Strain signals governed by frictional-elastoplastic interaction of the upper plate and shallow subduction megathrust interface over seismic cycles

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Abstract

Understanding the behavior of the shallow portion of the subduction zone, which generates the largest earthquakes and devastating tsunamis, is a vital step forward in earthquake geoscience. Monitoring only a fraction of a single megathrust earthquake cycle and the offshore location of the source of these earthquakes are the foremost reasons for the insufficient understanding. The frictional-elastoplastic interaction between the interface and its overlying wedge causes variable surface strain signals such that the wedge strain patterns may reveal the mechanical state of the interface. We employ Seismotectonic Scale Modeling and simplify elastoplastic megathrust subduction, generate hundreds of analog seismic cycles at laboratory scale, and monitor the surface strain signals over the model's forearc over high to low temporal resolutions. We establish two coseismically compressional and extensional wedge configurations to explore the mechanical and kinematic interaction between the shallow wedge and the interface. Our results demonstrate that this interaction can partition the wedge into different segments such that the anlastic extensional segment overlays the seismogenic zone at depth. Moreover, the different segments of the wedge may switch their state from compression/extension to extension/compression domains. We highlight that a more segmented upper plate represents megathrust subduction that generates more characteristic and periodic events. Additionally, the strain time series reveals that the strain state may remain quasi-stable over a few seismic cycles in the coastal zone and then switch to the opposite mode. These observations are crucial for evaluating earthquake-related morphotectonic markers (i.e., marine terraces) and short-term interseismic GPS time-series onshore (coastal region).

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9	Key Points:
10 11	• Analog earthquake cycle experiments provide observations to evaluate the surface strain signals from the shallow megathrust.
12	• The extensional segment of the forearc overlays the seismogenic zone at depth.
13	• The strain state may remain quasi-stable over a few seismic cycles in the coastal zone.

14 Abstract

Understanding the behavior of the shallow portion of the subduction zone, which generates the 15 largest earthquakes and devastating tsunamis, is a vital step forward in the earthquake geoscience. 16 Monitoring only a fraction of a single megathrust earthquake cycle and the offshore location of 17 the source of these earthquakes are the foremost reasons for the insufficient understanding. The 18 frictional-elastoplastic interaction between the interface and its overlying wedge causes variable 19 surface strain signals such that the wedge strain patterns may reveal the mechanical state of the 20 interface. We employ Seismotectonic Scale Modeling and simplify elastoplastic megathrust 21 subduction, generate hundreds of analog seismic cycles at laboratory scale, and monitor the surface 22 strain signals over the model's forearc over high to low temporal resolutions. We establish two 23 coseismically compressional and extensional wedge configurations to explore the mechanical and 24 kinematic interaction between the shallow wedge and the interface. Our results demonstrate that 25 this interaction can partition the wedge into different segments such that the anlastic extensional 26 segment overlays the seismogenic zone at depth. Moreover, the different segments of the wedge 27 may switch their state from compression/extension to extension/compression domains. We 28 highlight that a more segmented upper plate represents megathrust subduction that generates more 29 characteristic and periodic events. Additionally, the strain time series reveals that the strain state 30 may remain quasi-stable over a few seismic cycles in the coastal zone and then switch to the 31 opposite mode. These observations are crucial for evaluating earthquake-related morphotectonic 32 markers (i.e., marine terraces) and short-term interseismic GPS time-series onshore (coastal 33 region). 34

35 1 Introduction

Estimating the interseismic coupling is the foremost approach to evaluate the earthquake potential 36 of subduction megathrusts (e.g., Chlieh et al., 2008; Moreno et al., 2010; Wallace et al., 2012; 37 McCaffrey et al., 2013; Métois et al., 2013; Schmalzle et al., 2014). While both up-dip and down-38 dip limits of megathrust ruptures are typically located offshore and near the shore, respectively, 39 centuries-long recurrence intervals of the subduction megathrust earthquakes and geodetically 40 41 insufficiently instrumented seafloors prevent us from achieving sufficient details of the shallow part of the megathrust (Kosari et al., 2020; Williamson & Newman, 2018). For instance, a weakly 42 coupled interface had been predicted in NE Japan based on the incomplete interseismic geodetic 43 measurements before the 2011 Tohoku-Oki megathrust event (e.g., Loveless & Meade, 2011). 44

However, the slip models of the earthquake itself derived from rare offshore geodetic data 45 suggested a coseismic trench-breaching rupture (e.g., Ozawa et al., 2011; Simons et al., 2011; Sun, 46 Wang, Fujiwara, Kodaira, & He, 2017). Besides short-term (geodetic) elastic surface deformation 47 information, it is considered worthwhile to explore long-term (geologic) permanent deformation 48 signals for potential diagnostic patterns linked to megathrust behavior (Geersen et al., 2018; Jara-49 Muñoz et al., 2015; Madella & Ehlers, 2021; Malatesta et al., 2021; Melnick et al., 2018; Molina 50 et al., 2021; Normand et al., 2019; Ott et al., 2019; Saillard et al., 2017). Hence, for the sake of 51 completeness of seismotectonic insights, long-term geological information should be referred to. 52 Elastoplastic deformation is the dominant process in the shallow portion of the subduction zones 53 (Wang & Hu, 2006), and the mechanical properties of the wedge and megathrust govern the strain 54 pattern in the upper plate. The strain signals could be accumulated over a single or many seismic 55 cycles and preserved as morphotectonic features (i.e., extensional, compressional, and shear 56 markers) (Baker et al., 2013; Delano et al., 2017; Loveless et al., 2009; Loveless et al., 2010; 57 Rosenau & Oncken, 2009), representing the mechanical state of the forearc (Cubas et al., 2013a 58 and 2013b). In an earthquake cycle, the mechanical state might be highly variable in the upper 59 plate (Kopp, 2013; Melnick et al., 2009). In other words, the rate-strengthening and rate-60 61 weakening portions of the megathrust cause time and space variable strain fields and rates over the forearc during a seismic cycle. For instance, the coastal region can typically be under compression 62 during the interseismic period and under extension during and immediately following the 63 coseismic stage. Understanding how this leads to coastal topography and offshore bathymetry as 64 a persistent marker over many seismic cycles is vital. Eventually, this may lead to incremental 65 upper plate evolution towards its critical geometry and shape the forearc morphology (Cubas et 66 al., 2013a and 2016; Wang & Hu, 2006). 67

It is not fully transparent that to what extent we may infer the seismic potential of the shallow 68 69 (offshore) portion of the megathrust via onshore observations. Furthermore, the potential temporal linkage between strain states (elastic and plastic) at the positions of the coast, inner-, and outer-70 71 wedge is not resolved. Finally, could permanent surface deformation (i.e., plastic strain) be reliably used as a clue for inferring the zones with megathrust earthquake potential? In an attempt to answer 72 these questions, we employ Seismotectonic Scale Modeling (Rosenau et al., 2017 and 2009) to 73 generate physically self-consistent analog megathrust earthquake ruptures and seismic cycles at 74 the laboratory scale (Figure 1). 75



Figure 1: a: Generalized shallow portion of the subduction zone. The structures in the upper plate and subducting plate are simplified. This schematic has been considered as a base for our analog seismotectonic model. b: Laboratory view of our experiment. The main part of the analog model is labeled in the image. c: 2d view of the two evaluated configurations in this study. The projection of the down-dip limit of the stickslip materials is defined as the coastal area. Alpha (α) and beta (β) represent the surface and basal decollement, respectively.

This method has been used to study the interplay between short-term elastic (seismic) and long-84 term permanent deformation (Rosenau and Oncken 2009). For mimicking the megathrust seismic 85 cycle and its associated surface deformation, we use a zone of velocity weakening (stick-slip) and 86 an elastoplastic wedge while the wedge is continuously compressed via a basal conveyor belt 87 (Kosari et al., 2020; Rosenau et al., 2019). A stereoscopic image correlation technique has been 88 used to monitor the surface deformation of the analog model (Adam et al., 2005). Generating 89 hundreds of seismic cycles and monitoring the associated surface deformation allows us to unwrap 90 91 the surface signals related to frictional properties at depth (velocity weakening versus velocity 92 strengthening).

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95 Figure 2: Mechanical states of a wedge introduced by the critical taper theory for coseismically 96 compressional and extensional experiments. The areas within the envelopes characterize stable regimes. 97 The areas above and below the envelopes indicate unstable extensional and compressional regimes, 98 respectively. The positions on the envelopes represent critically stable domains.

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100 2 Seismotectonic Scale Modeling and Monitoring Techniques

Seismotectonic scale modeling is a unique technique to forward model the tectonic evolution over 102 seismic cycles (e.g., Rosenau et al., 2017, and references therein). The approach has been used to 103 study the interplay between short-term elastic (seismic) and long-term permanent deformation 104 (Rosenau & Oncken, 2009), earthquake recurrence behavior and predictability (Corbi et al., 2020; 105 2019; 2017; Rosenau et al., 2019), the linkage between offshore geodetic coverage and coseismic 106 slip models (Kosari et al., 2020) and details of the seismic cycle (Caniven & Dominguez, 2021). 107 108 Analog models are downscaled from nature for the dimensions of mass, length, and time to maintain geometric, kinematic, and dynamic similarity by applying a set of dimensionless numbers 109 (King Hubbert, 1937; Rosenau et al., 2009; 2017). The models generate a sequence of tens to 110 hundreds of analog megathrust earthquake cycles, allowing the analysis of the corresponding 111 surface displacement from dynamic coseismic to quasi-static interseismic stages. 112 113



Figure 3: Analog earthquake catalog derived from surface displacement above the stick-slip zone on the model surface. The displacements larger than 0.05 millimeters represent an analog megathrust event ($Mw \ge 8$ at nature scale). Distance between two analog events represents the interseismic period in our experiments. a and b: all the events that occurred over model evolution from compressional and extensional experiments, respectively. Temporal processing windows for three different resolutions are differentiated by scale bars (see figures 7 and 8 for more details).c and d: a selected set of 30-32 analog megathrust events for evaluating surface displacement over the seismic cycles from both configurations, respectively.

In the 3-D experimental setup introduced in Kosari et al. (2020), a subduction forearc model is set up in a glass-sided box (1,000 mm across strike, 800 mm along strike, and 300 mm deep) on top of an elastic basal rubber conveyor belt (the model slab), and a rigid backwall. A wedge made of an elastoplastic sand-rubber mixture (50 vol.% quartz sand G12: 50 vol.% EPDM-rubber) is sieved into the setup representing a 240 km long forearc segment from the trench to the volcanic arc position (Figure 1).

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Figure 4: Surface horizontal displacement (a and c) and strain (b and d) maps derived from the extensional configuration. The upper panel represents the case of a megathrust event in which slip propagates on the splay faults (non-trench-reaching). The lower panel represents a megathrust event in which the slip reaches the trench (trench-reaching slip). The compressional (outer-wedge) and extensional (inner-wedge) segments.

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At the base of the wedge, zones of velocity weakening controlling stick-slip ("seismic" behavior) 135 are realized by emplacing compartments of "sticky" rice ("seismogenic zone"), which generate 136 quasi-periodic slip instabilities while sheared continuously (Figure 1), mimicking megathrust 137 earthquakes of different sizes and frequency (Figure 3). Large stick-slip instabilities are assumed 138 139 to represent almost complete stress drops and recur at low frequency (~ 0.2 Hz) at a prescribed constant convergence rate of 50 µm/s. This stick-slip behavior is intended to mimic rare great (M8-140 9) earthquakes with century-long recurrence intervals. The wedge itself and the conveyer belt 141 respond elastically to these basal slip events similar to crustal rebound during natural subduction 142 megathrust earthquakes. Upper plate faults (in our case, an "inland" backthrust fault and "offshore" 143 forethrust and backthrust faults) emerge self-consistently downdip and up-dip of the seismogenic 144 zone over multiple seismic cycles, as the effect of transient compression as documented in earlier 145 papers (Kosari et al., 2020; Rosenau et al., 2009, 2010; Rosenau & Oncken, 2009). 146

147 Two different wedge geometries have been realized: a compressional configuration represents a 148 transiently compressional wedge, and an extensional configuration, which is transiently 149 extensional according to Coulomb wedge theory (Figure 2). In the first configuration, hereafter 150 named "compressional configuration", a flat-top (α =0) elastoplastic wedge overlies a single large rectangular in map view stick-slip patch (Width*Length=200*800 mm) over a 15-degree dipping 151 conveyor belt. In the second configuration, hereafter named "extensional configuration", the 152 surface angle of the elastoplastic wedge varies from onshore (α =10) to offshore (α =15) segments 153 over a 5-degree basal decollement. The stick-slip zone in both configurations represents a system 154 of a homogeneous seismogenic zone with a temperature-controlled depth range and no variation 155 along strike generating M9 type megathrust events (Figure 1). According to Coulomb wedge 156 theory (Dahlen et al., 1984), the shallow wedge part of the compressional configuration overlying 157 the seismogenic zone is compressional in the interseismic stage when the basal friction angle in 158 the seismogenic zone is high (about 30°) and stable during the coseismic stage when the basal 159 friction in the seismogenic zone drops to zero. The coastal part of the wedge in the compressional 160 configuration is compressional throughout the seismic cycle as the basal friction is high and rate-161 independent here. The extensional configuration, in contrast, has a coastal wedge that is stable 162 throughout the seismic cycle, whereas the shallow wedge overlying the seismogenic zone is stable 163 interseismically but becomes extensional during the coseismic stage. Both models produce trench-164 reaching and non-trench-reaching slip analog megathrust events and push their overlying wedges 165 to compressional and extensional strain states (Figure 4). 166

To capture horizontal micrometer-scale surface displacements associated with analog earthquakes 167 and interseismic intervals at microsecond scale periods, a stereoscopic set of two CCD (charge-168 coupled device) cameras (LaVision Imager pro X 11MPx, 14 bit) images the wedge surface 169 170 continuously at 4 Hz. To derive observational data similar to those from geodetic techniques, that is, velocities (or incremental displacements) at locations on the model surface, we use digital image 171 correlation (DIC) (Adam et al., 2005) via the DAVIS 10 software (LaVision GmbH, 172 Göttingen/DE) and derive the 3-D incremental surface displacements at high resolution (<0.1 mm) 173 (Figure 3). 174

- To calculate strain, we use the infinitesimal strain tensor because the condition of small strain is
 met when resolving strains across the forearc during the interseismic period:
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$$180 \qquad \qquad \begin{pmatrix} \varepsilon_{xx} & \varepsilon_{xy} & \varepsilon_{xz} \\ \varepsilon_{yx} & \varepsilon_{yy} & \varepsilon_{yz} \\ \varepsilon_{zx} & \varepsilon_{zy} & \varepsilon_{zz} \end{pmatrix}$$

181 where ε_{xx} represent the partial derivation of the trench-normal surface velocity component $\frac{\partial v_x}{\partial x}$ 182 showing trench-normal shortening: positive and negative values respectively represent 183 compression and extension.

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185 3 Results and Interpretations186

The models' observations are presented in succession from long-term to short-term. First, we show 187 how the upper plate structures evolve in a sequence over hundreds of analog earthquake cycles. 188 189 We evaluate the spatial correlation between upper plate strain and topography evolution concerning locking and slip at the interface. Afterward, we spatially and temporally zoom in on a 190 subset of seismic cycles to explore how strain states vary in different segments of the upper plate 191 across seismic cycles. Eventually, the strain cycles in different wedge segments (i.e., outer-wedge, 192 inner-wedge, and coast) are compared to check how similar they respond to the earthquake cycles 193 194 in a homogeneous wedge with internal discontinuities (i.e., upper plate faults).

Wedge anatomy: Final geometry, surface strain distribution, and structures formed

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3.1 Model Evolution from long to short timescales

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The cumulative strain pattern maps illustrate the long-term (hundreds of analog earthquake cycle) 199 strain distribution in the upper plate (Figure 6). In the compressional configuration, three different 200 wedge segments are observed: a compressional domain in the outer-wedge, an extensional domain 201 in the inner-wedge, and a compressional domain in the coast. The outer-wedge compressional 202 segment overlies the shallow creeping portion of the interface. Further rearward, the compression 203 domain grades into an extensional domain in the inner-wedge overlying the velocity-weakening 204 zone on the interface at depth. In our experiments, two main mechanisms could cause the 205 permanent extensional strain in the inner-wedge: A minor anelastic component of the mainly 206 elastic coseismic extension and the activity of splay fault-related folds. A compressional segment 207 has also been observed in the coastal area, which may appear on the model's surface as a backthrust 208 209 fault rooting in the frictional transition zone at the down-dip limit of the velocity weakening zone





219 Localization of deformation has segmented the upper plate into three main segments. The outerwedge is underthrusted and subsided. The inner-wedge, which is bounded by the up-dip splay fault 220 and downdip backthrust fault, has accumulated the deformation during seismic cycles through 221 222 internal deformation and vertical displacement due to the activity of the backthrust faults. Further rearward (landward), subsidence occurs in the footwall of the backthrust fault (Figure 6). In the 223 compressional configuration, where the backthrust is developed, the subsiding area is relatively 224 wider (S1). 225

Both compressional and extensional configurations demonstrate uplift and extension above the 226 227 seismogenic zone embraced by shortening domains inland and near the trench. However, the compressional domain further rearward (onshore) is smaller in the extensional configuration. 228 Close to the trench, conversely, the upper plate shortens and subsides. In the compressional 229 configuration, the shortening in the transition zone from the shortening domain to the extension 230 domain is accommodated by a pop-up structure forming a conjugated forethrust and backthrust 231 couple. However, the pop-up structure itself generates a local surficial extension domain between 232

its boundary faults (Figure 5). In the extensional configuration, the forethrusts are the only
structures accommodating forearc shortening. In the compressional configuration, the backthrust
fault is the main structure accommodating wedge shortening.



Figure 6: Final surface deformation maps from compressional (a and c) and extensional (b and d) 237 configurations. The approximate location of the stick-slip zone at depth is projected on the model surface 238 as a dashed rectangle. a and b: Surface strain maps from both configurations. Green and blue represent 239 compression and extensional domains, respectively. The outer-wedge is experienced (splay fault and trench 240 domains) compression. Inner-wedge is recorded permanent extension. The activity of the backthrust is 241 evident in the compressional configurations. c and d: permanent vertical deformation in the absence of 242 erosion in the system. The outer- and inner-wedge represent permanent subsidence and uplift, respectively. 243 The slight subsidence zone onshore may represent a forearc basin at the natural scale. 244

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246 **3.1.2** Upper plate faults evolution over time

During model evolution, the first structures appear in the vicinity of the deformation front (near the trench). In the compressional configuration, near the trench, a trenchward-dipping (backthrust) and a rearward-dipping (forethrust) thrust faults form shortly after each other. These two trenchparallel faults, likely conjugate at depth, create a ridge-shaped structure (Figure 3). The structures



Figure 7: Incremental surface vertical displacement and strain over time from the compressional configuration with different temporal resolutions (3, 9, and 18 analog earthquake cycles). Up-dip (U.D.) and down-dip (D.D.) of the stick-slip zone at depth have been projected on the surface. a-c represents vertical uplift (warm color) and subsidence (cold color). The activity of the splay fault (S.F.) is evident while it is gradually deactivated and the whole slip is transferred on the megathrust. d-f represents surface strain maps with different temporal resolutions.

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are formed above the upper basal frictional transition. The stick-slip (seismogenic) zone represents 260 high basal friction in a long-term (interseismic) interval relative to the interface's uppermost 261 portion, which creeps interseismically. This frictional contrast thus leads to a sharp slip rate 262 variation and stress concentrations along the interface where thrusts nucleate. Another active 263 trenchward-dipping thrust fault (backthrust) forms further rearward in the wedge, representing the 264 onshore segment of the forearc. Again, the frictional contrast between the velocity weakening 265 portion of the interface (seismogenic zone) and the downdip limit of this portion controls the origin 266 of the backthrust, thereby accommodating the difference in slip rate (Figures 5 and 6). The thrust 267



Figure 8: Incremental surface vertical displacement and strain over time from an extensional configuration with different temporal resolutions (3, 9, and 18 analog earthquake cycles). Up-dip (U.D.) and down-dip (D.D.) of the stick-slip zone at depth have been projected on the surface. a-c represents vertical uplift (warm color) and subsidence (cold color). The activity of the splay fault (S.F.) is evident while it is gradually deactivated and the whole slip is transferred on the megathrust. d-f represents surface strain maps with different temporal resolutions.

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system accommodates shortening, causing uplift and steepening of the wedge over the course of the experiment consistent with the predicted transiently compressional initial geometry.

278 In the extensional configuration, a splay forethrust forms at the up-dip limit of the seismogenic

279 zone (Figure 3). In contrast to the compressional wedge, a backthrust does not form at the downdip

280 limit of the seismogenic zone consistent with its stable geometry according to Coulomb wedge

theory. These faults show thrust mechanisms and form in the immediate up-dip and down-dip parts

282 of the seismogenic zone.

3.1.3 Long-term wedge deformation: Long-term surface displacement signals reflecting forearc evolution.

To visualize the long-term behavior (i.e., integrating multiple seismic cycles) of the models, 287 forearc wedge differential surface displacement (horizontal and vertical) of increments lasting 150, 288 75, and 25 seconds are plotted. This covers about 18, 9, and 3 megathrust analog earthquake cycles, 289 respectively (Figures 7 and 8), to illustrate how the wedge evolution is recorded by observational 290 291 data with different temporal resolutions typical of geomorphological methods (e.g., terrace uplift). In both configurations, the long-term vertical displacement can be temporally divided into two 292 293 parts depending on whether the upper plate faults are active or inactive. In the case of an active splay fault, the horizontal trenchward displacement terminates at the location of the splay fault 294 (Figure 4), and the zone of maximum uplift is in the hanging-wall of the splay fault (Figures 7 and 295 8). The splay fault activity decreases over time until it dies, and subsequently, the whole slip is 296 297 consumed on the interface (i.e., megathrust). Namely, a non-trench-reaching megathrust earthquake system turns into a trench-reaching system over time. The evolution of the backthrust 298 can also be tracked in all temporal resolutions of topography evolution derived from the 299 compressional configuration (Figure 7). The zone of maximum topography correlates with the 300 301 zone of the maximum extensional segment of the upper plate in both configurations. In the compressional configuration, this extensional zone becomes wider and more pronounced over 302 time, while the width of the zone remains relatively constant over time in the extensional 303 configuration. 304

Further rearward to the coastal region, the strain evolves differently in the compressional and 305 extensional configurations: In the compressional configuration, the initially extensional strain is 306 replaced by a compressional domain over the entire inner-wedge. The maximum compressional 307 strain appears in the coastal region where the backthrust is formed. The frontal compressional 308 domain diminishes while the compressional wedge is evolving. This is in good agreement with the 309 activity of the up-dip splay fault over its lifetime. The strain pattern over the inner-wedge illustrates 310 that this wedge segment gradually evolves to a more compressional regime. In contrast, there is 311 no significant frontal compressional domain in the extensional configuration (Figure 8), and the 312 inner-wedge is rather in an extensional state. Although the coastal region in the extensional 313 configuration similarly shows a compressional state, the backthrust fault does not appear in the 314 wedge at the down-dip limit of the stick-slip zone. 315



Figure 9: Compressional configuration; Trench-normal displacement time-series (red plot) is overlayed on the strain time-series (background color map) over tens of analog earthquake cycles in different segments of the upper plate. The magnitude of the strain in the outer-wedge is one order larger than the inner-wedge and coast. Note that the outer- and inner-wedge show opposite strain state over the earthquake cycle (compressional versus extensional). The compression and extensional supercycles in the coastal region are shown in the lower panel. Please see the text for the discussion.

323 3.1.4 Short-term wedge deformation: Strain pattern over seismic cycles

324 **3.1.4.1** Extensional features in the shallow segment of the forearc

325 The extensional features have generally been observed as extensional fractures or/and crestal normal faults in the frontal wedge domain of the models (Figure 5 and S2). The latter may form 326 above the frictional transition zone at the up-dip limit of the velocity-weakening zone. The activity 327 of the forethrust splay faults plays the main role in their formation being located in the crestal zone 328 in the hanging-wall of the splay fault. This fracture zone reflects the splay fault's activity and, 329 consequently, the up-dip limit (frictional transition) of the velocity-weakening portion of the 330 interface. The extensional features form and develop trench-parallel inelastically over the 331 interseismic interval and are active in opposite modes during the coseismic and postseismic stages, 332 i.e. coseismically extensional and postseimically compressional. The responsible formation 333 mechanism is the splay forethrust the activity of which generates fault-related folds (fault-334 propagation fold) (S2). 335

Consequently, a local extensional regime forms at the hinge zone of the fault-related fold and may lead to the crestal normal faults. In the coseismic interval, a sudden slip on the splay fault and megathrust enhances these extensional fractures. The slip on the faults terminates at the frictional

transitional border. Hence, a compressional strain regime appears in the forelimb of the fault-339 related fold. 340



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Figure 10: Extensional configuration; Trench-normal displacement time-series (red plot) is overlayed on 342 the strain time-series (background color map) over tens of analog earthquake cycles in different segments 343 of the upper plate. The magnitude of the strain in the outer-wedge is one order larger than the inner-wedge 344 345 and coast. Note that the outer- and inner-wedge show opposite strain state over the earthquake cycle 346 (compressional versus extensional). The compression and extensional supercycles in the coastal region are 347 shown in the lower panel. Please see the text for the discussion.

The fractures appear in the inner-wedge segment of the model forearc where they overly the 348 velocity-weakening portion of the interface at depth. The extensional fractures in the inner-wedge 349 above the seismogenic zone form coseismically where the maximum extensional strain occurs in 350 the forearc and is partially preserved as anelastic deformation (i.e., normal faulting) in the inner-351 wedge in each earthquake cycle. In contrast, during the interseismic period, this segment of the 352 forearc is mainly under compression. 353

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3.1.4.2 Strain-state cycle over the seismic cycle 355

Here we have visualized the average value of the strain over three different segments of the upper 356 plate forearc to take a closer look at the strain evolution at the timescale of individual seismic 357 cycles (Figures 9 and 10). In general, the strain rate reduces rearward from the trench toward the 358 359 coast, consistent with the dominance of elastic loading at the seismic cycle timescale. The outerwedge shows strains opposite to those of the coast and inner-wedge (Figures 9 and 10). The inner-360

wedge and coast are under compression when the outer-wedge is experiencing extension during the interseismic period—this is a general pattern over many seismic cycles. In each cycle, the inner-wedge undergoes extension coseismically, then gradually moves to a neutral state and finally shifts to a stably compressional state and stays in this regime until the next seismic event occurs. In contrast, the outer-wedge is under compression during the earthquake and subsequently experiences neutral and extensional states in the interseismic interval. In both segments, the strain state shows a regular cycle and follows the same earthquake cycle trend.





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Figure 11: Comparison between surface displacements (horizontal and vertical) in the inner-wedge and coast segments. The segments demonstrate opposite trends: the coast moves trenchward while subsiding (and vice versa), but the inner-wedge moves trenchward while moving upward.

In the down-dip segment, which is treated as underlying the coastal area in our experiment (cf. Fig. 1), the strain state cycle differs from that of the two shallower (offshore) segments of the upper plate. Although the strain magnitude is approximately an order of magnitude smaller, its pattern may be closer to the inner-wedge than to the outer-wedge.

Interestingly, the strain state represents not only an asymmetric cyclic pattern over stick-slip cycles but also a longer cycle (hereafter called "supercycle") (Figures 9 and 10). In the coastal segment, unlike the other upper plate segments, the extensional and compressional portions of the strain do not balance over a few cycles but show multi-cycle long compressional and extensional supercycles. The supercycle appears sharper in the extensional configuration, where the backthrust is not developed. It may, therefore, be due to the activity of the backthrust that perturbs the supercycle. The surface displacements in the coastal zone and the inner-wedge represent opposite trends (Figure 11). In the coseismic period, the coast, which overlies the down-dip limit of the stick-slip zone, moves trenchward while subsiding (and vice versa in the interseismic period), but the inner-wedge, which overlies the stick-slip zone, moves trenchward while moving upward. This implies that coseismic uplift and subsidence patterns indicate the location of the slipped zone at depth. The possible primary mechanisms for the supercycle will be discussed in the discussion.

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Figure 12 : Size and frequency distributions (a and b) and coefficients of variation (Cv) of recurrence intervals and size (c and d) of analog megathrust events for compressional and extensional configurations.

393 3.2 Frequency and size distributions of analogue megathrust events

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To explore the possible relationship between moment release patterns and forearc configurations, we compare the frequency and size of analog megathrust events and their coefficients of variations (Cv). This coefficient is defined as the ratio of the mean to the standard deviation of the data, from both compressional and extensional configurations (Kuehn et al., 2008; Rosenau & Oncken, 2009). We have defined a moving window to calculate the coefficient of variation over the size and frequency of events. The coefficient of variation generally exhibits an inverse relationship (i.e., 401 negative correlation) with the periodicity of the frequency-size distribution. In particular, a Cv >402 0.5 indicates random events while a CV < 0.5 characterizes periodic events.

The results of the size and frequency distribution and temporal evolution of the frequency-size 403 distributions are plotted in figure 12. Accordingly, the extensional configuration is characterized 404 by relatively larger event size and longer recurrence. In the Cv plots of the compressional 405 configuration (Figure 12 c and d), a sharp reduction is clear. Its timing shows a good agreement 406 with the evolution of the main upper plate structures (i.e., backthrust fault). The Cv of the 407 compressional configuration is generally lower than that of the extensional configuration, 408 indicating that the first is more periodic. Although both configurations demonstrate rather periodic 409 behavior (i.e. CV<0.5), the recurrence pattern of the extensional configuration, unlike the 410 compressional configuration, evolves over time towards higher variability. The Cv values for the 411 extensional configuration systematically increase and are characterized by a Cv higher than 0.15. 412 In contrast, in the compressional configuration, the values stay in a range of 0.15-0.2. A similar 413 trend is also observed in the size distributions of both models. The compressional configuration 414 does not show a significant evolution over time; however, an increasing trend is observed towards 415 higher coefficients (i.e., less characteristic events over time) in the extensional configuration. 416

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418 **4 Discussion**

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4.1 Mechanical state of the shallow forearc over the seismic cycle

We have used critical wedge theory to design two endmember wedge geometries to see the effect of (transient) instability on the long-term deformation pattern. As shown in figure 2, the wedge is predicted to be critically compressive and stable during the interseismic and coseismic periods, respectively, in the compressional configuration. In the extensional configuration, the onshore and offshore segments of the wedge represent different states: In the coseismic period, the offshore segment, unlike the onshore segment, is prone to be critically extensional. The offshore segment is stable in the interseismic period, but the onshore segment tends to be critically compressional.

The outer-wedge segment of our model overlies the creeping portion of the interface where slip instability cannot nucleate but may rupture during trench-reaching megathrust events (Cubas et al., 2013a; Noda and Lapusta, 2013). This domain is near the deformation front and undergoes more deformation and splay thrust faulting than the other forearc segments. This segment switches

its stability state from compressional critical in the interseismic stage to a coseismically stable 433 condition. Analog earthquake studies suggest that a mega-splay fault at the up-dip limit of the 434 velocity-weakening zone may act as a relaxation mechanism for coseismic compression (Rosenau 435 et al., 2009) and be activated in the early postseismic stage of a seismic cycle. These laboratory 436 observations are in good agreement with the aftershock activities after megathrust events, for 437 instance, after the Maule 2010 (Lieser et al., 2014), Antofagasta 1995 (Pastén-Araya et al., 2021), 438 Iquique 2014 (Soto et al., 2019), and Ecuador-south Colombia 1958 earthquakes (J.-Y. Collot et 439 al., 2008; Jean-Yves Collot et al., 2004). This implies that coseismic strengthening of the shallow 440 megathrust pushes the outer-wedge to a compressively critical state during large displacements on 441 the interface (Figure 10) (Hu & Wang, 2008; Wang et al., 2019; Wang & Hu, 2006). Consequently, 442 the splay fault between the outer and inner-wedge may accumulate slip during coseismic or/and 443 postseismic periods. 444

The inner-wedge is located between this forethrust splay fault and the projection of the down-dip 445 limit of the stick-slip zone to the surface or the backthrust upper-plate fault (Figure 5). This 446 segment is interseismically stable and a minimum of permanent deformation is accumulated 447 (Cubas et al., 2013b). The maximum strain is localized on the backthrust fault which is the 448 landward boundary of the inner-wedge. However, this backthrust may activate with a normal 449 faulting mechanism during or immediately after a large coseismic slip in the velocity-weakening 450 portion of the interface, similar to the activity of the Pichilemu fault shortly after the Maule 2010 451 megathrust earthquake (Farías et al., 2011; Cubas et al., 2013b). This means that the mechanically 452 most stable segment of the entire wedge -i.e. the inner wedge - reflects the seismically most active 453 454 (i.e., velocity-weakening) portion of the interface. (Fuller et al., 2006).

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456 **4.2** Seismotectonic forearc segmentation: Comparison with natural examples

Our results highlight how coseismic surface deformation may contribute to the morphology of the 457 shallow (offshore) segment of the forearc. The coseismic extension that occurs offshore is mainly 458 observed in the inner-wedge, in the zone bounded by the up-dip forethrust (and/or backthrust) and 459 down-dip backthrust (Figures 5). The up-dip forethrust is the same structure that has been observed 460 in several natural examples. It has been introduced as either backstop in the 2011 Tohoku-Oki 461 earthquake region in the Japan Trench (Ito et al., 2011; Tsuji et al., 2011, 2013) or as the 462 approximate limit between the lower and middle slopes (MLS) in the north Chilean margin 463 (Maksymowicz et al., 2018; Storch et al., 2021). In the former, the fault is characterized by the 464

boundary between a soft and fractured sediment sequence abutting a less-deformed sequence on 465 the landward side. After the 2011 Tohoku-Oki event, seafloor photographs taken from the splay 466 fault (backstop) region show that extensional steep cliffs are formed coseismically due to small-467 scale slope failure (Tsuji et al., 2013). We observe similar gravity-induced features in the forelimb 468 of the splay fault in our experiments, indicating the up-dip limit of the coseismic slip on the 469 interface. Further landward, seafloor photographs from the inner-wedge have suggested coseismic 470 anelastic extensional features with no evidence for submarine landslides and reverse faulting as 471 responsible mechanisms (Tsuji et al., 2013). This segment of the upper plate in both our models 472 and the 2011 Tohoku-Oki event overlies the zone of maximum coseismic slip. 473

Seafloor extensional features have also been documented in the regions of the Maule 2008 and 474 Iquique 2014 earthquakes in the central and northern Chilean subduction zone (Geersen et al., 475 2016, 2018; Maksymowicz et al., 2018; Reginato et al., 2020; Storch et al., 2021). A normal 476 faulting escarpment and extensional fractures are observed on the hanging wall of the forethrust 477 splay in the Maule 2008 earthquake region (Geersen et al., 2016). Although it is not evident 478 whether the normal faults are rooted in the megathrust interface, the extensional fractures on the 479 hanging wall may be related to the activity of the splay fault. As shown in our model's results, the 480 481 activity of the splay fault at the up-dip limit of the rupture area may generate an extensional regime in the hinge zone of the fault-related fold and forms extensional fractures. Note that these frontal 482 splay faults may be active during earthquakes and/or in postseismic intervals. In both cases, 483 however, they indicate the frictional transition zone on the plate interface and, in consequence, the 484 up-dip limit of the locked seismogenic zone. In line with our model result, the extensional basin 485 486 between the splay fault (backstop) and coastal region indicates the megathrust seismogenic zone at depth (Moscoso et al., 2011). The large subduction earthquakes may rupture different portions 487 of the interface from the trench to the downdip end of the seismogenic zone (Lay et al., 2012). 488 Depending on the earthquake magnitude and position of the ruptured segment (i.e., the portion of 489 the megathrust interface beneath the coastal region), the extensional fractures can also be seen 490 491 onshore as a marker of permanent deformation (Baker et al., 2013; Loveless et al., 2005, 2009). In the Iquique 2014 earthquake region (North Chilean subduction system), clear evidence of the 492

extensional features in the upper plate has been reported from offshore seismic profiles (Geersen
et al., 2018; Reginato et al., 2020; Storch et al., 2021). The offshore extensional features can be
categorized into two domains, the Middle-Lower slope transition (MLS), and Middle-Upper slope

segments. The former is likely formed by the activity of the large forethrust splay, which may be 496 active during co-, post-, and interseismic intervals. The Middle-Upper slope segment overlies the 497 main slip zone of the 2014 event. It is possibly formed coseismically and generates the sedimentary 498 basin over hundreds of seismic cycles. This latter correlation also correlates with the gravity 499 anomaly (Schurr et al., 2020) introduced by (Song & Simons, 2003; Wells et al., 2003). 500

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502 Figure 13: A suggested scenario for the coastal segment of the upper plate behavior over tens of seismic 503 cycles. After exceeding the elastic domain, the upper plate at the location of the coast goes to the strain-504 505 hardening domain over a few seismic cycles and then moves towards the strain-softening domain. The 506 pulses of megathrust events (loading and unloading) accelerate this switch from strain-hardening to strain-507 softening.

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4.3 Forearc segmentation and temporal pattern of events 509

The compressional configuration establishes a clear forearc segmentation through forming up-dip 510 (offshore) splay faults and down-dip (onshore) backthrust faults, causing the analog megathrust 511 events to be more regular (same evolution as in Rosenau and Oncken, 2009). Lacking a backthrust, 512 the extensional wedge does not have this clear segmentation, causing more irregular analog 513 megathrust events. However, both are still generally periodic, i.e. CV<0.5. Moreover, the forearc 514 segment bounded by the upper plate faults overlies the seismogenic zone; hence, the frontal 515 shortening segment (i.e., inner-wedge) of the compressional configuration behaves as a 516 deterministic spring-slider system (Reid, 1910; Rosenau & Oncken, 2009). In the extensional 517 configuration, the extensional fractures on the segment above the seismogenic zone indicate 518 anelastic deformation that correlates with a more complicated temporal pattern of the megathrust 519 events. This is equivalent to the observation of a less periodic pattern of analog earthquakes 520

521 produced in the extensional configuration.

522 4.4 Coastal strain cycle in response to earthquake cycle

In our model, the projection of the downdip limit of the velocity weakening zone on the surface 523 represents the coastal region (Oleskevich et al., 1999; Ruff & Tichelaar, 1996). Unlike the inner-524 wedge and outer-wedge, the coastal region reacts in an inhomogeneous pattern to the seismic 525 cycles: its strain state does not only respond to each event (i.e., megathrust earthquake), but the 526 strain state shows a "supercycle" over several cycles. In other words, a "strain-switch" from 527 compressional/extensional to an extensional/compressional state develops over a few cycles 528 (Figures 9 and 10). We hypothesize that internal deformation in the experimentally well-known 529 elastoplastic deformation cycle may be the responsible mechanism for the supercycle. The strain 530 rate in the coastal domain is at least one order of magnitude lower than that in the offshore forearc 531 segments; hence, the onshore segment needs more time to reach its yield strength and to shift 532 between strain-hardening and strain-softening periods. 533

On the other hand, a stress transfer caused by a megathrust earthquake perturbs this process and 534 accelerates/decelerates the deformation rate. When the coastal area is in a strain-hardening period, 535 megathrust coseismic pulses gradually push it toward a neutral stage approaching failure and the 536 resultant switch to strain-softening. However, a very large coseismic pulse may also quickly drag 537 it into the strain-softening domain. After the coseismic event, the coast again moves toward the 538 strain-hardening regime (Figure 13). These changes in the stain cycles reflect variability in strain 539 540 rate with respect to the long-term trend such that the compressional wedge is more segmented, its deformation varies less compared to the unsegmented extensional wedge. 541

Observations reveal that a relatively low resolution (18 cycles in this case) may provide a good 542 overview of the wedge evolution type as outlined above. However, the details of the cycles and 543 the transient in between may be overprinted, for example, by the supercycle-related 544 uplift/subsidence and megathrust events involving splay faults. The comparison suggests that the 545 seismic cycle-to-cycle variability causes periodicity in the surface deformation at all 546 (observational) frequencies. The Northwest Coast of the Tohoku-Oki 2011 earthquake (NE Japan; 547 Japan trench) and the Pacific coast of Hokkaido (Kuril trench) have both experienced two different 548 long-term vertical movement histories. In the former case, the Pleistocene marine terrace 549



Figure 14: Schematic of vertical displacement and strain state during the coseismic period and interseismic interval in different segments of upper plates for different scenarios. Note that we assume the coast reflects the down-dip limit of the seismogenic zone at depth; modified after (Clark et al., 2019; Farías et al., 2011; Herman & Govers, 2020; Madella & Ehlers, 2021; Melnick et al., 2018; Menant et al., 2020; Moreno et al., 2009; Mouslopoulou et al., 2016; Ozawa et al., 2011; Rosenau & Oncken, 2009; Simons et al., 2011; Sun et al., 2017; Wang et al., 2019; Wang & Tréhu, 2016, and many others).

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chronology of the NE coast of Japan has experienced a constant uplift at about 0.2 m/ky. 559 (Matsu'ura et al., 2019). In contrast, the Holocene sedimentary succession in the south and central 560 Sanriku suggests subsidence at about 1 mm/yr. (Niwa et al., 2017). If this opposite long-term 561 coastal vertical movement is accurate enough, it may reflect the coastal strain supercycle in 562 response to the megathrust cycle. In the latter case, the sedimentological investigations and diatom 563 assemblages suggest pre-seismic submergence at a rate of 8–9 mm/yr. (Atwater et al., 2016; Sawai, 564 2020; Sawai et al., 2004). If this rapid subsidence occurs in each earthquake cycle, megathrust 565 coseismic and postseismic deformation should generate 4-5 m of coastal uplift in each cycle to 566 cancel out the subsidence. A similar subsidence-uplift pattern also accrued in the Aleutian-Alaska 567 subduction system (Shennan & Hamilton, 2006). 568

The above inconsistency in vertical movement of the coast occurs in subduction systems where 569 the megathrust earthquake usually ruptured the offshore (i.e., shallow) part of the interface (e.g., 570 Japan and Alaska trenches) (Figure 14). In the cases where the megathrust earthquakes that 571 partially or fully ruptured the deep part of the interface, for instance, the Antofagasta 1995 (Chlieh 572 et al., 2004; Pritchard et al., 2002) and Illapel 2015 earthquakes (Tilmann et al., 2016), marine 573 terraces recorded a more continuous uplift (with different rates) since the Pleistocene (González-574 Alfaro et al., 2018). However, a long-term (Miocene) change in the vertical movement has been 575 recorded in some places on the Coastal Cordillera in the Chilean margin, probably caused by basal 576 erosion/accretion sequences (Encinas et al., 2012). This may imply that if the coastal area subsides 577 coseismically but uplifts over the interseismic period, the coast probably overlies the downdip 578 limit of the locked zone while the coastal region may show long-term vertical movement 579 580 inconsistently. If the coast moves vertically upward during both coseismic and interseismic periods, upper plate thrust faults likely push the coast upward (Clark et al., 2019; Mouslopoulou 581 et al., 2016) and the coastal region continuously accumulates permanent uplift. 582

Deep slow-slip events, basal accretion, interseismic crustal thickening, and upper plate faulting may enhance coastal uplift at different time scales (Figure 14). Among these processes, underplating may not play a significant portion in a single seismic cycle because the formation of each tectonic slice (i.e., duplex) is in a Myr-scale (Menant et al., 2020; Ruh, 2020). The thermomechanical simulations (Menant et al., 2020) suggest early and late stages of a single underplating cycle respectively characterized by up to 1.5 mm/yr. uplift and subsidence (i.e., re-equilibration of the forearc wedge) rate in the coastal region. This transition from uplift to subsidence (and vice versa) is in the Myr-scale and represents a much lower frequency in comparison with the deformation supercycle observed in our experiments. However, to rule out and differentiate the impact of the different mechanisms involved in the vertical movement of the coastal region, a modeling approach including all the above-mentioned mechanisms is needed.

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Table 1: Summary of short- and long-term forearc strain state

Configuration	Compressional config.	Compressional config.	Compressional config.	Extensional config.	Extensional config.	Extensional config.
Forearc segment	Coseismic	Interseismic	Long-term permanent	Coseismic	Interseismic	Long-term permanent
outer-wedge	Compression	From compression moves to a neutral state and finally to extension	Compression	Compression	From compression moves to a neutral state and finally to extension	Compression
Inne r-wedge	Extension	From extension moves to a neutral state and finally to a stably compressional	Extensional; extensional zone becomes wider over time	Extension	From extension moves to a neutral state and finally to a stably compressional	Extensional over the seismogenic zone; surface extentional fractures
Coastal region	Extension	Extensional & compressional portions do not balance; asymmetric cyclic pattern	Multi-cycle long compressional/ extensional supercycles	Extension	Extensional & compressional portions do not balance; asymmetric cyclic pattern	Multi-cycle long compressional/ extensional supercycles; sharper supercycle

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Although the inner-wedge and outer-wedge may show a relatively simple earthquake deformation 598 cycle, the coastal zone in the subduction zones may also show a rather complicated pattern and 599 trend. Where the downdip limit of seismic locking and slip is offshore, both, the deformation 600 resulting from seismic cycle deformation and that from mass flux at the plate interface (subduction 601 erosion vs. underplating) generate a composite, more complex kinematic record, even in our 602 simplified seismotectonic model. This implies that predicting the interface behavior from the 603 coastal behavior might not always provide diagnostic evidence in the case of shallow subduction 604 earthquakes where the coast does not overlie the seismogenic zone or its downdip end. Rather, 605 measuring surface deformation above the locked zone provides a more reliable indication of the 606 behavior of the interface. 607

608 **5** Conclusion

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610 Our results highlight that, in the shallow portion of the subduction zone, frictional properties of 611 the interface and mechanical characteristics of the forearc determine the surface deformation signal 612 over seismic cycles. The mechanical and kinematic interaction between the shallow wedge and the 613 interface can partition the wedge into different segments. These segments may react analogously or oppositely over the different intervals of the seismic cycle (Table 1). Moreover, different wedge segments may switch their strain state from compression/extension to extension/compression domains. We emphasize that a more segmented upper plate is related to megathrust subduction that generates more characteristic and periodic events.

Our experiments underscore that the stable part of the wedge (i.e., inner-wedge) which undergoes extension coseismically overlies the seismogenic zone. However, the density of extensional fractures and the number of normal faults may increase toward the limit between the inner-wedge and outer-wedge due to the activity of splay faults at the up-dip limit of the seismogenic zone.

Over a dozen and more analog earthquake cycles, the strain time series reveal that the strain state 622 may switch the mode after remaining quasi-stable over a few seismic cycles in the coastal zone. 623 Various scenarios have been suggested, such as background seismicity, deep slow-slip events, 624 subduction accretion/erosion, as the responsible mechanism for switching the kinematic behavior 625 of the coastal domain (uplift to subsidence and vice versa). Here we additionally show that the 626 mechanical state of the plate interface beneath the coastal region, may vary over time and influence 627 the coastal region strain state. Because the strain rate here is significantly lower than in the offshore 628 segment, this may eventually lead to different observed vertical motions on the coast. Megathrust 629 events might be a driving agent that accelerates the strain state switch and pushes the coastal region 630 from a strain-hardening to strain-softening state. Our simplified experiments demonstrate that the 631 strain cycle in the coastal region may show a supercycle pattern superseding sawtooth pattern of 632 the strain cycles related to the earthquake cycle. This is geodetically relevant as the observations 633 in many subduction zones are focused in the coastal regions. Hence, it may not always be 634 635 straightforward to use these observations as direct evidence to assess the behavior of the shallow, offshore portion of the megathrust. 636

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638 Data Availability Statement

All data in this study will be published open access soon (data archiving is underway). We thank
GFZ Data Services for publishing the data. Meanwhile, the data set is uploaded as Supplemental
Material for review purposes.

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Tectonics

Supporting Information for

Strain signals governed by frictional-elastoplastic interaction of the upper plate and shallow subduction megathrust interface over seismic cycles

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Contents of this file

Figures S1 and S2

Introduction

This supporting information contains additional figures supporting the lines of argumentation in the main manuscript.



Figure S1. Surface deformation maps from compressional (a and c) and extensional configurations (b and d) over dozens of analog earthquake cycles. The approximate location of the stick-slip zone at depth is projected on the model surface as a dashed rectangle. a and b: Surface strain maps. Green and blue colors represent compression and extensional domains, respectively. The outer-wedge is experienced (splay fault and trench domains) compression. Inner-wedge is recorded permanent extension. The activity of the backthrust is evident in compressional configuration. c and d: permanent vertical deformation in the absence of erosion in the system. The outer- and inner-wedge represent permanent subsidence and uplift, respectively. The slight subsidence zone onshore may represent a forearc basin at the natural scale.



Figure S2. Surface strain map (a) and the laboratory view (b) of the extensional features from a supplemental experiment. Green and blue colors represent compression and extensional strain, respectively. The maximum extensional domain (intense blue zone) correlates with the density of fractures. The extensional structures are formed mainly due to the activity of the splay fault.