# High stress deformation and short-term thermal pulse preserved in exhumed lower crustal seismogenic faults (Lofoten, Norway)

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#### Abstract

Seismic rupture in strong, anhydrous lithologies of the lower continental crust requires high failure stress, in the absence of high pore fluid pressure. Several mechanisms proposed to generate high stresses at depth imply transient loading driven by a spectrum of stress changes, ranging from highly localised stress amplifications to crustal-scale stress transfers. High transient stresses up to GPa magnitude are proposed by field and modelling studies, but the evidence for transient pre-seismic stress loading is often difficult to extract from the geological record due to overprinting by coseismic damage and slip. However, the local preservation of deformation microstructures indicative of crystal-plastic and brittle deformation associated with the seismic cycle in the lower crust offers the opportunity to constrain the progression of deformation before, during and after rupture, including stress deformation and temperature changes experienced prior to, and during, lower crustal earthquake rupture. Pyroxenes are sampled from pseudotachylyte-bearing faults and damage zones of lower crustal earthquakes recorded in the exhumed granulite facies terrane of Lofoten, northern Norway. The progressive sequence of microstructures indicates localised high-stress (at the GPa level) preseismic loading accommodated by low temperature plasticity, followed by coseismic pulverisation-style fragmentation and subsequent grain growth triggered by the short-term heat pulse associated with frictional sliding. Thus, up to GPa-level transient high stress leading to earthquake nucleation in the dry lower crust can occur in nature, and can be preserved in the fault rock microstructure.

# 1 High stress deformation and short-term thermal pulse preserved in exhumed lower crustal

# 2 seismogenic faults (Lofoten, Norway)

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- 7
- 8 Key Points
- 9 Localised differential stresses exceeding 1 GPa during pre-seismic loading accommodated in dislocation
   10 glide of pyroxenes.
- Microstructures capable of recording transient stress changes prior to and during seismic rupture in the lower crust.
- Coseismic deformation represented by pulverisation-style fragmentation and thermally activated grain
   growth within orthopyroxene.

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#### 16 Abstract

Seismic rupture in strong, anhydrous lithologies of the lower continental crust requires high failure 17 18 stress, in the absence of high pore fluid pressure. Several mechanisms proposed to generate high 19 stresses at depth imply transient loading driven by a spectrum of stress changes, ranging from highly 20 localised stress amplifications to crustal-scale stress transfers. High transient stresses up to GPa 21 magnitude are proposed by field and modelling studies, but the evidence for transient pre-seismic 22 stress loading is often difficult to extract from the geological record due to overprinting by coseismic 23 damage and slip. However, the local preservation of deformation microstructures indicative of 24 crystal-plastic and brittle deformation associated with the seismic cycle in the lower crust offers the 25 opportunity to constrain the progression of deformation before, during and after rupture, including 26 stress and temperature evolution.

27 Here, detailed study of pyroxene microstructures characterises the short-term evolution of high 28 stress deformation and temperature changes experienced prior to, and during, lower crustal 29 earthquake rupture. Pyroxenes are sampled from pseudotachylyte-bearing faults and damage zones 30 of lower crustal earthquakes recorded in the exhumed granulite facies terrane of Lofoten, northern 31 Norway. The progressive sequence of microstructures indicates localised high-stress (at the GPa 32 level) preseismic loading accommodated by low temperature plasticity, followed by coseismic 33 pulverisation-style fragmentation and subsequent grain growth triggered by the short-term heat 34 pulse associated with frictional sliding. Thus, up to GPa-level transient high stress leading to 35 earthquake nucleation in the dry lower crust can occur in nature, and can be preserved in the fault 36 rock microstructure.

#### 37

#### 38 Plain language summary

39 Earthquake initiation within strong, dry rock types in the lower continental crust requires high 40 driving stresses, if fluids are absent. There are several methods proposed to generate these unusually high stresses at lower crustal depths, many implying very short-term stress increases. High 41 42 short-term stresses up to GPa magnitudes are proposed by the results of fieldwork and numerical 43 modelling, but the geological record for these stress increases occurring in the build-up to 44 earthquakes is not always clear due to the subsequent earthquake deformation overwriting any 45 previous microstructures. However, locally, a complete record of stress change before, during and after an earthquake may be preserved. 46

- 47 Here, a detailed study of pyroxene deformation microstructures characterises the short-term
- 48 changes in stress and temperature experienced prior to, and during, lower crustal earthquakes.
- 49 Pyroxene crystals close to faults exhibiting ancient earthquake-generated frictional melts
- 50 (pseudotachylytes) are investigated from an exhumed shear zone in Lofoten, northern Norway. The
- 51 microstructures imply high stress loading prior to the earthquake, followed by pervasive
- 52 fragmentation and subsequent grain growth linked to the passage of the earthquake rupture. These
- results support GPa magnitude stresses localised in the lower crust and show that microstructures
- 54 are capable of preserving these short term changes.

# 55 1. Introduction

56 Relocations of focal mechanisms and increased recognition of the geological signature of 57 earthquakes recorded in exhumed lower crustal terranes have promoted the exploration of a 58 number of models for nucleating seismic rupture within otherwise typically viscous deformation 59 regimes. Many of these models require stress amplification or transfer, whether driven by local 60 rheological heterogeneities across a shear zone network (Orlandini & Mahan, 2020; Campbell et al. 61 2020, Hawemann et al. 2019), dehydration reactions that increase fluid pressure (Hacker et al., 62 2003) or locally redistribute stress (Ferrand et al., 2017), or downdip stress loading from seismogenic 63 activity shallower in the crust (Jamtveit et al., 2018; Dunkel et al., 2020; Papa et al., 2020; Ellis & 64 Stöckhert, 2004). Intracrystalline deformation and recrystallisation occurring over geologically rapid 65 timescales (i.e. equivalent to the seismic cycle) has been previously recognised in fault zones and 66 deformation experiments (Bestmann et al., 2012; Campbell & Menegon, 2019; Kidder et al., 2016), and recent work on both naturally and experimentally deformed mid- to lower- crustal rocks has 67 68 linked similar microstructures to transient variations in stress. In some cases, such microstructures 69 can be clearly linked to localised stress amplifications associated with deep crustal earthquakes, 70 whether stress increases were generated in-situ within the lower crust (Anderson et al., 2021; 71 Campbell et al. 2020; Hawemann et al., 2019) or were transferred from shallower crustal levels 72 (Papa et al., 2020; Ellis & Stöckhert, 2004; Trepmann & Stöckhert, 2013; Trepmann & Stöckhert, 73 2002). In both cases the stress amplification can be significant (e.g. 100s MPa, Ellis & Stöckhert 74 2004), but earthquake nucleation in the lower crustal may require stresses on the order of GPa, 75 especially within strong, anhydrous granulite terranes in the absence of high pore fluid pressure. 76 Such stress magnitudes have recently been captured in mica kink-bands linked to pre-seismic stress 77 accumulation (Anderson et al. 2021) and implied by field characterisation of pseudotachylyte-78 bearing faults (Campbell et al., 2020). The ability of microstructures to capture progressive and 79 transient stress variation throughout the earthquake cycle (Brückner & Trepmann, 2021; Anderson 80 et al, 2021, Campbell & Menegon, 2019, Petley-Ragan et al., 2019) offers an under-explored 81 opportunity to further investigate deformation mechanisms and conditions associated with stress 82 amplification and rupture in the lower crust.

We present here a microstructural study of lower crustal pseudotachylyte-bearing faults that seeks to constrain the timing and magnitude of stresses associated with the nucleation and propagation of seismic rupture in the lower crust, as well as the corresponding sequence of deformation processes. Following work on deformation of plagioclase (e.g. Soda and Okudaira, 2018; Petley-Ragan et al., 2018, 2021) and garnet (Austrheim et al., 2017; Petley-Ragan et al., 2019; Hawemann et al, 2019; Papa et al., 2018) in lower crustal seismogenic faults, we focus on the deformation microstructures of pyroxenes, which present a clearer record relative to plagioclase of overprinting and spatial association of deformation with localised faulting in our samples. By studying orthopyroxene and clinopyroxene both from the margins of, and as unmelted survivor clasts within granulite-facies pseudotachylyte-bearing faults, we are able to propose a record of spatial and temporal stress and temperature variation related to the seismic cycle in the lower continental crust.

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# 95 2. Geological context

96 We investigate pseudotachylyte samples from the Flakstadøy anorthosite near Nusfjord, Lofoten, 97 Norway. This anorthosite body forms part of the Anorthosite-Mangerite-Charnockite-Granite 98 (AMCG) suite, intruded into the lower crust of the Baltic Shield around 1.9-1.7 Ga at granulite facies 99 conditions (Corfu, 2004). The well-preserved coarse-grained igneous texture and mineralogy of the 100 Flakstadøy anorthosite consists of plagioclase + minor amounts of clinopyroxene  $\pm$  amphibole  $\pm$ 101 quartz ± orthopyroxene ± garnet ± biotite (Markl et al., 1998; Menegon et al., 2017). The anorthosite 102 can be considered anhydrous, containing ca. 0.04 wt. % H<sub>2</sub>O and no free H<sub>2</sub>O at the time of 103 deformation (Menegon et al., 2017).

104 Within the Flakstadøy anorthosite, a network of thin shear zones (usually 1 - 30 cm in width) cut 105 across the intrusion, occurring in three dominant orientations (Menegon et al., 2017) and dividing up 106 the anorthosite into a series of low strain blocks (Fig. 1a). In turn, these blocks are cut by pseudotachylyte-bearing faults (Fig. 1b), indicating episodic seismic failure ('type-2' 107 108 pseudotachylytes of Campbell et al., 2020). The surrounding anorthosite, whilst fractured, also typically shows very limited evidence of viscous creep away from either the shear zones or the 109 110 pseudotachylyte veins (Fig. 1c). The transient high stresses required to trigger seismic failure within 111 these blocks were interpreted to result from localised stress amplifications driven by activity along 112 the viscously deforming shear zones (Campbell et al., 2020). Therefore, the seismicity recorded by 113 these 'type-2' pseudotachylytes nucleated at ambient conditions of the coeval shear zone 114 deformation, 650-750 °C and 0.7-0.8 GPa (Menegon et al., 2017), implying depths of 25 – 30 km in 115 the continental lower crust. These 'type-2' pseudotachylyte veins (Fig. 1d) show very limited overprinting by viscous reactivation, preserving primary quench crystallisation morphologies (e.g. 116 117 radiating microlites, spherulites, dendritic crystals) and chilled margins (Fig. 1d, e). In this 118 contribution, we look for the microstructural evidence to constrain potential GPa levels of 119 preseismic loading suggested by Campbell et al. (2020), and identify further coseismic rapid stress 120 and temperature oscillations.





# b.

Figure 1. Pseudotachylytes representing lower crustal earthquake nucleation associated with viscous creep along active shear zone networks near Nusfjord, Lofoten. a) Block diagram showing pseudotachylyte faults that dissect low-strain anorthosite blocks between localised viscous shear zones; b) map of faults and shear zones across one such block in the Flakstadøy anorthosite (68.055°N 13.367°E); c) typical form of anorthosite within shear-zone bounded blocks at distance from pseudotachylyte faults (cross-polarised image). d) example of pseudotachylyte fault with chilled margin, located in block shown in b) (68.055°N 13.367°E); e) Orthopyroxene clast in crystalline matrix of pseudotachylyte showing characteristic radiating plagioclase microlites (cross-polarised image).

#### 3. Methods 121

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#### 3.1 Microstructural analysis and electron backscatter diffraction (EBSD) 122

- Polished thin sections of samples of pseudotachylyte veins were cut perpendicular to the vein wall 123
- 125 light and scanning electron microscopy (SEM). SEM data were acquired at the Electron Microscopy

but the slip directions remain unconstrained. Thin sections were analysed using a combination of

- 126 Centre at the University of Plymouth using a JEOL 7001 FEG-SEM and a JEOL 6610 low vacuum SEM.
- 127 Typical beam conditions were 10-13 nA probe current and 20 kV accelerating voltage.
- 128 Samples for electron backscatter diffraction (EBSD) analysis underwent additional preparation with 129 colloidal silica polishing and carbon coating. Data were acquired at the Electron Microscopy Centre at the University of Plymouth using a JEOL 7001 FEG-SEM and a JEOL 6610 low vacuum SEM, 130 131 equipped with an Oxford Instruments Nordlys Nano and a Nordlys Max detector, respectively. 132 During EBSD analysis, working distances were set up between 15.2 mm and 24.2 mm, accelerating

voltage was 20 kV and the sample was tilted at 70°. Step sizes for EBSD mapping ranged from 0.1 µm 133 134 to 0.9 µm. Phases were indexed using AZtec (Oxford Instruments) acquisition software. Processing 135 was undertaken with Channel 5 (Oxford Instruments) software. Filtering of the raw data involved 136 removing wild spikes, nearest neighbour extrapolation and removal of any grains with circle-137 equivalent diameters less than three times the step size. The results are presented in the form of 138 phase maps, orientation maps (inverse pole figure, IPF, maps), grain orientation spread (GOS) maps, showing the average misorientation of a grain with respect to that grain's mean orientation, grain 139 140 reference orientation deviation (GROD) maps, showing the misorientation of points relative to that 141 grain's mean orientation, and texture component (TC) maps showing the misorientation with 142 respect to a chosen point in a given grain. Pole figures (lower hemisphere, equal angle) and maps are 143 orientated with respect to the pseudotachylyte vein and pole figures show a reference frame 144 perpendicular  $(Z_v)$  and parallel  $(X_v)$  to the vein edge.

#### **145** 3.2 Boundary trace analysis

Identification of slip systems using EBSD analysis was undertaken using the boundary trace analysis 146 147 (BTA) technique. Using this method, subsetted regions transecting low-angle boundaries (with misorientation in the 2-9° range) were created from EBSD orientation maps. Pole figures were 148 149 created for each subset. The BTA method assumes a tilt boundary model, where the two regions 150 each side of the boundary are rotated around an axis parallel to the boundary plane. This axis, providing it is parallel to a crystal axis, will be represented in the pole figure by a tight point cluster 151 152 at the position of that axis, whilst the other crystal axes may show rotation around that orientation 153 via a dispersed smear of points. The slip plane can be identified as it must contain both the rotation 154 axis and the slip direction, and the slip direction along with the pole to the slip plane must lie in a plane that is perpendicular to the rotation axis (Lloyd et al., 1997). 155

## **156** 3.3 Image analysis for gain size distributions

Analysis of grain size distributions of fine-grained pyroxene aggregates occurring in the damage zone of and in survivor clasts within type-2 pseudotachylytes was undertaken using the freely available image analysis software 'ImageJ'. Grain boundaries were identified via segmentation of SEM backscatter electron (BSE) images acquired at magnification ranging from 850x to 2300x. Grain area and circle equivalent diameter (D<sub>equ</sub>) were then measured and calculated with ImageJ.

# 162 4. Results

## **163** 4.1 Sample description



**Figure 2.** Distribution of pyroxenes and other phases in association with pseudotachylyte veins (blue = clinopyroxene; orange = orthopyroxene; green = olivine). Areas analysed in this work are labelled; **a**) pseudotachylyte fault vein and anorthosite host rock in sample LC1724. (Only one side of the fault is captured – the margin of this pseudotachylyte vein has a 1 mm thick band of sinistrally sheared spherulitic structures (cross-polarised light, thin section 'b'); **b**) overlay highlighting mineralogy of a); **c**) pseudotachylyte fault vein cutting anorthosite in sample N22 (cross-polarised light, thin section 'a'); **d**) overlay highlighting mineralogy in c); **e**) Thin section N22b (cross-polarised light) showing pseudotachylyte vein and clasts; **f**) overlay showing mineralogy of e).

164 Pyroxenes are described from two pseudotachylyte veins: LC1724 (Figs. 2a-b) and N22 (Figs. 2c-f).

These veins consist of pristine, unaltered pseudotachylyte that preserves quench crystallisation morphologies of the coseismic melt, such as chilled margins, radiating microlites and spherulites (Figs. 1e, 2). In sample LC1724, a restricted viscous shear deformation is observed in the fine-grained pseudotachylyte margin (Fig. 2a). However, this viscous creep was spatially highly restricted to a zone < 1 mm wide adjacent to the margin, and has not deformed the fault walls of the anorthosite.

170 Samples N22 and LC1724 belong to two different networks of short (< 15 m long) type-2 171 pseudotachylyte-bearing faults developed in decametric pods of undeformed anorthosite bounded 172 by ductile shear zones (see Campbell et al. 2020). N22 was sampled from 68.054°N 13.361°E and 173 LC1724 from 68.056°N 13.377°E. Neither record unambiguous evidence for the sense of slip. The 174 anorthosite host rock in these samples consists of plagioclase (labradorite), clinopyroxene (diopside) 175 and orthopyroxene (enstatite), with minor amounts of olivine, hornblende, biotite, pleonaste spinel, 176 and garnet (Fig. 2). Olivine is mostly altered to iron oxides and enstatite, and is locally rimmed by 177 coronas of intergrown enstatite, pleonaste spinel, hornblende and biotite. Garnet may rim 178 orthopyroxene in contact with plagioclase.

The microcrystalline matrix of the LC1724 pseudotachylyte is primarily composed of plagioclase (labradorite), orthopyroxene (enstatite) and clinopyroxene (diopside). Locally the pseudotachylyte matrix may also contain biotite, potassium feldspar and hornblende. The pseudotachylyte vein displays radiating microlites of plagioclase in the centre of the vein, becoming finer and more granular towards the margin (Figs. 1e, 2a). Survivor clasts observed in this sample are composed predominantly of plagioclase and orthopyroxene. The margin with the host rock is planar and has no significant injection features visible within the thin section. The pseudotachylyte vein is 11 mm thick.

The microcrystalline matrix of the N22 pseudotachylyte is composed of plagioclase (andesine), orthopyroxene (enstatite), clinopyroxene (diopside), hornblende and minor biotite (see Menegon et al. (2017) for XRF analysis of sample N22). Survivor clasts are typically of plagioclase, orthopyroxene, clinopyroxene and some apatite. A damage zone containing fragmented wall rock surrounded by thin veins of pseudotachylyte extends for around 2 mm preferentially on one side of the main vein (Figs. 2c,d). An additional sample of the N22 vein displays a large, cm-scale fragmented lithic clast

with an internal network of thin pseudotachylyte veins connected to the main vein (Fig. 2e). Themain pseudotachylyte vein has a maximum thickness of 18 mm.

# **194** 4.2 Microstructure of clinopyroxene

#### **195** 4.2.1. Host rock

Across the undeformed host rock, clinopyroxene grains display orthopyroxene lamellae. In the anorthosite internal blocks between shear zones (Fig. 1a), clinopyroxene only rarely has twins evident (Fig. 3a) but twinning becomes present close to pseudotachylyte veins (Fig. 3b) at distances of < 2cm (i.e. within a thin section).

200 EBSD maps of an elongate clinopyroxene grain (Fig. 2d, 'host CPX 1') lying 1-2 mm away from the 201 N22 pseudotachylyte fault vein margin indicate laminar and slightly discontinuous twinning on (001) 202 and to some extent on (100) planes (Figs. 3c-e). (001) twins are cut by a probable fracture, 203 represented as a low-angle boundary (LAB) in Fig. 3c. Lamellae of orthopyroxene also lie along (100) 204 planes of clinopyroxene. The grain orientation spread (GOS) of the clinopyroxene grain is < 5°, and is 205 lowest within the twins (Fig. 3d). Clinopyroxene in the immediate margin to the fault (Fig. 2d, 'host 206 CPX 2'), and cut by the pseudotachylyte vein, shows laminar (001) twinning (Fig. 3f-h). The GOS of 207 the clinopyroxene grain here is variable but always  $< 4^{\circ}$  and again is lowest in the twin lamellae (Fig. 208 3g). Although the IPF (Z) map is fairly uniform, grain reference orientation deviation (GROD) maps 209 pick out subtle undulations parallel to (001) (Supp. Fig. 1a).

#### **210** 4.2.2 Clasts in pseudotachylytes

All survivor clasts of clinopyroxene display twinning on (100) and orthopyroxene lamellae along (100) (Figs. 4,5). Clast 1 (Fig. 2d, 'clinopyroxene clast 1') is the most obviously elongate in shape (Fig. 4), measuring approximately 1000 x 300 μm, but all other clasts appear slightly elongate parallel to the vein margin except for clast 3, where the clinopyroxene forms part of a lithic clast alongside plagioclase (Fig. 2d, 'CPX clast 3'). (100) is typically parallel or sub-parallel to the vein margin in clasts 1 and 3-4. Clast 1, and to some extent clast 4 (Fig. 2f 'CPX clast 4'), are bent, visible in the curvature of orthopyroxene lamellae (Fig. 4, 5c).



**Figure 3.** Microstructures of clinopyroxene in the host anorthosite; **a)** fine-grained clinopyroxene in anorthosite sampled within a shear-zone bounded block but away from any faults – shows detail of Fig. 1c (cross-polarised light); **b)** clinopyroxene situated 5 mm from vein edge (position indicated in Fig. 2d) showing twinning (cross-polarised image); **c)** EBSD phase map of clinopyroxene situated 2 mm from vein edge (position indicated in Fig. 2d, 'Host CPX 1') showing orthopyroxene lamellae, twin boundaries, and scarce low angle boundaries which truncate twining (white arrowheads); **d)** grain orientation spread (GOS) map across same host rock clinopyroxene with values < 5°, indicating low internal strain; **e)** pole figures for host clinopyroxene 1 as shown in c) with phase colouring **f)** IPF (Z) map of clinopyroxene in the immediate margin of the pseudotachylyte (position indicated on Fig. 2d, 'Host clinopyroxene 2') showing little change in orientation across the grain; **g)** GOS map of same clinopyroxene grain showing very little internal strain; **h)** pole figures for host clinopyroxene 2 as shown in f) with IPF(Z.)

218 Clasts 1 and 4, plus regions near the edges of clasts 2 and 3 (Figs. 2a, b) show moderately high GOS



**Figure 4.** Microstructure of clinopyroxene clast '1' from EBSD analysis (position shown in Fig. 2d); **a)** Phase map highlighting bent orthopyroxene lamellae, twin boundaries and low angle boundaries; **b)** GOS map illustrating variable, but moderate, internal strain across the clinopyroxene grain. Twin lamellae have very low (blue) values; **c)** IPX (Z) orientation map of clinopyroxene. Lattice distortion of the entire grain is clear, as are twinned regions. Sets of low angle boundary orientations are labelled; **d)** texture component map (for clinopyroxene only) showing degrees of misorientation away from the reference point (red circle). Smaller-wavelength undulations are most easily seen here, strongly developed over regions indicated by pink arrows; **e)** pole figures (lower hemisphere) for [001] and [100] axes and poles to (010) planes of clast 1; **f)** misorientation profile from A-A' (location indicated in d.) showing regular 20-40 µm undulation of the lattice. The progressive increase in misorientation from point A is due to the long wavelength kinking of the clast; **g)** Rotation axes shown in crystal co-ordinates and crystal axes shown in sample co-ordinates for subset A, indicated in d.); **h)** pole figures for undulating region 'subset B' (indicated in d.), used for boundary trace analysis. The rotation axis [010] is indicated in purple and the suggested slip direction in red. The boundary trace parallel to the periodic undulation is indicated by the dashed lines.

219 up to 12° (Fig. 4b, 5d-e ). Lower GOS values are seen within twins and within orthopyroxene

220 lamellae, and the lowest values occur where the orthopyroxene lamellae have recrystallized into a 221 polycrystalline aggregate. Clasts 1, 3, and 4 show systematic undulations with amplitudes of low 222 misorientation (< 4°) with wavelengths typically of 20-40  $\mu$ m, which are most evident on texture 223 component maps in regions free from twinning and low angle boundaries (Figs. 4d, 5f). These 224 features continue into the orthopyroxene lamellae. The change in orientation across these 225 undulations is continuous (Figs 4f, 5g) and we use the term 'periodic undulations' to refer to these. 226 The boundary trace analysis in clast 1 indicates that the undulations, as well as low-angle boundaries 227 parallel to them, are the result of slip on the [001](100) system with [010] as rotation axis (Fig. 4g,h). 228 However, the undulations in clast 4 do not give a clear rotation axis for boundary trace analysis, 229 though there is some clustering around [001] (Fig. 5h).

Low-angle boundaries are developed in different orientations, most clearly seen in clast 1 which has three recognisable sets ('i-iii': Fig. 4c). Set (i) LABs cut and offset the orthopyroxene lamellae and the periodic undulations. Clast 4 also has prominent linear LABs with some common orientations (Fig. Sc). As in clast 1, some linear LABs cut the undulations and offset sharply the orthopyroxene lamellae (Fig. 5f).

235 Fine-grained clinopyroxene occurs in intracrystalline bands across some clasts. In clast 2, fine-236 grained clinopyroxene has  $D_{equ}$  of 1.8-8.2  $\mu$ m (Fig. 5a), and shows similar but dispersed orientations 237 relative to the parent clast (Supp. Fig. 2a-b). Rotation axes of these smaller grains are scattered and 238 do not clearly cluster around crystallographic rotation axes. The intracrystalline bands may also 239 contain hornblende (Fig. 5a), they crosscut some LABs (Fig. 5a), and they generally offset 240 orthopyroxene lamellae sharply. Clast 3 contains a similar example of a prominent intracrystalline band with a width up to 15 µm (Fig. 5b). Fine-grained clinopyroxene in this band has a dispersed CPO 241 242 relative to the rest of the clast (Supp. Fig. 2c-d). Orthopyroxene lamellae, clinopyroxene twin 243 boundaries and any LABs are truncated and offset across the band. The band contains fine-grained 244 clinopyroxene alongside garnet and hornblende (Fig. 5). Both are also fine-grained (2-20  $\mu$ m), 245 although the garnet coarsens at the edge of the mapped region, on the rim of the clinopyroxene 246 grain. This assemblage shows no sign of retrograde metamorphic reactions. Similar bands in 247 clinopyroxene filled with fine-grained clinopyroxene and amphibole were described from the 248 proximal damage zone of Nusfjord type-2 pseudotachylytes in Jamtveit et al. (2019), and were 249 interpreted as intracrystalline fractures that localized mineral reactions and phase nucleation.

**250** 4.3 Microstructure of orthopyroxene

**251** 4.3.1 Host rock



**Figure 5.** Microstructures of clinopyroxene clasts 2-4 from EBSD analysis (positions shown in Figs. 2d, f); **a)** phase map of part of clast 2; **b)** phase map of part of clast 3; **c)** phase map of part of clast 4; plus the surrounding pseudotachylyte matrix; **d)** GOS map of clast 2 (clinopyroxene only) showing increasing values towards the clast edge (top), and very low values in the fine grained crack fill; **e)** GOS map of clast 3 (clinopyroxene only) showing increasing values towards the clast edge, and very low values in clinopyroxene twins and in fine grained crack fill; **f)** texture component map for clast 4 showing misorientation relative to the reference point (red dot). clinopyroxene is mapped in blue and orthopyroxene in pink; **g)** misorientation profiles for A-A' (shown in f.) highlighting regular undulations; **h)** orientation of axes in clinopyroxene clast 4 (location of subset c shown in f.). The boundary trace parallel to the periodic undulation is indicated by the dashed lines. Rotation axes are displayed in crystal co-ordinates for the same subset.

252 Orthopyroxene in the host rock away from the pseudotachylyte veins does not display

microstructural evidence of deformation, apart from weak to moderate undulose extinction. In the host rock at the immediate margin of the pseudotachylyte veins N22 and LC1724, orthopyroxene grains are cut by the faults (Figs. 2, 6, 7). These grains show a number of microstructures that are not seen in more distal orthopyroxenes.

257 In N22, most of the orthopyroxene grain on the margin is fractured (Figs. 6a-b) but a transition is 258 seen from linear fractures (e.g. Fig. 6c) to a particularly fine-grained, pervasively fragmented regions 259 (Figs. 6 d-h). An orthopyroxene grain on the margin of the vein in LC1724 shows a similar feature 260 (Supp. Fig. 3). Within these fragmented regions, there is variation in grain size and shape between 261 subdomains of fine-grained, fairly equant fragments (root-mean-squared grain diameter of 1.4 µm for N22, e.g. Fig. 6f), adjacent regions of coarser grains (Fig. 6e), and regions of larger, more angular 262 263 fragments locally dissected by intragranular fractures (Figs. 6d, g). The coarser regions (root-mean-264 squared grain diameter of 2.6  $\mu$ m for N22) have either equant or rather elongate morphology, with 265 some 120° triple junctions (Figs. 6e, 7b). Both the fine and the coarser (equant and elongate) grains 266 show abundant grain boundary porosity (Figs. 6a-c, 7b).

Elongate grains are seen in several fragmented orthopyroxene grains (Figs. 6e & h, 7, Supp Fig. 3) lying adjacent to the vein margin and have their long axis at a high angle to the vein boundary. Similar elongate fragments occur adjacent to large intragranular cracks within the orthopyroxene host grain (Fig. 6h). Fragmented orthopyroxene occurs also adjacent to non-fractured clinopyroxene, as shown in Supp. Fig. 3c, where orthopyroxene lamellae within clinopyroxene are fragmented next to the vein margin (see Fig. 2 for location of this grain).

273 The GOS of the fine-grained fragmented orthopyroxene is low relative to the parent orthopyroxene 274 grain (Figs. 7a, Supp Fig. 3d). Low angle boundaries and higher GOS values are, however, seen in 275 some of the coarser fragments. The finer grains show dispersion of orientations away from the 276 crystallographic orientations of the parent orthopyroxene grain but otherwise are generally similar 277 to the parent orientation (Figs. 7b-d, Supp Fig. 3e-f). Misorientation axes are scattered in the 278 fractured grain region (Fig. 8e), although some clustering around [001] is present (Supp Fig. 3f). The 279 more elongate grains have a different CPO to the parent grain, they are preferentially elongate 280 parallel to [001], and show no obvious cluster of misorientation axes (Supp Fig. 3). In general, the 281 fragmented region shows a near- random distribution of misorientation angles between 282 uncorrelated grains but a higher frequency of misorientations <35° between neighbour grains than 283 for a random distribution (Fig. 7f, Supp. Fig. 3h).



**Figure 6.** Backscattered electron (BSE) images of orthopyroxene grain and adjacent pseudotachylyte vein in sample N22: **a**) View of orthopyroxene grain on margin of pseudotachylyte vein with adjoining phases and subsequent image locations labelled; **b**) Higher magnification view of same grain illustrating fracture sets across left hand side of grain. Map locations for EBSD analysis shown in Fig. 7 are indicated; **c**) jigsaw-like fragmentation along cracks; **b**) edge of pervasively fragmented region. Dashed white lines indicate the main fracture orientations where they meet the fragmented region; **c**) Variation in fragment size and morphology in pervasively fragmented region; **f**) high-magnification image of pervasively fragmented region, showing finest grains; **g**) edge of fragmented region with coarse fragments; **h**) fragmentation of orthopyroxene around an internal crack filled with biotite plus minor orthopyroxene, clinopyroxene and hornblende.

The fractures evident in the BSE images of fragmented orthopyroxene (e.g. Figs. 6 b-c,g) correspond to LABs (i.e. with < 10° misorientation across them) or, less frequently, to high-angle boundaries on EBSD maps (Figs. 7a-c). Linear arrays of finer orthopyroxene plus minor clinopyroxene can be seen



**Figure 7.** Microstructures of orthopyroxene in the host rock margin to a pseudotachylyte vein from EBSD analysis (map positions shown in Fig. 6): **a)** GOS map of edge of fragmented orthopyroxene **b)** IPF (Z) orientation map of orthopyroxene in same region as a); **c)** IPX (Z) orientation map of region in centre of orthopyroxene grain **d)** pole figures for sample of fragmented region – location of this subset D shown b); **e)** rotation axes (in crystal co-ordinates) pole figures for subset D; **f)** misorientation angle histogram for subset D.

along the larger of these cracks (Fig. 6b), with coalescence of the finer grained material in fracture intersections (Fig. 6c), but the orientation of the finer-grained orthopyroxene is nearly identical to that of the main grain (Figs. 7 b,c). These linear fractures appear truncated by the zones of more pervasive fracturing (Figs. 6d, 7a-b).

## **291** 4.3.2 Grain size distribution in the fragmented domains

- 292 Some of the regions of fragmented orthopyroxene studied for grain size analysis are shown in Figs. 6
- d-g (sample N22) and Supp. Fig. 3a-b (sample LC1724). The sampled set consisted of 2033 grains
- from N22 and 421 from LC1724. All analysed images were taken from the fragmented regions of the
- 295 orthopyroxene grains shown in Figs. 6a & 7a. The grain size distribution curve for both samples
- shows differences between the smaller and larger grain size ranges (Supp. Fig. 4). Both power law

- and log normal lines of best fit were calculated for sections of the distributions. Using the power law
- exponent, for N22, the slope of the finer grain size distribution was 2.3 and for the coarser range,
- 1.2. For LC1724, the finer grained range gave a slope of 1.6, and the coarser range, 3.1 (Supp. Fig. 4)
- 300 The break in slope is less pronounced in LC1724 but is nevertheless best fit by two separate power
- law trendlines. In N22 the break of slope is clear and occurs around 4.7 μm.
- 302

#### **303** 4.3.3 Clasts in pseudotachylytes

304 Figure 8 shows the EBSD maps of an orthopyroxene clast in the pseudotachylyte matrix of sample 305 LC1724, consisting of microlitic plagioclase and granular clinopyroxene, hornblende and 306 orthopyroxene (Figs. 1e, 8a). The clast occurs at a distance of 3.4 mm from the margin of the PST 307 vein. Undulose extinction and deformation bands are visible optically (Fig. 1e). EBSD mapping 308 reveals that these bands represent intracrystalline arrays of fine-grained orthopyroxene with very 309 minor interstitial clinopyroxene and hornblende (Fig. 8a). The fine orthopyroxene grains have a maximum GOS of 0.59 (Fig. 8b), a maximum D<sub>equ</sub> of 6.3 μm and a root-mean squared D<sub>equ</sub> of 2.1 μm. 310 311 These arrays dissect the host orthopyroxene clast into domains that have accumulated different 312 amounts of internal deformation, as evident from their different GOS values, overall in the 3-8° 313 range (Fig. 8b).

The orthopyroxene clast shows slight but repeated undulations with parallel orientation (Fig. 8c, Supp. Fig. 1b). These undulations are locally parallel to sharp, linear low-angle boundaries  $(1-3^{\circ})$  (Fig. 8c). These periodic undulations and the 1-3° low-angle boundaries occur on a semi-regular wavelength of 10-20 µm, giving a crenulated undulose effect (Fig. 8d). The misorientation axis associated with these bands is [001] (Fig. 8e), which is consistent with slip on the (100) [010] system assuming a tilt boundary geometry. The undulations and the low-angle boundaries are cut by the arrays of fine-grained orthopyroxene.

The fine-grained orthopyroxene share a CPO with the host clast, although some dispersion is present (Figs. 8f). The dispersion predominantly occurs around [001]. Polygonal networks of low-angle boundaries, potentially indicating the development of subgrains, have not been observed in the clast.

# 325 5. Discussion

# **326** 5.1 Overprinting relationships between deformation microstructures



**Figure 8.** Microstructures of orthopyroxene clast from EBSD analysis; **a**) Phase map of analysed region of clast and surrounding pseudotachylyte matrix; **b**) GOS map of orthopyroxene. Fine-grained arrays of orthopyroxene have low GOS values (blue colouring); **c**) Texture component map of orthopyroxene, red dot locates reference point; **d**) misorientation profiles along A-A' B-B' and C-C', indicated in c); **e**) pole figure and low-angle rotation axes (in crystal co-ordinates) for subset D, location shown in c); **f**) pole figures and high-angle rotation axes for the fine-grained orthopyroxene arrays within the clast. Green overlay shows distribution of points from the main grain.

Deformation twins occurs pervasively in clinopyroxene, both in clasts in the pseudotachylyte veins as well as in host rock grains situated at least up to 8 mm away from the vein margin (Fig. 3b). Deformation twinning predominantly developed on (001), although additional (100) twins are developed in clast 3 (Fig. 5b) where the clustering of (100) twins near the clast boundary implies that additional (100) twinning may have been driven by deformation conditions along the fault. However, twin boundaries are generally bent and cut by LABs, by cracks, and by periodic low (1-3°) misorientation bands (Figs. 4, 5), implying that twinning is typically the earliest microstructural record of deformation in clinopyroxene, and extends some distance into the wall-rock.

Other deformation microstructures are limited to clasts within the pseudotachylyte fault zone, suggesting that they relate to highly localised deformation associated with the seismic rupture. These are the periodic undulations with 20-40 µm wavelength, which overprint orthopyroxene exsolution lamellae (Fig. 5f) and deformation twins, but are cut and offset by linear LABs (Fig. 4). The LABs are cut by the final stage of deformation in the clinopyroxene clasts, fracturing and grain growth in intracrystalline bands of fine-grained clinopyroxene ± amphibole ± garnet (Fig. 5a,b).

341 In orthopyroxene, fracturing and fragmentation is preserved in grains in the wall rock margin as well 342 as in survivor clasts. In the wall-rock margin, the dominant microstructure is fracturing (Figs. 6b-c) 343 and local regions of pervasive fragmentation (Figs. 6-7, Supp. Fig. 3). In the orthopyroxene clast 344 analysed with EBSD, undulating misorientation resulting from glide on (100)[010] is cut by 345 intracrystalline bands of fine-grained orthopyroxene ± clinopyroxene ± amphibole (Fig. 8). Because 346 other orthopyroxene clasts show pervasive fragmentation similar to the margin grains (Supp. Fig. 347 3,5), we suggest that the analysed orthopyroxene clast in Fig. 8 preserves the earlier signature of 348 dislocation glide, which has escaped fragmentation.

In summary, we interpret these overprinting relationships to reflect the pre- to co-seismic deformation of pyroxene in response to local stress amplifications that triggered the generation of type-2 pseudotachylytes in Nusfjord. In the following sections, we propose a conceptual model for the association of these stress changes with the seismic cycle already partly recorded by the presence of pseudotachylyte.

354

# **355** 5.2 High stress loading: deformation by low-temperature plasticity

356

Twinning via (100)[001] in clinopyroxene occurs at a critically resolved shear stress of 100-140 MPa but is not dependent on temperature or strain rate (Kollé & Blacic, 1982). The presence of twinning in host rock clinopyroxene grains away from the vein margin suggests that this early stage of deformation was driven by stresses that were not entirely localised to the fault plane. This may be related to the earliest heterogeneous stress amplifications that built up prior to failure, less localised
to the eventual rupture zone than the later microstructures in clasts that all cross-cut twinning.

363 Most clinopyroxene clasts illustrated here show deformation by low-temperature plasticity 364 controlled by dislocation glide along (100)[001], producing LABs and undulating misorientation that 365 cut the twin boundaries and the orthopyroxene exsolution lamellae. (100)[001] is the typical glide 366 system in clinopyroxene (Avé Lallemant, 1978; Ingrin et al., 1992; Kirby & Kronenberg, 1984; Kollé & 367 Blacic, 1983; Raleigh & Talbot, 1967). In our samples, evidence of any transition to dislocation creep 368 is absent, consistent with the relatively low temperature conditions of deformation for crystal 369 plasticity in clinopyroxene (e.g. Bystricky & Mackwell 2001). Flow laws extrapolated to natural strain 370 rates for dislocation glide of diopside require several 100 MPa or GPa of differential stress for 371 Peierls-controlled glide to occur in clinopyroxene (Fig. 9). Results from the micro-indentation tests of Dorner & Stöckhert (2004) suggest that, at relatively low strain rates of 10<sup>-14</sup> s<sup>-1</sup> and a temperature 372 373 range of 600-700°C, between 1.6-2.0 GPa would be needed to activate Peierls-controlled glide. If 374 strain rates were to increase towards seismic strain rates, for example a rapid strain rate of 10<sup>-3</sup> s<sup>-1</sup>, 375 the required flow stress increases to 3.7-3.9 GPa (Fig. 9). Based on the flow law for Peierls-controlled glide of Kollé & Blacic (1983), at temperatures of 600-700°C strain rates > 10<sup>-12</sup> s<sup>-1</sup> are necessary to 376 377 induce glide, and at strain rates of  $10^{-9}$  s<sup>-1</sup> or greater, critical resolved shear stresses would be > 1 378 GPa (at 700°C) and > 3 GPa (at 600°C) (Fig. 9). This glide law was observed by Kollé & Blacic (1983) to 379 initiate at critically resolved shear stresses > 520 MPa in hedenbergite crystals poorly orientated for 380 twinning; in crystals where twinning was possible, dislocation glide required temperatures > 850 °C 381 under their experimental conditions. Without knowing the stress orientation, it is not possible to 382 convert an equivalent differential stress, but the differential stress must be at least twice the 383 resolved shear stress. We therefore infer that the low-temperature plasticity microstructures 384 observed in clinopyroxene clasts occurred as a response to transient and localised differential 385 stresses in excess of 1 GPa. The localisation of these microstructures to the clasts, and not in the 386 clinopyroxene grains which now form the margin to the pseudotachylyte vein, suggests that these 387 high stresses were localised to the rock volume that subsequently formed the pseudotachylyte fault 388 vein. However, overprinting by fracturing indicates that this localisation occurred prior to passage of 389 the rupture tip.



$\cup$	600 C	 600°C
	700°C	 700°C

**Figure 9.** Two flow laws for Peierls-controlled glide in diopside (Dorner & Stöckert, 2004) and hedenbergite (Kollé & Blacic, 1983) at temperatures for deformation in Nusfjord (600-700°C). The law from Dorner & Stöckert (2004) is shown at strain rates of  $10^{-14}$  s<sup>-1</sup> (i.e. a background geological strain rate) and at a very rapid  $10^{-3}$  s<sup>-1</sup> (for approximation of acceleration towards seismic slip rates). The law from Kollé & Blacic (1983) is for Peierls glide on (100) [001] for < 900°C and > 520 MPa. Note that the x-axis shows differential stress for Dorner & Stöckert (2004) but resolved shear stress for the laws of Kollé & Blacic (1983).

The regular 20-40 μm wavelength periodic undulation of the clinopyroxene lattice (Figs. 4f, 5g) bears some similarities to the short-wavelength undulatory extinction (SWUE) recognised in quartz (Trepmann & Stöckhert, 2013) and olivine (Druiventak *et al., 2012)* 'kick-and-cook' experiments, although the undulation in clinopyroxene has a longer wavelength. The undulose misorientation is characterised purely by continuous sinusoidal curvature of the crystal lattice, which was accommodated by glide along the (100)[001]. Similar periodic undulations occur also in orthopyroxene clasts (Fig. 8d) and are consistent with dislocation glide on (100)[010] (Fig. 8e).

The formation of SWUE in quartz was attributed to high stress dislocation glide ('kick'-phase) that generates lamellae of tangled dislocations (Trepmann & Stöckhert, 2013). Natural comparisons to SWUEs have been proposed in quartz (Brückner & Trepmann, 2021, Birtel & Stöckhert, 2008, 400 Trepmann & Seybold, 2019) and olivine (Matysiak & Trepmann, 2012) but not, to our knowledge, 401 previously in pyroxenes. The generation model for SWUEs of Trepmann & Stöckhert (2013), invoking 402 dislocation glide under high stresses caused by seismic loading, is inviting because of the shared 403 deformation mechanism (glide) observed in these pyroxenes, and because of the seismic context of 404 the Nusfjord samples. The parallels are especially clear in the recent work of Brückner & Trepmann, 405 (2021) who observe SWUEs in quartz adjacent to pseudotachylytes. We propose that the periodic 406 undulations in clinopyroxene were produced by a low-temperature plasticity response to the highest 407 stresses localised around the eventual fault plane. After twinning, the periodic undulations are the 408 next earliest microstructure to form in clinopyroxene, and in orthopyroxene are cut by fractures. 409 Hence they are potentially related to the stress concentrations during loading, preceding eventual 410 rupture.

411 SWUEs in quartz and olivine were attributed to high-stress loading of the mid- to lower-crust via 412 shallower earthquake activity (Birtel & Stöckhert, 2008; Malysiak & Trepmann, 2012; Trepmann & 413 Stöckhert 2013). The context of the seismic environment in Nusfjord, where type-2 414 pseudotachylytes represent the in-situ nucleation of short earthquake ruptures (Campbell et al. 415 2020), fits better with the observations of Brückner and Trepmann (2021) that such glide-controlled 416 microstructures also occur adjacent to in situ seismic rupture within the mid- to lower- crust. Our 417 evidence supports transient high stress loading either being a result of local stress amplifications 418 preceding and eventually causing rupture nucleation (Campbell et al., 2020), or as a response to high 419 rupture tip stresses (Reches & Dewers, 2005). The former is prefered due to the overprinting of glide 420 structures in orthopyroxene by later fragmentation that can be linked with more confidence to 421 dynamic rupture tip processes.

422

# **423** 5.3 Coseismic deformation: pulverisation of orthopyroxene

424

425 The fine-grained orthopyroxene at the pseudotachylyte fault vein margin (Figs. 6-8) is interpreted to 426 have formed via brittle grain size reduction, based on: a) the fracture systems evident in BSE 427 micrographs (Fig. 6) and the jigsaw-breccia type grain shapes preserved in some fine-grained regions (Fig. 6g), and b) the absence of polygonal subgrains from the interior of the large orthopyroxene 428 429 fragments, in accordance with the expected lack of recovery in orthopyroxene at the deformation 430 temperature of 700-750 °C (e.g. Kohlstedt & Vander Sande, 1973; Kanagawa et al., 2008). The lack of 431 shear between large fragments (Figs. 6,7) supports that pulverisation, rather than cataclasis, was the main fragmentation process in orthopyroxene. The lack of shear is also evident in the overlap in 432 433 crystallographic orientation between the fine grains and the coarser fragments (Fig. 7d, see also Petley-Ragan at al., 2019 and Soda & Okudaira, 2018). An alternative explanation of thermal shock
was ruled out due to the lack of fracturing in the orthopyroxene clast (Fig. 8), which, being within the
vein, should have experienced higher coseismic temperatures than the wall rock (Papa et al., 2018),
although other orthopyroxene clasts do show pervasive fragmentation (Supp. Fig. 5).

438 Although pulverisation is considered to be depth-limited by increasing confining pressure (Aben et 439 al., 2017; Yuan et al., 2011), pervasive damage zones extending up to 200 m from the fault have 440 been exhumed from crustal depths below the frictional viscous transition (Sullivan & Peterman, 441 2017). Interpretations of pulverisation of single grains have recently accumulated from 442 microstructural studies of lower crustal seismicity in both naturally and experimentally deformed rocks (Austrheim et al., 2017; Soda and Okudaira, 2018; Incel et al., 2019; Petley-Ragan et al., 2019; 443 444 Song et al., 2020). Minerals observed to undergo pulverisation-style fragmentation include 445 plagioclase (Soda & Okudaira, 2018), garnet (Austrheim et al., 2017; Incel et al., 2019; Petley-Ragan 446 et al., 2019; Song et al., 2020) and diopside (Petley-Ragan et al., 2019). In the Nusfjord samples, 447 orthopyroxene is the only mineral to show microstructures compatible with pulverisation-style 448 fragmentation.

449 Pulverisation-style fragmentation, particularly where tensile fracturing is involved, is attributed to 450 dynamic rupture tip stress fields that overcome the high confining pressure (Reches & Dewers, 451 2005), but has also been linked to the passage of seismic shockwaves ahead of the rupture front 452 (Doan & Gary, 2009) and by impulsive coseismic loading and unloading of the wall-rocks (Brune, 453 2001). The asymmetry of fragmentation observed in the field along major tectonic faults favours a 454 link with the asymmetric rupture tip stress fields, where one side of the tip is in compression and the 455 other in tension (Dor et al., 2006; Petley-Ragan et al., 2019; Reches & Dewers, 2005; Wilson et al., 456 2005; Xu & Ben-Zion, 2017). Although supershear ruptures may favour pulverisation (Yuan et al., 457 2011), sub-shear ruptures should also induce extreme stress and strain rate conditions a few mm from the rupture – one calculation predicts 5 GPa tensional stress and  $~10^5 s^{-1}$  dilation in this zone 458 459 (Wilson et al., 2005). In our samples, it is not easy to judge whether the pulverisation occurs on one 460 side of the fault only, because there is no orthopyroxene visible in the opposing wall to compare 461 with. Whilst fragmented orthopyroxene occurs within clasts in the vein, the delocalisation effect of 462 the pseudotachylyte melt makes it impossible to know where the initial rupture plane was in relation 463 to the in situ position of that grain. There is evidence for injection veins and damage on both sides of 464 the fault in one sample (Fig 2c-d), which may dispute asymmetry (although injection veins are not always linked to rupture-tip stress fields) - however, the alternative models of impulsive loading 465

- 466 cycles or shockwaves (Brune, 2001, Doan & Gary, 2009) are also coseismic processes and do not467 change our interpretation of the timing of these microstructures.
- 468

# 469 5.4 Grain growth of orthopyroxene driven by short-term heat pulse

470

471 Many of the orthopyroxene fragmented grains are somewhat modified in shape. Grain growth from 472 the fine-grained fragments is apparent in the elongate orthopyroxene grains, as well as in regions 473 with well-developed 120° triple junctions (Fig. 6e). Grain-size distributions (Supp. Fig. 4) are 474 commonly used to determine processes of fracturing, faulting, and grain growth (e.g. Sammis et al. 475 1986), although the various mechanisms of brittle fragmentation are not always easily 476 distinguishable via this analysis (Stünitz et al., 2010; Wilson et al., 2005). The observed range of 2D 477 slope values in our analysis, 1.2-3.1 (Supp. Fig. 4), is comparable with grain size distributions 478 reported for mid- to lower-crustal seismic deformation (Aupart et al., 2018 and references therein; 479 Jamtveit et al., 2019; Soda and Okudiara, 2018; Song et al., 2020) including those attributed to 480 coseismic pulverisation of garnet, olivine and plagioclase. Neither of our samples are conducive to a 481 single best-fit power law or log normal distribution, with the break in slope estimated at a diameter



**Figure 10.** OPX grain growth modelling from initial diameter of 1  $\mu$ m. Growth law parameters from the synthetic samples of Skemer & Karato (2007) are used to compare rates for 1300 °C and 1200 °C; for 700 °C, the rate constant k (rate constant) is extrapolated from enthalpy and  $k_0$  values provided in the same publication

of around 4.7 μm for both samples. We suggest that this change in slope reflects modification of the
original fragmentation grain size by the subsequent grain growth apparent in the BSE images. This is
consistent with the findings of Keulen et al. (2007) and Aupart et al., (2018) that changes in GSD
slope reflect a switch in grain processes.

486 The CPO of the elongate grains differs to that of the more equant fragments, which tend to be 487 similar to the parent CPO (Supp. Fig. 3f-g). The short axes of the elongate grains are of a similar size 488 to the diameter of the equant grains, suggesting that the elongate grains may have formed via a mix 489 of rotation and growth of existing fragments. The shape preferred orientation is always at a high 490 angle to the vein edge (Figs 6-7, Supp. Fig. 3) and the grain elongation is predominantly parallel to 491 [001], which has been reported as the fastest diffusion and growth direction in orthopyroxene 492 (Milke et al., 2013; Dohmen et al., 2016). This elongation is also observed at the edge of a large 493 intracrystalline tensile crack within a host rock margin orthopyroxene grain (Figs. 6a,h) which 494 extends between points of 0.34 - 1.09 mm perpendicular distance from the pseudotachylyte vein 495 edge. The apparent orientation of the crack forms a high angle to the fault vein, suggesting that the 496 crack was tensile, as does the parallel elongation of the orthopyroxene, perpendicular to the crack. 497 This geometry is similar to tensile cracks formed within dynamic stress fields linked to crack tip 498 propagation (Ngo et al., 2012), suggesting that it may have formed concurrent with the 499 pulverisation-style fragmentation within the grain. The distribution of the elongate grains along the 500 edge of this crack as well as along the pseudotachylyte vein margin therefore implies that elongate 501 grain growth occurred after fragmentation but potentially concurrent with continued slip and the 502 associated frictionally generated thermal pulse that drove melting along the fault plane.

503 Because of the transience of frictional heating, grain growth needs to take place during, or 504 immediately after, seismic slip. Using the orthopyroxene grain growth laws of Skemer & Karato 505 (2007), we assess the potential growth time necessary for a starting orthopyroxene fragment size of 506 1.0  $\mu$ m at temperatures ranging from 1300 °C - close to typical pseudotachylyte maximum melt 507 temperatures (Sibson & Toy, 2006) - down to an ambient temperature of 700 °C (Fig 10.). At 700 °C, 508 the orthopyroxene will not achieve the required grain size of  $D_{equ} = 2.6 \mu m$  (for the elongate grains) 509 within 3 Ga, implying that some form of heat pulse must be required for grain growth. At 510 temperatures around 1300°C the required grain growth may be achieved within 16 minutes and at 511 1200 °C, within 3 hours (Fig. 10). These temperatures can be feasibly generated during coseismic 512 frictional heating, so that growth of the elongate grains may have initiated during slip.

513

## 514 5.5 Conceptual model of pre-and co-seismic deformation in pyroxenes

515 Based on the overprinting relationships of microstructures in the pyroxenes (sections 4.2-4.3) and 516 our interpretations of their deformation mechanisms plus resulting stress and temperature 517 implications, we suggest a conceptual model of pre- and co-seismic deformation of clino- and 518 orthopyroxene (Fig. 12).

- Distributed twinning seen in clinopyroxene appears to be spatially associated with
   pseudotachylyte veins but are not as localised as subsequent deformation microstructures.
   The critical shear stresses associated with clinopyroxene twinning (Kollé & Blacic, 1982) are
   not especially high relative to expected failure strength; twinning may relate to progressive
   stress amplification prior to the failure stress being reached (Campbell et al., 2020).
  - 1. Preseismic: Initial stress loading



3. Coseismic: pulverisation of orthopyroxene

4. Coseismic: thermal heat pulse



- Possible dynamic stress field (but other causes may drive pulverisation)
- Grain growth in fragmented OPX Frictional melting -PX as survivor clasts

**Figure 11.** Proposed order and association of pyroxene microstructure with potential rupture processes. A conceptual example from the context of the shear-zone bounded block shown in Fig. 1b – in this example, the pre-seismic stress loading is thought to be driven by the activity of the shear zones (Campbell et al., 2020).

 Low temperature plasticity in clinopyroxene and orthopyroxene is predominantly found within clasts, suggesting much more pronounced localisation of stress (potentially > 1 GPa, Fig. 12) around the eventual rupture plane. This is consistent with interpretations made for similar high-stress dislocation glide-controlled microstructures observed in both naturally and experimentally deformed rocks (Druiventak *et al.* 2011; Matysiak & Trepmann 2012; Trepmann *et al.* 2013).

Low temperature plasticity is overprinted by pulverisation-style fragmentation in
orthopyroxene, which most likely relates to dynamic stress fields related to the passage of
the rupture tip (the 'fault tip process zone' of Petley-Ragan et al., 2018 – their Fig. 4), or
alternatively may relate to impulsive loading or shockwaves. There appears to be no
microstructural record for this stage in the clinopyroxene.

After the passage of the rupture tip, frictional heating during continued seismic slip melts
phases along the fault plane, eventually cooling to form the pseudotachylyte vein.
Fragments of pyroxene and plagioclase, sourced from the fault walls and comminuted
material, may survive, unmelted, as clasts. Conduction of the frictional heat pulse into the
wall rock is a feasible driver of (oriented) grain growth within the fragmented orthopyroxene
immediately adjacent to the pseudotachylyte vein.

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# 542 5. Conclusions

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544 Deformation mechanisms in both clino- and orthopyroxenes spatially associated with ancient seismogenic faults from Nusfjord (Lofoten, Norway) record increasing localisation of progressively 545 546 higher stress (up to GPa in magnitude) prior to rupture, preceding pulverisation-style fragmentation 547 of orthopyroxene potentially linked to the passage of the rupture tip. The spatial association of these microstructures with a single-slip pseudotachylyte-bearing fault plane, constrained to represent 548 549 seismic rupture nucleating at lower crustal depths of 25-30 km, implies that the sequence of 550 overprinting microstructures may be linked to increasing loading and eventual rupture during one 551 lower crustal seismic cycle.

552 The extent to which low-temperature plasticity within lower crustal granulites can accommodate 553 transient stress amplifications may be an important control on when and where rupture and 554 subsequent seismic damage can initiate with the lower crust. Intracrystalline deformation of phases along the fault plane and in the damage zone is an increasingly recognised and valuable record ofthe short-term changes to the stress regime prior to rupture in lower crustal rocks.

557

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565 Open Research

Available data in support of this work include: unfiltered EBSD datasets, images used for grains size data analysis, spreadsheets showing calculations for grain size data analysis and grain growth modelling. These data are available at the British Geological National Geoscience Data Centre via <u>https://webapps.bgs.ac.uk/services/ngdc/accessions/index.html#item169329</u> under a non-exclusive in-perpetuity licence.

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[Journal of Geophysical Research: Solid Earth]

Supporting Information for

# High stress deformation and short-term thermal pulse preserved in exhumed lower crustal seismogenic faults (Lofoten, Norway)

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Figures S1 to S5

# Introduction

The following supporting figures offer additional examples or analysis to support those in the main manuscript. All relevant methods are described in the main manuscript.



**Figure S1**. Grain reference orientation deviation (GROD) maps showing misorientation of points from the grain's mean orientation. **a)** GROD map of 'Host CPX 2' (see Fig. 3 f-g); **b)** GROD map of OPX clast (see Fig. 8).



**Figure S2.** fine-grained clinopyroxene observed in clasts **a**) IPF (Z) map for clinopyroxene in clast 2; **b**) pole figures with IPF (Z) colouring and rotation axes in crystal co-ordinates for fine-grained CPX filling a crack in clast 2 (1 point per grain), points from main grain shown in orange overlay; **c**) IPF (Z) map for clinopyroxene in clast 3; **d**) pole figures for fine-grained CPX filling crack in clast 3 (1 point per grain), points from main grain shown in orange overlay.



**Figure S3.** Additional examples of deformed orthopyroxene. **a**) BSE image of orthopyroxene grain bordering pseudotachylyte vein in sample LC1724, and adjacent pseudotachylyte vein, with adjacent phases and location of EBSD analysed region labelled; **b**) high magnification BSE image of pervasively fragmented region of orthopyroxene grain shown in a); **c**) fragmentation of orthopyroxene lamellae within a clinopyroxene grain

bordering a pseudotachylyte vein in N22. This forms part of a large polycrystalline clast surrounded by PST (location shown in Fig. 2f); **d**) EBSD GOS map of OPX in the host rock bordering a PST vein, LC1724 (location indicated in a)); **e**) IPF (X) orientation map of OPX; **f**) pole figures (lower hemisphere, equal area) & rotation axes (in crystal coordinates) for subset A in the fragmented part of the grain(s) – subset location shown in b). The pink areas in the pole figures represent the orientation of the host opx grain; **g**) pole figures (lower hemisphere, equal area) & rotation axes (in crystal coordinates) for subset B in the fragmented part of the grain(s) – subset location shown in b). The pink areas in the orientation of the host opx grain; **g**) pole figures represent the orientation axes (in crystal coordinates) for subset B in the fragmented part of the grain(s) – subset location shown in b). The pink areas in the pole figures represent the orientation shown in b). The pink areas for subset B in the fragmented part of the grain(s) – subset location shown in b). The pink areas in the pole figures represent the orientation shown in b). The pink areas for subset B in the fragmented part of the grain(s) – subset location shown in b). The pink areas in the pole figures represent the orientation of the host opx grain; **h**) misorientation histograms for subset A and subset B.



**Figure S4.** Grain size distribution (GSD) for fragmented orthopyroxene in vein margins for samples N22 and LC1724. Best fit lines are shown for log normal (grey) and power law (red/blue) distributions.



**Figure S5.** Fragmented OPX clast: **a)** Optical micrograph (XPL) showing position of clast in thin section N22b relative to CPX clast 4. Fragmentation of the OPX can be seen in the mixed extinction of the OPX; **b)** close-up image of same clast, (XPL); **c)** BSE image of part of same clast, showing fragmentation in the OPX and the garnet rim.