The Spatial Distribution of Charge Exchange Loss Contributions to Storm Time Ring Current Decay: Van Allen Probes Observations

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Abstract

Charge exchange between hot ions and cold neutral atoms is an important effect in ring current loss processes on magnetized planets. In this letter, we investigate the spatial distribution of charge exchange loss contributions to terrestrial ring current decay using data from the Van Allen Probes. These contributions were calculated by dividing local energetic neutral atom energy density escape rates by local ring current energy density decay rates. The results exhibit clear MLT and L dependence, with larger contributions observed nightside during the recovery phase of geomagnetic storms. The contribution of H+; peaked at L⁻⁴ during early recovery phases and was stronger at higher L shells during late recovery phases, while O+; decreased slightly with L shell. Possible explanations for this inhomogeneous distribution are also discussed. The asymmetric exospheric hydrogen density distribution may cause the inhomogeneous distribution in the MLT, while the L dependence may be related to the charge exchange cross-section and the ion energy flux. These results provide the first spatial distribution of charge exchange contributions, which is helpful for understanding local terrestrial ring current evolution.

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21 Abstract: Charge exchange between hot ions and cold neutral atoms is an important effect in ring current loss processes on magnetized planets. In this letter, we investigate 22 23 the spatial distribution of charge exchange loss contributions to terrestrial ring current decay using data from the Van Allen Probes. These contributions were calculated by 24 25 dividing local energetic neutral atom energy density escape rates by local ring current 26 energy density decay rates. The results exhibit clear MLT and L dependence, with larger contributions observed nightside during the recovery phase of geomagnetic 27 storms. The contribution of H⁺ peaked at L~4 during early recovery phases and was 28 29 stronger at higher L shells during late recovery phases, while O⁺ decreased slightly with L shell. Possible explanations for this inhomogeneous distribution are also discussed. 30 The asymmetric exospheric hydrogen density distribution may cause the 31 32 inhomogeneous distribution in the MLT, while the L dependence may be related to the charge exchange cross-section and the ion energy flux. These results provide the first 33 spatial distribution of charge exchange contributions, which is helpful for 34 35 understanding local terrestrial ring current evolution.

36 **1. Introduction**

A critical part of the global system on most magnetized planets (e.g., Earth, Jupiter, and Saturn) involves a current carried by magnetospheric charged particles flowing in the azimuthal direction near the equatorial plane, often referred to as the "ring current" (Sergis et al., 2018). The terrestrial ring current consists of ions with energies ranging from a few keVs to several hundred keVs, flowing toroidally around the Earth at distances of roughly 2-8 R_E (Daglis et al., 1999). Severe perturbations can be induced in this ring current by solar winds, called geomagnetic storms (Gonzalez et al., 1994). On
Jupiter, injection-like phenomena caused by solar winds can occur in clusters, called
"energetic events" (Louarn et al., 2000), that are likened to magnetic storms on Earth
(Mauk et al., 1999). Similar particle injections also occur on Saturn (Mauk et al., 2005).
The addition and loss of ions is critical to the evolution of ring currents on most
magnetized planets.

Charge exchange is a common loss process for magnetized planets. On Earth, 49 50 charge exchange between the ring current energetic ions and cold neutral hydrogen 51 from the exosphere have been assumed to be the primary contributors to storm time 52 terrestrial ring current degradation (Daglis & Kozyra, 2002; Fok et al., 1993; Jorgensen 53 et al., 2001; Keika et al., 2006). On Saturn, charge exchange occurs between hot ring 54 current ions and cold neutral gases from Enceladus (Mauk et al., 2005). On Jupiter, cold neutral atoms originate from gases sputtered by Io (Mauk, 2020; Smith et al., 55 2019) and Euorpa at $R = 9.5 R_J$ (Mauk, 2004; Mauk et al., 2003). 56

57 Additional loss processes proposed on Earth include: precipitation into the ionosphere due to pitch angle scattering by electromagnetic ion cyclotron (EMIC) 58 59 waves (Jordanova et al., 2006; Jorgensen et al., 2001) or by field line curvature (FLC) (Ebihara et al., 2011; Young et al., 2008), outflow of energetic ions from the 60 61 magnetosphere through the magnetopause (Keika et al., 2005; Liemohn et al., 2001), and Coulomb collisions between hot ring current ions and plasmaspheric electrons (Fok 62 63 et al., 1995). On Jupiter and Saturn, losses are also associated with scattering by waves of particles into the loss cone (Mauk, 2020). 64

65 While various loss mechanisms have been proposed, few studies have statistically investigated the spatial dependence of loss process contributions, which could provide 66 67 valuable insights into the different roles of loss processes at specific spatial positions. 68 As such, in the present study, we estimate local charge exchange energy losses for the 69 terrestrial ring current (H^+ and O^+) and statistically examine their contributions to local 70 current decay. Charge exchange loss is typically measured by energetic neutral atoms 71 (ENAs), which are products of charge exchange processes (Fahr et al., 2007; Wing et al., 2020). ENAs are no longer trapped by the magnetic field, carrying significant 72 73 amounts of energy escaping from the magnetosphere, thereby reducing the energy of 74 ring currents on magnetized planets (Cheng, 1986; Keika et al., 2006; Mauk et al., 75 2003). ENA energy was simulated in this study using the model of Keika et al. (2011), 76 based on differential ion flux data from the Van Allen Probes. To the best of our 77 knowledge, this is the first statistical investigation of the spatial distribution of charge exchange loss contributions for both H^+ and O^+ . 78

79 2. Data and methodology

80 2.1 Data

This study utilized ion data (Level 3) from the RBSPICE and HOPE instruments onboard the Van Allen Probes, which consist of two sun-pointing, spin-stabilized spacecrafts (A and B) in a highly elliptical, 9-hour, near-equatorial orbit with perigee at 1.1 R_E and apogee at 5.8 R_E. The HOPE (Funsten et al., 2013) and RBSPICE (Mitchell et al., 2014) instruments provide measurements of ion flux (H⁺ and O⁺) with energies of 1–50 keV and >50 keV, respectively. RBSPICE provides measurements of

oxygen ions without differentiating charge states, but O⁺ should dominate. The data 87 products we used from the RBSPICE instrument include: PAP_TOF×EH and 88 PAP_TOF \times EO products acquired from the TOF \times E measurements, and 89 PAP TOF×PHOHELT data obtained from the TOF×PH measurements. The TOF×E 90 products included H⁺ ion flux with energies of 55–600 keV and O⁺ data for the 183– 91 92 665 keV range, while the TOF×PH products included O^+ ion flux with energies of 53– 169 keV. The type of the ion fluxes we used was the omni-directional differential ion 93 flux observed for each energy channel. All HOPE data were multiplied by 2 to match 94 95 the RBSPICE measurements. The cross-calibration of these instruments is detailed on the LANL website (http://rbsp-ect.lanl.gov/rbsp_ect.php), wherein 87% of the data 96 points agree to within a factor of 2 for protons on RBSPs. The spacecraft orbit 97 98 completely covered the MLT and L range (L=3-5.5) between January 2013 and 99 December 2016, making the data appropriate for spatial distribution studies. 100 The observation period exhibited 18 magnetic storms with an SYM-H index

100 The observation period exhibited 18 magnetic storms with an SYM-H index 101 minimum smaller than -100nT and 61 storms with an SYM-H index minimum 102 between -100nT and -50nT. Each storm was analyzed visually and the start (minimum 103 of the SYM-H index) and end (up to pre-storm level, no less than -20nT) times for the 104 recovery phase were recorded. Early and late recovery phases were divided visually 105 using the SYM-H index recovery rate, when the slope changed significantly.

106 **2.2 Methodology**

Local charge exchange energy loss rates were estimated using simulated ENAscalculated using the modified method of Keika et al. (2006; 2011). In these studies, the

109 distribution was assumed to be isotropic and the total ENA energy escaping from the 110 spherical surface per unit time $(\frac{dQ}{dt})$ was given by:

111
$$\frac{dQ}{dt} \approx 4\pi \sum_{i} E_{i} \Delta E_{i} \int \sigma_{i}^{10} n_{H} J_{i}^{ION} dV, \qquad (1)$$

In our study, we assumed the spherical surface was infinitely small at the observedposition of interest. The corresponding ENA energy density escape rate was then:

114
$$\frac{dD}{dt} \approx 4\pi \sum_{i} E_{i} \Delta E_{i} \sigma_{i}^{10} n_{H} J_{i}^{ION}, \qquad (2)$$

115 where σ^{10} is the charge-exchange cross section calculated by Lindsay and Stebbings 116 (2005), n_H is the geocorona density calculated by Rairden et al. (1986) and Cson 117 Brandt et al. (2002), J^{10N} is the omni-directional differential flux of ions from the Van 118 Allen Probes observations, and $E_i(\Delta E_i)$ is the geometrical mean energy (the energy 119 band width) of the i^{th} energy step.

Ring current energy density decay rate at the observation point was calculated as the decrease in energy density between two adjacent sampling points divided by the sampling interval. Energy density was given by:

123
$$\varepsilon = 2\pi \sum_{i} \sqrt{2mE_{i}} J_{i}^{ION} \Delta E_{i}, \qquad (3)$$

124 The differential mean value theorem suggests the decay rate can be replaced by:

125
$$\frac{\varepsilon(t_2) - \varepsilon(t_1)}{t_2 - t_1} = \varepsilon'(t) \qquad t \in (t_1, t_2), \tag{4}$$

where $\varepsilon(t_1)$ and $\varepsilon(t_2)$ are the calculated energy densities at two adjacent sampling points and $\varepsilon'(t)$ is the slope of a linear fit to the data. The window length for this fit is 7.5 minutes, during which the distance traveled by the probes did not exceed 0.2 MLT×0.2 L.

130	The results of equation (4) included both spatial variations for the spacecraft and
131	temporal variations. Thus, to exclude the effects of orbital displacement, a correction
132	was made using average energy density variations with L shell, from the RBSP data
133	(observed in the L shell from 2013 to 2016). Four calibration curves were used for
134	specific geomagnetic conditions, including: SYM_H <-50 nT, -50 nT $<$ SYM_H $<$
135	-30 nT, -30 nT $<$ SYM_H < -10 nT, and SYM_H > -10 nT. The calibration curve was
136	subtracted from the change in energy density only if the change in the L shell exceeded
137	0.001 L in a 30s window. A detailed description of this correction process is provided in
138	the Appendix.

Finally, we can determine the charge exchange loss contribution at any given location by dividing the ENA energy density escape rate by the ring current energy density decay rate.

142 **3. Results**

A case study from an April 11–17 (2014) storm is shown in **Figure 1**, to illustrate 143 the calculation of charge exchange contributions. A period of 1.5 hours was selected 144 145 during the early recovery phase, as indicated by the two black lines in Figure 1a. Figures 1b-1f show corresponding results for this period. The SYM_H index increases 146 147 with time during the recovery phase of the storm, while the energy density decreases, as shown in **Figure 1c**. **Figure 1d** displays the change rate for the energy density. The blue 148 149 line represents the change for two adjacent sampling points, divided by the sampling interval. The red line shows the two-step correction to the blue line results. First, the 150 slope of a linear fit line was used to replace the blue line result, as shown in Equation 151

(4). Second, changes in energy density caused by variations in spacecraft location were
subtracted using the calibration curve, according to the instantaneous SYM-H index for
each observed time. This produced the corrected energy density decay rate (the red line
result).

The charge exchange energy density loss rate (ENA energy density escape rate), calculated using Equation (2), is shown in **Figure 1e**. The blue and red lines correspond to H^+ and O^+ , respectively. **Figure 1f** shows the charge exchange loss contribution, calculated by dividing the result of **Figure 1e** by that of **Figure 1d**. It is evident the charge exchange contribution of H^+ is higher than that of O^+ . In addition, the satellite orbit during this period is at 11–13 MLT (the area with the lowest charge exchange contribution), as seen in the statistical results of **Figure 3c**.

163 The spatial distributions of the results for each charge exchange contribution calculation step, during the storm recovery phase from January 2013 to December 2016, 164 were investigated statistically. The results are provided in polar MLT-L coordinates in 165 166 Figure 2. The equatorial plane was divided into 0.5MLT×0.5L grids, in which the color represents an average over all points in the grid. The Spatial distributions of ENA 167 energy density escape rates, calculated using Equation (2) for H^+ and O^+ during the 168 early recovery phase, are shown in **Figures 2a** and **2b**, while those of the late recovery 169 phase are shown in Figures 2e and 2f. Note the ENA energy density escape rate is 170 larger at nightside than at dayside for both H^+ and O^+ . In addition, the O^+ rate is larger at 171 lower L shells, while that of H^+ is largest at L~4. Figures 2c and 2g show spatial energy 172 density distributions in agreement with previous studies (Daglis et al., 2003; Ebihara & 173

Ejiri, 2000; Fok et al., 1996; Le et al., 2004; Liemohn et al., 2001). Ring current energy
density is asymmetric during the early recovery phase, as shown in Figure 2c, but its
MLT distribution becomes symmetric in late recovery phases, as shown in Figure 2g.
However, the decay rate MLT distributions are nearly symmetric throughout the
recovery phase, as shown in Figures 2d and 2h, with larger values at lower L shells.

Figure 3 shows the spatial distribution of charge exchange energy density loss rate 179 contributions (percentages) to local ring current energy density decay rates for both H⁺ 180 and O⁺. Figures 3a-3d and 3e-3h show statistical results from early and late recovery 181 182 phases, respectively. The results exhibit a clear MLT dependence for both H^+ and O^+ , with larger values appearing at nightside, similar to the distribution of ENA energy 183 density escape rates shown in Figure 2. We estimated the average global charge 184 185 exchange contribution for H^+ (O^+) at nightside to be nearly twice as large as that at dayside in the early recovery phase and ~2.5 times larger in the late recovery phase. 186 This day-night asymmetry is consistent with the day-night asymmetry in the model 187 188 result for the exospheric hydrogen density distribution (Cson Brandt et al., 2002; Rairden et al., 1986), as shown in Figure 4g. 189

Average charge exchange contributions from H^+ and O^+ are shown for varying L shells ($\Delta L = 0.25$) in **Figures 3d** and **3h**. Vertical error bars indicate statistical errors, indicated by the standard deviation of all points in each grid. The blue and orange rectangles correspond to the error bars for H^+ and O^+ , respectively. **Figure 3d** shows a peak in the average charge exchange contribution from H^+ at L=4. The average contribution from O^+ decreases monotonically with L shell during the early recovery phase. In Figure 3h, the H⁺ average contribution increases monotonically with L shell
but the growth rate decreases when L>5 during the late recovery phase. The overall
trend for O⁺ is consistent across both phases.

Figure 3 also clearly indicates the charge exchange contribution from H⁺ is larger 199 200 than that of O^+ . We estimated the average global charge exchange contribution from H^+ 201 to be twice as large as that of O^+ in the early recovery phase and nearly 3 times larger in the late recovery phase, suggesting H^+ is more dominant than O^+ in energy loss 202 processes. In addition, the average contribution from O^+ during the early recovery 203 204 phase was ~1.5 times larger than that during the late phase. This is consistent with the results of Keika et al. (2006), who showed that O^+ charge exchange contribution 205 increased as Dst index decreased. Similar studies (Daglis et al., 2003; Hamilton et al., 206 207 1988) have also demonstrated that O⁺ was important in early rapid recovery phases.

208 **4. Discussion**

The L dependence of charge exchange contributions shown in Figure 3 was 209 210 investigated by examining average charge exchange cross-section and average ion energy flux varying with L shell. These important factors were calculated using H^+ and 211 O⁺ data from the Van Allen Probes, collected from 2013 to 2016. The average ion 212 213 energy (Figures 4a-4b), cross-section (Figures 4c-4d), and energy flux (Figures 4e-4f) were plotted as functions of L shell ($\Delta L = 0.25$) during both early and late recovery 214 phases. Figure 4g shows the exospheric hydrogen density distribution calculated using 215 the model of Cson Brandt et al. (2002) and Rairden et al. (1986). The blue and red 216 lines represent early and late recovery phases, respectively. Average ion energy shown 217

218 in **Figures 4a-4b** was given by:

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$$\overline{E}_{Ion} = \frac{\sum_{i} E_{i} \Delta E_{i} J_{i}^{Ion}}{\sum_{i} \Delta E_{i} J_{i}^{Ion}},$$
(5)

where J^{ION} is the omni-directional differential ion flux and $E_i(\Delta E_i)$ is the 220 geometrical mean energy (the energy bandwidth) of the i^{th} energy step. The model of 221 Lindsay and Stebbings (2005) was then used to calculate average charge exchange 222 cross-sections for H^+ and O^+ using average ion energy data, as shown in **Figures 4c-4d**. 223 The H⁺ cross-section increases monotonically with L shell while the growth rate 224 225 decreases for L>4. The average energy flux for H⁺ (shown in **Figure 4e**) was calculated 226 from the differential ion flux observed by the Van Allen Probes. It peaks at L~4, which is consistent with the results of previous studies (Keika et al., 2011; McEntire et al., 227 228 1985). These results suggest contributions should increase monotonically with L shell throughout the recovery phase. However, a decrease occurs in the early recovery phase 229 (L>4) because of a rapid decrease in average energy flux. A gradual flux decrease 230 occurs in late recovery phases (L>4), which did not reverse the trend of increasing 231 charge exchange contributions due to larger cross-section. However, this effect is 232 sufficient to limit flux increasing for L>5. Thus, we can infer that although the 233 234 cross-section is an important factor in the L-dependence of H^+ charge exchange, energy flux becomes a dominant factor for L>4. 235

Average charge exchange cross-sections for O⁺ are observed to decrease monotonically with L shell throughout the recovery phases, as shown in **Figure 4d**, which is consistent with the L dependence of O⁺ contributions. In other words, the cross-section, not the energy flux, is the dominant factor in O^+ charge exchange. In addition, contributions from O^+ are slightly larger than those of H^+ at L=3–3.5, as seen in **Figures 3d** and **3h**. Cross-section is also a dominant factor for H^+ at L<4 and is 2–3 orders of magnitude smaller than that of O^+ at L=3–3.5, as shown in **Figures 4c** and **4d**, suggesting contributions from O^+ are larger than those of H^+ .

244 Figure 3 shows a clear MLT dependence of the charge exchange contribution, including a day-night asymmetry caused by similar trends in exospheric hydrogen 245 density distributions, calculated using the model of Rairden et al. (1986) and Cson 246 247 Brandt et al. (2002). Ilie et al. (2013) determined the neutral hydrogen density 248 distribution in the equatorial plane using various geocoronal models, most of which (Bailey & Gruntman, 2011; Østgaard, 2003; Zoennchen et al., 2010) also predicted a 249 250 day-night asymmetry. Cson Brandt et al. (2002) compared two exospheric models (day-night symmetric and asymmetric), achieving more realistic plasma sheet flux with 251 a model exhibiting higher nightside exospheric hydrogen densities. Thus, day-night 252 253 asymmetry in the exospheric hydrogen density distribution may be the most plausible explanation for the similar trend in charge exchange. 254

255 **5. Conclusions**

In summary, this study presents the first statistical survey of charge exchange contribution spatial distributions for both hydrogen and oxygen ring current ions. Several conclusions are presented below. 1) A clear spatial dependence was observed. The MLT dependence of both H⁺ and O⁺ exhibited larger charge exchange contributions nightside throughout the recovery phase. For the L dependence, there was a peak H^+ contribution at L~4 during the early recovery phase, while the contribution increased monotonically with L shell but the growth rate became small for L>5 during the late recovery phase. The O⁺ contributions decreased monotonically with L shell throughout the recovery phase. 2) The contribution of H⁺ was more dominant than that of O⁺ in the charge exchange energy loss process.

Our study provides valuable insights into the role of charge exchange loss processes at different spatial positions, which is helpful for understanding local terrestrial ring current evolution. We believe that contributions from the other three terrestrial ring current decay mechanisms, introduced in **Section 1**, are also important. Their contributions to ring current decay may also be spatially asymmetric, as with the charge exchange process. However, a study of these effects is beyond the scope of this paper and is expected to be studied in a future work.

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275 Figure 1. (a) The SYM_H index during an April 11–17 (2014) storm. (b)-(f) Plots 276 during the time indicated by the two black dashed lines in Figure 1a. (b) SYM_H index. (c) Local ring current energy density sums for H^+ and O^+ , calculated using Equation (3). 277 (d) The initial result (blue line) and corrected result (red line) for ring current energy 278 279 density decrease rate. (e) ENA energy density escape rates for H^+ (blue line) and O^+ (red line), calculated using Equation (2). (f) The contribution (percentage) of ENA energy 280 density escape rate to the corrected ring current energy density decrease rate for H⁺ 281 282 (blue line) and O^+ (red line).



Figure 2. An overview of spatial distribution results during an early (a)-(d) and late
(e)-(h) recovery phase. (a), (b), (e), (f) ENA energy density escape rates for H⁺ and O⁺.
(c), (g) Ring current energy density sums for H⁺ and O⁺, calculated using Equation (3).
(d), (h) Corrected ring current energy density decay rates. All results are shown in polar
MLT-L coordinates and the value in each grid (0.5MLT×0.5L) is an average over all
points in the grid.



Figure 3. The spatial distribution of charge exchange energy density loss rate contributions to local ring current energy density decay rates, for H⁺ and O⁺, during early (a)-(d) and late (e)-(h) recovery phases. (a), (b), (e), (f) Spatial distributions in polar MLT-L coordinates. The other format is equivalent to that of Figure 2. (c), (g) Average contributions for H⁺ (solid lines) and O⁺ (dashed lines) as a function of MLT (Δ MLT=1). (d), (h) Average contributions as a function of L shell ($\Delta L = 0.25$). The error bars for early (late) recovery phases are denoted by blue (orange) rectangles.

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Figure 4. (a), (b) Average ion energy as a function of L shell ($\Delta L = 0.25$) for H⁺ and O⁺. (c), (d) Average charge exchange cross-section as a function of L shell ($\Delta L = 0.25$) for H⁺ and O⁺. Cross-sections are calculated by Lindsay and Stebbings (2005). (e), (f) Average ion energy flux as a function of L shell ($\Delta L = 0.25$) for H⁺ and O⁺. Results during early (late) recovery phases are indicated by blue (red) points. (g) The spatial distribution of exospheric hydrogen density, calculated using the model of Cson Brandt et al. (2002) and Rairden et al. (1986).

307

308 Appendix

Correction of spacecraft spatial variations. This correction was included to remove the influence of orbital displacement (primarily in the L shell direction) on energy density variations at observed positions. We first calculated the average energy density using RBSP data (from 2013–2016) under four different conditions: SYM-H<-50 nT, -50 nT <SYM-H<-30 nT, -30 nT <SYM-H<-10 nT and SYM-H>-10 nT. We then calculated the change in average energy density with L shell using calibration curves with a resolution of 0.001 L. These curves are shown for four different conditions in 316 Appendix Figure 1. Specific correction steps were as follows: (1) the observed point 317 was assumed to be on the linear fit line and was extended 15s forward and backward to calculate the change in energy density based on the linear fit. This variation is 318 considered as the change in energy density over 30 seconds. (2) The energy density in 319 this 30-second window was corrected at the observed point using the calibration curves 320 321 discussed above. Curves were subtracted from the change in energy density only if the change in L shell exceeded 0.001 in the 30-second window. (3) The decrease in energy 322 density was divided by the interval time (30 s) to produce a local ring current energy 323 density decease rate at the observed point. 324



325

326 Appendix Figure 1. (a), (b), (e), (f) Average energy density as a function of L shell 327 ($\Delta L = 0.05$) under four different geomagnetic conditions. (c), (d), (g), (h) The

328 corresponding calibration curves under four different geomagnetic conditions.

329

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Figure.













