# Seamless integration of the coastal ocean in global marine carbon cycle modeling

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#### Abstract

We present the first global ocean-biogeochemistry model that uses a telescoping high resolution for an improved representation of coastal carbon dynamics: ICON-Coast. Based on the unstructured triangular grid topology of the model, we globally apply a grid refinement in the land-ocean transition zone to better resolve the complex circulation of shallow shelves and marginal seas as well as ocean-shelf exchange. Moreover, we incorporate tidal currents including bottom drag effects, and extend the parameterizations of the model's biogeochemistry component to account explicitly for key shelf-specific carbon transformation processes. These comprise sediment resuspension, temperature-dependent remineralization in the water column and sediment, riverine matter fluxes from land including terrestrial organic carbon, and variable sinking speed of aggregated particulate matter. The combination of regional grid refinement and enhanced process representation enables for the first time a seamless incorporation of the global coastal ocean in model-based Earth system research. In particular, ICON-Coast encompasses all coastal areas around the globe within a single, consistent ocean-biogeochemistry model, thus naturally accounting for two-way coupling of ocean-shelf feedback mechanisms at the global scale. The high quality of the model results as well as the efficiency in computational cost and storage requirements proves this strategy a pioneering approach for global high-resolution modeling. We conclude that ICON-Coast represents a new tool to deepen our mechanistic understanding of the role of the land-ocean transition zone in the global carbon cycle, and to narrow related uncertainties in global future projections.

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#### Key Points:

11	• We introduce the first global ocean-biogeochemistry model with a dedicated rep-
12	resentation of coastal carbon dynamics.
13	• We globally apply a grid refinement in the coastal ocean to better resolve regional
14	circulation features, including ocean-shelf exchange.
15	• We explicitly incorporate key physical and biogeochemical processes controlling
16	coastal carbon dynamics.

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#### 18 Abstract

We present the first global ocean-biogeochemistry model that uses a telescoping high res-19 olution for an improved representation of coastal carbon dynamics: ICON-Coast. Based 20 on the unstructured triangular grid topology of the model, we globally apply a grid refine-21 ment in the land-ocean transition zone to better resolve the complex circulation of shallow 22 shelves and marginal seas as well as ocean-shelf exchange. Moreover, we incorporate tidal 23 currents including bottom drag effects, and extend the parameterizations of the model's bio-24 geochemistry component to account explicitly for key shelf-specific carbon transformation 25 processes. These comprise sediment resuspension, temperature-dependent remineralization 26 in the water column and sediment, riverine matter fluxes from land including terrestrial or-27 ganic carbon, and variable sinking speed of aggregated particulate matter. The combination 28 of regional grid refinement and enhanced process representation enables for the first time a 29 seamless incorporation of the global coastal ocean in model-based Earth system research. 30 In particular, ICON-Coast encompasses all coastal areas around the globe within a single, 31 consistent ocean-biogeochemistry model, thus naturally accounting for two-way coupling of 32 ocean-shelf feedback mechanisms at the global scale. The high quality of the model results 33 as well as the efficiency in computational cost and storage requirements proves this strategy 34 a pioneering approach for global high-resolution modeling. We conclude that ICON-Coast 35 represents a new tool to deepen our mechanistic understanding of the role of the land-ocean 36 37 transition zone in the global carbon cycle, and to narrow related uncertainties in global future projections. 38

#### <sup>39</sup> Plain Language Summary

The coastal ocean is an area hardly taken into account by current climate change as-40 sessment activities. Yet, its capacity in carbon dioxide  $(CO_2)$  uptake and storage is crucial 41 to be included in a science-based development of sustainable climate change mitigation and 42 adaptation strategies. Earth system models are powerful tools to investigate the marine 43 carbon cycle of the open ocean. The coastal ocean, however, is poorly represented in global 44 models to date, because of missing key processes controlling coastal carbon dynamics and 45 too coarse spatial resolutions to adequately simulate coastal circulation features. Here, we 46 introduce the first global ocean-biogeochemistry model with a dedicated representation of 47 the coastal ocean and associated marine carbon dynamics: ICON-Coast. In this model, 48 we globally apply a higher resolution in the coastal ocean and extend the accounted phys-49 ical and biogeochemical processes. This approach enables for the first time a consistent, 50 seamless incorporation of the global coastal ocean in model-based Earth system research. 51 In particular, ICON-Coast represents a new tool to deepen our understanding about the 52 role of the land-ocean transition zone in the global climate system, and to narrow related 53 uncertainties in possible and plausible climate futures. 54

#### 55 1 Introduction

Our current understanding about the role of the coastal ocean in the marine carbon 56 cycle is limited and fragmentary. Considerable knowledge gaps are related to the inter-57 action between the diverse sources and sinks of carbon in the highly heterogeneous and 58 dynamic land-ocean transition zone and their relation to the biogeochemical processes in 59 the open ocean (Regnier et al., 2013; Ward et al., 2017; G. G. Laruelle et al., 2018). Under 60 present-day climatic conditions, the global coastal ocean has been identified as a net sink 61 for atmospheric  $CO_2$  (G. Laruelle et al., 2014; Gruber, 2015). However, to what extent 62 coastal areas around the globe are taking up or releasing carbon, as well as how much of the 63 carbon exported from the coastal areas enters the deep ocean, remains unclear (Bauer et al., 2013; Roobaert et al., 2019). The coastal ocean, thus, is a largely missing component of 65 current global carbon budgeting (Fennel et al., 2019; Hauck et al., 2020), yet its capacity in 66 carbon storage and transformation is crucial to be included in a science-based development 67

of sustainable mitigation and adaptation strategies to global climate change (Nellemann et al., 2009; Schmidt et al., 2017; Luisetti et al., 2020).

The general view is that in coastal areas of middle and high latitudes, net  $CO_2$  draw-70 down at the sea surface is induced by high biological productivity and an efficient export of 71 sequestered carbon to the adjacent deep open ocean, which outcompetes outgassing in low 72 latitudes driven by temperature effects and substantial terrestrial carbon inputs (Borges & 73 Frankignoulle, 2005; Cai, 2011). However, observation- and model-based estimates of the 74 carbon fluxes across the boundaries of the coastal ocean, determining the overall budget, are 75 poorly constrained. About  $2 \operatorname{Gt} \operatorname{Cyr}^{-1}$  uncertainty is associated with the amount of carbon 76 deposited in coastal sediments, with estimates ranging from  $0.2-2.2\,{\rm Gt\,C\,yr^{-1}}$  (Krumins et 77 al., 2013). This is about the same amount taken up from the atmosphere by the entire 78 global ocean at present (Park et al., 2010; Landschützer et al., 2016). About  $1 \,\mathrm{Gt}\,\mathrm{Cyr}^{-1}$ 79 uncertainty is associated with the coastal  $CO_2$  flux at the air-sea interface, ranging from 80  $0.1-1.0 \,\mathrm{Gt} \,\mathrm{Cyr}^{-1}$  uptake (G. G. Laruelle et al., 2010; Bourgeois et al., 2016), although more 81 recent studies point rather towards the lower end of this spread (Roobaert et al., 2019; 82 Lacroix et al., 2021b). More accurate estimates of coastal carbon fluxes are thus also needed 83 to robustly quantify the anthropogenic perturbation of the global carbon cycle, which is a 84 key diagnostic of the evolution of climate change and the effectiveness of climate policies 85 (Canadell et al., 2010; Friedlingstein et al., 2020). 86

Observations of processes relevant to constrain uncertainties in coastal carbon dynamics are methodologically challenging. Moreover, their spatial and temporal coverage is still scarce and often biased towards certain regions, latitudes and seasons (Painting et al., 2020; Ward et al., 2020). Recent studies applied machine learning algorithms to close data gaps by extrapolating collinearities between target and proxy observables (Lee et al., 2019; Gregor et al., 2019). The results, though, are often sensitive to the choice of the specific approach.

Global ocean-biogeochemistry models are powerful tools to gain understanding about 93 the functioning of the marine carbon cycle and to test hypotheses about its response to 94 future scenarios following various socio-economic climate policy directions. To investigate 95 the coastal ocean, however, global ocean-biogeochemistry models are faced with conceptual 96 limitations (Ward et al., 2020), mainly due to two circumstances. First, global models are 97 not designed to capture the diverse energetic processes characterizing biogeochemical shelf 98 sea dynamics such as a strong interaction between the water column and the sediment, qq strong internal mixing, or a strong influence of matter fluxes from land (Fig. 1). Many 100 of these processes are thus typically underrepresented by global biogeochemistry models, if 101 implemented at all (Allen et al., 2010; Hauck et al., 2020). And second, a comparatively high 102 grid resolution is required to adequately resolve shelf-specific processes as well as ocean-shelf 103 exchange. In the shallow coastal ocean, the horizontal mesh spacing necessary to resolve the 104 characteristic length scale of the ocean circulation ranges between  $1/16^{\circ}$  and  $1/50^{\circ}$  (Hallberg, 105 2013).106

Setting up a global model with high grid resolution is not a problem in the first place 107 (e.g. Cheng et al., 2016; Z. Li et al., 2017; Hewitt et al., 2020). The study of global carbon 108 dynamics in the context of contemporary increasing atmospheric pCO<sub>2</sub>, however, requires 109 simulation periods of at least multiple decades or even centuries, irrespective of still much 110 longer spinup simulations needed to drive the physical and biogeochemical state of the ocean 111 into equilibrium. Running a conventional global biogeochemistry model at the desired mesh 112 spacing of  $1/16^{\circ}$  or higher for several decades, though, is too resource intensive under today's 113 high-performance computing (HPC) capacities, thus excluding this application for practical 114 reasons. Global ocean-biogeochemistry models contributing to the 6th phase of the Coupled 115 Model Intercomparison Project (CMIP6), for example, were run with nominal horizontal 116 mesh spacings of  $1/2^{\circ}$  to  $1^{\circ}$  (Séférian et al., 2020). Model-based investigations of the coastal 117 ocean therefore have mainly pursued the application of regional model systems that enable 118 both, specific process adaptation and finescale grid resolution at lower computational costs. 119 Inconsistencies due to the prescribed forcing at the open lateral boundaries, however, can 120



Figure 1: Schematic of key processes controlling coastal carbon dynamics. Attached indices are referred to in the results section 3

lead to spurious artefacts influencing the model results in the interior of the regional domain
(Marsaleix et al., 2006; Z. Liu & Gan, 2016; Mathis et al., 2018). Moreover, global budgeting
of the coastal ocean requires global coastal coverage, which can hardly be obtained by
regional modeling efforts.

In this paper, we present the first global ocean-biogeochemistry model that overcomes 125 these technical barriers of inadequate grid resolution and process representation in the 126 coastal ocean. We build our development on the ocean component ICON-O of the new 127 Earth system model of the Max-Planck-Institute for Meteorology in Hamburg and con-128 struct a modified version of this model with a dedicated focus on the land-ocean transition 129 zone: ICON-Coast. For this task, we take advantage of the triangular grid structure of 130 ICON-O and globally apply a regional grid refinement in the coastal ocean. Logemann et 131 al. (2021) have demonstrated a significant improvement of coastal tidal amplitudes simu-132 lated with ICON-O when such a regional refinement is used. The advantages of installing 133 variable-resolution grids in global Earth system models to accommodate complex biogeo-134 chemical interactions in the terrestrial-aquatic interface were recently emphasized by Ward 135 et al. (2020). Besides, the use of unstructured grids was envisaged the most versatile, effi-136 cient and elegant way to improve our understanding of the role of shelf seas in global-scale 137 processes already by Holt et al. (2009). In addition to the regional grid refinement, we 138 incorporate several modifications and extensions of the standard modules of ICON-O, in 139 particular for the biogeochemistry component HAMOCC, to improve the representation of 140 shelf-specific processes related to coastal carbon dynamics (Fig. 1). 141

The aim of this development is to provide a tool for reducing uncertainties in our un-142 derstanding of the global carbon cycle and its governing processes via an improved modeling 143 approach. A seamless connection of the open and coastal ocean merged into a global ocean-144 biogeochemistry model enables a consistent two-way coupling of cross-scale physical and 145 biogeochemical feedback mechanisms in all coastal regions of the world. To lay the grounds 146 for various scientific applications, we here introduce the general concept of ICON-Coast and 147 exemplify the skills and potentials of the model by showing results of simulated physical 148 and biogeochemical key processes related to coastal carbon dynamics. 149

#### 150 2 Methods

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#### 2.1 Model description of ICON-O

The basis of our development is the global ocean-sea ice-biogeochemistry model ICON-152 O (Korn, 2017; Korn & Linardakis, 2018; Logemann et al., 2021). The physical core of 153 the model is based on finite volume numerics. The grid structure discretizes the spherical 154 surface of the global ocean by triangular cells with a C-type staggering of variables. The 155 vertical dimension is defined on z coordinates. The primitive equations of fluid motion are 156 solved with applied hydrostatic and Boussinesq approximations. In the setup presented 157 here, the vertical turbulent viscosity and diffusivity are parameterized by a TKE mixing 158 scheme (Gaspar et al., 1990; Gutjahr et al., 2021). Biharmonic operators are used for the 159 velocity closure. Sea ice advection and thermodynamics are included by a coupling with the 160 sea ice model FESIM (Danilov et al., 2015). 161

The biogeochemistry component of ICON-O is the Hamburg Ocean Carbon Cycle model 162 HAMOCC (Maier-Reimer et al., 2005; Ilyina et al., 2013) in its CMIP6 version (Mauritsen et 163 al., 2019). This version was transferred from the Earth system model MPI-ESM to ICON-O 164 as the ocean component of the upcoming Earth system model ICON-ESM (Jungclaus et al., 165 in prep.). Marine biology dynamics is represented by a NPZD-type approach (Six & Maier-166 Reimer, 1996). Sequestration of inorganic carbon and nutrients by phytoplankton growth is 167 controlled by light availability, water temperature, and co-limitation of the macro nutrients 168 phosphate and nitrate as well as iron, assuming Redfield stoichiometry (Six & Maier-Reimer, 169 1996; Kloster et al., 2006). Biogeochemical transformation processes distinguish between 170 oxic, sub- and anoxic conditions, accounting for bacterial decomposition, denitrification, and 171 sulfate reduction. The nitrogen cycle includes a prognostic representation of N-fixation at 172 the sea surface by cyanobacteria (Paulsen et al., 2017). A 3-dimensional sediment module 173 accounts for deposition and dissolution of particulate matter at the sea floor as well as 174 benthic-pelagic pore water exchange (Heinze et al., 1999). In the current setup, tracer 175 advection is calculated by the physical component of the model. 176

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#### 2.2 Model extensions for ICON-Coast

Starting from the model setup described in the previous section, our improvements re-178 garding shelf-specific process representation comprise the incorporation of tidal currents in-179 cluding bottom drag effects, and the implementations of sediment resuspension, temperature-180 dependent remineralization in the water column and sediment, riverine matter fluxes from 181 land including terrestrial organic carbon, and variable sinking speed of aggregated particu-182 late matter. Because of the diversity of these concepts, brief introductions with respect to 183 their relevance for coastal carbon dynamics are provided in the results section 3 to ease the 184 interpretation of the presented results and the understanding of associated added values. 185

Tidal currents are used as implemented by Logemann et al. (2021). The tide module accounts for the full luni-solar tidal potential to provide broad frequency tidal dynamics, including non-linear interactions between partial tides. Effects of loading and self-attraction are neglected in this first version of the module.

Sediment resuspension is implemented as described in Mathis et al. (2019). Critical bed 190 shear stresses are calculated from the mean sediment density and grainsize at every time step. 191 The latter are determined by the local sediment composition and the constant density and 192 grainsize assigned to each particle class. The erosion depth is derived from bottom current 193 velocities inducing overcritical bed shear stress. Here, this has been extended to account 194 for mixing of eroded pore water with the tracer concentrations in the bottom layer of the 195 water column, in addition to the erosion and advection of the solid sediment constituents 196 (detritus, opal, calcium carbonate, and dust). 197

To incorporate a mechanistic representation of the vertical export dynamics of biogeni-198 cally bound carbon and nutrients from the euphotic zone to the interior of the ocean, we 199 adopted a scheme for marine aggregates following Maerz et al. (2020). The formulation 200 explicitly accounts for the influences of size, microstructure, heterogeneous composition, 201 density, and porosity of marine aggregates on their settling velocities and exposure to bio-202 geochemical transformation processes. Ballasting (biogenic and lithogenic) minerals and 203 particulate organic carbon are tied together, yielding common but variable sinking speeds 204 for all aggregate components. 205

206 Water temperature has a non-linear influence on the degradation processes of organic carbon (Yvon-Durocher et al., 2012; Laufkötter et al., 2017; Lønborg et al., 2018) and diatom 207 silica frustules (Hurd, 1972; Dixit et al., 2001; van Cappellen et al., 2002). Together with 208 the explicit representation of marine aggregates, we introduce a consistent temperature 209 dependence for remineralization and dissolution processes of particulate matter. As the 210 aggregated particle compounds in the water column sink with a common settling velocity, 211 they are exposed to a common ambient temperature. Their different degradation length 212 scales, however, interplay in determining e.g. the ballasting and thus the sinking speed 213 (Maerz et al., 2020). In the coastal ocean, this intricate connection between particulate 214 organic carbon and ballasting minerals is especially relevant where deposited matter may 215 become resuspended and transported to distant areas and depths. Also here, we follow 216 Maerz et al. (2020) with a Q10 approach to modify the remineralization rate of detritus and 217 the dissolution rate of opal, and extend this concept to dissolved organic carbon. 218

Temperature-dependent degradation rates were also reported for the upper sediment, derived from in-situ measurements, diagenetic modeling, and laboratory incubation experiments (Arndt et al., 2013; Franzo et al., 2019). Consistent with the Q10 approach in the water column, we extended the temperature-dependence of the degradation of particulate organic matter and opal to the sediment. Here, we use a Q10 value of 2.3 with a reference temperature of 10 °C for detritus (Provoost et al., 2013) and a Q10 value of 2.3 with reference temperature of 20 °C for opal (Kamatani, 1982; Ridgwell et al., 2002).

River mouths are treated as point sources at individual coastal grid cells, incorporating 226 the work by Lacroix et al. (2020) who investigated the influence of riverine matter fluxes on 227 the preindustrial oceanic CO<sub>2</sub> outgassing with the global Earth system model MPI-ESM. 228 Rivers are discharging prescribed fluxes of fresh water, nutrients, organic and inorganic 220 carbon, and alkalinity. The organic carbon fraction includes terrestrial dissolved organic 230 matter (tDOM), a biogeochemical tracer usually not considered by global models to date 231 (Lacroix et al., 2021b). tDOM is more refractory than oceanic organic matter and has a 232 carbon-to-nutrient ratio that is about 20 times higher (Compton et al., 2000; Aarnos et al., 233 2018). The tDOM pool in our model is therefore treated with a C:P mole ratio of 2583:1 234 (Meybeck, 1982; Compton et al., 2000) and a mineralization rate of  $0.003 d^{-1}$  (Fichot & 235 Benner, 2014) at reference temperature of 10 °C. 236

All process extensions compared to the standard configuration of HAMOCC (Mauritsen et al., 2019) were individually evaluated during their original developments for the Earth system model MPI-ESM and can be found in the primary references given above, including descriptions of the mathematical formalisms. Our model experiments with ICON-Coast presented here, thus also represent the first simulations where these processes have been consistently integrated in a common ocean-biogeochemistry component.

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#### 2.3 Regional grid refinement

The other central concept of ICON-Coast, besides the incorporation of shelf-specific processes, is the application of a regionally refined numerical grid. This is done to resolve shelf sea dynamics more properly, while reducing resource demands compared to simulations with a globally uniform high resolution.



Figure 2: Grid configuration used for the high-res simulations with a horizontal mesh spacing ranging from 80 km in the open ocean to 10 km at the coast lines and continental margins. For the low-res simulations, a qualitatively similar configuration has been used with a horizontal spacing that is coarser by a factor of 2, ranging from 160-20 km

Increasing horizontal resolution is assigned locally according to three geometric criteria 248 (Logemann et al., 2021): decreasing distance to the coast, decreasing water depth, and 249 increasing slope of the bottom topography. By combining these criteria we obtain higher 250 resolution in the near-coastal zones as well as the shallow shelves, broadly including the shelf 251 breaks as the transition to the open ocean. Areas of different resolutions are connected by 252 cell bisection and subsequent local spring optimization to assure smooth grid spacing and 253 avoid critically distorted cell geometries. The grid configuration with maximum resolution 254 used in this study is shown in Fig. 2 (high-res; see section 2.4). 255

The grid refinement accounts for a more detailed discretization of topographic features 256 in the coastal ocean, enabling a better representation of the general circulation in shelf and 257 marginal seas. In particular, many ocean-shelf exchange mechanisms such as cross-slope 258 bottom transport, instabilities of frontal boundary currents, or eddy-shelf interaction are 259 strongly influenced by ageostrophic processes which can be significantly better resolved by 260 mesoscale grid resolutions (Karakaş et al., 2006; Oguz et al., 2015; Brink, 2016; Graham 261 et al., 2018b; Thévenin et al., 2019; Combes et al., 2021; Kämpf, 2021). Moreover, an 262 increased grid resolution permits the local development of high horizontal temperature and 263 salinity gradients which enhances the baroclinic components of the general circulation. As 264 all biogeochemical tracers in the model are subject to advection, the better representation of the circulation is vital for improving the simulated biogeochemical state of the coastal 266 ocean. 267

Due to the applied slope criterion, a moderate refinement is also assigned to mid-ocean ridges, seamounts, and submarine banks (Fig. 2). This accounts for a better representation of the abyssal circulation in the open ocean, associated with tidal mixing (Simmons et al., 2004; Dale & Inall, 2015) as well as transport of heat and biogeochemical tracers parallel to the ridge's flanks (Lavelle et al., 2012). Moreover, the capture of bathymetric gaps, such as fracture zones, determines how much deep water can pass between ocean basins and where this exchange occurs (Gille et al., 2004).

The spatial positioning of variables within the numerical grid follows an Arakawa C-grid staggering, with scalar variables at the cell centre and normal components of the velocity vector at cell boundaries. This staggering type is numerically advantageous. For triangular cells, however, it is associated with spurious discontinuities in the divergence field of the horizontal flow (Stuhne & Peltier, 2009; Danilov, 2010). To overcome this problem, the discretization of the primitiv equations of fluid motion is based on a novel technique developed
by Korn (2017), which provides an efficient way to control divergence noise without violating
conservation conditions. The numerical stability of strongly irregular grids as used in our
simulations was demonstrated by Logemann et al. (2021), who conducted comprehensive
test simulations with the core model ICON-O.

#### 2.4 Experiment design

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In this paper, we show results from two ICON-Coast simulations with different horizon-286 tal grid configurations. The first one spans a mesh spacing of 160-20 km (low-res) and has 287 been run in coupled physics-biogeochemistry mode. The resolution of the second configura-288 tion is higher by a factor of 2, spanning a mesh size of 80-10 km (high-res; Fig. 2), and has 289 been run in physics-only mode to assure reasonable simulation progress and computational 290 cost. The advantage of including the high-res simulation, albeit in a light version, is that 291 we can better demonstrate the benefit of a regional grid refinement for the representation 292 of relevant hydrodynamic features in the coastal ocean that provide the background con-293 ditions for the biogeochemical processes. In particular at the upper end of the resolution 294 range (mesh size 10 km), we reach or come close to the first baroclinic radius of deformation 295 in many shelf seas and ocean-shelf transition zones, thus incorporating mesoscale activity 296 more extensively than in the low-res simulation (Hallberg, 2013; Hewitt et al., 2017). Rep-297 resenting the mesoscale explicitly was shown to tangibly improve the simulated mean ocean 298 state as well as the temporal variability (Hewitt et al., 2020). For both grid configurations, 299 the vertical dimension is resolved by 40 layers with a surface layer thickness of  $16 \,\mathrm{m}$ , a 300 layer thickness of 10 m in the remaining upper 100 m of the water column, and increasing 301 thicknesses below. The high surface layer thickness is necessary in this model setup to al-302 low for critical tidal amplitudes and sea ice formation, as a wetting-drying algorithm is not 303 yet included. Internal model time steps are 400s for the low-res and 100s for the high-res 304 setups. 305

The simulations were driven with ERA-Interim reanalysis data (Dee et al., 2011) of 306 6-hourly atmospheric forcing fields for the period 1990-2010. River runoff data are taken 307 from a hindcast reconstruction by the global hydrological discharge model HD (Hagemann 308 & Dümenil-Gates, 2001) for the period 1979-2009 and applied as monthly climatological 309 means. The hindcast was generated by applying the HD model (vs. 1.10) to a simulation of 310 the land surface scheme JSBACH (Ekici et al., 2014) forced by bias corrected ERA-Interim 311 data (Hagemann et al., 2020). Lateral discharge fluxes were calculated globally at 0.5° 312 resolution and comprise about 2000 catchments areas. Riverine inputs of DIP, DIN, DSi, 313 DFe, DIC, Alk, tDOM (terrestrial dissolved organic matter) and POM are derived from 314 Lacroix et al. (2020, 2021b) for about 850 rivers under 1980-2010 conditions (Table 1). In 315 these studies, historical river loads for the period 1905-2010 were reconstructed based on a 316 hierarchy of weathering and terrestrial organic matter export models as well as the global 317 data set NEWS2 (Seitzinger et al., 2010). Non-weathering sources of nutrients, C and Alk 318 from fertilizer, sewage, and allochthonous inputs were also considered. 319

Both simulations, low-res and high-res, were initialized by temperature and salinity 320 fields taken from the 0.25° resolution World Ocean Atlas 2013 data set (Locarnini et al., 321 2013; Zweng et al., 2013) and an ocean at rest. Because of high computational resource 322 demands, we so far have only performed comparatively short simulations of maximum 20 323 consecutive years. The biogeochemical initial state of the low-res run was therefore taken 324 from previous test and calibration runs in order to reduce effects of long-term drift as much 325 as possible. As the process extensions for HAMOCC were done consecutively, we originally 326 started from the biogeochemical state of the year 1979, simulated by the CMIP6 version 327 of MPI-ESM-LR (Mauritsen et al., 2019), and continued until the year 2010 with several 328 repetitions of intermittent periods to adjust new biogeochemical parameters. To apply this 329 strategy, we could not yet account for contemporary increasing atmospheric  $pCO_2$  but used 330

Table 1	: Riv	er inpu	ts for	$_{\rm the}$	period	1981-2010	) used	$_{\rm in}$	the	presented	ICON	-Coast	simulations	s as	derived	by
Lacroix $\epsilon$	et al.	(2020, 2	021b)	, and	conter	nporary o	bserva	tion	- an	d model-b	ased e	stimate	s from litera	atur	e.	

Compounds	ICON- Coast	Contemporary estimates	References
DIP [Tg P yr <sup>-1</sup> ]	1.2	0.8-1.4	Meybeck (1982); Compton et al. (2000); Seitzinger et al. (2010)
DIN [Tg N yr <sup>-1</sup> ]	17.6	12-19	Meybeck (1982); Seitzinger et al. (2010)
DSi [Tg Si yr <sup>-1</sup> ]	328	170-490	Beusen et al. (2009); Dürr et al. (2011); Tréguer & De La Rocha (2013); Tréguer et al. (2021)
$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	370	260-550	Berner et al. (1983); Amiotte Suchet & Probst (1995); Hartmann et al. (2009); M. Li et al. (2017)
DOM [Tg C yr <sup>-1</sup> ]	216	130-240	Meybeck & Vörösmarty (1999); Seitzinger et al. (2010); M. Li et al. (2019)
POM [Tg C yr <sup>-1</sup> ]	115	100-230	Meybeck & Vörösmarty (1999); Seitzinger et al. (2010); Galy et al. (2015)



Figure 3: Global distributions of simulated annual depth-integrated net primary production (a), transfer efficiency of organic carbon to the deep ocean (1000 m, b), bias in surface nitrate concentration (c), and oceanatmosphere  $CO_2$  flux (d), obtained from ICON-Coast with low-res configuration. Positive values in (d) refer to oceanic outgassing.

a constant preindustrial level of 278 ppm. The simulated CO<sub>2</sub> fluxes at the sea surface are thus expected to be biased towards weaker uptake and stronger outgassing compared to observational products of the recent past. The results shown here finally stem from a repetition of the period 2000-2010, where no model parameters have been adjusted further. While being aware of associated limitations, with this approach we aim for a first-order understanding of the added value of the global coastal setup and resulting dynamics therein.

#### 337 **3 Results**

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#### 3.1 Global biogeochemical patterns

Simulated global patterns of net primary production, transfer efficiency, nutrient concentrations (biases), and ocean-atmosphere CO<sub>2</sub> flux are shown in Fig. 3. The general distributions reflect the persistent large-scale features and global patterns known from observational products and global ocean-biogeochemistry models.

High biological productivity in the open ocean is linked to favorable light conditions 343 and continuous or seasonal nutrient supply to the euphotic zone via upwelling or deep 344 mixing. Thus, enhanced primary production is found in the equatorial Pacific, the eastern 345 upwelling areas, and the subpolar gyres, whereas the oligotrophic subtropical gyres are 346 substantially less productive throughout the year (Fig. 3a; Boyd et al., 2014; Kulk et al., 347 2020). In the Arctic Ocean, phytoplankton growth is generally weak due to the sea ice 348 cover and limited light availability (Randelhoff et al., 2020). In the greater Arctic (north 349 of the polar circle), ICON-Coast simulates a mean productivity of  $32 \,\mathrm{g}\,\mathrm{Cm}^{-2}\,\mathrm{yr}^{-1}$ , which 350 is underestimated compared to  $36-39\,\mathrm{g\,C\,m^{-2}\,yr^{-1}}$  estimated from model experiments and 351 remote sensing products by Terhaar et al. (2021) and K. R. Arrigo & van Dijken (2015), 352

respectively. As largest deviations are found in the coastal ocean, the lower productivity 353 might be attributed to terrestrial nutrient supply from coastal erosion, which sustains around 354 20% of Arctic net primary production (Terhaar et al., 2021), but is not yet taken into account 355 in the simulations presented here. The simulated global net primary production amounts 356 to  $49-52 \,\mathrm{Gt}\,\mathrm{C}\,\mathrm{yr}^{-1}$  (min-max during the simulation period) with a positive drift of about 357  $0.09 \,\mathrm{Gt} \,\mathrm{Cyr}^{-1}$  (derived from linear regression). Contemporary observation-based estimates 358 range between  $39-58 \,\mathrm{Gt}\,\mathrm{C}\,\mathrm{yr}^{-1}$  (Buitenhuis et al., 2013; Richardson & Bendtsen, 2019; Kulk 359 et al., 2020) and model results show a wide spread of  $20-80 \,\mathrm{Gt}\,\mathrm{C}\,\mathrm{yr}^{-1}$  (Laufkötter et al., 2015; 360 Séférian et al., 2020). Compared to MPI-ESM, which was run with the standard version of 361 HAMOCC, our simulated large-scale structures in the open ocean basins are rather similar 362 (Fig. A2a). 363

The simulated global export of organic matter out of the euphotic zone is  $8.0 \,\mathrm{Gt}\,\mathrm{C}\,\mathrm{yr}^{-1}$ 364 and is comparable to the particle flux of  $9.1 \,\mathrm{Gt}\,\mathrm{C}\,\mathrm{vr}^{-1}$  derived from data assimilation by 365 DeVries & Weber (2017). The amount of carbon reaching the deep ocean is influenced 366 by the variable sinking speed of aggregated organic and mineral particles. Another criti-367 cal parameter is the temperature dependence of the compound's degradation rates, as it determines the sensitivity of aggregates to extensive biogeochemical transformation. The 369 strong temperature gradients in the upper ocean across latitudes and seasons thus promote 370 spatially and temporally heterogeneous recycling rates and export fluxes, with maximum 371 ranges being observed in the shallow coastal areas (Guidi et al., 2015; Xie et al., 2019). The 372 combination of the aggregate sinking scheme and temperature-dependent degradation pro-373 cesses applied in ICON-Coast has been shown to induce a global shift in the vertical carbon 374 transfer to the deep ocean towards the poles (Maerz et al., 2020), which is also simulated by 375 ICON-Coast (Fig. 3b). In particular the temperature influence promotes shallower reminer-376 alization at low latitudes and deeper remineralization at high latitudes (Laufkötter et al., 377 2017), enabling the reproduction of latitudinal characteristics of the POC transfer efficiency 378 investigated by Weber et al. (2016) and DeVries & Weber (2017). In addition, the transfer 379 efficiency is regionally modulated by low oxygen concentrations, leading in our model to 380 maximum values exceeding 50% in the oxygen minimum zone of the Equatorial Tropical 381 Pacific. The simulated values, however, are generally overestimated, with a minimum of 382 about 10% transfer efficiency in the subtropical gyres and about 30% in high latitudes, 383 compared to 5% and 25% estimated from inverse modeling of phosphate fluxes by Weber et 384 al. (2016), respectively. 385

Surface nutrient concentrations show low biases in most ocean basins compared to World 386 Ocean Atlas 2018 Boyer et al. (2018). A mismatch, though, can be seen in the Southern 387 Ocean with deviations of about  $-14 \text{ mmol N m}^{-3}$ ,  $-0.8 \text{ mmol P m}^{-3}$ , and  $+20 \text{ mmol Si m}^{-3}$ 388 in annual mean nitrate, phosphate and silicate concentrations, respectively (Fig. 3c and 389 Fig. A1). Besides, nitrate concentrations are slightly too high in the Arctic. The spatial 390 structures of these biases are also prominent features of the previous HAMOCC implemen-301 tation in MPI-ESM and have been linked to coarse grid resolution, overestimated vertical 392 velocities, and too low iron limitation (Ilyina et al., 2013). In the Southern Ocean, though, 393 nutrient biases are generally less pronounced in MPI-ESM (Fig. A2b and Müller et al., 2018). 394

Regarding surface  $CO_2$  fluxes, low latitudes are dominated by strong outgassing in 395 particular in upwelling areas, with maximum net fluxes in the equatorial Pacific (Park et 396 al., 2010; Landschützer et al., 2016). Middle and high latitudes, by contrast, function as 397 net sinks for atmospheric CO<sub>2</sub>, governed by surface cooling and high seasonal biological 398 export production. The spatial distribution and zonal averages of simulated fCO<sub>2</sub> (Fig. 3d 399 and Fig. 4) qualitatively capture these latitudinal characteristics, e.g. as derived from field 400 measurements of the recent past (Takahashi et al., 2009; Landschützer et al., 2016; Bushinsky 401 et al., 2019). Deviations lie well within the model spreads of CMIP5/6 (Séférian et al., 402 2020) and the Global Carbon Project (Hauck et al., 2020), with our model showing biases 403 of overestimated outgassing in low latitudes and underestimated outgassing in the Southern 404 Ocean. The global integral amounts to  $0.1-0.2 \,\mathrm{Gt} \,\mathrm{C} \,\mathrm{yr}^{-1}$  outgassing with a negative drift 405



Figure 4: Zonally averaged (blue) and integrated (red) ocean-atmosphere  $CO_2$  flux, simulated with low-res configuration. Positive values refer to oceanic outgassing.

of about  $-0.03 \,\mathrm{Gt}\,\mathrm{C}\,\mathrm{yr}^{-2}$ . Note that the observed contemporary global uptake in the order 406 of  $2 \operatorname{Gt} \operatorname{Cyr}^{-1}$  is not met because we have run ICON-Coast with constant preindustrial 407  $pCO_2$  in the atmosphere (section 2.4), thus approaching equilibrium conditions with net 408 surface CO<sub>2</sub> fluxes driven by river inputs. The oceanic uptake signal due to historical 409 rising atmospheric pCO<sub>2</sub> alone was estimated  $1.7 \,\mathrm{Gt}\,\mathrm{Cyr}^{-1}$  (during 1905-2010) in a model 410 sensitivity experiment by Lacroix et al. (2021b). A more accurate quantification, however, 411 would require consistent transient ICON-Coast simulations initialized by an equilibrated 412 ocean state at preindustrial conditions. 413

In general, the main biogeochemical features of the global open ocean are reasonably well represented, in particular compared to earlier model studies. It is thus worth turning the emphasis to the core of ICON-Coast, the coastal and shelf sea regions, to assess the added value of the presented approach.

#### 418 **3.2** Shelf sea dynamics

The primary motivation behind the development of ICON-Coast is to improve the tra-419 ditional global modeling approach by enabling a better representation of coastal and shelf 420 sea carbon dynamics (Fig. 1). The added value of ICON-Coast, thus, has to be assessed 421 mainly by comparison to conventional ocean-biogeochemical models in capturing the gen-422 eral ranges and orders of magnitue of key biogeochemical parameters in the coastal ocean. 423 We therefore directly compare our results to the global Earth system model MPI-ESM, 424 which used the standard version of HAMOCC (Mauritsen et al., 2019), and verify remain-425 ing biases against available observations and regional modeling studies. In particular, we 426 focus on three temperate coastal regions that share the large influence of tidal currents but 427 differ through their embedding in the large-scale ocean circulation (Fig. 5): the Northwest 428 European Shelf (NWES), the Patagonian Shelf (PS), and the East China Shelf (ECS). The 429 NWES is connected to the eastern boundary current system of the North Atlantic subpolar 430 gyre (SPG). The physical and biogeochemical characteristics of water masses flushing the 431 shelf are strongly influenced by the strength of the SPG and the wintertime mixed layer 432 depth in the Northeast Atlantic (Hátún et al., 2017; Koul et al., 2019). The PS is connected 433 to the Antarctic Circumpolar Circulation (ACC) passing through the Drake Passage, and 434 the northward flowing Malvinas Current (MC) branching off the ACC. Shelf water mass 435 characteristics are modulated by the inflow of Subantarctic water and shelf break upwelling 436 induced by the variability of the MC (Combes & Matano, 2018). The ECS is connected to 437 the western boundary current of the North Pacific subtropical gyre. The water masses of 438 this shelf sea mainly originate from the Kuroshio Current and are strongly influenced by 430 the strength of the Yellow Sea Warm Current branching from the Kuroshio Current during 440



Figure 5: Model bathymetry of the Northwest European Shelf (a), Patagonian Shelf (b) and East China Shelf (c). Isobaths correspond to water depths of 30, 50, 100, 200, 500, 1000, 2000, and 3000 m.

boreal winter (Yuan et al., 2008; Lie & Cho, 2016). All three shelf regions are known to 441 be net sinks for atmospheric CO<sub>2</sub> under present-day climatic and environmental conditions, 442 driven by high biological carbon sequestration and an efficient export of respiratory  $CO_2$ 443 to the adjacent deep ocean (e.g. Becker et al., 2021; Kahl et al., 2017; Jiao et al., 2018). 444 Moreover, they are subject to a strong seasonality of both the atmospheric forcing and the 445 response of the physical and biogeochemical conditions in the ocean, and were extensively 446 investigated by observational and regional modeling studies. These shelf areas thus serve as 447 pivotal regions to test and evaluate our new model implementations. 448

In general, we show results of biogeochemical parameters from the low-res simulation 449 but physical parameters from the high-res simulation (see section 2.4). This is done to best 450 emphasize the potentials of ICON-Coast in regional high-resolution modeling at the global 451 scale, as well as to demonstrate the ability of the model to simulate key processes of marine 452 coastal carbon dynamics. Differences between high-res and low-res physics are discussed in 453 section 4. The following examples given for the three focus regions are monthly, seasonal, 454 or annual means over the last 5 years of our simulations, that is 2006-2010. These results 455 are opposed to the ensemble mean over 10 realizations of the same period simulated by the 456 Earth system model MPI-ESM (Mauritsen et al., 2019) in low-resolution version (LR) as 457 it contributed to CMIP6. This model has a nominal mesh size in the ocean of  $1.4^{\circ}$  and 458

thus a resolution which is comparable to the coarsest parts in the open ocean of the low-res ICON-Coast grid. Furthermore, MPI-ESM hosts the standard version of HAMOCC prior to the process extensions made here. In this comparison, we thus demonstrate the added value gained from a model extension towards a process-oriented and integrative representation of the coastal ocean. Nevertheless, MPI-ESM has also been run at a higher horizontal resolution with a mesh size of 0.4° (HR, Müller et al., 2018). For the evaluation of the coastal circulation and transport rates, we therefore also compare to results from MPI-ESM-HR.

466

#### 3.2.1 General circulation

The general circulation of shelf seas governs the advective export of sequestered carbon 467 from the coastal to the open ocean as well as the import of nutrient-rich water masses from 468 deeper levels via shelf break upwelling and vertical mixing (Fig. 1 index 1; Painter et al., 469 2016; Legge et al., 2020; Luisetti et al., 2020). The circulation in the proximal coastal zone 470 determines the distribution of river discharge and nutrient loadings in the inner shelf areas, 471 as the position of river plumes is typically more sensitive to the wind direction than to the 472 river outflow variability (Pimenta et al., 2005; Kastner et al., 2018; Kerimoglu et al., 2020). 473 The strength and structure of the general circulation therefore sensitively influences the 474 residence times of imported water masses on the shelves, and hence the local physical and 475 biogeochemical water mass characteristics (Pätsch et al., 2017; X. Liu et al., 2019; Lacroix 476 et al., 2021a). A proper representation of the general circulation is thus key for investigating 477 coastal carbon dynamics and constraining budget uncertainties. 478

On the NWES, the simulated mean circulation shows all characteristic features of the 479 well-studied North Sea circulation (Fig. 6a). The pathways of the Fair-Isle Current, East-Shetland Flow, the inflow along the western side of the Norwegian Trench which recirculates 481 in the Skagerrak and leaves the North Sea via the Norwegian Coastal Current, the Dooley 482 Current, and the weak cyclonic circulation in the southern North Sea (Holt & Proctor, 483 2008; Sündermann & Pohlmann, 2011) can be well identified. The irregularities in the 484 south-western area are probably related to influences of interannual variability in the inter-485 gyre region on the shown 5-yr mean. Transport rates of prominent North Sea sections are 486 evaluated in Table 2. In the shown high-res configuration, the net transport through the 487 North Sea simulated by ICON-Coast varies between 1.6-1.8 Sv and lies within the range of 0.9-2.3 Sv found in the literature (Mathis et al., 2013; Quante et al., 2016; Pätsch et al., 489 2017). In the low-res version, the circulation pattern is rather similar (Fig. A4), while in 490 MPI-ESM the structure of the circulation is underrepresented and the transports through 491 several sections are too weak (Fig. A5 and Table 2). 492

The circulation on the PS is more homogeneous than on the NWES (Fig. 6b). Part 493 of the Cape Horn Current turns onto the shelf between the South American mainland and 494 the Falkland Islands and generally flows northward to meet the La Plata river plume and 495 the Brazil Malvinas Confluence (Combes & Matano, 2018). The inflow of the Cape Horn 496 Current to the shelf is about 2.5 Sv simulated by ICON-Coast and has been quantified by 497 a high-resolution regional model study to about 1.7 Sv (Guihou et al., 2020). This is a 498 reasonable agreement, assuming similar variability and uncertainty ranges as for the well-490 studied NWES. Also here, MPI-ESM shows distinctly lower transport rates of 1.1 Sv in LR 500 (Fig. 6d) and 0.6 Sv in HR. 501

On the ECS, distinct seasonal circulation patterns are driven by the characteristic 502 monsoon wind regimes. In winter, the Yellow Sea Warm Current branches from the Kuroshio 503 Current and flows northward into the Bohai Sea (R. Wu et al., 2016). This ECS inflow is 504 balanced by the southward flowing Korean and Chinese coastal currents. In summer, the 505 whole pattern changes into a cyclonic recirculation through the entire Yellow Sea and Bohai 506 Sea (Zhu et al., 2015). ICON-Coast is able to capture the main features of this marked 507 seasonality with great detail (Fig. 7). We can even identify the anticyclonic circulation in 508 the northern part of the Bohai Sea in winter and its cyclonic turn in summer (Yang et al., 509



Figure 6: Annual mean barotropic stream function on the Northwest European Shelf (a,c) and Patagonian Shelf (b,d), simulated with ICON-Coast high-res configuration (a,b) and MPI-ESM-LR (c,d). Increments of shown streamlines are 0.2 Sv for (a,c) and 0.5 Sv for (b,d). Hexagonal structures in (a,b) emerge from the calculation and mapping of net volume fluxes through the triangular grid cells.

**Table 2:** Volume transports  $(10^6 \text{ m}^3 \text{ s}^{-1})$  through selected transects in the North Sea simulated by ICON-Coast, MPI-ESM as well as estimates from observational products and regional model systems presented in Pätsch et al. (2017), Mathis et al. (2013) and references therein. Identifiers in brackets refer to the section descriptions used in Pätsch et al. (2017). Missing values for the Skagerrak recirculation in MPI-ESM indicate that this circulation feature is not captured by the model.

North Sea section	ICON-Coast low-res/high-res	MPI-ESM LR/HR	Observ.	Reg. model spread
Fair-Isle inflow (S1)	0.5/0.3	0.2/0.4	0.3-0.7	0.4-0.6
Inflow at 60°N	0.8/0.7	0.8/0.4	0.6-1.5	0.2-1.1
Outflow at 60°N	1.6/1.4	1.0/0.9	1.0-2.3	0.4-1.3
Skagerrak recirculation (S10)	0.6/0.8	-/-	0.5-1.5	0.4-1.7
Jutland Current (S8)	0.28/0.20	0.33/0.04	-	0.19-0.33
English Channel (S6)	0.11/0.18	0.01/0.10	0.06-0.17	0.01-0.15



Figure 7: Depth-averaged current velocities on the East China Shelf for winter (left) and summer (right), simulated with high-res configuration.

2019). The throughflow of the Taiwan and Tsushima Straits act as the origination and 510 destination of the mean ECS circulation, respectively (Z. Liu, Gan, et al., 2021). The mean 511 transport rate in the Taiwan Strait is simulated 1.3 Sv, with observations ranging between 512 1.3-2.0 Sv and a regional modeling spread of 0.4-2.3 Sv (J. Hu et al., 2010; H. W. Chen et 513 al., 2016; Z. Liu, Gan, et al., 2021). The main outflow through the Tsushima Strait into the 514 Sea of Japan seems underestimated, with a simulated transport of 1.8 Sv and observations of 515 about 2.6 Sv (Z. Liu, Gan, et al., 2021). The region of coastal water diluted by the Yangtze 516 river (salinity less than 28) mainly spreads eastward and northward into the East China 517 and Yellow Seas with a maxium extension to about 123°E and 35°N in summer, in good 518 agreement with 124°E and 35°N during Jul/Aug 1998-2010 derived from remote sensing by 519 Bai et al. (2014). For the ECS we abstain from a correlate of Fig. 7, as in MPI-ESM-LR the 520 entire region is covered by only a dozen grid cells (see e.g. Fig. 9). In MPI-ESM-HR, the 521 ECS is resolved with a mesh spacing of about 45 km (larger than ICON-Coast by a factor 522 of 2.2 for low-res and 4.5 for high-res), which is still too coarse to capture the circulation 523 features shown in Fig. 7. 524

#### 3.2.2 Tidal waves

525

The most energetic flows in the coastal ocean are generated by tidal waves, with maximum current speeds exceeding 60 cm/s twice a day (Poulain & Centurioni, 2015). The interaction with the topography in shallow areas induces energy dissipation via bottom friction and leads to high bed shear stresses and turbulent mixing in the water column (Fig. 1 index 2; Wilson & Heath, 2019). These effects are known to play an important role in the coastal nutrient and carbon dynamics (Cadier et al., 2017; Zhao et al., 2019).

In our model, tidal waves are calculated from the full luni-solar tidal potential. As shown by Logemann et al. (2021), who have run variable-resolution grids with ICON-O, the simulated amphidromic patterns as well as tidal amplitudes for both the open ocean and coastal areas generally agree with tidal charts derived from gauge measurements and satellite altimetry data (e.g. Egbert & Erofeeva, 2002). Here, we exemplify simulated M2



**Figure 8:** Tidal amplitudes of the semi-diurnal component M2 on the Northwest European Shelf (a), the Patagonian Shelf (b), and East China Shelf (c), simulated with high-res configuration. Isobaths illustrate the shelf break at water depths of 200-500 m.

amplitudes for the three shelf seas under consideration (Fig. 8) and elaborate more on the effects of tide-induced currents related to carbon dynamics in the following sections. A comparison with MPI-ESM cannot be provided here as this model was not run with tides.

ICON-Coast is able to reproduce complex tidal systems in the coastal ocean, as those of 540 the NWES and ECS (Fig. 8). The positions of the amphidromic points of the M2 constituent 541 are well captured. On the NWES, tidal amplitudes reach around 1.5 m in the German Bight 542 area, between 1.5-2 m along the British North Sea coast and maximum heights exceeding 543 3 m in the English Channel and Celtic Sea (e.g. Reynaud & Dalrymple, 2012). On the ECS, 544 pronounced sea surface elevations of up to 2 m in the Taiwan Strait and along the Korean 545 coast are realistically simulated (e.g. H. Wu et al., 2018), as well as the low amplitudes in the 546 Bohai Sea which do not exceed 0.5 m. The amphidromic pattern of the PS is well captured 547 likewise. Amplitudes, however, are simulated too high by a factor of about 1.5 compared to 548 satellite altimetry data (Birol et al., 2017) and regional tidal modeling (Ke & Yankovsky, 549 2010; Carless et al., 2016), with simulated maximum values of 6 m in the southern PS. 550

#### 551 3.2.3 Seasonal stratification

On temperate shelves, tidal mixing is able to break the summer stratification and 552 in many shallow areas the water column stays vertically mixed throughout the year (van 553 Leeuwen et al., 2015). In deeper areas, the characteristic seasonal stratification prevents 554 respiratory  $CO_2$  below the pychocline to exchange with the atmosphere (Thomas et al., 555 2004; Bianchi et al., 2005; Rippeth et al., 2014). Sharp changes in ocean-atmosphere  $\Delta pCO_2$ 556 of up to 150 ppm across tidal fronts are often observed (Bianchi et al., 2005). The strength of 557 the stratification as well as its spatial extension and timing in the year thus are key elements 558 of the shelf carbon pump, promoting net horizontal carbon export to the deep open ocean. 559

Conventional global ocean models are typically run without tides (Taylor et al., 2012; Eyring et al., 2016). Tidal waves mainly transport energy but very little mass (Toffoli & Bitner-Gregersen, 2017), and in the open ocean the local net effects of tides are negligibly small for most applications. Hence, tides are usually omitted in global simulations to save resources. As a consequence, the simulated summer stratification on temperate shelves is too strong and its spatial extension too large, covering also the shallow areas otherwise subject to strong tidal mixing (Fig. 9d-f; Holt et al., 2017; Mathis et al., 2018).

The strength of the seasonal stratification as simulated by ICON-Coast (Fig. 9a-c) is in 567 good agreement with regional high-resolution model studies (Graham et al., 2018b; Guihou et al., 2018) as well as observation-based estimates of the position of the tidal front (Bianchi 569 et al., 2005; Yao et al., 2012; Kahl et al., 2017). For the North Sea, Pätsch et al. (2017) 570 provide a comparison of the potential energy anomaly (PEA) between observations and 571 state-of-the-art regional model systems for August of the period 1998-2009. The PEA 572 quantifies the amount of energy required to vertically mix the entire water column, and hence 573 is often used to evaluate vertical density distributions. The PEA of August calculated for 574 ICON-Coast well reflects the main characteristics given in Pätsch et al. (2017), with values 575 of  $100-200 \,\mathrm{J\,m^{-3}}$  in the stratified areas of the central and northern North Sea, maxima 576 exceeding  $500 \,\mathrm{J}\,\mathrm{m}^{-3}$  in the Norwegian Trench,  $10-50 \,\mathrm{J}\,\mathrm{m}^{-3}$  in most parts of the weakly 577 stratified southern North Sea, and minima below  $1 \,\mathrm{J\,m^{-3}}$  in the Southern Bight. On the 578 PS, the stratification seems too weak on the southern shelf compared to Kahl et al. (2017) 579 but fits better with the pattern derived by Bianchi et al. (2005). Both studies analyze 580 observational data of 5-7 year periods prior to our analysis period 2006-2010. Nevertheless, 581 the transition from stratified to vertically mixed conditions is mainly determined by the 582 local tidal current speed, the water depth, and the thermal forcing depending on the time 583 of the year. The positions of tidal fronts are therefore rather stable with low interannual 584 variability (E. A. Acha et al., 2004; Holt & Proctor, 2008). The rather weak stratification on 585 the southern PS thus can be attributed to the overestimated tidal currents (section 3.2.2). 586 In the ECS, the summer stratification is exceptionally strong due to cold water transported 587 by the Yellow Sea Warm Current to the central ECS in winter (Z. Liu, Gan, et al., 2021). 588

Nevertheless, local features like intermittent stratification in shallow coastal areas (van 589 Leeuwen et al., 2015) or a distinct haline stratification in river plumes might not be captured 590 adequately due to the relatively coarse vertical resolution with layer thicknesses of 10 m in 591 the upper  $100 \,\mathrm{m}$  and  $16 \,\mathrm{m}$  in the surface layer (section 2.4). Simulated maximum vertical 592 salinity gradients in the vicinity of large rivers, such as the Yangtze on the ECS and the 593 La Plata north of the PS, reach about  $0.25 \,\mathrm{m}^{-1}$ , in contrast to observations and high-594 resolution regional modeling studies, reporting values of  $0.25 \cdot 1.0 \,\mathrm{m^{-1}}$  in the first  $10 \,\mathrm{m}$  of the water column (e.g. M. Acha et al., 2008; Z. X. Zhou et al., 2019; Z. Liu, Zhang, et 596 al., 2021). In more open shelf areas with a pronounced summer thermocline, the vertical 597 resolution used here has been shown to be sufficient to capture the vertical structure of the 598 599 water column relevant for coastal biogeochemistry modeling (Pätsch et al., 2017). In the northern North Sea, for instance, maximum vertical temperature gradients are simulated 600  $0.4 \,^{\circ}\mathrm{Cm}^{-1}$  by ICON-Coast, which is well comparable to the state-of-the-art regional models 601 evaluated in Pätsch et al. (2017) with layer thicknesses ranging between 0.4-5.0 m. 602



**Figure 9:** Strength of summer stratification (maximum vertical density gradient) on the Northwest European Shelf (a,d), Patagonian Shelf (b,e) and East China Shelf (c,f), simulated with ICON-Coast high-res configuration (a-c) and MPI-ESM (d-f). Isobaths illustrate the shelf break at water depths of 200-500 m.

#### 603 3.2.4 Sediment resuspension

Another important effect of tidal currents is their contribution to the strong benthic-604 pelagic coupling of temperate shelves (Fig. 1 index 3). Elevated flow speeds near the bottom 605 are known to induce critical bed shear stresses that lead to resuspension of deposited par-606 ticulate matter (Wilson & Heath, 2019). Areas with strong tidal currents thus typically 607 have very low carbon stocks in the sediment (< 1% TOC dry weight in the upper 10 cm) 608 and essentially net zero accumulation rates (Legge et al., 2020; Diesing et al., 2021). As a 609 consequence, such areas do not function as significant long-term carbon storage. The resus-610 pension of settled organic material and nutrient-rich pore water from sediments back to the 611 water column, though, delivers nutrients for pelagic organisms (F. Liu et al., 2014). This 612 mechanism contributes to the high biological productivity and CO<sub>2</sub> uptake in tidally mixed 613 areas of temperate shelves in summer. The enhanced turbidity due to resuspended particu-614 late matter, however, also reduces irradiance and thus can negatively affect phytoplankton 615 growth (Loebl et al., 2009; Su et al., 2015; Zhao et al., 2019). 616

In ICON-Coast, we have implemented a sediment resuspension scheme following Mathis 617 et al. (2019). Critical bed shear stresses and the fraction of deposited material that is 618 eroded are inferred from the near-bottom flow speed and the mean density and grainsize of 619 the sediment composition. This dynamical approach enables the simulation of the seasonal 620 cycle of sediment stability and wind-induced resuspension. For our developments, we have 621 initialized the sediment from one of the historical simulations by MPI-ESM used here for 622 direct comparison. This model, however, did not account for resuspension processes and 623 therefore maintained a largely uniform distribution of highly overloaded carbon contents in 624 coastal sediments, exceeding 20% TOC dry weight (Fig. 10d-f). 625

During the first decades simulated by ICON-Coast, much of the deposited carbon gets 626 eroded from the sediment and remineralized in the water column (Fig. 10a-c). As we started 627 the model development by implementing the resuspension scheme, the sediment distribution 628 shown from ICON-Coast results from an integration time of about 40 years in total (see 629 section 2.4). The patterns of low carbon content (< 1% TOC dry weight) on the NWES are 630 generally in line with measured distributions shown in Legge et al. (2020) and tide-induced 631 high bed shear stresses reported by Wilson & Heath (2019). On the PS, the simulated 632 carbon content reflects the observed sediment composition given in Violante et al. (2014). 633 Over large PS areas, the sediment is dominated by sands and gravels, associated with low 634 carbon concentrations (Diesing et al., 2017). Muddy sediments with high carbon concen-635 trations are found along the shelf break and in the coastal bays between 39-48°S. Similarly 636 in ICON-Coast, the shelf break as well as the coastal bays on the PS are less affected by 637 resuspension and hence keep elevated carbon fractions in the sediment. Maximum simu-638 lated concentrations in these accumulation areas reach up to  $220 \,\mathrm{kg \, C \, m^{-3}}$  in the uppermost 639 sediment layers. L. M. Hu et al. (2011) and Yang et al. (2014) provide identifications of mud 640 deposition centers on the ECS based on sediment core sampling. As indicated in Fig. 10c, 641 ICON-Coast is able to capture the large deposition area in the center of the Yellow Sea as 642 well as the higher carbon contents in the Bohai Sea. 643

In deeper shelf areas, bed shear stresses are generally weaker and critical values are 644 rather caused by wind events (e.g. Wilson & Heath, 2019). Accordingly, net erosion rates are 645 lower and the adjustment of the simulated sediment state takes more time. This is reflected 646 by a longer drift in the carbon content for instance in the north-eastern part of the North Sea 647 (Fig. 10a) and the outer shelf areas of the ECS (Fig. 10c). In these regions, relative organic 648 carbon concentrations are still higher than in observations by a factor of about 5. Similarly, 649 POC burial rates in the southern and western North Sea are less than  $2 \,\mathrm{g}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$ , and 650 vary around  $40-70 \,\mathrm{g}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$  in the Norwegian Trench, which is comparable to the rates 651 derived from sediment cores, ranging from 0.02 to  $66.18 \,\mathrm{g \, C \, m^{-2} \, yr^{-1}}$  (Diesing et al., 2021). 652 In the central and northeastern parts of the North Sea, where the sediment is still overloaded 653 in our experiments, burial rates are simulated about  $10-30 \,\mathrm{g \, C \, m^{-2} \, yr^{-1}}$ , in contrast to less 654 than  $5 \,\mathrm{g}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$  found by Diesing et al. (2021). The model drift in global carbon burial 655

rates are shown in Fig. 11. At the end of the presented low-res simulation, burial rates of particulate organic and inorganic carbon on the shelves (0-500 m depth) amount to 0.62 and 0.15 Gt C yr<sup>-1</sup>, respectively. The POC burial rate, however, seems overestimated compared to observation-based upscalings, which are not well constrained, though, ranging between 0.04 and 0.3 Gt C yr<sup>-1</sup> (Duarte et al., 2005; Burdige, 2007). The relative contribution of 75% simulated global POC burial occurring on the shelves is similar to about 80% estimated by Burdige (2007) and Bauer et al. (2013).

663

#### 3.2.5 Sinking of marine aggregates

As another process extension of ICON-Coast, we have included an aggregate sinking 664 scheme for particulate matter in the water column, following Maerz et al. (2020). Sinking 665 organic and inorganic particles in the ocean tend to stick together by physical aggregation 666 and form particulate assemblages known as marine aggregates. The variable buoyancy of 667 marine aggregates, determined by their size and density, is associated with variable set-668 tling velocities that affect the vertical export of sequestered carbon out of the biologically 669 productive euphotic zone (Fig. 1 index 4; Francois et al., 2002). This mechanism crucially 670 contributes to the drawdown of atmospheric CO<sub>2</sub>, as any resulting imbalance in sea wa-671 ter  $pCO_2$  near the ocean surface induces  $CO_2$  gas exchange with the atmosphere (Volk & 672 Hoffert, 1985; Kwon et al., 2009). 673

Global models usually parameterize the attenuation of vertical POC fluxes through an 674 empirical fit to observations (Gloege et al., 2017). Power law parameterizations or expo-675 nential decay rates are most widely used. Such approaches, however, lack a mechanistic 676 understanding and are aligned to present-day relations between primary production and 677 remineralization processes. The sinking scheme of ICON-Coast explicitly represents the 678 main structural and compositional characteristics of marine aggregates, and ties ballasting 679 mineral and POC fluxes together. In this way, the model is able to capture main seasonal 680 characteristics of marine aggregates in middle and high latitudes (Fig. 12; Fettweis et al., 681 2014; Maerz et al., 2016; Schartau et al., 2019). In winter, marine primary production is 682 weak and thus little organic carbon is available to assemble large aggregates. The composi-683 tion, therefore, is dominated by high-density mineral components, leading to comparatively 684 small aggregate sizes and high sinking speeds (Fig. 12a). During summer, high productivity 685 delivers organic carbon to form biogenic aggregates of larger sizes but lower excess densi-686 ties, and thus reduced sinking speeds (Fig. 12b). In the open ocean and stratified shelf areas 687 (Fig. 12 north of 54°N), the carbon content gets remineralized while the aggregates sink 688 to deeper levels, and accordingly the aggregates decompose, become more compacted and 689 achieve higher settling velocities. In tidally mixed areas (Fig. 12 south of 54°N), by contrast, 690 sediment resuspension prevents mineral components such as plankton shells and terrestrial 691 dust to become deposited (Babin & Stramski, 2004; Vantrepotte et al., 2012). The aggregates therefore accommodate larger fractions of mineral components, keeping sizes smaller 693 and sinking speeds higher throughout the year. It is worth mentioning that all simulated 694 seasonal aspects of aggregate composition, size and sinking speed emerge from the internal 695 model formulation without prescribing any element of seasonality. 696

Another factor controlling the turnover rates of organic carbon in the coastal ocean 697 is the age of organic material settled to the sediment. Fresh, dead material in sediments 698 of shallow areas is generally more attractive as source of carbon and energy for benthic 699 organisms than older, more refractory material typically found in deeper areas (Arndt et 700 al., 2013; O'Meara et al., 2018). The heterotrophic recycling of carbon and nutrients is thus 701 accelerated in sediments of shallow areas, potentially stimulating high biological productivity 702 by the resupply of nutrients to otherwise depleted surface waters (Fig. 1 indexes 3 and 703 5). As our model does not incorporate metabolic reworking of organic matter by benthic communities, we approximate this age effect by a modification of the remineralization rate 705 constant of detritus deposited at water depths of up to 500 m, assigning linearly decreasing 706 values with increasing depth from 0.06 to  $0.013 \,\mathrm{d}^{-1}$  at a reference temperature of 10 °C. 707



Figure 10: Dry weight of organic carbon in the upper 10 cm of the sediment on the Northwest European Shelf (a,d), Patagonian Shelf (b,e) and East China Shelf (c,f), simulated with ICON-Coast low-res configuration (a-c) and MPI-ESM (d-f). Isobaths illustrate the shelf break at water depths of 200-500 m.



Figure 11: Time series of annual sediment burial rates of particulate organic (blue) and inorganic (red) carbon, simulated by the low-res configuration. Solid lines: global average; dashed lines: coastal ocean (0-500 m depth).



Figure 12: Maximum diameter (left) and mean sinking speed (right) of marine aggregates in winter (a) and summer (b) along a meridional transect through the North Sea at  $2.5^{\circ}$ E, simulated with low-res configuration.

These values are aligned to the range investigated by Lacroix et al. (2021a), though a more mechanistic parameterization including bioturbation in the upper sediment, as e.g. proposed by Stolpovsky et al. (2015) or Zhang & Wirtz (2017), would be a further improvement.

#### 3.2.6 River inputs

711

The importance of riverine carbon, alkalinity, and nutrient inputs for addressing re-712 gional carbon dynamics at the global scale was recently highlighted by Hauck et al. (2020) 713 and Lacroix et al. (2020, 2021b). In conventional global biogeochemistry models, net par-714 ticulate export fluxes to the sediment would violate the conservation of global budgets and 715 induce long-term inventory drift as well as artificial gas exchange with the atmosphere. 716 Burial losses are therefore typically balanced by instantaneous remineralization and diffu-717 sive resupply to the water column (Najjar et al., 2007) or by prescribed uniform weathering 718 fluxes at the sea surface (Ilyina et al., 2013). In ICON-Coast, weathering fluxes and an-719 thropogenic nutrient loadings are provided by spatially explicit river inputs (Fig. 1 index 720 6). This approach accounts for the influences of matter fluxes from land on the coastal 721 carbon dynamics and allows to integrate regional, inter-compartmental fluxes as well as im-722 balances in global inventories under different environmental conditions and human activities 723 (Tamburini & Föllmi, 2009; Wallmann, 2010; Beusen et al., 2016). 724

Rivers are responsible for the largest export of tDOM to the ocean with an annual flux 725 of about  $200 \,\mathrm{Tg}\,\mathrm{C}\,\mathrm{yr}^{-1}$  (Bauer et al., 2013; Kandasamy & Nath, 2016), thus significantly 726 increasing the  $pCO_2$  of the coastal ocean (Lacroix et al., 2020). In our simulations, about 727 50% of the global terrestrial carbon input is decomposed in the coastal ocean (water depth 728 < 200 m), lying well within the estimated range of 35-55% given in the literature (Fichot & 729 Benner, 2014; Kaiser et al., 2017; Aarnos et al., 2018). In the broad shelf seas considered 730 here, decomposition proportions are higher due to longer residence times of near-coastal 731 waters (Lacroix et al., 2021a), with simulated values of 58% (of  $2.1 \,\mathrm{Tg}\,\mathrm{C}\,\mathrm{yr}^{-1}$ ) on the NWES, 732 67% (of  $0.8 \,\mathrm{Tg}\,\mathrm{C}\,\mathrm{yr}^{-1}$ ) on the PS, and 85% (of  $6.4 \,\mathrm{Tg}\,\mathrm{C}\,\mathrm{yr}^{-1}$ ) on the ECS. Other riverine 733 substances directly affecting the surface  $CO_2$  flux are the loadings of alkalinity and dissolved 734 inorganic carbon. As these rarely deviate from each other by more than 10% (Araujo et al., 735 2014; Middelburg et al., 2020), we use a mole ratio of 1:1 following Lacroix et al. (2020), 736 which leads to a further increase in near-coastal  $pCO_2$ . 737

#### 738

#### 3.2.7 Primary production

A characteristic feature of many shelf seas is their exceptionally high biological produc-739 tivity, which is one of the most essential drivers to lower  $pCO_2$  in coastal surface waters of 740 middle latitudes and foster CO<sub>2</sub> ingassing (Muller-Karger et al., 2005; Gattuso et al., 1998). 741 Key processes mediating enhanced phytoplankton growth are: import of nutrient-rich water 742 masses from the adjacent open ocean, additional continuous nutrient supply via river loads, 743 fast internal nutrient recycling, and often strong tidal mixing, which prevents deposition of 744 biologically bound nutrients in the sediment (Dai et al., 2013; Cao et al., 2020). In addi-745 tion to river loads from land, we prescribe atmospheric dust (Fe) and nitrogen deposition 746 following Mauritsen et al. (2019), which provides another source of inorganic nutrients for 747 marine primary production. 748

The simulated annual net primary production on the NWES (Fig. 13a) well captures 749 the high phytoplankton growth rates in the near-coastal zones around the British Islands 750 and along the continental coast of the southern North Sea, as well as the strong gradi-751 ents to the open shelf areas of the central and northern North Sea (Moll, 1998; Provoost 752 et al., 2010; Holt et al., 2012, 2016; Williams et al., 2013). Similarly, the seasonal cycle 753 averaged over the southern and northern North Sea (Fig. 14), separated by the 50 m iso-754 bath, well reflects the spring bloom and summer growth seasons (compare to Moll, 1998; 755 Lemmen, 2018). Simulated annual primary production of the entire North Sea is about 756  $160 \,\mathrm{g}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$ , falling within the range of  $100-230 \,\mathrm{g}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$  given in the cited ob-757

servational and regional model studies. Satellite-derived primary production is shown in 758 Fig. A3a,b, with an estimated North Sea productivity of about  $150-160 \,\mathrm{g \, C \, m^{-2} \, yr^{-1}}$ . These 759 estimates, however, are sensitively dependent on the utilized satellite data and NPP al-760 gorithms (Campbell et al., 2002; Carr et al., 2006), e.g. varying by a factor of 2-4 in 761 coastal primary production among the products provided by the Ocean Productivity service 762 (http://sites.science.oregonstate.edu/ocean.productivity/index.php). Maximum simulated 763 annual productivity in the southern North Sea is about  $330 \,\mathrm{g \, C \, m^{-2} \, yr^{-1}}$ , compared to 270-764  $380 \,\mathrm{g}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$  measured by Capuzzo et al. (2018), and the simulated spring bloom peaks 765 at  $580 \,\mathrm{g}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$  in the Southern Bight, which is associated with a considerable observa-766 tional range of 180-730 g  $\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$  reported in Moll (1998). Locally reduced phytoplankton 767 growth measured close to the continental coast (e.g. Capuzzo et al., 2018), however, is not 768 captured by ICON-Coast, as the impact of suspended particulate matter on light conditions 769 is not yet implemented. 770

The PS is another highly productive shelf sea with an annual net primary production 771 of about  $350 \,\mathrm{g \, C \, m^{-2} \, yr^{-1}}$  according to measurements by Gonçalves-Araujo et al. (2016); 772 Piola et al. (2018), and  $180-210 \,\mathrm{g \, C \, m^{-2} \, yr^{-1}}$  derived by satellite products (Fig. A3c,d). A 773 comparably high phytoplankton growth of  $240 \,\mathrm{g \, C \, m^{-2} \, yr^{-1}}$  is simulated by ICON-Coast 774 (Fig. 13b). In observations, a persistent local maximum of Chl-a concentrations is found 775 along the northern part of the PS shelf break, caused by shelf break upwelling of the north-776 ward flowing Malvinas Current (Carreto et al., 2016; Franco et al., 2017). In the low-res 777 simulations, elements of enhanced primary production along the shelf break are also in-778 dicated, in spite of slope currents and upwelling transports being underestimated due to 779 unresolved mesoscale processes. 780

On the ECS, the productivity in the near-coastal zone is strongly influenced by riverine 781 nutrient loads (Fig. 13c), similar to the NWES. In observational products as well as in our 782 simulations, local maxima in net primary production of up to  $700 \,\mathrm{g \, C \, m^{-2} \, yr^{-1}}$  are found in 783 the river plumes of the Yangtze and Yellow Rivers, discharging at the Chinese coasts of the 784 Yellow Sea and Bohai Sea, respectively (Tan & Shi, 2006). Also the seasonal cycle with two 785 pronounced phytoplankton blooms in spring and late summer is captured by ICON-Coast 786 (not shown), with a spring bloom though understimated by about 20% compared to G. Li 787 et al. (2004); Tan & Shi (2012) and Luo (2014). Annual productivity is simulated about 788  $250 \,\mathrm{g \, C \, m^{-2} \, yr^{-1}}$ , compared to  $180-360 \,\mathrm{g \, C \, m^{-2} \, yr^{-1}}$  by the two satellite products shown in 789 Fig. A3e,f. 790

In conventional global biogeochemistry models, missing factors sustaining enhanced coastal primary production, such as river inputs, sediment resuspension and often the influence of temperature on particulate matter decomposition, lead to substantially underestimated primary production in shelf and marginal seas (Fig. 13d-f). Regions are less biased where import of nutrient-rich water masses from the open ocean are the main source of nutrients, as e.g. on the PS (Fig. 13e).

#### 3.2.8 Surface $CO_2$ flux

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In temperate shelf seas, the high biological productivity and export of dissolved inor-798 ganic carbon is typically associated with a net heterotrophic state and  $CO_2$  uptake from 799 the atmosphere (Fig. 1 index 7; Kühn et al., 2010; Becker et al., 2021; Tseng et al., 2011). 800 In the near-coastal zone, river loads play an important role for the air-sea gas exchange at 801 the global scale, as a substantial amount of the  $CO_2$  uptake is caused by biological con-802 sumption of riverine inorganic nutrients and the resulting alkalinity production (Hauck et 803 al., 2020; Lacroix et al., 2020). Moreover, the mixing of high-pCO<sub>2</sub> river runoff with low-804  $pCO_2$  sea water has been found to induce strong  $CO_2$  uptake in brackish waters of several 805 large river plumes across latitudes, such as the Yangtze and Mississippi plumes (Tseng et 806 al., 2011; Huang et al., 2015; Kealoha et al., 2020). In most high- and low-latitude coastal 807 regions, the temperature effect on the  $CO_2$  solubility of sea water exceeds the biological 808



Figure 13: Annual depth-integrated net primary production on the Northwest European Shelf (a,d), Patagonian Shelf (b,e) and East China Shelf (c,f), simulated with ICON-Coast low-res configuration (a-c) and MPI-ESM (d-f). Isobaths illustrate the shelf break at water depths of 200-500 m.



Figure 14: Seasonal cycle in the northern and southern North Sea, simulated with low-res configuration.



Figure 15: Zonally averaged ocean-atmosphere  $CO_2$  flux for open (red) and coastal (blue; water depth < 500 m) ocean, simulated by the low-res configuration. Positive values refer to oceanic outgassing. The zonal average for the entire ocean is shown in Fig. 4.

 $CO_2$  drawdown, leading to net  $CO_2$  outgassing in low latitudes (G. G. Laruelle et al., 2010; 809 Mayer et al., 2018) and net uptake in high latitudes (K. Arrigo et al., 2008; Yasunaka et 810 al., 2016, 2018). This characteristic is generally captured by ICON-Coast (Fig. 15). The 811 range between  $CO_2$  uptake and outgassing, though, is smaller in the coastal ocean than in 812 the open ocean. Riverine carbon input weakens the uptake in coastal regions of northern 813 high latitudes, whereas continuous productivity in low latitudes has a net weakening effect 814 on the  $CO_2$  flux to the atmosphere. On the southern hemisphere, zonally integrated shelf 815 areas south of about 20°S are comparatively small. Here, the indicated deviations between 816 the coastal and open ocean  $fCO_2$  are thus probably overestimated due to the positive bias 817 on the PS (see below) and the negative bias in the open Southern Ocean (see section 3.1). 818

For the three focus areas, simulated surface  $CO_2$  fluxes (fCO<sub>2</sub>) are shown in Fig. 16. Because of the mixture of driving the model with a modern climate but preindustrial pCO<sub>2</sub> (see section 2.4), the resulting fCO<sub>2</sub> are not fully comparable with present-day observations. In our experiments though, the spatial structures of fCO<sub>2</sub> in the coastal ocean are relatively insensitive to variations in atmospheric pCO<sub>2</sub> ranging from preindustrial to present-day levels. We therefore focus more on the qualitative fCO<sub>2</sub> distributions and gradients here and reflect on the magnitudes of the fluxes in the discussion section 4.

The northern North Sea and outer shelf areas of the NWES are known to be net sinks for atmospheric  $CO_2$  under present-day climatic conditions, while the shallow southern North



Figure 16: Ocean-atmosphere  $CO_2$  flux for (a) the Northwest European Shelf (annual), (b) Patagonian Shelf (summer), and (c) East China Shelf (annual), simulated by the low-res configuration. Positive values refer to oceanic outgassing. Isobaths illustrate the shelf break at water depths of 200-500 m.

Sea is close to neutral (Thomas et al., 2004; Marrec et al., 2015; Kitidis et al., 2019; Becker et al., 2021). This structure is qualitatively reproduced in our ICON-Coast simulations (Fig. 16a) with an annual mean uptake in the North Sea of about 0.8 mol C m<sup>-2</sup> yr<sup>-1</sup>. For the anthropogenic contribution due to rising CO<sub>2</sub>, Lacroix et al. (2021b) estimated about 0.5 mol C m<sup>-2</sup> yr<sup>-1</sup>. Accounting for this missing component in ICON-coast, we obtain a total uptake consistent with observational estimates of 1.1-1.5 mol C m<sup>-2</sup> yr<sup>-1</sup>.

The PS is a significant net carbon sink likewise (Kahl et al., 2017). Tidally mixed coastal areas, however, are dominated by CO<sub>2</sub> outgassing in austral summer (Bianchi et al., 2005). This seasonal feature is also captured by ICON-Coast (Fig. 16b). In the northern part of the PS, though, the outgassing signal is overestimated and extends into the stratified area of the open shelf.

The ECS is simulated as an efficient shelf carbon pump (Fig. 16c). The East China Sea acts as a strong carbon uptake area and the Yellow Sea and Bohai Sea as rather weak ones, which is consistent with observations (Tseng et al., 2011; Jiao et al., 2018; Song et al., 2018). Moreover, the seasonal cycle of fCO<sub>2</sub> in the East China Sea measured by Tseng et al. (2011) is qualitatively well captured by ICON-Coast, with a simulated maximum uptake



Figure 17: Ocean-atmosphere  $CO_2$  flux on the Sunda Shelf for January (a) and July (b), simulated by the low-res configuration. Positive values refer to oceanic outgassing. The isobath indicates a water depth of 500 m.

of about  $3 \mod \operatorname{Cm}^{-2} \operatorname{yr}^{-1}$  in winter (bias  $-1.5 \mod \operatorname{Cm}^{-2} \operatorname{yr}^{-1}$ ) and a weak outgassing of maximum  $0.5 \mod \operatorname{Cm}^{-2} \operatorname{yr}^{-1}$  (bias  $-0.1 \mod \operatorname{Cm}^{-2} \operatorname{yr}^{-1}$ ) in summer, averaged over the same region investigated in that study. Also here, the underestimated net CO<sub>2</sub> uptake might be attributed to the missing anthropogenic fCO<sub>2</sub> signal which can be estimated to about  $0.4 \mod \operatorname{Cm}^{-2} \operatorname{yr}^{-1}$  according to Lacroix et al. (2021b).

The  $CO_2$  flux at the sea surface is a sensitive metric of the coastal carbon dynamics, as it is affected directly or indirectly by all physical and biogeochemical processes discussed in this section. To provide an outlook of the model skills also in coastal areas other than the temperate shelves, we briefly elaborate on the simulated fCO<sub>2</sub> for the Sunda Shelf (as an example of a large low latitude shelf) and the coastal ocean of the Arctic (as an example of a high latitude region).

In our simulations, the whole Sunda Shelf is releasing  $CO_2$  to the atmosphere on annual 855 means, consistent with observations and regional model studies (Kartadikaria et al., 2015; 856 Mayer et al., 2018; Y. Zhou et al., 2021). The winter and summer monsoon winds drive 857 distinct seasonal circulation regimes on the shelf and lead to a reversed fCO<sub>2</sub> in the northern 858 part in winter (Mayer et al., 2018). ICON-Coast captures this seasonality (Fig. 17) with an 859 uptake of up to  $1 \mod C m^{-2} \operatorname{yr}^{-1}$  near the Gulf of Thailand in winter and an outgassing of up 860 to  $0.8 \text{ mol C} \text{m}^{-2} \text{ yr}^{-1}$  in summer, while the southern shelf areas show continuous outgassing of  $0.3-0.7 \text{ mol C} \text{m}^{-2} \text{ yr}^{-1}$  throughout the year. The annual net outgassing for the entire 861 862 Sunda Shelf is  $0.22 \text{ mol C} \text{m}^{-2} \text{yr}^{-1}$ , compared to  $0.65 \text{ mol C} \text{m}^{-2} \text{yr}^{-1}$  simulated by Mayer 863 et al. (2018), who accounted for anthropogenic  $pCO_2$  rise but not for carbon fixation by 864 phytoplankton. The anthropogenic signal here might further weaken the outgassing by 865 about  $0.1 \,\mathrm{mol}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$ , estimated from Lacroix et al. (2021b). 866

The Arctic ocean accomodates the world's largest continental shelves, extending up to 867 1500 km from the coast of Siberia into the ocean. Most of these areas draw down atmospheric 868  $CO_2$  via biologically mediated  $pCO_2$  reduction during phytoplankton blooms and cooling 869 of warm water masses intruding from the North Atlantic and Pacific (Bates & Mathis, 870 2009). Strong net uptake fluxes exceeding  $2 \mod C \operatorname{m}^{-2} \operatorname{yr}^{-1}$  are simulated by ICON-Coast 871 in the Barents Sea and the deep water formation sites of the Greenland-Iceland-Norwegian 872 Seas (Fig. 18), in agreement with multi-year observations by Yasunaka et al. (2016, 2018). 873 Regionally enhanced uptake of more than  $1 \mod C m^{-2} \operatorname{yr}^{-1}$  is also indicated in the Chukchi 874 Sea, both in our simulations and field measurements e.g. by Bates (2006) and Cai et al. 875 (2010). The gradient along the Eurasian Arctic shelves from high uptake in the western 876 part (Barents Sea) to relatively weak fluxes in the eastern part was also observed by Pipko 877



annual fCO<sub>2</sub>, 5yr mean

Figure 18: Ocean-atmosphere  $CO_2$  flux for the greater Arctic, simulated by the low-res configuration. Positive values refer to oceanic outgassing. The isobath indicates a water depth of 500 m.

et al. (2017), who measured a regional difference in outer shelf  $pCO_2$  of about 50-100 ppm 878 during fall, compared to about 50 ppm simulated by ICON-Coast. In the same study, the 870 outgassing along the coasts of the eastern part was attributed to the influences of river runoff and terrestrial carbon loads. While the spatial pattern of Arctic  $fCO_2$  seems qualitatively 881 well represented, the simulated uptake is generally weaker than contemporary observations of 882 comparable periods. In the Arctic area used by Yasunaka et al. (2018), that is north of 65°N, 883 excluding the Greenland and Norwegian seas and Baffin Bay, the ocean takes up  $73 \,\mathrm{Tg}\,\mathrm{C}\,\mathrm{yr}^{-1}$ 884 in our simulation, compared to the observational spread of  $80-200 \,\mathrm{Tg}\,\mathrm{C}\,\mathrm{yr}^{-1}$  obtained by 885 Bates & Mathis (2009) and Yasunaka et al. (2018). Here, the missing anthropogenic  $fCO_2$ 886 signal is difficult to conclude from Lacroix et al. (2021b) as the related fluxes are spatially 887 rather heterogenous, ranging locally between  $\pm 0.7 \,\mathrm{mol}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$ . 888

Overall, the spatial patterns of seasonal and annual  $fCO_2$  simulated by ICON-Coast 889 for various regions are qualitatively consistent with the observational products cited here. 890 In particular the skill in capturing seasonality is a remarkable achievement, contrasting the 891 large model-data mismatch on the seasonal time scale of conventional global biogeochem-892 istry models until now (Hauck et al., 2020). Nevertheless, the net uptake fluxes in middle 893 and high latitudes are systematically underestimated, which can be attributed to the lower 894 atmospheric  $pCO_2$  of preindustrial levels used in our simulations. First-order model esti-895 mates of the change in  $CO_2$  flux solely resulting from the  $pCO_2$  rise during the 20th century 896 (Lacroix et al., 2021b) show a weak intensification of the CO<sub>2</sub> flux into the global coastal 897 ocean by  $0.16 \,\mathrm{mol}\,\mathrm{C}\,\mathrm{m}^{-2}\,\mathrm{yr}^{-1}$  and a clear latitudinal structure, with stronger increases at 898 higher latitudes. We therefore are optimistic that the magnitudes of the net fluxes simu-890 lated by ICON-Coast will get closer to observations of the recent past when we increase 900 atmospheric  $CO_2$  concentrations to present-day values. This might also reduce the regional 901 and seasonal  $fCO_2$  biases e.g. on the PS, as these are consistently resulting from too high 902  $pCO_2$  in the ocean relative to the atmosphere. 903



Figure 19: Time series of annual ocean-atmosphere CO2 flux in various shelf seas, simulated by the low-res configuration. Positive values refer to oceanic outgassing.



Figure 20: Time series of annual surface nitrate concentrations in main inflow areas of the North Sea (lon

Figure 20: Time series of annual surface nitrate concentrations in main inflow areas of the North Sea (Ion 2-4°W, lat 59-61°N), PS (Ion 62-64°W, lat 55-57°S) and ECS (Ion 123-125°E, lat 25-27°N), simulated by the low-res configuration.

#### 904 4 Discussion

We have introduced a new global modeling approach aiming to reduce uncertainties in 905 the marine carbon cycle via increased grid resolution in the land-ocean transition zone and 906 enhanced process representation of physical and biogeochemical shelf sea dynamics. Our 907 evaluation therefore focused on the coastal ocean, whereas in the open ocean we expect the 908 global patterns shown in Fig. 3, as well as their spatial integrals, to be still significantly 909 influenced by the initial conditions because of the comparatively short simulation periods of 910 10-20 years. In particular the state of the deep ocean, including the sediment composition, 911 is subject to long-term model drift (Heinze et al., 1999; Palastanga et al., 2011). In many 912 coastal regions, however, the ocean circulation and tracer distribution are rather dominated 913 by short-term regional-scale and even local-scale processes such as tidal mixing, river loads, 914 and the regional atmospheric forcing. In our test simulations, accordingly, most variables 915 on the shelves show a quick response to the external forcing already in the first few years, 916 without a strong discernable drift but a high sensitivity to changes in model-specific pa-917 rameters (Fig. 19). In particular the spatial patterns and gradients are rapidly developing. 918 Also the nutrient concentrations of open ocean water masses flushing the shelves are rela-919 tively stable over the simulated period (Fig. 20). These characteristics allow us to gain a 920 basic understanding about the performance of the model in various shelf and marginal seas, 921 although the currently available model runs are relatively short. 922

As known from regional model studies, an increased horizontal resolution in the coastal areas generally improves the spatial manifestation of the implemented physical and bio-

geochemical processes (e.g. Mathis et al., 2015; Graham et al., 2018a, 2018b; Guihou et 925 al., 2018; de Souza et al., 2020). Moreover, the structure and strength of the general cir-926 culation, including ocean-shelf exchange, gets more realistic, which affects the distribution 927 of water masses and associated residence times (Pätsch et al., 2017; X. Liu et al., 2019; 928 Lacroix et al., 2021a). The transport rates of boundary and slope currents, for instance, are 929 underestimated in our low-res runs but become more energetic in the high-res simulation, 930 e.g. with increases of the Malvinas Current along the PS by 20% (high-res mean 20.7 Sv 931 at 45°S, literature 20-25 Sv by Frey et al., 2021), the NWES slope current by 35% (high-932 res mean 2.4 Sv at 58°N, literature 1-4 Sv by Marsh et al., 2017; Clark et al., 2021), and 933 shelf break upwelling velocities by a factor of 4. On the shelves, the general patterns of 934 the circulation and seasonal stratification are comparatively similar in both configurations 935 (Fig. A4), though become more structured and confined in high-res. Resulting residence 936 times of about 1 yr for the North Sea and East China Sea and 1.8 yr for the Patagonian 937 Shelf are comparable to Lacroix et al. (2021a), who used a mesh spacing of  $0.4^{\circ}$ , and ob-938 servational estimates given therein. The representations of the bottom topography and the 939 orography of coastlines are further improved in ICON-Coast by the unstructured triangular 940 grid due to the smoother horizontal discretization of topographic features compared to the 941 typical staircase approximation by rectilinear grids. In MPI-ESM (in particular LR), by 942 contrast, the coarser grid resolution in the coastal ocean leads to a systematic underestima-943 tion of shelf sea circulations (Fig. 6c, d). While the general flow pattern is partly captured in 944 outer shelf areas, the structure and strength of individual currents, in particular in the inner 945 areas, is not adequately represented. Moreover, in the south-western Atlantic, the position 946 of the Brazil Malvinas Confluence is simulated too far to the south by about 10°(Fig. 6d), 947 disturbing water mass properties in the northern part of the PS with biases of up to +5 °C 948 in surface temperature and +0.7 in salinity ( $+1^{\circ}C$  and +0.4 in ICON-Coast). Overall we 949 therefore expect for ICON-Coast in particular the influences of cross-shelf transport, coastal 950 upwelling and baroclinic instabilities on net carbon deposition and export rates in the coastal 951 ocean to improve further when we include the biogeochemistry component also in the high-952 res setup. In the open ocean, our simulations show that the increase in resolution from a 953 mesh spacing of 160 km (low-res) to 80 km (high-res) leads to a better representation of the 954 large-scale gyre system (Fig. 21), reduced biases in winter mixed layer depths, and more 955 realistic locations of deep and bottom water formation sites (Fig. 22) in particular in the 956 North Atlantic. The strength of the Atlantic meridional overturning circulation at 26°N is 957 simulated 16.0 Sv with high-res, compared to 11.2 Sv with low-res and 16.8 Sv during 2005-958 2017 measured by the RAPID time series (Moat et al., 2020), which indicates a generally 959 underestimated large-scale circulation in low-res. These differences reflect the typical be-960 havior of global Earth system models to increased resolution (Hewitt et al., 2020). The 961 improved circulation should then also affect the global distribution of biogeochemical trac-962 ers such as nutrients (Fig. 3c and Fig. A1), dissolved carbon, and alkalinity. In this original 963 model version and low-res configuration of ICON-Coast, the global biogeochmical patterns 964 and biases are overall similar to MPI-ESM simulations evaluated in Giorgetta et al. (2013), 965 Müller et al. (2018) and Mauritsen et al. (2019). An exception is the transfer efficiency of 966 biogenically bound carbon from the upper to the deep ocean, which shows a more realistic 967 latitudinal distribution in ICON-Coast due to the implemented variable sinking speed and 968 temperature-dependent remineralization of particulate organic matter (as also obtained by 969 Maerz et al., 2020). 970

The concept of using unstructured variable-resolution meshes to enhance the quality of a 971 simulation in the region of interest was developed about 1-2 decades ago (e.g. C. Chen et al., 972 2003; Pain et al., 2005; Piggott et al., 2008; Behrens & Bader, 2009) and has seen substantial 973 progress in recent years concerning optimization, stability, and complexity (Weller et al., 974 975 2016; Remacle & Lambrechts, 2018). Applications of global grid configurations with regional refinement in the coastal ocean, however, were focused on physical ocean modeling so far 976 (D. V. Sein et al., 2017; Hoch et al., 2020; Logemann et al., 2021). Our simulations thus 977 provide a proof-of-concept for an extension of this strategy to include global biogeochemistry 978 modeling. For the investigation of single target regions of the coastal ocean, an innovative 979



Figure 21: Barotropic streamfunction simulated by the low-res (a) and high-res (b) configurations.



Figure 22: Annual maximum mixed layer depth simulated by the low-res (a) and high-res (b) configurations. Values in the northern and southern hemispheres represent March and September conditions, respectively.

approach including biogeochemistry was achieved by using stretched global rectilinear grids, 980 utilizing the naturally higher resolution in the vicinity of grid poles (Gröger et al., 2013; 981 D. Sein et al., 2015). The sediment resuspension scheme adapted for ICON-Coast, for 982 example, was first developed for such a system (Mathis et al., 2019). The flexibility of the 983 grid generator used here (Logemann et al., 2021) also allows an assignment of increased 984 resolution to spatially confined areas only, without the limitation of too coarse resolution 985 in pole-distant regions that comes with stretched rectilinear grids. In the vertical, however, 986 we are obliged to use a comparatively thick surface layer (16 m) in our global setup to 987 accomodate exceptional negative sea level anomalies in coastal grid cells, resulting from the 988 total of high tidal amplitudes, wind-induced off-shore transport and local sea ice thickness. 989 As a further development, though, we are considering  $z^*$  coordinates as an alternative 990 vertical grid structure of the model, following work in progress at the Max-Planck-Institute 991 for Meteorology. In a z<sup>\*</sup> system, the free surface elevation is distributed among all grid layers, 992 thus avoiding critical surface layer thicknesses and enabling higher vertical resolution also 993 in shallow, tidally active regions. We expect this to facilitate improvements of simulated 994 stratification dynamics in the near-coastal zone, such as intermittent stratification (van 995 Leeuwen et al., 2015), and related vertical and frontal fluxes of carbon, oxygen and nutrients, 996 which were shown to impact e.g. phytoplankton dilution and phenology (Zhao et al., 2019). 997 Furthermore, in combination with a high horizontal resolution, the model might better 998 represent estuarine-like circulations and associated nutrient trapping in coastal regions of 999 fresh water influence (Algeo & Herrmann, 2018). Air-sea gas exchange could also be affected, 1000 as surface fluxes are governed by saturation pressures in the surface layer. In the presented 1001 setup, the limited vertical resolution may particularly affect the shallowest areas with a 1002 bathymetry < 26 m, which are currently represented by only 2 grid layers. The total fraction 1003 of such areas corresponds to about 6% of the global coastal ocean (water depth  $< 500 \,\mathrm{m}$ ) in 1004 both configurations, low-res and high-res. 1005

The additional processes generalized in ICON-Coast, compared to ICON-O and its 1006 standard version of HAMOCC, are all crucially linked to the cycling of carbon and nutri-1007 ents in the coastal ocean (Fig. 1). Tidal waves induce mixing and sediment resuspension, 1008 the aggregation of particulate matter affects vertical export fluxes, the temperature depen-1009 dencies of remineralization and dissolution rates modify the internal recycling, and river 1010 inputs act as relevant sources of allochthonous organic and inorganic material. We evalu-1011 ated these add-ons with respect to the ability of the model to simulate key physical and 1012 biogeochemical parameters influencing the surface  $CO_2$  flux in the coastal ocean as well as 1013 the resulting  $CO_2$  flux itself (yet under idealized conditions). The necessity to accurately 1014 reproduce tidal circulation, stratification, exchange flows, and sediment diagenesis for em-1015 bedding coastal interface biogeochemistry in global ESMs was pointed out recently by Ward 1016 et al. (2020). Irrespective of remaining model biases and a yet unmature spinup history, 1017 the added value of ICON-Coast stands out in the shown comparison of simulated coastal 1018 carbon dynamics with the Earth system model MPI-ESM. Owing to its coarser resolution 1019 and the lack of the additional processes integrated here, MPI-ESM is treating coastal areas 1020 essentially like a shallow version of an open ocean basin, leading to an inherent misrepre-1021 sentation of the land-ocean transition zone in the marine carbon cycle. Note that for these 1022 structural differences, the ocean-atmosphere coupling included in MPI-ESM is of minor rel-1023 evance. In fact, surface fluxes are often better balanced in coupled simulations, inducing 1024 more realistic gradients and lower biases (e.g. Small et al., 2011; Wang et al., 2015; Xue 1025 et al., 2020). Nevertheless, as mentioned above, MPI-ESM has also been run at a higher nominal resolution with a mesh size of  $0.4^{\circ}$ . The globally higher resolution of the uniform 1027 grid, though, is associated with a substantial increase in computational cost (by a factor of 1028 about 10 according to Mauritsen et al., 2019), making applications to investigate the coastal 1029 1030 ocean at climatic time scales inefficient. In our variable-resolution approach, the number of surface grid cells is reduced by 77% compared to a uniform grid with the same resolution 1031 in the coastal ocean, and even the low-res configuration used here has a mesh spacing in 1032 many coastal areas that is higher than MPI-ESM-HR by a factor of 2. Moreover, while 1033 the circulation improves in MPI-ESM-HR compared to LR (Fig. A5a), the deficiencies in 1034

simulating coastal carbon dynamics essentially remain the same due to the oversimplified 1035 process representation (Fig. A5b). The conceptual extension by ICON-Coast thus links to 1036 the prospected reduction of uncertainties associated with global modeling exercises. The 1037 increased degree of freedom that results from both the higher resolution and extended pro-1038 cess representation allows the coastal system to respond to external perturbations, while 1039 at the same time feeding back to the adjacent open ocean. Continuous global warming, 1040 for instance, would affect local stratification, carbon and nutrient recycling rates as well 1041 as the composition and sinking speed of particulate matter. Changes in sea level or wind 1042 surge would affect tidal currents and thus net carbon deposition in the coastal sediments. 1043 In conventional global models, by contrast, projections for the carbon budget of the coastal 1044 ocean are essentially determined by changes in the stratification and large-scale circulation 1045 of the open ocean, as without the process extensions made here, import of open ocean water 1046 masses represents defacto the only variable nutrient supply mechanism for coastal primary 1047 production. 1048

One of the main challenges in the model development of ICON-Coast is to bridge 1049 the dynamic scales from the deep and open ocean to the shallow shelves and marginal 1050 seas by applying globally implemented parameterizations to both eddying and non-eddying 1051 regions. ICON-Coast uses a biharmonic horizontal dissipation scheme that is dependent 1052 on the mesh spacing and thus, in combination with the regional refinement, accounts for 1053 the transition of pertinent scales. The implemented TKE vertical mixing scheme is also 1054 scale-dependent but could be further improved to better represent mixing at the bottom 1055 boundary layer as suggested e.g. by Holt et al. (2017). In our simulations, we have inten-1056 tionally deactivated the eddy parameterization (Korn, 2018) because first, the combination 1057 of eddy closure with the coastal grid refinement considered here is an unsolved problem in 1058 computational fluid dynamics, and second, it allows us to better assess the impact of the 1059 grid refinement. Yet, we are optimistic that a suitably chosen eddy parameterization will 1060 lead to additional improvements of our results, in particular for the general circulation and 1061 tracer distribution in the open ocean. The incorporation of subgridscale eddy activity was 1062 shown to impact temperature, salinity and sea ice formation in high latitudes (e.g. Knutti 1063 et al., 1999; Pradal & Gnanadesikan, 2014) as well as nutrient replenishment in the upper 1064 thermocline of oligotrophic subtropical waters (Oschlies, 2008; Doddridge & Marshall, 2018) 1065 and seasonal carbon drawdown in the eddy-rich Southern Ocean (Jersild et al., 2021). The 1066 sediment resuspension scheme of ICON-Coast accounts for the bottom layer thickness in the 1067 calculation of the sediment drag coefficient, thus accounting for the vertical grid resolution 1068 (Mathis et al., 2019). Also here, an improvement would be to include dependence on the 1069 horizontal grid scale as well. 1070

Apart from a better representation of coastal carbon dynamics, higher resource de-1071 mands of ICON-Coast compared to conventional global models with coarser resolution are 1072 justified by the benefit of having included all coastal areas of the world within a single con-1073 sistent simulation, thus naturally accounting for two-way coupling of ocean-shelf feedback 1074 mechanisms at the global scale. Computational costs as well as data storage requirements of 1075 high-resolution simulations, though, can be substantially reduced by limiting the grid refine-1076 ment to dedicated areas only. In Table 3, we contrast resource demands for simulations with 1077 ICON-O and ICON-Coast, run on the high-res grid presented here (80-10 km) as well as on a 1078 globally uniform 10 km grid. Because of the regionally applied grid refinement, the variable-1079 resolution grid of ICON-Coast has less surface grid cells than the uniform-resolution grid 1080 by a factor of about 4.3. We conducted reference experiments at the current HPC system 1081 Mistral of the DKRZ, using 200 parallelized cpu nodes (see caption of Table 3 for specifica-1082 tions). The lower number of grid cells of the variable-resolution grid leads to a significant 1083 saving in computational cost, reducing the required real time for a simulation of 100 years 1084 with ICON-O from about 3 months to less than 1 month. The computational demands 1085 of ICON-Coast, however, increase by 25% due to the additionally implemented processes 1086 (section 2.2). About 30% of cost and time are associated with output writing, resulting in 1087 a total demand of 50 days for a 100-year simulation with ICON-Coast, including monthly 1088

Table 3: Resource demands for simulations with ICON-O and ICON-Coast, when run on the high-res grid with
variable mesh sizes of 80-10 km as well as on a globally uniform 10 km grid. The ICON-O run on the high-res
grid differs from the ICON-Coast run only with respect to the additional processes implemented to ICON-Coast
(see section 2.2). All simulations are performed using 200 nodes of the HPC system 'Mistral'. Each node of the
used partition consists of 2x 18-core Intel Xeon E5-2695 v4 (Broadwell) processors with a speed of 2.1 GHz. To
quantify the net computing load, we give turnover rates and computational costs also for simulations excluding
model output. For runs on the variable-resolution grid, this setup corresponds to an efficiency of about 0.75 and
0.85 with and without output writing, respectively, compared to linear scaling.

Metric	ICON-O	ICON-O	ICON-Coast
	uni. 10 km	var. 80-10 km	var. 80-10 km
Wet surface cells Turnover (no outp.) Turnover (w. outp.) Cost (no outp.)	$\begin{array}{c} 3,730,000\\ 1.16 \ {\rm yr} \ {\rm d}^{-1}\\ 0.97 \ {\rm yr} \ {\rm d}^{-1}\\ 413 \ {\rm knh} \ 100 {\rm yr}^{-1} \end{array}$	$ \begin{array}{c c} 860,000 \\ 3.70 \ {\rm yr} \ {\rm d}^{-1} \\ 2.50 \ {\rm yr} \ {\rm d}^{-1} \\ 128 \ {\rm knh} \ 100 {\rm yr}^{-1} \end{array} $	$ \begin{array}{c c} 860,000 \\ 2.78 \ {\rm yr} \ {\rm d}^{-1} \\ 2.00 \ {\rm yr} \ {\rm d}^{-1} \\ 172 \ {\rm knh} \ 100 {\rm yr}^{-1} \end{array} $
Cost (w. outp.)	492 knh 100yr <sup>-1</sup>	192 knh $100 yr^{-1}$	240 knh 100yr <sup>-1</sup>
Storage	45.9 TB 100yr <sup>-1</sup>	9.0 TB $100 yr^{-1}$	11.1 TB 100yr <sup>-1</sup>

<sup>1099</sup> 2d and 3d gridded physical and biogeochemical standard output. Similarly, the regional <sup>1090</sup> grid refinement reduces the storage space required for the output by a factor of about 4. <sup>1091</sup> These specifications of ICON-Coast allow for reasonable experimental setups e.g. to study <sup>1092</sup> the anthropogenic perturbation of the marine carbon cycle, comprising a 50-yr spinup run <sup>1093</sup> and two 100-yr production runs. Longer spinup simulations spanning a few hundred years <sup>1094</sup> could be performed with the low-res grid configuration at comparable total cost.

#### 1095 5 Conclusions

In this paper, we have introduced ICON-Coast, the first global ocean-biogeochemistry 1096 model that uses a telescoping high resolution for an improved representation of coastal car-1097 bon dynamics. This approach enables for the first time a seamless incorporation of the 1098 global coastal ocean in model-based Earth system research. The broad agreement of simu-1090 lated shelf-specific physical and biogeochemical processes with both observational products 1100 and high-resolution regional modeling studies demonstrates the large potential of ICON-1101 Coast to be used for cross-cutting scientific applications. Linkages between carbon and 1102 nutrient transformation pathways in the open ocean, the transition zone to the continental 1103 shelves, and the near-coastal areas can be investigated that cannot be derived from isolated 1104 regional modeling studies. Examples are the importance of carbon sequestration, storage, 1105 and transport processes on the shallow shelves relative to the open ocean under different 1106 climatic conditions (G. G. Laruelle et al., 2018), or the fate of river inputs and their con-1107 nection to interhemispheric carbon transport (Aumont et al., 2001; Resplandy et al., 2018). 1108 Sensitivity experiments can be used to explore the susceptibility of the coastal ocean en-1109 vironment to external perturbations across a range of spatiotemporal scales and interfaces 1110 (Ward et al., 2020). 1111

The high quality of the model results shown here as well as the efficiency in computational cost and storage requirements verifies the strategy of a seamless connection of the open and coastal ocean via regional grid refinement and enhanced process representation as a pioneering approach for high-resolution modeling at the global scale. In view of the difficulties in reconciling prognostically shelf-specific processes in the sediment, water column, and at the air-sea interface, the model ICON-Coast, built on extended basic parameterizations of a global ocean-biogeochemistry model, is encouraging.

Already with the low-res version, spanning a horizontal mesh spacing of 160-20 km, we achieve unprecedented accuracy and level of detail in simulating governing processes of the coastal carbon dynamics in low, middle and high latitudes, even on the seasonal time scale.
Some features, such as the general circulation or net primary production, are comparable to
results from state-of-the-art high-resolution regional model systems, and the incorporation
of marine aggregates even exceeds the process representation of many established regional
ecosystem models. We thus conclude that ICON-Coast represents a new tool to deepen our
mechanistic understanding about the role of the land-ocean transition zone in the global
carbon cycle, and to narrow related uncertainties in global future projections.

The development of this first version of ICON-Coast was guided by the consideration 1128 of coastal carbon dynamics. It is clear, however, that the scientific applications of such a 1129 model system are not restricted to topics related to the carbon cycle. The concept of ICON-1130 Coast generally enables high-resolution modeling in the global coastal ocean, including the 1131 continental margin as the transition to the open ocean. Potential applications thus range 1132 from investigations of marine extreme events in coastal areas (e.g. storm surges, heat waves, 1133 hypoxia), and ocean-shelf exchange processes including feedback mechanisms, to scenario-1134 based future projections of the coastal ocean physical and biogeochemical state, and sen-1135 sitivity studies regarding the efficiency of various coastal management and eutrophication 1136 policies. 1137

#### 1138 Data availability statement

The model code of ICON-Coast is available to individuals under licenses (https://mpimet.mpg.de/en/science/modeling-with-icon/code-availability). By download-

<sup>1141</sup> ing the ICON-Coast source code, the user accepts the license agreement. The source code <sup>1142</sup> of ICON-Coast used in this study as well as primary data used for producing the figures <sup>1143</sup> can be obtained from the Zenodo archive https://doi.org/10.5281/zenodo.6630352.

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### 1154 Appendix A Supplementary figures



Figure A1: Global distribution of biases in annual surface phosphate (a) and silicate (b) concentrations, simulated with ICON-Coast low-res configuration. Biases are relative to World Ocean Atlas 2018 Boyer et al. (2018).



Figure A2: Global distribution of annual depth-integrated net primary production (a) and biases in annual surface nitrate concentration (b), simulated with MPI-ESM-LR. Biases are relative to World Ocean Atlas 2018 Boyer et al. (2018).

![](_page_40_Figure_1.jpeg)

Figure A3: Annual satellite-derived net primary production on the Northwest European Shelf (a,b), Patagonian Shelf (c,d) and East China Shelf (e,f). MODIS-CAFE (Silsbe et al., 2016) product for the period 2006-2010 is shown in (a,c,e), VIIRS-CBPM (Westberry et al., 2008) product for 2012-2016 is shown in (b,d,f), as provided by the Ocean Productivity service (http://sites.science.oregonstate.edu/ocean.productivity/index.php). Data have been interpolated onto the low-res grid of ICON-Coast. Isobaths illustrate the shelf break at water depths of 200-500 m.

![](_page_41_Figure_1.jpeg)

**Figure A4:** Shelf circulation and seasonal stratification simulated with ICON-Coast low-res configuration: Annual mean barotropic stream function on the Northwest European Shelf (a) and Patagonian Shelf (b). Increments of streamlines are 0.2 Sv for (a) and 0.5 Sv for (b). Hexagonal structures emerge from the calculation and mapping of net volume fluxes through the triangular grid cells. Strength of summer stratification (maximum vertical density gradient) on the Northwest European Shelf (c) and Patagonian Shelf (d). Isobaths illustrate the shelf break at water depths of 200-500 m.

![](_page_42_Figure_1.jpeg)

Figure A5: Annual mean barotropic stream function (a) and depth-integrated net primary production (b) on the Northwest European Shelf, simulated with MPI-ESM-HR. Note that the resolution in the shown region is only slightly higher than MPI-ESM-LR (Fig. 6c), due to different grid pole positions in the LR and HR setups. Increments of streamlines in (a) are 0.2 Sv. Isobaths in (b) illustrate the shelf break at water depths of 200-500 m.

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