Earthquake Detectability and Depth Resolution with Dense Arrays in Long Beach, California: Further Evidence for Upper-Mantle Seismicity within a Continental Setting

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Abstract

The Newport-Inglewood Fault (NIF) is a slowly-deforming fault cutting through a thin conti-1 nental crust with a normal geotherm, yet it hosts some of the deepest earthquakes in southern 2 California. The nucleation of deep earthquakes in such a continental setting is not well under-3 stood. Moreover, the deep seismogenic zone implies the maximum NIF earthquake magnitude 4 may be larger than expected. Here, we quantify the resolution of the Long-Beach (LB) and 5 the Extended Long-Beach (ELB) dense arrays, used to study deep NIF seismicity. Previous 6 study of the regional catalog and of downward-continued LB array data found NIF seismicity 7 extending into the upper mantle beneath LB. Later studies, which analyzed the ELB raw data, 8 found little evidence for such deep events. To resolve this inconsistency, we quantify the array's 9 microearthquake detectability and resolution power via analysis of pre- and post-downward mi-10 grated LB seismograms, and benchmark tests. Downward migration focuses energy onto the 11 source region and de-amplifies the surface noise, thus significantly improving detectability and 12 resolution. The detectability is also improved with the increase in the array-aperture-to-source-13 depth ratio. The LB array maximum aperture is only 20% larger than the ELB aperture, yet 14 its resolution for deep (>20 km) events is improved by about a factor of two, suggesting that 15 small changes to the array geometry may yield significant improvement to the resolution power. 16 Assuming a constant aperture, we find the LB array maintain resolution with 1% of its sensors 17 used for back-projection. However, the high sensor density is essential for improving the SNR. 18 Analysis of the regional and array-derived NIF catalogs together with newly acquired Moho 19 depths beneath the NIF, suggests mantle seismicity beneath LB is a robust feature of this fault. 20

Introduction

Seismicity occurring within urban environments is difficult to characterize due to high levels of 21 anthropogenic noise. For example, the Los Angeles (LA) basin, which is the densest population 22 center in southern California, suffers from earthquake detectability that is far lower than the 23 detectability in less-well instrumented regions. Dense array seismology, a methodology which 24 utilizes finely sampled wavefields from closely-spaced seismometer- and smartphone-arrays [Inbal 25 et al., 2015, 2016, 2019; Yang et al., 2021; Yang and Clayton, 2023] or fiber optic cables [Zhan, 26 2020; Lellouch et al., 2021], is well suited for signal detection in noisy environments. The main 27 advantage of dense arrays over sparse networks is that dense wavefield sampling may be used 28 suppress noise in data with poor signal-to-noise ratios (SNR). Furthermore, array back-projection 29 may be used to focus incoming signals onto the source region, thereby strongly facilitating their 30 location. However, it is not clear whether dense arrays, which are often deployed in noisy 31 environments, and whose apertures do not exceed a few km, possess sufficient resolution power 32 at seismogenic depths. 33

We restrict our analysis to geometries in which the potential source lies beneath the array, a 34 situation common in dense array studies [e.g. Inbal et al., 2015, 2016; Peña Castro et al., 2019; 35 Catchings et al., 2020; Yang et al., 2021, 2022; Yang and Clayton, 2023]. To investigate dense 36 array source detectability and depth resolution, we consider the Long Beach (LB; 5200 sensors; 37 deployed between January and June, 2011) and the Extended Long Beach (ELB; 2500 sensors; 38 deployed between January and March, 2012) array datasets. The two arrays were located along 39 adjacent portions of the Newport-Inglewood Fault (NIF), a major fault traversing the LA basin 40 (Figure 1). Inbal et al. [2015, 2016, hereafter referred to as I2016 and I2015, respectively] used 41 the LB dataset to compile a catalog for the portion of the NIF in LB, by enhancing the event 42

detectability via sub-array stacking and downward-continuation [Gazdaq, 1978]. This allowed 43 them to detect abundant seismicity occurring in the lower crust and upper mantle. The depth 44 range was unusual given that, except for a few places, seismicity in southern California is generally 45 confined to the upper 12 km or so [e.g. Hauksson, 2011]. Thus, I2016's findings challenged the 46 common understanding regarding the physical mechanisms allowing faulting at depth. Recently, 47 Yang et al. [2021, hereafter referred to as Y2021] introduced a new detection scheme which 48 relies on the SNR of the back-projected surface data before and after trace randomization, and 49 applied it to the ELB dataset. Given the proximity between the LB and ELB arrays, the similar 50 deployment intervals, and lack of significant mainshocks during the deployment periods, the gross 51 features of the LB and ELB seismicity catalogs must be quite similar. However, the discrepancy 52 between I2015's and I2016's deep seismicity detection rates to Y2021's deep seismicity detection 53 rates is very significant. Y2021 found that over a three month period 13 lower-crustal and 1 upper-54 mantle microearthquake had occurred below the ELB array. If we assume the deep seismicity 55 rates below the LB and ELB arrays are similar, then I2016 and I2015 findings imply Y2021 56 are missing 99% of the deep earthquakes below ELB. Y2021, on the other end, attribute the 57 high detection rates in I2015's and I2016's catalogs to artifacts introduced in the back-projection 58 procedure. Since the width of the NIF seismogenic zone determines the maximum magnitude the 59 fault can produce, and since the physics governing the nucleation of upper-mantle earthquakes on 60 continental transform faults is not well understood, it is important to resolve these discrepancies. 61 Additionally, a growing number of studies rely on the detection of weak signals in back-projected 62 seismic array data, underscoring the importance of quantifying the array resolution and the 63 robustness of the detection scheme. In this study, we reexamine the NIF seismic catalogs along 64 with newly acquired Moho depths in the LB area [Clayton, 2020]. We review the detection 65

⁶⁶ schemes of I2015, I2016, and Y2021, and test what fraction of events detected by I2015 and ⁶⁷ I2016 might have been missed by Y2021. Then, we assess the discriminative power and depth ⁶⁸ resolution of dense arrays by using seismograms of deep NIF earthquakes and a set of synthetic ⁶⁹ tests. In light of these results, we confirm the findings of I2015 and I2016.

Observing Deep Earthquakes on the LB array

To motivate the discussion on dense-array resolution, we begin by reviewing the available seis-70 micity catalogs and Moho depths, and the detections schemes of I2015, I2016, and Y2021. The 71 distribution of seismicity along the NIF obtained from the LB array, and from the regional South-72 ern California Seismic Network (SCSN), together with newly acquired Moho depths [Clayton, 73 2020 are shown in Figure 2. As was previously suggested by I2015 and I2016, many of the events 74 in the LB section of the NIF occur in the lower crust, and some events occur in the upper mantle 75 (Figure 2a). The frequency-magnitude distribution in the LB back-projection-based catalog is 76 complete down to about M = -1. After adjusting for the area and time-window of the LB array 77 deployment, the frequency-magnitude distribution of earthquakes occurring above 15 km depth 78 in I2015 and I2016 catalogs nicely extrapolates to the frequency-magnitude distribution in the 79 SCSN catalog, which is complete above $M \sim 2$ [Inbal et al., 2015]. Note that the NIF intersects 80 the Moho at about 17 km depth (Figure 2a). Thus, we think the LB array-derived catalog, which 81 contains widespread lower-crustal seismicity observed over a 6-month period, but whose magni-82 tude of completeness is about three units lower than the SCSN catalog completeness magnitude, 83 reflects the long-term behavior of the NIF and not some transient behavior. Additionally, note 84 that both the SCSN and the LB array-derived catalog depths increase along the A-A' profile, and 85 that this trend in consistent with the increase in Moho depth along the same profile. Accounting 86

for the combined uncertainty on the Moho and source depths suggests the deepest events in the SCSN catalog are well within the upper mantle.

An adjacent cross-section located below the ELB array is shown in Figure 2b. The focal depth 89 distribution for the ELB section is skewed towards depth larger than 10 km, in disagreement 90 with the distribution of Y2021, which mostly consists of events occurring in the upper 10 km 91 (see Fig. 3 in Y2021). Given *Clayton* [2020]'s Moho depths, Y2021's catalog contains 13 lower-92 crustal earthquakes and one or two upper-mantle earthquakes. Although the SCSN and QTM 93 catalogs do not contain mantle earthquakes below ELB, the ELB focal depths are skewed towards 94 values larger than the depth distribution observed along seismically active fault sections cutting 95 through thin-crustal zones in southern California. Thus, similar to the LB section of the NIF, 96 the regional catalogs suggest the ELB section also hosts earthquakes occurring at depths that 97 are larger than the ones expected given the local geotherm and strain rates (see also discussion 98 in I2016). 99

We find that the detection rate of earthquakes occurring at a depth between 12 and 20 km is 100 somewhat lower in the QTM catalog than the in the SCSN catalog. This is likely due to the 101 low number of available templates and the poor SNR conditions typical for the SCSN stations 102 in the greater LA area. For example, a recent study found that a $M \approx 1$ NIF and a $M \approx 1$ 103 off-shore earthquake, showing SNR>1 on a number of stations located within 30 to 100 km from 104 the epicenter, were missing from the SCSN and QTM catalogs [Inbal et al., 2023]. Because the 105 urban noise amplitude generally decays more rapidly with distance than the earthquake signal, 106 the SNR of M < 1 NIF events is sometimes higher on stations located outside the LA basin than 107 on near-epicentral stations. This may cause traditional or template-based detection schemes to 108

¹⁰⁹ miss some events, since those schemes rely primarily on phase arrivals observed on near-epicentral
 ¹¹⁰ stations.

Unlike traditional network detection techniques, dense array analysis enhances the SNR by 111 beamforming (i.e. delay-and-sum) the array's seismograms. Assuming the noise recorded by the 112 array is uncorrelated between the array's sensors, this procedure improves the SNR by a factor 113 proportional to \sqrt{N} , where N is the number of sensors in the array [e.g. Rost and Thomas, 114 2002]. If the target area lies beneath the array, and if a detailed velocity model is available. 115 then further SNR improvement can be obtained by wavefield extrapolation using downward-116 continuation [Gazdaq, 1978], which enhances near-vertical signals impinging on the array. We 117 discuss the improvement in source-depth resolution due to downward-continuation in the section 118 Spatial Resolution Analysis. Our experiments showed that strong LB surface noise sources 119 were resilient to beamforming. Those sources showed as local maxima in the back-projected 120 LB array images, making it difficult to discriminate between earthquake and noise signals. To 121 improve the SNR of the LB data, I2015 and I2016 downward-continued them according to the 122 following steps. I2015 and I2016 first stacked the array data over small sub-arrays, each of which 123 consisting of 5 sensors, and then interpolated the sub-array-averaged data onto a regular grid. 124 The interpolated data were Fourier transformed and then downward-continued to a depth of 5 125 km by applying a set of phase shifts whose magnitude was computed based on the local velocity 126 model, frequency and wavenumber content (see I2015 and I2016 for further details). These 127 steps significantly improved the SNR. Figure 3 illustrates the SNR improvement obtained by 128 interpolating and downward-continuing an LB wavefield containing a signal from an earthquake 129 which occurred 17 km beneath the array. The data were filtered between 2 and 8 Hz before 130 they were downward continued. Visual inspection of these images discloses significant SNR 131

improvements leading to enhancements the facilitate the location procedure. Due to scattered 132 strong noise sources, the amplitudes of the 2 to 8 Hz filtered surface data (Figure 3a) are not 133 well-correlated with the epicentral location. Applying plain-stack (i.e. setting the inter-sub-array 134 time-lags to zero) increases the amplitude of vertically propagating energy due to the deep source 135 relative to horizontally propagating energy due to shallow sources, effectively suppressing isolated 136 surface noise sources. The interpolation also removes some of the effects caused by isolated 137 noise sources, each recorded by a few sensors (Figure 3b). Downward-continuation assumes the 138 wavefield is composed only of vertically propagating energy, which is useful for removing surface 139 waves, and for focusing vertical energy onto deep sources. These effects are clearly demonstrated 140 in Figure 3c, which shows that most of the isolated surface noise-sources were de-focused, whereas 141 energy from the deep earthquake is focused onto the source. 142

Following downward-continuation, I2015 and I2016 enveloped the data and back-projected 143 them onto the volume beneath the LB array. The statistical attributes of the back-projected 144 image maxima were analyzed. I2015 and I2016 found that the statistical distribution of the 145 post-downward continued back-projection images containing newly identified tectonic sources 146 was significantly different from the one associated with back-projection images of post-downward 147 continued non-tectonic sources. The former follows a power-law distribution, while the latter 148 follows a Gumbel distribution. That separation facilitated the discrimination stage. I2015 and 149 I2016 declared a detection if the maximum amplitude of the back-projection image exceeded 5 150 times the Median Absolute Deviation (MAD) of the amplitude of the back-projection images 151 around the detection time. Using this detection threshold and the cumulative probabilities of 152 the signal and noise back-projection images, I2015 found the false detection rate to be 2×10^{-3} 153 per night. 154

Y2021 took a different approach for discriminating coherent seismic sources from noise sources in dense array recordings, which they refer to as Trace Randomization (TR). To test for the presence of a tectonic signal, the TR scheme spatially redistributes envelopes of the array seismograms by assigning them random positions within the array. The TR-detection criteria is based on the degree of back-projected energy reduction due to the randomization, derived from the ratio between the pre- and post-randomized maximal back-projected energy amplitudes as:

$$R = 1 - \frac{E^{post}}{E^{pre}},\tag{1}$$

where E^{pre} and E^{post} are the pre- and post-TR maximal energy levels, respectively. Neglecting 162 random uncorrelated noise fields which occasionally give rise to $E^{post} > E^{pre}$, Y2021 proposed an 163 *R*-based detection criteria, applied to windows with $E^{pre} > 5 \times MAD(E^{pre})$ around the detection 164 time. According to that scheme, uncorrelated noise sources should exhibit $R \sim 0$, while coherent 165 tectonic sources should exhibit $R \sim 1$. Thus, the statistical properties of a distribution of 166 *R*-values computed over multiple time windows, would allow one to discriminate between deep. 167 temporally-isolated coherent sources to shallow uncorrelated noise sources common in continuous 168 urban dense array data. 169

Given that Y2021 found only a few deep NIF earthquakes, it is instructive to characterize 170 the LB and ELB array's capacity for detecting small-magnitude events in the pre-downward 171 continued data. We do that by employing the TR scheme on LB array data containing signals 172 from deep earthquakes occurring along the NIF. Many of the NIF earthquakes, which are located 173 directly beneath the LB and ELB arrays, exhibit poor surface SNR. Some of the events, however, 174 may be identified on the filtered pre-downward-continued array data. An example is shown in 175 Figure 4, which presents LB array data containing 5 earthquakes recorded during March 2011, 176 whose magnitudes were between 0 and 0.2, and whose focal depths were found to lie between 177

15 and 20 km. The top row shows the amplitudes of ground-velocity envelopes, computed by 178 filtering the seismograms between 2 and 10 Hz, squaring, and smoothing using a 0.1 s running 179 median window. The traces are ordered with respect to the hypocentral distance obtained by 180 I2016. For each trace we compute the P-wave train SNR by taking the ratio between the mean 181 energy in a 2 s window around the P-wave arrival to the mean in the 6 s preceding the event. 182 Panels a to e show the amplitudes for traces with SNR>1, totaling about 40% of the array's 183 recordings. The seismic arrivals are clearly observed between 33 and 38 s in each of the record 184 sections (see also Figures S1-S5). The panels on the bottom row in Figure 4 show the distribution 185 of the SNR as a function of the sensor location. Note that in a few cases (e.g. panel f and i), the 186 epicenter is located near a cluster of high SNR traces. However, the surface detection pattern 187 is generally not well correlated with the epicentral location, which complicates the detection 188 procedure. For the earthquakes shown in Figure 4, the array-averaged SNR are between 1.02 189 and 1.06, within a few percent of the median SNR of LB events occurring below 15 km. Thus 190 these 5 events represent the SNR conditions of many of the deep earthquakes in the LB catalog. 191 We used the relation in Equation 1 to compute the *R*-values for the time windows containing 192 the arrivals in the seismograms shown in Figure 4a-e, and found that R varies between 0.01 193 and 0.2 for these five events. Y2021 state that time-windows they associated with noise had 194 R > 0.2735, which is considered here as a threshold above which the Y2021 scheme would declare 195 a positive detection. Note that the R^{LB} -values calculated for the events in Figure 4 are lower 196 than the threshold of Y2021 for the ELB dataset. Note also, that because of its smaller aperture. 197 the R^{ELB} associated with arrivals as the ones shown in Figure 4 is expected to be smaller than 198 R^{LB} . The strong presence of noise in the pre-downward continued ELB data and the conservative 199

detection criterion may explain why Y2021's scheme have missed many events below the ELB array.

Synthetic Tests for Characterizing the Effects of the Signal-to-Noise Ratio and Array Aperture on Source Discrimination

To examine why the LB back-projection energy reduction may sometime tend to 1 (i.e. R = 0) 202 for seismograms containing tectonic signals correlated among 40% of the array's sensors, and to 203 assess how the *R*-values are influenced by the array's aperture and SNR levels, we applied a series 204 of tests using two synthetic datasets. In the first set of tests, we generate synthetic seismograms 205 assuming a population of sources whose numbers exponentially decay with depth below 4 km, 206 similar to the source depth distribution in the LB catalog compiled by I2016. For each source, 207 we compute R^{ELB} and R^{LB} for a monochromatic 5-Hz input signal modulated by an envelope 208 whose amplitude decays exponentially with time over a time scale of a few seconds, and which 209 propagates in a uniform velocity model. The spectral content of the synthetic signal is selected 210 based on NIF earthquake seismograms analyzed by I2015 and I2016. We add white noise to 211 the seismograms such that their SNR is smaller than one, similar to urban dense-array datasets. 212 In the second set of tests, we compute R using traces containing uncorrelated random noise. 213 The results are presented in Figure 5. The blue curve in panel a shows the value of R^{ELB} as a 214 function of source depth. Note that R-values are depth-dependent, such that larger values are 215 systematically associated with sources at shallow depths, which implies that an R-based detector 216 may miss deep seismic events. This depth bias is only slightly reduced by increasing the aperture 217 of the array, as shown by the red curve in Figure 5a, which indicates R^{LB} values as a function 218 of source depth. Note that, since $R^{LB} > R^{ELB}$, the TR-based detection statistics obtained for 219 the ELB geometry by Y2021 do not apply straightforwardly to the LB array geometry. Also, the 220

value of R computed for the March 2011 earthquakes shown in Figure 4 is considerably smaller 221 than the synthetic value, which likely reflects the poor SNR conditions (i.e. array-averaged SNR) 222 of the LB array data. However, this does not affect the trend with depth shown in Figure 5a. 223 The results presented in Figure 5 provide further insights on the importance of the array 224 aperture for source discrimination. That discrimination scheme is most effective for sources 225 associated with a large scatter of the inter-array time delays, a requirement that is met when 226 the array aperture is close to or larger than the source depth. When the aperture-to-source-227 depth ratio is large, TR is expected to significantly decrease E^{post} relative to E^{pre} , thereby 228 providing a reliable detection statistic. For the LB and ELB arrays, this condition applies to 229 events occurring above approximately 8 km and 12 km, respectively. On the other hand, when 230 the aperture-to-source-depth ratio is much smaller than one, the range of inter-array time delays 231 ("normal moveout") tends to zero, thereby reducing the discriminative power of the array. The 232 discriminative power can be parametrized by the array's time-delay Median Absolute Deviation 233 $(MAD_{\Delta t})$, the value of which is dependent on the array aperture and source depth, as well as 234 on the SNR and the time delay resolution. In general, $MAD_{\Delta t}$ decreases with source depth, 235 with faster decrease rates for small-aperture arrays (Figure 6). Thus, for very small arrays or 236 very deep sources, we expect $MAD_{\Delta t} \rightarrow 0$. The narrow range of time-delays obtained in these 237 situations is expected to yield *R*-values close to zero, and therefore cause the detector to miss 238 some weak events. 239

The array's discriminative power is also affected by the SNR. For poor-SNR signals, the ratio E^{post}/E^{pre} can occasionally be significantly smaller than one, which may result in a false detection. To illustrate this effect, we indicate in Figure 5 the R^{ELB} -value reported by Y2021, and the one obtained in this study by the dashed and yellow vertical lines, respectively. Note that

Y2021's R^{ELB}-value was computed using thousands of time windows passing their initial detec-244 tion criteria, whereas the R^{ELB} reported here is a depth-averaged value computed using only 245 windows containing a coherent synthetic source, yet the two values closely match. Since most of 246 the windows Y2021 used for computing R^{ELB} likely do not contain a tectonic signal, this result 247 suggests the *R*-based scheme may not be suitable for discriminating deep sources. We find this 248 issue repeats when the approach is applied to data containing random uncorrelated noise. For 249 this type of input, the fluctuations around the mean value of R can be quite large, and are gener-250 ally dependent on factors such as the sampling interval and the envelope calculation method. For 251 the commonly used nth-root stacking [e.g. Rost and Thomas, 2002, with n=3], the average value 252 of R is close to 0, as expected for records containing only uncorrelated random noise. However, 253 after neglecting cases in which R < 0, we find that 34% the windows have 0 < R < 0.3 and 13% 254 of the windows have 0.3 < R < 0.6 (see dark and light-grey rectangles in panel 5a), within the 255 range of results from tests containing a coherent source (blue curve in Figure 5a). In fact, the 256 range of depths allowing for reliable source discrimination on the pre-downward migrated ELB 257 array is limited to the upper 8 km, since the statistics for deeper sources are not significantly 258 different from the ones associated with a random noise field. 259

Thus far, we have estimated the detection sensitivity to the array aperture and SNR. Next, we estimate the source depth error by comparing the source depth obtained from back-projecting the LB signal envelopes to the input source depth, after adding white uncorrelated noise. The noise amplitude is uniformly distributed over the range between -0.8 and 0.8 times the maximum envelope amplitude. The results are presented in Figure 5b,c, which shows the distribution of source depth discrepancies and the depth error as a function of input LB source depth. We find that the source depth error is about 2 km, consistent with the results of synthetic tests presented

by I2016. In addition, for the range of source-aperture-to-source-depth ratios examined here, 267 we do not find that the depth error correlates with the source depth. This suggests that the 268 dominant factor limiting accurate source depth determination is the array aperture, assuming 269 the sources lie within the array's footprint, and that their signals exceed the noise level. Thus, 270 resolving the depth of earthquakes occurring beneath the array may be obtained by a subset of 271 the array's sensors, given that (1) the source-depth-to-array-aperture ratio is smaller than about 272 2, and (2) the SNR is larger than 1. We test the validity of this statement by using synthetic 273 tests presented in the next section. 274

Spatial Resolution Analysis

The results presented in the previous section show that the *R*-based detector is likely to miss 275 low-SNR signals excited by deep tectonic sources. Because of its smaller aperture, the number of 276 events missed by the ELB array is expected to be larger than the number missed by the LB array. 277 Once a signal has been positively detected, however, its location accuracy is dependent on the 278 SNR and array geometry. Given the LB SNR levels, its vertical location resolution is about 2 km 279 (Figure 5b). To characterize the source imaging resolution, we use Point Spread Functions (PSF), 280 which describe the effect of the imaging system on the imaged object [e.g. Lecomte et al., 2015; 281 Nakahara and Haney, 2015]. The degree of source resolution and illumination may be derived 282 from basic principles of ray theory, by considering the density of source-to-array raypaths. In 283 this framework, a well illuminated source is defined as one for which ray paths cover a large 284 fraction of the focal sphere. In an isotropic medium, the wavenumber vector is at any point 285 perpendicular to the wavefront, and thus its orientation and amplitude in the source region may 286 be used to determine the source image spatial resolution. For a source at location i imaged by 287 a station at location i, the local wavenumber vector is defined by the projection of the source 288

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$$k_{ij}^{local} = \omega \cdot S_{ij},\tag{2}$$

where ω represents the angular frequency, and S_{ij} is the local slowness vector, which is parallel to 291 the ray connecting the i'th source with the i'th station. In practice, each frequency component 292 is weighted by the source spectra, and as a result, wideband sources are expected to be better 293 resolved than narrowband sources. The spatial resolution is also dependent on the aperture of the 294 array. Increasing the array aperture will increase the local wavenumber density, which improves 295 the illumination and enhances the imaging resolution. The PSF is obtained from k^{local} after 296 weighting by the source spectra by summing over available source-to-array ray paths, and then 297 taking the inverse spatial Fourier transform. The advantage of this approach is that it allows 298 us to compute PSFs that are independent of the noise, and ensures that the spatial variability 299 of urban noise levels [Riahi and Gerstoft, 2015; Inbal et al., 2019] does not affect the resolution 300 estimates. 301

To quantify the spatial resolution and analyze its dependency on the source depth, we compute 302 the PSF for the LB and ELB array geometries. As input, we use the spectra of the envelope 303 of the 5 Hz exponentially decaying sine function discussed in the previous section. Equation 304 2 is solved assuming a uniform velocity model of 3.5 km/s, neglecting the effects of scattering 305 on the PSF [Lecomte et al., 2015]. Figure 7 presents the spatial resolution for shallow (10 km; 306 panels a,b) and deep (20 km; panels c,d) sources. In the absence of noise in the input data and 307 velocity model, the only effect reducing the source depth resolution is the limited aperture of 308 the array, which is manifested by the smearing of the PSFs along the depth axis. This affects 309 the ELB and LB array differently, and is most noticeable for sources located below 12 km, for 310 which the vertical resolution of the ELB degrades rapidly with depth. To illustrate this effect, 311

we present in Figure 7e the vertical resolution scale, defined as the vertical extent over which the 312 PSF value decreases down to 80% relative to the maximum PSF value at the focal point. For 313 shallow sources (< 10 km), both arrays can well resolve sources located less than 1 km apart. 314 However, the limited aperture of the ELB array yields images whose resolution power at large 315 depths is reduced relative to the LB array. Events located at depths larger than about 20 km 316 are not well resolved by the ELB array, but may be resolved by the LB array. This effect is an 317 outcome of a modestly wider aperture (both in the NS and in the EW direction; see Figure 1) 318 of the LB array relative to the ELB array. 319

We also investigated the effects of downward-continuation [Gazdaq, 1978] of the wavefield 320 on the vertical resolution. Reducing the vertical separation by wavefield extrapolation has the 321 desired effect of increasing the MAD_{Δt}. The direct consequence is a significant increase in the 322 vertical resolution scale. This is illustrated by the dashed curve in Figure 7e, showing the vertical 323 resolution for the LB array after wavefield extrapolation down to 5 km depth. For the deepest 324 events located below 25 km, downward continuation may improve the vertical resolution by as 325 much as 40%. Note that these estimates provide a lower bound on the improvement in the 326 resolution. The SNR may be improved prior to conducting downward continuation by applying 327 plain-stack (i.e. setting the array's time delays equal to zero) of small sub-arrays within the 328 LB array, which tends to de-amplify surface waves generated by shallow sources Figure (3a). 329 Additionally, downward continuation further de-amplifies such arrivals (Figure 3b), and is thus 330 expected to improve the vertical resolution relative to what is shown in Figure 7e. 331

Recent studies suggest the dramatic increase in the spatial sampling of the seismic wavefield provided by state-of-the-art seismic imaging systems may help improve earthquake detectability and hence refine existing catalogs [*Inbal et al.*, 2019; *Lellouch et al.*, 2021; *Mesimeri et al.*, 2021; Arrowsmith et al., 2022]. For example, Inbal et al. [2019] evaluated the earthquake location accuracy achieved by dense noisy smartphone arrays. They found that back-projecting only 0.5%of the available smartphone-derived seismograms in the LA area would allow detection of events with M~1, approximately one magnitude unit below the catalog magnitude of completeness in that region. This smartphone-user density was required in order to enhance the SNR of smartphone-recorded signals due to M~1 earthquakes. However, it is not clear what is the minimum density required in order to resolve the location of back-projected signals that standout

³⁴² of the noise level.

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Next, we use a bootstrap analysis to assess the sensitivity of location estimates of signals with 343 SNR>1 to the density of the array. To do that, we compute the PSF for the LB configuration 344 by using only 1% of the available LB sensor positions, which we refer to as the sparse array 345 configuration. For each input source depth value, we generate 100 sparse configurations randomly 346 selected from the LB array sensor locations. The results are presented in Figure 7e, which 347 shows the average resolution of the vertical location of the source for the sparse array dataset. 348 Remarkably, we find that the sparse configuration is almost as effective as the dense configuration 349 for resolving earthquake-like signals with SNR>1 located beneath the array. Moreover, we find 350 that the resolution on deep (>15 km) sources obtained by using the sparse configuration exceeds 351 the resolution of the 2500-sensors ELB array for sources lying at this depth range. Thus, an array 352 whose dimensions are comparable to the LB array, but which contains only a small number of 353 sensors, can be used to locate signals excited by deep tectonic events if they exceed the ambient 354 noise level, and occur within the array's footprint. The logic also applies to the local seismic 355 network operating in the LA area, whose inter-sensor distances are of the order of 10 km. Back-356

³⁵⁷ projecting signals recorded by this network onto the NIF fault may help obtain robust locations,
³⁵⁸ and reduce the local catalog's magnitude of completeness [*Inbal et al.*, 2023].

Summary

We examine the depth resolution of dense seismic arrays for sources lying beneath the array. We 359 find that the parameter controlling the resolution power is the source-depth-to-array-aperture 360 ratio and the source's bandwidth. The source-array geometry effect on the resolution can be 361 parameterized by the MAD of the inter-array time delay distribution, which is sensitive to modest 362 changes in the aperture. The LB array maximum aperture is only 20% larger than the ELB array 363 maximum aperture, yet its source depth resolution for deep (>20 km) events is improved by about 364 a factor of two (Figure 5), which indicates that small changes to the array geometry may yield 365 significant improvement to the resolution power. In addition, we find that using only 1% of the 366 LB array sensors does not significantly affect the depth resolution of signals with SNR>1, given 367 the sensor subset maintains an aperture close to aperture of the entire array. 368

We use synthetic tests to evaluate the performance of the TR -based approach of Y2021. We 369 find that this scheme is sensitive to the array aperture, and is expected to detect more shallow-370 depth events than deep events. This sensitivity also suggests the results obtained by Y2021 for 371 the ELB dataset may not straightforwardly apply to the LB dataset. In addition, the TR-based 372 scheme may sometimes classify a random noise field as a tectonic signal. This is demonstrated 373 in the following manner: if we assume the input source depths are exponentially distributed and 374 truncated below 35 km, and that all time windows contain arrivals from no more than a single 375 earthquake, then we find the mean R^{ELB} -value equal to 0.278 (dashed curve in panel 5b). This 376 value is almost identical the R^{ELB} value computed by Y2021 for noise-dominated time windows. 377

The factors promoting earthquake nucleation below the seismogenic zone remain poorly re-378 solved. Earthquakes are the result of stick-slip frictional instabilities that occur due to brittle 379 fracture of rock, a behavior that is strongly dependent on the ambient pressure-temperature, 380 lithology, strain rate, and pore pressure. In southern California, the maximum depth of seis-381 micity largely coincides with the 400°C isotherm [Bonner et al., 2003; Hauksson, 2011]. That 382 correlation is thought to manifest thermal effects on the rheology, with the deep termination of 383 seismicity corresponding to the onset of plastic yielding in Quartz-rich rocks [e.g. Scholz, 2002]. 384 Clusters of deep events are common in thick-crustal, rapidly-deforming regions, where the local 385 isotherm is depressed downwards due to lower-than-average heat-flow [Bonner et al., 2003], or 386 where faults cut through mafic lithology [Magistrale and Sanders, 1996; Magistrale, 2002], which 387 tend to exhibit brittle behavior at larger depths. The NIF events are an exception to this rule. 388 They represent some of the deepest earthquakes in California, yet they occur on slowly deforming 380 faults cutting through the thinnest crust in California, whose associated heat flow is close to the 390 regional average. Thus, the width of the seismogenic zone along the NIF challenges our under-391 standing of the processes responsible for earthquake rupture. Since the maximum earthquake 392 magnitude for a given fault is a function of its width, the seismicity depth extent also bears 393 strong implications for seismic hazard in the LA urban area. 394

³⁹⁵ Data and Resources. The Southern California Earthquake Data Center earthquake catalog ³⁹⁶ is available at the following doi: https://scedc.caltech.edu. The LB seismicity catalog is from ³⁹⁷ Inbal et al. [2016], and the ELB seismicity catalog is from Yang et al. [2021]. The raw LB data ³⁹⁸ are protected by a license agreement with Signal Hill Petroleum, and will be provided by the ³⁹⁹ authors upon request. Acknowledgments. We thank three anonymous reviewers for constructive remarks that greatly improved the quality of this manuscript. This research was supported by ISF grant #1802/22.

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X [km]

Figure 1. Location map. Red and blue triangles indicate the locations of the LB and ELB array sensors, respectively. The thick black line shows the location of the Newport-Inglewood Fault. Lines A-A' and B-B' refer to depth cross-sections shown in Figure 2. Thin black line marks the coastline. Inset map shows the location of Long Beach within the state of California. Abbreviations: NIF: Newport-Inglewood Fault, LB: Long Beach, CA: California.



Figure 2. LB seismicity and Moho depth cross-sections. Earthquake densities from the LB array [Inbal et al., 2016] are shown in shades of red. Black and blue crosses indicate the locations of earthquakes found in the regional Southern California Earthquake Center seismicity catalog covering the period between 2005 and 2024, and in the match-filter-based catalog of Ross et al. [2019] (QTM) covering the period between 2008 and 2018, respectively. Size of crosses corresponds to the location uncertainty. Solid and dashed curves are for the Moho depth [Clayton, 2020], and the Newport-Inglewood Fault, respectively. Red stars in panel b are for the locations in Yang et al. [2021]'s ELB catalog. The location of the cross-sections are shown in Figure 1. a. LB cross-section. b. ELB cross-section.



Figure 3. Example of interpolation and downward-continuation of the LB array data. Input data are the LB array amplitudes for the *M*0.1 of March 16, 2011. Event depth was determined by I2016 to be 17 km (see Figure 4a,f). Amplitudes are normalized with respect to the mean array amplitude in each panel. Star indicates the epicentral location. Crosses in panel b highlight strong surface noise sources whose amplitude was decreased by de-focusing during the downward continuation stage. (a) LB array data filtered between 2 and 8 Hz. (b) LB array data after sub-array stacking and interpolation. (c) LB array data after downward continuation to a depth of 5 km.



Figure 4. Seismograms recording arrivals from earthquakes occurring during March 2011 beneath the LB array. Top row shows the 2 to 10 Hz envelope amplitudes as a function of time for 2000 traces with SNR>1. Bottom row shows the distribution of the maximal amplitudes relative to the pre-event noise as a function of location. The star indicates the epicentral location. Day of detection, magnitude and depth are as follows: a,f March 16, 2011, *M*0.1, 17 km ; b,g March 18, 2011, *M*0.1, 17 km ; c,h March 8, 2011, *M*0.06, 16 km ; d,i March 15, 2011, *M*0.2, 16 km ; e,j March 5, 2011, *M*0.07, 19 km.



Figure 5. Synthetic tests for source discrimination using Trace Randomization. a. The back-projected energy reduction as a function of the input source depth. Solid lines indicate the level of energy reduction (defined in Equation 1), for synthetic tests in which the input source depths are exponentially distributed (as in the inset histogram), with blue and red colors for the ELB and LB array, respectively. Dashed vertical line indicates the mean back-projected energy reduction for the ELB data reported by *Yang et al.*, 2021, and the yellow line indicates the depth-averaged back-projected energy reduction we obtain for the ELB array. Dark and light grey rectangles indicate the 1- and 2-sigma intervals around the mean stack energy reduction for the 5 NIF earthquakes shown in Figure 4. b. The distribution of source depth error for the LB array. c. The LB source depth error as a function of the source input depth.



Figure 6. The median absolute deviation of the inter-array time delays as a function of the source depth. Black, blue, and red curves are for 1, 5, and 12 km array apertures, respectively. Travel times are calculated assuming a uniform velocity equal to 3.5 km/s.



Figure 7. Resolution analysis. a-d. Point spread functions computed for an input source located at depth of 10 km (panels a,b) and 20 km (panels c,d). Vertical lines indicate the vertical resolution, defined as the length scale over which the resolution power decreases down to 80% of the maximum. a,c. ELB array. b,d. LB array. e. The vertical resolution scale as a function of source depth. Blue and red solid curves are for the ELB and LB array, respectively. Dashed red curve indicates the LB array vertical resolution after downward continuation. Black solid curve indicates the vertical resolution obtained using 1% of the LB array sensors.