## Do Absorbing Aerosols or Scattering Aerosols Dominate the Impact of Aerosols on Ozone via Influencing Photolysis Rates?

Gao Jinhui<sup>1</sup>, LI Ying<sup>2</sup>, Xie Zhouqing<sup>3</sup>, Wang Lili<sup>4</sup>, Hu Bo<sup>5</sup>, and Bao Fangwen<sup>2</sup>

<sup>1</sup>Chengdu University of Information Technology

<sup>2</sup>Southern University of Science and Technology

<sup>3</sup>University of Science and Technology of China

<sup>4</sup>Institute of Atmospheric Physics, Chinese Academy of Sciences

<sup>5</sup>State Key Laboratory of Atmospheric Boundary Layer Physics and Atmospheric

Chemistry, Institute of Atmospheric Physics, Chinese Academy of Sciences

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#### Abstract

Since different optical properties, absorbing aerosols (AA) and scattering aerosols (SA) impact ozone differently via influencing photolysis rates. Notably, studies on the impact of SA have not reached a consistent conclusion, leading to disconfirmation regarding which type of aerosol dominates the impact of aerosols on ozone via influencing photolysis rates. Our results show that, in contrast to the decreasing impact of AA on ozone, SA decrease ozone chemical contribution (CHEM) near surface but increase that aloft, subsequently enhancing the vertical exchange of ozone and resulting the counteraction of the opposite vertical changes in CHEM. Consequently, ozone changed slightly, indicating that AA are the dominant aerosols. Reducing AA leads to ozone increase nonlinearly ( $\Delta O_3$ ). More than 86% of North China Plain could be covered by  $\Delta O_3$  when reducing more than 3/4 of AA. More attention should be paid on the balance between ozone and AA in determining synergetic control strategy.

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## Jinhui Gao<sup>1,2,3</sup>, Ying Li<sup>2,3\*</sup>, Zhouqing Xie<sup>4</sup>, Lili Wang<sup>5</sup>, Bo Hu<sup>5</sup>, Fangwen Bao<sup>2,3</sup>

- <sup>1</sup>Plateau Atmosphere and Environment Key Laboratory of Sichuan Province, School of
   Atmospheric Sciences, Chengdu University of Information Technology, Chengdu, China.
- <sup>2</sup>Department of Ocean Science and Engineering, Southern University of Science and Technology,
   Shenzhen, China.
- <sup>3</sup>Center for the Oceanic and Atmospheric Science at SUSTech (COAST), Southern University of
   Science and Technology, Shenzhen, China.

<sup>4</sup>Department of Environmental Science and Engineering, University of Science and Technology
 of China, Hefei, China.

- <sup>5</sup>State Key Laboratory of Atmosphere Boundary Layer Physics and Atmospheric Chemistry
   (LAPC), Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China.
- 15 \*Corresponding author: Ying Li (liy66@sustech.edu.cn)

## 16 Key Points:

- Absorbing aerosols dominate the impact of aerosols on ozone via influencing photolysis
   rates.
- Scattering aerosols change chemical and physical contributions of ozone, changes offset
   with each other which finally impact ozone little.
- It shows quadratic relationship that reducing absorbing aerosols leads to nonlinear increase in surface ozone over the North China Plain.
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#### 25 Abstract

Since different optical properties, absorbing aerosols (AA) and scattering aerosols (SA) impact 26 27 ozone differently via influencing photolysis rates. Notably, studies on the impact of SA have not reached a consistent conclusion, leading to disconfirmation regarding which type of aerosol 28 dominates the impact of aerosols on ozone via influencing photolysis rates. Our results show that, 29 30 in contrast to the decreasing impact of AA on ozone, SA decrease ozone chemical contribution (CHEM) near surface but increase that aloft, subsequently enhancing the vertical exchange of 31 32 ozone and resulting the counteraction of the opposite vertical changes in CHEM. Consequently, ozone changed slightly, indicating that AA are the dominant aerosols. Reducing AA leads to ozone 33 increase nonlinearly ( $\Delta O_3$ ). More than 86% of North China Plain could be covered by  $\Delta O_3$  when 34 reducing more than 3/4 of AA. More attention should be paid on the balance between ozone and 35 AA in determining synergetic control strategy. 36

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## 38 Plain Language Summary

39 Aerosols can impact ozone via influencing photolysis rates. However, absorbing aerosols (AA) and scattering aerosols (SA) show different optical properties that impact ozone differently. It's 40 noteworthy that previous studies on the impact of SA have not reached a consistent conclusion, 41 42 leading to the disconfirmation of which type of aerosol dominates the impact of aerosols on ozone via influencing photolysis rates. In this study, we found that SA can decrease the chemical 43 production of ozone (CHEM) near surface but significantly increase that aloft, then enhancing the 44 vertical exchange of ozone. In this case, the strong vertical exchange neutralizes the opposite 45 changes in CHEM and ultimately lead to very slight changes in ozone, suggesting AA dominates 46 47 the impact of aerosols on ozone via influencing photolysis rates. It shows a quadratic relationship that reducing AA could lead to a nonlinear increase in surface ozone ( $\Delta O_3$ ). More than 86% of the 48 North China Plain would experience  $\Delta O_3$  when reducing more than 3/4 of AA, especially in urban 49 areas, the increment could be more than 5 ppb. Our results suggest that more attention should be 50 paid on the balance between ozone and AA when determining the synergetic control policy of air 51 quality in China. 52

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#### 54 **1 Introduction**

Air quality over the North China Plain (NCP) is suffered by high concentrations of aerosol and ozone in recent years (Zhang et al., 2014a; Gao et al., 2015; Ding et al., 2016; Cai et al., 2017; Lu et al., 2018; 2019; Dong et al., 2020; Li et al., 2020; Li et al., 2021). To improve the air quality, a series of stringent air quality control actions were implemented since 2013. With hard work during the following 5 years (from 2013 to 2017), the mean concentrations of particulate matter with diameter less than 2.5  $\mu$ m (PM<sub>2.5</sub>) decreased by 33%, and this reduction even reached 38% in the Beijing-Tianjin-Hebei (BTH) region (Zhang et al., 2019). However, concentrations of surface ozone increased simultaneously (Lu et al., 2018; Liu & Wang, 2020a; 2020b), which was considered due to the decrease in aerosols (Li et al., 2019a; 2019b).

Light extinction of aerosols (including light absorption and scattering) can impact ozone 64 via influencing the photolysis rates of ozone and its precursors, which has been studied worldwide 65 in recent decades (Dickerson et al., 1997; Jacobson, 1998; Cartro et al., 2001; Li et al., 2005; Li et 66 al., 2011; Gao et al., 2020). With continuous hard work, understanding of this mechanism is 67 improving. However, there are still some unclear problems that need to be more sufficiently 68 69 studied. It is well known that absorbing aerosols (AA) and scattering aerosols (SA) have different optical properties, and can influence photolysis rates differently, thus resulting in different impact 70 on ozone (Dickerson et al., 1997; Jacobson, 1998; Liao et al., 1999). In this case, it is worth 71 72 confirming which type of aerosol (AA or SA) dominates the impact of aerosols on ozone via influencing photolysis rates. And we believe that making this question clear can provide more 73 information regarding the interactions between aerosols and ozone. 74

75 To solve this problem, it is necessary to confirm the influence of each type of aerosol on 76 photolysis rates and the resulting impact on ozone. For AA, the conclusions of previous studies are consistent: the attenuation of incident solar irradiance induced by AA reduces photolysis rates 77 and then weakens the photochemical production of ozone, and finally reduces surface ozone 78 (Dickerson et al., 1997; He & Carmichael, 1999; Cartro et al., 2001; Li et al., 2005). However, 79 most studies focused on the surface ozone but missed the impact on ozone throughout the entire 80 planet boundary layer (PBL). Studies on the impact of SA on ozone have obtained different 81 conclusions. Dickerson et al. (1997) reported that SA (for example, sulfate) could increase 82 83 photolysis rates at higher altitudes, thus enhancing the ozone photochemical production and ultimately leading to the increase in ozone (with increases of 20 ppb or more). Other studies 84 summarized the impact of SA differently. He and Carmichael (1999) reported that ozone showed 85 only a slight increase induced by SA. Tie et al. (2005) reported that SA led to much smaller changes 86 in ozone, and some other studies suggested that SA could cause ozone to increase and decrease 87 simultaneously (Xing et al., 2017; Li et al., 2018). These different conclusions have resulted in 88 large uncertainty regarding the impact of SA on ozone. Only by solving this uncertainly and 89 clarifying the differences between the impacts of AA and SA can we reach our goal of determining 90 91 the dominate aerosol impacting ozone via influencing photolysis rates.

In this study, the Weather Research and Forecasting model with Chemistry (WRF-Chem) was implemented to simulate air pollutants over the NCP in October 2018. By comparing the obtained results among the experiments, the impacts of AA and SA on ozone via influencing photolysis rates were quantitatively analyzed, respectively. Furthermore, by comparing the 96 impacts of the two aerosol types, the dominant aerosol in impacting ozone via influencing 97 photolysis rates would be confirmed. Finally, the sensitivities of the changes in surface ozone

Photorybis rates would be commined. I many, the sensitivities of the enanges in sufface ozone

- 98 caused by reducing the dominant aerosols over the NCP were also quantitatively discussed. Our 99 results provide clearer insight into the impacts of aerosols on ozone and more scientific advice for
- 100 synergistic control strategy regarding ozone and aerosols.

## 101 2 Methodology

102 2.1 Numerical simulation

To understand the impacts of the two types of aerosols on ozone through their influence on 103 photolysis rates, we conducted numerical simulations with the WRF-Chem model (version 3.9.1.1, 104 Skamarock et al., 2008; Grell et al., 2005). The model configurations are listed in the supporting 105 information (SI: Test S1). All the aerosol species included in the applied aerosol scheme (Zaveri 106 107 et al., 2008) were classified into AA or SA based on their refractive indexes (SI: Test S1 and Table S1). It should also be noted that the WRF-Chem model features two-way feedback that the impacts 108 on ozone both via influencing photolysis rates and via affecting the development of the PBL (Gao 109 et al., 2018) induced by the light extinction of aerosols can be included in this model system (SI: 110 111 Fig. S2a). To isolate the impact of aerosols on ozone via influencing photolysis rates, some necessary modifications were made to the source codes of WRF-Chem to fulfill the purposes of 112 this study. Detailed information about the model modifications can be found in the SI (Test S2 and 113 Fig. S2b). 114

115 With the implementation of the modified WRF-Chem model, four experiments were established [SI: Test S3 (I) and Fig. S2b]: Exp1 was designed by considering the effects of all 116 aerosols when calculating photolysis rates, it could be treated as base experiment and whose results 117 could be used for the model evaluations. Exp2 was designed by not considering any effects of 118 aerosols when calculating photolysis rates, and this experiment was treated as the blank experiment. 119 Exp3 was designed by considering only the effect of SA when calculating photolysis rates, and 120 Exp4 was designed by considering only the effect of AA when calculating photolysis rates. By 121 comparing the results of these experiments, the differences of ozone between Exp2 and Exp3 show 122 the impact of SA on ozone via influencing photolysis rates, and the differences of ozone between 123 Exp2 and Exp4 show the impact of AA. 124

125 2.2 Model evaluation

The model performance was evaluated by comparing the simulations with observations of meteorological factors (temperature, wind speed and wind direction) and air pollutants (ozone, NO<sub>2</sub>, and PM<sub>2.5</sub>). As determined through a large number of comparisons, the model results matched well with the observations, suggesting that the model successfully reproduced the spatial and temporal variations of meteorology and air quality over the NCP during October 2018 (SI: 131 Test S4, Table S2, and fig. S3~S4). In addition, the observed photolysis rates ( $J[NO_2]$  and  $J[O_3^1D]$ )

and aerosol species (SO<sub>4</sub>, NO<sub>3</sub>, NH<sub>4</sub>, BC, and OC) measured by the research group attached to the

133 Institute of Atmospheric Physics (IAP) Chinese Academy of Sciences were also applied to 134 evaluate the model performance in simulating the corresponding variables. By comparing the

evaluate the model performance in simulating the corresponding variables. By comparing the observations (Fig. S5~S8), our simulations captured the variations of these variables well.

observations (Fig. S5~S8), our simulations captured the variations of these variables well. Especially during high aerosol episodes (i.e.,  $13^{th}-15^{th}$ ,  $20^{th}-22^{nd}$ , and  $25^{th}$  in Oct. 2018), our results

successfully captured the high concentrations of these aerosol species. In conclusion, the

138 satisfactory model performances obtained for all the variables mentioned above indicated that the

139 WRF-Chem model is capable of reproducing the atmospheric characteristics well over the NCP

140 during Oct. 2018.

#### 141 **3 Results and discussions**

## 142 3.1 Impacts on the photolysis rate profile and surface ozone

During the polluted days, the AA and SA vertical profiles (right panel of Fig. 1a) showed 143 high concentrations within PBL but decreased significantly with altitude above the PBL (gray 144 dashed line). The different optical properties of AA and SA resulted in different photolysis rate 145 profiles. Taking J[NO<sub>2</sub>] as an example (left panel of Fig. 1a), J[NO<sub>2</sub>] clean (blue line) showed a 146 147 vertical distribution without any impact from aerosols (as determined from the results of Exp2). When it was under the influence of AA, J[NO<sub>2</sub>] AA (red line) commonly decreased in the vertical 148 direction due to the attenuation of solar irradiance caused by the light absorption of AA (Liao et 149 al., 1999; Ding et al., 2016). This decreasing trend enlarged as altitude decreased, corresponding 150 to the vertical distributions of AA concentrations. In contrast to AA, SA caused J[NO<sub>2</sub>] SA (green 151 line) to decrease at lower altitudes but increase at higher altitudes. This was due to the 152 backscattering effects of SA on solar irradiance leading to the attenuation of shortwave radiation 153 at lower altitudes but the enhancement of shortwave radiation at higher altitudes (Dickerson et al., 154 1997; Liao et al., 1999). It should be noted J[NO2] SA was enhanced in the middle and upper 155 layers of the PBL where ozone precursors (NOx and VOCs) exist. In this case, the enhanced 156 photolysis rates may lead to stronger ozone photochemistry and increase the photochemical 157 production of ozone. This is the key factor that led some previous studies to concluding that SA 158 could result in an increase in ozone via influencing photolysis rates. 159

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Figure 1. Mean profiles of  $J[NO_2]$  and different types of aerosols at Xianghe station at 12:00 in polluted days (a); mean distributions of the changes in surface ozone ( $\Delta O_3$ ) induced by AA (b) and SA (c) during the daytime (09:00~17:00 local time, LT) on polluted days. The gray dashed line in (a) denotes the planet boundary layer height (PBLH). The locations of the four representative cities are denoted as red dots in (b) and (c), BJ=Beijing, TJ=Tianjin, SJZ=Shijiazhuang, and ZZ=Zhengzhou.

By influencing photolysis rates, AA caused surface ozone to significantly decrease over 169 the NCP region (Fig. 1b). This change in ozone ( $\Delta O_3$ ) showed a similar distribution to that of AA 170 (Fig. S9a). And  $\Delta O_3$  was much more significant over urban areas (i.e., BJ, TJ, SJZ, and ZZ) where 171 172 high concentrations of AA covered. For SA, our results differed from the significant increase in ozone reported by Dickerson et al. (1997), it showed that both values and coverage of  $\Delta O_3$  induced 173 by SA were much less than those induced by AA (Fig .1c), although the SA concentrations were 174 much higher than the AA concentrations (Fig. S9b). Thus, the result is consistent with the results 175 of Tie et al. (2005) and Xing et al. (2017), who reported that SA leads to less significant changes 176 177 in surface ozone through their influence on photolysis rates than AA. Hereby, it is clear that the two types of aerosols have different impacts on surface ozone, furthermore, how the impacts of 178 the two aerosol types occur is worthy of more carefully studying. 179

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## 3.2 Process analysis of the impacts on ozone induced by AA and SA

The impacts of aerosols on ozone can be reflected by the changes that occur in contributions to ozone development through chemical and physical processes (Gao et al., 2020). With the implementation of the process analysis (Zhang et al., 2014b; Gao et al., 2016), the mean change in each ozone contribution (chemistry, vertical mixing, and advection) induced by AA and SA over the four representative cities are presented in Fig. 2. Furthermore, by comparing these changes, the key factor that contributes the most to  $\Delta O_3$  can be determined.

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Figure 2. Average vertical distributions of the change in each process contribution induced by AA (a~d) and SA (e~h) as a function of time during daytime. The data is spatially sampled and averaged to represent the average situation among the four cities.  $\Delta$ CHEM=change in chemistry,  $\Delta$ VMIX=change in vertical mixing,  $\Delta$ ADV=change in advection,  $\Delta$ NET=changes in the sum of these contributions. The red dashed lines denote the PBLH

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Changes in each ozone contribution induced by AA are presented in Fig. 2a~2d. As a result 195 of the decreased photolysis rates induced by AA, the ozone photochemistry was weakened, which 196 showed the ozone contribution from chemistry (CHEM) decreased significantly in the PBL (as 197 denoted by the negative  $\Delta$ CHEM values in Fig. 2a), especially in the lower layers (Fig. 2a). 198 Furthermore,  $\Delta CHEM$  also enlarged the vertical ozone gradient. In this case, the turbulence within 199 the PBL entrained more ozone aloft down to the surface, enhancing the contribution from vertical 200 mixing (VMIX; Gao et al., 2020). Therefore, the  $\Delta$ VMIX values were negative aloft but positive 201 at lower altitudes (Fig. 2b). The contribution from advection (ADV) changed slightly, and  $\Delta ADV$ 202 showed only small values in the afternoon (Fig. 2c). After summing all the changes (Fig. 2d), 203  $\Delta NET$  showed a decrease in the entire PBL, suggesting that AA resulted in decreased ozone not 204 only at the surface but also throughout the entire PBL. SA caused different changes in CHEM and 205 206 VMIX. As shown in Fig. 2e, CHEM decreased at lower altitudes but increased significantly at 207 higher altitudes in the PBL, corresponding to the influence on the photolysis rates induced by SA.  $\Delta$ VMIX values induced by SA showed the same patterns as those induced by AA, but with a much 208

- 209 stronger intensity (Fig. 2f). ADV also showed little change in the PBL (Fig. 2g). Thus, SA also
- caused significant changes in both CHEM and VMIX. However,  $\Delta$ CHEM and  $\Delta$ VMIX showed
- 211 opposite distributions with similar absolute values. As a result,  $\Delta NET$  induced by SA showed very
- small values in the PBL (Fig. 2h), suggesting that SA caused much smaller changes in ozone in
- the entire PBL than AA, although very significant changes did occur in relevant chemical and
- 214 physical processes.



Figure 3. Synergy of  $\Delta$ CHEM and  $\Delta$ VMIX on ozone profile induced by AA and SA, respectively.  $\Delta$ CHEM values are denoted by shading. Ozone profiles without the impact of aerosols (O<sub>3</sub>\_noaerosol) are denoted by green lines; ozone profiles impacted by  $\Delta$ CHEM (O<sub>3</sub>\_noaerosol+ $\Delta$ CHEM) are denoted by gray lines; and ozone profiles impacted by the synergy of  $\Delta$ CHEM and  $\Delta$ VMIX (O<sub>3</sub>\_noaerosol+ $\Delta$ CHEM+ $\Delta$ VMIX) are denoted by orange lines. The vertical budgets of the changes in ozone contributions induced by AA and SA are presented in the small panels at the top-right corners of (a) and (b), respectively.

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Based on the discussion above,  $\Delta CHEM$  and  $\Delta VMIX$  are the two key factors that 225 synergistically contribute to  $\Delta O_3$ . Because of the different optical properties of AA and SA, the 226 227 two types of aerosols caused CHEM and VMIX to change in different ways, ultimately leading to different changes in ozone in the PBL. Under the impact of AA (Fig. 3a), CHEM decreased in 228 vertical direction, and the decrement was more significant at lower altitudes (light blue shades). In 229 this case,  $\Delta$ CHEM caused the ozone profile to form a greater vertical gradient (gray line) than that 230 formed when ozone was not influenced by aerosols (green line). The greater vertical gradient could 231 232 enhance the vertical exchange of ozone by entraining high concentrations of ozone aloft down to

the surface (as depicted in the schematic diagram on the left of Fig. 3a) till the vertical gradient 233 was eliminated again. Due to the significant decrease in CHEM in the PBL, ozone in the lower 234 layer was partly supplied by ozone aloft, and the final ozone profile (orange profile) still showed 235 a decrease in the PBL. The budget of the changes in ozone contributions in vertical direction 236 showed negative values, further suggesting the decrease in ozone within the PBL induced by AA 237 (as shown in the small panel at the top-right corner of Fig. 3a). For SA (Fig. 3b), due to their 238 influence on photolysis rates, CHEM increased at higher altitudes (pink shade) but decreased near 239 the surface (light blue shade), causing the profile of ozone (gray line) to form a much more 240 significant vertical gradient. This enhanced the vertical exchange of ozone with a strong intensity, 241 which explained the occurrence of more significant  $\Delta VMIX$  values induced by SA (Fig. 2f). More 242 importantly, this vertical exchange resulted in the neutralization between the increase in CHEM at 243 higher altitudes and the decrease in CHEM near the surface, finally leading to less obvious changes 244 in ozone profile (orange line). And also, the budget of the changes in ozone contributions showed 245 246 that ozone did not change significantly under the impact of SA. In addition, the different impacts of AA and SA on ozone obviously indicate that AA is the key factor dominating the impact of 247 aerosols on ozone by influencing photolysis rates. 248

3.3 Sensitivity of the  $\Delta O_3$  caused by the reduction in AA

Our results suggested that  $\Delta O_3$  is more sensitive to AA. Hereby, taking the results from 250 Expl as the base condition and comparing them with the results of four additional experiments [SI: 251 Test S3 (II)] and Exp3, the sensitivities of  $\Delta O_3$  caused by the reduction in AA (by reducing AA 252 253 by 1/4, 1/2, 3/4, 7/8, and entirely) over the NCP were examined in this section. Through the comparisons, surface ozone was found to increase as AA were reduced over the NCP (Fig. S10). 254 As shown in Fig. 4a, when reducing AA by 1/4,  $\Delta O_3$  (with values of  $1 \sim 2$  ppb) covered only 9% of 255 the NCP, mainly above Beijing, Tianjin and Hebei Province (Fig. S10a). When the reduction in 256 AA increased to 1/2, the coverage of  $\Delta O_3$  significantly increased to 29.6×10<sup>4</sup> km<sup>2</sup> (58.1% of NCP), 257 and the  $\Delta O_3$  increases were basically distributed in the range of 1~4 ppb. When AA was reduced 258 by 3/4 or more, more than 86% of NCP was covered by  $\Delta O_3$ . In addition, it is noteworthy that the 259 greater the magnitude of the AA reduction was, the higher the resulting  $\Delta O_3$  values were. For 260 example, when reducing all AA, 12.5% of the NCP was covered by  $\Delta O_3$  values greater than 5 ppb, 261 and most of the increases were located above urban areas (i.e., BJ, TJ, SJZ and ZZ) that suffered 262 by relatively high concentrations of AA (Fig. S9a). 263

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Figure 4. (a) Coverage of  $\Delta O_3$  at ground level induced by reducing AA over the NCP; (b) Trends of  $\Delta O_3$  induced by reducing AA in the four representative cities. For each city, data is spatial sampled and averaged.

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The  $\Delta O_3$  trends induced by reducing AA in the four representative cities are presented in 270 Fig. 4b. The mean  $\Delta O_3$  in BJ increased more significantly than those in the other cities. The 271 maximum increment reached 8.6 ppb when reducing all AA. Second to BJ, the mean  $\Delta O_3$  values 272 in TJ and SJZ showed similar trends, with maximum increments of 7.3 ppb and 7.1 ppb obtained 273 when AA was reduced entirely.  $\Delta O_3$  values in ZZ increased the slowest, with a maximum 274 275 increment of 4.0 ppb when AA was eliminated completely. Finally, it is noteworthy that the trends revealed a nonlinear relationship between  $\Delta O_3$  and the reduction in AA. And the nonlinear fitting 276 277 lines obtained for each city indicated a quadratic relationship with high coefficient values  $(R^2>0.999)$ . Basically, when the reduction in AA was equal to or greater than 3/4, ozone in BJ, TJ, 278 and SJZ showed relatively high increments (>5 ppb), suggesting that attention should be paid on 279 280 the balance between ozone and reducing AA over the NCP, especially over the urban areas in the BTH region. 281

#### 282 5 Conclusions

The impacts of aerosols on ozone by influencing photolysis rates have been widely discussed in previous studies, however, since different optical properties being shown on different aerosol types, ozone changes differently when it is impacted by AA and SA separately. And till now, there has been a lack of sufficient understanding regarding why and how these two types of aerosols impact surface ozone differently. In this study, the WRF-Chem model was implemented to simulate air pollutants over the NCP during Oct. 2018. By comparing the results of a series of well-designed experiments, the changes in ozone ( $\Delta O_3$ ) induced by AA and SA by influencing 290 photolysis rates were isolated, respectively. With the implementation of process analysis, the 291 impacts of the two types of aerosols on ozone were quantitatively discussed.

292 The different optical properties of AA and SA influenced photolysis rates differently, but they both led to changes in CHEM and VMIX and thus synergistically contributed to  $\Delta O_3$  in the 293 PBL. For AA, decreased photolysis rates weakened the photochemistry of ozone in the PBL, which 294 ultimately decreased CHEM in the PBL. This decrease in CHEM also enlarged the vertical 295 gradient of ozone and then resulted in the enhancement of VMIX, causing more ozone aloft being 296 297 entrained downward and partly offset the decrease in ozone at the surface. As a result, ozone was decreased in the entire PBL induced by AA. This synergy between CHEM and VMIX also 298 dominated the impact of SA, but different variations were caused by the scattering effect of SA on 299 solar irradiance. The scattering effect of SA decreased the photolysis rates near surface but 300 enhanced which in the middle and upper layers of the PBL. Correspondingly, CHEM decreased 301 302 near surface but increased significantly in the middle and upper layers of the PBL. The changes in CHEM formed a more significant vertical gradient that enhanced the vertical exchange of ozone. 303 In this case, the vertical exchange neutralized the decrement of CHEM near surface, which was 304 counteracted by the increment of CHEM aloft, ultimately resulting in the ozone showing much 305 306 smaller changes than those induced by AA.

Since ozone is more sensitive to AA than SA, the sensitivity of  $\Delta O_3$  caused by the reduction 307 in AA over the NCP was examined. When AA were reduced by 1/4, only approximately 9% of 308 the NCP was covered by  $\Delta O_3$  with values of 1~2 ppb. The coverage of  $\Delta O_3$  increased dramatically 309 (58.1%) when the reduction in AA increased to 1/2. And when reducing AA by more than 3/4, 310 more than 86% of the NCP was covered by  $\Delta O_3$ , suggesting that the reduction in AA can lead to 311 a significant increase in ozone. In addition,  $\Delta O_3$  was relatively high over the urban areas that 312 313 suffered by high concentrations of AA. Basically, it showed a quadratic relationship between  $\Delta O_3$ and the reduction in AA. When the reduction in AA was equal to or greater than 3/4, ozone 314 increased by more than 5 ppb in BJ, TJ, and SJZ, suggesting that attention should be paid on the 315 balance between ozone and AA when conducting the air quality control actions in the NCP, 316 especially in the urban areas of the BTH region. Our results provide quantitative evidence for the 317 synergetic control strategy of aerosols and ozone in the NCP region and even across China. 318

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- 330
- 331 Open Research
- **Data availability statement.** We have uploaded all the data used in this study to Zenodo. It is available at https://zenodo.org/record/5606501#.YXpOr9lBz1I
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## Geophysical Research Letters

## Supporting Information for

## Do Absorbing Aerosols or Scattering Aerosols Dominate the Impact of Aerosols on Ozone via Influencing Photolysis Rates?

Jinhui Gao<sup>1,2,3</sup>, Ying Li<sup>2,3\*</sup>, Zhouqing Xie<sup>4</sup>, Lili Wang<sup>5</sup>, Bo Hu<sup>5</sup>, Fangwen Bao<sup>2,3</sup>

<sup>1</sup>Plateau Atmosphere and Environment Key Laboratory of Sichuan Province, School of Atmospheric Sciences, Chengdu University of Information Technology, Chengdu, China.

<sup>2</sup>Department of Ocean Science and Engineering, Southern University of Science and Technology, Shenzhen, China.

<sup>3</sup>Center for the Oceanic and Atmospheric Science at SUSTech (COAST), Southern University of Science and Technology, Shenzhen, China.

<sup>4</sup>Department of Environmental Science and Engineering, University of Science and Technology of China, Hefei, China.

<sup>5</sup>State Key Laboratory of Atmosphere Boundary Layer Physics and Atmospheric Chemistry (LAPC), Institute of Atmospheric Physics, Chinese Academy of Sciences, Beijing, China.

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#### Introduction

In this supporting information, we list the additional texts, tables, and figures to support our study. To be specific, (1) the model configuration and aerosol classification are introduced by text S1, figure S1, and table S1; (2) the model modification is introduced by text S2, and figure S2; (3) the experiments design is given by text S3 and figure S2; (4) for model evaluation, please check text S4, table S2, and figure S4~S8; (5) mean distributions of absorbing aerosols (AA) and scattering aerosols (SA) at the ground level during daytime is shown in figure S9; (6) mean distributions of  $\Delta O_3$  induced by the reduction of AA is shown in figure S10.

#### Text S1: Model configuration and Aerosol classification.

The Weather Research and Forecasting with Chemistry (WRF-Chem) model (version 3.9.1.1) was implemented in this study which has been widely used to study the air quality problems worldwide. Regarding the model configurations, the model domains (fig. S1), spatial-temporal resolutions, and selected parameterizations are just as the same as which in our previous work and detailed information can be checked in Gao et al. (2020).

The Model for Simulating Aerosol Interactions and Chemistry with eight bins (MOSAIC-8bins; Zaveri et al., 2008) is chosen as the aerosol chemistry scheme, which includes eight chemical species: Sulfate (SO<sub>4</sub>), Nitrate (NO<sub>3</sub>), Ammonium (NH<sub>4</sub>), Sodium (Na), Chlorine (Cl), Organic Carbon (OC), Black Carbon (BC), and Other Inorganics aerosols (OIN). Based on the refractive index of each species (Table S1), the eight aerosol species were classified into absorbing aerosols (AA) and scattering aerosols (SA). BC is a typical AA. Second to BC, OIN is also treated as AA since relevant greater imaginary part than other species (excluding BC). The other aerosol species (SO<sub>4</sub>, NO<sub>3</sub>, NH<sub>4</sub>, Na, Cl, and OC) are treated as SA since the extremely approaching zero of the imaginary parts.

#### Text S2: Model modification.

The WRF-Chem model, composed by meteorology part and chemistry part (Skamarock et al., 2008; Grell et al., 2005), is featured by the two-way feedback. At each time step, the results of the meteorology part drive the chemistry part, meanwhile the results of the chemistry part can feedback the meteorology part via multiple ways, for example the radiation feedback of aerosols (Liao & Seinfeld, 2005; Fan et al., 2015). Thus, by implementing this model system, the impacts of aerosols on ozone via influencing photolysis rates and via affecting the development of the planet boundary layer (PBL) (Gao et al., 2018) induced by aerosols are included in this model system. To isolate the impact of aerosols on ozone via influencing photolysis rates, some necessary modifications were conducted to the source codes of WRF-Chem (fig. S2). To be specific, another set of variables associated with the optical properties of aerosols were identified in the WRF-Chem model system (for example, tauaer1~4, represent the aerosol optical depth at spectrum 1~4; extaer1~4, represent the extinction coefficient of aerosols at spectrum 1~4).

The additional variables were calculated by the specified aerosols we chose. For example, when all the aerosols were input, they equal to the original variables; and when AA (or SA) were input, they represented the optical properties of AA (or SA). As shown in fig. S2b, the additional variables (red flow) took part in the calculations of photolysis rates, meanwhile, the calculations of radiation still used the original variables (blue flow) and would not disturb the radiation feedback of aerosols which kept the same effect with the original WRF-Chem did. By controlling the additional variables via choosing different types of aerosols, the photolysis rates can be changed but the radiation feedback of aerosols will not be changed. Therefore, the impact of aerosols on ozone via influencing photolysis rates can be isolated.

#### Text S3: Experiments design.

(I) According to the modified WRF-Chem, four experiments were established (fig. S2): Exp1 is designed by considering the effects of all the aerosols when the model system doing the calculation of photolysis rates, and Exp1 can be treated as the base experiment whose results can be used for the model validation; Exp2 is designed by not considering any effects of aerosols when doing the calculation of photolysis rates which can be treated as the blank experiment; Exp3 is designed by only considering the effect of SA when doing the photolysis rates calculations; and Exp4 is designed by only considering the results from the experiments, the differences of the ozone concentrations between Exp2 and Exp3 show the impact of SA on ozone via influencing the photolysis rates. While the differences of ozone concentrations between Exp2 and Exp4 show the impact of AA.

(II) In order to examine the sensitivity of the changes of surface ozone caused by the reduction of AA, four additional experiments were designed with the implementation of the modified WRF-Chem. When doing the calculations of photolysis rates, keeping the SA just as the same as which in Exp1, reducing 1/4 (Exp5), 1/2 (Exp6), 3/4 (Exp7), and 7/8 (Exp8) of AA, respectively. And for Exp3, the results also represented the conditions of reducing all AA. Comparing the results from these experiments with which from Exp1, the changes of surface ozone induced by reducing different levels of AA can be presented, respectively.

#### **Text S4: Model evaluation**

Since the same model configurations with which in our previous study (Gao et al., 2020), the model performances on meteorological factors (temperature, wind speed and wind direction), air pollutants (ozone, NO<sub>2</sub>, and PM<sub>2.5</sub>) had been evaluated by comparing large quantities of observations from hundreds of stations distributed in eastern China (fig. S1b). And according to the statistical metrics (fig. S3~S4, and table S2), it showed that the simulations and observations agreed very well with each other for both the meteorological factors and the air pollutants which also suggested that our simulations well captured the spatial and temporal variations of meteorology and air pollutants over the North China Plain (NCP) in Oct. 2018. The simulated  $J[NO_2]$  and  $J[O_3^1D]$  were also compared with the

observed data. The observations were measured at the station located in Xianghe, Hebei province, which attached to the Institute of Atmospheric Physics (IAP) Chinese Academy of Sciences. Our simulations well captured the variations of the time series of two photolysis rates, especially the low values occurred during the three high aerosol episodes (13<sup>th</sup>~15<sup>th</sup>, 20<sup>th</sup>~22<sup>nd</sup>, and 25<sup>th</sup> in Oct. 2018, detailed information also can be checked in Gao et al., 2020). In addition, aerosol species also should be validated since much attention being focused on the different impacts of AA and SA in this study. Thus, we collected the daily averaged concentrations of five aerosol species (NH<sub>4</sub>, NO<sub>3</sub>, SO<sub>4</sub>, OC and BC) measured at three cities (Beijing, Tianjin, and Baoding) which being used for evaluating the capability of WRF-Chem in simulating aerosols species. By comparing the observations (Figure S6~S8), the simulated data was very close to the observations and our result basically reproduced the pattern of each aerosol species, especially for NH<sub>4</sub>, SO<sub>4</sub>, and NO<sub>3</sub>. For example, our simulations well captured the high concentrations of the aerosol species occurring in the aerosol episodes in each city (i.e., 13<sup>th</sup>-15<sup>th</sup>, 20<sup>th</sup>-22<sup>nd</sup>, and 25<sup>th</sup> in Oct. 2018). And when the concentrations of the observations were low, our simulations also agreed well with the observations. In conclusion, the satisfied model performances on all the variables mentioned above indicate that WRF-Chem model system is capable to reproduce the features of atmosphere (both meteorology and air quality) over NCP during Oct. 2018.

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**Figure S2.** Flow charts of the original WRF-Chem (a) and the modified WRF-Chem (b). The experiments design is also presented in (b).

## **(a)**

**Original WRF-Chem:** 



**Figure S3.** Taylor diagram for displaying model performance metrics on meteorological factors: (a) Temperature at 2m above surface; (b) Wind speed; (c) Wind direction. The radial distance from origin represents the Normalized Mean Error (NME); the azimuthal position represents the Index of Agreement (IOA); triangles represent the Normalized Mean Bias (NMB), over- and under-estimation are denoted as red up-triangle and blue down-triangle, respectively.



Figure S4. Same to figure S3 but for air pollutants: (a) Ozone; (b) NO<sub>2</sub>; (c) PM<sub>2.5</sub>.







**Figure S6.** Comparisons of the chemical species of aerosols between simulations and observations in Beijing.





Figure S7. Same to figure S6, but in Tianjin.

Figure S8. same to figure S6, but in Baoding.



**Figure S9.** Mean distributions of AA and SA at the ground level during daytime (09:00~17:00 local time) in polluted days of Oct. 2018.



**Figure S10.** Mean distributions of  $\Delta O_3$  induced by different reduction levels of AA.



wave band	300nm		400nm		600nm		999nm	
refr. index <sup>a</sup> species	real <sup>b</sup>	imaginary <sup>c</sup>	real	imaginary	real	imaginary	real	imaginary
SO <sub>4</sub>	1.52	1.00×10-9	1.52	1.00×10-9	1.52	1.00×10-9	1.52	1.75×10-9
NO <sub>3</sub>	1.50	0.00	1.50	0.00	1.50	0.00	1.50	0.00
NH <sub>4</sub>	1.50	0.00	1.50	0.00	1.50	0.00	1.50	0.00
Na	1.51	8.66×10 <sup>-7</sup>	1.50	7.02×10 <sup>-8</sup>	1.50	1.18×10 <sup>-8</sup>	1.47	1.50×10 <sup>-4</sup>
Cl	1.51	8.66×10 <sup>-7</sup>	1.50	7.02×10 <sup>-8</sup>	1.50	1.18×10 <sup>-8</sup>	1.47	1.50×10 <sup>-4</sup>
OC	1.45	0.00	1.45	0.00	1.45	0.00	1.45	0.00
BC	1.85	0.71	1.85	0.71	1.85	0.71	1.85	0.71
OIN	1.55	3.00×10 <sup>-3</sup>	1.55	3.00×10 <sup>-3</sup>	1.55	3.00×10 <sup>-3</sup>	1.55	3.00×10 <sup>-3</sup>

**Table S1.** Refractive indexes of the aerosol species at each wave band in WRF-Chem model.

<sup>a</sup> refr. index = refractive index; <sup>b</sup> real = real part; <sup>c</sup> imaginary = imaginary part

**Table S2.** Mean model performance metrics for meteorological factors and air pollutants. Values do not meet the benchmarks are denoted in bold.

Variables	IOA	MB	RMSE	MNB	MFB
T2 (°C)	0.93 (≥0.8)	0.71 ([-0.5,0.5])	2.42	-0.01	-0.09
WS (m s <sup>-1</sup> )	0.78 (≥0.6)	-0.42 ([-0.5,0.5])	1.26 (≤2)	-0.03	-0.28
WD (°)	0.89	6.59 ([-10,10])	-0.42	1.64	0.02
O <sub>3</sub> (µg m <sup>-3</sup> )	0.84	-6.51	27.68	<b>0.16</b> ([-0.15,0.15])	-0.24
NO <sub>2</sub> (µg m <sup>-3</sup> )	0.73	-5.97	23.39	-0.13	-0.35
PM <sub>2.5</sub> (µg m <sup>-3</sup> )	0.74	8.11	28.75	0.34	0.08 ([-0.6,0.6])