# Improved Bathymetric Prediction using Geological Information: SYNBATH

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#### Abstract

To date, approximately 20% of the ocean floor has been surveyed by ships at a spatial resolution of 400 m or better. The remaining 80% has depth predicted from satellite altimeter-derived gravity measurements at a relatively low resolution. There are many remote ocean areas in the southern hemisphere that will not be completely mapped at 400 m resolution during this decade. This study is focused on the development of synthetic bathymetry to fill the gaps. There are two types of seafloor features that are not typically well resolved by satellite gravity: abyssal hills and small seamounts (< 2.5 km tall). We generate synthetic realizations of abyssal hills by combining the measured statistical properties of mapped abyssal hills with regional geology including fossil spreading rate/orientation, rms height from satellite gravity, and sediment thickness. With recent improvements in accuracy and resolution, It is now possible to detect all seamounts taller than about 800 m in satellite-derived gravity and their location can be determined to an accuracy of better than 1 km. However, the width of the gravity anomaly is much greater than the actual width of the seamount so the seamount predicted from gravity will underestimate the true seamount height and overestimate its base dimension. In this study we use the amplitude of the vertical gravity gradient (VGG) to estimate the mass of the seamount and then use their characteristic shape, based on well surveyed seamounts, to replace the smooth predicted seamount with a seamount having a more realistic shape.

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17	Key Points
18	• Approximately 20% of the ocean floor topography has been surveyed by ships, the
19	remaining 80% is predicted by satellite altimetry.
20	• We increased the resolution of the predicted depth using spectral properties of
21	abyssal hills and the characteristic shapes of seamounts.
22	• We estimate the height and radius of 19,723 uncharted seamounts.

## 25 Abstract

26 To date, approximately 20% of the ocean floor has been surveyed by ships at a spatial 27 resolution of 400 m or better. The remaining 80% has depth predicted from satellite 28 altimeter-derived gravity measurements at a relatively low resolution. There are many 29 remote ocean areas in the southern hemisphere that will not be completely mapped at 30 400 m resolution during this decade. This study is focused on the development of 31 synthetic bathymetry to fill the gaps. There are two types of seafloor features that are 32 not typically well resolved by satellite gravity; abyssal hills and small seamounts (< 2.5 33 km tall). We generate synthetic realizations of abyssal hills by combining the measured 34 statistical properties of mapped abyssal hills with regional geology including fossil 35 spreading rate/orientation, rms height from satellite gravity, and sediment thickness. 36 With recent improvements in accuracy and resolution, It is now possible to detect all 37 seamounts taller than about 800 m in satellite-derived gravity and their location can be 38 determined to an accuracy of better than 1 km. However, the width of the gravity 39 anomaly is much greater than the actual width of the seamount so the seamount 40 predicted from gravity will underestimate the true seamount height and overestimate 41 its base dimension. In this study we use the amplitude of the vertical gravity gradient 42 (VGG) to estimate the mass of the seamount and then use their characteristic shape, 43 based on well surveyed seamounts, to replace the smooth predicted seamount with a 44 seamount having a more realistic shape.

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## 46 Plain Language Summary

47 The floor of the deep ocean remains as the last uncharted frontier in the inner solar 48 system. The deep seawater (> 1000 m) prevent any type of exploration from 49 conventional satellite remote sensing. Echosounders aboard large vessels have mapped 50 about 20% of the seafloor, however, vast areas in the southern hemisphere will not be 51 mapped in our lifetimes. The deep ocean floor has more than 90% of the active 52 volcanoes; hydrothermal circulation of seawater through the crust of the seafloor 53 spreading ridges replenishes the nutrients needed for life on Earth. This study is an 54 effort to fill the large gaps in seafloor coverage by creating a synthetic abyssal hill fabric using geological information such as the age of the seafloor, the spreading rate and
direction when it formed, and the thickness of the sediments covering the original
topography. In addition, we use the latest satellite-derived gravity to estimate the
locations and shapes of about 20,000 uncharted seamounts. The combination of
mapped (20%) and synthetic (80%) topography is useful for modeling ocean circulation
and ocean tides although it may give a false impression that 100% of the seafloor has
been mapped.

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### 63 Introduction

64 Bathymetry is foundational data, providing basic infrastructure for scientific, economic, 65 educational, military, and political work. High resolution, deep ocean bathymetry is 66 critical for: (1) understanding the geologic processes responsible for creating ocean floor 67 features unexplained by simple plate tectonics, such as abyssal hills, seamounts, 68 microplates, propagating rifts, and intraplate deformation; (2) determining the effects of 69 bathymetry and seafloor roughness on ocean circulation, ocean mixing, and climate; and 70 (3) understanding how marine life is influenced by seafloor depth, roughness, and 71 interactions of currents with the seafloor [Yesson et al., 2011]. The Seabed 2030 project 72 [https://seabed2030.org] "aims to bring together all available bathymetric data to 73 produce the definitive map of the world ocean floor by 2030 and make it available to 74 all." The Seabed 2030 global compilation will be based on swath mapping using 75 multibeam sonar which has a spatial resolution of about 400 m at a typical ocean depth 76 of 4 km [*Mayer et al.*, 2018].

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78 The Seabed 2030 project has made considerable progress over the past few years by 79 increasing the multibeam coverage in public compilations from 11% [e.g., GEBCO 2019; 80 Tozer et al., 2019] to more than 20% today [GEBCO 2021]. Much of this data has been 81 made available by the international community with nearly complete coverage of 82 several exclusive economic zones as well as dense coverage of areas of high scientific 83 interest. The remaining 80% of the seafloor has depth predicted from a combination of 84 spatially dense satellite altimeter gravity measurements and sparse soundings to 85 provide the large-scale shape of the ocean basins as well as to calibrate the local ratio of

bathymetry-to-gravity [e.g., *Smith and Sandwell*, 1994]. The spatial resolution of these
predicted depths is limited to approximately the mean ocean depth because of the
upward continuation smoothing effects from Newton's law of gravity. The best satellite
gravity models available today can only resolve ½ wavelength of 6 km when the regional
depth is 4 km [*Tozer et al.*, 2019]. Thus, the resolution of gravity-predicted depth is
more than 10 times worse than the Seabed 2030 objective.

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93 There are many remote ocean areas in the southern hemisphere that will probably not 94 be completely mapped at 400 m resolution during this decade and well beyond (e.g., 95 Figure 1). This study is focused on the development of **SYN**thetic **BATH**ymetry 96 (SYNBATH) to fill the gaps. The synthetic bathymetry has the geostatistical properties of 97 real seafloor bathymetry but it is not as accurate as ship soundings. While the synthetic 98 data will be replaced with real soundings as they become available in the future, in the 99 interim such realistic realizations can provide key inputs for a number of important 100 scientific applications. We will discuss such applications, where this synthetic 101 bathymetry is appropriate and valuable, and also discuss uses of synthetic bathymetry 102 that could prove problematic and result in a false impression that Seabed 2030 103 objectives have been achieved.

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Figure 1. Available ship soundings including single-beam data (thin lines) and multi-beam data (thick lines)
in a remote region of the South Pacific based on the GEBCO 2021 bathymetry [*Weatherall et al.,* 2020]
grid superimposed on a map of North America for scale. There are many areas on this map that are more
than 100 km from a depth sounding. Moreover, removal of the lower resolution single beam soundings
would dramatically reduce the spatial coverage leaving many gaps greater than 400 km.

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113 There are two types of seafloor features that are not well resolved by satellite gravity; 114 abyssal hills and small seamounts (< 2.5 km tall). As described more completely in the 115 next section, one can generate synthetic abyssal hills by combining the measured 116 statistical properties of mapped abyssal hills with regional geology including fossil 117 spreading rate/orientation, rms height from satellite gravity, and sediment thickness 118 [Goff and Arbic, 2010; Goff, 2010; Goff, 2020]. At scales greater than about 6 km 1/2 119 wavelength, the location or "phase" of the synthetic hills matches the actual location 120 based on gravity predicted depth. At shorter scales, the synthetic hills have the correct 121 power spectral roll-off and orientation but have random locations completely 122 uncorrelated with the actual abyssal hills. For studies in physical oceanography, creating 123 hills with the correct height, spectral slope and orientation is more important than hills 124 having the correct location or phase [Scott et al., 2011; Timko et al., 2017] although 125 phase information will be needed for fully resolved models.

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127 The second type of unresolved seafloor feature are seamounts less than about 2.5 km 128 tall [Menard, 1964; Staudigel et al., 2010; Kim and Wessel, 2011; 2015]. Because of 129 significant improvements in the accuracy and resolution of the satellite gravity since the 130 *Kim and Wessel* [2011] study, it is now possible to detect seamounts taller than about 131 800 m [Gevorgian et al., 2021] and their location can be determined to an accuracy of 132 better than 1 km. However, the width of the gravity bump is much greater than the 133 actual width of the seamount. Therefore, the seamount predicted from gravity will 134 underestimate the seamount height and overestimate its base dimension. This results in 135 a seamount flank slope that may be 10 times smaller than the actual slope [Becker and 136 Sandwell, 2008]. As in the case of abyssal hills, the magnitude of the slope of the 137 seamount influences the paths of currents as well as the generation of eddies and

depth soundings to characterize the shapes of smaller seamounts. In this study we use 139 140 the amplitude of the vertical gravity gradient (VGG) to estimate the mass of the 141 seamount and then use their characteristic shape to replace the smooth predicted 142 seamount with a Gaussian seamount having a more realistic shape. 143 144 Modeling Abyssal Hills 145 We use the model of *Goff and Jordan* [1988; 1989] to generate synthetic abyssal hills; 146 the power spectrum of the topography has the following functional form 147 148

internal waves. The studies by Smith [1988] and Gevorgian et al., [2021] have used

$$P(k_x,k_y) = \frac{\pi h_{rms}^2}{\nu k_n k_s} \left[ \frac{k_h^2}{k_s^2} \cos^2(\theta - \theta_s) + \frac{k_h^2}{k_n^2} \sin^2(\theta - \theta_s) \right]^{-(\nu+1)}$$
(1)

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where  $(k_x, k_y)$  is the 2-D wavenumber,  $k_h = (k_x^2 + k_y^2)^{1/2}$  is the magnitude of the 2-D 151 wavenumber,  $h_{rms}$  is the rms of the abyssal hill height,  $(k_s, k_n)$  are the characteristic 152 153 wavenumbers for the abyssal hills in the strike and normal directions, respectively,  $\theta_s$  is 154 the azimuth of the strike of the abyssal hills and  $\nu$  is the Hurst number (~0.9) that determines the rate of spectral roll-off. The five parameters  $(h_{\scriptscriptstyle rms},k_{\scriptscriptstyle s},k_{\scriptscriptstyle n},\theta_{\scriptscriptstyle s},
u)$  vary 155 156 geographically depending on the geological setting at the time of the formation of the 157 abyssal hills [Goff, 2020]. In addition, as the plate ages, sediments can partially or fully 158 cover the hills which reduces their visible height. 159 The rms height  $h_{\rm rms}$  of the hills is taken from the most recent analysis [Goff, 2020] of the 160

altimeter-derived gravity anomaly [*Sandwell et al.,* 2019]. RMS height was reduced in sediment covered areas by a factor of 0.1 times the sediment thickness [*Straume et al.,* 2019]. The characteristic wavenumbers  $(k_s, k_n)$  and Hurst number v were taken from 164 the analysis of *Goff* [2010] and the orientation of the abyssal hills  $\theta_s$  is from the recent 165 global age compilation of *Seton et al.* [2020].

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167 To replace the predicted bathymetry with more realistic abyssal fabric, we first prepare

168 the 5 global parameter grids (+/- 74 degrees latitude) to have consistent spatial

169 coverage. This was done by extending the grids of  $(k_s, k_n, \theta_s, v)$  and then tapering the

rms height grid  $h_{\rm rms}$  to go smoothly to zero on its perimeter. As in previous studies [e.g., 170 Goff and Arbic, 2010], we populate a 30 arcsecond global grid with uniform random cell 171 172 values. A 2-D spatial filter is calculated from the inverse transform of the spectral model 173 in equation 1 at each cell location and convolved with the random grid; a new filter is 174 computed at each grid cell to accommodate the spatial variations in the 5 parameters. 175 This operation is equivalent to inverse Fourier transformation of the product of the 176 amplitude spectrum with a random phase spectrum. However, though computationally 177 far more efficient, this alternative does not allow for the imposition of the statistical 178 heterogeneity that is critical for our purposes. The resulting synthetic bathymetry (e.g., 179 Figure 2) is added to a previous iteration of global depth to make a new synthetic 180 bathymetry data set. We then perturb the global predicted bathymetry model to exactly 181 match these synthetic data using a standard remove/grid/restore approach [e.g., 182 Sjöberg, 2005]. A spline in tension gridder is used [Smith and Wessel, 1990]. This 183 becomes an updated predicted depth that is used in a second remove/grid/restore using 184 the real sounding data. The final result exactly matches the real soundings where they 185 exist and blends smoothly into the updated predicted depth in the data voids. The fully 186 sedimented areas and areas with no abyssal hill predictions have depth based entirely 187 on sparse soundings and the gravity prediction.



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Figure 2. Example of synthetic abyssal hills around the Indian Ocean Triple Junction illustrate theirvariation with spreading direction and rate.

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## 193 Mapping Seamounts

194 Although multibeam sonar is best suited for mapping smaller seamounts, satellite 195 altimetry can be used to find larger seamounts ( > 700 m) through disturbances in 196 Earth's gravity field. These perturbations are due to the difference in density between 197 basalt and seawater. There are four main errors and uncertainties that arise from 198 satellite altimetry: upward continuation, measurement noise, seafloor roughness, and 199 sediment cover [Wessel et al., 2010]. The first global seamount maps (8556 seamounts) 200 were created from widely-spaced Seasat altimeter profiles [Craig and Sandwell, 1988]. 201 Since the Seasat mission there have been a number of altimeter missions that have 202 greatly improved the accuracy and coverage of the gravity field [Wessel, 2001, 14639 203 seamounts]. This has enabled the construction of the vertical gravity gradient (VGG) 204 which is a spatial derivative of the gravity field [e.g., Wessel, 1997]. This spatial 205 derivative amplifies short wavelengths and suppresses long wavelengths so it is a 206 valuable tool for locating smaller features on the ocean floor [*Kim and Wessel*, 2011; 207 2015]. However, the spatial derivative also amplifies short wavelength noise which limits 208 seamount detectability. The recently released VGG has significantly lower noise levels

because of new altimeter data from CryoSat-2, SARAL/AltiKa, Jason-1/2 and the
Sentinel-3a/b missions [*Sandwell et al.*, 2014; 2019]. After comparing the old and new
VGG, it was found that the signal to noise ratio has increased by at least a factor of 2,
indicating that multiple altimetry sources can improve gravity data and help find
unmapped features on the ocean floor.

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215 Gevorgian et al., [2021] have used the latest version of the VGG model [Sandwell et al., 216 2019] to update the global seamount catalog of *Kim and Wessel* [2011; 2015]. The 217 original KW catalog had 24643 seamount identifications. The new analysis was 218 performed in 4 steps using the display and digitization features in Google Earth: 1) The 219 VGG was displayed as a grey-scale image with black-to-white saturation set at -53 to +38 220 Eotvos units. 2) Known tectonic features [Matthews et al., 2011] were plotted as lines. 221 3) The KW15 catalog was also plotted as points. 4) Gevorgian et al., [2021] visually 222 identified circular anomalies in the VGG in the deep ocean (> ~500 m) away from known 223 and well-mapped tectonic features. The lower noise level in the latest VGG grid enabled 224 the identification of circular anomalies as small as 5 Eotvos which is about ½ the 225 threshold of the KW15 analysis. *Gevorgian et al.*, [2021] found 10796 previously 226 unidentified seamounts and also determined that 513 seamounts in the KW15 catalog 227 were mis-identifications. The revised KW catalog has 24129 seamounts so the total 228 seamount count is 34925. Figure 3 shows a region on the eastern flank of the East 229 Pacific Rise where there is complete multibeam coverage. The VGG image shows 230 numerous circular anomalies associated with small seamounts. We use these to 231 develop a method of estimating seamount height and radius from the VGG anomaly. 232

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Figure 3. (a) Bathymetry on the eastern side of the East Pacific Rise (EPR) where three seamounts have been mapped by multibeam sonar. The two labeled KW are from the Kim and Wessel [2011] catalog while the seamount labelled New-08049 is from *Gevorgian et al.,* [2021]. (b) VGG in the same area showing three seamounts that are relatively circular.

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## 241 Modeling Seamounts

242 Previous studies have found that small seamounts are typically circular in planform and

have a fixed height to base ratio largely independent of height [*Smith and Jordan,* 1988;

244 Wessel, 2001]. Smith [1988] studied bathymetry profiles across 85 seamounts and found

they could be fit by a flattened cone having a height to base radius ratio of ~0.21 and a

flattening of 0.15. More recently, *Gevorgian et al.*, [2021] studied 739 seamounts having

at least 50% coverage of the seamount and complete coverage of the summit area.
Using these well-surveyed seamounts they found, on average, they are best fit by a
Gaussian function

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$$h(r) = h_o e^{\frac{-r^2}{2\sigma^2}}$$
 (2)

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where  $h_o$  is the seamount height, r is the radius from the center of the seamount, and 253 254  $\sigma$  is the characteristic width of the seamount. Their analysis found a high correlation 255 between seamount radius and slope such that that  $\sigma = 2.4 h_o$ . This corresponds to a 256 maximum seamount slope of 0.25 independent of seamount height. Here we use this 257 model, along with the observed VGG, to estimate the shape of each seamount. There 258 are several parameters that go into this gravity modeling including mean ocean depth 259 surrounding the seamount  $d_o$ , seamount density relative to seawater  $\Delta \rho$ , crustal 260 thickness, elastic thickness, mantle density, and seamount height  $h_a$ . We show next 261 that for seamounts < ~2 km in height, the VGG is insensitive to the elastic thickness so 262 we can assume the seamounts are uncompensated. In this case the mean crustal 263 thickness and mantle density are not needed. 264 265 This insensitivity to elastic thickness is easily verified using the *ardseamount* and *aravfft* 

266 modules in Generic Mapping Tools (GMT) [Wessel et al., 2019]. A typical seamount,

shown in Figure 4, has a height of 1500 m, a  $\sigma = 2.4 h_o$ , a density of 2700 kg m<sup>-3</sup>, and a

268 base depth of 4000 m. To assess the effects of elastic thickness, we computed the VGG,

269 including 3 nonlinear terms in the Parker [1973] expansion. For an elastic thickness  $T_e$  of

270 2 km as well as 100 km (uncompensated), the two VGG models have almost equal

signatures so we can assume small seamounts are uncompensated as in [Watts et al.

272 2006].





276 Figure 4. Vertical gravity gradient (VGG - a) computed from a Gaussian seamount (b) that is 1500 m tall,

has a  $\sigma = 2.4 h_a$ . The two VGG curves, which are very similar, correspond to well compensated

278 topography ( $T_e = 2 \text{ km}$ ) and uncompensated topography ( $T_e = 100 \text{ km}$ ).

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Based on this calculation the remaining free parameters are seamount height, seamount
density, and base depth. The base depth is calculated from the median depth in a 90 km
by 90 km area surrounding the seamount to be modelled.

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To further test the validity of this simple model for a small seamount we analyzed

topography and VGG for three seamounts in an area of the southern East Pacific Rise

- where there is complete multibeam coverage (Figure 3). Two of the seamounts are
- from the *Kim and Wessel* [2011] compilation while the third is recently identified in the

288 VGG [*Gevorgian et al.,* 2021]. The basic characteristics of the seamounts are provided in

289 Table 1.

290

291 Table 1. Characteristics of modeled seamounts

label	lon	lat	base	seamount	gravity	VGG
			depth(m)	height (m)	(mGal)	(Eotvos)
KW-13664	-110.90	-18.23	3461.5	2140	53.4	143.7
KW-13666	-110.84	-17.54	3409.5	1343.5	18.5	50.8
New-08049	-112.23	-18.71	3255.5	1209.5	12.9	44.7

293 Using these well surveyed seamounts, we can perform forward modeling to establish 294 the density that provides the best fit. From the observed topography we calculate the 295 VGG and compare with the observations. An additional low-pass filter, with a 296 wavelength of 16 km, was applied to the model VGG to match the low-pass filtering that 297 was used to construct the VGG data [Sandwell et al., 2019]. Table 2 shows the median 298 absolute deviation (L1-norm) as a function of seamount/crustal density for each of the 299 three seamounts. We find that the misfit is not very sensitive to the density. A much 300 more extensive study by Watts et al. [2006] using 9752 seamounts shows the best 301 density is 2800 kg m<sup>-3</sup>. This value is consistent with our results from modeling just three 302 small seamounts.

303

304 Table 2. Misfit (L1-norm) versus seamount density in Eotvos

	2650	2700	2750	2800	2850	2900	no model
	kg m <sup>-3</sup>						
KW-13664	4.51	4.34	4.33	4.43	4.50	4.56	10.49
KW-13666	4.35	4.28	4.19	4.19	4.20	4.21	8.74
New-08049	4.49	4.51	4.53	4.54	4.54	4.45	5.51

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An example of the fit of the model to the largest of the three seamounts is shown in Figure 5. The model based on topography with a density of 2800 kg m<sup>-3</sup> provides an excellent fit to the VGG data. As a final check we generated VGG models using a Gaussian approximation to the actual seamount topography for large and small seamounts in the region. These results, shown in Figures 5 and 6, demonstrate that the VGG from a Gaussian seamount is a good match to the VGG from the actual seamount topography. The important parameter is the seamount height. Because most

- 313 seamounts are uncharted we will use this approach, with a Gaussian shaped seamount,
- to generate synthetic seamounts in unmapped areas.





Figure 5. (a) Bathymetry of the largest of the three seamounts, KW-13664 (200 m contours). (b, f)

- 317 Measured VGG for seamount (5 Eotvos contours). (c) Model VGG using a density of 2800 kg m<sup>-3</sup>. (d)
- 318 Difference between observed VGG and model VGG (L1-norm, 4.43 Eotvos, 5 Etovos contours). (e) Model
- bathymetry using a Gaussian seamount (200 m contours). (g) Model VGG for Gaussian model bathymetry.
- 320 (h) Difference between observed VGG and Gaussian model VGG (L1 7.04 Eotvos, 5 Etovos contours).





Figure 6. (a) Bathymetry of the smallest of the three seamounts, New-08049 (200 m contours). (b+f)
 Measured VGG for seamount (5 Etovos contours). (c) Model VGG using a density of 2800 kg m<sup>-3</sup>. (d)
 Difference between observed VGG and model VGG (L1 4.54 Eotvos, 5 Etovos contours). (e) Model

- 326 Difference between observed VGG and conical model VGG (L1 5.13 Eotvos, 5 Etovos contours).
- 327

328 Based on this analysis, we estimated heights for 34925 seamounts in the updated 329 catalog basically using the method of Wessel, [2001]. This was done by extracting a 90 330 km by 90 km grid of VGG and SRTM15 (V2.3) depth data centered on each seamount. 331 The base depth was computed from the median depth of this larger 90km grid and a 332 Gaussian seamount (equation 2) was superimposed on this base depth using  $\sigma = 2.4 h_a$ 333 a density of 2800 kg m<sup>-3</sup>. The VGG model, generated using *gravfft* in GMT, was low-pass 334 Gaussian filtered at 16 km wavelength to match the low-pass filter applied to the VGG 335 data. Finally the L1 norm difference between the model and data VGG was computed 336 for a smaller 33 by 33 km area centered on the seamount. This modeling was repeated 337 for seamount heights ranging from 700 to 2600 m in steps of 100 m. The model with the 338 lowest misfit was selected as the height. A histogram of the number of seamounts 339 versus their estimated height is shown in Figure 7 (top). A histogram of seamounts 340 versus the L1 norm of the misfit is shown in Figure 7 (bottom). For all seamounts, the 341 number increases with decreasing seamount height until 1300 m when the number 342 decreases at smaller heights. Our results show that the KW analysis, using noisier VGG 343 data, captured most seamounts taller than about 1500 m. The new analysis found many 344 more seamounts with heights between 1100 and 1500 m. Most of the VGG models have 345 misfits between 5 and ~20 Eotvos. The new seamounts are generally smaller and have 346 lower L1-misfit. For the remainder of this study we exclude all seamounts with heights 347 greater than 2500 m and less than 800 m since they are at the ends of the histogram. 348 We also reduce the height of any seamount having a depth shallower than -100 m to 349 force the model summit depth of -100 m. In other words we don't want to create any 350 false islands or atolls although these cases may be interesting places to survey with 351 multibeam. This results in 31602 modeled seamounts.

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353 We investigate how many of these seamounts are constrained by depth soundings by 354 using the SRTM15 V2.3 source identification grid (SID) to locate all the seamounts having at least one sounding within 3 km of the center of the seamount. This resulted in
11879 seamounts that are at least partly constrained by a real depth sounding and
19723 seamounts that are completely uncharted.

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Figure 7 (top) Histogram of the seamount height based on our analysis of all seamounts (red), KW
seamounts (green), and new seamounts (blue). (bottom) Histogram L1 misfit of model VGG to each
seamount.

- 363
- 364 Results

Prior to filling the gaps with synthetic bathymetry, we assembled new sounding data not

- available for the *Tozer et al.,* [2019] study. The latest V2.3 of the SRTM15+ grid includes
- 367 905 new multibeam sonar cruises that are archived at the National Center for
- 368 Environmental Information [https://www.ngdc.noaa.gov/mgg/bathymetry/multibeam.html].
- 369 These were processed with MB-System [Caress and Chayes, 2008] to remove outer
- beams and flatten the rails of the innermost beams, and subsequently used to update
- 371 the 15-arcsecond grid. We performed 3 iterations of visual editing of bad soundings

372 (~700 edits) to prepare the grid as the base layer for the GEBCO 2022 global grid. In addition we obtained 9 large composite grids from IFREMER that greatly improved the 373 374 bathymetry coverage of the Gulf of Aden [Hebert et al., 2001], the Lesser Antilles 375 volcanic arc [Talbot and Loubrieu, 2020], French Guiana margin [Loubrieu, 2019], the 376 Rodrigues triple junction [Mendel et al., 2000], the Kerguelen plateau [Loubrieu, 2019], 377 Reunion island [Sisvath et al., 2011], Saint-Paul and Amsterdam Islands [Loubrieu et al., 378 2020], the Southwest Indian ridge [Sauter and Mendel, 2000], and the North Fiji basin 379 [Ruellan, 2001].

380

381 Gaps in the SRTM15+ grid were filled with synthetic bathymetry to create SYNthetic 382 BATHymetry (SYNBATH V1.2). An example of the enhancement related to just abyssal 383 hills is shown in Figure 8. The upper plot shows the standard SRTM15+ grid with the 384 combination of single- and multibeam bathymetry and smooth gravity-predicted depths 385 filling the gaps. The Indian Ocean triple junction at 70° longitude and -25.7° latitude is 386 the intersection of the Central Indian ridge (CIR) to the north, the Southeast Indian ridge 387 (SEIR), and the Southwest Indian ridge (SWIR). The flanks of each ridge have ridge-388 parallel abyssal hills as seen in the available multibeam bathymetry. The slower 389 spreading SWIR has left a V-shaped scar on the seafloor where there is a nearly 90 $\degree$ 390 degree change in the orientation of the abyssal hills reflecting the change in age 391 gradient [e.g., Seton et al., 2020]. The center plot shows the SYNBATH bathymetry which 392 is identical to the SRTM15+ bathymetry where there are real ship soundings and has 393 synthetic abyssal hills in the gaps. The boundaries between the actual and synthetic 394 bathymetry are difficult to observe. One would expect a sharp change in the "phase" of 395 the abyssal hills across these boundaries. However, a part of the synthetic abyssal fabric 396 contains a correct-phase pattern that is derived from the gravity prediction. Figure 8c 397 shows the difference between the SYNBATH and SRTM15+ bathymetry grids. The 398 difference is zero at grid cells constrained by ship data and matches the synthetic 399 abyssal hills (Figure 2) in the gaps.



Figure 8. Bathymetry of Indian Ocean Triple Junction. (a) Based on multi- and single-beam soundings and
gravity-predicted bathymetry to fill the gaps. (b) Based on multi- and single-beam soundings and synthetic
abyssal hills superimposed on gravity-predicted bathymetry to fill the gaps. (c) Difference shows that the
two methods are identical where measured soundings are available and have synthetic abyssal hills in
other areas.

408An example of the enhancement primarily related to small seamounts is shown in Figure4099 for a poorly charted region just south of the Galapagos spreading ridge. The smaller410predicted seamounts, having no bathymetry soundings, are short and wide (Figure 9a).411The sharpened seamounts are tall and narrow following the shape of the Gaussian412model  $\sigma = 2.4 h_o$  (Figure 9b). The difference between these two models (Figure 9c)413shows the combined effects of added abyssal fabric and sharpened seamounts. In areas414where there are actual multibeam depth soundings, the two models agree.415

416 Since there are many steps in constructing this SYNBATH bathymetry at 15 arcseconds 417 we provide a brief overview. There is a common *polishing* technique used each time a 418 new data layer is added so we first describe that remove/grid/restore approach as 419 follows: 1) assemble some new data (e.g. real soundings or synthetic hills or seamounts; 420 remove the previous model from each new data point; 3) identify spatial gaps (> 20 421 km from a new data point) and add zero-valued data points at these locations; 4) use 422 the GMT surface module with a tension of 0.6 and a convergence limit of 1 m and up to 423 200 iterations; 5) add the previous model so the result exactly fits the new data. Given 424 this common *polishing* approach the overall construction method is:

- 425
- 426 1) Use the gravity prediction method described in *Smith and Sandwell* [1994] and
  427 updated in *Tozer et el.*, [2019] to make a global 1-minute bathymetry.
- 428 2) Polish that bathymetry using actual soundings.

3) Use the 1-minute base depth to generate synthetic abyssal hill data and
synthetic seamount data. So the model from step 2) provides the base depth for
both the hills and seamounts. Also note the synthetic seamount data extend

- 432 only 1.5  $\sigma$  from the seamount center. This promotes better blending of the
- 433 synthetic seamounts into the regional bathymetry while retaining the data
- 434 having maximum slope which occur at 1.0  $\sigma$ .
- 435 4) Create a 15-arcsecond grid following the methods in *Tozer et al.*, [2019].

- 436 5) Polish the step 4) grid with synthetic abyssal hills.
- 437 6) Polish the step 5) grid with synthetic seamounts.
- 438 7) Polish the step 6) grid with all the real soundings.
- 439 8) Combine the land topography data with the grid from 7).
- 440
- 441 This is a rather complex recipe. However, it is designed to inherit the long-wavelength
- shape of the ocean basins from original depth soundings. The satellite-derived gravity is
- used next to update the bathymetry in the 160-16 km wavelength band. Short
- 444 wavelengths between 16 and 1 km are updated with synthetic abyssal hills. This is
- followed by an update using the Gaussian seamounts which, as in the real world,
- 446 overprint the abyssal hills. Finally, the grid is polished using real depth soundings.





Figure 9. Bathymetry of an area south of the Galapagos spreading ridge. (a) Based on multi- and singlebeam soundings and gravity-predicted bathymetry to fill the gaps. (b) Based on multi- and single-beam
soundings, synthetic abyssal hills and sharpened seamounts superimposed on gravity-predicted
bathymetry to fill the gaps. (c) Difference shows that the two methods are identical where measured

455 soundings are available and have synthetic abyssal hills in other areas.

457 458

## 459 Uncertainty of the Synthetic Bathymetry

460 Two approaches were used to assess the accuracy of the synthetic bathymetry with 461 respect to the gravity-predicted depths. First we compared the accuracy of seamount 462 summit depths for the 739 well surveyed seamounts from the Gevorgian et al., [2021] 463 study. When constructing the global predicted depths we first construct a global 464 bathymetry grid using only the satellite-derived gravity as described in the Tozer et al., 465 [2019] study. Available depth soundings are used to calibrate the topography-to-gravity 466 ratio in the 160-18 km wavelength band averaged over an area about 320 km in 467 diameter. The predicted bathymetry in areas of complete multibeam coverage has the 468 same characteristics as the bathymetry in the gaps so the actual multibeam soundings 469 can be used to assess the accuracy of the predicted depth. Figure 10 (left) shows a 470 comparison of the predicted and measured summit depth of the 739 well-surveyed 471 seamounts. As discussed above, the predicted summit depths are almost always less 472 than the actual depth. This analysis shows that the median difference is -555 m and the 473 median absolute deviation (MAD) is 264 m. The same analysis for the sharpened 474 seamounts based on VGG modeling (Figure 10 right) has a much smaller median 475 difference of 55 m and a slightly larger median absolute deviation of 272 m. This 476 analysis shows that the sharpened seamounts have more accurate summit depths 477 although the Gaussian shape model does not recover the details of the actual seamount 478 shape.





Figure 10. (left) Gravity-predicted summit depth versus measured summit depth. The predicted summit
depth is commonly 555 m deeper than the measured summit depth for these 739 well-charted
seamounts. (right) Summit depth for sharpened seamounts versus measured summit depth has a 10 times
smaller median difference of 55 m although the uncertainty is slightly larger (272 m).

For the second analysis we have obtained a recent multibeam sonar survey in the North Atlantic collected from the RV Maria S. Merian (GEOMAR, Devey et al., 2020; Wölfl et al., 2020). We selected a subset of these data in a region where there were no previous soundings (Figure 11b) and compared the measured depth with both the gravitypredicted depth (Figure 11a) and the predicted depth augmented with synthetic abyssal hill fabric (Figure 11c). As expected the gravity-predicted depth is much smoother than the actual depth with a median difference of -66 m and a median absolute deviation 493 (MAD) of 241 m. The synthetic bathymetry has abyssal hills that look similar to the 494 measured abyssal hills but the random location of the synthetic hills does not match the 495 actual measured location resulting in a median depth difference of 8 m and a MAD of 496 323 m. Therefore the addition of the synthetic abyssal hills has increased the error in 497 the depth by a factor of 1.34. This highlights that the synthetic bathymetry should not 498 be used for any application where knowing the depth of specific points is important but, 499 as discussed above, the synthetic bathymetry has small scale roughness and slope 500 characteristics that better match the actual bathymetry. 501



503 Figure 11. (a) Predicted depth based on gravity in the 160-18 km wavelength band is very smooth. (b)

- 504 Measured seafloor depth from GEOMAR cruises. (c) Synthetic depth where the gravity-predicted depth
- has been augmented with synthetic abyssal hills as well as sharpened seamounts.
- 506



508 This synthetic bathymetry has some appropriate uses as well as some uses that are 509 inappropriate (Table 3) so it will be important to educate the users on how to use the 510 product. A significant danger is that the general public could examine the synthetic 511 bathymetry using a graphical tool such as Google Earth and conclude that the seafloor 512 has been completely mapped at ~500 m resolution. Therefore, there must be an 513 additional graphical layer, or style, to indicate what is real and what is synthetic. 514 515 The applications where this product is **not useful** are mainly aligned with GEBCO 516 applications. These include seafloor geography and feature names. All of these synthetic

517 features lie at base depths greater than ~1000 m so they are irrelevant for any kind of

518 navigation except the 149 seamounts extending to within 200 m of the sea surface;

519 these need to be flagged with red dots and eventually surveyed [

520 https://www.star.nesdis.noaa.gov/star/documents/meetings/extReview/presentations/

521 5CommTrans/CT2\_WSmith.ppt]. This product is not useful for establishing the

522 boundaries of the outer continental shelves for the law of the sea. The product is not

523 useful for any kind of detailed deployment of seafloor instrumentation although it can

524 provide a regional sense of seafloor roughness at scales larger than 1 km. Since the

525 synthetic bathymetry is confined to the deep ocean where sediments are thin it is not

526 useful for any applications on the continental margins.

527

528 There are three applications where this product is **marginally useful**. (1) In terms of 529 education and outreach it could provide misinformation that we are done mapping the 530 deep oceans. However, like the early hand-drawn bathymetric maps from *Heezen and* 531 Tharp [1959] and GEBCO [Hall, 2006], the realistic synthetic bathymetry could inspire 532 students to better understand marine geology and plate tectonics. (2) The product could 533 help with establishing a range of possible tsunami propagation models based on 534 statistical realizations of abyssal fabric and seamounts [Sepulveda et al., 2020]. (3) It 535 could be useful for understanding habitats over unmapped, moderately large 536 seamounts.

538 The synthetic bathymetry is **most useful** for studies where a realistic seafloor roughness 539 is needed. This includes models of ocean circulation [Adcroft et al., 2004; Chassignet et 540 al., 2007] and internal wave generation, dissipation, and mixing driven by tidal and other 541 low-frequency flows over the rough bottom [Goff and Arbic, 2010; Polzin et al., 1997; 542 *Gille et al.,* 2000; *Jayne and St. Laurent,* 2001; *Eqbert and Ray,* 2003]. In addition, rough 543 seafloor affects the propagation of acoustic waves [e.g., Mckenzie, 1961; Chin-Bing et 544 al.,1994]. The product could be useful for plate tectonic studies since one can see where 545 the abyssal hill fabric disagrees with nearby multibeam mapping which will provide data 546 on how to revise tectonic models. Finally, the product could be useful for planning 547 shipboard surveys of seamounts and volcanic ridges as well as a tool for planning the 548 optimal ship path for mapping rough seafloor.

549

550

551

## 552 Table 3. Appropriate applications of synthetic bathymetry

application	yes	maybe	no
seafloor geography and feature names			Х
navigation			Х
law of the sea			Х
fiber optic cable route planning			Х
coastal tide model improvements			Х
education and outreach	Х		Х
tsunami propagation and hazard models		Х	
fisheries management		Х	
hydrodynamic tide models and tidal friction	Х		
ocean circulation models	Х		
tidal role in ocean mixing	Х		
plate tectonics	Х		
planning shipboard surveys	Х		

555 Conclusions and Future Improvements

556

557 Our major conclusions are:

Bathymetry predicted from satellite altimeter-derived gravity cannot resolve the
 small-scale fabric of the deep ocean associated with abyssal hills and seamounts.

560 There are many remote areas that will not be mapped by ship in this decade.

We extend two methods to fill these gaps with higher resolution synthetic
 bathymetry using information on the tectonics, geology, and sediment distribution in
 the deep oceans.

Synthetic abyssal hills are generated using an anisotropic statistical model based on
 high resolution multibeam surveys in a variety of tectonic settings. The orientation of
 the hills uses the latest seafloor age maps.

Small seamounts > 700 m tall can be accurately located in satellite-altimeter derived gravity but their shape cannot be resolved. We use ~800 well-surveyed small seamounts to calibrate the expected shapes of and create synthetic bathymetry for all seamounts in the 800-2500 m height range.

These two synthetic data sets are used to add a small-scale bathymetry component
(1-16 km) to the global predicted depth. This provides a new starting model for a

573 remove/grid/restore re-gridding of available single and multibeam ship soundings.

We generate two global bathymetry/topography products at 15 arcseconds using
 identical ship soundings. The SRTM15+ product has gaps filled with smooth predicted
 bathymetry and serves as the base layer for the 15 arcsecond GEBCO grid. The
 SYNBATH product has gaps filled with synthetic bathymetry from abyssal hills and

578 seamounts superimposed on the smooth predicted bathymetry.

- The SRTM15+ product is suitable for applications in seafloor geography, law of the
   sea, seafloor instrumentation and cables and highlighting the need to fill the gaps
   before 2030.
- The SYNBATH product is suitable for any application where an accurate seafloor
   roughness is needed such as modeling ocean currents and tidal friction and the
   generation and dissipation of internal waves.

- 586 In the future we plan to continue to improve the resolution of gravity-predicted depth
- as well as to work with GEBCO to assemble more multibeam sounding data. With these
- 588 efforts we can roll-back the spectral and spatial contributions of the synthetic
- 589 bathymetry. Ka-band altimeters such as SARAL/AltiKa and SWOT promise a dramatic
- 590 improvement in marine gravity/bathymetry accuracy and resolution.
- 591
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- 595 et al., 2019] were extensively used in data processing. The views, opinions, and findings
- 596 contained in the report are those of the authors and should not be construed as an
- 597 official National Oceanic and Atmospheric Administration or U.S. Government position,
- 598 policy, or decision.
- 599
- 600 Open research and data availability The global bathymetry grids and the
- 601 characteristics of the 35,000 seamounts, and Google Earth overlays are all available at
- 602 the ZENODO repository, <u>https://zenodo.org/deposit/5784502</u>, DOI
- 603 10.5281/zenodo.5784502, in addition we keep a copy at our own open web site
- 604 <u>https://topex.ucsd.edu/pub/</u>. The VGG grids and overlays are in the global\_grav\_1min
- 605 folder, the synthetic bathymetry and products are in the synbath folder, and the
- 606 SRTM15+ bathymetry and products are in the srtm15\_plus folder. Figures and most
- 607 calculations were performed using GMT (<u>https://www.generic-mapping-tools.org</u>) and
- 608 MATLAB (<u>https://www.mathworks.com/products/matlab.html</u>). We also have all
- archived versions of our global grids in one location
- 610 <u>https://topex.ucsd.edu/pub/archive/</u>.
- 611

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