## Winter-Summer Contrast in the Response of Northern Hemisphere Precipitation Extremes to Climate Change

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#### Abstract

Climate models predict a distinct seasonality to future changes in daily extreme precipitation. In particular, models project that over land in the extratropical Northern Hemisphere the summer response is substantially weaker than the winter response in percentage terms. Here we decompose the projected response into thermodynamic and dynamic contributions and show that the seasonal contrast arises due to a negative dynamical contribution in northern summer due to weakened ascent, and a positive dynamical contribution and an anomalously strong thermodynamic contribution in northern winter. The negative dynamical contribution in northern summer is shown to relate to decreases in mean near-surface relative humidity with warming which suppress convection and associated upward motion in precipitation extremes. Finally, we show that the winter-summer contrast is also evident in observed trends of daily precipitation extremes in northern midlatitudes, which provides support for the contrast found in climate-model simulations.

## Summer-Winter Contrast in the Response of Precipitation Extremes to Climate Change over Northern Hemisphere Land

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### Key Points:

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9	•	Over Northern Hemisphere extratropical land, the projected fractional increase
10		of precipitation extremes is weaker in summer than winter
11	•	The summer-winter contrast is mostly driven by weakened extreme ascent in sum-
12		mer, which is correlated with decreased surface relative humidity
13	•	The summer-winter contrast is also evident in observations of historical changes
14		in daily precipitation extremes, consistent with CMIP5 models

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#### 15 Abstract

Climate models project a distinct seasonality to future changes in daily extreme precip-16 itation. In particular, models project that over land in the extratropical Northern Hemi-17 sphere the summer response is substantially weaker than the winter response in percent-18 age terms. Here we decompose the projected response into thermodynamic and dynamic 19 contributions and show that the seasonal contrast arises due to a negative dynamic con-20 tribution in northern summer, and a positive dynamic contribution and an anomalously 21 strong thermodynamic contribution in northern winter. The negative dynamic contri-22 bution in northern summer is due to weakened ascent and is strongly correlated with de-23 creases in mean near-surface relative humidity which tend to inhibit convection. Finally, 24 we show that the summer-winter contrast is also evident in observed trends of daily pre-25 cipitation extremes in northern midlatitudes, which provides support for the contrast 26 found in climate-model simulations. 27

#### <sup>28</sup> Plain Language Summary

Extreme rainfall is a highly impactful aspect of the water cycle, and it is now well-29 established that global warming tends to increase the severity of extreme rainfall events. 30 However, while this increase holds robustly on global scales, there is significant uncer-31 tainty associated with understanding the response of extreme rainfall to warming in dif-32 ferent regions of the world and in different seasons. Here we focus on understanding changes 33 in extreme rainfall in summer and winter over Northern Hemisphere extratropical land. 34 We find that global warming has a contrasting impact on extreme rainfall over this re-35 gion depending on the season considered. In winter, there are large increases in extreme 36 rainfall with warming relative to the climatology, whereas in summer the changes are much 37 weaker. We use a simple, physics-based approach to decompose these changes into con-38 tributions from changes in temperature and changes in ascent. Our results show that the 39 contrasting seasonal response over this region is mostly due to decreases in extreme as-40 cent with warming in summer, and that the 'summer-winter' contrast is already present 41 in observed changes of extreme rainfall since the mid-20<sup>th</sup> century. 42

#### 43 **1** Introduction

The impacts of extreme precipitation are felt acutely across the world with con-44 sequences ranging from floods and landslides (Kirschbaum et al., 2012) to changes in ecosys-45 tems (Knapp et al., 2008). Additionally, it is now well-understood that extreme precip-46 itation events intensify overall on a global scale in response to global warming (Wehner 47 et al., 2020; Kharin et al., 2013; O'Gorman, 2015). On regional scales however, the re-48 sponse of precipitation extremes to warming is uncertain, with some regions projected 49 to experience changes in precipitation extremes which are much higher or lower than the 50 global-mean intensification (Pfahl et al., 2017). Put together, this makes regional changes 51 in extreme precipitation potentially one of the most impactful consequences of global warm-52 ing. Thus, understanding historical and future changes in regional extreme precipitation 53 important not only from a scientific perspective, but also for understanding the unequal 54 impacts of climate change (Diffenbaugh & Burke, 2019). In addition, considering pre-55 cipitation extremes in different seasons helps to clarify physical drivers and can also be 56 important for impacts. 57

To understand projections of changes in precipitation extremes it is useful to decompose the changes into contributions from different physical drivers. One such approach is to use the simple, physical scaling developed by O'Gorman and Schneider (2009a) which relates the intensity of precipitation extremes,  $P_e$ , to the pressure vertical velocity ( $\omega_e$ ) and the vertical derivative of saturation specific humidity with respect to pressure assuming a moist adiabatic lapse rate  $(\frac{dq_s}{dp}|_{\theta^*})$ ,

$$P_e \sim -\left\{ \omega_e \left. \frac{\mathrm{dq}_s}{\mathrm{dp}} \right|_{\theta^*} \right\},\tag{1}$$

where  $\{\cdot\}$  denotes a mass-weighted vertical integral over the troposphere,  $\omega_e$  is evaluated on the day of the extreme event, and  $\frac{dq_e}{dp}|_{\theta^*}$  is evaluated using the temperature  $T_e$ on the day of the extreme event. Thus, when considering a change in precipitation extremes due to global warming,  $\delta P_e$ , we can decompose the change into a thermodynamic contribution associated with changes in  $T_e$  and a dynamic contribution associated with changes in extreme ascent  $\omega_e$ ,

$$\delta P_e \approx \delta P_{\text{thermodynamic}} + \delta P_{\text{dynamic}}.$$
 (2)

Pfahl et al. (2017) recently showed that Eq. 1 successfully captures the present-64 day and future changes of precipitation extremes in simulations from the Coupled Model 65 Intercomparison Project Phase 5, CMIP5, (Taylor et al., 2012) and thus is a good proxy 66 for understanding and decomposing these future changes (Fig. S1). Pfahl et al. (2017) 67 used Eq. 1 to decompose future regional changes in annual and seasonal maximum daily 68 precipitation (hereafter, Rx1day) in the CMIP5 simulations into thermodynamic and dy-69 namic contributions. The thermodynamic contribution is positive and relatively spatially 70 uniform, whereas the dynamic contribution varies strongly between regions and seasons 71 and can either locally amplify or counteract the increases from the thermodynamic con-72 tribution. 73

The results of Pfahl et al. (2017) show a pronounced 'summer-winter' contrast in 74 the response of seasonal Rx1day. The fraction of Northern Hemisphere (NH) extratrop-75 ical land experiencing robust increases is relatively small in June-July-August (JJA), due 76 to a negative dynamic contribution over land, particularly over Europe and North Amer-77 ica. Similar results were found by Tandon et al. (2018) for the CanESM2 large ensem-78 ble. By contrast, Pfahl et al. (2017) found a strong response of precipitation extremes 79 in the NH extratropics for December-January-February (DJF), and climate change was 80 found to induce a shift in precipitation extremes towards the cold season in this region. 81 Marelle et al. (2018) also found a shift towards the cold season for many regions in both 82 CMIP5 models and regional models from the Coordinated Regional Downscaling Exper-83 iment (CORDEX). Furthermore, Marelle et al. (2018) found that the CMIP5 and CORDEX 84 models reproduce most aspects of the seasonality of precipitation extremes in the cur-85 rent climate when compared to gridded observations, which increases confidence in their 86 future projections for changes in seasonality. 87

High-resolution, regional models have also shown a weaker response of precipita-88 tion extremes to climate change in JJA than DJF in Europe (Wood & Ludwig, 2020). 89 This summer-winter contrast was also found in convection-permitting simulations of the 90 Mediterranean (Pichelli et al., 2021) and the Contiguous United States (Prein et al., 2017), 91 which is notable since convection-permitting simulations are better able to represent short-92 duration precipitation extremes (Prein et al., 2015). Precipitation extremes in JJA are 93 known to be sensitive to how convection is represented (Chan et al., 2014; Prein et al., 94 2015; Ban et al., 2015; Kooperman et al., 2014), and caution is needed for projections 95 in regions and seasons with significant mesoscale convective activity, particularly for sub-96 daily extremes. This emphasizes the importance of seeking observational evidence and 97 robust physical mechanisms that may support projected seasonal changes in precipita-98 tion extremes. 99

Here, we focus on the summer-winter contrast in the fractional response of daily precipitation extremes to climate warming in the NH in CMIP5 models and gridded observations. We begin by describing the model output and observational data and the methods of analysis (Section 2). We then show that the JJA-DJF contrast is primarily due to differences in the dynamic contribution between JJA and DJF, but that differences in the thermodynamic contribution also play a role, particularly at high latitudes (Section 3). We further show that the negative dynamic contribution in JJA is strongly correlated in terms of model scatter and spatial pattern to decreases in mean near-surface relative humidity over land, suggesting a possible mechanism through a less favorable convective environment (Section 4). Finally, we demonstrate that the summer-winter contrast is also evident in gridded observational datasets and CMIP5 simulations over the historical period (Section 5), before giving our conclusions (Section 6).

#### 112 2 Methods

We analyse changes over 1950–2100 under the historical and RCP8.5 scenarios for CMIP5. All models are used that provide the required data (listed in Text S1). The scaling and decomposition based on Eq. 1 is taken from Pfahl et al. (2017), and further details can be found there, but we repeat the key points of the calculation here. We chose not to repeat their calculations with CMIP6 output because there is little improvement in the simulation of daily precipitation extremes between CMIP5 and CMIP6 (Wehner et al., 2020).

Daily surface precipitation was used to calculate the maximum daily precipitation 120 amount (Rx1day) for JJA and DJF in each year. Daily-mean temperature and vertical 121 pressure velocity on all available pressure levels at the location and day of each daily-122 maximum precipitation event ( $T_e$  and  $\omega_e$ , respectively) were then used to calculate the 123 full extreme precipitation scaling following Eq. 1 by performing a vertical integral over 124 all tropospheric levels with ascent ( $\omega_e < 0$ ). To calculate the thermodynamic contri-125 bution, this analysis is repeated but with  $\omega_e$  replaced with its average over all years from 126 1950-2100. 127

To calculate the sensitivity to climate change, we first normalize Rx1day and the 128 full and thermodynamic scalings by dividing by their average over the historical period 129 (1950-2000). We then calculate the dynamic contribution as the difference between the 130 full and thermodynamic scaling. This approach to calculating the dynamic contribution 131 differs slightly from Pfahl et al. (2017), but yields similar results (e.g., compare our Fig. 132 1c with their Fig. S8d). We then regress these normalized time series against global- and 133 annual-mean surface temperature anomalies over 1950-2000 using the Theil-Sen estima-134 tor to produce sensitivities in units of ( $\% K^{-1}$ ). The Theil-Sen estimator is a non-parametric 135 estimator which operates by choosing the median of the slopes of all lines through pairs 136 of points and is less sensitive to outliers than ordinary least-squares regression. This re-137 gression approach has been shown to provide more robust results compared to taking 138 differences in multi-decadal means (Fischer et al., 2014). When presenting results for the 139 seasonal contrast (JJA-DJF), the sensitivities are calculated by differencing the normal-140 ized JJA and DJF time series in each grid box, before regressing this 'difference' time 141 series against global-mean surface temperature anomalies for each model. Using a nor-142 malization over a reference period can sometimes produce statistical biases for changes 143 in precipitation extremes (Donat et al., 2016; Sippel et al., 2017), but our results remain 144 largely unchanged when using the full 1950-2100 period for normalization (Fig. S2). 145

All analysis is performed on each model's native grid, and then the sensitivities are 146 re-gridded to a uniform 1°x1° grid before calculating multi-model statistics and zonal 147 means. Pfahl et al. (2017) noted previously that some models produce very low seasonal 148 Rx1day at some grid points in the subtropics, which creates anomalously large extreme 149 precipitation sensitivities. Thus, when calculating multi-model or zonal means we ex-150 clude grid boxes from models where the average seasonal Rx1day over the historical pe-151 riod is less than  $0.5 \text{ mm day}^{-1}$ . Additionally, we found that the CMCC-CMS model pro-152 duced unrealistically large changes in the thermodynamic contribution over Pakistan and 153 Afghanistan, and so for this model we exclude the region from  $29.5^{\circ}$  to  $32.5^{\circ}$  latitude 154 and  $60^{\circ}$  to  $68^{\circ}$  longitude. 155

We also analyse changes in seasonal Rx1day over the historical period over land 156 in observations and compare them to the same period in the CMIP5 simulations (com-157 bining the historical and RCP8.5 simulations). We analyse the 'extended' NH summer 158 (MJJAS) and winter (NDJFM) seasons (as opposed to JJA and DJF) to improve the 159 signal-to-noise ratio and use data from 1950-2017, with the time-period chosen for max-160 imum overlap with the CMIP5 data. For Rx1day observations, we focus on the HadEX3 161 gridded dataset (Dunn et al., 2020) which has a spatial resolution of  $1.25^{\circ} \times 1.875^{\circ}$ , but 162 we also show results for the GHCNDEX observational dataset over 1952-2018 (Donat 163 et al., 2013) which has a resolution of  $2.5^{\circ} \ge 2.5^{\circ}$  in the supplement as a point of com-164 parison. To calculate annual- and global-mean surface temperatures (including land and 165 ocean) from observations, we use the NOAA Merged Land-Ocean Surface Temperature 166 Analysis (Vose et al., 2012). 167

Sensitivities in  $\% \text{ K}^{-1}$  for the observations are calculated at each gridbox as de-168 scribed earlier but requiring at least 45 years of data at that grid box and normalizing 169 by an average over all the years used. When analysing the summer-winter contrast (here, 170 MJJAS-NDJFM) we require each grid box to have 45 years of data for both seasons in 171 each year, and we normalize each time series separately before differencing and then per-172 forming the regression. CMIP5 data are subsampled to the observations in both space 173 and time. To reduce the influence of unforced variability and outliers, we then aggregate 174 the sensitivities into  $5^{\circ}$  latitude bands and calculate the median sensitivity across each 175 latitude band. We use bootstrapping to estimate the uncertainty due to inter-annual vari-176 ability and the non-uniform spatial coverage of the observations. To do this we calcu-177 late 10,000 bootstrap samples per latitude band, where each sample involves a random 178 choice of both the years used for each grid box to calculate the regression, and a ran-179 dom choice of the grid boxes used to calculate the median sensitivity across the latitude 180 band. We then calculate the median sensitivity for each bootstrap sample, and then the 181 90% confidence interval across samples for each latitude band. Our conclusions are largely 182 insensitive to the size of the latitude bands and the number of bootstrap samples used, 183 except in the tropics where larger latitude bands can obscure seasonal migrations of the 184 ITCZ. 185

#### <sup>186</sup> 3 Summer-Winter contrast in CMIP5

Figure 1 shows the multi-model mean patterns of seasonal Rx1day sensitivity based on the scaling Eq. 1 and its decomposition into thermodynamic and dynamic contributions for JJA, DJF and JJA-DJF. As found in previous studies, the thermodynamic contribution is relatively uniform with robust agreement on the sign and the magnitude in both seasons. In stark contrast, the dynamic contribution exhibits strong regional and seasonal variations.

The NH extratropics show a strongly negative JJA-DJF contrast especially over 193 land (Fig. 1g). Over this region, the DJF response (Fig. 1d) is amplified by a positive 194 contribution from the dynamics (Fig. 1f) and a relatively strong thermodynamic con-195 tribution particularly at high latitudes (Fig. 1e). On the other hand, the response dur-196 ing JJA is 'muted', with much less multi-model agreement and with some regions (par-197 ticularly Europe and the continental United States) exhibiting close to no change or even 198 negative responses of extreme precipitation to warming (Fig. 1a). This weak JJA response 199 arises predominantly due to the strongly negative dynamic contribution (Fig. 1c) which 200 cancels out the robust, positive increase due to the thermodynamic contribution (Fig. 201 1b). The negative dynamic contribution in JJA is particularly strong over land and parts 202 of the subtropical Atlantic. A land-ocean contrast in the dynamic contribution in JJA 203 is apparent when examining anomalies from the zonal-mean (Fig. S3), which show that the negative dynamic contribution extends further poleward over NH land as compared 205 to ocean. The combination of the very weak response in JJA and the amplified response 206 in DJF leads to the strong JJA-DJF difference in the response, particularly over NH mid-207



**Figure 1.** Multi-model mean Rx1day sensitivity over 1950-2100 according to the scaling Eq. 1 (a,d,g) and decomposition into (b,e,h) thermodynamic and (c,f,i) dynamic contributions for (a-c) JJA, (d-f) DJF and (e-i) JJA minus DJF, the summer-winter contrast. Stippling indicates where at least 90% of the models agree on the sign of the change.

latitude land. The dynamic contribution is responsible for most of the JJA-DJF difference, as illustrated by the similarity between Fig. 1g and i, but seasonal differences in
the thermodynamic contribution also play a role (Fig. 1h).

We next examine zonal-mean changes in the scaling decomposition over both land 211 and ocean and over land only (Fig. 2). The thermodynamic contribution is larger at higher 212 latitudes (e.g., Fig. 2b,e) and is partly responsible for the JJA-DJF contrast at NH mid-213 dle and high latitudes (Fig. 2c,f), implying a stronger thermodynamic contribution in 214 DJF than JJA. A stronger thermodynamic contribution is expected for the lower tem-215 peratures in winter and at higher latitudes because percentage increases in  $\frac{dq_s}{dp}|_{\theta^*}$  with 216 increasing temperature are larger at lower temperatures (O'Gorman & Schneider, 2009a). 217 It could also be argued that Arctic amplification of surface warming also plays a role, 218 and indeed the JJA-DJF contrast in the NH thermodynamic contribution is negligible 219 when we regress against zonal-mean temperature (Fig. S4). However, the stronger ther-220 modynamic contribution at higher (and colder) latitudes is also found to occur even when 221 a globally uniform surface warming is imposed (O'Gorman et al., 2021) suggesting that it is not tied to Arctic amplification. Additionally, previous studies have found there is 223 less warming of  $T_e$  than mean temperature at middle and high latitudes (e.g., Fig. S5 224 of O'Gorman and Schneider (2009a) or Fig. 8c of O'Gorman and Schneider (2009b)) which 225 suggests that normalizing by the local changes in zonal-mean temperature gives too much 226 emphasis to Arctic amplification. 227

In the tropics, the zonal-mean results in Fig. 2 are consistent with amplification of precipitation extremes along the ITCZ region, which moves seasonally. This leads to a southward shift in precipitation extremes when considering the summer-winter con-



Zonal-mean of the Rx1day sensitivity over 1950-2100 according to the scaling and Figure 2. its decomposition into thermodynamic and dynamic contributions for (a) JJA, (b) DJF and (c) JJA-DJF. Lines indicate multi-model means and shading shows the 90% model range. Panels (d,e,f) show the same results but for over land only.

trast (Fig. 2c,f) because the ITCZ occurs further south in DJF than in JJA. These shifts 231 are driven by the dynamic contribution as demonstrated by the similarity between the 232 changes in the full scaling and the dynamic contribution in the tropics (gray and orange 233 lines in Fig. 2c,f). 234

We have presented results in terms of percentage changes in (%  $K^{-1}$ ) as opposed 235 to absolute changes (mm day $^{-1}$  K $^{-1}$ ) because it is useful to consider the change in each 236 season relative to what is expected for that season and because previous studies have also 237 focused on percentage changes which are easier to relate to physical processes. Absolute 238 changes also show a seasonal contrast for much of NH midlatitude land but not for some 239 parts of Asia (Fig. S5g) or for zonal-mean quantities (Fig. S6f), because the thermody-240 namic contribution offsets the dynamic contribution when considering absolute changes. 241 Thus, one additional advantage of considering percentage changes is that it provides a 242 strong zonal-mean signal to look for in the observational record (Section 5). 243

#### 244

### 4 Physical mechanisms of the negative dynamic contribution in JJA

Dynamic weakening of precipitation extremes during JJA is a large contributor to 245 the JJA-DJF contrast in the extratropical NH, particularly over land (Figs. 1c and 2d). 246 Physically then, what mechanisms could be responsible for this dynamic weakening? Tandon 247 et al. (2018) tackled this question using a three-term approximation of the QG- $\omega$  equa-248 tion and found the weakening of extreme ascent was related to increases in the horizon-249 tal length scale of extreme ascent. However, Li and O'Gorman (2020) numerically in-250 verted the QG- $\omega$  equation in extreme precipitation events and found that changes in eddy 251 length were less important when all terms were retained in the QG- $\omega$  equation, although 252 they did not separately analyse extremes in JJA. Changes in moist static stability,  $\sigma_m$ , 253 have also been found to be important in previous studies (Li & O'Gorman, 2020; Tan-254 don et al., 2018), with an increase in  $\sigma_m$  associated with a weakening of ascent. Here, 255

we calculate changes in moist static stability on the days of the extreme events following previous work (Text S2) and find that the changes in moist static stability are mostly consistent with the spatial pattern of the JJA dynamic contribution (Fig. S7), but they fail to capture the inter-model spread in projections over NH land (Fig. S8).

We next investigate an alternative explanation for the dynamic contribution over NH extratropical land in JJA in terms of changes in the near-surface relative humidity ( $RH_{2m}$ ). Decreases in  $RH_{2m}$  over land are expected with global-warming because of the land-ocean warming contrast (Byrne & O'Gorman, 2016, 2018) and decreases in stomatal conductance (Cao et al., 2010; Berg et al., 2016). Furthermore, previous work has already shown that decreases in relative humidity cause an increase in convective inhibition (CIN) that is particularly large over NH land in JJA (Chen et al., 2020).



Figure 3. Sensitivity for JJA over 1950-2100 of (a) seasonal-mean near-surface relative humidity and (b) the dynamic contribution to changes in precipitation extremes. Results are shown for the 12 models that archived  $RH_{2m}$  and for which the dynamic contribution was calculated. Stippling indicates where 10 out the 12 models agree on the sign of the sensitivity. Panel (c) shows a scatter plot of the median sensitivities across land grid boxes in the latitude band 40- $70^{\circ}N$  for each model.

In Fig. 3 we compare the sensitivities of seasonal-mean  $RH_{2m}$  and the dynamic con-267 tribution to precipitation extremes during JJA for climate change over 1950-2100. The 268 sensitivity of  $RH_{2m}$  is defined using regression analogously to the sensitivity of precip-269 itation extremes and normalized by the 1950-2000 mean. There is strong agreement be-270 tween the spatial pattern of the change in  $RH_{2m}$  and the dynamic contribution (Fig. 3a,b), 271 with the models agreeing robustly on strong decreases in relative humidity and a neg-272 ative dynamic contribution over similar regions of the globe. Furthermore, Fig. 3c shows 273 that models with a stronger decrease in JJA  $RH_{2m}$  also tend to have a stronger nega-274 tive dynamic contribution when averaged over NH midlatitude land. This suggests a mech-275 anism whereby decreases in RH<sub>2m</sub> over NH land during JJA lead to a less-favorable en-276 vironment for the convective heating that amplifies ascent in precipitation extremes. The 277 link between the dynamic contribution and  $RH_{2m}$  is not as strong in individual model 278 runs (Fig. S9 and S10), potentially due to unforced variability in precipitation extremes 279

and other mechanisms which act to change  $\omega_e$  in precipitation extremes but are not robust across models. Changes in RH<sub>2m</sub> on the day of the event are weaker but are nonetheless strongly correlated with the dynamic contribution (Fig. S11).

The details of the mechanism by which decreases in relative humidity inhibit con-283 vective heating in extreme precipitation events requires further study, ideally with a cloud-284 resolving model. One possibility is through increases in seasonal-mean CIN, which we 285 find are correlated with the dynamic contribution for both the spatial pattern and inter-286 model scatter (Text S3, Fig. S12). CIN on the day of the extreme precipitation event 287 also increases, but the correlation with the dynamic contribution is weaker (see Figs. S13-288 14 and discussion in Text S3). Another possibility is that decreases in relative humid-289 ity inhibit convective heating through entrainment of relatively drier environmental air, 290 and this is plausible because the region of decreased relative humidity over land extends 291 upwards through the lower troposphere (Chen et al., 2020). 292

The relationship between changes in mean relative humidity and the negative dy-293 namic contribution to changes in extreme precipitation in JJA (Fig. 3) is notable in that 294 it links changes in a mean quantity to changes in an extreme statistic. Such a link is po-295 tentially very useful since mean quantities can be easier to observationally constrain than 296 extremes. The decrease in relative humidity occurs only over land, and factors such as 297 a general weakening of the extratropical storm track in NH JJA (O'Gorman, 2010; Gertler 298 & O'Gorman, 2019), poleward expansion of the Hadley cells in the subtropics (Pfahl et 299 al., 2017; Norris et al., 2020), or other aspects of the large-scale dynamics (Tandon et 300 al., 2018) may also influence the dynamic contribution over land and ocean. 301

In NH DJF, there is not a connection between changes in RH<sub>2m</sub> and the dynamic contribution (Fig. S15), which we hypothesize is because daily precipitation extremes in DJF are controlled to a greater extent by large-scale dynamics as compared to the strongly convective extremes in JJA.

Interestingly, there is also a negative dynamic contribution over the Southern Hemisphere over both land and ocean in JJA (Fig. 1c). This negative dynamic contribution does not show as clear a land-ocean contrast and primarily occurs at lower latitudes as compared to the negative dynamic contribution in the NH, and thus we hypothesize it may be more strongly influenced by factors such as Hadley cell expansion (Pfahl et al., 2017; Norris et al., 2020).

## 312

## 5 Observed and modelled trends over the historical period

Given the difficulty in correctly representing convection in models, we next turn 313 our attention to gridded observations of precipitation extremes. Figure 4 shows the sen-314 sitivity of daily precipitation extremes from HadEX3 observations and CMIP5 models 315 to warming over 1950-2017 for boreal summer (MJJAS) and extended winter (NDJFM) 316 and the seasonal contrast (MJJAS-NDJFM). The results are expressed as medians for 317 each  $5^{\circ}$  latitude bands (see Section 2). For the NH extratropics, the observed sensitiv-318 ities are positive in both MJJAS and NDJFM, and there is a clear summer-winter con-319 trast with lower sensitivities in MJJAS than NDJFM (Fig.4a,b,c). The seasonal contrast 320 is also evident when looking at maps of the sensitivities, but as expected there is con-321 siderable noise when considering sensitivities for a period of this length in individual grid-322 boxes (Fig.S16 a,b,c). The NH extratropical summer-winter contrast is also present in 323 the CMIP5 models over the same historical period (Fig.4 d,e,f). 324

We next quantify the NH midlatitude response by averaging the sensitivities over land between 30-70°N with area-weighting. For the observations, the mean NH sensitivity is 5.6 % K<sup>-1</sup> for MJJAS, 11.6 % K<sup>-1</sup> for NDJFM, and -7.2 % K<sup>-1</sup> for MJJAS-NDJFM. For the CMIP5 models over the same period, the multimodel-mean sensitivity and full model range are 4.4% K<sup>-1</sup> (2.1 to 9.1 % K<sup>-1</sup>) for MJJAS, 7.0 % K<sup>-1</sup> (4.7



Figure 4. The sensitivity of Rx1day to warming over 1950-2017 in MJJAS (a,d), NDJFM (b,e) and MJJAS-NDJFM (c,f) for the HadEX3 dataset (a,b,c) and CMIP5 simulations subsampled to the HadEX3 dataset (d,e,f). Solid lines show the median sensitivity across the  $5^{\circ}$  latitude band. Dashed lines show the 90% confidence interval for HadEX3 and 90% of the model spread for CMIP5. The total number of samples included in each latitude band is also shown (g,h,i) which is the same for both the observations and the simulations.

to 10.8  $\%~{\rm K}^{-1})$  for NDJFM, and -2.4  $\%~{\rm K}^{-1}$  (0.6 to -8.4  $\%~{\rm K}^{-1})$  for MJJAS-NDJFM. 330 Thus, while the models and observations show similar sensitivities during MJJAS, none 331 of the models capture the very strong observed sensitivity for NDJFM. As a result, while 332 the observed MJJAS-NDJFM contrast lies within the model range, the multi model-mean 333 value is smaller in magnitude than the value in observations. The smaller magnitude of 334 the sensitivity in the multimodel mean than in observations may be related to unforced 335 internal variability, which is reduced by considering the multimodel mean but is likely 336 to be still important in observations. Despite this, most but not all models (15/18) give 337 a negative MJJAS-NDJFM contrast for this period, consistent with the observations. 338

GHCNDEX has a coarser spatial resolution and fewer grid boxes with data com-330 pared to HadEX3, particularly in the tropics, but we find similar changes in seasonal Rx1day 340 over the Northern Hemisphere (Figs. S16 and S17), which strengthens our confidence 341 in the results. Similar results are also found when the CMIP5 data are not subsampled 342 to the observations (Figure S18), which suggests that missing grid points in the obser-343 vations are not affecting our conclusions. The robust presence of the MJJAS-NDJFM 344 contrast in observed trends over the historical period supports the contrast found in ear-345 lier sections. 346

#### <sup>347</sup> 6 Conclusions

In this study we have demonstrated that CMIP5 models project a robust summerwinter contrast in the response of precipitation extremes to warming over Northern Hemisphere midlatitude land, with considerably weaker percentage changes in JJA than DJF. We have also shown that this summer-winter contrast is evident in gridded observations over the historical period, which strengthens our confidence in the future projections. CMIP5 simulations over the historical period also show a summer-winter contrast that occurs in 15/18 models, and the model range includes the observed value of this contrast.

Furthermore, we have used a simple, physical scaling to help explain the cause of 355 the summer-winter contrast in changes in precipitation extremes. The contrast is pri-356 marily caused by the dynamic contribution (related to changes in extreme ascent) with 357 strongly negative dynamic contribution in JJA and a weakly positive dynamic contri-358 bution in DJF. The negative dynamic contribution in JJA is strong over NH extratrop-359 ical land, and we show it is highly correlated with decreases in near-surface relative hu-360 midity and increases in convective inhibition in terms of spatial pattern and inter-model 361 scatter, suggesting a potential mechanism whereby reduced relative humidity during JJA 362 provides a less favorable environment for strong convective heating and ascent. 363

The thermodynamic contribution to changes in precipitation extremes also helps to amplify the response in winter over summer, particularly over high latitudes. We have focused on percentage seasonal changes because they may be more relevant for impacts in a given season and to better connect with physical mechanisms. If absolute rather than percentage changes in precipitation extremes are considered, the thermodynamic contribution is larger in summer than winter, and this offsets the JJA-DJF contrast in the dynamic contribution, although the contrast is still evident over much of NH midlatitude land (Fig. S5).

Future work could build on our observational analysis by performing a formal de-372 tection and attribution analysis of the seasonal difference in trends of precipitation ex-373 tremes. Future work could also build more understanding of the positive dynamic con-374 tribution in the NH extratropics in winter, which is important as DJF is the season of 375 maximum daily precipitation in many regions (Marelle et al., 2018). Future work could 376 also investigate the detailed mechanism (e.g., involving CIN or convective entrainment) 377 and physical accuracy of the link between changes in relative humidity and precipita-378 tion extremes in summer using idealized experiments in cloud-resolving models. Given the potential importance of decreases in relative humidity over land for convection and 380 precipitation extremes, it would be helpful to develop an emergent constraint for the mag-381 nitude of the expected decrease, although this may be difficult to the extent that it de-382 pends both on the land-ocean warming contrast and the plant physiological response to 383 increased on  $CO_2$  levels. 384

## 385 7 Open Research

Processed observational and climate model data supporting the conclusions in this study can be found at https://doi.org/10.5281/zenodo.6341493.

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# Supporting Information for "Summer-Winter Contrast in the Response of Precipitation Extremes to Climate Change over Northern Hemisphere Land"

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## Contents of this file

Text S1-S3 and Figures S1-S18.

## Introduction

This document provides supporting text and figures for the main article.

- 1. Text S1 describes the CMIP5 models used in this study.
- 2. Text S2 describes the calculation of the moist stability.
- 3. Text S3 describes the calculation of CAPE and CIN and their changes.
- 4. Figures S1-S18 support the findings in the main text.

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Text S1.

We use the following 18 CMIP5 models: ACCESS1-0, ACCESS1-3, BNU-ESM, CMCC-CESM, CMCC-CM, CMCC-CMS, CNRM-CM5, CSIRO-Mk3-6-0, CanESM2, FGOALS-g2, GFDL-ESM2M, IPSL-CM5A-LR, IPSL-CM5A-MR, IPSL-CM5B-LR, MPI-ESM-LR, MPI-ESM-MR, NorESM1-M, bcc-csm1-1-m.

However, Fig. 3 and S9-S15 required RH<sub>2m</sub> ('hurs') which was only available for the following 12 CMIP5 models: ACCESS1-0, ACCESS1-3, BNU-ESM, CNRM-CM5, CSIRO-Mk3-6-0, CanESM2, GFDL-ESM2M, IPSL-CM5A-LR, IPSL-CM5A-MR, IPSL-CM5B-LR, NorESM1-M, bcc-csm1-1-m.

## Text S2.

We examine the fractional changes in 500hPa moist static stability,  $\sigma_m$ , for the same subset of CMIP5 models used in Fig. 3, on the day of the extreme event in JJA. The moist static stability,  $\sigma_m$ , is defined as,

$$\sigma_m = -\frac{\mathrm{RT}}{p\theta} \left( \left. \frac{\partial\theta}{\partial p} - \left. \frac{\partial\theta}{\partial p} \right|_{\theta^*} \right),$$

where R is the gas constant for dry air, T is the temperature,  $\theta$  is the dry potential temperature and  $\frac{\partial \theta}{\partial p}|_{\theta^*}$  is the vertical gradient of dry potential temperature for a moist adiabatic lapse rate (assuming constant saturated equivalent potential temperature,  $\theta^*$ ). All quantities are taken on the day of the extreme event in JJA, and the calculation is performed on standard pressure levels. This definition of moist static stability is similar to that used by Tandon et al. (2018) and Li and O'Gorman (2020). The multi-model mean values of  $\sigma_m^e$  in the control climate (1950-2000) are presented in Fig. S7a and the sensitivities with warming are presented in Fig. S7b. Because the climatological  $\sigma_m^e$  changes sign from negative to positive near our area of interest (the NH midlatitudes), we present absolute rather than percentage trends. Note that in the expression for the change in vertical velocity from the QG-omega equation (Equation 12 from Li and O'Gorman (2020)), the moist static stability appears in the denominator but not on its own, so it is not a problem for it to be zero or weakly negative in the control climatology.

## Text S3.

We calculate CIN and CAPE for each of the models included in Fig. 3 using the **xcape** python package (Lepore et al., 2021). CAPE is taken as the vertical integral of the positive buoyancy of a parcel lifted pseudo-adiabatically from the surface, and CIN is defined similarly but for the negative buoyancy. Freezing is treated using a mixed-phase range, with the fraction of ice decreasing linearly from one at -40°C to zero at 0°C. The calculations are performed using daily temperature and specific humidity on pressure levels and at the surface. Daily surface pressure was not available for all of the models and so we used monthly-mean surface pressure. When calculating CIN and CAPE on a given day in JJA, we use monthly surface pressure for the month that contains the day. Changes in seasonal mean CIN in JJA are shown in Fig. S12. CIN<sup>e</sup> and CAPE<sup>e</sup> (which are evaluated on the day of the Rx1day event) and their sensitivities to warming are presented in Fig. S13-S14.

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 $CIN^e$  does increase over NH extratropical land during JJA, but the increases are weak and not well correlated with the dynamic contribution (Fig. S14). The weak correlation is likely because CIN is likely to be relatively small in the period around the extreme precipitation event in order for the event to occur. For this reason, seasonal-mean CIN may be more relevant than  $CIN^e$ , with increased seasonal-mean CIN limiting the extreme event to certain days which are not as optimal for ascent in terms of other factors such as the large-scale forcing. Further study is needed to investigate the influence of CIN and convective entrainment of lower relative-humidity environmental air on the dynamic contribution, ideally using a cloud-resolving model.

We also find increases in CAPE with warming on the day of the extreme event in JJA (Fig. S13d), which might be thought to imply a positive dynamic contribution. However, previous work has documented a negative dynamic contribution is possible despite CAPE increases (Muller et al., 2011) because CAPE increases with warming mostly reflect increased buoyancy in the upper troposphere (e.g., Singh and O'Gorman (2013)) where specific humidities are small, and thus are not necessarily as important for precipitation extremes.

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**Figure S1.** Comparison between CMIP5 multi-model mean changes in seasonal daily maximum precipitation (Rx1day) in JJA (a) and DJF (c) and changes in the corresponding scaling estimate calculated from Eq. 1 in the main text (b, d). Stippling indicates where at least 90% models agree on the sign of the change.



Figure S2. As in Fig. 1 except that the normalization is over the period 1950-2100 instead of 1950-2000. The results are generally similar to the results in Fig. 1.



Figure S3. As in Fig. 1c except we plot the anomaly from the zonal mean.



**Figure S4.** As in Fig. 2 except that here we show the sensitivity with respect to changes in zonal-mean temperature change rather than global-mean temperature change. There is a JJA-DJF contrast over NH extratropical land, driven by the dynamic contribution, which is robust across the CMIP5 models considered. The main difference from Fig. 2 is that the JJA-DJF contrast in the thermodynamic contribution is substantially weaker when regressing against zonal-mean temperature.



Figure S5. As in Fig. 1 except that here we do not normalize the daily maximum timeseries by their average over the 1950-2000 period, and thus the trends are in mm day<sup>-1</sup>  $K^{-1}$  as opposed to %  $K^{-1}$ . There is still a JJA-DJF contrast in changes in precipitation extremes over much of NH midlatitude land but not to the same extent as when percentage changes are considered.





Figure S6. As in Fig. 2 except that here we do not normalize the daily maximum timeseries by their average over the 1950-2000 period, and thus the trends are in mm day<sup>-1</sup> K<sup>-1</sup> as opposed to % K<sup>-1</sup>. This figure demonstrates that while the dynamic contribution still contributes to a JJA-DJF contrast over the NH in absolute terms, it is largely cancelled out in the zonal mean at higher latitudes by weaker thermodynamic trends in DJF than in JJA.



Figure S7. (a) Multi-model mean moist static stability,  $\sigma_m^e$ , at 500hPa calculated on the day of the extreme event in JJA and then averaged from 1950-2000. (b) Sensitivity of  $\sigma_m^e$  at 500hPa to global-mean warming, where absolute rather than fractional changes are used here because  $\sigma_m^e$  changes sign in the NH midlatitudes in the control-climate. Stippling indicates 90% model agreement.



Figure S8. As in Fig. 3 except we have replaced seasonal-mean surface relative humidity with moist static stability at 500hPa on the day of the extreme event,  $\sigma_m^e$ . Note that absolute rather than fractional changes in  $\sigma_m^e$  are used to define its sensitivity to warming. The results show that  $\sigma_m^e$  changes correlate with the dynamic contribution in terms of spatial pattern but not inter-model scatter. (Note that in panel c there is a hint that a more negative dynamic contribution is associated with a smaller increase in moist static stability, but this is the opposite of what would be expected from a physical point of view.)



Figure S9. As in Fig.3a,b except showing the first six CMIP5 models individually.



Figure S10. As in Fig.3a,b except showing the last six CMIP5 models individually.



**Figure S11.** As in Fig. 3 of the main text, except we use  $\text{RH}_{2m}$  on the day of the extreme event in JJA (denoted using a superscript <sup>e</sup>), as opposed to the seasonal-mean  $\text{RH}_{2m}$ . The correlation in panel c is improved when we make this change, except for one outlier. However,  $\text{RH}_{2m}^e$  captures less of the spatial pattern and pattern of model agreement than seasonal-mean  $\text{RH}_{2m}$  over NH land.



Figure S12. As in Fig. 3 of the main text, except we have replaced seasonal-mean  $RH_{2m}$  with seasonal-mean CIN. Seasonal-mean changes in CIN during JJA capture much of the spatial pattern of the dynamic contribution over NH land, and they also capture much of the inter-model spread in the dynamic contribution.



Figure S13. (a,c) Multi-model mean CAPE and CIN calculated on the day of the extreme event in JJA (denoted using a superscript  $^{e}$ ) and then averaged from 1950-2100. (b,d) Sensitivities of  $CIN^e$  and  $CAPE^e$  to warming, where stippling indicates 90% of models agree on the sign of the change.



Figure S14. As in Fig. 3 of the main text, except we we have replaced seasonal-mean  $\mathrm{RH}_{2\mathrm{m}}$  with CIN calculated on the day of the extreme event in JJA (denoted using a superscript <sup>e</sup>). In panel (c) we calculate the scatter plot using the mean trends across 40-70°N land as opposed to using the median (as in previous figures). This is because most models exhibit negligible  $\delta \mathrm{CIN}^e$  trends during JJA for greater than half of the grid-points over NH extratropical land, and so the median does not represent the inter-model scatter as faithfully as the mean.



**Figure S15.** As in Fig. 3, but for DJF. Most models predict a weak decrease in nearsurface relative humidity with warming over Northern Hemisphere land in DJF, but the dynamic contribution over Northern Hemisphere land is positive in this season. The lack of a correlation with near-surface relative humidity over the Northern Hemisphere during DJF is likely because precipitation extremes during DJF are associated with weather systems that are less strongly influenced by convection than during JJA.





Figure S16. Regional sensitivities of Rx1day to global temperature changes over the period 1950-2017 for HadEX3 (a,b,c) and 1952-2018 for GHCNDEX (d,e,f). Sensitivities are plotted for (a,d) MJJAS and (b,e) NDJFM for grid boxes with at least 45 years of data, and (c,f) for the seasonal contrast, MJJAS-NDJFM, for grid boxes with at least 45 years with data for both MJJAS and NDJFM (see Section 2 of main text for details). The HadEX3 and GHCNDEX datasets have spatial resolutions of  $1.25^{\circ}x1.875^{\circ}$  and  $2.5^{\circ}x2.5^{\circ}$ , respectively. The figure shows that HadEX3 has substantially more grid boxes with data in the tropics. Visually it is also apparent that the extreme precipitation sensitivity is lower during MJJAS (a, d) than in NDJFM (b, d) in both datasets over the extratropical Northern Hemisphere, corresponding to a summer-winter contrast over this region (c, f).

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Figure S17. As in Fig. 4, except for the GHCNDEX observational dataset rather than HadEX3. CMIP5 simulations are subsampled to GHCNDEX in making this figure.



Figure S18. Sensitivity of seasonal Rx1day over land to warming in CMIP5 over the period 1950-2025 for (a) MJJAS, (b) NDJFM and (c) MJJAS-NDJFM. The sensitivities are calculated the same way as in Fig. 4 and Fig. S17 except for here we do not subsample the CMIP5 models to the spatio-temporal grid of the observations. The results show that the summer-winter contrast is also present in CMIP5 models when there is no missing data. The number of included gridboxes (d,e,f) is the same in each season, but there are latitudinal variations which reflect the fact there is less land in some 5° latitude bands than in others.