Improved Imaging of the Large-Scale Structure of a Groundwater System with Airborne Electromagnetic Data

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November 22, 2022

Abstract

Working with airborne electromagnetic (AEM) data acquired in the Kaweah Subbasin in the Central Valley of California, U.S.A., we developed a new approach for imaging the top of the bedrock and the confining Corcoran Clay layer. Our approach included multiple L2-norm and Lp-norm inversions as well as an interpolation process. The major improvement in imaging the two targets was made in the Lp-norm inversion step by incorporating prior knowledge. For the Corcoran Clay, pairs of resistivity and driller's logs at two wells guided the selection of the best resistivity model and were used to increase the accuracy of the estimated Clay thickness. The bedrock surface was poorly constrained by well data in the existing groundwater model, appearing as a flat surface. We had good AEM data coverage in the area so had higher confidence in the obtained map of the bedrock surface at depths ranging from 15 m to 160 m. There was relatively good agreement between the location of the corcoran Clay in the AEM data (depth ranging from 50 to 130 m and thickness ranging from 3 to 25 m) and the existing groundwater model, with both depth and thickness showing ~15% relative difference. The AEM data provided information about the continuity of the Corcoran Clay that is challenging to capture in the well data. The locations of the bedrock and Corcoran Clay were used in a structurally-constrained inversion to improve the imaging of the smaller-scale resistivity structure.

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8 9						
10	Key Points:					
11 12	• Airborne electromagnetic (AEM) data were used to map out the large-scale structure in the groundwater model of the Kaweah subbasin in California's Central Valley.					
13 14	• A new approach to the inversion of AEM data improved the accuracy in estimates of the depth to bedrock and the depth and thickness of the confining Corcoran Clay layer.					
15 16 17	• The defined large-scale structure was used in a structurally-constrained inversion of the AEM data to improve the imaging of smaller-scale structure.					

18 Abstract

- 19 Working with airborne electromagnetic (AEM) data acquired in the Kaweah Subbasin in the
- 20 Central Valley of California, U.S.A., we developed a new approach for imaging the top of the
- 21 bedrock and the confining Corcoran Clay layer. Our approach included multiple L₂-norm and L_p-
- 22 norm inversions as well as an interpolation process. The major improvement in imaging the two
- 23 targets was made in the L_p-norm inversion step by incorporating prior knowledge. For the
- 24 Corcoran Clay, pairs of resistivity and driller's logs at two wells guided the selection of the best
- resistivity model and were used to increase the accuracy of the estimated Clay thickness. The
- 26 bedrock surface was poorly constrained by well data in the existing groundwater model,
- appearing as a flat surface. We had good AEM data coverage in the area so had higher
- confidence in the obtained map of the bedrock surface at depths ranging from 15 m to 160 m.
- 29 There was relatively good agreement between the location of the Corcoran Clay in the AEM data
- 30 (depth ranging from 50 to 130 m and thickness ranging from 3 to 25 m) and the existing
- 31 groundwater model, with both depth and thickness showing \sim 15% relative difference. The AEM
- 32 data provided information about the continuity of the Corcoran Clay that is challenging to
- 33 capture in the well data. The locations of the bedrock and Corcoran Clay were used in a
- 34 structurally-constrained inversion to improve the imaging of the smaller-scale resistivity
- 35 structure.
- 36
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- 38

39 1 Introduction

40 With climate change and population growth, there is increasing concern about the depletion of

41 groundwater resources and recognition of the need for sustainable management. A groundwater

42 model is the foundation on which to build effective groundwater science and management.

43 Required to inform the development of the groundwater model is information about the

44 subsurface that captures spatial heterogeneity at the level needed as the input for flow modeling.

45 All groundwater models include some representation of the large-scale structure of the

46 groundwater system – the hydrogeologic units and other major features relevant for modeling

47 flow. Information derived from driller's logs, recorded when wells are drilled, is typically used

48 to build the model. The logs potentially provide valuable information at point locations, but the 49 quality of the driller's logs can be highly variable and challenging to quantify. In addition, the

50 spatial density of the driller's logs might be too low to adequately capture the continuity of the

51 large-scale subsurface features. Uncertainty in representing the large-scale structure can have a

52 significant impact on the predictions obtained from a groundwater model.

53

54 The airborne electromagnetic (AEM) method is potentially a highly effective means of imaging

55 large-scale structure for the development of a groundwater model (Foged et al., 2013; Kang et

56 al., 2021; Knight et al., 2018; Sattel & Kgotlhang, 2004; Wynn, 2002). Inversion of the acquired

AEM data recovers a resistivity model of the subsurface which can be transformed, through the
 use of well data, to a model displaying the variation in lithology or sediment type. This observed

59 variation can then be interpreted to identify the large-scale features of the groundwater system,

60 e.g. mapping out the lithologic units or sediment packages that define the major aquifers and

61 aquitards. One challenge when using the AEM method for this application, however, is the

62 limited spatial resolution of the method resulting in uncertainty in the recovered resistivity model

63 and interpreted locations of the large-scale features.

64

65 In this study, we developed a new approach to the AEM inversion workflow. This approach was designed for the scenario where AEM data are being acquired in an area with no existing 66 67 groundwater model and limited high-quality well data. Our objective was to achieve improved imaging of the subsurface so as to capture, as accurately as possible, the large-scale features 68 69 required for developing a groundwater model using the AEM data and high-quality well data 70 from a few locations. We accomplished this through a "targeted inversion approach" where we 71 defined as targets specific features which we wanted to accurately resolve in our study area – the 72 bedrock surface (at the top of the bedrock) underlying the sediments of the aquifer system and 73 the confining clay layer dividing the system into an upper and lower aquifer. While these two 74 features were defined for our study area, the Kaweah Subbasin located in California's Central 75 Valley, U.S.A., they define part of the large-scale structure in many groundwater systems 76 throughout the Central Valley and elsewhere in the world. Using the commonly-adopted L₂-77 norm approach to the inversion of AEM data, a bedrock surface will appear as a smooth 78 transition rather than a distinct boundary in the recovered resistivity model, introducing 79 uncertainty in its location. Similarly, the lack of a distinct boundary at the upper and lower 80 boundaries of a clay layer will introduce uncertainty in its location and can cause the thickness of

81 the layer to be significantly overestimated. Errors in the depth to bedrock and the thickness of the

82 confining layer could significantly impact the accuracy of the results obtained from a

83 groundwater model.

- 85 Our targeted inversion approach utilized a multi-step inversion process which included both the
- standard L_2 -norm inversion and the L_p -norm inversion (Fournier & Oldenburg, 2019), the latter
- 87 allowing us to incorporate prior knowledge about the targets. To form 2D maps displaying the
- 88 locations of the targets the large-scale features, we integrated high-quality well data and the
- resistivity models recovered through L_2 -norm and L_p -norm inversions. To demonstrate the efficacy of our approach, the locations of the targets that we obtained were compared with the
- 91 locations within the existing groundwater model which had been constructed using available well
- 92 data. Once the large-scale structure had been identified, this was used in a final inversion to
- 93 obtain improved imaging of the smaller-scale structure. Through the developed multi-step
- 94 approach, we formalized a process, readily transferable to other locations, designed to obtain
- 95 improved imaging of groundwater systems from AEM data.
- 96

97 2 Background

98 2.1 Hydrogeology and existing groundwater model in the study area

99 The Kaweah Subbasin is located in the southern part of the Central Valley, referred to as the San

100 Joaquin Valley, with the eastern edge defined as the interface between the valley floor and the

101 foothills of the Sierra Nevada Mountains. As part of their efforts to better understand their

102 groundwater resources and meet the requirements of California's Sustainable Groundwater

103 Management Act (SGMA, 2014), the local water agencies developed a groundwater model of the

subbasin using 600 driller's logs and 50 oil and gas well logs, the latter including both lithology

105 logs and resistivity logs (Fugro West, 2016). The model covers the subbasin, extending ~ 2 km

106 outside the subbasin boundary except along the eastern edge, ranges from a depth of ~120 m in 107 the east to ~500 m in the west and contains three layers, of variable thickness, with a cell size of

107 the east to ~500 m in the west and contains three layers, of variable 108 $150 \text{ m} \times 150 \text{ m}.$

109

110 A description of the hydrogeology of the subbasin is given in the report by Fugro West (2016).

111 The key features defining the layers in the groundwater model are in a geologic cross-section

112 (modified after Fugro West, 2016), the location of which is shown in Figure 1a with the cross-

section in Figure 1b. The sediments within the subbasin are divided into an upper and lower

aquifer in the western half by a confining clay layer, the Corcoran Clay. The aquifers are

described as Quaternary alluvium with numerous interbedded sands and clays. Generally, more

116 clays are present in the lower aquifer than in the upper aquifer. The Corcoran Clay is described

117 as Quaternary lacustrine and marsh deposits. The aquifers are underlain by bedrock in the east

118 which abuts low permeability sediments to the west along a boundary interpreted to be the

- 119 Rocky Hill Fault.
- 120

The groundwater model contains three layers: the upper aquifer which ranges from 100 m to 150
 m in thickness; the Corcoran Clay which ranges from 10 m to 20 m in thickness, and the lower

aquifer which ranges from 200 m to 350 m in thickness. Note that the three layers are defined

- throughout the model. In the current groundwater model the extent of the Corcoran Clay was
- 125 modified from the work of Page (1986) using available well data (Fugro West, 2016) and
- 126 corresponds to the location where the Clay is no longer continuous. Where the Corcoran Clay is

the model in the east is defined by the top of the bedrock, then going west is interpreted to lie at the top of the package of low permeability sediments.

130

Both the top of the bedrock and the location Corcoran Clay are important large-scale features of
the groundwater model, the former defining the position of a no-flux boundary and the latter
controlling the hydraulic connectivity between the upper and lower aquifers; thus, the accuracy
of their locations and the thickness of the Clay directly impacts the accuracy of model
predictions. The spatial coverage of the well logs used to constrain the depth to bedrock was
poor (GKGSA, 2020), due to the fact that there were relatively few wells reaching the bedrock
surface. While the spatial coverage of the well data used to map the location of the Corcoran

138 Clay was reasonably good, there are concerns about the quality of the well data (e.g., a large

139 locational error (~ 2 km) for the driller's logs) and interpretations of continuity between wells,

- 140 particularly at the edges of the Clay where it becomes discontinuous.
- 141

142 2.2 The AEM method

The AEM method uses electromagnetic induction to obtain information about the resistivity of the subsurface. Time-varying electric currents are injected through a transmitter loop suspended below an aircraft to generate induced currents in the subsurface. These induced currents will depend upon the resistivity of the subsurface and generate an induced voltage that can be measured at a receiver loop also carried by the aircraft. The aircraft moves continuously while the receiver loop is recording, with the raw voltages stacked at predetermined intervals to provide measurements referred to as AEM soundings or the observed AEM data.

150

151 Inversion of the observed AEM data is necessary to obtain a 3D resistivity model of the 152 subsurface resistivity. In hydrogeologic applications, a spatially-constrained inversion approach (Viezzoli et al., 2008) is most commonly used to recover, at each AEM sounding location, a 153 154 vertical 1-D profile of resistivity fitting the observed AEM data. The spatial constraints, favoring 155 a smooth transition of resistivity values between adjacent sounding locations, are implemented in 156 the inversion through a regularization function. The regularization function also includes a 157 reference model through a smallness constraint (Oldenburg & Li, 2005). Conventionally, an L₂-158 norm is used for the constraints in the regularization function. In this study, in addition to an L₂-159 norm we utilized an L_p-norm which makes it possible to incorporate additional prior knowledge 160 (Fournier & Oldenburg, 2019).

161

162 The AEM inversion is non-unique, i.e., there are many resistivity models that can fit the data. A typical form of non-uniqueness is the presence of a depth, commonly referred to as the depth-of-163 investigation (DOI), below which resistivity changes in the model do not make a noticeable 164 165 difference in the AEM response. We used Oldenburg and Li's (1999) approach performing two inversions with different homogeneous reference models to define the DOI. Another well-known 166 167 form of non-uniqueness, of specific relevance to our study, is due to the fact that the AEM response 168 is sensitive to the conductance of a layer, which is the thickness divided by the resistivity 169 (Geowissenschaften et al., 2007); so neither the resistivity nor the thickness of the layer can be 170 independently resolved. Given this limitation, other reliable information needs to be incorporated 171 into the inversion.

173 **3** Available Data

174 In 2018, ~800 km of AEM data were collected over the Kaweah Subbasin using the SkyTEM

175 312 system (Sorensen & Auken, 2004). Figure 1a shows the AEM soundings along the flight

176 lines of the survey, which were located to maximize coverage in the region of the groundwater

177 model while avoiding urban areas (Kang et al., 2020). The acquisition of the AEM data was

178 managed by, and the data processed by, Aqua Geo Frameworks (Asch et al., 2019).

179

180 Our objective was to develop an approach that would make it possible to accurately image the 181 bedrock surface and confining clay layer using primarily the AEM data. In addition to the AEM

182 data, we utilized data from six wells that could be considered, with confidence, to be of high

183 quality. This included three driller's logs in the northeastern corner of the subbasin (locations

184 shown in Figure 1a) that had been manually inspected (Steklova, personal communication,

185 2020). These provided point information about the depth to bedrock. We also had access to an 186

additional three pairs of logs, resistivity (16-inch normal) and driller's logs from the same

187 monitoring wells, referred to as Wells A, B, C (locations shown in Figure 1a), considered by the local water agencies to be of very high quality. These pairs of logs, in the western part of the 188

- 189 subbasin, provided information about the location of the Corcoran Clay. We took each pair of
- 190 logs and, at the well location, defined the depth and thickness of the Corcoran Clay.
- 191

192 **4** Targeted inversion approach

193 Our targeted inversion approach included three steps; 1) L₂-norm inversions to obtain starting

194 resistivity models, 2) L_p-norm inversions to obtain improved resistivity models, and 3) the

integration of the output from the inversions and the high-quality well data to obtain 2D maps 195

196 displaying the location of the two targets – the top of the bedrock and the Corcoran Clay layer.

197 For use in the final interpolation step we selected two resistivity models – a primary (the better) 198 model and a secondary model, with the former interpolated to generate a 2D map of the target

199 and the latter used, with the primary model, to provide a measure of error for the purpose of 200 weighting in the interpolation process.

201

For all inversions of the AEM data, we used the regularization function, $\phi_m(m)$, which can be 202 203 written as

204

206 207

205

$$\phi_m(m) = \alpha_s \int \left(w_s^{\text{cell}}(m - m_{\text{ref}}) \right)^{p_s} dV + \alpha_r \int \left(w_r^{\text{cell}} \frac{dm}{dr} w_r^{\text{face}} \right)^{p_r} dV + \alpha_z \int \left(w_z^{\text{cell}} \frac{dm}{dz} w_z^{\text{face}} \right)^{p_z} dV , \qquad (1)$$

208 where the first term is the smallness constraint, and the two other terms are the spatial 209 constraints, one acting in the radial direction, denoted by r, and the other in the vertical direction, denoted by z; m and m_{ref} indicate an unknown, to be recovered, resistivity model and a 210 211 reference model, respectively. The unknown and reference resistivity models were defined at 212 each AEM sounding location with resistivity values assigned in 39 vertical cells; the thickness of 213 a cell at the ground surface was 3 m and increased at a constant rate resulting in a total depth of 214 550 m. Alpha values, α_s , α_r , α_z , determine the relative importance of each term. Given that

215 layered sediments are the dominant materials in the study area, α_z was set to five times smaller

- 216 than α_r to indicate our preference for laterally-continuous rather than vertically-continuous
- structure; α_r was fixed to 1. The value of α_s was set to either include ($\alpha_s = 1$) or not include ($\alpha_s = 218$ 0) the smallness constraint in the regularization function.
- 219

220 The exponent p acts on all the constraints. In terms of the smallness constraint, p influences the 221 form of the resulting distribution of all the resistivity values in the 3D resistivity model. The 222 conventional L₂-norm inversion, which corresponds to setting p=2, favors a smooth Gaussian 223 distribution, where the center of the distribution is the reference model. In the L_p -norm the value 224 of p can vary from 0 to 2 (Fournier & Oldenburg, 2019), which has the impact of favoring 225 distributions that vary from sparse (isolated peaks) at low values of p, to Gaussian at p=2. The extreme value of p=0 denotes a distribution that has the fewest possible number of peaks 226 227 deviating from the reference model.

228

The value of p also acts on the spatial constraints. Setting p=2 denotes a smooth transition in

- resistivity values in space (laterally and vertically). The extreme value of p=0 indicates a model
- with an abrupt transition a large resistivity contrast, at the fewest number of interfaces between
- cells possible while still fitting the data. Assuming that the greatest resistivity contrast will befound at the interfaces (lateral and vertical) between hydrogeologic units, not within the units,
- this allows an abrupt transition only at an interface between subsurface units.
- 235
- While the model space for the solution with the L₂-norm is convex, making it relatively straightforward to solve the optimization problem, when $p \le 1$ the model space for the L_p-norm is non-convex making it challenging to find a solution. The L_p-norm has been implemented for the spatial constraints in the inversion of AEM data (often referred to as a sharp inversion) (Vignoli et al., 2015). In this study, we implemented the L_p-norm for both the spatial and smallness constraints. This had not been previously done, and introduced an even greater challenge to finding a solution given the increased level of non-convexity, but provided the
- enhanced flexibility we desired for incorporating prior knowledge.
- 244

The cell-based and face-based (where "face" refers to interface between the cells) weightings (w^{cell} and w^{face} , respectively) capture the level of confidence in the smallness constraint and spatial constraints at a given cell or face; the greater the weighting, the higher the level of confidence.

249

To conduct our inversions we used SimPEG, a Python-based open-source geophysics software
package (Cockett et al., 2015; Heagy et al., 2017). Further details regarding the solution of the
inverse problem can be found in Appendix A.

- 253
- **254** 4.1 Step 1: L₂-norm inversions

255 The parameters used in all inversions in our multi-step approach are given in Table 1. In Step 1,

we carried out three L₂-norm inversions. The objective in this step was to obtain a domain of

- interest for each target; one for the top of the bedrock, D^{bedrock} , and the other for the Corcoran
- 258 Clay layer, D^{clay} . These domains defined the general regions within which we identified the
- 259 presence of each target. An L₂-norm inversion favoring a smooth transition of resistivity values
- 260 in the lateral directions was most appropriate for this purpose. We only used spatial constraints,

setting $\alpha_s = 0$ in the first inversion (Inversion 1 in Table 1) so did not need to define a reference 261 model. This setup (or setting a very small value of α_s) is considered standard practice for the 262 inversion of AEM data. $D^{bedrock}$ and D^{clay} were selected from the L₂-norm inversion by visually 263 identifying the boundaries of the targets. We then added a buffer of a few kilometers to these 264 265 boundaries to ensure that we captured the full extents of the targets within the defined domains. 266 267 Given that identifying the top of the bedrock is a relatively simple target with AEM data, we 268 decided that the model recovered from this first L₂-norm inversion was sufficiently accurate to 269 be used, not just to define the domain, but also as the secondary model for that target. At each location in $D^{bedrock}$, we assigned the top of the bedrock to the depth that divided the full depth 270 range into two depth intervals – one above and one below, with minimal variance in the 271 272 resistivity values of each depth interval. 273 274 While the first L₂-norm inversion was not sufficiently accurate for locating the Corcoran Clay, 275 we used it to identify a depth interval that would include the Clay that was used in developing 276 reference models for the L_p-norm inversions in the next step. Identifying the top and base of the 277 Clay was done subjectively, by selecting the top and base of a continuous low-resistivity zone in the 3D resistivity model. Note that this "Clay-containing" depth interval was on the order of 80 278 279 m to 160 m in thickness, so much larger than was expected for the thickness of the Clay alone. 280 281 Two other L₂-norm inversions (Inversions 2 and 3 in Table 1) were used, with the smallness 282 constraint added ($\alpha_s = 1$), to calculate the DOI. 283 284 4.2 Step 2: L_p-norm inversions 285 The L₂-norm inversions allowed us to identify the regions where our targets were present, but 286 287 there was still considerable uncertainty in the exact locations of these targets. In this next step, in

order to improve our ability to locate these targets, we incorporated prior knowledge specific to each target and performed an L_p -norm inversion(s) within the domain of interest for each target.

291 Our prior knowledge was based on a general understanding of the hydrogeology of the study 292 area (Faunt, 2010; GKGSA, 2020) and familiarly with the resistivity of geologic materials. In 293 terms of locating the top of the bedrock, given the composition of the aquifers and the bedrock in 294 the study area, we used the fact that there should be a large resistivity contrast at the interface 295 between the bedrock and the overlying aquifer. In locating the Corcoran Clay, we used the fact 296 that there should be a large resistivity contrast at the interfaces between the coarser-grained 297 sediments of the aquifers and the Corcoran Clay. We also knew that the thickness of the Clay 298 layer was generally much thinner than the over/underlying aquifers. Below we describe the 299 methodology used to resolve each of the targets.

300

301 4.2.1 Top of the bedrock

To locate the top of the bedrock, we conducted an L_p-norm inversion (Inversion 4 in Table 2) using only the AEM data within D^{bedrock} , setting $\alpha_s=0$ (only the spatial constraints were used), and the *p*-values of the spatial constraints (p_r, p_z) to zero. This tailored the inversion to assign a large resistivity contrast only when required to fit the data. The location of this large resistivity 306 contrast could then be interpreted to be the top of the bedrock and the recovered resistivity model 307 used as the primary resistivity model for this target. In the primary resistivity model, we 308 determined at each sounding location whether the bedrock surface was present or absent and, if 309 present, estimated the depth to bedrock.

310

311 4.2.2 Corcoran Clay layer

312 Two L_p -norm inversions were conducted to locate the Corcoran Clay so as to have available a 313 primary resistivity model and a secondary model. We defined the primary resistivity model as 314 one that showed good agreement with the resistivity and driller's logs from Wells A and B; and 315 the secondary resistivity model as one that showed good agreement with the resistivity and 316 driller's logs from Well B. Both L_p-norm inversions used the same spatial constraints but a 317 different smallness constraint by changing the reference model.

318

319 In setting the spatial constraints, we used the prior knowledge that there should be a large 320 resistivity contrast at the boundaries of the Clay so, as was done in locating the top of the

321 bedrock, p-values for the spatial constraints were set to zero. In addition, we incorporated the 322 fact that the Clay is much thinner than either the overlying upper aquifer or underlying low 323 aquifer. In a recovered resistivity model therefore, most of resistivity values should correspond

324 to the aquifer materials and relatively few to the Clay. To obtain such a sparse distribution of 325 resistivity values, centered on the aquifer resistivity values, we added the smallness constraint to the regularization with $p_s = 0$. 326

327

328 To define the two reference models used to obtain the primary and secondary models, we 329 identified two regions: outside and inside the Clay-containing depth interval identified using the 330 resistivity model recovered from the first L₂-norm inversion. In both reference models we set the 331 resistivity values for the cells outside the Clay-containing layer equal to those in the L_2 -norm

332 recovered resistivity model, but varied the resistivity value of the cells inside the Clay-containing

layer. We allowed the homogeneous resistivity of the Clay-containing layer, ρ_{ref}^{clay} , to range from 333

10 Ω m to 30 Ω m, iteratively solving for two resistivity values which, when used in the reference 334 335 models, resulted in the recovery of the primary and secondary resistivity models. Given that the

336 vertical extent of the Clay-containing layer likely included borders of the surrounding aquifers,

337

the range of resistivity was determined by choosing 1th and 99th percentile of the resistivity values within the Clay- containing layer. Resulting values of ρ_{ref}^{clay} for the primary and secondary 338 339 models were 30 Ω m and 20 Ω m, respectively.

340

341 In the two recovered resistivity models (primary and secondary), we determined at each

342 sounding location whether the spatially-continuous Corcoran Clay was present or absent,

343 interpreting a large resistivity contrast at two interfaces as the top and base of the Clay. The

344 depth to the top interface was defined as the depth to the Clay. For the Clay thickness, we could

345 have used the distance between the top and base, but wanted improved accuracy given the

346 inability of the AEM method to independently resolve resistivity and thickness. Representing the

Clay, with total thickness T_{cc} and resistivity ρ_{cc} , as a series of layers, each of thickness T_i with 347

resistivity ρ_i , what is captured in the AEM data is an integrated measurement that can be 348

represented as applying an electric field oriented parallel to these layers resulting in the
 following relationship:
 351

$$352 \quad \frac{\rho_{\rm cc}}{T_{\rm cc}} = \sum \frac{\rho_i}{T_i}$$
(2)
353

At an AEM sounding, the Corcoran Clay, with total layer thickness T_{AEM} and total resistivity ρ_{AEM} , was recovered in the resistivity model as a layer of two or three resistivity cells each with thickness $T_{c,i}$ and resistivity $\rho_{c,i}$ where

$$\frac{357}{358} \quad \frac{\rho_{\text{AEM}}}{T_{\text{AEM}}} = \sum \frac{\rho_{\text{c},i}}{T_{\text{c},i}}$$
(3)

359

We wanted to accurately recover the true T_{cc} as opposed to T_{AEM} . We accomplished this through a calibration process using well data, referring to the determined thickness of the Clay as

362 $T_{\text{calibrated}}$ and the determined resistivity of the Clay as $\rho_{\text{calibration}}$. 363

For the primary model, we had sounding locations close to Wells A and B. From the resistivity logs from Wells A and B, we had estimates of the thickness of the Clay, T_{cc}^{wellA} and T_{cc}^{wellB} , respectively. For an AEM sounding close to the location of Well A we could write

$$368 \quad \frac{\rho_{\text{calibration}}}{T_{\text{cc}}^{\text{wellA}}} = \sum \frac{\rho_{\text{c,i}}^{A}}{T_{\text{c,i}}^{A}}$$
(4)

369

372

where superscript A indicates the closest sounding location to Well A. Using the two soundingsclosest to Well A and the two closest to Well B yields:

373
$$\frac{\rho_{\text{calibration}}}{T_{\text{cc}}^{\text{wellA}}} + \frac{\rho_{\text{calibration}}}{T_{\text{cc}}^{\text{wellB}}} = \sum \left(\frac{\rho_{\text{c,i}}^{A}}{T_{\text{c,i}}^{A}} + \frac{\rho_{\text{c,i}}^{B}}{T_{\text{c,i}}^{B}}\right)$$
(5)

374

375 Rearranging this, we obtain

376

$$\rho_{\text{calibration}} = \frac{\sum \left(\frac{\rho_{\text{c,i}}^{A}}{T_{\text{c,i}}^{A}} + \frac{\rho_{\text{c,i}}^{B}}{T_{\text{c,i}}^{B}}\right)}{\frac{1}{T_{\text{cc}}^{\text{wellA}}} + \frac{1}{T_{\text{cc}}^{\text{wellB}}}}$$
(6)

378

377

The calibration process, resulting in a calibrated clay thickness, $T_{\text{calibrated}}$ can be written as 380

381
$$T_{\text{calibrated}} = \frac{T_{\text{AEM}}}{\rho_{\text{AEM}}} (\rho_{\text{calibration}})^{-1}$$
 (7)

382

This calibration was applied to all values of Clay thickness (T_{AEM}) obtained from the primary resistivity model to obtain our best estimates of Clay thickness. The calibration resistivity of the

385 primary model was 5 Ω m.

- The same procedure was applied for the secondary model, but the Clay was only found to be present at the sounding location closest to Well B, not at the sounding location closest to Well A.
- 389 Therefore, only Well B was used in the calibration, so the calibration resistivity became:

390 $\rho_{\text{calibration}} = T_{\text{cc}}^{\text{wellB}} \sum \frac{\rho_{c,i}^B}{T_{c,i}^B}$. The resulting calibration resistivity for the secondary model was 7 391 Ω m.

- 392
- 393 4.3 Step 3: Integration of data to generate maps of targets

394 The locations of the targets were obtained from Steps 1 and 2 at each sounding location. In this 395 step, the locations of the targets from the AEM data were integrated with the high-quality data 396 from six wells to generate 2D maps of the targets on a uniform grid with a cell size of 150 m, 397 which is the same as the groundwater model. The accuracy of the depth to the bedrock surface 398 and to the Corcoran Clay that we were able to obtain from AEM data was limited by the 399 thickness of the resistivity cells. Therefore we used the thickness of the resistivity cell at the 400 target depth to provide a measure of error in the 2D maps. Due to the calibration process, the estimated Clay thickness was not limited by the thickness of the resistivity cell. The fact that the 401 402 calibration resistivity values were 5 Ω m and 7 Ω m indicated that there could be roughly 30% 403 relative error in the estimate of the Clay thickness.

- 404
- 405 4.3.1. Top of the bedrock

The top of the bedrock was displayed in a 2D map as the depth to bedrock. For developing this
map, the input data were: (1) depth to bedrock from the three driller's logs, (2) depth to bedrock
estimates at all sounding locations in the primary and secondary resistivity models within
D^{bedrock} where the bedrock surface was determined to be present in Step 2.

410

The depth to bedrock values from the primary model, d_{target}^{aem} , were interpolated giving higher 411 412 weighting to the values with lower errors; with error defined as the absolute difference between the estimates from the primary and secondary models. The depths extracted from the three 413 driller's logs, d^{well} , were used as hard constraints. Given the degradation in the lateral resolution 414 415 of AEM data with depth, an estimate of the depth to bedrock obtained at a sounding location was assigned to an area, the radius of which depended upon the depth, z_{target} . The definition of this 416 radius is based upon the propagation of induced currents in a homogeneous subsurface 417 418 (Nabighian, 1979), and is given by the following equation:

419 420

421
$$r^{\text{aem}} = z_{\text{target}} \sqrt{3} + r^{\text{tx loop}}$$
 (8)

422

423 where $r^{tx loop}$ is the effective radius of the transmitter loop; $r^{tx loop}$ was 11 m. Assigning depth 424 values to the 2D map was done through an averaging function, $F_{avg}[\cdot]$, which averages grid 425 values of the 2D map, m^{2D} , within the distance r^{aem} from each sounding location: 426

427 $d_{\text{target}}^{\text{aem}} = F_{\text{avg}}[m^{2D}; r^{\text{aem}}]$ (9)

429 The interpolation is an inverse operation of equation 8, which can be written as 430

 $m^{2D}(x,y) = F_{\text{avg}}^{-1}[d_{\text{target}}^{\text{aem}}, \delta d_{\text{target}}^{\text{aem}}, d^{well}]$ (10)

433 where $\delta d_{\text{target}}^{\text{aem}}$ indicates the measure of error. The solution of this inverse problem adopted the 434 framework used for the inversion of the AEM data (Appendix A). Given that this inverse 435 problem was non-linear due to the imposed hard constraints, providing a starting 2D map of the 436 depth to bedrock was required. For this, we used an inverse distance weighting of $d_{\text{target}}^{\text{aem}}$ to 437 populate all cells in the 2D grid.

438

To incorporate our preference that the depth to the bedrock varies smoothly in the lateral
direction, we only used the spatial constraints for a regularization function, which can be written
as

442
443
$$\phi_m(m) = \alpha_x \int \left(\frac{dm}{dx}\right)^2 dV + \alpha_y \int \left(\frac{dm}{dy}\right)^2 dV$$
(11)
444

445 Both alpha values for the spatial constraints were set to 1, providing an equal weighting in the x-446 and y- directions.

447 448

449 4.3.2. Corcoran Clay layer

450 The location of the Corcoran Clay was displayed in two 2D maps as the depth to the top of the 451 Clay and the Clay thickness. As was done for mapping the depth to bedrock, we interpolated the weighted-by-error locations of the Clay layer from the primary resistivity model, using the 452 453 secondary model to estimate error. We included values for the depth to the Clay only at sounding 454 locations where the Clay was identified in the primary resistivity model from Step 2. We included values for the Clay thickness at all sounding locations within D^{clay} with the thickness 455 given as 0 at any sounding location where the Clay was not identified. The three pairs of well 456 457 data were used as hard constraints. The starting 2D map was generated by using the inverse 458 distance weighting of the locations of the depth to the Clay and Clay thickness from the primary 459 resistivity model.

460

461 **5 Results**

The DOI, obtained in Step 1 using an L₂-norm inversion, ranged from 240 m to 380 m which
covers the depth range within which both of the targets are present in the groundwater model.

464 The domains of interest for the targets, obtained in Step 1 using an L_2 -norm inversion, are shown

465 in Figure 2. As expected, D^{bedrock} was located along the eastern edge of the subbasin and D^{clay}

466 in the western half. In Figure 2 we also show the locations within the domain of interest for each

467 target where the target was determined, from the L_p -norm inversion, to be absent; in the figure

468 we show each tenth sounding (~200-300 m spacing). As seen in the figure, there was a large

469 AEM data gap at the center of the survey area due to the presence of urban areas. This resulted in

- 470 a high level of uncertainty in using AEM data to map the extent of the targets in these areas.
- 471 Shown in the figure are the western boundary of the bedrock, and the northern and eastern
- 472 boundaries of the Clay from the groundwater model. The comparison with the results obtained
- 473 from the AEM data will be discussed in a later section.
- 474
- 475 In Figures 3 and 4 we show, along two selected transects B-B' and C-C' (locations in Figure 2),
- 476 vertical sections displaying the resistivity models recovered from the L₂-norm and L_p-norm
- 477 inversions. All visualizations of recovered resistivity models are shown between the ground
- 478 surface and the average DOI of 300 m. The section in Figure 3 focuses on the top of the
- 479 bedrock, and Figure 4 on the Corcoran Clay with a larger resistivity range (5-300 Ω m) shown in
- 480 Figure 3 than in Figure 4 (8-100 Ω m).
- 481
- The sections in Figure 3 extend from B, at the western limit of D^{bedrock} , to B'. Included on the 482 sections are three driller's logs which describe bedrock and the overlying sediments. In Figure 3a 483 484 (L₂-norm) we interpreted the most resistive unit to be the bedrock, but found a smooth transition 485 from the bedrock to the overlying lower resistivity materials. The top of the bedrock, obtained 486 using the minimal variance approach, falls within the depth range corresponding to this 487 transition. Using the L_p-norm inversion, with the recovered model shown in Figure 3b, we found 488 an abrupt change in resistivity between the bedrock and overlying lower resistivity materials, the 489 depth of which is in good agreement with what is seen in the driller's logs. Moving from east to 490 west (B' to B), we see a sharp close-to-vertical interface separating resistive bedrock (to the east) 491 from lower resistivity sediments (to the west). These lower resistivity sediments are identified, at 492 shallower depths in the driller's log just east of this interface, as predominantly clay. This lateral 493 location along B-B', which is referred to as B1, is marked as a vertical dashed line. All bedrock 494 in regions westward of this location will be beneath the DOI so not seen in the AEM data. This location is interpreted as the western limit of the bedrock in this transect. This same vertical 495
- interface between bedrock and sediments was found for other flight lines within D^{bedrock} and
- 497 defined as the western limit of the bedrock.
- 498

In Figure 4a is a vertical section extending from C to C' (on Figure 2) which displays the
resistivity model recovered from the first L₂-norm inversion. The interpreted location of the layer

- that contains the Corcoran Clay corresponds to the zone of lower resistivity varying in thickness from 80 m to 160 m. In Figures 4b and 4c, which extend from C to the northern limit of D^{clay} ,
- from 80 m to 160 m. In Figures 4b and 4c, which extend from C to the northern limit of D^{clay} , we show the primary and secondary resistivity models for the Clay recovered from the L_p-norm inversions. For both of these models the interpreted location of the Corcoran Clay lies within the depth range of the Clay-containing layer located using the L₂-norm inversion, but the thickness is significantly reduced. In the primary model close to the northern boundary of D^{clay} , we see a
- location where the continuous portion of the Clay ends, which is referred to as C1; this is definedin this section as the northern boundary of the Corcoran Clay.
- 509

510 In Figure 5, we compare 1D profiles from the three resistivity models shown in Figure 4 with the

resistivity logs and sediment type information at Wells A and B within the depth range of 0 m to

512 250 m from the surface. As expected, for the recovered resistivity model from the L_2 -norm

- 513 inversion we see a gradual transition in resistivity values around the top and base of the Clay,
- leading us to (subjectively) identify a Clay-containing layer. The depth to the top of this layer is about 60-80 m and its thickness ranges from 80 m to 160 m. We note, that if only an L₂-norm

- 516 inversion were available, this Clay-containing layer would likely be interpreted as the location of
- 517 the Corcoran Clay. In contrast to what is seen in the L₂-norm resistivity model, the primary
- resistivity model (from the L_p -norm inversion) shows a sharp transition in resistivity values
- 519 across the top and base of the Clay. The interpreted location of the Clay agrees well with the
- driller's logs at Wells A and B, and corresponds to a depth interval where there are significantchanges in the resistivity logs. The resistivity values in the model are lower than those in the logs
- 522 by a factor of 1.5-2, likely to due to a bias towards sampling conductive materials in the AEM
- 523 method. The secondary resistivity model shows good agreement with the logs from Well B, but
- fails to match the resistivity values in Well A. This was interpreted to be due to the
- 525 underestimation of the reference resistivity value, ρ_{ref}^{clay} .
- 526

We now present our results as 2D maps in Figures 6 and 7. While we have results, from the
interpolation process in Step 3, throughout the entire domains of interest for each target, the
uncertainty will increase as the distance from an AEM sounding increases. We thus elected to
display only those results that were within 3 km of an AEM sounding. We compared the 2D
maps to the existing groundwater model by calculating the difference in areas where both our 2D

- 532 maps and the groundwater model contain the targets.
- 533

534 The 2D map displaying the depth to bedrock is shown in Figure 6a. The first feature to note is 535 the solid red line which represents the western boundary of observed bedrock in the AEM data 536 This boundary was located by connecting the locations of the most-western soundings where 537 bedrock was present. In some places, the location of this boundary is well-defined, constrained 538 between two soundings that are close together; e.g., one to the east where bedrock was present and to the west where bedrock absent. In a number of locations, however, the uncertainty in the 539 540 location of this western boundary is relatively high, increasing as the distance to an AEM 541 sounding increases. There are differences between our mapped western boundary and that 542 defined in the groundwater model that cannot be explained by this uncertainty alone and are not 543 due to a limitation in the DOI of the AEM data. The depth to bedrock in the groundwater model 544 ranges from 100 m to 170 m below the surface; this depth range is located above the DOI and is 545 a depth range within which the sensitivity of the AEM data is relatively high.

546

547 The depth to bedrock determined from the AEM data generally increases towards the west 548 resulting in a thickening of the package of sediments overlying the bedrock. The depth to 549 bedrock, on average 60 m, ranges from $15 \text{ m} \pm 4 \text{ m}$ to $160 \text{ m} \pm 14 \text{ m}$, where the error is due to 550 the thickness of resistivity cells at these depths. In Figure 6b, we display the difference obtained 551 when subtracting the depth to bedrock in the groundwater model from the depth that we 552 determined. The depth that we determined was always less, with the difference averaging -80 m

- and ranging from -150 m to -5 m.
- 554

In Figures 7a and 7b, we present 2D maps displaying the thickness of and the depth to the
Corcoran Clay, respectively. The blue solid line contours where the thickness (determined
through interpolation in Step 3) is zero, which we define as the northern and eastern boundary of
the continuous portion of the Clay. As was the case in mapping the extent of the bedrock, the

- 559 uncertainty in the location of this boundary increases as the distance to an AEM sounding
- 560 increases. We again observe differences in the lateral extent of the Clay interpreted from the
- 561 AEM data and that shown in the groundwater model. The Clay thickness, as interpreted from the

- AEM data, increases towards the southwest, with localized thinning or thickening. The thickness averages 17 m, ranging from 3 ± 1 m to 25 ± 7.5 m; with the relative error estimated from the calibration with the well data (~ 30% error). The depth to the Clay, averaging 100 m, also generally increases towards the southwest, ranging from 50 ± 6 m to 130 ± 12 m; with the error equal to the thickness of the resistivity cells.
- 567
- 568 In Figure 7c and 7d, we show the differences in thickness and depth subtracting the values
- from the groundwater model from the values obtained with our approach. For the Clay thickness
 (Figure 7c) we found relatively large differences of -15 m to -10 m in the region between the
- 571 boundary of the Corcoran Clay in the groundwater model and the boundary that we determined.
- 572 Elsewhere the difference ranged from -6 m to 5 m with the absolute difference averaging 3 m.
- 573 The differences in the depth to the Clay (Figure 7d) averaged -15 m, ranging from -30 m to -10
- 574 m; we always found the Clay at a shallower depth. The difference is greater along the eastern and
 - 575 western edges of the region where we identified the presence of the Clay, than it is in the center.
 - 576

577 6 Discussion

- 578 6.1 Comparison of the L2-norm inversion and the targeted inversion approach
- 579 Let us first consider how the targeted inversion approach, when compared to using the L₂-norm
 580 inversion alone, improved our ability to image the two targets of interest the bedrock surface
- and the confining clay layer. As shown in Figure 3a, the top of the bedrock appeared in the L_2 -
- norm resistivity model as a smooth transition between the resistive bedrock and overlying low resistivity sediments. As a result, delineating the top of the bedrock from this model was not
- 584 straightforward, requiring the use of a variance-minimization algorithm. In contrast, the L_p-norm
- resistivity model shown in Figure 3b revealed a sharp interface at the top of the bedrock, that we
- 586 were able to recover by incorporating the prior knowledge of a large resistivity contrast between
- the bedrock and the overlying sediments into the spatial constraints of the L_p -norm. The good
- agreement with the three driller's logs gave us confidence in our approach.
- 589 In addition to using the spatial constraints in the L_p -norm inversion to locate the Corcoran Clay,
- 590 the smallness constraint was added so as to also incorporate the prior knowledge that the Clay is 591 much thinner than the over/underlying aquifers. As shown in Figure 5, what we interpreted as the
- 592 Clay-containing layer in the recovered resistivity model from the L₂-norm inversion was
- 593 shallower and thicker than the interpreted Corcoran Clay in the driller's and resistivity logs from
- 594 Wells A and B. In particular, the thickness of the Clay-containing layer was 4 to 5 times greater
- than the thickness of the Clay layer seen in the well data. This is to be expected given the
- 596 diffusive nature of the AEM measurement and is a clear illustration of the problem of using a
- resistivity model recovered from an L₂-norm inversion to interpret the thickness of a confining
- 598 clay layer. The Clay layer imaged in the primary model from the L_p -norm inversion is much
- closer in thickness to the logs from Wells A and B (Figure 5) demonstrating the value of the L_p -
- 600 norm inversion for imaging the Clay layer. An important aspect of the L_p -norm inversion was 601 utilizing high-quality well data, without which we would have not able to select the primary
- utilizing high-quality well data, without which we would have not able to select the primaryresistivity model.
- 603
- 604 When compared to the conventional approach of using an L_2 -norm inversion, we found that the 605 targeted inversion approach, involving a combination of L_2 -norm and L_p -norm inversions,

606 yielded significant improvements in our ability to image the depth to bedrock and the confining

- 607 clay layer in the study area. While requiring more time and input, in the form of prior
- 608 knowledge, we were able to obtain more accurate information about the locations of the large-609 scale features.
- 609 610

611 6.2. Comparison of the results obtained from the AEM data to the existing groundwater model

612 The existing groundwater model from our study area was constructed using relatively dense well

613 data. The fact that this model was not utilized in our targeted inversion approach provided us an 614 opportunity to assess the efficacy of our approach by comparing the locations of the targets we

- 615 obtained to those in the existing groundwater model.
- 616

617 We start by discussing the location of the bedrock surface, where we found a relatively large 618 difference between our approach and the groundwater model. The location we determined for the 619 boundary marking the western extent of the bedrock (within the depth range of the groundwater model and the AEM data) differed by ± 5 km from the boundary location in the groundwater 620 621 model. In addition, the average difference between the depth estimates was about -80 m 622 corresponding to a relative difference of roughly 130%. In contrast to the flat top of the bedrock 623 in the groundwater model (as illustrated in Figure 2b), our mapping showed considerable 624 topography (i.e., variation in depth), as would be expected given the erosional history of an exposed bedrock surface. Given the lack of well data providing information about the location of 625 626 the bedrock surface in the groundwater model, it is very likely that neither the extent of the 627 bedrock surface nor the depth to the bedrock are accurately captured in the groundwater model. With the AEM data, we were able to obtain accurate imaging of the bedrock surface – in those 628 629 areas where AEM data are available. The limitation with the AEM data is the lack of coverage in 630 some areas due to the spacing of the flight lines, and the presence of urban areas (where AEM 631 data acquisition is not allowed); but the coverage is far superior to that of the well data. In 632 comparing the two approaches to mapping the bedrock surface, we have much higher confidence 633 in the location obtained through targeted inversion than that shown in the groundwater model.

634

635 In building the groundwater model, a greater number of wells were available in the region of the 636 Corcoran Clay than in the region where the bedrock surface is present. It is, however, very 637 difficult to accurately map out the Corcoran Clay using the well data given the abundance of 638 other clay interbeds in the upper and lower aquifers. The Corcoran Clay is blue to gray or bluish 639 green in color so is commonly referred to in driller's logs as "blue clay". Unfortunately, the color 640 cannot conclusively identify the Corcoran Clay as many clays in the area that lack iron oxide are blue or gray. Further, even if the description in the driller's log does not say blue, the clay might 641 642 be part of the Corcoran clay, as the driller may have decided that all clays in the area were blue 643 so it was not worth repeating. Given all of this, mapping the Corcoran Clay with AEM data can 644 provide a valuable complement to the interpretation based on the well data.

645

Let us first discuss what is shown as the northern and eastern boundary of the Corcoran Clay. As
seen in Figure 7a, the boundary of the Clay that we located is about 3-7 km inside (to the west
of) the boundary in the groundwater model, except for a small area where our boundary extends

- 649 to the east of the model boundary. In Figure 8, we compare the mapping of the Corcoran Clay –
- as either present or absent, in the AEM data and in the well data. In the well data we used the

description " blue clay" within the depth range of 50 to 150 m in the driller's log as an indication

- that Corcoran Clay was present at that location. In the region where the boundary of the Clay in
- the model lies to the east of what we determined, there are only three wells with "blue clay" and
- 18 without. Along the southern stretch of this region, our mapped boundary seems to show better
- agreement with the well data than the groundwater model boundary does. As evidence of the challenge of using well data to locate the Corcoran Clay in the groundwater model, it is
- challenge of using well data to locate the Corcoran Clay in the groundwater model, it isinteresting to note the 13 reports of "blue clay" in wells to the east of the boundary in the model.
- 658

One likely explanation for the difference in the boundary locations, where the AEM boundary

- 660 lies inside that in the groundwater model, is the challenge of using well data to differentiate the 661 continuous Corcoran Clay from discontinuous Corcoran Clay, or from the occurrence of other
- 662 clays at a similar depth. In reviewing the resistivity models recovered from the AEM data, we
- see clay-rich zones in the region between the two boundaries, but these zones are not continuous
- 664 with the Corcoran Clay. An example of this is shown in Figure 4, where clay is present to the
- east of Well C1 but it is not continuous with the Corcoran Clay. It is possible that the Corcoran
- 666 Clay becomes discontinuous in this region, but was mapped as part of the continuous Corcoran
- 667 Clay in the groundwater model. It is also possible that these clay-rich zones are not part of the
- 668 Corcoran Clay at all, but just identified as such in the groundwater model.
- 669

670 In the area where our determined boundary crosses over and goes to the east of the model

- boundary, our boundary is not well constrained by AEM soundings due to the presence of an
 urban area. Nor is it well constrained in this area by the appearance/absence of "blue clay" in the
 driller's logs.
- 674

The advantage we have in both the AEM data, is the ability to see the continuous Clay layer. As

such, we tend to put greater confidence in the location of the boundary determined from the

AEM data. The key limitation is the high level of uncertainty in areas with poor AEM data

678 coverage. If the boundary location in these areas has a significant impact on the accuracy of the679 groundwater model, additional data should be acquired.

680

In regions where the Clay was identified in the AEM data and the groundwater model, we

- 682 identified small to moderate differences in Clay thickness. In the groundwater model the Clay
- 683 thickness ranged from 10 m to 20 m; in our 2D map it ranged from 3 ± 1 m to 25 ± 7.5 m. The
- relative difference was ~15%. The depth to the Clay in the groundwater model ranged from $50 \pm$
- 685 90 m to 160 m \pm 12 m; in our 2D map it ranged from 50 \pm 6 m to 130 m \pm 12 m, again
- 686 resulting in a relative difference of $\sim 15\%$.
- 687

688 6.3 Further use of the results from the targeted inversion

689 While the targeted inversion approach provided accurate locations of the large-scale features of 690 interest in this study area, there is additional information at a smaller scale that can be obtained

691 about the groundwater system from the AEM data. This requires a high-quality resistivity model

692 over the entire study area. To obtain such a model, we implemented a structurally-constrained

693 inversion where information about the location of large-scale features is used to constrain the

- 694 inversion. Applications to date have been in the inversion of ground-based or marine-based EM
- 695 data and have used the large-scale features interpreted from seismic sections available in the

same area as the EM data (Brown et al., 2012; Key, 2009). We used the locations of the bedrock

- 697 surface and the Corcoran Clay obtained from our targeted inversion approach. In using the
- 698 structurally-constrained inversion, we exploited the face-based weightings in the regularization
- 699 function (equation 1) to place a large resistivity contrast at the known locations of the targets and 700 the cell-based weightings to minimize the resistivity variations in the lateral and vertical
- 701 directions within the bedrock and the Clay layer. Further details about the structurally-
- 702 constrained inversion can be found in Appendix B.
- 703

In Figure 9a, we show a three-dimensional view of the final resistivity model covering the entire
subbasin. In the west, the Corcoran Clay divides the upper and lower aquifers, thinning out
towards the east, where the upper and lower aquifers merge. In the eastern part of the basin, the
resistive bedrock underlies low-resistivity sediments. As expected, we see sharp resistivity
contrasts delineating the targets. In addition to delineating the targets – as had been done with the
targeted inversion approach, the final resistivity model recovered from the structurally-

- 710 constrained inversion also provided other information which can also be used to improve the
- 711 existing groundwater model.
- 712

713 As shown in Figure 1b, the existing groundwater model is defined with the base at the top of a 714 package of "low-permeability sediments" throughout much of the Kaweah subbasin and at the 715 bedrock surface along the eastern edge. When we review a vertical section through the final resistivity model in Figure 9b (along transect D-D' shown in Figure 9a) we see low-resistivity 716 717 materials adjacent to the bedrock in the east (near D') that then continue to the west for ~30 km 718 extending to the DOI. We interpret these to be clay-rich sediments equivalent to the package of 719 "low-permeability sediments" described in the groundwater model. The top of these sediments is 720 above the base of the groundwater model along most of the transect. This suggests that either the 721 base of the model needs moving to shallower depths or a new layer needs to be added to the 722 lower aquifer. As can be seen in the 3D view of the resistivity model in Figure 9a, the package of 723 low permeability sediments is present throughout the eastern half of the subbasin. This has 724 important implications for groundwater flow within the subbasin and for recharge coming into 725 the valley from the mountain block.

726

727 The resistivity model recovered from the structurally-constrained inversion provides more 728 accurate information about the resistivity values in the upper and lower aquifers. In Figure 8c, 729 we see a clearly imaged layer in the upper aquifer, just overlying the Corcoran Clay, with 730 resistivity values higher than those found in the lower aquifer. This is to be expected given the 731 reports of more clay in the lower aquifer than the upper aquifer (Fugro West, 2016). However,

- this resistivity feature was not clearly identified in the resistivity model recovered from either the
- 733 L_2 -norm inversion (Figure 3a) or from the L_p -norm inversion (Figure 3b). This demonstrates the 734 value of the structurally-constrained inversion, where knowing the large-scale structure allows
- 735 for improved imaging of the smaller-scale features.
- 736

737 7 Conclusions

738 Accurate groundwater models, to support groundwater science or management, require as input

- information about the large-scale structure of the groundwater system. We conclude that a multi-
- step targeted-inversion approach provides an effective way to extract the most accurate

- 741 information from AEM data about the large-scale structure. Having defined the large-scale
- structure, this can then be used to improve the recovery of the small-scale resistivity structure.

743 By implementing an L_p -norm inversion, we were able to incorporate prior knowledge. This made 744 it possible to refine the recovered resistivity images so as to more accurately locate the targets. In 745 implementing an L_{p} -norm inversion in other areas, the needed prior knowledge should not be 746 difficult to obtain if information is available about the types of geological material present and 747 the expected changes in resistivity associated with the large-scale features. The presence of high-748 quality well data would provide an additional source of information that could be incorporated into the inversion and would definitely result in improved imaging; but we were successful in 749 750 this study with data from only six wells.

- The targeted inversion approach includes multiple L_2 -norm and L_p -norm inversions as well as an
- interpolation process, so it requires more computation and time than other approaches. Weconclude, however, that this can easily be justified by the benefits obtained in terms of improved
- 754 imaging. In addition, running multiple inversions could readily be parallelizable, and many of the
- 755 other processes in the approach (e.g., interpolation) could be automated.
 - 756 In the adoption of AEM data for the development of groundwater models, the optimal approach
 - 757 is to first work with all existing well data and other sources of information to develop a
 - groundwater model, and then determine where the AEM data could be most valuable in reducinguncertainty in the model. All available data, included the acquired AEM data, would then be
 - 759 uncertainty in the model. All available data, included the acquired AEM data, would then be 760 integrated to develop a model that fits all sources of data. Such an undertaking would require a
- 761 significant effort with hydrogeologists working closely with geophysicists.
- 762 In this study we elected to use the existing groundwater model solely as a means of assessing our 763 ability to extract information about the large-scale features from the AEM data. This allowed us 764 to address the key question: what information *can* we obtain from AEM data to support the
- development of a groundwater model? This study has shown the information about large-scalestructure that can be obtained from AEM data. There will inevitably be uncertainty in locating
- 767 large-scale features, uncertainty that as with well data, increases as the distance from the AEM
- 768 data increases.
- Given the growing use of the AEM method for groundwater science and management, we hope
- there will be continued development of our approach for the inversion of AEM data and
- integration with other forms of data, so that we can maximize the benefit of all available datasets.
- To accelerate this, we have publicly released the numerical codes used in this study through a
- 773 Python-based open-source software, SimPEG (<u>https://www.simpeg.xyz</u>).
- 774

775 Acknowledgments, Samples, and Data

Funding for this research was provided to Rosemary Knight by the Gordon and Betty Moore

- Foundation (grant no. GBMF6189) and the NASA Applied Sciences Water Resources Program
- (award no. 80NSSC19K1248). Additional funding for the acquisition of the AEM data was
- obtained from a Proposition 68 Sustainable Groundwater Management grant from the California
- 780 Department of Water Resources to water agencies in the Kaweah subbasin. We would like to

781 thank Aqua Geo Frameworks for providing the processed AEM data, Larry Dotson from Kaweah 782 Delta Water Conservation District for providing the geophysical logs, and Klara Steklova for digitizing the driller's logs. We wish to thank Derrik Williams (Montgomery and Associates) for 783 784 answering numerous questions about the groundwater model. We are grateful to the SimPEG community for developing and publicly releasing the SimPEG-EM1D module used in this study. 785 786 [Note to editors and reviewers – the following will be included once the paper has been accepted 787 for publication.] The AEM data used in this study is publicly available through the Stanford 788 Digital Library: https://sdr.stanford.edu/. 789

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863 Appendix A Inversion Methodology

To find an inversion model, m, which fit the observed AEM data and favored prior knowledge in the regularization function, $\phi_m(m)$, we used SimPEG, a Python-based open-source geophysics software package (Cockett et al., 2015; Heagy et al., 2017) to minimize the following objective function, $\phi(m)$:

869
$$\phi(m) = \phi_d(m) + \beta \phi_m(m) \tag{A1}$$

870

868

871 subject to $\phi_d \leq \phi_d^* \& m_{\text{lower}} \leq m \leq m_{\text{upper}}$ 872

Here ϕ_d indicates data misfit, *m* is an inversion model, β is a trade-off parameter, and ϕ_d^* is a 873 target misfit; m_{lower} and m_{upper} are the upper and lower bounds of the inversion model. The 874 875 inversion iteration was started with the initial guess, m_0 , and repeated until a good fit of the data was found ($\phi_d \leq \phi_d^*$). The initial β value, β_0 , was estimated by a power method, then decreased 876 with a constant factor (0.5) within the iteration to reduce the importance of the regularization 877 term. The initial guess was determined by finding a best-fitting half-space model. The upper and 878 879 lower bounds of the model were set to positive infinity and negative infinity, respectively, 880 indicating that there were no bounds constraints used.

881

Use of a L_p-norm in the regularization function makes the minimization problem non-convex
(equation A1), and thus it was required to have an effective strategy to solve the inverse problem.
We followed Fournier and Oldenburg (2019)'s strategy, which first finds a model with the L₂norm inversion then activates the L_p-norm inversion.

886

Given the large range of resistivity values in the survey area, the distribution was best
represented in logarithmic form; so, the inversion model was defined as:

890
$$m = \log(\rho^{-1}) = \log(\sigma), \quad m \in \mathbb{R}^M$$

891 (A2)

892 where σ is electrical conductivity (*S*/m) and M is the number of the inversion model, *m*. The 893 data misfit function was defined as

894

895
$$\phi_d(m) = \sum_{i=1}^N \left(\frac{F_i[m] - d_i^{obs}}{\epsilon_i} \right)^2,$$
896 (A3)

897 where $F[\cdot]$ is a forward modelling operator predicting AEM data for a given model, $d^{obs} \in \mathbb{R}^N$ 898 is the observed AEM data; *N* is the number of data. The standard deviation (or data error) of the 899 *i*-th datum, ϵ_i , is defined as

900

901
$$\epsilon_i = \text{relative error (\%)} \times 0.01 \times |d_i^{obs}| + \text{floor}$$
 (A4)

902

903 The relative error and floor were set to 3% and 10^{-15} V/A-m⁴ for most of sounding locations

904 except for the locations close to the eastern edge of the survey area. We found that the signal-to-

noise (S/N) ratio at the eastern edge was relatively low due to shallower bedrock surface

906 compared to other regions. To take into account this, we assigned the greater level of data error:

907 10% and 10⁻¹⁴ V/A-m⁴. The target misfit, ϕ_d^* , was set to *N* assuming the chi-squared distribution 908 of the data error (Oldenburg & Li, 2005).

909

910 Appendix B Structurally-Constrained Inversion

911 After the locations of the targets were obtained from the targeted inversion approach, we applied 912 the structurally-constrained inversion to recover the final resistivity model accurately imaging 913 both the large-scale and small-scale structures. For this inversion, additional parameters needed 914 to be added to the regularization function: faced-based and cell-based weightings (equation 1). 915 For each face of the resistivity model (where "face" refers to interface between the cells), a different value of the face-based weighting (e.g., w_z^{face}) can be set; similarly, for each cell of the 916 resistivity model a different value of the cell-based weighting (e.g., w_s^{cell}) can be set. Higher 917 weightings indicate a higher level of confidence in the corresponding constraints. For instance, 918 919 assigning larger values for the face-based weightings would make smoother transition of 920 resistivity in lateral and vertical directions. To allow for sharp resistivity contrast at the targets, 921 therefore, the level of confidence was decreased for the spatial constraints at the faces. For this 922 we first found the closest faces for each target and then assigned zero for the corresponding face-923 based weightings while the face-based weightings for other faces were set to 1. 924

We used the cell-based weightings to promote smoother resistivity variations for cells corresponding to either the bedrock or the Clay compared to the other cells. Similarly, assigning larger cell-based weightings for the spatial constraints would make a smoother transition of resistivity. For cells below the top of the bedrock and within the Clay layer, we assigned a large value (10) for the cell-based weightings corresponding to the spatial constraints (i.e., w_r^{cell} and w_z^{cell}) while for other cells the cell-based weightings were set to 1.

931

932 Due to the large sensitivity of AEM data to conductive materials, it was easier for the inversion 933 to update resistivity values around the Clay layer, which altered the boundaries of the Clay layer 934 that we incorporated through the face-based weightings. Thus, it was necessary to provide additional constraint related to the resistivity information about the Clay to preserve the 935 936 boundaries from our targeted inversion approach. For this, we utilized the smallness constraint in 937 the regularization function (equation 1); this includes a reference model and a cell-based 938 weighting. We generated a reference model composed of two regions: the Clay layer and others. 939 Due to the fixed vertical thickness of resistivity cells, it was not possible to directly use the depth 940 to the Clay and Clay thickness obtained from our approach. The top and base of the Clay were 941 set to the closest faces resulting in small errors (~2-5 m). Due to these errors, it was necessary to 942 apply the same calibration idea (used in Step 2) when assigning resistivity values to cells corresponding to the Clay layer. From the conductance of the Clay layer obtained from the 943 944 primary resistivity model (Step 2), we calculated an equivalent resistivity value providing the 945 same thickness of the Clay at each sounding location. For other cells, we assigned a mean 946 resistivity value, 16 Ω m, of the recovered resistivity model from the L₂-norm inversion 947 (Inversion 1 in Table 2). The cell-based weighting for the smallness constraint was set to 1 for 948 cells corresponding to the Clay layer while a much smaller value (10^{-3}) was assigned for the 949 other cells to minimize the impact of the reference model at those cells.







Figure 1. (a) Location map of the study area and datasets used, in the Kaweah subbasin, Central
Valley of California, U.S.A. In the legend GW stands for the groundwater model. (b) Geologic
cross-section located at A-A' modified from Fugro West (2016).



Figure 2. The extent of the groundwater model (GW) and the domains of interest for both the bedrock ($D^{bedrock}$) and the Corcoran Clay (D^{clay}), and sounding locations where the targets were absent within the corresponding domain of interest. For plotting these sounding locations, every 10th sounding location is shown. Also shown are the western boundary of the bedrock from the groundwater model and the northern (N) and eastern (E) boundary of the clay from the groundwater model. AEM soundings cover much of the area defined by the groundwater model with gaps corresponding to urban areas. Resistivity model(s) at transects B-B', C-C', and D-D' are shown in Figures 3, 4, and 9b, respectively.



Figure 3. Comparison of the interpreted top of the bedrock in resistivity models recovered from

974 (a) an L₂-norm inversion (secondary resistivity model) and (b) an L_p-norm inversion (primary 975 resistivity model). Both resistivity models are along B-B' shown in Figure 2. Vertical dotted line 976 indicates the western limit of D^{bedrock} and the dashed line indicates the location of B1 (marked

977 in Figure 2). White gaps indicate locations where AEM data were not acquired.

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- 979
- 980



Figure 4. Comparison of the interpreted location of the Corcoran Clay in resistivity models recovered from (a) an L₂-norm inversion, (b) an L_p-norm inversion with $\rho_{ref}^{clay} = 30 \ \Omega m$ (primary resistivity model), and (c) an L_p-norm inversion with $\rho_{ref}^{clay} = 20 \ \Omega m$ (secondary resistivity model). Resistivity models are shown along C-C' in Figure 2. Horizontal black dashed lines indicate the top and base of the Clay-containing layer interpreted from the L₂-norm inversion; a vertical dashed line indicates the northern limit of the Clay. Vertical dotted line indicates D^{clay} and dashed line indicates a lateral location C1 (marked in Figure 2). White gaps indicate locations where AEM data were not acquired.



994

Figure 5. Comparison of the resistivity models recovered from the AEM inversions to the

resistivity logs and sediment type information from Wells A and B. (a) L₂-norm inversion. (b)

997 L_p -norm inversion with $\rho_{ref}=30 \ \Omega m$ (primary resistivity model). (c) L_p -norm inversion with

998 $\rho_{ref}=20 \ \Omega m$ (secondary resistivity model). A blue transparent box indicates the Corcoran Clay 999 layer identified in the driller's logs from Wells A and B. Grey dashed lines indicate the top and

layer identified in the driller's logs from Wells A and B. Grey dashed lines indicate the top and
base of the Clay-containing layer interpreted from the recovered resistivity model of the L₂-norm

1001 inversion.



Figure 6. Two-dimensional maps showing a) the depth to the bedrock from the targeted
inversion approach and b) the difference between results in a) and the depth to bedrock in the
groundwater model (GW). In displaying locations where bedrock is absent or present every 10th
sounding location (200-300 m spacing) is shown. Regions outside the GW are white in color.







Figure 7. Two-dimensional maps showing a) the depth to the Corcoran Clay and b) Clay

thickness from the targeted inversion approach. The difference between results in a) and b) and
the depth and thickness in the groundwater model (GW) are shown in (c) and (d), respectively. In
displaying locations where the Clay is absent or present, every 10th sounding location (~200-300)

1022 m spacing) is shown. Regions outside the GW boundary are white in color.



Figure 8. Location of wells used to generate the existing groundwater model, indicating those
where "blue clay" was identified in the depth interval 50 to 150 m (black crosses).

(a) 3-D view of the final resistivity model



(b) The final resistivity model at a cross section: D-D'



(c) The final resistivity model at a cross section: C-C'



Figure 9. (a) A three-dimensional view of the final resistivity model from the targeted inversion
approach. A cross-section view of the final resistivity model at vertical sections: (b) D-D' and (c)
C-C'; lateral locations of the sections are shown in Figure 1a. The white gaps indicate locations
where the AEM data were not collected due to our design of the AEM survey to maximize

- 1039 coverage while avoiding urban areas.
- 1040
- 1041

Table 1. Seven sets of inversion parameters used for the targeted inversion approach and the

structurally-constrained inversion; the parameters are defined in equation 1. Superscripts: 30 and

1044 20 for reference models indicate a homogeneous resistivity value, ρ_{ref}^{clay} , described in Section

1045 4.2.2.

1046

Inversion number	(p_s, p_r, p_z)	m _{ref}	$(\alpha_s, \alpha_r, \alpha_z)$	Inversion domain	Note	
1	(N/A, 2, 2)	N/A	(0, 1, 1/5)		Stop 1.	
2	(2, 2, 2)	17 Ωm	(1, 1, 1/5)	All soundings	L ₂ -norm inversion	
3	(2, 2, 2)	25 Ωm	(1, 1, 1/5)			
4	(N/A, 2, 2)	N/A	(0, 1, 1/5)	D _{bedrock}	Step 2: L _p -norm inversion	
5	(0, 0, 0)	$m_{ m ref}^{30}$	(1, 1, 1/5)	D _{clay}		
6	(0, 0, 0)	$m_{ m ref}^{20}$	(1, 1, 1/5)	D _{clay}	r	
7	(2, 2, 2)	$m_{ m ref}^{ m final}$	(1, 1, 1/5)	All soundings	Structurally- constrained inversion	

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