## Empirical Approach to Estimate Net Ecosystem Exchange Using High Frequency Mesonet Observations across Potential Switchgrass Establishment Landscapes in Oklahoma

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#### Abstract

Monitoring net ecosystem carbon dioxide (CO2) exchange (NEE) using eddy covariance (EC) flux towers is quite common, but the measurements are valid at the scale of tower footprints. Alternative ways to quantify and extrapolate EC-measured NEE across potential production areas have not been explored in detail. To address this need, we used NEE measurements from a switchgrass (Panicum virgatum L.) ecosystem and detailed meteorological measurements from the Oklahoma Mesonet and developed empirical relationships for quantifying seasonal (April to October) NEE across potential switchgrass establishment landscapes in Oklahoma, USA. We identified ensemble area for potential switchgrass expansion regions and created thematic maps of switchgrass productivity using geostatistics and GIS routines. The purpose of this study was not to calibrate the model for estimating NEE in the future but to explore if model parametrizations based on high temporal frequency meteorological forcing can be used to construct reliable estimates of NEE for evaluating the source-sink status of organic carbon. Based on EC measurements, empirical models, a) rectangular hyperbolic light-response curve and b) temperature response functions, were fitted to estimate gross primary production (GPP) and ecosystem respiration (ER) on a seasonal scale. Model performance validated by comparing EC-measured seasonal NEE for three years showed good-to-strong agreement (0.29 < R2 < 0.91; p < 0.05). Additionally, total seasonal NEE estimates were validated with measured biomass data in three additional locations. The estimated seasonal average net ecosystem production (NEP =-NEE) was  $3.97 \pm 1.92$  (S.D.) Mg C ha-1. However, results based on a simple linear model suggested significant differences in NEP between contrasting climatic years. Overall, the results from this study indicate that this new scaling-up exercise involving high temporal resolution meteorological data may be a helpful tool for assessing spatiotemporal heterogeneity of switchgrass production and the potential of switchgrass fields to sequester carbon in the Southern Great Plains of the United States.

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#### 8 Keywords: net ecosystem exchange, switchgrass, eddy covariance, empirical

#### 9 Abstract

10 Monitoring net ecosystem carbon dioxide (CO<sub>2</sub>) exchange (NEE) using eddy covariance (EC) flux towers is guite common, but the measurements are valid at the scale of tower footprints. Alternative 11 ways to quantify and extrapolate EC-measured NEE across potential production areas have not been 12 explored in detail. To address this need, we used NEE measurements from a switchgrass (Panicum 13 virgatum L.) ecosystem and detailed meteorological measurements from the Oklahoma Mesonet and 14 15 developed empirical relationships for quantifying seasonal (April to October) NEE across potential switchgrass establishment landscapes in Oklahoma, USA. We identified ensemble area for potential 16 switchgrass expansion regions and created thematic maps of switchgrass productivity using 17 18 geostatistics and GIS routines. The purpose of this study was not to calibrate the model for estimating NEE in the future but to explore if model parametrizations based on high temporal frequency 19 20 meteorological forcing can be used to construct reliable estimates of NEE for evaluating the source-21 sink status of organic carbon. Based on EC measurements, empirical models, a) rectangular hyperbolic light-response curve and b) temperature response functions, were fitted to estimate gross 22 primary production (GPP) and ecosystem respiration (ER) on a seasonal scale. Model performance 23 validated by comparing EC-measured seasonal NEE for three years showed good-to-strong 24 agreement ( $0.29 < R^2 < 0.91$ ; p < 0.05). Additionally, total seasonal NEE estimates were validated 25 with measured biomass data in three additional locations. The estimated seasonal average net 26 27 ecosystem production (NEP =-NEE) was  $3.97 \pm 1.92$  (S.D.) Mg C ha<sup>-1</sup>. However, results based on a simple linear model suggested significant differences in NEP between contrasting climatic years. 28 Overall, the results from this study indicate that this new scaling-up exercise involving high temporal 29 30 resolution meteorological data may be a helpful tool for assessing spatiotemporal heterogeneity of switchgrass production and the potential of switchgrass fields to sequester carbon in the Southern 31

32 Great Plains of the United States.

#### 34 1 Introduction

- 35 Fossil fuel combustion has been identified as a primary carbon dioxide (CO<sub>2</sub>) emission source and a
- 36 key factor in the mounting human-induced climate crises. The development of carbon-neutral or
- 37 carbon-negative alternative fuel is an urgent global priority to curtail the increasing consumption of
- 38 fossil fuel and mitigate the threats of the climate crisis. Various cellulosic biofuel species are
- 39 proposed as a cornerstone of a low-carbon economy with the potential to displace or reduce
- 40 petroleum consumption for transportation (Robertson *et al.*, 2017). Unfortunately, legislative
- 41 initiatives on biofuel production have expanded grain-based ethanol production and garnered
- 42 negative attention due to risks associated with nitrous oxide emissions, nitrate pollution, soil carbon
- 43 loss (Gelfand *et al.*, 2013), and food security (Demirer *et al.*, 2012). Instead, opting for perennial
- 44 exemplary biomass crops such as switchgrass (*Panicum virgatum* L.), miscanthus (*Miscanthus* × 45 *giganteus*), and hybrid poplar trees (*Populus spp.*) would be a better choice for future energy
- 45 *giganteus*), and hybrid poplar trees (*Populus spp.*) would be a better choice for future energy 46 portfolios because of their substantial energy return on investment (Ohlrogge *et al.*, 2009).
- 47 Various policies and incentives (e.g., the European Union's Renewable Energy Directive
- 48 (2018/2001) and the U.S. Energy Independence and Security Act, 2007) are in place currently to
- 49 encourage biofuel production and development. Relatedly, the enactment of the Biomass Crop
- 50 Assistance Program (BCAP) in 2008 was aimed to incentivize biomass for bioenergy production. To
- 51 achieve energy independence from foreign oil, the U.S. Energy Independence and Security Act,
- 52 2007, has mandated the production of 16 billion gallons (1 gallon = 3.785 liters) of cellulosic ethanol
- 53 by 2022. The most recent 2016 Billion-Ton Report from the U.S. Department of Energy has
- 54 identified willow (*Salix spp.*), miscanthus, and switchgrass as perennial feedstocks with the potential
- 55 for profitable production (Langholtz, 2016).
- 56 Switchgrass is a productive, perennial  $C_4$  grass native to the tallgrass prairie regions of the U.S. and
- 57 one of the promising model energy crops for bioenergy feedstock (Wright, 2007). It is a dual-purpose
- 58 forage and biofuel feedstock, which requires minimal management. It is effective at storing soil
- 59 organic carbon, even below depths greater than 30 cm, due to its prolific and deeper root systems
- 60 (Lee *et al.*, 2007, Liebig *et al.*, 2005). Switchgrass has larger potential for greenhouse gas sinks
- 61 compared to cultivated croplands (Adler *et al.*, 2007). Ecologically, switchgrass is dominant in the
- 62 central Great Plains region and renders various ecosystem services, that include but are not limited to,
- livestock forage, nitrate-nitrogen leaching mitigation (Brandes *et al.*, 2017, Griffiths *et al.*, 2021),
  provision for wildlife habitat (Marshall *et al.*, 2017), phytoremediation (Guo *et al.*, 2019, Shrestha *et*
- 65 *al.*, 2019), and wind and water erosion protection (Liebig *et al.*, 2005). Long-term data have
- 66 demonstrated the feasibility of switchgrass for liquid fuel production across a broad geographic
- 67 region of the U.S. (Mitchell *et al.*, 2014).
- 68 As the Agriculture Improvement Act of 2018 has reauthorized the extension of the Conservation
- 69 Reserve Program, production of switchgrass is likely to occur in the marginally productive land,
- 70 minimizing the competition with other field crops (Bigelow et al., 2020). The Great Plains of the
- 71 U.S. has the potential to become a pivotal location for lignocellulosic feedstock production
- 72 (Martinez-Feria & Basso, 2020). Although the feasibility of switchgrass for biofuel production has
- been demonstrated for the U.S. (Mitchell *et al.*, 2014), the existing scientific literature is not yet rich
- enough to provide information on switchgrass productivity and its carbon sink potential across large
- 75 geographical and temporal scales (Behrman *et al.*, 2013). Lately, there has been a growing interest in
- 56 studying carbon dynamics in switchgrass to understand its potential to offset anthropogenic
- 77 greenhouse gases and make switchgrass a promising bioenergy crop (Eichelmann *et al.*, 2016, 78 Kasanha *et al.*, 2020, Sharaway, *et al.*, 2020, M
- 78 Kasanke et al., 2020, Slessarev et al., 2020). Various approaches have been utilized to predict

- switchgrass productivity, such as the use of Robel pole for ocular estimates (Schmer *et al.*, 2010),
- 80 process-based plant growth models (Behrman et al., 2013, Brown et al., 2000, Hartman et al., 2011,
- 81 Kiniry et al., 1996, McLaughlin et al., 2006), empirical modeling (Jager et al., 2010), and remote and
- 82 proximal sensing (Foster et al., 2016, Gu et al., 2015). Although remote sensing is a proven tool to
- 83 provide spatially comprehensive ecosystem activity (Churkina *et al.*, 2005), satellite-based
- 84 information is not readily available at finer temporal and spatial resolutions. Moreover, remote
- 85 sensing observations will not be available now for potential future production areas.

86 Information on ecosystem-level study of switchgrass productivity and its carbon dynamics in the 87 Southern Great Plain regions of the U.S. is lacking. Only a few studies have reported carbon dynamics of switchgrass ecosystems for few years only based on EC measurements (Eichelmann et 88 89 al., 2016, Liebig et al., 2005, Skinner & Adler, 2010, Wagle & Kakani, 2014b, Wagle & Kakani, 90 2014c, Wagle et al., 2015). The EC flux towers continuously measure ecosystem-level net exchange 91 of CO<sub>2</sub>, H<sub>2</sub>O, energy, and other trace gases between the land surface and the atmosphere. However, 92 EC systems provide measurements for their footprint areas or fetch lengths, which usually ranges 93 from 100 m to few kilometers depending on several factors, including EC tower height, wind speed, and vegetation properties (Gockede et al., 2004). Additionally, direct measurements of fluxes using 94 95 EC towers are cost-prohibitive and limited to flat topography with uniform vegetation only 96 (Baldocchi, 2008, Baldocchi, 2003). Thus, these site-level measurements need to be extrapolated or 97 upscaled at larger spatial scales to estimate the regional carbon balance (Wofsy et al., 19993) and 98 facilitate carbon cycling research (Gilmanov et al., 2005). In this paper, we developed empirical 99 models to derive switchgrass productivity during the growing season (April through October) using 100 EC-measured NEE from a switchgrass ecosystem and easily accessible time series meteorological data from the Oklahoma Mesonet and validated the estimates of NEE to four different sites using 101 102 ancillary measures (e.g., NEE, biomass). Additionally, we characterize seamless switchgrass

- 103 productivity estimates for the potential switchgrass production areas in Oklahoma. This proposed
- 104 method can be implemented elsewhere for a regional prediction of switchgrass or any other
- 105 bioenergy production potential species.
- 106

#### 107 2 Materials and Methods

#### 108 2.1 Net ecosystem CO<sub>2</sub> exchange measurements

109 Eddy covariance measurements, equipped with a CSAT3 sonic anemometer (Campbell Scientific

- 110 Inc., Logan, UT, U.S.) and LI-7500 open-path infrared gas analyzer (IRGA, LI-COR Inc., Lincoln,
- 111 NE, U.S.), were taken in a switchgrass (cv. Alamo) field located at Oklahoma State University South
- 112 Central Research Station, Chickasha, Oklahoma (35° 2' 24" N, 97° 57' 0" W, 330 m above sea level)
- after the first year of its establishment (2010). The EC data recorded at 10 Hz frequency were
- 114 processed using *EddyPro* software (LI-COR Inc., Lincoln, NE, U.S.) to compute 30-min eddy fluxes.
- 115 Data quality was assessed by the degree of energy balance closure [latent heat (LE) + sensible heat 116 (H)]/ [net radiation (Rn) – soil heat flux (G)]. Energy balance closures of 0.77 and 0.83 were reported
- for 2011 and 2012, respectively (Wagle and Kakani, 2014d), which were within the typical range for
- EC experiments (Foken, 2008). The study area was under abnormally dry to exceptional drought
- during the study period. Details on eddy flux measurements and data processing have been
- extensively described previously (Wagle and Kakani, 2014c; a; Wagle et al., 2014; Wagle et al.,
- 121 2015).

### 122 **2.2 Site description**

- 123 The State of Oklahoma was chosen as a study region given the presence of one of the foremost
- mesoscale-level weather monitoring networks (Oklahoma Mesonet, http://mesonet.org/) that records
- research-quality grade weather data. According to the Köppen-Geiger climate classification,
- 126 Oklahoma's climate has distinct zonation, with a humid subtropical climate in the east to a semi-arid
- 127 climate in the west (Kottek et al., 2006). The state covers the region bounded by 94° 29' 08.90" W–
- 128 103° 00' 06.631" W longitude and 33° 38' 17.7" N–37° 00' 00.473" N latitude. Topographic elevation 129 in Oklahoma ranges from 87 m near Little River to 1518 m above mean sea level on Black Mesa. A
- 130 distinct north-south temperature gradient and east-west precipitation gradient are present. The
- average annual temperature is around  $14^{\circ}$ C along the northern border and  $16.6^{\circ}$ C at the southern
- 132 border (see, http://climate.ok.gov/index.php/site/page/climate\_of\_oklahoma). Average annual
- 133 precipitation ranges from 432 mm in the far western panhandle to 1422 mm in the far southeast. The
- 134 state encompasses twelve level III eco-regions and forty-six level IV eco-regions (Woods et al.,
- 135 2005). Oklahoma has 14 million hectares of cropland area distributed throughout nine agricultural
- districts (USDA, 2017a) (Northeast, Southeast, East Central, South Central, Central, North Central,
   Southwest, West Central, and Panhandle). Forty-nine percent of the total cropland area is grass/
- 137 Southwest, west Central, and Pannandie). Forty-fine percent of the total cropland area is gr 138 pasture, followed by 18% deciduous forest, and 11% wheat-grown areas. As per the recent
- 139 Conservation Reserve Program statistics (USDA, 2017b), 277,349 ha of land in Oklahoma are
- enrolled in Conservation Reserve Program (https://bit.ly/3Ftlu1j, accessed May 23, 2021). This
- suggests there is an abundance of land for biomass feedstock production and a potential large market
- 142 for biofuels in Oklahoma.
- 143 The soil type at the flux tower siting was McClain silt loam (fine, mixed, super active, thermic,
- 144 Pachic Argiustolls) (Foster et al., 2015). The site where flux tower is located received a total of 525
- and 673 mm precipitation during 2011 and 2012 compared to 30-year average (1981–2010) rainfall
- 146 of 896 mm. The aboveground switchgrass (cultivar Alamo) biomass data was manually harvested at
- 147 the end of the growing season (late September through early October) from Stillwater Agronomy
- 148 Research Station, Stillwater, Oklahoma (36°07'03.7"N 97°05'37.0"W); Wes Watkins Agricultural
- 149 Research and Extension Center, Lane, Oklahoma(34°18'17.9"N 96°00'12.3"W); South Central
- Research Station, Chickasha, Oklahoma (35°02'38.9"N 97°54'50.2"W); and Southern Great Plains
  Research Station, Woodward, Oklahoma (36°25'18.2"N 99°24'17.6"W) from 2011 to 2014. These
- 152 stations represent various ecoregions of Oklahoma (Tables
- Table 1), and their mean monthly temperature and average monthly total precipitation are shown inFig. 1.

## 155 **2.3** Procuring and processing the Mesonet data

- 156 Five-minute interval weather data for 110 environmental monitoring stations across Oklahoma (Fig.
- 157 2) were acquired from the Oklahoma Mesonet (Mesoscale network) from 2011 to 2014. The
- automated weather stations collect statewide weather data, with a minimum of one site in each of
- 159 Oklahoma's seventy-seven counties to ensure spatial meteorological differences across landscapes
- are captured well (Brock et al., 1995; McPherson et al., 2007). Most of the aboveground Mesonet
- 161 measurements are averaged over five minutes from measurements sampled every three seconds,
- except for the barometer and the event driven rain gauge. Data included relative humidity (RH, %), air temperature at 1.5 m ( $T_{air}$ , °C), solar radiation (*Srad*, Wm<sup>-2</sup>), liquid precipitation (Rain, mm), and
- an temperature at 1.5 m ( $I_{air}$ , C), solar radiation (*Sraa*, wm<sup>2</sup>), liquid precipitation (Rain, mm), and soil temperature under native vegetation at 5 cm (TS05, °C). Instruments used to measure these
- 165 variables are summarized in (Table 2). Data was checked thoroughly for missing and erroneous
- 166 observations and processed to calculate maximum, minimum, and average values for every 30-
- 167 minutes.

#### 169 2.4 Deriving coefficients for NEE estimates

- 170 Empirical equations were developed based on EC measurements during the 2011 and 2012 growing
- 171 seasons in a switchgrass field (8 ha) at the South-Central Research Station, Chickasha, Oklahoma.
- 172 Light-saturated NEE (NEE<sub>sat</sub>) was calculated as a function of air temperature (temperature  $\geq 5.9 \text{ °C}$
- and PPFD  $\geq$  50  $\mu$  mol m<sup>-2</sup>s<sup>-1</sup>). Daytime respiration (DR) was calculated using a quadratic function of air temperature, whereas nighttime respiration (NR) was calculated using an exponential function of
- soil temperature. Temperature response curves were developed for NEE<sub>sat</sub>, apparent quantum
- efficiency ( $\alpha$ ), DR, and NR. Based on these values, 30-minute NEE values were generated as a
- function of NEE<sub>sat</sub>, photosynthetic photon flux density (PPFD), and  $\alpha$ . We applied the same equations
- 178 from April through October to the rest of the entire study period and locations.
- 179 The sign convention of NEE used in this study is that CO<sub>2</sub> uptake by the ecosystem is negative,
- 180 whereas CO<sub>2</sub> release to the atmosphere is positive. The study window (i.e., growing season) was
- 181 limited to the April 1-October 31 period for each year. We estimated values of PPFD ( $\mu$ mol m<sup>-2</sup> s<sup>-1</sup> of
- 182 photons with wavelengths of 0.4-0.7 μm) using solar radiance values. In this study, a conversion
- 183 factor of 1.892 was used to convert downwelling global solar radiation into photosynthetically active
- radiation (PAR) (Varlet-Grancher et al., 1981). The methodology evolves according to the following
- 185 equations:

$$PAR = 0.48 \times SI \tag{1}$$

$$PPFD = 4.6 \times PAR$$

Following Tetens (1930), the saturation vapor pressure ( $e_s$ ) (kPa) at a given air temperature, T (°C) was computed as:

190 
$$es = 0.6108exp \frac{17.27 \times T}{T + 237.3}$$
(3)

191 We calculated the saturation vapor pressure  $(e_s)$  at maximum  $(T_{max})$  and minimum air 192 temperature  $(T_{min})$  by replacing T with  $T_{max}$  and  $T_{min}$  in the above equation.

193 
$$e_s = 0.5[e^0(T_{max}) + e^0(T_{min})]$$
(4)

194 Where  $e^{0}(T_{max})$  and  $e^{0}(T_{min})$  are the saturated vapor pressure at maximum and minimum 195 temperature, respectively. The following equation recommended by Allen et al. (1998) was used to 196 calculate actual vapor pressure (*ea*) [kPa].

197

198 
$$e_a = \frac{e^0(T_{min}) \times \frac{RH_{max}}{100} + e^0(T_{max}) \times \frac{RH_{min}}{100}}{2}$$
(5)

199 Vapor pressure deficit (VPD) was calculated as a difference between saturation vapor200 pressure and actual vapor pressure.

(2)

201 Ecosystem respiration (ER) is the sum of autotrophic and heterotrophic respiration. Accurate 202 quantification of ER is imperative for understanding switchgrass carbon dynamics as respiration 203 emits a substantial proportion of daytime photosynthetic assimilates to the atmosphere. Measurement 204 of CO<sub>2</sub> flux during nighttime by the EC system is underestimated due to weak mixing in less 205 turbulence and presence of deep boundary layer; leading to systematic and methodological error 206 (Wofsy et al., 1993; Ruimy et al., 1995; Lavigne et al., 1997). ER values were determined using the 207

exponential temperature function developed by Lloyd and Taylor (1994) as:

$$ER = R_0 e^{(\beta T_s)} \tag{6}$$

where  $R_0$  (µmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>) is the base respiration at Ts = 0 °C,  $\beta$  (°C<sup>-1</sup>) is a constant related to 209 temperature sensitivity coefficient ( $Q_{10}$ ). The exponential model based on  $T_s$  explained 60% of the 210 211 seasonal ER variation for the site when volumetric soil water content was > 0.2 m<sup>3</sup> m<sup>-3</sup> [ER =  $0.72 \times$ 212 exp (0.08 ×  $T_s$ ), P < 0.0001] (Wagle & Kakani, 2014a). Daily ER was also modeled as proposed by

213 Reichstein et al. (2003) using daily average values of nighttime soil temperature and soil moisture as

214 the main drivers of the nonlinear regression function.

215 
$$ER = R_{ref} \exp(a + b \times RSWC) \left(\frac{1}{T_{ref} - T_0}\right) \left(\frac{RSWC}{RSWC_{\frac{1}{2}} + RSWXC}\right)$$
(7)

In this equation,  $R_{ref}$  (µmol m<sup>-2</sup> s<sup>-1</sup>) is the ecosystem respiration under standard conditions (at 216 217  $T_{ref} = 21^{\circ}$ C; non-limiting water),  $T_{ref}(^{\circ}$ C) is the reference temperature,  $T_{0}(^{\circ}$ C) is the lower 218 temperature limit for the ER which was fixed at -46 °C as in the original model of Lloyd and Taylor 219 (1994), and RSWC is the soil water content.  $RSWC_{1/2}$  is the fraction of soil water content where half-220 maximal respiration occurs. This exponential temperature-respiration function could explain more 221 than 50% of seasonal ER variation at soil moisture > 0.20 m<sup>3</sup> m<sup>-3</sup>. We applied equation 8 to calculate 222 ER throughout the growing season using soil temperature measurements as average of soil 223 temperature records collected at 5 cm and 10 cm depths under the sod. Finally NEE data were 224 partitioned into GPP and ER using the rectangular hyperbolic light-response function developed by 225 Falge et al. (2001).

226

208

$$NEE = \frac{\alpha \times GPP_{max}}{\alpha \times PPFD + GPP_{max} + ER}$$
(8)

227 where  $\alpha$  is the apparent quantum yield, PPFD is photosynthetic photon flux density (µmol m<sup>-2</sup> s<sup>-1</sup>), GP<sub>max</sub> is the maximum canopy CO<sub>2</sub> uptake rate ( $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) at light saturation, and ER is 228 respiration rate at zero PPFD. Limitation of higher VPD on photosynthesis was observed (Wagle and 229 230 Kakani, 2014d) as Eq (7) failed to provide good fits for the NEE values. This problem was addressed 231 by calculating  $GPP_{max}$  as the exponential decreasing function at high VPD, as suggested by Lasslop 232 et al. (2010). A modification of the hyperbolic light response curve was imposed to account for the 233 VPD limitation of GPP by replacing GPP<sub>max</sub> with GP<sub>0</sub>.

234 
$$GPP0 \exp(-k(VPD - VPD_0))_{0_{max}}$$
(9)

GPP0<sub>0max</sub> 235

(10)

236 where VPD<sub>0</sub> threshold was set to 1 kPa as in Lasslop et al. (2010). Additionally, k parameter 237 was estimated using nonlinear least squared regression in SAS software (SAS Institute Inc., 2013, Cary, NC, U.S.). The NEE at light saturation (NEE<sub>sat</sub>), contingent upon average temperature and 238 239 PPFD values, was derived using the equations 11-13.

240 
$$IfT_{avg} > 5.9, NEE_{sat} = ((31.7659 - 0.8456 \times T_{avg} - 32.8766) - 2.006 \times T_{avg-32.8766}); else0$$
 (11)

 $T_{avg-32.8766}$ ; else0 241

$$If PPFD < 50,0, else NEE_{sat}$$
(12)

Light saturated NEE limited by VPD was computed as follows: 243

244 
$$NEE_{sat,VPD} = NEE_{sat} \times exp(-0.2026 \times (VPD - 1))$$
(13)

245 In addition, ER values were generated using the following equation:

246 
$$If PPFD \le 50, ER = -0.7205 \times exp(0.0814 \times TS_{05});$$

247 
$$else, ER = (-0.5135 \times T_{avg} + 0.115 \times T_{avg})^2$$
 (14)

248 Afterward, we computed 
$$\alpha$$
 as following:

249 
$$\alpha = \left(0.0035 \times T_{avg} \left(0.00008 \times T_{avg}^{2}\right)\right)$$
(15)

250 Finally, the NEE values were calculated as following:

251 
$$IfNEE_{sat,VPD} = 0, NEE_{final} = ER;$$

252 
$$else, NEE_{final} = \frac{(NEE_{sat,VPD}) \times \alpha \times PPFD}{(NEE_{sat,VPD}) + PPFD + \alpha}$$
 (16)

Total NEE (g  $CO_2 m^{-2}$ ) was computed using the following conversion factor: 253

254 
$$\frac{\sum NEE \times 1800}{22.6 \times 1000}$$
 (17)

- 255 Gaps in the data were filled using average values immediately before and after the gap. We
- calculated cumulative amounts of seasonal NEE that was sequestered per unit area.

#### 257 2.5 Identifying potential switchgrass establishment areas in Oklahoma

258 According to the Conservation Reserve Program (CRP) - USDA Farm Service statistics of 2014, up

to 20% of the county area was under the CRP program in Oklahoma (Fig 3a). Especially, the

260 counties in western Oklahoma and Oklahoma Panhandle area (Texas, Cimarron, Beaver, Harper,

261 Ellis, and Grant) had most of the land area dedicated to the CRP. We aggregated six subclasses:

- switchgrass, fallow, pasture, shrubland, and grassland as defined in the 2008-2014 USDA-NASS
   Cropland Data Layer (CDL) to identify potential switchgrass production areas in Oklahoma. The
- raster data were imported into ArcGIS and reclassified to show only potential switchgrass production
- 265 areas (Fig 3b).
- As mentioned earlier, seasonal average NEE values were calculated for each of the Mesonet sites.
- 267 Calculated seasonal NEE values were then interpolated using ordinary kriging interpolation (Dhakal
- et al., 2020). The mask identified for potential switchgrass production area was applied to the annual
- 269 NEE surface to generate seasonal switchgrass NEE across the state.

## 270 **2.6 Calibration and Validation**

- We used three years (2011-2013) of EC measurements of CO<sub>2</sub> fluxes, the first two years of data for
- developing the empirical equations, and the third year of data to validate the predictions made by our
- empirical models. Conceptually, NEE can be linked to total biomass production. Hence, we used
- end-of-season aboveground switchgrass biomass data as well to validate the NEE estimates with
- measured aboveground switchgrass biomass from 2011 to 2013 in four locations (Lane, Stillwater,
- 276 Chickasha, and Woodward) in Oklahoma. Linear regression was used to compare paired
- measurements of half-hourly EC-based NEE and half-hourly NEE estimates. To quantify the
- accuracy of prediction, root mean square error (RMSE) was also reported, along with the coefficient
- 279 of determination and slope.

280 RMSE = 
$$\sqrt{\sum_{i=1}^{n} \frac{(P_i - O_i)}{N}}$$
 (19)

281 
$$\mathbf{R}^{2} = \left(\frac{n(\Sigma XY) - (\Sigma x)(\Sigma y)}{\sqrt{[n\Sigma x^{2} - (\Sigma x)^{2}][n\Sigma y^{2} - (\Sigma y)^{2}]}}\right)^{2}$$

### 282 **3 Results**

Pairwise comparisons of estimated NEE at half-hourly, monthly, and seasonal scales were made with the measured NEE. For each year, we observed good agreements between the half-hourly measured NEE and the estimated NEE, with R<sup>2</sup> values (p < 0.05) of 0.59, 0.63, and 0.63; and RMSE values of 4.78, 4.51, and 4.36  $\mu$  mol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>, for 2011, 2012, and 2013 respectively (Fig. 4a). The

(20)

agreement was similar for 2012 and 2013, with  $R^2$  values of 0.63 and slope of ~0.5. For all the years,

the slope of the regression line was less than one, suggesting underestimates of NEE values.

- For each month of the growing season, there was a good agreement between the measured and estimated half-hourly NEE values ( $R^2$  values ranged between 0.52 and 0.74) (Fig. 4b). The
- agreement was highest for May ( $R^2 = 0.74$ , slope =0.46, p<0.05) and lowest for October (0.52, slope

- 292 = 1.74, p < 0.05). Further, we aggregated monthly NEE values for each year and compared them
- against the aggregated measured NEE values. A strong agreement between the monthly cumulative measured and estimated NEE was observed for 2013, which was a wetter year ( $R^2 = 0.91$ , p < 0.05)
- followed by a drier year 2012 ( $R^2 = 0.81$ , p< 0.05). However, the agreement was poor between the
- 296 measured and estimated monthly cumulative NEE values for 2011, which was a severe drought year
- $(R^2 = 0.29, p < 0.05)$ . Contrarily, the slope of the regression line was closer to 1.0 for 2011 than 2012
- and 2013. Looking at the sink-source status of the switchgrass ecosystem monthly, we observed that the switchgrass ecosystem was a small source of CO<sub>2</sub> for July and August of 2011 and sink for the
- rest of the months in the growing season but sink for  $CO_2$  for the entire growing season of 2012 and
- 301 2013. Based on the estimated NEE values, May, and June (peak growth periods) had the highest
- 302 estimated NEE (negative sign convention) among the studied months across all three years.
- 303 However, a more accurate and complete NEE measurement and estimates of the true source-sink
- 304 status of the switchgrass ecosystem establishment warrants year-round, long-term studies.
- Further, we computed seasonal NEE during 2011-2014 for Oklahoma State University's four
- 306 different research stations located at Stillwater, Lane, Chickasha, and Woodward (for site description,
- 307 refer to Table 1) using five-minute interval weather data for the Oklahoma Mesonet stations in
- 308 proximity to the research stations (STIL, LANE, CHIC, and WOOD) as mentioned earlier. For these
- 309 locations, we compared the seasonal NEE estimates with the end-of-the-season aboveground
- 310 switchgrass biomass collected from 2011 to 2014. Results showed strong agreements between the
- measured aboveground switchgrass biomass and the seasonal carbon uptake by the switchgrass  $(\mathbf{P}^2 = 0.02, \pi < 0.05)$  (Fig. ()
- ecosystem in all four stations ( $\mathbb{R}^2 > 0.93$ , p < 0.05) (Fig. 6).
- 313 Upon observing a good agreement between seasonal NEE estimates and switchgrass aboveground
- 314 biomass production, we computed the NEE estimates for all active Oklahoma Mesonet stations. The
- distribution of the seasonal NEE for each year is shown in Fig. 7. We generated seasonal C uptake
- 316 grids for the potential switchgrass production sites across the state of Oklahoma from 2011 to 2014
- (Fig. 8). We used the ordinary kriging interpolation method to generate seasonal NEE raster surface
- for those years. The year 2011 was a severe drought year and reported as the second driest year in Oklahoma since 1925 (Shivers and Andrews, 2013). Statewide seasonal NEE for 2011 was recorded
- as the lowest among the four (278.5  $\pm$  154 g C m<sup>-2</sup>). The effect of drought is visible in the annually
- interpolated NEE surface (Fig. 8). The year 2014 had the highest seasonal NEE estimates (493  $\pm$  181 322 g C m<sup>-2</sup>)
- 322 g C m<sup>-2</sup>).
- For all the sites across 2011–2014, the average seasonal switchgrass NEE was estimated at around
- $1870 \pm 703 \text{ g CO}_2 \text{ m}^{-2} (5.1 \text{ Mg CO}_2 \text{ ha}^{-1})$ . NEE values ranged from -468 g CO<sub>2</sub> m<sup>-2</sup> to -4093 g CO<sub>2</sub>
- $m^{-2}$  for the Mesonet sites at Tipton, Oklahoma and Clayton, Oklahoma, respectively. The Mesonet
- 326 site at Tipton had temperature data missing for eight days, which resulted in the NEE estimates to be
- 327 the lowest among all the Mesonet sites.

## 328 **4 Discussion**

- 329 The concept for estimating carbon uptake per absorbed PAR has been demonstrated previously
- 330 (Monteith, 1972; Sinclair and Horie, 1989; Goetz and Prince, 1999). Based on the radiation use
- efficiency concept, various models have been developed to simulate carbon exchange between the
- atmosphere and terrestrial biosphere that account for spatiotemporal dynamics in the ecosystem for
- both potential and natural vegetation (Kirschbaum et al., 2001; Fisher et al., 2014). In addition, use of
- regression models have been also used to quantify the ecosystem CO2 exchange. Zhang et al. (2011)
- 335 used piecewise regression model that included normalized difference vegetation index (NDVI),

- 336 phenological metrics, weather data, and soil water holding capacity to show that grasslands in the
- 337 U.S. Great Plains are net C sink (0.3 to 47.7 g C  $m^{-2}$  yr<sup>-1</sup>). Moreover, the ecological literature
- 338 contains a plethora of peer-reviewed scientific data highlighting the use of remotely and proximately
- 339 sensed vegetation production measurements and eddy flux measurements to estimate and upscale
- NEE to a regional level (Emmerton et al., 2016; Reitz et al., 2021). For example, Asrar et al. (1984)
- demonstrated that cumulative NDVI measurements through the growing season may be used to
   obtain estimates of GPP. Because the coarse spatial resolution of the satellite derived measurements
- has been identified as a source of error, coupling Landsat TM and Landsat ETM+ with flux tower
- 344 measurements using image fusion and regression tree approach was found to be effective for regional
- 345 NEE estimations (Fu et al., 2014). With improvement in high-resolution satellite sensors, shorter
- 346 satellite revisit time, high frequency weather data, and advanced data processing and machine
- 347 learning algorithms, remote sensing approach can be more appealing in regions with limited in-situ
- 348 observation networks (Sharma and Dhakal, 2021).
- 349
- Recently, Liu et al. (2021) used various environmental variables (net radiation, soil water content,
- soil temperature, precipitation, vapor pressure deficit, and wind speed) to predict NEE for 10
- different biomes. The authors used trained XGBoost and Random Forest model to >10 years of
- 353 Fluxnet sites measurement across a wide range of biomes and obtained accurate prediction of NEE
- for forest, savanna, and grassland ecosystems  $(0.55 > R^2 < 0.81)$  (Liu et al., 2021). Our approach also
- relies completely on deriving relationships between the environmental variables such as air
- temperature, soil temperature, and solar radiation to compute half-hourly NEE estimates. The
   Oklahoma Mesonet records meteorological data at high temporal frequency (5-min intervals),
- offering a unique possibility to produce empirical estimates of regional NEE of switchgrass when
- extrapolated using measured NEE. Estimates of mean NEE across the Mesonet sites ranged from
- 360  $2.78 \pm 1.54 \text{ Mg C} \text{ ha}^{-1}$  in 2011 to  $4.93 \pm 1.81 \text{ Mg C} \text{ ha}^{-1}$  in 2014. The NEE estimates from the
- semiarid sites of Oklahoma are similar to the EC flux measurements of NEE measured in a switchgrass ecosystem in Central Illinois.  $(4.53 \pm 0.2 \text{ Mg C ha}^{-1})$  (Zeri et al., 2011). Furthermore, the
- NEE measurements acquired from a mature switchgrass stand in Southwestern Ontario for the year 2014 was  $3.36 \pm 0.38$  Mg C ha<sup>-1</sup>.
- 365

The temporal behaviour of NEE in the switchgrass ecosystem demonstrated seasonal and day-to-day
variations. Additionally, the sptatiotemporal simulations illustrate the effect of microclimate
variability on the carbon balances is captured well for carbon budget related studies in switchgrass
ecosystem on a regional scale. This indicates that our approach using fine temporal resolution
meteorological forcings can capture and describe a range of variation of biophysical factors in
switchgrass ecosystems. Improvement in NEE estimates can be achieved by calibrating and
validating with site-specific flux and meteorological measurements.

373

374 Further inclusion of belowground biomass would significantly improve NEE estimates results at 375 localities. To our knowledge, there is no other empirical method that is robust across the interest of 376 scale of time and space, which simulates the switchgrass carbon uptake. In this study, the NEE 377 measured by EC technique at a location was extrapolated to quantify carbon sequestration potential 378 across potential switchgrass areas in Oklahoma using 30-minute averaged Mesonet data. As it has 379 been highlighted in the literature, upscaling of EC-based carbon fluxes to large regions has been 380 conducted using different approaches such as data-driven (empirical, statistical models) or data 381 assimilation approaches (ecosystem models, parameter estimation techniques) (Xiao et al., 2012). 382 Empirical estimates of net primary productivity for terrestrial plant communities were computed 383 from climatology-derived actual evapotranspiration (Rosenzweig, 1968). Gross primary production 384 (GPP) in the terrestrial ecosystems of the southern U.S. was estimated by scaling up leaf assimilation rates of the shaded and sunlit canopy and factoring it with the daytime length (Tian et al., 2010).

386 Likewise, GPP modelling for boreal and temperate forest ecosystems was based on light use

387 efficiency, daily mean temperature, vapor pressure deficit (VPD), and soil water content (Makela et

al., 2008). In their study of carbon fluxes in ponderosa pine forest (*Arctostaphylos patula* and

- *Purshia tridentata*), Law et al. (2001) also reported that Biome-BGC process model simulated carbon
   budgets have been found to underestimate NEE compared to EC measurements.
- 391

392 Since our study was only limited to the growing season, source/sink dynamics for the entire year 393 were not captured. However, it is imperative to understand the responses of NEE to various climatic 394 conditions such as pluvial, drought, and normal years. Uncertainties in this study arise from 395 parameterizing the model with limited site and simplification of some of the ecosystem processes that 396 may not truly capture the exact variability of real phenomena. Most of the coefficients and constants 397 were generated from our calibration site. These values may vary spatially; therefore, additional 398 studies are necessary to investigate and reduce the uncertainty in the model's applicability. We only 399 conducted validation of NEE at the local level. Since this study was performed for potential 400 switchgrass growing areas, ground truth data for all the sites are not available. If the switchgrass 401 growing areas are large enough to be captured with satellite imagery, large-scale validation can be 402 performed using high-resolution remotely sensed data. There is also a potential for benefiting from 403 usage of current technology such as Uncrewed Aerial Vehicles (UAVs), which can capture the data 404 on-demand on a custom scale. However, that is beyond the scope of this study. Future studies should 405 focus on improving this empirical approach to include year-round estimates of NEE under various

406 climatic conditions.

#### 407 **5** Conclusions

408 The seasonal carbon balance of a switchgrass ecosystem was evaluated using an estimate of net 409 ecosystem CO<sub>2</sub> exchange (NEE). The models use radiation use efficiency approach, with air temperature, soil temperature, vapor pressure deficit, and quantum use efficiency as modifying 410 411 factors. Empirical equations for estimating NEE of CO<sub>2</sub> in a switchgrass ecosystem were generated 412 and validated against eddy covariance tower measured NEE along with field data for switchgrass 413 biomass production and high frequency (5-min intervals) meteorological data from four locations. 414 Our results illustrate the importance of carbon balance model development on a temporal and spatial 415 scale. This approach can be used to compare direct carbon flux measurements or when flux 416 measurements data are unavailable for a better understanding of source-sink status of the switchgrass 417 ecosystems. The study could be helpful in adjusting cropping systems and management practices for 418 bioenergy production and understanding of carbon sequestration at a regional level. Undoubtedly, 419 with improved datasets at a range of scales and computing power, we will enhance our ability to 420 predict and capture spatial patterns of carbon exchange in switchgrass landscapes. However, we also 421 acknowledge the fact that such extrapolations should be done with care because of accompanying 422 uncertainties which require a thorough understanding of the subject matter. Given the findings here, 423 we recommend pursuing spatial modeling of NEE over a large spatial domain with additional field 424 measurements representative of that agroecological domain.

#### 425 **6** Author Contributions

KD, VGK, and PW: conceptualization. KD and VGK: formal analysis. VGK: fund acquisition. KD
and SS: original draft writing, data analysis, and visualization. KD, VGK, and PW: revision and
editing. All authors contributed to the article and approved the submitted version.

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#### 682 Tables

**Table 1.** Characteristics of the switchgrass grown fields, including soils (USDA, 2017), the United States Environmental Protection

Agency (EPA) ecoregion (Level IV ecoregion of are shown in parenthesis), and the United States Department of Agriculture Plant

685 Hardiness Zone.

Site	Soil name	Dominant taxonomic classification	EPA Ecoregion	USDA Plant Hardiness Zone
Lane	Bernow	Fine-loamy, siliceous, active, thermic Glossic Paleudalfs	South Central Plains (35d)	7b
Stillwater	Easpur	Fine-loamy, mixed, superactive, thermic Fluventic Haplustolls	Central Great Plains (270)	7a
Chickasha	Dale McLain	<ul> <li>a) Fine-silty, mixed, superactive, thermic Pachic Haplustolls</li> <li>b) Fine, mixed, superactive, thermic Pachic Argiustolls</li> </ul>	Central Great Plains (27d)	7 7a
Woodward	a) Devol b) Eda	<ul> <li>a) Coarse-loamy, mixed, superactive, thermic Typic Haplustalfs</li> <li>b) Mixed, thermic Lamellic Ustipsaments</li> </ul>	Central Great Plains (27q)	6b

 Table 2 Summary of the sensors used in the Oklahoma Mesonet network.

Variable	Sensor	Unit	Accuracy
Air Temperature at 1.5 m	Thermometrics Air Temperature	°C	± 0.5 °C
Rainfall	Met One Tipping- Bucket	mm	$\pm 5\%$ over the range of 0 to 5 cm hr <sup>-1</sup>
Soil Temperature, under sod (5 cm)	Stainless steel encased 10K thermistor probe, thermocouple sensor	°C	±0.5 °C
Solar Radiation	LI-200S Pyranometer	W m <sup>-2</sup>	±5%



Fig. 1. Mean monthly temperature (°C) (a) and average total precipitation (mm) for four study locations (Lane, Stillwater, Chickasha, and
 Woodward) based on 30-year climate normal.



Fig. 2. Mesonet site distribution across Oklahoma. The black triangle is the site in Chickasha,
Oklahoma, where empirical models were derived using Eddy flux measurements. Blue,
yellow, and red triangles are the field-based biomass data acquired at Woodward, Stillwater,
and Lane, respectively. Polar plots of (a) average temperature and (b) precipitation for
Woodward, Lane, Stillwater, and Chickasha, respectively.



- **Fig. 3.** Spatial distribution of the percentage of county area dedicated to the Conservation Reserve
- 701 Program (CRP) in Oklahoma (a). Potential switchgrass production areas estimated by reclassifying
- the USDA-NASS Crop Data Layer 2008–2014 (b).



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**Fig. 4.** (a) Comparison of empirical estimates of half-hourly net ecosystem  $CO_2$  exchange (NEE) with measured half-hourly NEE for 2011, 2012, and 2013. The dotted black line represents a 1:1 relationship. (b) Comparison of half-hourly NEE with empirical NEE estimates for each of the individual months (May through October) of the active growing season.



**Fig. 5.** Relationship between cumulative monthly measured and estimated net ecosystem CO<sub>2</sub> exchange (NEE) for Chickasha, Oklahoma in 2011, 2012, and 2013.



**Fig. 6.** Relationship between seasonal (April–October) carbon uptake (net ecosystem  $CO_2$  exchange, t ha<sup>-1</sup>) by switchgrass ecosystem and

end-of-season aboveground switchgrass biomass for (a) Woodward, Oklahoma, (b), Stillwater, Oklahoma (c) Chickasha, Oklahoma, and (d)

The Lane, Oklahoma for 2011–2014. Biomass yield for Lane, Oklahoma for 2014 was not available.











718 2014. Dashed lines represent the mean for the given year, and the legend shows the mean  $\pm$  standard

719 deviation of the seasonal NEE values across the Oklahoma Mesonet sites.



- **Fig. 8.** Spatial explicit prediction of growing season net ecosystem CO<sub>2</sub> exchange (NEE) in a
- switchgrass ecosystem from 2011 to 2014 in a theoretical switchgrass production area across
- 724 Oklahoma.