Recurrent large-scale solar proton events before the onset of the Wolf grand solar minimum

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Abstract

Carbon-14 in tree rings have suggested that there had been multiple extreme solar proton events (SPEs) in the past. While the largest events such as in 774–775 CE can be significantly detected by the typical precision of accelerator mass spectrometry, smaller but possibly more frequent events have been difficult to be detected. Thus, the frequency or any characteristics of such relatively smaller events are still largely unknown. In this paper, we report that multiple large SPEs had occurred before the onset of the Wolf grand solar minimum based on high-precision carbon-14 analyses. It is suggested that they had occurred at the maximum and the declining phase of solar cycles, and that they had occurred during the transition time of solar activity into a deep minimum. We propose that this episode may provide a unique opportunity to elucidate a potential interaction between the solar dynamo and extreme solar flares.

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2	the Wolf grand solar minimum
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21	Key points:
22	\cdot Multiple abrupt increases in carbon-14 content were found during the transition
23	time of solar activity into the grand minimum state
24	\cdot They occurred at solar activity maximum or at the declining phase of solar
25	cycles, suggesting that they originate from solar proton events
26	\cdot The Wolf minimum may provide a unique opportunity to potentially deepen the
27	understanding of the solar dynamo
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29	
30	Abstract
31	Carbon-14 in tree rings have suggested that there had been multiple extreme
32	solar proton events (SPEs) in the past. While the largest events such as in 774–
33	775 CE can be significantly detected by the typical precision of accelerator mass
34	spectrometry, smaller but possibly more frequent events have been difficult to be
35	detected. Thus, the frequency or any characteristics of such relatively smaller
36	events are still largely unknown. In this paper, we report that multiple large SPEs

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45	1. Introduction
10	
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46 47 48 49 50 51	The Sun occasionally produces intense solar flares that sometimes accompany the ejection of energetic protons, described as solar proton events (SPEs). SPEs can potentially cause catastrophic damage to modern society by increasing the radiation levels around the Earth. For example, previous events have caused damage to spacecrafts (Shea et al., 1992) and increased the radiation exposure of airline crews and passengers (Fujita et al., 2021). Therefore, it is crucial to

54 SPEs can be studied either by direct observation of cosmic-ray radiation or by

obtaining proxy-based data such as carbon-14 content in tree rings and/or 55 beryllium-10 stored in ice cores obtained from polar regions. Both carbon-14 and 56 beryllium-10 are radioactive isotopes produced by incident cosmic rays in the 57 58 atmosphere; thus, their content in tree rings or ice cores provides information on past cosmic-ray events (Lal and Peters, 1967). While these isotopes are 59 60 constantly produced by galactic cosmic rays, whose flux is gradually changing due to variations of the solar-wind magnetic field with timescales of decade or 61 longer, less energetic but massive radiations from SPE cause a rapid increase in 62 their production rate. 63

Based on carbon-14 records, Miyake et al. (2012; 2013) reported two extremely 64 large SPEs that occurred in 774–775 CE and 993–994 CE; the enhancement of 65 carbon-14 content was approximately 1%, and both were detected by the 66 ordinary precision of accelerator mass spectrometry (AMS) (0.2%-0.3%). Later, 67 the enhancement of carbon-14 on a similar scale was also reported for 660 BC 68 (O'Hare et al., 2019; Sakurai et al., 2020). For this event, detailed analyses have 69 suggested that this peak might have been produced by multiple, successive 70 71 SPEs occurring within a few years (Sakurai et al., 2020).

The frequency and the intensity of solar flares exhibit a power-law relationship

73	(e.g., Figure 4 of Maehara et al., 2015); thus, large-scale but comparably smaller
74	SPEs than 774–775 CE or 993–994 CE should have occurred more frequently in
75	the past. However, it is difficult to detect such smaller events with carbon-14 in
76	tree rings, as a transient enhancement in carbon-14 in the atmosphere is strongly
77	attenuated in the carbon cycle. A few possible candidates have been found but
78	are limited to those around 1052 CE and 1279 CE (Brehm et al., 2021), or around
79	5410 BC (Miyake et al., 2021).
80	Generally, SPEs occur when the Sun is active, as have been suggested for the
81	774–775 CE and 993–994 CE events; however, Brehm et al. (2021) have
82	suggested that SPEs could also occur during grand solar minima when solar
83	activity was extremely low for more than a few decades. During the grand minima,
84	the number of sunspots emerging on the solar surface becomes extremely small;
85	thus, there would be less chance for solar flares. However, it is known that
86	sunspots had caused solar flares even during the Maunder Minimum (1645–1715
87	CE), one of the periods of extremely low sunspot activity, and had brought some
88	aurorae events, although the event rate was extremely suppressed during that
89	time (Schlamminger, 1990).

90 However, it should be noted that galactic cosmic rays (GCRs) may also cause

91 an annual-scale rapid increase in carbon-14 around the grand minima 92 (Yamaguchi et al., 2010; Kataoka et al., 2012). Such an event may occur at the minima of solar cycles during the grand solar minimum in the case where the 93 94 current sheet in the heliosphere, which plays an important role in the modulation of cosmic rays, is extremely flattened. Such a condition may occur when the solar 95 96 surface is quiet-without any active region-and thus the tilt of the neutral line on 97 solar surface is reduced to ~0 degrees. Note that this effect is only prominent when the polarity of the solar dipole magnetic field is negative, which is when 98 99 GCRs tend to come to the Earth along with the heliospheric current sheet by the 100 drift effect (Kota and Jokipii, 1983). Thus, such an event may occur only at every 101 other minima of solar cycles. The variation of beryllium-10 content obtained from 102 ice cores has suggested that the polarity reversal of the solar magnetic field had 103 been maintained even during the Maunder Minimum, with a slightly lengthened 104 cycle, and that the GCR flux had been increased by 30%-40% at every other 105 solar cycle minima (Yamaguchi et al., 2010; Kataoka et al., 2012). Therefore, it is important to reconstruct the profile of solar cycles together with 106 107 the cosmic-ray events so that their origin can be identified. The reconstruction of

108 solar cycles also enables identifying the solar cycle dependence of large SPEs.

109	In order to determine the phases solar cycles at the times of cosmic-ray events,
110	it is helpful to obtain high-precision carbon-14 data—better than 0.1% (Miyahara
111	et al., 2021). Improving the measurement precision is also indispensable for
112	detecting relatively small SPEs, as well as precisely determining their intensity to
113	reveal their characteristics. In this paper, based on high-precision carbon-14
114	analyses, we report that multiple SPEs had occurred before the onset of the Wolf
115	grand minimum that occurred in the late 13 th to the early 14 th century (Figure 1a).
116	The solar cycle dependence of the events, as well as a possible relation to the
117	grand minimum, are presented.

119

120 **2. Materials and Methods**

121 **2.1.** Measurement of carbon-14 content in annual tree rings

In this study, we used tree-ring samples of asunaro (*Thujopsis dolabrata*) excavated from the Shimokita Peninsula, Aomori Prefecture. Each of the annual tree rings were cross-dated by dendrochronology (Hakozaki, 2012) and absolutely dated by δ 18O dendrochronology and carbon-14 spike-matching (Hakozaki et al., 2016). The tree rings formed in 1250–1295 CE were then 127 separated and chemically treated to extract cellulose. We then produced graphite 128 as a target material and measured the carbon-14 content using the AMS at 129 Yamagata University in Japan (Tokanai et al., 2013; Moriya et al., 2019). In order 130 to achieve high-precision, we prepared four cathodes from each of the cellulose samples, randomly loaded them onto the target wheel of the AMS, and repeated 131 132 measurements of 300 s for 14-24 cycles. We duplicated such measurements two 133 to three times to achieve a precision better than 0.08% in this study. We then 134 calculated the $\Delta 14C$ values following the methodology described in a previous 135 study (Miyahara et al., 2021).

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137 **2.2. Estimation of carbon-14 production rate**

For the determination of carbon-14 production rate, we used the 11-box carbon cycle model introduced by Güttler et al. (2015). We first calculated the steady state of the carbon cycle with the production rate of carbon-14 as 7.0 kg (total amount for the stratosphere and the troposphere) for 200 kyrs, and then continued the calculation for approximately 2000 years by adding a sinusoidal curve with a period of 4400 years to reproduce the variation in carbon-14 content (Reimer et al., 2020) caused by the long-term trend in geomagnetic field intensity.

145	The amplitude of the 4400-year cycle was adjusted so that the modeled $\Delta {\rm 14C}$
146	value matched that of the measured value for the previous year of the cosmic-
147	ray candidate event. We then estimated the production rate of carbon-14 that can
148	reproduce the jump by injecting it into the model and by comparing the obtained
149	carbon-14 content in the troposphere with the high-precision data. Note that the
150	uncertainty of the carbon-14 data in the year before the event was propagated to
151	that of the event year to estimate the production anomaly within a range of 1 σ .
152	As any variations after the events may reflect decadal-scale solar activity
153	variations, they were not considered for the estimation of the intensities of the
154	events.

156 **2.3. Reconstruction of solar cycles**

In order to determine the profile of the solar cycles, we used a similar approach as described in Miyahara et al. (2021). We constructed possible synthetic curves of carbon-14 production rate caused by the decadal-scale variation of GCRs associated with solar cycles, inputted them into the 11-box carbon cycle model, and compared the modeled tropospheric carbon-14 content with the highprecision carbon-14 data obtained in this study. For this calculation, we slightly 163 modified the initial setting mentioned in 2.2: the amplitude of 4400-year cycle was adjusted so that the modeled Δ^{14} C matched the measured value in 1250 CE. 164 165 For the construction of the synthetic curves, we first synthesized possible 166 sunspot cycles and then obtained the corresponding cosmic-ray variations. For synthesizing the sunspot curves, we assumed that the length of the ascending 167 phase did not exceed the length of the declining phase, following the 168 169 characteristics of sunspot cycles during the past 300 years. We set the resolution 170 of sunspot activity level as 20. For translating the sunspot cycles into cosmic-ray 171 cycles, we utilized a simple model estimated based on the relationship between 172sunspot number and GCRs since 1953 CE as monitored by neutron monitors 173 (Supplementary Figure S1). We normalized and combined the Climax neutron 174 monitor data (http://cr0.izmir an.ru/clmx/main.htm) and the Oulu neutron monitor 175 data (http://cosmi crays.oulu.fi/) and compared them with sunspot numbers for 176 the two phases; the polarity of the solar dipole magnetic field was positive and 177 negative, respectively (Supplementary Figure S1c). We obtained approximate 178 equations and extrapolated the curves so that the calculation can be conducted 179 for a wide range of solar activity levels. Note that the Sun sometimes indicates long-term variation in its magnetic activity not reflected in sunspot number, as 180

was the case for the deep activity minimum in 2008–2009. We therefore extrapolated the curves down to a sunspot number of -150. As the decadal-scale variation in carbon-14 content around 1265–1277 CE was significantly suppressed compared to the previous/following cycle, we assumed that this cycle corresponded to a period when the solar dipole magnetic field was positive. Based on this assumption, we converted the sunspot curves into cosmic-ray variations.

We input the synthetic curves into the carbon cycle model cycle by cycle. At 188 each cycle, $X^2 = \Sigma (\Delta^{14}C_{modeled} - \Delta^{14}C_{measured})^2/\sigma^2$ were calculated, and all the 189 synthetic curves that resulted in an X^2 below 1.69 (σ = 1.3) were adopted to 190 191 calculate the following cycle. As the obtained data do not fully cover the cycle 192 ending around 1259 CE, and the profile of the solar maximum in the reconstruction may have a large ambiguity for this cycle, reconstructed curves 193 194 are shown only from around 1255 CE. Note that the reconstructed sunspot 195 activity level is dependent on the model of the GCR-sunspot relationship, especially above 270 and below 0; therefore, the levels of sunspot maxima and 196 197 minima are reliable only in terms of relative magnitude.

198

200 **3. Results and Discussion**

201 Our newly obtained high-precision carbon-14 data, with a precision of 0.04%-202 0.08%, substantially improved the understanding of solar activity around the onset of the Wolf grand solar minimum in the 13th century. The data indicated that 203 204 there were three abrupt cosmic-ray events in a relatively short period of time just 205 before the onset of the Wolf minimum (Figure 1b). One of the events occurred in 206 1279–1280 CE, confirming one of the previously suggested SPE candidates by 207 Brehm et al. (2021) (Figure 2a), although the high-precision data revealed that 208 the increase in $\Delta 14C$ was only approximately 0.3%, smaller than the 0.5% 209 increase suggested by Brehm et al. (2021). Another event was found to have 210 occurred in 1268-1269 CE (Figure 2b), 11 years before the above event. This 211 event was larger than the 1279–1280 CE event, and the offset of Δ 14C was 212 approximately 0.45%. There was no increase from 1279 to 1280 CE in the Brehm's record; instead, a jump of similar scale is seen-although statistically 213 214 insignificant—in the subsequent year. The discrepancy between the two records 215 may be explained either by (1) the relatively large uncertainty of the record by Brehm et al. (2021) compared to the small signal or by (2) the tree species used 216

217 to obtain the data. The asunao tree used in this study is a conifer that is known 218 to start photosynthesis in early spring, and the majority of the produced 219 photosynthate is used for growth during the same year. On the contrary, the oak 220 used in the study of Brehms et al. (2021) is a deciduous tree that begins to sprout in May and defoliate in late October, in which case the photosynthate of the 221 222 previous year is used for the growth of earlywood. It is therefore possible that the 223 carbon-14 signal is delayed by one year in the case of the deciduous tree. A 224 relatively small but possible SPE candidate was also found in the data in 1261-225 1262 CE. An increase of 0.24% (~3 σ) was found in the carbon-14 content 226 together with a substantial decrease in 1263–1264 CE (Figure 2c), exceeding the 227 level that can be explained by solar cycles. It is suggested by the carbon cycle modeling that the production rate of carbon-228

14 caused by these three events was 5.6 ± 0.8 kg, 7.8 ± 1.2 kg, and 4.2 ± 1.4 kg, respectively (see Supplementary Figure S2), corresponding to ~19%, ~27%, and ~14% of the largest known SPE occurring in 774–775 CE (see Brehm et al., under review, for the production rate of the 774–775 event). The event in 1268–1269 CE had been suggested to have caused a 9.2 ± 2.4 kg increase in carbon-14 production rate (Brehm et al., 2021), but the high-precision data allowed for narrowing this uncertainty. The third event was the smallest extreme SPE
candidate detected by carbon-14 so far with sufficient statistical significance,
although further improvement in the measurement precision is desired for a better
estimation of the intensity.

239 Figure 3 shows the evolution of solar cycles around the events calculated using the 11-box carbon cycle model (see 2.3), which suggests that the 1268–1269 CE 240 event and the 1279–1280 CE event had occurred at the declining phase of the 241 242 solar cycles, while the smallest event in 1261-1262 CE occurred at the maximum 243 of the solar cycle. The solar cycle dependence of the events suggests that they 244 were all caused by SPEs, rather than the galactic cosmic-ray enhancement 245 mentioned above. The 1279-1280 CE event had occurred at the early stage of 246 the declining phase, and the 1268–1269 CE event had occurred at the later stage of the declining phase. It is noteworthy that the timings of ground level 247 248 enhancements (GLEs) captured by neutron monitors during the last 70 years have shown a similar tendency. GLEs are abrupt increases in cosmic-ray intensity 249 250 associated with intense SPEs accompanying high-energy solar particles more 251 than a few hundred MeV. The solar cycle dependence of the timings of GLE occurrence indicates that they increase as the number of sunspots increases, but 252

253 they are also frequent during the declining phase of solar cycles (see 254 Supplementary Figure S3). Note that GLE may also occur at the very end of the solar cycle, associated with sunspot activities at low-latitude regions, as was the 255 256 case for the event of April 30, 1976 (Gopalswamy, 2012). A recent paper suggested that active regions with a multipolar configuration-having a high 257 258 potential of triggering extreme solar flares-tended to occur at the solar activity 259 maximum and the declining phase of the solar cycle during the recent two cycles (Solar Cycles 23 and 24) (Abramenko, 2021). 260

261 Interestingly, there has been a report of possible auroral activity on Feb 15th of 262 1269 CE in Korea (Abbott and Juhl, 2016). The record says that there was a white 263 cloud with a width of 3 degrees spread across the sky at night. Due to the gradual change in the inclination angle of the geomagnetic field, it has been suggested 264 that the auroral zone had been closer to the East Asia around the 13th century 265 266 (Kataoka et al., 2021), and several aurorae were observed and recorded in Korea, China, and Japan. Photosynthesis of the asunaro tree is active from April to 267 268 November and is most active around July to September (Hitsuma et al., 2012). 269 Given that the asunaro tree mainly uses the photosynthate from around April to 270 August for the growth of the correspondent year, a rapid jump from 1268 to 1269

CE does not contradict the time profile injection of protons in February of 1269 is assumed. No auroral activity has been found around 1279–1280 CE and 1261– 1262 so far, except one suggested for Feb 9th of 1261 CE (Abbott and Juhl, 2016), which is too early for the carbon-14 peak in 1262 CE; but the solar cycle dependence of the events suggests that they were also caused by SPEs as mentioned above.

277 The evolution of solar cycles deduced from the high-precision carbon-14 278 suggests that the peak around 1275 CE had been significantly suppressed 279 compared to the peak of the previous cycle. The end of the cycle then became 280 extremely weakened, beyond the sunspot minimum of the modern period. As noted above, a sunspot level below zero implies a reduced solar activity more 281 than can be probed by the number of sunspots. A previous study suggested that 282 283 the Sun entered into the grand minimum state at around 1279 CE (Brehm et al., 284 2021): detailed analyses of carbon-14 improve the estimation, suggesting that the Wolf grand minimum had started around 1286 CE. It is noteworthy that the 285 total length of the two solar cycles was approximately 27 years, suggesting a 286 287 possibility that the length of the solar cycle had been longer than 11 years, as has been suggested as the common characteristics before the onset of the grand 288

289 minimum (Miyahara et al., 2021), which is possibly associated with the reduction 290 in the speed of meridional circulation in the solar convection layer, although 291 further improvements to measurement precision are needed to precisely 292 determine the duration of each solar cycle.

The reconstructed solar cycles with a drastically decreasing activity trend 293 294 suggest that the SPEs found in this study had occurred during the transition time 295 of solar activity into the grand minimum state. From the viewpoint of solar dynamo 296 research, the Wolf minimum may provide a unique opportunity to discuss possible 297 interactions between the solar dynamo and extreme solar flares. Large flares tend to occur at sunspots with complex topologies due to large available free energy 298 (e.g., Sammis et al., 2000). The fact that there were large flares during the drastic 299 transition phase of solar activity toward the Wolf minimum indirectly indicates that 300 301 the toroidal magnetic field in the solar interior had been passive to the turbulent 302 convection and was distorted significantly, leading to the generation of complex sunspots and large SPEs (see also Abramenko, 2021). In this regard, our results 303 304 might constrain the status of the large-scale magnetic field and the convection in 305 the solar interior. Our results may also provide an implication of the evolution of the Wolf minimum. Abramenko (2021) has shown that a large fraction of flaring 306

307	active regions in the late phase of the cycle violates the dynamo rules (e.g., Hale's
308	law). Nagy et al. (2017) argue that a single "rogue" active region, i.e., an anti-
309	Hale large sunspot pair, can significantly affect the construction of the polar
310	magnetic field in the following minimum and the amplitude of the subsequent
311	solar cycle. The sunspot pairs that caused the SPEs discussed in this study,
312	especially those associated with the 1268–1269 CE and the 1279–1280 CE
313	events, might therefore have contributed to the generation of the Wolf minimum.
314	The excavation of sunspot descriptions in historical documents may give further
315	insight in this regard.

317

318 **4.** Conclusions

We found three recurrent carbon-14 increases in the tree rings of 1262 CE, 1269 CE, and 1280 CE just before the onset of the Wolf grand solar minimum. Analyses of the production rate suggest that the intensities of these events were ~14%, ~27 %, and ~19% of that of the 774–775 CE event. The solar cycles reconstructed around the events suggest that they had occurred at the solar cycle maximum or at the declining phase of solar cycles, consistent with the

325	characteristics of large-sized solar flares observed during the modern period. It									
326	was suggested that they had occurred during a drastic transition time of solar									
327	activity. Further exploration of large-scale SPEs and a detailed reconstruction of									
328	solar cycles based on high-precision carbon-14 analyses may deepen our									
329	understanding of the nature of large-scale solar flares and their possible relation									
330	to the long-term variation of solar activity.									
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- 466
- 467

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472	
473	Data Availability Statement
474	Datasets for this research are available at
475	https://doi.org/10.6084/m9.figshare.17096975.v1.
476	
477	Author Contributions
478	H.M. designed the study. H.M., F.T., T.M., M.T., and K.H. conducted the 14C
479	measurements. M.O. performed the dating of tree-ring samples. H.M. and H.S.
480	performed data analyses and modeling. H.M., H.H., and H.S. wrote the
481	manuscript.
482	
483	Competing Interest
484	The authors declare no competing interests.
485	
486	Supplementary Information

487 Supplementary Information is available for this paper.

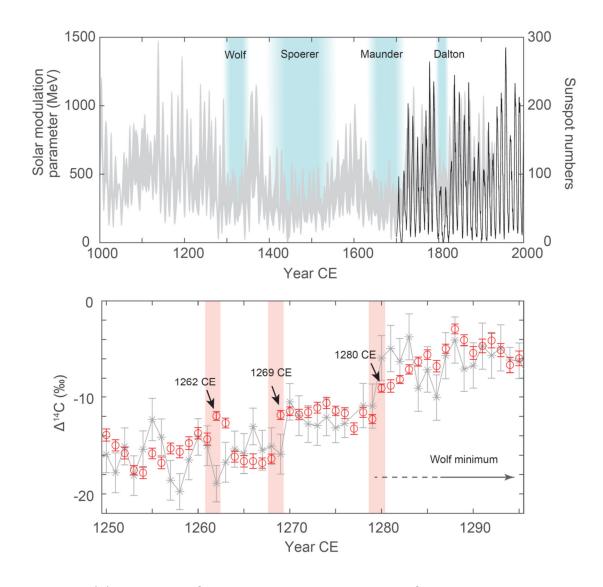
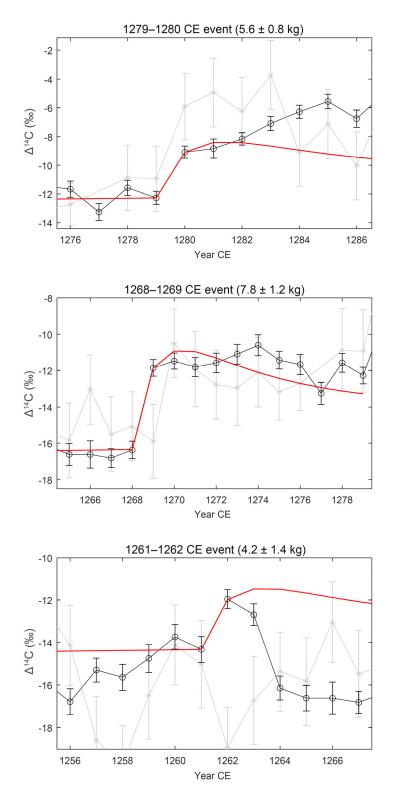


Figure 1. (a) Variation of solar modulation parameter for the past 1000 years reconstructed by Brehm et al. (2021). Blue shaded areas indicate periods of grand solar minima. (b) High-precision carbon-14 data for around the onset of the Wolf Minimum. Red circles are the carbon-14 data obtained in this study. Gray asterisks are the data by Brehm et al. (2021). Red shaded areas indicate the periods when statistically significant jumps in carbon-14 content were recognized.

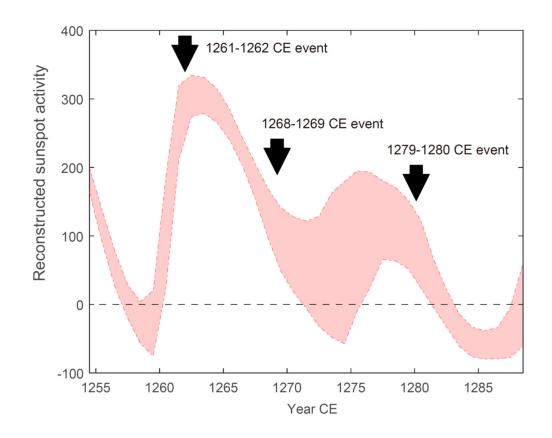


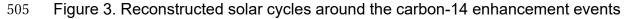
497 Figure 2. Time profile of carbon-14 content in tree rings around the SPE
498 candidates: (a) 1279–1280 CE event, (b) 1268–1269 CE event, and (c) 1261–

1262 CE event. Black circles and gray asterisks are the carbon-14 data (same
as in Figure 1b). Red lines show the response of carbon-14 content to the carbon14 injections to the 11-box carbon cycle model. Note that solar cycle is not
considered in this calculation.



504





with an uncertainty range of 1.3 σ. Arrows indicate the approximate timing of the
events found in this study.



[Geophysical Research Letters]

Supporting Information for

[Recurrent large-scale solar proton events before the onset of the Wolf grand solar minimum]

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Contents of this file

Figures S1 to S3

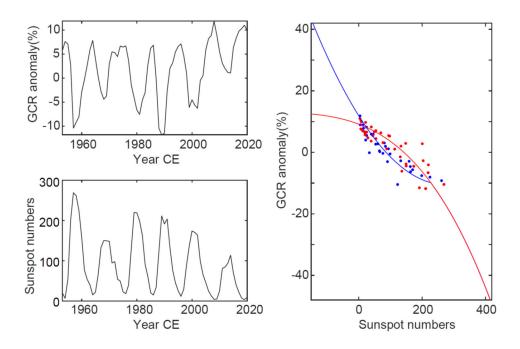


Figure S1. (a) Variation of galactic cosmic rays as monitored by the neutron monitors in Climax and Oulu stations. (b) Sunspot data from the World Data Center SILSO, Royal Observatory of Belgium, Brussels. (c) Comparison between the cosmic-ray flux and the sunspot numbers for the phases polarity of solar dipole magnetic field is positive (red dots) and negative (blue dots). The red and blue curves are the simple approximation and their extrapolation for sunspot numbers above 270 and below 0.

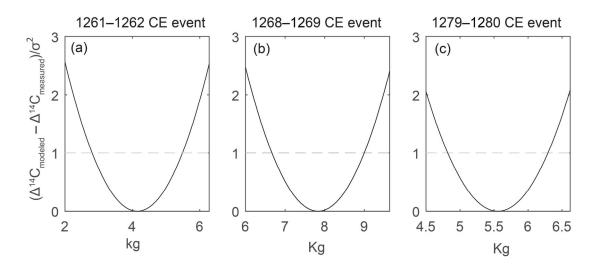


Figure S2. Comparison between the modeled and measured carbon-14 content for (a) 1261–1262 CE event, (b) 1268–1269 CE event, and (c) 1279–1280 CE event, for the cases carbon-14 was injected into the carbon cycle model within the ranges shown on the horizontal axes.

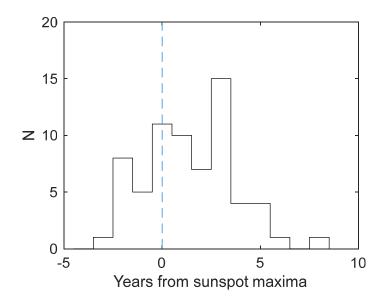


Figure S3. Solar cycle dependence of the number of ground level enhancements since 1956 CE.