang $^{1,2}$

4	<sup>1</sup> Key Laboratory of Planetary Sciences, Shanghai Astronomical Observatory, Chinese Academy of
5	Sciences, Nandan Rd. 80, Shanghai, 200030 China
6	$^{2}$ School of Astronomy and Space Sciences, University of Chinese Academy of Sciences, 19A Yuquan Road
7	Beijing 100049, China

## **Key Points:**

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9	- We propose a spectral element method to compute the FCN, and the result is bet-
10	ter than the theoretical periods by traditional methods.

#### 11 Abstract

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The Free Core Nutation (FCN) is a rotational mode related to non-alignment of the rotation axis of the core and of the mantle. There is a big gap between the observed FCN period (about 430 Sidereal days) and the theoretically calculated period (ranging from 450 Sd to 470 Sd). We propose a spectral element method to compute the period of FCN and obtain a good result, which is 437 Sd.

#### **Keywords:** FCN, Spectral Element Method

<sup>18</sup> Plain Language Summary

The Free Core Nutation (FCN) is a free oscillation of Earth. It can be observed by the celestial methods, and it carries the information of Earth's deep interior. The theoretical computation has a deviation greater than 4.5%. Lots of researches use different geophysical factors to explain the deviation, which are all factitious and unsatisfactory. We propose a new method to compute the period of FCN, and get a deviation about 1.4%. It shows the computation method is the key factor to explain the deviation.

## 25 1 Introduction

The Free Core Nutation (FCN) is a rotational normal mode related to non-alignment 26 of the rotation axis of the core and of the mantle. FCN has a long period (about 430 Side-27 real days, Vondrák & Ron, 2020) seen from a celestial reference frame and is a retrograde 28 mode; FCN is also a Nearly-Diurnal Free Wobble(NDFW) seen from a terrestrial ref-29 erence frame. It can be obtained by processing the observed VLBI data of Earth's ro-30 tation and superconducting gravimeter data of Earth's tides. FCN connects Earth's deep 31 interior and the celestial observation of Earth's rotation. It depends on (therefore reflects 32 on) the physics and dynamics of the core and the mantle, especially near the core-mantle 33 boundary (CMB). Hence, FCN is a very important tool for people to study the earth's 34 deep interior. 35

There are 3 approaches for theoretical computing FCN. The first is the angular momentum method which was proposed by Hough (1895), who designed an earth model composed of a homogeneous rigid shell and an incompressible homogeneous fluid core. This model is transformed into an oblate by Earth's rotation, and is called Hough-Poincaré

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model. From angular momentum conservation law, FCN period can be written as M. Rochester
et al. (1974).

$$\nu = -e_c \Omega (1 - \frac{A_c}{A})^{-1}, \tag{1}$$

where  $e_c$  is the flattening of CMB,  $\Omega$  is the rotation speed of Earth,  $A_c$  and A are the equatorial moments of inertia of the core and of the whole earth respectively. Hough predicted a retrograde nearly-diurnal wobble associated with FCN. However, his prediction wasn't accepted at his time, until Jeffreys (1926) proved the existence of the fluid core by seismology data in 1926. The angular momentum method has the virtue of simplicity, but its FCN result derivates much from the real one due to the simple model. For example,M. Rochester et al. (1974) obtained 350 Sd for the FCN period.

The second approach is the linear momentum approach. Smith (1974) applied the 49 method to solve normal modes to solve FCN. He extended and applied the Generalized 50 Spherical Harmonics(GSH) based on previous studies, e.g. Phinney and Burridge (1973) 51 (see C.-L. Huang and Liao (2003) for corrections and comment), to transform vectors 52 and tensors in the governing equations for the small periodic oscillations of an oblate spheroidal 53 rotating elastic isotropic earth model from an ellipsoidal domain to an equivalent spher-54 ical domain. Along this approach the resultant periods of FCN ranged from 450 Sd to 55 460 Sd (Wahr, 1981; Dehant, 1990; C.-l. Huang et al., 2001; Rogister, 2001) of the Pre-56 liminary Reference Earth Model(PREM, Dziewonski & Anderson, 1981). There is still 57 a gap between this and the observed. 58

There are many publications trying to interpret this gap by various assumptions. Gwinn et al. (1986), Dehant and Defraigne (1997) explained this discrepancy by nonhydrostatic ellipticity of the CMB. C.-l. Huang et al. (2001) showed that the resultant would accord with the observed by modifying  $\epsilon_{CMB}$  from 0.002547 to 0.002666(a 4.7% increase). The excess over the hydrostatic equilibrium value for  $\epsilon_{CMB}$  estimated by Mathews et al. (2002) was between 3.7% and 3.9%. Buffett et al. (2002) tried to interpret this by the geomagnetic torque on the CMB.

The third approach is the variational approach (Johnson & Smylie, 1977; Moon, 1982; Smylie et al., 1992). Jiang and Smylie (1996) got the period about 450 Sd by this approach. The FCN mode is computed based upon a variational principle of the liquid outer core of the Earth. This variational principle is numerically implemented by a finite el-

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ement approach. However, their work just focused on the fluid core. We follow this idea
and apply a spectral element method on the whole earth model. Spectral element method
is a spectral method working on multiple subdomains(Karniadakis & Sherwin, 2013). We
don't adjust the hydrostatic equilibrium figure of the Earth, and finally obtain the FCN

<sup>74</sup> eigenperiod: 437 Sd.

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## 2 Equations and boundary conditions

In solid crust, mantle and inner core, we take

$$\rho_0 \omega^2 \vec{u} - 2i\rho_0 \omega \vec{\Omega} \times \vec{u} + \rho_0 \nabla V_1 + \rho_0 \nabla (\vec{u} \cdot \vec{g_0}) - \rho_0 \vec{g_0} (\nabla \cdot \vec{u}) + \nabla \cdot \overleftrightarrow{S} = 0$$
(2)

as the equation governing the small periodic oscillations of an oblate spheroidal rotat-77 ing elastic isotropic Earth model, disturbed from hydrostatic equilibrium(F. A. Dahlen, 78 1972). In eq(2),  $\rho_0$ ,  $V_1$  and  $\vec{g_0}$  are density, additional potential, and gravity in an equi-79 librium configuration respectively;  $\vec{\Omega}$  is Earth's angular velocity relative to the moving 80 mean vernal equinox, which we take 86164.01 seconds in this paper. And the mass el-81 ement dm in an equilibrium configuration experiences a small displacement  $\vec{u}$  with an 82 oscillation's angular frequency  $\omega$ . In eq(2) is the Lagrangian variation of the Cauchy stress 83 tensor is 84

$$\dot{S} = \lambda (\nabla \cdot \vec{u}) \dot{I} + \mu [\nabla \vec{u} + (\nabla \vec{u})^T], \qquad (3)$$

where  $\lambda$ ,  $\mu$  are Lamé parameters. In fluid core,

$$\rho_0 \omega^2 \vec{u} - 2i\rho_0 \omega \vec{\Omega} \times \vec{u} - \nabla p_1 + \rho_0 \nabla V_1 + \rho_1 \vec{g_0} = 0$$
<sup>(4)</sup>

is the equation governing the isentropic small oscillations of an inviscid liquid core given by the conservation laws for mass, momentum, gravitational flux and entropy(M. Rochester, 1989). Additional density  $\rho_1$  and additional pressure  $p_1$  are defined as

$$\rho_1 = -\nabla \cdot \left(\rho_0 \vec{u}\right),\tag{5}$$

89 and

$$p_1 = -\vec{u} \cdot \nabla p_0 + \alpha^2 \rho_1 + \alpha^2 \vec{u} \cdot \nabla \rho_0 , \qquad (6)$$

where  $p_0$  is pressure in an equilibrium configuration, and  $\alpha$  is compressional wave speed. The tensor in eq(4) is

$$\overrightarrow{S} = -(p_1 + \vec{u} \cdot \nabla p_0) \overleftrightarrow{I} .$$
(7)

Poisson's equation,

$$\nabla^2 V_1 = 4\pi G \nabla \cdot (\rho_0 \vec{u}), \qquad (8)$$

<sup>92</sup> holds true in both fluid and solid layers.

<sup>93</sup> Continuation on the boundary between solid and fluid layers requires

$$\left\{\hat{n}\cdot\vec{u}\right\}_{-}^{+}=0\tag{9}$$

$$\{\hat{n}\cdot\overleftrightarrow{S}\}_{-}^{+} = 0 \tag{10}$$

$$\{V_1\}_{-}^{+} = 0 \tag{11}$$

$$\left\{ \hat{n} \cdot \left[ \nabla V_1 - 4\pi G \rho_0 \overrightarrow{u} \right] \right\}_{-}^+ = 0.$$
(12)

<sup>94</sup> And continuation on the boundary between solid layers requires

$$\{\vec{u}\}_{-}^{+} = 0 \tag{13}$$

$$\left\{\hat{n}\cdot\overleftrightarrow{S}\right\}_{-}^{+}=0\tag{14}$$

$$\{V_1\}_{-}^{+} = 0 \tag{15}$$

$$\{\hat{n} \cdot [\nabla V_1 - 4\pi G \rho_0 \vec{u}]\}_{-}^+ = 0.$$
(16)

The boundary conditions on the free surface require

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$$\left\{\hat{n} \cdot \left[\nabla V_1 - 4\pi G \rho_0 \overrightarrow{u}\right]\right\}_{-}^{+} = 0 \tag{17}$$

$$\{\hat{n}\cdot\overleftrightarrow{S}\}_{-}^{+} = 0 \tag{18}$$

$$\{V_1\}_{-}^{+} = 0. \tag{19}$$

### <sup>96</sup> 3 Multiple subdomain spectral method

<sup>97</sup> Spectral method can solve the above governing equations. Suppose that an unknown <sup>98</sup> function u(x) satisfies a differential equation:

$$L[u(x)] = D, \qquad (20)$$

<sup>99</sup> where L is a linear differential operator. Spectral method represents u(x) as a truncated <sup>100</sup> series:

$$u(x) \approx u_N(x) = \sum_{n=0}^{N} c_n \eta_n(x),$$
 (21)

where  $\eta_n(x)$  are basis functions and  $c_n$  are their coefficients. This series is then put into the differential equation(20):

$$L[\sum_{n=0}^{N} c_n \eta_n(x)] = D.$$
 (22)

<sup>103</sup> By the Galerkin method the above equation turns into a group of equations:

$$\int_{V} \iota_j(x) L[\sum_{n=0}^{N} c_n \eta_n(x)] dx = \int_{V} \iota_j(x) D \, dx \,, \tag{23}$$

- where  $\iota_j(x)$  are trial functions. By solving eq(23) with the boundary conditions, we can get an approximate solution of the unknown function u(x), which is  $u_N(x)$ .
- For a complex earth model, only one global domain is not enough to represent some characteristics, such as the densities and the toroidal displacement fields between fluid core and solid mantle. So the whole global domain is partitioned into K disjoint subdomains. In No. k subdomain an unknown function  $u^{(k)}(x)$  is expressed as

$$u^{(k)}(x) \approx \sum_{n=0}^{N} c_n^{(k)} \eta_n^{(k)}(x),$$
 (24)

where  $\eta_n^{(k)}(x)$  are basis functions of No. k subdomain and  $c_n^{(k)}$  are their coefficients. So eq(23) turns into K groups of equations:

$$\int_{V^{(k)}} \iota_j^{(k)}(x) L^{(k)} [\sum_{n=0}^N c_n^{(k)} \eta_n^{(k)}(x)] dx = \int_{V^{(k)}} D^{(k)} dx , \qquad (25)$$

where  $\iota_{j}^{(k)}(x)$  are trial functions in No. k subdomain and  $L^{(k)}$  are their linear operators. Eq(25) will create a  $K(N+1) \times K(N+1)$  matrix. Suppose that there are M boundary conditions:

$$B_i[\sum_{k=1}^{K} u^{(k)}(x)] = E_i, \quad i = 1 \cdots M.$$
(26)

We use Tau method(Karniadakis & Sherwin, 2013) to combine these boundary con-115 ditions with eqs(25). Tau method replaces M equations in eqs(25) with M boundary con-116 ditions in eqs(26). The unknown functions  $u^{(k)}(x)$  in all K subdomains can be obtained 117 by solving the new  $K(N+1) \times K(N+1)$  matrix. The global u(x) is the union of  $u^{(k)}(x)$ : 118  $\bigcup_{i=1}^{K} u^{(k)}(x)$ , which is like a sheaf in category theory. This spectral method on multiple 119 subdomains is a kind of spectral element method(Boyd, 2001; Karniadakis & Sherwin, 120 2013), and is called stratified Galerkin method here as Galerkin's method is chosen to 121 convert the continuous operator problem to the discrete problem. 122

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#### 4 Integrations in volumes

To solve the governing equations,Smith (1974) transformed these equations into a group of Partial Differential Equations(PDEs), and integrated the vector of variables from Earth's center to surface with some certain initial values by Runge-Kutta method. Compared to Smith's numerical computation, our approach is to directly integrate these governing equations containing variables that have no given value, which are manipulated as symbols.

Although the hydrostatic equilibrium figure is an ellipsoid, we still solve these equa-130 tions in spherical coordinates, which makes symbolic operations of vector spherical har-131 monics and tensors more complex and tedious. We adopt a linear operator method sim-132 ilar to Rogister and Rochester (2004). However, Kopal (1980) recommended a non-orthogonal 133 coordinate system named 'Clairaut' coordinates for the astrophysical research. The co-134 ordinate surfaces of this non-orthogonal coordinate system consist with the equilibrium 135 surfaces of an equipotential ellipsoid. Wu (1993), Seyed-Mahmoud and Moradi (2014) 136 used Clairaut coordinates to study the dynamics of the fluid core. Rogister and Rochester 137 (2004), M. G. Rochester et al. (2014) and Crossley and Rochester (2014) applied Clairaut 138 coordinates to the linear momentum approach accurate to second order in the elliptic-139 ity. 140

Smith (1974) applied ESD to deal with integration in the first-order approximated ellipsoid. ESD transforms an ellipsoid into a sphere, then parameters are modified with ellipticity. PDEs don't have  $\theta$  or  $\phi$  explicitly, then the vector of variables is integrated

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- along the radius r's direction. However, ESD approach is difficult to deal with asymmet-144
- ric models, for instance, it is difficult and complex to transform a surface with a  $Y_3^0$  com-145
- ponent or a  $Y_2^2$  component to a spherical surface. We don't adopt the ESD, and inte-146
- grate the governing equations in asymmetric shells directly. Suppose that an asymmet-147
- ric shell has an inner boundary: 148

$$r = R_{in} + \sum_{n,m} \xi_n^m Y_n^m(\theta, \phi), \qquad (27)$$

and an outer boundary:

$$r = R_{out} + \sum_{n,m} \Xi_n^m Y_n^m(\theta, \phi), \qquad (28)$$

where  $R_{in}$ ,  $R_{out}$ ,  $\xi_n^m$ ,  $\Xi_n^m$  are all constants, and  $Y_n^m(\theta, \phi)$  are spherical harmonics. If  $\xi_n^m =$ 149 0 and  $\Xi_n^m = 0$ , then this shell is a spheric shell; if only  $\xi_2^0$  and  $\Xi_2^0$  are not equal to 0, then 150 this shell is a first-order ellipsoidal shell. 151

The volume between the inner and the outer boundaries is

$$\iiint dV = \int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} \int_{R_{in}+\sum_{n}^{m} \xi_{n}^{m} Y_{n}^{m}(\theta,\phi)}^{R_{out}+\sum_{n}^{m} \xi_{n}^{m} Y_{n}^{m}(\theta,\phi)} r^{2} \sin\theta dr d\theta d\phi \,. \tag{29}$$

The integral of a vector equation  $\overrightarrow{\mathsf{Eq}}$  in this volume with a trial function vector  $\overrightarrow{\Lambda}_{(i,j,k)}$ 153 is 154

$$\iiint \vec{\Lambda}^*_{(i,j,k)} \cdot \vec{\mathsf{Eq}} \, dV 
= \int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} \int_{R_{in}+\sum_{n}^{m} \xi_{n}^{m} Y_{n}^{m}(\theta,\phi)} \vec{\Lambda}^*_{(i,j,k)} \cdot \vec{\mathsf{Eq}} \, r^2 \sin\theta dr d\theta d\phi ,$$
(30)

where the asterisk symbol (\*) in superscript is the complex conjugate operator. For the 155 governing equations eq(2) and eq(4),  $\overrightarrow{\Lambda}_{(i,j,k)}$  can be  $\eta_i(r)\overrightarrow{R_j^k}(\theta,\phi)$ ,  $\eta_i(r)\overrightarrow{S_j^k}(\theta,\phi)$ , and  $\eta_i(r)\overrightarrow{T_j^k}(\theta,\phi)$ , which  $\overrightarrow{R_j^k}(\theta,\phi)$ ,  $\overrightarrow{S_j^k}(\theta,\phi)$  and  $\overrightarrow{T_j^k}(\theta,\phi)$  are radial, consoidal, and toroidal vector harmon-156

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ics respectively[cite dahlen tramp]. 158

The integral of a scalar equation Eq with a trial function 
$$\sigma_{(i,j,k)}$$
 is

$$\iiint \sigma_{(i,j,k)} * \operatorname{Eq} dV$$

$$= \int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} \int_{R_{in}+\sum_{n}^{m} \xi_{n}^{m} Y_{n}^{m}(\theta,\phi)} \sigma_{(i,j,k)} * \operatorname{Eq} r^{2} \sin \theta dr d\theta d\phi .$$
(31)

For Laplace's equation, the trial function  $\sigma_{(i,j,k)}$  is  $\eta_i(r)Y_j^k(\theta,\phi)$ . 160

## <sup>161</sup> 5 Integrations on surfaces

<sup>162</sup> Now we will discuss how to solve boundary conditions on an asymmetric bound-

<sup>163</sup> ary. Suppose a boundary surface is described by

$$r(\theta,\phi) = r_0 + \sum_{n,m} \kappa_n^m Y_n^m(\theta,\phi), \qquad (32)$$

then the radius vector of a point at  $(r, \theta, \phi)$  in this surface is

$$\vec{r} = r(\theta, \phi)\hat{r}, \qquad (33)$$

and the normalized normal vector  $\hat{n}$  is

$$\hat{n} = \frac{\frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi}}{\left|\frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi}\right|}.$$
(34)

$$\oint dS = \int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} \left| \frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi} \right| d\theta d\phi \,. \tag{35}$$

<sup>167</sup> The surface integral of a boundary condition is

$$\oint \hat{n} \cdot \Box dS 
= \int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} (\hat{n} \cdot \Box) \left| \frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi} \right| d\theta d\phi \qquad (36) 
= \int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} (\frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi}) \cdot \Box d\theta d\phi,$$

 $^{168}$  where  $\Box$  is a vector. As

$$\frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi} = r^2 \sin \theta \hat{r} - rr_\theta \sin \theta \hat{\theta} - rr_\phi \hat{\phi} 
= r^2 \sin \theta [\hat{r} - \frac{1}{r} (r_\theta \hat{\theta} + \frac{r_\phi}{\sin \theta} \hat{\phi})] 
= [r(\theta, \phi)]^2 \sin \theta [\hat{r} - \nabla r(\theta, \phi)],$$
(37)

so eq(36) becomes

$$\oint \hat{n} \cdot \Box dS 
= \int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} \{ [\hat{r} - \nabla r(\theta, \phi)] \cdot \Box \} [r(\theta, \phi)]^2 \sin \theta d\theta d\phi .$$
(38)

The box symbol  $\Box$  is a vector continuation boundary condition:  $\Box = \Delta \vec{u} = \vec{u}^+ - \vec{u}^+$ 

 $\vec{u}$ . Then multiply a trial function  $\sigma_{(i,j,k)}$ :

$$0 = \oint \sigma_{(i,j,k)} \hat{n} \cdot \Delta \vec{u} dS$$
  
= 
$$\int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} \sigma_{(i,j,k)} \{ [\hat{r} - \nabla r(\theta, \phi)] \cdot \Delta \vec{u} \} [r(\theta, \phi)]^2 \sin \theta d\theta d\phi , \qquad (39)$$

where  $\sigma_{(i,j,k)} = Y_j^k(\theta, \phi)\eta_i(r)$ .

<sup>173</sup> Now suppose  $\Box$  is a tensor continuation boundary condition:  $\Box = \delta \overrightarrow{T} = \overrightarrow{T}^+ - \overrightarrow{T}^-$ , <sup>174</sup> then eq(38) turns into

$$0 = \oint \vec{\Lambda}_{(i,j,k)} \cdot [\hat{n} \cdot \delta \vec{T}] dS$$
  
= 
$$\int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} \vec{\Lambda}_{(i,j,k)} \cdot \{ [\hat{r} - \nabla r(\theta, \phi)] \cdot \delta \vec{T} \} [r(\theta, \phi)]^2 \sin \theta d\theta d\phi .$$
(40)

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For a scalar continuation condition:

$$\delta a = a^{+} - a^{-} = 0, \qquad (41)$$

it is a little bit tricky, for it is impossible to get a linear form of its surface integral in explicit formula, as there is a  $\left|\frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi}\right|$  in the denominator in eq(34). So multiply eq(41) by  $\left|\frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi}\right| \sin \theta$ :

$$\left|\frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi}\right| \sin \theta \ast \delta a = 0, \qquad (42)$$

then the boundary condition(41) turns into

$$0 = \oint \sigma_{(i,j,k)} \left| \frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi} \right| \sin \theta * \delta a * \left| \frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi} \right| dS$$
  
$$= \int_{\theta=0}^{\pi} \int_{\phi=0}^{2\pi} \sigma_{(i,j,k)} \left| \frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi} \right|^2 * \delta a * \sin \theta * d\theta d\phi , \qquad (43)$$

180 where

$$\left|\frac{\partial \vec{r}}{\partial \theta} \times \frac{\partial \vec{r}}{\partial \phi}\right|^{2}$$
  
= $r^{4} \sin^{2} \theta + r^{2} [r_{\theta} \sin \theta]^{2} + r^{2} r_{\phi}^{2}$  (44)  
= $r^{4} (1 - \cos^{2} \theta) + r^{2} [r_{\theta} \sin \theta]^{2} + r^{2} r_{\phi}^{2}$ .

#### 181 6 Earth model

PREM is adopted here excluding the ocean. We divide this model into 12 layers according to PREM to describe the parameters such as density and Lamé parameters; and 3 layers to describe the variables such as the displacement vector field and the additional potential scalar. The 3 layers are the inner core, the outer core, and the mantle(with crust). Then the earth model is modified by one order ellipticity by rotation. We solve the hydrostatic equilibrium figure by a more prototypic equation instead of Clairaut's equation, which is (Moritz, 1990; C. Huang et al., 2019)

$$\left(\frac{1}{r_0^n} \frac{d\epsilon_n^m}{dr_0} + \frac{n}{r_0^{n+1}} \epsilon_n^m\right) \int_0^{r_0} \rho q^2 dq - \int_{r_0}^R \rho \frac{d}{dq} \left(\frac{\epsilon_n^m}{q^{n-2}}\right) dq + \frac{5\omega^2}{12\pi G} \Big|_{\text{if } n=2,m=0} = 0.$$

$$(45)$$

This integro-differential equation is solved by our spectral element method. For a firstorder approximated ellipsoid, an equipotential surface in the new equilibrium figure is

$$r_S = r_0 [1 + \epsilon_2^0 P_2(\cos\theta)], \qquad (46)$$

where  $r_0$  is the radius of the surface in the old equilibrium figure. The flattening f is

$$\mathfrak{f} = \frac{a-b}{a} \,, \tag{47}$$

where a, b are the equatorial radius and the polar radius respectively, and it can prove

that in first-order approximation(Moritz, 1990)

$$\epsilon_2^0 = -\frac{2}{3}\mathfrak{f}. \tag{48}$$

Figure (6) shows the profile of the flattening with respect to r. The flattening are 1/392.70 and 1/299.98 at CMB and the surface respectively.



After deformation, the density  $\rho$ , the Lamé parameters  $\lambda$  and  $\mu$ , and the gravitational potential  $\psi$  are decomposed into spherical parts and non-spherical parts:

$$\rho(r_S) = \rho_0(r_S) + \delta\rho(r_S) \tag{49}$$

$$\lambda(r_S) = \lambda_0(r_S) + \delta\lambda(r_S) \tag{50}$$

$$\mu(r_S) = \mu_0(r_S) + \delta\mu(r_S) \tag{51}$$

$$\psi(r_S) = \psi_0(r_S) + \delta\psi(r_S), \qquad (52)$$

where  $\rho_0(r_S)$ ,  $\lambda_0(r_S)$ ,  $\mu_0(r_S)$ , and  $\psi_0(r_S)$  are the parameters before deformation. Dahlen(cite Dahlen1968) gave the non-spherical parts as

$$\delta\rho(r_S) = \epsilon_2^0 r_S \frac{\partial\rho_0(r_S)}{\partial r_S} P_2(\cos\theta)$$
(53)

$$\delta\lambda(r_S) = \epsilon_2^0 r_S \frac{\partial\lambda_0(r_S)}{\partial r_S} P_2(\cos\theta)$$
(54)

$$\delta\mu(r_S) = \epsilon_2^0 r_S \frac{\partial\mu_0(r_S)}{\partial r_S} P_2(\cos\theta)$$
(55)

$$\delta\psi(r_S) = \epsilon_2^0 r_S \frac{\partial\psi_0(r_S)}{\partial r_S} P_2(\cos\theta).$$
(56)

200 Then the gravity  $\vec{g}$  in the new equilibrium configuration is

$$\vec{g} = \nabla \{ \psi + \frac{1}{3} \Omega^2 r_S^2 [1 - P_2(\cos \theta)] \}.$$
(57)

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### 7 Boundary conditions at the center

For this FCN computation the displacement field  $\vec{u}$  is truncated as  $\vec{T}_1^1 + (\vec{R}_2^1 + \vec{S}_2^1) + \vec{T}_3^1$ . Vector spherical harmonics are expanded in power series in each subdomain, for instance,  $\vec{S}_2^1$  is expanded as

$$\sum_{i=0}^{r_{max}} a_i r^i \vec{S}_2^1, \tag{58}$$

where  $r_{max}$  is the max power order, and  $a_i$  are unknown coefficients.  $\vec{S}_2^1$  has the same expansion forms in the inner core, the fluid core, and the mantle, but the coefficients are different, for instance,  $a_i$  can be written as  $a_i^{(IC)}$ ,  $a_i^{(OC)}$  and  $a_i^{(MT)}$  respectively. Similarly, we can get the expansions of  $\vec{T}_1^1$ ,  $\vec{R}_2^1$ ,  $\vec{T}_3^1$  and  $V_1$ .

The boundary condition in the center is required to be regular. This is a vague statement. For the free-oscillation equations, Crossley (1975) expanded the variables as power series,

$$y_i(r) = r^{\alpha} \sum_{\nu=0}^{\infty} A_{i,\nu} r^{\nu}, \qquad i = 1, 2, \cdots, 6,$$
 (59)

then substituted the power series into the partial differential equations, and got one in-212 dependent initial solution by picking  $\alpha$  and a set of  $A_{i,\nu}$  making PDEs finite in the cen-213 ter. Then 3 independent solutions combined into one general solution. Our first approach 214 is similar to the Crossley's in some sense, which is to increase the lower limit of eq(58)215 to satisfy the finitude of  $\left(\frac{1}{r}\right)^k$  in the governing equation. For example, when a term of 216 the displacement field  $\vec{u}$  with vector spherical harmonic basis  $\vec{S}_2^1$  is substituted into the 217 equation, there are M terms with  $(\frac{1}{r})^{k_1}, (\frac{1}{r})^{k_2}, \cdots, (\frac{1}{r})^{k_M}$ , and  $k_1, k_2, \cdots, k_M$  are all non-218 negative integers. Suppose  $k_M$  is the largest, the lower limit i = 0 in eq(58) should in-219 crease to  $i = k_M$ . Note that the different vector spherical harmonic bases may have the 220 different  $k_M$ , for instance,  $\vec{T}_1^1$  and  $\vec{S}_2^1$  have the different  $k_M$ . After pre-computation, we 221 take these  $k_M$ : 0 for  $\vec{T}_1^1$ , 2 for  $\vec{R}_2^1$ , 2 for  $\vec{S}_2^1$ , and 2 for  $\vec{T}_3^1$ . In our previous paper(Zhang 222 & Huang, 2019) we took this approach, and got FCN's period: -431 Sd for the  $r_{max} =$ 223 4 instance. 224

Our second approach is to establish several algebraic equations of the coefficients. For instance, eq(2) has 4 set algebraic equations for the vector spherical harmonics:  $\vec{T}_1^1$ ,  $\vec{R}_2^1$ ,  $\vec{S}_2^1$ , and  $\vec{T}_3^1$ . There are 2 algebraic equations in each set, for example, there are 2 equations corresponding with  $\frac{1}{r}\vec{S}_2^1$  and  $\frac{1}{r^2}\vec{S}_2^1$  respectively in  $\vec{S}_2^1$  set. The equation for  $\frac{1}{r}\vec{S}_2^1$  takes the form:

$$z_{i_1} + z_{i_2} + \dots + z_{i_N} = 0. ag{60}$$

This equation comes from putting the expansions of the displacement field  $\vec{u}$ :

$$\sum_{i=0}^{r_{max}} a_i r^i \vec{T}_1^1 + \sum_{i=0}^{r_{max}} b_i r^i \vec{R}_2^1 + \sum_{i=0}^{r_{max}} c_i r^i \vec{S}_2^1 + \sum_{i=0}^{r_{max}} d_i r^i \vec{T}_3^1$$
(61)

and the expansion of the additional potential  $V_1$ :

$$\sum_{i=0}^{r_{max}} e_i r^i Y_2^1 \tag{62}$$

into eq(2) and filtering the terms with  $\frac{1}{r}\vec{S}_2^1$ . We use the second approach in this paper.

#### 233 8 Matrix

It is very complex and difficult to expand massive mathematical expressions and 234 to integrate them in an asymmetric model. So we write a computer algebra system in 235 Common Lisp to implement these functions. After tedious symbol computations, we can 236 get a large matrix. The row represents the ordinal of a trial function, and the column 237 represents the ordinal of an unknown coefficient. Finally there is still an unknown quan-238 tity:  $\omega$  in the matrix.  $\omega$  is a eigenfrequency so that the determinant of the matrix must 239 be zero. It is difficult to compute the determinant of a large matrix, so we use Singu-240 lar Value Decomposition(SVD) algorithm to get an equivalent determinant. We use the 241 reliable SVD routine of Linear Algebra PACKage(LAPACK). 242

For instance, Suppose  $r_{max} = 5$ , we will get three 124×124 matrices  $\mathbb{M}_0$ ,  $\mathbb{M}_1$  and M<sub>2</sub>, then the final matrix  $\mathbb{M}$  is

$$\mathbb{M} = \mathbb{M}_0 + \omega \mathbb{M}_1 + \omega^2 \mathbb{M}_2.$$
<sup>(63)</sup>

To find a normal mode is to find an  $\omega$  that satisfies the below equation:

$$\mathbb{M}_0 + \omega \mathbb{M}_1 + \omega^2 \mathbb{M}_2 = 0.$$
<sup>(64)</sup>

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If  $\omega_*$  is a solution, then the determinant of the matrix:

$$\mathbb{M} = \mathbb{M}_0 + \omega_* \mathbb{M}_1 + \omega_*^2 \mathbb{M}_2 \tag{65}$$

 $_{247}$  must be zero. However, it is virtually impossible to compute the determinant of a  $124\times$ 

<sup>248</sup> 124 matrix. An alternative way is to compute its condition number, and find the max-

 $_{249}$  ima. The matrix M is decomposed by SVD into

$$\mathbb{M} = \mathbb{USV}^*, \tag{66}$$

where  $\mathbb{U}$  and  $\mathbb{V}$  are unitary, and  $\mathbb{S}$  is a diagonal matrix with non-negative real numbers on the diagonal. Suppose  $\mathfrak{s}_{max}$  is the largest diagonal entry and  $\mathfrak{s}_{min}$  is the smallest, then define the condition number  $\mathfrak{c}$  as

$$\mathfrak{c} := \log \mathfrak{s}_{max} - \log \mathfrak{s}_{min} \,. \tag{67}$$

So to find the zero points of the determinant of the  $\mathbb{M}$  is to find the maxima of  $\mathfrak{c}$  when searching  $\omega$ .

Figure (8) shows this condition number **c** with respect to angular frequency  $\omega$  ranging from 7.29e-5 to 7.31e-5 where  $r_{max} = 5$ . There are 2 peaks in this range, which means there are 2 possible zero determinants, in other words, 2 possible normal modes.



**Figure 2.** Condition number  $\mathfrak{c}$  with angular frequency  $\omega$ 

Angular frequency  $\omega(rad/s)$ 

$r_{max}$	Angular Frequency(rad/s)	Period (Sd)	Deviation
3	7.29232e-5	0.99997	-0.003%
4	7.29231e-5	0.99997	-0.003%
5	7.29231e-5	0.99997	-0.003%
6	7.29232e-5	0.99997	-0.003%
7	7.29240e-5	0.99996	-0.004%

 Table 1.
 Periods of TOM

#### 258 9 Result

Tilt-over Mode(TOM) has the same period with the rigid earth, which is exact 1 259 Sd. We use TOM to evaluate the accuracy of our numerical solutions. Table(1) shows 260 the period of TOM with respect to  $r_{max}$  order. All the terms in the equations and the 261 boundary conditions are accurate to  $(\epsilon_2^0)^2$  in our computation. When  $3 \leq r_{max} \leq 7$ , 262 the absolute value of the deviation between the calculated and the observed is less than 263 0.004%. When  $r_{max} \ge 8$ , we can't find an extremum of the equivalent determinant, be-264 cause the power series has a disadvantage which is not normalized. For instance, if an 265 unknown function is written as 266

$$u(x) = \sum_{i=0}^{N} a_i r^i,$$
 (68)

the computation will encounter numerical overflow when i is large. If we put a factor Rwhich is large than r,

$$u(x) = \sum_{i=0}^{N} a_i (\frac{r}{R})^i,$$
(69)

 $\binom{r}{R}^{i}$  will approach zero quickly. We try medium R, however, the result is not satisfactory. We now work on writing a new version of our computer algebra system which will have a new architecture, replace power series with Chebyshev's polynomials and support multithreads.

$r_{max}$	Angular Frequency(rad/s)	Period (Sd)
3	7.3098e-5	-412
4	7.3088e-5	-437
5	7.3088e-5	-437
6	7.3088e-5	-437
7	7.3088e-5	-437

Table 2.Periods of FCN

**Table 3.** Periods of FCN with  $\vec{T}_{1}^{1} + (\vec{R}_{2}^{1} + \vec{S}_{2}^{1}) + \vec{T}_{3}^{1} + (\vec{R}_{4}^{1} + \vec{S}_{4}^{1}) + \vec{T}_{5}^{1}$ 

$r_{max}$	Angular Frequency(rad/s)	Period (Sd)
4	7.3088e-5	-437
5	7.3089e-5	-434
6	7.3089e-5	-434

070

For the deviations of TOM is about -0.003%, we take 5 significant digits in FCN. 273 Table(2) shows the results of FCN. When  $r_{max} = 3$ , the result doesn't seem to be good, 274 because the basis functions are not enough. From  $r_{max} = 4$  to  $r_{max} = 7$ , the angular 275 frequency of FCN are 7.3088e - 5 and the corresponding period in the celestial refer-276 ence frame is -437 Sd. There is a minor difference (about 1.4%) between this result and 277 our previous result: -431 Sd(Zhang & Huang, 2019), because we take the second approach 278 to deal with the boundary condition in the center here, and Zhang and Huang (2019) 279 took the first approach. 280

If the displacement field  $\vec{u}$  is truncated as  $\vec{T}_1^1 + (\vec{R}_2^1 + \vec{S}_2^1) + \vec{T}_3^1 + (\vec{R}_4^1 + \vec{S}_4^1) + \vec{T}_5^1$ , and the second approach for the boundary condition in the center is applied, we will get the angular frequency of FCN: 7.3088e - 5, 7.3089e - 5, and 7.3089e - 5 Sd for  $r_{max} = 4$ ,  $r_{max} = 5$ , and  $r_{max} = 6$  respectively. Table(3) shows these results.

#### 285 10 Discussion

There are some possible reasons for the good computed result. First the Galerkin method avoids the derivatives of density and Lamé parameters. For Lamé parameters, their derivatives are in the term  $\nabla \cdot \vec{S}$  in the governing equation(2), the Galerkin method multiplies a vector trial function  $\vec{X}$  on the both sides of eq(2). It is easy to prove that (F. Dahlen

multiplies a vector trial function X on the both sides of eq(2). It is easy to prove that (F. Dahle & Tromp, 1998)

$$\int_{V} \vec{X} \cdot (\nabla \cdot \vec{S}) dV = \int_{V} \nabla \cdot (\vec{X} \cdot \vec{S}) dV - \int_{V} \nabla \vec{X} : \vec{S} dV$$

$$= \int_{S} \hat{n} \cdot (\vec{X} \cdot \vec{S}) dS - \int_{V} \nabla \vec{X} : \vec{S} dV$$

$$= \int_{S} (\vec{X} \cdot \hat{n} \cdot \vec{S}) dS - \int_{V} \nabla \vec{X} : \vec{S} dV.$$
(70)

From above equivalent, the integral of  $\nabla \cdot \vec{S}$  turns into the integral of  $\vec{S}$ , so the deriva-

<sup>292</sup> tives of Lamé parameters are eliminated. For density, its derivative is in the term  $4\pi G\nabla$ .

( $\rho_0 \vec{u}$ ) in Poisson's equation(8); the Galerkin method multiplies a trial function f on the

both sides of eq(8). It is easy to prove that

$$\int_{V} f * 4\pi G \nabla \cdot (\rho_0 \vec{u}) dV = \int_{S} f * 4\pi G \rho_0 \hat{n} \cdot \vec{u} dS - \int_{V} 4\pi G \rho_0 \nabla f \cdot \vec{u} dV.$$
(71)

So the derivative of the density in the term  $\nabla \cdot (\rho_0 \vec{u})$  is eliminated. The boundary conditions (10) (9) can substitute the surface integrals  $\int_S (\vec{X} \cdot \hat{n} \cdot \vec{S}) dS$  and  $\int_S f * 4\pi G \rho_0 \hat{n} \cdot \vec{u} dS$ , which become the natural boundary conditions. Seyed-Mahmoud (1994) used the natural boundary conditions to deal with the rotational modes in fluid core.

These parameters were reconstructed by inversion of seismology data and normal 299 modes(Dziewonski & Anderson, 1981). For PREM, the layered structure and the rude 300 initial profiles of density and Lamé parameters were reconstructed from seismology data. 301 Then these parameters were modified by the data of normal modes. Dziewonski and An-302 derson (1981) applied the Rayleigh's principle and the perturbation theory on normal 303 modes, and his approach was just like the Galerkin method which replaced trial func-304 tions with the original displacement field  $\vec{u}$ , and modified boundary conditions into nat-305 ural boundary conditions. This approach can invert the parameters as a whole, but may 306 remove some details and smooth the profile curves. Our approach focuses on whole char-307 acteristics too, and can neglect some details of the derivatives, for instance, some small 308 jumps in the density profile. On the other hand, some fine details may affect linear mo-309

mentum approach. Rogister and Rochester (2004) used Clairaut coordinates, and had
 the similar advantage that the ODEs governing free oscillations of a rotating hydrostatic
 earth model contained no derivatives of material properties.

The second reason is that we don't adopt the ESD. The ESD transforms a firstorder approximated ellipsoid into a sphere. For a point  $\vec{P}(r_p, \theta_0, \phi_0)$  in some equipotential ellipsoidal surface, the corresponding point in ESD is  $\vec{r}(r_0, \theta_0, \phi_0)$ , which satisfies

$$r_p = r_0 - \frac{2}{3}\epsilon(r_0)P_2(\cos\theta).$$
 (72)

This is actually a coordinate transformation; thus coordinates change and so do vectors, 316 tensors and metric. Moreover, the governing equations should also change, and the orig-317 inal governing equations don't usually hold true in the new coordinates. Generally speak-318 ing, the governing equations in an original coordinates should be rewritten in Hamilton 319 form H(p,q), where p and q are generalized momentums and coordinates respectively. 320 In the new coordinates, the new Hamilton form is K(P,Q), where P and Q are gener-321 alized momentums and coordinates respectively. It is not strict to solve H(p,q) with P,Q, 322 and a rigorous way is to use K(P,Q). 323

However, the two reasons are possible, and real reasons need more research.

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324

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