## Improving Understanding of Atmospheric River Water Vapor Transport using a Three-Dimensional Straightened Composite Analysis

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#### Abstract

The irregular shapes of atmospheric rivers (ARs) and the scarcity of sounding data have hampered easy AR composite analyses and understandings about AR's moisture transport mechanism. In this work we develop a method to composite AR-related variables from a reanalysis dataset. By averaging a large number of samples, the three dimensional structure and some evolutionary features of a typical North Pacific AR are revealed. An AR is typically located along and in front of the surface cold front of an extratropical cyclone. A meso-scale secondary circulation is observed in the cross-sections of the AR corridor, where both geostrophic and ageostrophic winds make indispensable contributions to the strong moisture transport. Geostrophic moisture advection across the cold front within the Equatorward half of the AR is created by the baroclinicity of the system, and serves as the primary moisture source of the AR-resided atmosphere. Moisture fluxes from the warm sector of the cyclone are primarily due to ageostrophic winds within the boundary layer, and are more important within the poleward half the AR, particularly during the genesis stage. The faster movement speed of the AR compared with low level winds enables the ARs to collect downwind moisture. While within the Equatorward half moisture transport is mostly attributed to geostrophic advection carried along by the propagating AR-cyclone couple. Driven by the intensifying geostrophic winds, ARs tend to reach peak moisture transport intensity about two days after genesis. Then reduced moisture and influxes from lateral boundaries prevent further moisture flux intensification.

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17	•	Develops a new method to composite atmospheric rivers with irregular shapes and
18		orientations.
19	•	Reveals the meso-scale secondary circulation across the atmospheric river and high-
20		lights the relationship with frontogenesis processes.
21	•	Depicts the 3D structure of moisture transports within an atmospheric river and
22		the different geostrophic and ageostrophic contributions.

Key Points:

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#### 23 Abstract

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#### 44 1 Introduction

Researches on the salient lower tropospheric moisture fluxes, now commonly known 45 as "atmospheric rivers" (ARs), can be dated back to the 1970s (K. A. Browning & Par-46 doe, 1973). It has also been related to concepts including the warm conveyor belt (WCB), 47 tropical moisture exports and low level jets (LLJs) (e.g. Bao et al. (2006); Knippertz et 48 al. (2013); Zhu and Newell (1998); Gimeno et al. (2014)). As the research matures and 49 ambiguities are being clarified, the community has arrived at the term "atmospheric rivers", 50 with a formal definition of "a long, narrow and transient corridor of strong horizontal 51 water vapor transport that is typically associated with a LLJ stream ahead of the cold 52 front of an extratropical cyclone." (Ralph et al., 2018). This definition summarizes the 53 most prominent features of ARs, and also ties the phenomenon to its key physical driv-54 ing factors. 55

A number of earlier works lay the foundations for the understandings of the AR-56 related physical processes. Newell and Zhu (1994); Zhu and Newell (1994, 1998) suggested 57 a close relationship between ARs and cold fronts, by observing the spatial coincidence 58 of such filamentary structures and extratropical storm tracks. The baroclinicity and hu-59 midity gradients associated with frontal systems give rise to a net poleward heat and mois-60 ture transport (Zhu & Newell, 1998). A key contributor to the enhanced moisture trans-61 port is the pre-frontal LLJ found in the warm sector of an extratropical cyclone (Ralph 62 et al. (2004) and references wherein). To help fully capture the narrow transverse struc-63 tures of ARs, direct measurements were collected from dropsondes and rawinsondes (Ralph 64 et al., 2004, 2005, 2017). These sounding measurements observationally quantify the amount 65 of water vapor transported by ARs, and also help establish the relationship between ARs 66 and meso-scale frontal structures. A detailed analyses on the development of ARs and 67 frontogenesis processes are given in a case study by Cordeira et al. (2013), using the fron-68 togenesis function to indicate the location and strength of the secondary ageostrophic 69 circulation across the AR corridor. The strengthening effect of frontogenesis on ARs has 70 also been documented by Neiman et al. (2013). On the other hand, Z. Zhang et al. (2019) 71 performed a statistical analysis on the co-occurrences of ARs and extratropical cyclones. 72 Their results showed that 82% of Eastern Pacific ARs are associated with an extratrop-73

ical cyclone, and there exists mutual intensification mechanisms between these two phe-nomena.

However, existing studies on the structures and dynamics of ARs have left some 76 knowledge gaps. Firstly, it is noticed that analyses on the structures or evolutions of ARs 77 have been largely relying on case studies (e.g. Neiman et al. (2013); Bao et al. (2006); 78 Ralph et al. (2005, 2017); Cordeira et al. (2013)). Few studies have analyzed the gen-79 eral dynamical changes following the AR life cycle by taking a large population of ARs 80 into consideration. Based on the averages of Pacific and Atlantic ARs during 2005-2016, 81 82 Xu et al. (2020a) documented an early intensification and a subsequent weakening of AR IVT during the life cycle, but no physical explanation was given to the possible causes 83 of such a change. Zhou et al. (2018) documented some kinematic characteristics of North 84 Pacific ARs following their life cycle, including their traveled distances, propagation speeds 85 and pathways, yet structural or dynamical analyses are lacking. Secondly, most previ-86 ous works have focused on the along-AR moisture fluxes/winds in their cross-sectional 87 analyses (e.g. Newell and Zhu (1994); Ralph et al. (2004); Cordeira et al. (2013); Ralph 88 et al. (2017)), and few has analyzed the roles played by the cross-AR fluxes/winds. It 89 has been argued that ARs collect considerable amount of moisture from the surround-90 ing atmosphere (Bao et al., 2006; Cordeira et al., 2013; Dacre et al., 2019), however, it 91 is not clear how the moisture from the lateral boundaries of the AR get aggregated and 92 contributes to the strong AR IVT. The distribution of cross-sectional winds associated 93 with the frontal structure is likely the key to answering this question. 94

The scarcity of large sample AR composite analyses in literature is largely due to 95 their complex shapes. Unlike tropical cyclones, storms or ocean eddies whose circular 96 symmetry allows for relatively straightforward composite analysis (e.g. Knaff et al. (2014); 97 Jourdain et al. (2014); X. Zhang et al. (2019); Frenger et al. (2013)), the irregular shapes 98 of ARs deny such a convenience. As a work-around, and so motivated by the physical 99 relationship with extratropical cyclones, some studies adopted a cyclone-centric perspec-100 tive, and created composites by aligning up the extratropical cyclones that are found to 101 have an accompanying AR (Zhu & Newell, 1994; Dacre et al., 2015; Eiras-Barca et al., 102 2018; Dacre et al., 2019; Z. Zhang et al., 2019). This gives the broad configuration of the 103 AR-cyclone couple. However, the AR and its accompanying cyclone do not form a rigid 104 relationship, but with considerably varying distances (Z. Zhang et al., 2019). Therefore, 105 some of the more delicate meso-scale structures in the cross-sections of the ARs may be 106 lost. On the other hand, sounding observations can provide an accurate cross-sectional 107 view of an AR, but suffer from limitations of data availability and representativeness. 108 Existing literature based on sounding data are spatially confined to the near coastal re-109 gions of the Northeastern Pacific, and can not support a full life cycle analysis on long-110 lived ARs originated from the western Pacific. This may imply a big limitation of this 111 approach, considering that many of the North America landfalling ARs are originated 112 from the Kuroshio Extension region (Liu et al., 2021). The relative roles played by large-113 scale geostrophic processes and the ageostrophic, secondary frontal circulation can not 114 by revealed using sounding data alone (Ralph et al., 2004). Furthermore, satellite im-115 ages lack the ability to resolve the vertical structure of the AR, and surface wind speed 116 measurements are often contaminated by heavy precipitation (Ralph et al., 2004). 117

In view of such limitations, we develop a method to process and "straighten" the AR-related variables in a reanalysis dataset to a composite-ready form. The resultant composites can be used to depict the general three dimensional structure of an AR. We aim to use such information to explain how North Pacific ARs collect moisture from the surrounding atmosphere, their relationship with extratropical cyclones and cold fronts, and how the AR associated IVT is affected by dynamical and thermodynamical changes following their life cycle.

The important role played by ARs in poleward moisture transport has been widely acknowledged (e.g. Zhu and Newell (1998); Ralph et al. (2004); Xu et al. (2020a); Ralph

et al. (2020)). However, the exact manner in which they achieve the transport has raised 127 some debates in literature. There are broadly two competing views regarding the mois-128 ture transports by ARs, one suggests a direct transport of moisture from the low lati-129 tudes along the corridor of the AR all the way to the mid-latitude target regions (e.g. 130 Newell and Zhu (1994); Wick et al. (2013); Neiman et al. (2013)), and the other empha-131 sizes the local recycling of moisture and the replenishment from tropical and extratrop-132 ical sources (e.g. Bao et al. (2006); Dacre et al. (2015, 2019)). In particular, Dacre et 133 al. (2019) proposed a "feeder-airstream" mechanism to explain the moisture sources for 134 an AR-cyclone system. However, their composites only cover a  $1500 \, km$  radius around 135 the cyclone center, and may not provide a complete picture of the AR. Therefore, we 136 also aim to give an AR-centric depiction of the moisture transport pattern, and to of-137 fer an answer to the question of how ARs transport moisture in the Earth- and AR-relative 138 frames of reference. 139

This work is organized follows. Section 2 introduces the dataset and the composit-140 ing methods. Section 3 gives analyses on the straightened AR composites, by first show-141 ing the typical AR-cyclone relationship in a plane-view perspective in Section 3.1, fol-142 lowed by the cross-sectional views in Section 3.2. The geostrophic and ageostrophic winds 143 have different levels of importance in the along-front and cross-front directions. Their 144 contributions to the thermodynamic and dynamic processes of AR evolutions are dis-145 cussed in Section 3.3-3.4. The moisture transport pattern by a typical AR is explored 146 in Section 3.5, in the Earth- and AR-relative frames of reference, respectively. The key 147 findings are summarized in Section 4, with some discussions on the implications and lim-148 itations of the work. 149

#### <sup>150</sup> 2 Methodology and data

#### 2.1 Reanalysis data

Three dimensional air temperature (T), geopotential height (Z), specific humid-152 ity (q) and winds (u, v and w) during 2004-Jan to 2010-Dec are obtained from ECMWF's 153 ERA-INTERIM (ERA-I) reanalyses product (Dee et al., 2011). All data have a horizon-154 tal resolution of  $0.75^{\circ}$  and a temporal resolution of 6 hours. IVT is computed from lay-155 ered u, v and q by vertically integrating across the atmosphere column. The choice of 156 the reanalysis product has been shown to be an insignificant factor to AR detection re-157 sults (Ralph et al., 2018). The  $0.75^{\circ}$  resolution of ERA-I data is close to the average  $80 \, km$ 158 dropsonde spacing of Ralph et al. (2017) and is sufficient to resolve cross-sectional struc-159 tures of ARs. 160

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#### 2.2 AR detection and tracking

ARs at individual time points are detected using the magnitude-independent detection algorithm documented in Xu et al. (2020b). By relaxing the requirement on the IVT magnitude and instead performing the filtering based on the spatio-temporal scales of the ARs, this detection method is less sensitive to parameter choices, and can more reliably retain ARs at the genesis or dissipating stages when their IVT strengths are generally weaker. The same AR entity appearing in consecutive time slices are tracked using the "simple-path" tracking method as introduced in Xu et al. (2020b).

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#### 2.3 AR straightening method for scalar fields

To create composites of ARs they are first straightened along their axes. The AR axis is a summary of the AR's geometry, and is defined as the array of grid cells inside the AR that has the largest along-path IVT integral (see Xu et al. (2020b)). By definition, the axis is directed in that it follows the general directions of moisture fluxes within the AR. This enables the identification of the first and last axis points regardless of the shape, curvature and orientation of the AR. The axis is smoothed by a 10-point mov ing average to remove small-scale curvatures.

The straightening method is inspired by similar techniques used to straighten im-177 ages of human chromosomes (e.g. Jahani and Kamaledin Setarehdan (2012); Arora et 178 al. (2017)). Like ARs, chromosomes may appear as straight, bent and at various orien-179 tations in their microscope scans. To straighten an AR, slices of a given scalar variable 180 (e.g.  $IVT^1$ ) are taken from perpendicular profiles sampled along the AR axis. These equal-181 length profiles are re-stacked to form a "resample matrix", from which the straightened 182 183 image is constructed using bi-linear interpolation. More technical details are given in the Appendix, and Fig. 1 gives an example of the straightening process applied on the IVT 184 field of a Northeastern Pacific AR at 2004-Jan-04, 18:00 UTC. The AR boundary is drawn 185 as black contour, and the axis as dotted curve from the starting point S to the end point 186 E (Fig. 1a). It can be seen in Fig. 1b that the axis and profiles form a "fish-bone-like" 187 structure. The straightened image in Fig. 1c is oriented such that the starting point S188 is on the left hand side and the end point E on the right. Based on the thermal wind 189 relationship, this implies that the bottom side, where the AR width axis is labeled neg-190 ative, corresponds to the warm side, and the top is the cold side. This orientation is also 191 consistent with the natural coordinate system often used in frontal system studies (e.g. 192 Hoskins and Bretherton (1972); Keyser et al. (1988)). The straightened image is then 193 normalized along the x-axis to give the length-normalized straightened image in Fig. 1d, 194 which now has a fixed dimension and is therefore composite-ready. The binary mask de-195 lineating the AR region is also straightened using the same process, and re-discretized 196 to binary using a cut-off value of 0.5. 197

It can be seen in Fig. 1b that when an AR displays considerable curvature, the pro-198 file lines may cross over each other where the AR bends inward, and may create some 199 artifacts in the straightened image. Therefore, we also experimented constructing the 200 profile lines using two curvilinear grids stitched together at the AR axis. An example 201 is given in Fig. S1 in the Supplementary. As a curvilinear grid is qusi-orthogonal, it is 202 immune to the crossing-profiles issue. After interpolation, this creates more natural look-203 ing straightened images (Fig. S1c,d), but the broad structure is largely consistent, par-204 ticularly in the interior of the AR where it is so narrow that virtually no crossing-over 205 happens. After averaging across a large sample, the difference between the two approaches 206 is negligible (two comparisons are given in Fig. S2 and S3). Therefore, the rest of the 207 work is based on results from the "fish-bone" straightening method alone. 208

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#### 2.4 AR straightening method for vector fields

The above straightening method only works for scalar fields as the directional in-210 formation of a vector field is not preserved during the process. To faithfully straighten 211 a vector field, the azimuthal angle of each profile is first computed, then the original vec-212 tor direction sampled at each point along the profile is rotated by that amount accord-213 ingly, such that the rotated profile aligns with the y-axis in the straightened image. More 214 details on the computation of this rotation angle is given in the Appendix. A nearest-215 neighbor interpolation is used to interpolate the vector directions onto the target grid, 216 to avoid artifact values across the 0/360 degree joint. Only vector directions are straight-217 ened in this manner, the u- and v- components of the vectors, respectively correspond-218 ing to the x- and y- dimensions in the straightened image, are recovered from the straight-219 ened scalar magnitudes and directions. 220

Fig. 1c, d also show the straightened **IVT** field of the same AR case. It can be seen that the process achieves a satisfactory retention of the regional circulation patterns. The

<sup>&</sup>lt;sup>1</sup> in AR researches the term IVT is often used to refer to the scalar magnitude |IVT| and the vector field IVT interchangeably. We will use bold font to emphasis a vector definition in later sections.



Illustration of the scalar and vector field straightening method. (a) IVT (in Figure 1. kq/(ms)) distribution of an AR over the Northeastern Pacific at 2004-Jan-04 18 UTC. Magnitudes of IVT are shown as colors, and flux vectors are superimposed on top. The boundary of the AR is drawn as black contour, and the axis is drawn as the dotted curve starting from the starting point S to the end point E. (b) axis and cross sectional profiles of the same AR. Profiles are drawn crossing each axis point perpendicular to the local line segments, with "warm side" profile points drawn in red and "cold side" points in blue. "Warm side" profile limb covers a longitude/latitude distance of  $10^{\circ}$  with 14 evenly spaced sample points, and "cold side" limb covers a distance of  $15^{\circ}$  with the same spacing. (c) straightened IVT of the AR. Perpendicular profiles as shown in (b) are re-stacked from the starting point S to the end point E along the x-axis, and scalar IVT is computed from the re-stacked coordinates using bi-linear interpolation. Vector directions are rotated according to the orientation of the profile line. The binary mask of the AR region is also interpolated and re-discretized to binary with a cut-off value of 0.5. (d) straightened IVT of the AR normalized to a fixed shape of  $36 \times 100$ , where 36 is the dimension of the AR width, and 100 the dimension of the AR length.

cyclonic (anti-cyclonic) cell on the cold (warm) side of the AR is well preserved after the straightening, as well as the sharp clockwise turn observed at the southern tip of the AR.

#### 225 **3 Results**

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#### 3.1 Plane-view composites of ARs and the relationship with extratropical cyclones

North Pacific ARs during 2004-2010 are first detected and tracked. After filtering 228 by a  $120^{\circ}E - 100^{\circ}W$  longitudinal range, about 25600 6-hourly AR occurrences (~ 3657 229 per year) are obtained. Some basic statistics about these ARs are given in Fig. S4. The 230 straightening methods described above are applied on ERA-I data to create composites 231 of various variables. Besides the example given in Fig. 1, four more cases, covering a length 232 span of  $\sim 3500 - 6500 \, km$ , are given in the supplementary Fig. S5-S8. It can be seen 233 that the straightening methods work reasonably well on ARs with a wide range of lengths. 234 There are some minor differences in the straightened composites between different length 235 categories (not shown), however, the basic structures within and around the ARs are con-236 sistent. Therefore, for the sake of depicting the general structure of a typical North Pa-237 cific AR, it is acceptable to average ARs across the entire length span. However, there 238 are cases when the sizes or locations of the ARs are important. In such situations, fur-239 ther constraints, for instance, specifications on the locations of the starting and ending 240 points of the AR axis, are imposed to obtain a composite with more coherent samples. 241 Such constraints will be specified when explaining relevant analyses. 242



Figure 2. Composites of (a) IVT (magnitude shown as color shading, in kg/(ms), and vectors as arrows), (b) IWV (in  $kg/m^2$ ), (c) geopotential height anomalies at 850 hPa level (in m) and (d) air temperature at 975 hPa level (in Celcius). Composites are created by averaging the straightened fields of North Pacific ARs during the period of 2004-2010. Black contours delineate the averaged boundaries of ARs, and the thin black line the axis of the AR.

Fig. 2 displays the composites of some horizontal fields. It can be seen that the com-243 posite of the straightened AR has a shuttle-like shape, with a slightly wider warm side 244 than the cold side, particularly in the middle section of the AR. This is also observed 245 in the case shown in Fig. 1. The straightened **IVT** field is cyclonic on the cold side and 246 anti-cyclonic on the warm side (Fig. 2a). The two circulations converge at the AR re-247 gion, but with a component towards the warm side. This may explain the observed shape 248 asymmetry that the moisture is "pushed" towards the warm side, and is also consistent 249 with the integrated water vapor (IWV) distribution in that the maximum IWV centers 250 at the axis but skews towards the warm side (Fig. 2b). Unlike the IVT field that has pointed 251 ends, the IWV field is well connected with high IWV levels outside of the AR region on 252 the left side of the straightened image (Fig. 2b). Considering that most Northern Hemi-253 sphere ARs have a southwest-northeast orientation, the left end corresponds to the tail 254 of the AR where the atmosphere in lower latitudes has higher vapor contents. 255

Consistent with the circulation pattern, the 850 hPa geopotential height anoma-256 lies (Z850'), with respect to the zonal average) feature a low (high) pressure center on 257 the cold (warm) side (Fig. 2c). This dipole pattern was also identified in Z. Zhang et al. 258 (2019), who suggested that the high pressure center is important for ARs that are not 259 associated with a cyclone. Boundary layer air temperature composite shows a warmer 260 "bottom-left" quadrant, a largely horizontal temperature gradient in the x- direction on 261 the warm side of the AR, and a sharper y- direction temperature gradient across the cen-262 tral line (Fig. 2d). This justifies the warm and cold side definitions. The warmer quad-263 rant having higher IWV distribution is also consistent with the Clausius-Clapeyron re-264 lationship (e.g. Held and Soden (2006)). 265

These plane-view composites allude to a typical AR-cyclone relationship: an AR 266 tends to appear around the southeastern edge of a cyclone, aligning along and in front 267 of the cold front<sup>2</sup>. To help depict this AR-cyclone relationship in a geographic map pro-268 jection, a subset of 300 ARs are randomly selected from those whose starting point falls 269 within the box of  $20-35^{\circ}N$ ,  $125-140^{\circ}E$ , and ending point the box of  $40-55^{\circ}N$ , 145-270 160°E. Composites of IVT, IWV, Z850' and T975 are shown in Fig. S9 in Supplemen-271 tary. The relationship between the AR and the extratropical cyclone is consistent with 272 the above descriptions. Similar configurations are also observed in composites created 273 from ARs in other longitudinal bands within the North Pacific (not shown). Therefore, 274 this AR-cyclone relationship is a rather consistent feature, suggesting that the trailing 275 AR propagates with the accompanying cyclone. This explains the similarities between 276 the AR track and storm track (Zhu & Newell, 1998; Xu et al., 2020a), and that the for-277 mer is slightly equatorward in comparison (Mundhenk et al., 2016). 278

It should be noted that the similar AR-cyclone configuration has been documented by earlier studies from a cyclone-centric perspective (e.g. Dacre et al. (2015, 2019); Z. Zhang et al. (2019)). However, the extent of an AR's width is much smaller than the radius of an extratropical cyclone, and some of the more delicate structures, for instance, the crosssectional profiles, may be obscured if the AR samples are not accurately aligned up. The next section focuses on such vertical structures.

#### 3.2 Vertical structures of ARs

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By applying the same straightening and compositing methods on variables at various vertical levels, the three dimensional structure of a typical AR is reconstructed. The straightened fields are further normalized along the width dimension, by aligning up the AR axes, and interpolating the cold and warm sides separately to a normalized width of 1.0 and -1.25, respectively. The 25% extra warm side width is determined from the

 $<sup>^2</sup>$  based on this, we use "cross-front" and "along-front" interchangeably with "cross-axis" and "along-axis".

<sup>291</sup> averaged AR mask. Taking the widest cross-section within the middle 25 - 75% por-<sup>292</sup> tion of the AR length, the average AR width is about ~  $800 \, km$ , which is partitioned <sup>293</sup> into  $355 \, km$  ( $455 \, km$ ) on the cold (warm) side, based on the 1 : 1.25 ratio. Note that <sup>294</sup> the average  $800 \, km$  width is wider than the median width of  $500 \, km$  as shown in Fig. <sup>295</sup> S4. Aside from the difference between mean and median in a non-Gaussian distribution, <sup>296</sup> this is also because the latter is defined as the "effective width", i.e. the ratio of area over <sup>297</sup> length. The narrower ends of the AR region make the effective width smaller than the <sup>298</sup> width measured at the widest cross-section.

299 Fig. 3a shows the cross-sectional composite of along-axis moisture fluxes at 50%of the AR length. The moisture flux profile features an asymmetrical dome-like shape, 300 with a flatter base on the warm side. This is consistent with the AR shape asymmetry 301 mentioned previously, and also explains the raised (suppressed) 50, 75 and 90% IVT height 302 levels on the cold (warm) side. These IVT height levels are obtained by integrating the 303 along-axis moisture fluxes from the bottom level up. Therefore, at the core of the AR, 304 75% of the total IVT is found below  $\sim 650 \, hPa$ , and only about 10% above the level 305 of  $\sim 500 \, hPa$ . Compared with moisture fluxes, the specific humidity profile does not 306 have as clear lateral boundaries (Fig. 3b), but features a more gradual decline from the 307 center of the AR. However, humidity level also drops much faster on the cold side. The 308 asymmetrical humidity distribution and the tilt of the moisture fluxes towards the cold 309 side are consistent with sounding observations (Ralph et al., 2004, 2017). 310

The asymmetrical humidity distribution is related to the thermodynamical con-311 ditions. Isotherms slope down towards the cold side, with a zone of strong baroclinic-312 ity (horizontal temperature gradient  $\leq -1K/100 \, km$ , shown as grey shading in Fig. 3a) 313 on the cold side. The frontal line which is marked by the dash-dotted blue curve in Fig. 3, 314 represents the maximum (negative) temperature gradient at each level, and tilts away 315 from the AR center and towards the upper level low pressure center (Fig. 3c). On one 316 hand, baroclinicity of the system leads to low equivalent potential temperature (Fig. 3d) 317 and low humidity (Fig. 3b) on the cold side. (Due to a faster decreasing rate of temper-318 ature with respect to humidity, the relative humidity level is actually higher on the cold 319 side (Fig. 3d)); On the other hand, tightened isotherms across the cold front induce en-320 hanced vertical wind shear via the thermal wind relationship, creating an upper level jet 321 situated above the surface cold front (Fig. 3c). The along-front wind enhancement also 322 extends from the tropopause down to the boundary layer level, where a LLJ is observed 323 (Fig. 3c). It is also interesting to notice that the zero Z850' contour divides the AR cross-324 section diagonally at 50% of AR length (Fig. 3c). Recall that the geopotential height field 325 tilts westward with height in an extratropical cyclone, required by the hydrostatic bal-326 ance in a baroclinic wave (Holton & Hakim, 2013). This implies that towards the head 327 of the AR (> 50% length), the upper troposphere above the AR is governed by posi-328 tive pressure anomalies (not shown). 329

The pressure, temperature and wind distributions are in accordance with a clas-330 sical frontal system associated with an extratropical cyclone (e.g. Petterssen (1936); Keyser 331 et al. (1988); Hoskins and Bretherton (1972)). Scale analysis suggests that in the semi-332 geostrophic theory, the horizontal momentum equation in the frontal system can be ap-333 proximated by geostrophic balance in the along-front direction  $(u = u_q)$ , but the ageostrophic 334 component becomes non-negligible in the cross-front direction  $(v = v_q + v_a)$  (K. Brown-335 ing, 1985; Holton & Hakim, 2013). The existence of ageostrophic winds implies verti-336 cal motions, which are shown in Fig. 4, in cross sections at 25, 50 and 75% of the AR 337 length from the equatorward end. 338

It can be seen that vertical motion gets increasingly stronger from the tail to the head of the AR (Fig. 4). This is consistent with the relationship between ARs and WCBs, the latter of which have been characterised by both vertical and horizontal motions, in contrast to ARs that are identified solely from horizontal fields (K. Browning, 1985; Cordeira et al., 2013; Ralph et al., 2020). For instance, according to the WCB definition by Wernli



Figure 3. Composite cross-sections of North Pacific ARs. (a) shows the along-axis moisture flux (as color shading, in kq/(m s h P a)), and temperature (as blue contour lines, with an interval of 10 K). Thick black contours show the height at which 50, 75 and 90 % of the total IVT are reached, integrating from the ground up. Grey shading represents regions with strong baroclinicity (horizontal temperature gradient  $\leq$  $-1K/100 \, km$ ), and the dash-dotted curve denotes the maximum (negative) temperature gradient at each level and represents the position of the cold front. The same IVT contours are also shown in (b), (c) and (d) as thin black contours, and the same front line is recreated in subsequent subplots. The x-axis is the width of the AR, normalized to the average AR width of  $800 \, km$ . Black triangles at the top/bottom x-axes denote the lateral boundaries of the AR, with the cold (warm) side located on the left (right) hand side. (b) IWV profile as color shading, in g/kg. Thick black contours show the height at which 50, 75 and 90~% of the total IWV are reached, integrating from the ground up. (c) along-axis horizontal wind speed as color shading, in m/s. Thick blue contours show the geopotential height anomalies, with an interval of 8 m. Negative anomalies are plotted as dashed contours. Locations of the upper-level-jet (ULJ) and low-level-jet (LLJ) are labeled. (d) relative humidity profile as color shading, in %. Thick black contours show the equivalent potential temperature, in K.



Figure 4. Composite cross-sections at 25 (a), 50 (b) and 75% (c) of the AR length. Color shading shows the pressure velocity (in Pa/s). Black contours show the cross-axis horizontal wind speeds, with an interval of 2 m/s. Positive cross-axis wind is directed to the left. Solid blue curves show the precipitation distribution (in mm/day), plotted onto the y-axis on the right. In each subplot, the dash-dotted curve shows locations of minimum negative temperature gradient at each level. The x-axis is width of the AR, normalized to the average width of 800 km. Black triangles at the top/bottom x- axes denote the lateral boundaries of the AR, with the cold (warm) side located on the left (right) hand side.

and Davies (1997), a WCB is defined as the region with ascending motions stronger than 600 hPa per 48h. By this criterion, the WCB is only observed at the end of the AR, beyond the 75% length (not shown).

Accompanied with the concentrated ascending motion is the precipitation distri-347 bution that centers at the AR axis but with a flatter tail on the cold side (Fig. 4). This 348 may be explained by the higher relative humidity levels on this side (Fig. 3d), and is also 349 consistent with the so-called "anafront" model that a cold front tends to have upslid-350 ing warm air overriding the surface cold front, creating post-frontal precipitation (K. A. Brown-351 ing & Monk, 1982; K. Browning, 1985; K. A. Browning & Roberts, 1996). The higher 352 rain rates on the cold side is also consistent with a satellite-based composite created from 353 46 Eastern Pacific ARs (Ralph et al., 2004). Descending motion is found on both flanks 354 of the AR, particularly towards the tail of the AR where the cold front is strongest, form-355 ing the cross-frontal secondary circulation with the pre-frontal ascending motions. 356

Most previous studies focused on the along-axis fluxes/winds in an AR's cross-sections, 357 and few has examined the vertical structure of the cross-axis components. Note that the 358 cross-axis horizontal winds are dominated by winds from the cold side (Fig. 4). Cross-359 axis warm winds are only found in the bottom section of the troposphere, with decreas-360 ing thickness from the tail to the head of the AR. The transition from positive (warm 361 advection) to negative (cold advection) with height is the manifestation of the veering 362 wind, as a result of the thermal wind relationship associated with the warm front. Sim-363 ilarly, across the cold front at the tail half of the AR (Fig. 4a, b), the isotaches have near 364 vertical profiles within 1000-500 hPa. Combined with the vertically increasing along-365 front winds (Fig. 3c), this indicates an anti-clockwise rotation of the cross-frontal winds 366 with height, and is the thermal wind relationship manifest as backing winds. Both of the 367 veering and backing winds help rotate the moisture fluxes from their respective sides to 368 align with the AR axis, thus forming a mechanism that collects moisture from the lat-369

eral boundaries of the AR. However, it is worth noting that thermal winds as derived
from geostrophic balance do not create any horizontal divergence, unless the Coriolis parameter is not treated as a constant (Benedetto, 1957; Alpert et al., 1995). At frontal
scale of a few hundred kilometers, the change of Coriolis parameter is negligible, therefore the strong vertical motion at the AR center can not be explained by the backing and
veering winds.

As predicated by the semi-geostrophic theory, ageostrophic winds in the cross-front direction have comparable importance as the geostrophic component. Their relative roles in the AR horizontal moisture fluxes are examined further in the next section.

379

#### 3.3 Moisture fluxes due to geostrophic and ageostrophic winds

Layered geostrophic and ageostrophic winds and moisture fluxes are first computed 380 before fed into the straightening algorithm, and cross-sectional profiles averaged across 381 the AR length are shown in Fig. 5. Along-axis winds and moisture fluxes are both dom-382 inated by the geostrophic component (Fig. 5a,b). Ageostrophic winds show a local min-383 imum at the upper level jet level, extending downward along the front line, pointing in 384 the opposite direction of the geostrophic counterpart (Fig. 5c). This is due to the rear-385 ward pointing pressure gradient force created by the westward tilting low pressure cen-386 ter as explained previously. Combined with the low humidity level above the mid-troposphere 387 (Fig. 3b), this offsetting ageostrophic component makes little difference to the along-axis 388 moisture fluxes. 389

Cross-axis geostrophic winds contribute most of the moisture fluxes into the AR 390 region from the cold side (Fig. 5d, e), while ageostrophic winds, created by the leftward 391 pointing pressure gradient force and surface friction, are responsible for the moisture in-392 puts from the warm side within a more vertically confined region (Fig. 5d, f). These two 393 branches converge towards the AR center, providing the water vapor contents for the along-394 axis moisture fluxes. Also note that the ageostrophic winds have a local maximum ahead 395 of the surface front, and another in the upper troposphere along the upper level front 396 (Fig. 5f). These two maxima help strengthening the temperature gradients at the lower 397 and upper jet locations, and tilting the frontal zone backwards. Dynamically, they also 398 serve as a forcing term for the along-axis geostrophic wind, according to the momentum 399 equation in the semi-geostrophic framework (Holton & Hakim, 2013):  $\frac{Du_g}{Dt} = fv_a$ , where 400  $u_g$  is the along-axis geostrophic wind,  $v_a$  the cross-axis ageostrophic wind, and f the Cori-401 olis parameter. On the other hand, geostrophic winds contribute a much greater amount 402 of moisture from the cold side (Fig. 5e, see also analyses in later section). The lower hu-403 midity level on the cold side is over compensated by a much stronger cross-frontal geostrophic 404 wind. Furthermore, cold geostrophic advection in the mid-troposphere and warm ageostrophic 405 advection in the boundary layer help reduce static stability, creating a zone of neutral 406 moist static stability at the core of the AR (Fig. 3d), consistent with sounding obser-407 vations (Ralph et al., 2005; Neiman et al., 2013). Diabatic processes fostered by the near 408 saturated and ascending air are likely to contribute significantly as well. In summary, 409 both geostrophic and ageostrophic winds make indispensable thermodynamic and dy-410 namic contributions to the high moisture fluxes of ARs. Consequently, their evolution-411 ary changes are likely to get reflected in the changes of the AR moisture fluxes as well. 412 This subject is explored in the next section. 413

414

#### 3.4 Evolutionary changes of AR moisture fluxes

The same AR entity is first tracked through space and time using the method introduced in Xu et al. (2020b). Only tracks lasting for at least 24 hours are retained. Daily composites from genesis (0H) up to day-5 (120H), which is roughly the 80% percentile in the duration distribution (see Fig. S10), are shown in Fig. 6. All composites are the



Figure 5. Composites of geostrophic and ageostrophic components of the along-axis (top row) and cross-axis (bottom row) moisture fluxes and winds. (a) the along-axis moisture flux (color shading, in kg/(m s hPa)) and along-axis wind speeds (black contours, in m/s), and their respective geostrophic components in (b) and ageostrophic components in (c). (d) the cross-axis moisture flux (color shading, in kg/(m s hPa)) and cross-axis wind (black contour, in m/s), and their respective geographic component in (e) and ageostrophic components in (f). All results are the average across the entire AR length.

averages across the entire AR length. Considering their dominant role, only the mois-419

ture fluxes by geostrophic winds are shown for the along-axis direction.



Figure 6. Composite cross-sectional geostrophic and ageostrophic moisture fluxes (color shading, in kg/(m s h P a)). From left to right, columns are 0, 24, 48, 72, 96 and 120H after the first detection of the AR track. Top row shows the along-axis geostrophic moisture flux. Solid black curve is the IVT profile (in Kg/(ms)), plotted onto the y-axis on the right hand side. Second row shows the total cross-axis fluxes, which are decomposed into the geostrophic component in the third row, and the ageostrophic component in the last row. In all subplots, the yellow dashdot curve denotes the location of the cold front, and black triangles at the bottom edge denote the lateral boundary the AR. All profiles are averaged across the entire AR length.

It can be seen that ARs tend to reach their peak IVT intensity about two days af-421 ter genesis (top row in Fig. 6), followed by a gradual decline afterwards. This is in phase with the variation of cold side moisture fluxes (2nd row in Fig. 6), which are mostly contributed by the geostrophic component (3rd row in Fig. 6). Moisture fluxes from the warm side, on the other hand, show a gradual retreat from the genesis time (2nd row in Fig. 6). This is a result of weakening geostrophic (3rd row in Fig. 6) and ageostrophic (4th row in Fig. 6) fluxes from the warm side. However, the local maximum of ageostrophic fluxes 427 in front of the surface cold front persists throughout the process. The above evolution-428 ary changes are further validated by time series of the moisture fluxes given in Fig. 7a. 429 Vertical integral of the along-axis geostrophic flux  $(\langle u_g q \rangle)$  reaches its peak value about 430 48 hours after genesis, in sync with the variation of cold side cross-axis geostrophic flux 431  $(-\langle v_q q \rangle_c)$ . Warm side cross-axis ageostrophic flux  $(\langle v_q q \rangle_w)$  makes a bigger con-432 tribution during first 24 hours, serving as the dominant factor for lateral moisture in-433 puts during genesis, but gets surpassed by the cold side counterpart and continues to weaken 434 afterwards. 435



Figure 7. Time series of vertical integrals of moisture fluxes  $(\ln kg/(ms))$  following the evolution of ARs. Black curve shows the along-axis moisture flux by geostrophic winds  $(\langle u_gq \rangle)$ , solid blue curve shows the negative of cross-axis geostrophic moisture flux from the cold side  $(-\langle v_gq \rangle_c)$ , and dashed curve the cross-axis ageostrophic moisture flux from the warm side  $(\langle v_aq \rangle_w)$ . The two blue curves are plotted onto the y-axis on the right hand side. (a) shows the averages across the entire AR length, (b) the averages of the tail half (0 - 50% length) of the ARs, and (c) the averages of the head half (50 - 100% length). The number of AR samples in each 6-hourly time step are shown as cyan bars in (a), plotted onto the 2nd y-axis on the right.

Note that longer-lasting ARs get progressively rarer, as shown in Fig. 7a, and the 436 above evolutionary analyses do not distinguish ARs with possibly different life spans. To 437 investigate these effects, we further split the AR tracks into a short duration group where 438 ARs last for 24-72 hours, and a long duration group where ARs last for longer than 439 72 hours. The chosen 72 hour threshold is slightly above the median of the duration dis-440 tribution (see Fig. S10). Time series of moisture fluxes in these two groups are given in 441 Fig. S11 in the Supplementary. It can be seen that ARs with short and long durations 442 share similar evolutionary changes during the first 48 hours that are consistent with the 443 above analyses (with the exception that ageostrophic moisture fluxes from the warm side 444 get surpassed by the cold side geostrophic component even sooner in the short duration 445 group). 446

After the peak intensity time, moisture fluxes from both sides weaken with the along-447 axis fluxes, suggesting a possible relationship between the lateral moisture inputs and 448 the intensity of the AR IVT. However, IVT can also be affected by changes in the along-449 axis winds. To further distinguish the dynamical and thermodynamical contributions, 450 changes in the along-axis geostrophic moisture fluxes at different stages are decomposed 451 into a dynamical component  $(q\Delta u_q)$ , a thermodynamical component  $(u_q\Delta q)$ , and a co-452 variance term  $(\Delta(u_q q))$ , where  $\Delta$  denotes a time difference from 0H. The results are given 453 in Fig. 8. Compared with 0H, along-axis geostrophic moisture fluxes get stronger within 454 a narrow band along the AR axis, and within a layer below 800 hPa level behind the front 455 (Fig. 8a-e). This is a combined effect from the dynamical component as the primary in-456 tensification mechanism  $(q\Delta u_q, 2nd \text{ row in Fig. 8})$ , and the thermodynamical compo-457 nent as a partially offsetting mechanism  $(u_q \Delta q, 3rd \text{ row in Fig. 8}).$ 458

The changes in the along-axis geostrophic winds (Fig. 8f-j) are largely consistent 459 with the changes in cross-frontal pressure gradients  $(\frac{g}{f}\Delta(\frac{\partial Z}{\partial x}))$  (Fig. 8a-e). When mul-tiplied with a vertically decreasing humidity distribution, the resultant changes in geostrophic 460 461 moisture fluxes are confined to the mid-lower troposphere. On the other hand, moisture 462 level drops at both sides of the AR, and to a lesser extent, along the AR axis (Fig. 8k-463 o). Largest humidity decrease is found below  $\sim 700 \, hPa$  behind the front, consistent 464 with Dacre et al. (2015). When multiplied with the strong jet wind speeds, this decrease 465 largely offsets the dynamical intensification effects ahead of the front in the mid-troposphere. 466 The greater extents of humidity decreases at the lateral boundaries compared with the 467 core region also reflect the convergence of moisture into the AR interior, and the over-468 all decrease of humidity a net export of water vapor within the AR region. Lastly, con-469 tribution made by the covariance term  $(\Delta u_q \Delta q, \text{Fig. 8p-t})$  is much weaker, but tend to 470 offset the along-axis moisture fluxes on the cold side. 471

A similar decomposition is performed on the cross-axis moisture fluxes, but separately for geostrophic and ageostrophic components. Only the significant terms are shown in Fig. S12 in the Supplementary. The results, combined with the above along-axis counterparts, suggest that the evolutionary changes of cold and warm side moisture fluxes as shown in Fig. 6 are mostly due to the dynamical terms of the geo- and ageostrophic winds, with some offsetting effects from the thermodynamical terms.

To summarize the evolutionary changes: ARs tend to experience an early IVT in-478 tensification period during the first two days after genesis, dynamically powered by the 479 intensifying along-axis geostrophic winds. Strong moisture fluxes from the warm sector 480 and the rapidly strengthening fluxes from the cold side help provide extra moisture, how-481 ever, they are not enough to offset the dropping level of moisture within the AR domain. 482 Consequently, although the along-axis geostrophic winds remain as strong in later stages 483 484 of AR development, reduced moisture level becomes an increasingly important factor. Meanwhile, moisture supplies from the cold (warm) side also drop, largely due to the weak-485 ening cross-frontal geostrophic (ageostrophic) winds. In essence, water vapor content be-486 comes a limiting factor for further IVT intensification. 487



Figure 8. Changes in the along-axis moisture fluxes during AR evolution. Columns are the differences between 24, 48, 72, 96 and 120H from 0H. First row shows the differences of geostrophic moisture fluxes ( $\Delta(u_g q)$ ) as color shading, in kg/(m s hPa). Black contours show the changes in the cross-axis pressure gradient, scaled by a constant factor of  $g/f_0 = 10/10^{-4}m/s$  to a unit of m/s. Second row shows the changes contributed by the dynamical term ( $q\Delta u_g$ ) as color shading, in kg/(m s hPa). Black contours show the changes in the along-axis geostrophic winds, in m/s. Third row shows the changes contributed by the thermodynamical term ( $u_g\Delta q$ ) as color, with the changes in humidity itself drawn as black contours, in g/kg. The last row shows the contribution made by the covariance term ( $\Delta u_g\Delta q$ ). In all subplots, the blue dash-dot curve denotes the location of the cold front, and black triangles at the bottom edge denote the lateral boundary the AR. All profiles are averaged across the entire AR length.

The above analyses also reveal that during the majority of AR life cycle, moisture 488 fluxes within the AR region are directed from the cold side. This is also observed in the 489 plane-view IVT composite in Fig. 2a and the cross-sections in Fig. 5. However, the lat-490 eral flux distribution is not axially symmetric along the axis. This is illustrated by the time series in Fig. 7b,c that, a greater amount of moisture fluxes are directed into the 492 AR domain from the cold side in the tail half of the AR, and the opposite is observed 493 in the head half, but with a smaller difference between the two sides. Therefore, the lat-494 eral moisture influx averaged across the course of the AR is dominated by that from the 495 cold side, and complex patterns of moisture fluxes exist within the AR domain. 496

Furthermore, Dacre et al. (2019) previously proposed a "feeder airstream" mechanism and suggested that fluxes from the warm sector are the primary moisture source for the intensification of ARs. This discrepancy may be caused by the different reference systems used in the analyses: a cyclone-relative frame of reference in their study, and an Earth-relative frame of reference in this work so far. The next section gives a closer look at the spatial patterns of AR moisture transports and compare them in these two frames of reference.

504 505

#### 3.5 AR Moisture transports in Earth- and AR-relative frames of reference

The typical moisture transports pattern by an AR in an *Earth-relative* frame of 506 reference is represented by the cross-boundary moisture fluxes across the bounding box 507 of the straightened AR, averaged across the entire evolution stage (Fig. 9). Moisture fluxes 508 across the air-sea interface are approximated by vertical fluxes at the 1000 hPa level, and 509 the top "lid" has been omitted for its low humidity level. Moisture fluxes across the four 510 vertical sides, i.e. the cold side (Fc), warm side (Fw), rear side (Fw) and frontal side (Ff) 511 are displayed as an unfolded box (see Fig. 9b for a schematic). Positive fluxes are de-512 fined as outward and vice versa. Side-integrated moisture fluxes are computed using an 513 average AR width of  $800 \, km$  and an average length of  $3500 \, km$ . The total precipitation 514 within the bounding box is also integrated and scaled to a unit of  $10^8 kg/s$ , as an extra 515 moisture output term. 516

It can be seen that the frontal and rear sides have similar patterns of cross-boundary 517 fluxes (Fig. 9e, c), but with stronger magnitude in the former (2.5 versus  $-2.1 \times 10^8 kg/s$ , 518 Fig. 9g). This is consistent with the head-tail half differences shown in Fig. 7b,c, and im-519 plies a "tunneling-out" effect of moisture along the course of the AR. The largest mois-520 ture input term is the geostrophic fluxes from the cold side, with a stronger magnitude 521 than that from the rear side, although the moisture flux intensities are much weaker. Ageostrophic 522 fluxes pointing towards the cold side account for a small offset. The relationship is re-523 versed on the warm side: geostrophic winds create a  $\sim 1.0 \times 10^8 kg/s$  moisture output, 524 which is overcompensated by a slightly stronger ageostrophic moisture input, reflecting 525 the same head-tail distinction mentioned above. Vertical fluxes from the bottom is much 526 smaller compared with other four sides, and precipitation serves as the largest output 527 term with a magnitude of  $\sim 2.7 \times 10^8 kg/s$ . Overall, the atmosphere is slightly dried 528 out at a rate of  $\sim 0.2 \times 10^8 kg/s$  due to the existence of the AR. 529

Note that the vertical side integration involves the multiplication of horizontal mois-530 ture flux with area. The straightening algorithm does a satisfactory job in preserving 531 scalar and vector fields, however, shape distortion is inevitable, and might affect the areal 532 integration. To help validate the results and evaluate the possible effects from shape dis-533 tortion, the cross-boundary flux analysis is repeated on a subset of ARs whose starting 534 and ending points fall into specific regions. For the central North Pacific sector, the start-535 ing box is chosen to be  $20 - 35^{\circ}N, 150 - 165^{\circ}E$ , and the ending box  $40 - 55^{\circ}N, 170 - 165^{\circ}E$ 536  $185^{\circ}E$ . The samples are further filtered by the length and average axis curvature (see 537 Appendix for definition) both being within one standard deviation around their respec-538



Figure 9. Moisture fluxes across the bounding box of a typical North Pacific AR. A 3D schematic showing the bounding box is given in (b), and the red arrow shows the mean IVT direction. The moisture fluxes across the cold side  $(F_c)$  is given in (a), the rear side  $(F_r)$  in (c), bottom side  $(F_b)$  in (d), frontal side  $(F_f)$  in (e), and the warm side  $(F_w)$  in (f). Vertices of the bounding box are labeled at the corner of each side, for instance, the side ABFE is the one facing the cold front, whose contour is given in (a). Note that the four vertical sides share the colorbar at the bottom-left corner, in units of kg/(m s hPa), and the bottom side uses a separate colorbar at the bottom right, in units of Pa/h. Positive contours denote outward moisture fluxes, and vice versa. (g) shows the side-integrated outward moisture fluxes across the five sides, in  $10^8 kg/s$ . Fluxes due to geostrophic winds are plotted in cyan, and those by ageostrophic winds in orange. If the ageostrophic component has an opposite sign as the geostrophic counterpart, the geostrophic part that gets offset is shown as hatched. Also shown are the sum of the rear and frontal sides (Fr + Ff), the sum of the cold and warm sides (Fc + Fw), the areal-integrated precipitation  $(P, \text{ in } 10^8 kg/s)$ , and the sum of all five sides plus precipitation.

tive means. These requirements should guarantee a reasonable shape and location co-539 herence of the AR samples in the composite. The results are given in Fig. S13 in the Sup-540 plementary. Another composite in the western North Pacific (Fig. S14), and one in the 541 eastern North Pacific are also created (Fig. S15). In all sector-specific results, the rel-542 ative importance of fluxes from different directions is consistent with the composite tak-543 ing into all North Pacific ARs: moisture export by precipitation is much stronger than 544 the input by evaporation, consistent with Cordeira et al. (2013). The most important 545 moisture input comes from the cold side geostrophic fluxes, concentrated on the tail half 546 of the AR. Large cancellation is observed between inward and outward moisture fluxes 547 on the warm side. And the sum of the frontal and rear side fluxes creates a small net 548 moisture deficit, indicating the "tunneling out" effect of the AR. 549

However, the lateral moisture fluxes are expected to be different when changing from 550 an Earth-relative to AR-relative frame of reference. To illustrate this, the translation ve-551 locity  $(\mathbf{V}_t)$  of an AR is first quantified using a centered difference scheme on the 6-hourly 552 AR locations, which are approximated by the IVT-weighted centroids. Then "effective 553 winds" (Sohn & Park, 2010; Zahn & Allan, 2011) of **IVT** are computed as:  $\mathbf{V}_{\mathbf{e}} \equiv \mathbf{IVT}/IWV$ . 554 The AR-relative effective winds are defined as  $V_r \equiv V_e - V_t$ , and AR-relative IVT 555 as  $\mathbf{V_r} \cdot IWV$ . A composite is created using ARs whose starting point falls within the 556 box of  $20 - 35^{\circ}N$ ,  $135 - 150^{\circ}E$ , and end point in the box of  $40 - 55^{\circ}N$ ,  $155 - 170^{\circ}E$ . 557 The results are shown in Fig. 10. 558

It can be seen that the effective winds within ARs are largely in geostrophic bal-559 ance and follow the Z850' contours (Fig. 10a). When multiplied with IWV, this creates 560 the shuttle-like IVT distribution in the straightened view (Fig. 10b). The average AR 561 translation velocity is northeastward, more or less the same as the propagation speed of 562 the extratropical cyclone (Fig. 10c). However, in the AR-relative frame of reference, ef-563 fective winds change into easterlies (Fig. 10e), indicating a faster AR movement speed 564 than the low level winds, consistent with Dacre et al. (2019). These easterly AR-relative 565 horizontal winds bring in moisture from the warm side of the cyclone, and diverge at the 566 eastern boundary of the AR into two branches (Fig. 10e, f). One branch steers north-567 ward wrapping around the low pressure center, and the other branch southwestward to-568 wards the tail of the AR. This northward branch enters the WCB, and the diverging east-569 erly flow is the "feeder-airstream" proposed by Dacre et al. (2019). 570

Moisture fluxes associated with the translational and relative velocities also contribute differently to the total AR IVT. Fig. 10 shows that the translational winds contribute most of the total IVT in the tail half of the AR, across the rear and cold sides. Based on the previous analyses, this corresponds to the largely geostrophic moisture advections that are carried along by the moving AR-cyclone couple. The northward branch of the "feeder-airtream", on the other hand, makes bigger contributions within the head half of the AR, representing the newly collected moisture by the fast moving AR.

It is worth noting a few precautions when making a direct comparison with the AR 578 formation mechanism proposed by Dacre et al. (2019). Firstly, the 1500 km radius in their 579 cyclone-centric composites does not cover the full extent of the trailing AR. Secondly, 580 the similarity between the cyclone movement speed, AR movement speed and low-level 581 wind speed makes the cyclone- or AR- relative wind estimates very sensitive to their dif-582 ferences. Lastly, the time period examined by Dacre et al. (2019) is centered around the 583 maximum cyclone intensity and is likely to emphasize more on the early development 584 stage of ARs, while no time period preference is enforced in the composite in Fig. 10. 585 Despite these precautions, the broad structure of the AR-relative moisture transports 586 587 is in accordance with the argument that the "feeder-airstream" is an important moisture source for the AR-cyclone couple: the northward branch of "feeder-airtream" forms 588 the head half of the AR, and the rearward branch advects warm, moist air from the warm 589 sector towards the AR, thus preconditioning the atmosphere with vapor contents that 590 would soon be collected by the propagating AR. The warm side contribution is also found 591



Figure 10. Left column shows the composite of 850 hPa geopotential height anomalies (Z850') as color shading (in m), and AR occurrences as pink contours (in number of ARs per year). The composite is created by selecting ARs whose starting point falls within the box of  $20 - 35^{\circ}N, 135 - 150^{\circ}E$ , and end point the box of  $40 - 55^{\circ}N, 155 - 170^{\circ}E$ . The two boxes are plotted as green rectangles in (a). Black contours in (c) show the Z850' distribution 6-hours later, to indicate the movement of the cyclone. Blue vectors show the effective winds  $\mathbf{V_e}$  in (a), the mean translation velocity  $\mathbf{V_t}$  of the ARs in (c), and the AR-relative effectives winds  $\mathbf{V_r}$  in (e). Right column shows the straightened IVT of the selected ARs as color shading (in kg/(ms)). (b) shows the effective winds as black arrows and the IVT attributed to that  $\vec{V_e}IWV$ . (d) and (f) show the corresponding components due to the mean translation velocity  $\vec{V_t}IWV$  and AR-relative effectives winds  $\vec{V_r}IWV$ , respectively.

to be strongest during the first 24 hours after genesis (will only be stronger once transformed into the AR-relative frame of reference), and is therefore consistent with the peak cyclone intensity timing adopted by Dacre et al. (2019). Additionally, analyses in this work also highlight the importance of the geostrophic moisture advection in the tail half of the AR. This component captures a large portion of the overall AR moisture transport during the majority of AR life cycle, and represents the moisture transport carried along the propagating AR-cyclone couple.

#### <sup>599</sup> 4 Conclusions and discussions

In this work, we developed a method to straighten the scalar and vector fields associated with an AR into a composite-ready form, thus making the otherwise cumbersome AR composite analyses from gridded atmospheric data more practically achievable. By applying the straightening methods on different vertical levels on a large sample size, a three dimensional composite of a typical North Pacific AR is reconstructed. The results depict a typical AR-cyclone relationship consistent with previous findings: a North Pacific AR is typically found within the southeastern quadrant of an extratropical cyclone, aligning along and in front of the surface cold front.

The straightening method allows for a more accurate depiction of an AR's crosssections, many aspects of which can be verified by sounding- and satellite-based observations. Both the IVT and IWV profiles display an asymmetrical distribution about the AR axis. A backward tilting frontal zone is observed, and a low level jet is found ahead of the surface front, within of core of the AR. The AR core also collocates with a zone of high relative humidity, neutral moist static stability and concentrated vertical motions.

ARs have an important dynamical feature that they stride across two major length 614 scales: synoptic scale in the along-axis direction where geostrophic balance dominates, 615 and meso-scale in the cross-axis direction where ageostrophic processes are of equal im-616 portance (K. Browning, 1985; Holton & Hakim, 2013; Cordeira et al., 2013). At meso-617 scale, a thermally direct cross-sectional secondary circulation is observed, with strong 618 ascendings in front of the surface cold front and descendings behind it, consistent with 619 the semi-geostrophic theory. Boundary layer ageostrophic winds help converge moisture 620 from the warm sector, and also help maintain the strong temperature gradients across 621 the front. As a result, the thermal wind balance tends to be interrupted, and along-front 622 winds intensified by the Coriolis force as a restoring mechanism, contributing to the strong 623 AR IVT. These highlight the close relationship between AR development and fronto-624 genesis processes. 625

During the first two days of AR life cycle, the moisture influx from the lateral bound-626 aries, together with the strengthening along-axis geostrophic winds, lead to the peak IVT 627 intensities during the life time of the AR. After about 48 hours since genesis, the lat-628 eral moisture influx weakens, and the AR IVT intensity starts to decline as well. Dynamical-629 thermodynamical analyses indicate that although the along-axis winds remain as strong, 630 moisture level within the AR region drops and becomes a limiting factor for further IVT 631 intensification. The lower humidity levels at higher latitudes, into which most ARs prop-632 agate, may also contribute. On the other hand, the atmosphere is dried out by the re-633 siding AR, reflecting AR's role as an effective poleward moisture transport mechanism 634 (Zhu & Newell, 1998). 635

From an Earth-relative perspective, fluxes from the cold side form the primary moisture source for the atmosphere resided by an AR, replenishing the net moisture loss along the course of the AR. This is also the primary vapor source that offsets the deleterious effect from precipitation, consistent with Cordeira et al. (2013). Moisture advection from the warm side is mostly attributed to ageostrophic winds confined within the boundary layer. The backing and veering winds associated with their respective fronts help rotate the uplifted moisture fluxes to align with the AR axis, thus forming a moisture aggregation mechanism that gathers moisture from the lateral boundaries. Recall that the AR is aligned along the cold front, and the cold side cross-frontal moisture fluxes are mostly geostrophic. Therefore, the cold side moisture input is fundamentally created by the baroclinicity of the system in that the angle formed by the isoterms and isobars give rise to the cold side moisture influx.

The AR-relative moisture transport pattern is consistent with the "feeder-airstream" 648 concept proposed by Dacre et al. (2019). This downstream easterly flow diverges into 649 two branches, with the northward branch entering the head of the AR. The southward 650 branch does not directly form the body of the AR, but preconditions the immediate down-651 stream atmosphere with more vapor contents. The component that bridges the Earth-652 and AR-relative moisture transports is the moisture fluxes by translational winds. In the 653 tail half of the AR, total AR IVT is mostly contributed by translational moisture fluxes, 654 representing the largely geostrophic moisture advections carried along by the propagat-655 ing AR/cyclone couple. In the head half of the AR, total AR IVT is mostly contributed 656 by the northward branch of the AR-relative "feeder-airstream", suggesting that the east-657 ward propagating AR-cyclone couple is "claiming" more moist-loaded atmospheric ter-658 ritory, "harvesting" more vapor contents along the way. Note that it does not necessar-659 ily mean that the water vapor has been staying still in the downstream atmosphere, wait-660 ing to be collected. They may have gone through a long tropical or mid-latitude trajec-661 tory before coming into the AR (Bao et al., 2006). 662

The divergent movement of the AR-relative "feeder-airstream" and the swift di-663 rectional transition within the course of an AR are related to the similarity between the 664 AR-cyclone movement speed and the speed of low level winds. This sensitive response 665 to AR-cyclone movement speed may have some important morphological implications 666 on ARs. For instance, the diverging flows stretches the AR along its axis, and may serve 667 as an AR length growth mechanism. This stretching effect works on the AR continuously, 668 and may explain the delayed timing of peak length compared to peak IVT intensity as 669 observed in Xu et al. (2020a). It is also observed that the maximum precipitation cen-670 ter within the AR composite tends to shift rearward as the AR propagates from west-671 ern into central North Pacific (not shown). This may not be an evolution-related fea-672 ture, because ARs originating from central Pacific have their precipitation center already 673 shifted. Instead, we speculate that this is due to the longitudinal differences in the cy-674 clone movement speed. Cyclones (and therefore their accompanying ARs) tend to travel 675 faster within the mid-latitude jet streams (Hoskins & Hodges, 2002)). Based on the pat-676 tern in Fig. 10, the AR-relative moisture fluxes become more northeasterly with a faster 677 translation speed, creating a longer northward branch of the "feeder-airstream" and a 678 longer WCB. However, further analyses are required to validate this hypothesis. 679

Lastly, this work has a number of limitations. The AR composites take in all AR 680 samples without any discriminations on seasons, latitudes, lengths, orientations and shapes 681 etc.. Therefore, the results only reflect the general structure of a North Pacific AR, with 682 considerable case-to-case differences masked out. Moreover, the AR-cyclone model is also 683 highly simplified and generalized, and the true picture in particular cases may be con-684 siderably more complex. For instance, it is common for an extratropical cyclone to have 685 more than one cold fronts (e.g. Ralph et al. (2004)), and it is also possible for an AR 686 to be associated with two cyclones (Sodemann & Stohl, 2013; Dacre et al., 2019), or none 687 at all (Z. Zhang et al., 2019). ARs can also deviate away from the cyclonic curvature as 688 depicted in the typical AR-cyclone composite, and have straight, or anti-cyclonic shapes, possibaly affected by the interactions with the jet streams (Cordeira et al., 2013), or dif-690 ferent types of Rossby wave breaking (Ralph et al., 2020). The meso-scale frontal struc-691 ture also comes with various configurations (K. A. Browning & Monk, 1982; K. Brown-692 ing, 1985; K. A. Browning & Roberts, 1996; Schultz et al., 1998). We did not quanti-693 tatively examine the frontogenesis processes and the interactions with ARs, nor the adi-694

abatic processes associated with the pre-frontal ascendings, which may play a crucial role
in the maintenance of ARs. Due to shape distortions involved in the straightening process, the bounding box moisture flux analysis should only be regarded as a qualitative
estimate of the relative importance of moisture fluxes from different directions, and a quantitative water vapor budget analysis is not warranted in this configuration.

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- .int/en/forecasts/datasets/reanalysis-datasets/era-interim

#### 706 5 Appendix

707

#### 5.1 Constructing the resample matrix for straightening interpolation

Perpendicular profiles are sampled along the AR axis to form the "resample ma-708 trix". Each perpendicular profile consists of one point on the AR axis (black dots in Fig. 1b), 709 plus 14 evenly spaced points on the warm side of the AR covering a distance of  $10^{\circ}$  (~ 710  $1112 \, km$ , shown as red dots in Fig. 1b), and 21 evenly spaced points on the cold side, 711 covering a distance of  $15^{\circ}$  (~ 1668 km, blue dots in Fig. 1b). This gives a profile of N =712 35 sample points with an even spacing of  $0.71^{\circ}$ , roughly the same as the native  $0.75^{\circ}$  res-713 olution of the input ERA-I data. The asymmetry between the warm and cold sides is 714 because an AR typically appears around an extratropical cyclone and a longer limb on 715 the cold side helps capture the low pressure center of the cyclone. 716

Putting these oriented profiles into a regular array gives the resample matrix R, with a dimension of  $N \times M$ , where N = 35 is the number of points along each profile, and M the number of profiles. Unlike N that has a fixed value, the value of M varies as the AR length, therefore, it is further normalized (using bi-linear interpolation) to a fixed length of M = 100, making the straightened image composite-ready. The target grid to interpolate onto has the same shape as R but with x-coordinates being the AR length and the y-coordinates the AR width, both measured in km.

The direction of the profile is determined by finding a unit vector  $(\boldsymbol{\theta}_i)$  at each interior axis point  $(P_i)$  that evenly divide the angle formed by two consecutive line segments  $(\overline{P_i, P_{i-1}} \text{ and } \overline{P_i, P_{i+1}})$ . Formally, let  $P_i = (x_i, y_i, z_i)$  and  $P_{i+1} = (x_{i+1}, y_{i+1}, z_{i+1})$ being two consecutive points on the AR axis, and O being the origin of the unit sphere on which the Cartesian coordinates x, y, z are defined. Then the vector  $T_{i,i+1}$  tangent at  $P_i$  in the plane formed by  $\overline{OP_i}$  and  $\overline{OP_{i+1}}$  can be found by:

$$T_{i,i+1} = (P_i \times P_{i+1}) \times P_i = P_{i+1} - P_i(P_i \cdot P_{i+1})$$

$$\tag{1}$$

where  $\times$  is cross product. Another tangent  $T_{i,i-1}$  can be similarly found. Using this definition,  $\theta_i$  can be defined as the average of the two unit tangents at  $P_i$ 

$$\boldsymbol{\theta}_{i} = \frac{1}{2} \left( \frac{\boldsymbol{T}_{i,i+1}}{\|\boldsymbol{T}_{i,i+1}\|} + \frac{\boldsymbol{T}_{i,i-1}}{\|\boldsymbol{T}_{i,i-1}\|} \right)$$
(2)

An exception to this that is when the two consecutive segments form a straight line,  $\theta_i$  will be a zero vector. In such cases  $\theta_i$  is chosen to be  $\theta_{warm}$  defined next.

The direction of the warm side is determined by the vector of the axis segment  $\overline{P_i, P_{i+1}}$ rotated *clockwise* by 90 degrees:

$$\boldsymbol{\theta_{warm}} = -\hat{\mathbf{k}} \times \overline{P_{i}, P_{i+1}} \tag{3}$$

<sup>736</sup> where  $\mathbf{k}$  is the unit vector of the vertical dimension. Recall that the AR axis is di-<sup>737</sup> rected by definition, so will be the  $\overline{P_i, P_{i+1}}$  vector. Then the sign of  $\boldsymbol{\theta}_i$  is reversed (if needed) <sup>738</sup> so that it always aligns with  $\boldsymbol{\theta}_{warm}$  (in that their dot product being positive) and points <sup>739</sup> towards the warm side. Note that this is effectively the opposite process to the thermal <sup>740</sup> wind derivation where the direction of the horizontal temperature gradient is rotated *anti-*<sup>741</sup> *clockwise* by 90 degrees to give the thermal wind direction. The cold side is simply the <sup>742</sup> opposite to the warm side:  $\boldsymbol{\theta}_{cold} \equiv -\boldsymbol{\theta}_{warm}$ .

The angle of the profile line with respect to the North is defined as  $\arccos(-\theta_{cold}, T_{i,N})$ , where  $T_{i,N}$  is the local tangent vector pointing towards the North.

#### 5.2 Determining the average curvature of an AR's axis

The average axis curvature is defined as the angles formed by consecutive line segment pairs ( $\alpha_i = \arccos(\overline{\mathbf{P}_i, \mathbf{P}_{i-1}} \cdot \overline{\mathbf{P}_i, \mathbf{P}_{i+1}})$  along the AR axis, summed over the axis ( $\sum_i \alpha_i$ ). The cyclonic direction is defined as positive curvature and vice versa.

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