

Initial evolution of high frequency enhanced ion line by X mode pump at EISCAT

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Abstract

During an experiment involving the alternating O / X mode pump, the observation demonstrated that the high frequency enhanced ion line (HFIL) and plasma line (HFPL) did not immediately appear after the pump onset, but were delayed by a few seconds. By examining the initial behaviors of the ion line, plasma line and electron temperature, it is found that (1) the HFIL and HFPL are delayed not only in the X pump mode but also in the O pump mode; (2) the HFIL can not be observed until the electron temperature is enhanced. The analysis reveals that (1) the leakage of the X mode to the O mode pump can not be ignored; (2) the electron temperature and the spatiotemporal uncertainty may play an important role in the lack of the Bragg condition; (3) nevertheless, the absence of parametric decay instability can not be ruled out due to the spatiotemporal uncertainty.

1 **Title**

2 Initial evolution of high frequency enhanced ion line by X mode pump at EISCAT

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13 **Key Points**

14 (1) The delays of the high frequency enhanced ion line (HFIL) and plasma line (HFPL)
15 can be induced by both the O / X mode pump.

16 (2) The HFIL can not be observed until the electron temperature is enhanced, namely,
17 they are positively correlated.

18 (3)The spatiotemporal uncertainty at the critical altitude may also cause a lack of the
19 Bragg condition and an under-dense condition.

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24

25 **Abstract**

26 During an experiment involving the alternating O / X mode pump, the
27 observation demonstrated that the high frequency enhanced ion line (HFIL) and
28 plasma line (HFPL) did not immediately appear after the pump onset, but were
29 delayed by a few seconds. By examining the initial behaviors of the ion line, plasma
30 line and electron temperature, it is found that (1) the HFIL and HFPL are delayed not
31 only in the X pump mode but also in the O pump mode; (2) the HFIL can not be
32 observed until the electron temperature is enhanced. The analysis reveals that (1) the
33 leakage of the X mode to the O mode pump can not be ignored; (2) the electron
34 temperature and the spatiotemporal uncertainty may play an important role in the lack
35 of the Bragg condition; (3) nevertheless, the absence of parametric decay instability
36 can not be ruled out due to the spatiotemporal uncertainty.

37 **Plain Language Summary**

38 In the course of the ionospheric heating, the high frequency enhanced ion line
39 (HFIL) and plasma line (HFPL) can not be induced by the X mode pump, and are
40 immediately observed by the ultra high frequency incoherent scatter radar after the O
41 mode pump onset. When the pump is operating in the alternating O / X mode near the
42 critical frequency, however, an unexpected observation shows that the HFIL and
43 HFPL can be induced by both the O mode and X mode pump, and do not immediately
44 appear after the pump onset, but are delayed by a few seconds. With regard to the
45 above observation, it is found that the HFIL does not appear until the electron
46 temperature is enhanced. The analysis shows that the HFIL may be delayed due to the
47 lack of the Bragg condition on the traveling path and the absence of the parametric
48 decay instability at the critical altitude.

49 **1. Introduction**

50 The ionospheric modification theory and experiment have concluded that in the F
51 region, the O mode pump can regularly induce numerous appreciable effects, but the
52 X mode pump does not, due to the lower reflecting altitude of the X mode pump
53 [[Robinson, 1989](#); [Gurevich, 2007](#), and references therein]. Recently, however, some

54 effects similar to those induced by the O mode pump were induced by the X mode
55 pump at the European Incoherent Scatter Scientific Association (EISCAT)
56 [*Blagoveshchenskaya et al.*, 2011, 2013, 2014, 2015, 2017a; *Borisova et al.*, 2012]. In
57 particular, it is distinctive that the high frequency enhanced ion line (HFIL) and
58 plasma line (HFPL) did not immediately appear after the pump onset, but were
59 delayed by 10 – 30 s [*Blagoveshchenskaya et al.*, 2018, 2019, 2020].

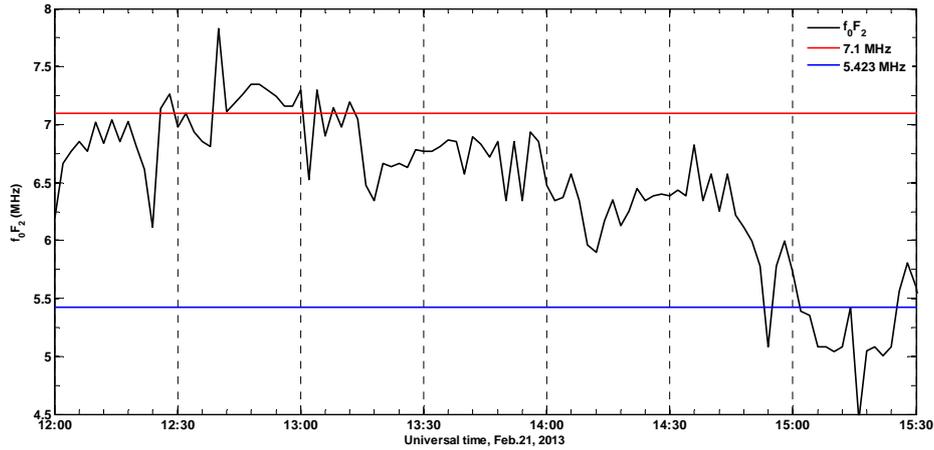
60 *Borisova et al.* [2012] gave a hypothesis for the transformation of the X mode
61 pump to the O mode pump in the anisotropic and nonuniform ionosphere and for the
62 interaction between the X mode pump and the ionosphere. Thereafter,
63 *Blagoveshchenskaya et al.* [2013, 2014, 2020] claimed that an adequate theory
64 describing the interactions between the X mode pump and the ionosphere is absent,
65 but they suggested that (1) the ohmic heating was not the only factor, (2) the enhanced
66 electron density may be due to the ionization induced by electron acceleration, and (3)
67 the HFIL and HFPL may involve mode conversion. In term of the HFIL and HFPL,
68 *Wang et al.* [2016a, 2016b, 2017] proposed that a small parallel component of the X
69 mode pump might satisfy the threshold and matching condition of the parametric
70 decay instability (PDI) in an inhomogeneous plasma. Indeed, over the HFIL and
71 HFPL induced by the X mode pump, there are some debates between
72 *Blagoveshchenskaya et al.* [2017b] and *Wang et al.* [2016b, 2018].

73 In this letter, the initial behavior of the HFIL is examined by considering the
74 electron temperature and the ionospheric condition at the critical altitude.

75 **2. Experiment and measurements**

76 The campaign involving the EISCAT heater and ultra high frequency incoherent
77 scatter radar (UHF ISR) was conducted on 21 Feb. 2013, and was described in more
78 detail by *Wang et al.* [2016b]. In brief, the heater and ISR were pointed at the
79 geomagnetic field-aligned direction. With a heating cycle of 10 mins on and 5 mins
80 off, the alternating O / X mode pump was operated at frequency $f_{\text{HF}} = 7.1$ MHz
81 from 12:01 to 14:26 UT, and at $f_{\text{HF}} = 5.423$ MHz from 14:31 to 15:41 UT. It is

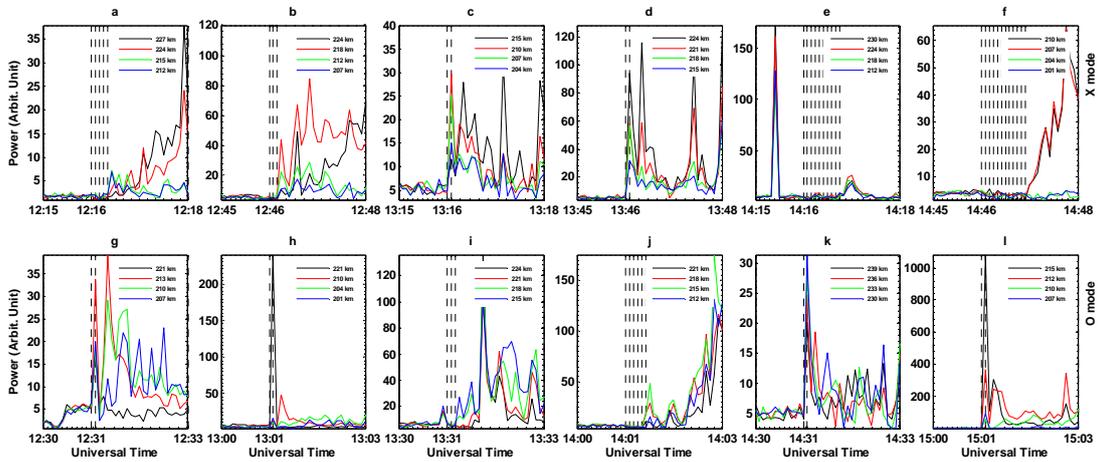
82 important to note that f_{HF} was near the critical frequency f_0F_2 . Unfortunately,
 83 however, f_0F_2 was not quiet and dropped as a whole during the experiment. The
 84 sharp drop or rise in f_0F_2 took place at 12:24, 12:40, 13:18, 14:12 and 14:54 UT, as
 85 shown in **Figure 1**. As a typical case, the measurement from 12:16 to 15:11 UT will
 86 be examined here.



87

88

Figure 1. f_0F_2 recorded in ionosonde with a time resolution of 2 mins.



89

Figure 2. The initial evolution of ion line power at some altitudes, which are obtained
 91 through 5 s integration in the frequency range of -18 – 18 kHz by considering the PDI
 92 timescale of the order of millisecond [Robinson, 1989; Gurevich, 2007].

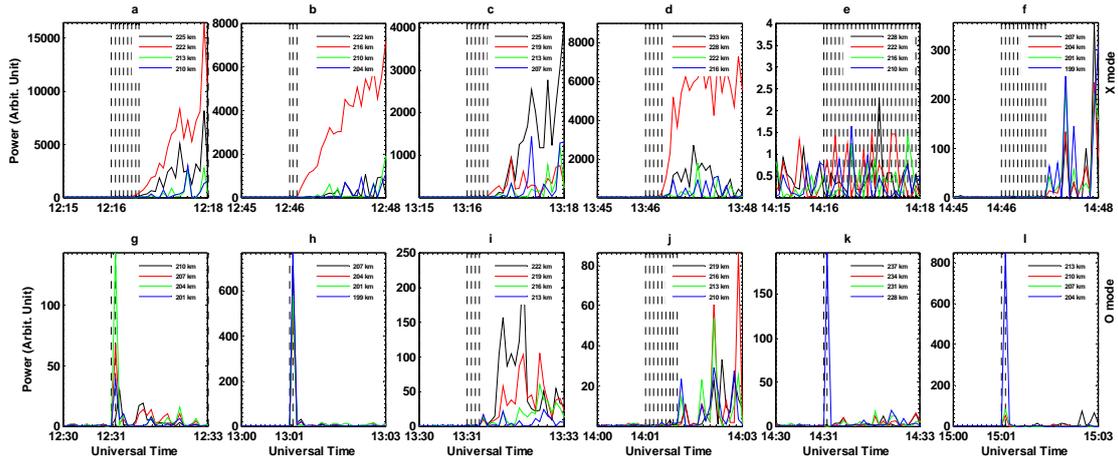
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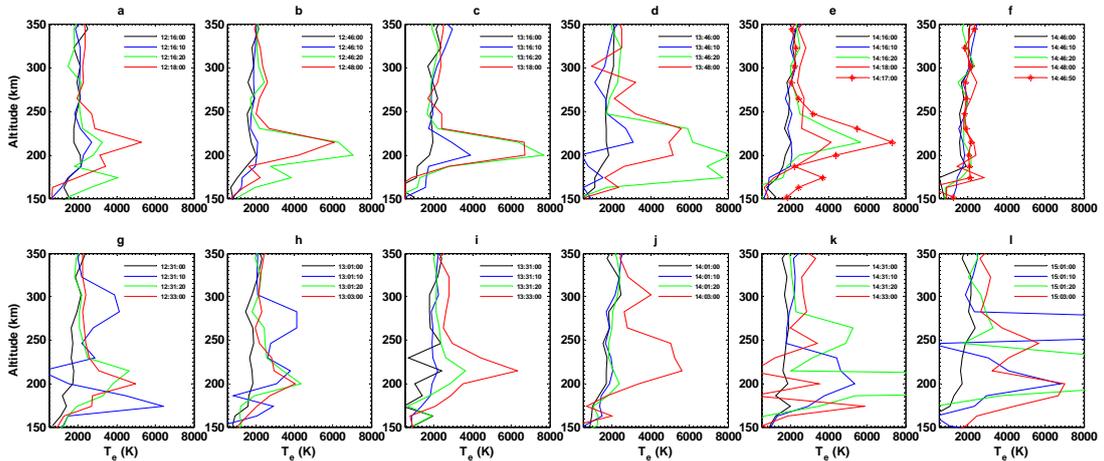
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Figures 2 demonstrates the power of those ion lines in the last one minute before
 the pump onset and in the first two minutes after the pump onset. One can see that the
 HFIL is delayed by $\sim 10 - \sim 55$ s after the pump onset at 12:16, 12:46, 13:31, 14:01,

96 14:16 and 14:46 UT, whereas it immediately appears after the pump onset in the
 97 remaining heating cycles. Note that the delay of the HFIL has not shown the obvious
 98 dependence on the pump mode, although the HFIL is delayed more frequently in the
 99 X mode pump than in the O mode pump. In **Figure 3**, all of the HFPL are delayed for
 100 a longer time than the corresponding HFIL except those at 12:31, 13:01 14:31 and
 101 15:01 UT.



102
 103 **Figure 3.** The same as **Figure 2**, but for plasma line, where (a) – (e) and (g) – (j) are
 104 integrated in the frequency range of 7 – 7.2 MHz, and (f), (k) and (i) are integrated in
 105 the frequency range of 5.323 – 5.523 MHz.

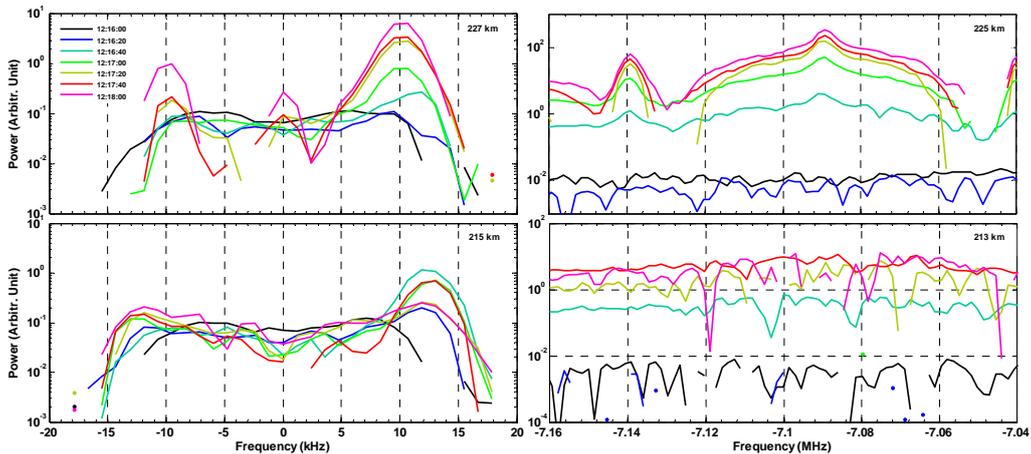


106
 107 **Figure 4.** The initial evolution of T_e , which are obtained through 10 s integration by
 108 considering the electron temperature timescale of a few seconds [[Robinson, 1989](#);
 109 [Gurevich, 2007](#)] and the HFIL delay of $\sim 10 - \sim 55$ s.

110 **Figure 4** illustrates the electron temperature T_e in the altitude range of $\sim 150 -$

111 ~ 350 km. It is evident that T_e is not significantly enhanced in 10 s after the pump
 112 onset at 12:16, 12:46, 13:31, 14:01, 14:16 and 14:46 UT, whereas it is enhanced in the
 113 remaining heating cycles. It is interesting to note that the initial evolution of T_e is
 114 temporally consistent with that of the ion line power shown in **Figure 2**, and has not
 115 shown the obvious dependence on the pump mode. Furthermore, T_e is enhanced in \sim
 116 20 s after the pump onset in all of the cycles except that at 14:01 and 14:46 UT.

117 As an example, **Figure 5** illustrates some ion and plasma lines at 12:16:00,
 118 12:16:20, 12:16:40, 12:17:00, 12:17:20, 12:17:40 and 12:18:00 UT at several altitudes.
 119 As might be expected, the ion and plasma lines are not enhanced at 12:16:00 UT. The
 120 HFILs of ~ 11.9 and ~ 13.1 kHz are observed in the time interval of 12:16:20 –
 121 12:18:00 UT at altitude ~ 215 km. At altitude ~ 227 km, the HFILs of ~ 9.5 and ~ 11.9
 122 kHz are respectively seen at 12:16:20 and 12:16:40 UT, and the HFIL of ~ 10.71 kHz
 123 appears in the time interval of 12:17:00 – 12:18:00 UT. Furthermore, no HFPL is
 124 obviously seen at altitude ~ 213 km., whereas at altitude ~ 225 km, the HFPL of \sim
 125 -7.091 MHz appears at 12:16:40 UT, and the HFPL of ~ -7.089 MHz appears at
 126 12:17:00 – 12:18:00 UT.



127
 128 **Figure 5.** The ion and plasma lines in the time interval of 12:16:00 – 12:18:00 UT,
 129 which are obtained by integrating over a period of 20 s for the sake of smooth line.

130 3. Discussions

131 As demonstrated in **Figures 2** and **3**, not only the X mode pump but also the O
 132 mode pump induces the HFIL and HFPL as well as their delays. With regard to the

133 ionospheric modification by the X mode pump, some studies have been carried out
 134 [*Borisova et al., 2012; Blagoveshchenskaya et al., 2013, 2014, 2020; Wang et al.,*
 135 *2016a, 2016b, 2017*]. However, the leakage of the X mode pump to the O mode pump
 136 can not be ignored for the following reasons. (1) In reality, the EISCAT heater can not
 137 perfectly separate the O mode pump and the X mode pump [*Blagoveshchenskaya*
 138 *et al., 2014*], and the leakage of the X mode pump to the O mode pump should be
 139 inevitable. (2) On the other hand, the O mode pump has a low threshold of $\sim 17 - \sim$
 140 35 MW for the PDI in the typical ionosphere [*Robinson, 1989; Bryers et al., 2013*],
 141 which may be satisfied by the leakage of the X mode pump to the O mode pump. (3)
 142 Despite the estimated leakage of $\sim 2.3 - \sim 5$ MW at the geomagnetic field-aligned
 143 direction [*Blagoveshchenskaya et al., 2014*], one should be aware that the gain pattern
 144 of the EISCAT heater is modeled by assuming a perfectly reflecting ground [*Senior et*
 145 *al., 2011*]. As a matter of fact, the ground at EISCAT in Tromsø is not a perfect
 146 reflector, but is composed of soil, dry sand, gravel, fine sediment and rock [*Senior et*
 147 *al., 2011*]. (4) During the experiment, the ionosphere was quite active, whereas both
 148 of the O / X mode pump were operating at a constant frequency, that is, 7.1 MHz from
 149 $12:01$ to $14:26$ UT and 5.423 MHz from $14:31$ to $15:41$ UT. Thus, the spatiotemporal
 150 uncertainty at the critical altitude may make ionosphere over-dense, and then the PDI
 151 is excited at the critical altitude.

152 The lack of the Bragg condition for the enhanced acoustic wave should lead to
 153 the disappearance of the HFIL. In the case of backscattering, the ISR can only observe
 154 an ion acoustic wave satisfying the Bragg condition

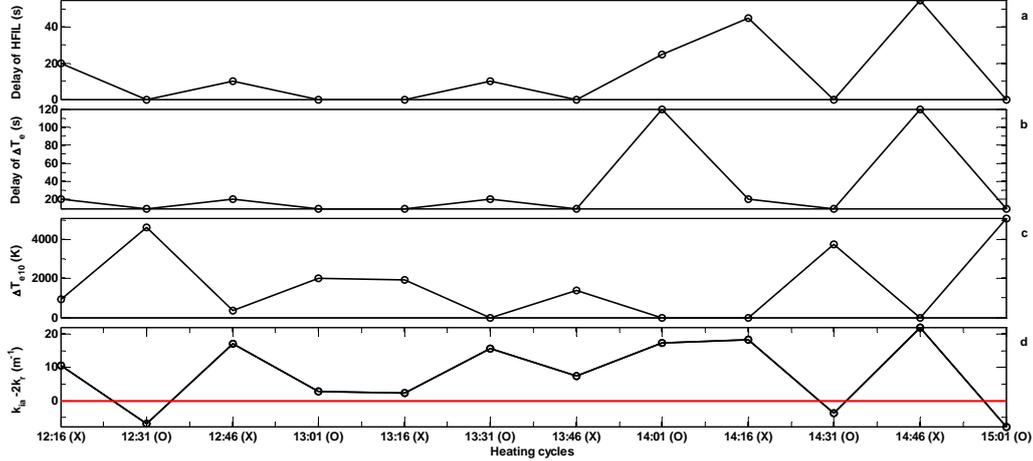
$$155 \quad k_{\text{ia}} - 2k_{\text{r}} = 0, \quad (1)$$

156 where k_{ia} and k_{r} denote the wave number of ion acoustic wave and the wave
 157 number of radar respectively. k_{ia} should follow the dispersion function

$$158 \quad \omega_{\text{ia}}^2 = \gamma \frac{K_{\text{B}} T_{\text{e}}}{m_{\text{i}}} k_{\text{ia}}^2, \quad (2)$$

159 where ω_{ia} , γ , K_{B} and m_{i} are the frequency of ion acoustic wave, the adiabatic

160 index, Boltzmann constant and the effective ion mass respectively [Baumjohann and
 161 Treumann, 1997]. Having stated the above theoretical relation, the impact of T_e on
 162 the delay of the HFIL will be examined in the following.
 163



164
 165 **Figure 6.** (a) The delay of the HFIL given by **Figure 2**, (b) the delay of the
 166 enhanced T_e given by **Figure 4**, (c) $\Delta T_{e10} = T_{e10} - T_{e0}$ at altitude ~ 200 km and (d)

167 $k_{ia} - 2k_r$, where $k_{ia} = \sqrt{\frac{\omega_{ia}^2 m_i}{\gamma K_B T_{e10}}}$, $k_r = 19.5 \text{ m}^{-1}$ for UHF radar at EISCAT, T_{e0}

168 denotes the immediate electron temperature when the pump on, and T_{e10} denotes the
 169 electron temperature in 10 s after the pump onset, both of which are given by **Figure**
 170 **4**. For the sake of simply computing, (1) $f_{ia} = 9.624 \text{ kHz}$ is considered as the typical

171 frequency of the HFIL during the experiment [Wang *et al.*, 2016b, **Figure 3**]; (2) the

172 effective ion mass is computed by $m_i = \frac{N_{O^+}}{N_e} m_{iO^+} + \left(1 - \frac{N_{O^+}}{N_e}\right) m_{iO_2^+}$, m_{iO^+} and $m_{iO_2^+}$

173 respectively denote oxygen atom mass and oxygen molecule mass, and the density

174 ratio of O^+ to electron $\frac{N_{O^+}}{N_e}$ is obtained by International Reference Ionosphere

175 2007; (3) due to $m_{iO_2^+} \approx m_{iNO^+}$, O_2^+ and NO^+ are considered together; and (4) the

176 profile of m_i is assumed to have not been modified by the pump due to the relatively

177 massive ion.

178 By comparing **Figure 2** with **Figure 4**, one can find a spatiotemporally positive

179 correlation between the delay of the HFIL and the delay of the enhanced T_e , as
 180 demonstrated in **Figures 6a** and **6b**. In the time interval of 12:16 – 13:46 UT, the
 181 HFIL delay of $\sim 10 - \sim 20$ s temporally corresponds to the ΔT_e delay of ~ 20 s, and
 182 the immediate HFIL temporally corresponds to the immediate ΔT_e . After 13:46 UT,
 183 the above behavior still holds, but the HFIL is delayed by $\sim 25 - \sim 55$ s and ΔT_e is
 184 delayed by $\sim 25 - \sim 120$ s. This may be due to the severe jitter of f_0F_2 in the time
 185 intervals of 14:00 – 14:20 UT and 14:46 – 15:00 UT as shown in **Figure 1**. Indeed,
 186 the measurement of f_0F_2 by ionosonde is rough, but the ionospheric trend should be
 187 available. Moreover, **Figures 6b** and **6c** demonstrate that at altitude ~ 200 km, there is
 188 a negative correlation between ΔT_{e10} and the delay of ΔT_e , which simply implies
 189 that when ΔT_e is delayed by ~ 20 s and more after the pump onset, T_e will not be
 190 enhanced in 10 s after the pump onset.

191 Next, k_{ia} can be obtained and be used to determine whether the Bragg condition
 192 is satisfied during the initial evolution, as shown in **Figure 6d**. At 12:16, 12:46, 13:31,
 193 14:01, 14:16 and 14:46 UT, T_e is not significantly enhanced in 10 s after the pump
 194 onset, then $k_{ia} - 2k_r$ is successively $\sim 10.6, \sim 17, \sim 15.7, \sim 17.4, \sim 18.3$ and $\sim 22 \text{ m}^{-1}$,
 195 which are remarkably deviate from zero. Namely, k_{ia} does not significantly satisfy
 196 $k_{ia} = 2k_r$ and the HFIL should not be observed by the ISR. Otherwise, at 12:31, 13:01,
 197 13:16, 13:46, 14:31 and 15:01 UT, T_e is significantly enhanced up to $\sim 2500 - \sim$
 198 5000 K in 10 s after the pump onset, then $k_{ia} - 2k_r$ is successively $\sim -6.8, \sim 2.8, \sim 2.4,$
 199 $\sim 7.4, \sim -3.8$ and $\sim -7.7 \text{ m}^{-1}$, which are slightly deviates from zero. In other words, k_{ia}
 200 does approximately satisfy the Bragg condition and the ISR can observe those
 201 enhanced ion acoustic wave. Indeed, those enhanced ion acoustic waves within a
 202 small range of k_{ia} may contribute to the HFIL. In addition, when $\Delta T_{e10} \approx \sim 2000 \text{ K}$

203 at 13:01, 13:16 and 13:46 UT, $k_{ia} - 2k_r$ positively approaches to zero, whereas
204 $k_{ia} - 2k_r$ negatively approaches to zero when $\Delta T_{e10} > \sim 3800$ K at 12:31, 14:31 and
205 15:01 UT. This implies that in present case, when $\Delta T_{e10} \approx 2800$ K, $k_{ia} - 2k_r \approx 0$,
206 namely, the Bragg condition can exactly be satisfied. This seems imply that the
207 interaction between the pump and ionosphere may have taken place during the initial
208 evolution, but the HFIL is not observed due to the lack of $k_{ia} \approx 2k_r$ at 12:16, 12:46,
209 13:31, 14:01, 14:16 and 14:46 UT.

210 Besides the impact of T_e on the traveling path, the HFIL may not be observed
211 due to spatiotemporal uncertainty of the ionosphere at the critical altitude. In those
212 over-dense conditions at 12:16 and 14:46 UT, the sharp decrease of ~ 1 MHz in the
213 critical frequency implies that the ionosphere should be intensely active and the ion
214 profile may be significantly modified, thus the HFIL may not be observed due to the
215 lack of the Bragg condition. At 15:01 UT, however, the critical frequency sharply
216 decrease from ~ 6 MHz to ~ 5 MHz, but the HFIL is not delayed. Moreover, one can
217 find by comparing **Figures 2** and **3** that the HFPL is delayed by a longer time than the
218 corresponding HFIL, for instance, the HFPL by ~ 20 s and the HFPL by ~ 35 s at 12:16
219 UT, which is not consistent with those regular experimental results [[Robinson, 1989](#)].
220 The fact is that the enhanced ion acoustic and Langmuir waves are simultaneously
221 induced by the PDI on the timescale of the order of milliseconds [[Robinson, 1989](#);
222 [Gurevich, 2007](#)]. This implies that the Bragg conditions of the enhanced ion acoustic
223 and Langmuir waves are not simultaneously satisfied on their traveling paths.

224 Nevertheless, it can not be ruled out that the PDI has not been excited in the
225 initial evolution. As a case, those ion and plasma lines in the time interval of 12:16:00
226 – 12:18:00 UT are examined, as illustrated in **Figure 5**. As expected, those ion and
227 plasma lines are not enhanced at 12:16:00 UT. In the time interval of 12:16:20 –
228 12:18:00 UT, the HFIL of ~ 11.9 kHz appears at altitude ~ 212 km, whereas no
229 obvious HFPL is found at altitude ~ 213 km. A possible explanation is that during this
230 period, the HFIL may not have been induced by the pump, but the natural ion acoustic

231 wave at 11.9 kHz may satisfy the Bragg condition due to the enhanced T_e on the
 232 traveling path. Here the natural ion acoustic wave denotes those not enhanced by the
 233 pump. Indeed, the natural ion acoustic wave covers a wide frequency spectrum due to
 234 the wide spectrum of ion mass distribution in ionosphere. Considering $f_{ia} = 11.9$ kHz ,
 235 $T_e \approx 3200$ K and $m_i = 3.72 \times 10^{-26}$ kg computed as in **Figure 6**, thus $k_{ia} \approx 39.6$ m⁻¹.
 236 In other word, when $T_e \approx 3200$ K , the natural ion line of 11.9 kHz should be
 237 observed by the ISR. Further, in the time interval of 12:17:00 – 12:18:00 UT, it is
 238 evident that the HFIL of 10.71 kHz is observed at altitude ~ 227 km, which is an exact
 239 match for the HFPL of 7.089 MHz observed at altitude ~ 225 km, as indicated by the
 240 matching frequency $f_{HF} = f_L + f_{ia}$, where f_L is the HFPL frequency. The above
 241 analysis implies that due to the enhanced T_e on the traveling path, the natural ion
 242 acoustic wave may approximately satisfy the Bragg condition and may contribute to
 243 the enhanced ion line power in the time interval of 12:16:20 – 12:17:00 UT, whereas
 244 the PDI has not been excited until 12:17:00 UT. This may be the so-called
 245 "pre-condition" suggested by *Blagoveshchenskaya et al. [2020]*.

246 Moreover, the spatiotemporal uncertainty at the critical altitude may make the
 247 ionosphere under-dense and lead to the absence of the PDI. One can see in **Figure 2**
 248 that the HFIL is delayed at 13:31, 14:01 and 14:16 UT, when the ionosphere is in the
 249 under-dense condition of ~ 6.5 MHz and is basically quiet as in **Figure 1**. However, it
 250 is unexpected that the HFIL is delayed by 10 s in the over-dense condition of ~ 7.25
 251 MHz at 12:46 UT, whereas it is not delayed in the under-dense condition of ~ 6.5
 252 MHz at 13:16 and 13:46 UT. Nevertheless, the under-dense condition should be a
 253 important factor in the study of delay of HFIL in the initial evolution. Indeed, the O /
 254 X mode pump were operating at $f_{HF} \approx f_0 F_2$, whereas $f_0 F_2$ is not quiet in the present
 255 ionosphere.

256 **4. Summary and conclusions**

257 The experiment involving the EISCAT heater and UHF ISR was carried out at

258 EISCAT. The observation demonstrates that in some heating cycles, the HFIL and
259 HFPL did not immediately appear after the pump onset, but were delayed by a few
260 seconds.

261 The fact is that the O / X mode pump can both induce the delays of the HFIL and
262 HFPL, and the delays of the HFIL and HFPL appears more frequently in the X mode
263 pump than in the O mode pump. Considering the inevitable leakage of the EISCAT
264 heater, the gain pattern model error, the low threshold of PDI and the spatiotemporal
265 uncertainty at the critical altitude, the leakage of the X mode pump to the O mode
266 pump should not be ignored.

267 In the initial evolution, there is a spatiotemporally positive correlation between
268 the delay of HFIL and the delay of enhanced T_e , implying that the delay of the
269 enhanced T_e may cause the delay of the HFIL. That is, the PDI may have been
270 excited immediately after the pump onset, but the Bragg condition may not be
271 satisfied so that the HFIL should not be observed by the ISR until T_e is enhanced up
272 to $\sim 2500 - \sim 5000$ K on the traveling path. Besides, in the initial evolution, the
273 spatiotemporal uncertainty at the critical altitude may result in the lack of the Bragg
274 condition, and then the HFIL is delayed.

275 It can not be ruled out that the PDI has not been excited in the initial evolution. A
276 case study shows that due to the enhanced T_e , the natural ion line contributes to the
277 power of HFIL in the initial evolution. Similarly, the spatiotemporal uncertainty at the
278 critical altitude may make a significant impact on the interaction between the O / X
279 mode pump and the ionosphere, and the under-dense heating can not be ignored.
280 Indeed, a quiet ionosphere should be pursued and the further investigation is expected.

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286 at <https://portal.eiscat.se/schedule/>

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