Regulation of synoptic circulation in regional PM2.5 transport for heavy air pollution: study of 5-year observation over central China

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Abstract

The importance of regional air pollutant transport modulated by large-scale synoptic circulation has been poorly understood for air pollution. In the present study of 5-year (2015-2019) observation, we targeted the Twain-Hu Basin (THB), a region of heavy $PM_{2.5}$ pollution over central China to investigate the regulation of synoptic circulation governing regional $PM_{2.5}$ transport for heavy air pollution. It was found that regional transport of $PM_{2.5}$ predominated 65.2% of the heavy pollution events (HPEs) over the THB based on the statistics of observational environment and meteorology. By employing the FLEXPART-WRF model, the regional transport of $PM_{2.5}$ from upwind source areas in central and eastern China (CEC) to receptor region in the THB was identified with three prominent pathways in the northerly, northeasterly, and easterly directions respectively. Based on T-mode principal component analysis in conjunction with the K-means cluster method, it was recognized that three regional $PM_{2.5}$ transport pathways for the HPEs over central China were determined respectively by three patterns of synoptic circulation over CEC with 1) weak high air pressure to the north, 2) strong high air pressure to the northeast, and 3) weak high air pressure to the east, governing the cold air invasions southwards to the THB region in central China with the large contributions of 76.0%, 56.7%, and 53.9% to the THB- PM_{2.5} concentrations in the HPEs, revealing a significant modulation of large-scale synoptic circulation for regional transport of air pollutants in environmental change.

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14 Key Points:

- Regional PM_{2.5} transport presents an increasing trend over the past 5 years, dominating heavy pollution events in central China.
- Three regional transport pathways are identified to central China in the northerly,
 northeasterly, and easterly directions respectively.
- Synoptic circulation modulates regional transport in air quality change with the large contribution to PM_{2.5} over central China.

22 Abstract

The importance of regional air pollutant transport modulated by large-scale synoptic circulation 23 24 has been poorly understood for air pollution. In the present study of 5-year (2015-2019) observation, we targeted the Twain-Hu Basin (THB), a region of heavy PM_{2.5} pollution over 25 26 central China to investigate the regulation of synoptic circulation governing regional PM_{2.5} transport for heavy air pollution. It was found that regional transport of PM_{2.5} predominated 27 28 65.2% of the heavy pollution events (HPEs) over the THB based on the statistics of 29 observational environment and meteorology. By employing the FLEXPART-WRF model, the 30 regional transport of PM2.5 from upwind source areas in central and eastern China (CEC) to receptor region in the THB was identified with three prominent pathways in the northerly, 31 32 northeasterly, and easterly directions respectively. Based on T-mode principal component analysis in conjunction with the K-means cluster method, it was recognized that three regional 33 PM_{2.5} transport pathways for the HPEs over central China were determined respectively by three 34 patterns of synoptic circulation over CEC with 1) weak high air pressure to the north, 2) strong 35 36 high air pressure to the northeast, and 3) weak high air pressure to the east, governing the cold air invasions southwards to the THB region in central China with the large contributions of 76.0%, 37 56.7%, and 53.9% to the THB- PM_{2.5} concentrations in the HPEs, revealing a significant 38 39 modulation of large-scale synoptic circulation for regional transport of air pollutants in environmental change. 40

41 **1 Introduction**

PM_{2.5} pollution has aroused worldwide attention owing to its adverse effects on human 42 health (Agarwal et al., 2017; Dang and Liao, 2019), atmospheric visibility (Wang et al., 2020), 43 and direct and indirect impacts on weather and climate (Bi et al., 2016; Zhou et al., 2017; Che et 44 45 al., 2019). In recent years, high $PM_{2.5}$ levels in the ambient atmosphere during heavy air pollution events have occurred across central and eastern China (CEC), even though the Chinese 46 47 government has enacted stringent and effective measures to mitigate air pollution, such as the national-scale Air Pollution Prevention and Control Action Plan (Clean Air Plan) in 2013 (China 48 49 State Council, 2013). Comprehensively understanding the underlying mechanism for frequent heavy air pollution events is of vital importance for improving air quality. 50

51 Excessive air pollutant emissions are the primary cause of atmospheric pollution (Su et al., 52 2019., Zhang et al., 2020). After the Clean Air Plan implementation, air pollution in China

mitigated remarkably due to the effective reductions of SO₂, CO, NOx, PM₁₀ and primary PM_{2.5} 53 (Fan et al., 2020; Gui et al., 2019; K. Zhang et al., 2019; Zhong et al., 2018). In addition to air 54 pollutant emissions, meteorological conditions play critical roles in controlling the evolution of 55 air pollution events (Chen et al., 2018; Shen et al., 2020a; Zhang et al., 2013; Zhang et al., 2015). 56 Generally, air stagnant conditions of meteorology with weak or calm winds, near-surface thermal 57 inversion layer, and steady atmospheric boundary layers, can impede air pollution dissipation, 58 reinforcing air pollutant accumulations (Chen et al., 2017; Guo et al., 2017; Li et al., 2019; Ren 59 et al., 2017; Zhu et al., 2018). Furthermore, driven by strong winds in multi-scale atmospheric 60 circulations, air pollutants transported from air pollutant source regions can result in 61 deteriorating air quality over the downwind receptor regions, which is a complicated issue in 62 atmospheric environment (Hu et al., 2018; Hu et al., 2021; Huang et al., 2020; Yu et al., 2020). 63

Meteorological conditions are largely connected with large-scale synoptic circulations. 64 Previous studies have indicated the relationship of air pollution with synoptic circulation in 65 different areas by using circulation-based classification methods, which suggests that synoptic 66 patterns are a key driver of air quality variations (Bei et al., 2016, 2020; Comrie & Yarnal, 1992; 67 68 Demuzere et al., 2009; He et al., 2016, 2017a; Kalkstein & Corrigan, 1986; Li et al., 2019; Lu et al., 2021; Shahgedanova et al., 1998). For instance, six types of synoptic circulation were 69 70 identified for wintertime air pollution over North China Plain (NCP) from 2013 to 2018, two of which are prone to outward transport of local PM_{2.5} due to the unstable atmospheric stratification, 71 72 while the other four types, because of the air stagnation conditions, can elevate local air pollution levels (Wang and Zhang, 2020). Atmospheric circulations can affect air pollution in the Yangtze 73 River Delta (YRD) over eastern China with the southward movement of strong cold air, easterly 74 wind removal, and strong precipitation washout respectively (Hou et al., 2020). Three typical 75 76 patterns of synoptic circulation including the dry low-trough, high-pressure, and wet low-vortex, were associated respectively with heavy, medium, and slight levels of air pollution determining 77 air quality over the Sichuan Basin (SCB) in southwestern China (Ning et al., 2019). The 78 variations in PM_{2.5} over the Pearl River Delta (PRD) were also connected with different synoptic 79 patterns in southern China (Liao et al., 2020; Liu et al., 2020). However, the driving effect of 80 synoptic circulation on regional transport of PM_{2.5} in heavy air pollution has been poorly 81 understood. Furthermore, how regional PM2.5 transport pathways regulated by synoptic 82

circulation was also lack of exploration. A comprehensive understanding of these issues could be
helpful for improving air quality.

85 The Twain-Hu Basin (THB) covers the flat lands over Hubei and Hunan provinces in the middle basin of the Yangtze River over central China (Fig. 1). The THB is a region with heavy 86 air pollution featured by high PM_{2.5} levels over central China, especially during the season of 87 East Asian winter monsoon (Shen et al., 2020b; Zhu et al., 2021). Due to the East Asian winter 88 monsoons' prevailing winds, regional transport of PM2.5 plays a dominant part in a heavy air 89 pollution period in centra China with transport contribution of 70.5% (Hu et al., 2021). Although 90 the dominant synoptic patterns were classified for heavy pollution of PM_{2.5} in the region of THB 91 over central China from 2013 to 2018 (Yan et al., 2020), few studies have analyzed the 92 93 modulation of synoptic circulation on regional PM_{2.5} transport for heavy PM_{2.5} pollution in central China, given that the THB is a key receptor region in regional air pollutant transport over 94 CEC because of its special geographical position with a typical East Asian winter monsoon 95 climate (Yu et al., 2020). 96



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Figure 1. The geographical location of THB, North China Plain (NCP), Yangtze River Delta (YRD), Pearl 98 99 River Delta (PRD) and Sichuan Basin (SCB) over CEC with the terrain height (km in a.s.l.; shaded contours) 100 Gridded (ETOPO2v2) from the 2-Minute Global Relief Data 101 (http://www.ngdc.noaa.gov/mgg/global/etopo2.html). Two blue lines respectively indicate the Yellow River 102 and Yangtze River in China.

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In this study, we characterized the heavy air pollution events (HPEs) affected by regional 104 transport of PM_{2.5} in the THB in central China based on statistics of observation from 2015 to 105 2019. By using FLEXPART-WRF modeling, we identified three prominent regional PM_{2.5} 106 transport pathways with the contributions to PM_{2.5} concentrations for the HPEs over central 107 China. Besides, we detected the large-scale synoptic systems in regional $PM_{2.5}$ transport through 108 109 the T-mode principal component analysis (T-PCA) combined with the K-means cluster method 110 and ascertained the regulation of synoptic circulation patterns in three regional PM_{2.5} transport pathways dominating HPEs in central China. We also estimated the regional PM_{2.5} transport's 111 contribution in three major pathways to PM_{2.5} concentrations of the HPEs under different types 112

of synoptic circulation. This study aimed to understand the modulation of large-scale
atmospheric circulation for regional transport of air pollutants in environmental change.

115 **2 Data and methods**

116 2.1 PM_{2.5} and meteorological data sources

We used hourly surface $PM_{2.5}$ concentration data observed over CEC over 2015-2019 in this study, which were derived from the National Air Quality Monitoring Network operated by the Ministry of Ecology and Environment of China (http://106.37.208.233:20035/).

The data of sea level pressure (SLP), air temperature and u-, v-, and w-wind components with $0.25 \times 0.25^{\circ}$ resolution during 2015-2019 were obtained from the ERA5 meteorological reanalysis data of the ECMWF. Moreover, the 5-year observational meteorological data, including near-surface air temperature, relative humidity, SLP, wind speed, wind direction, and precipitation, with 1h temporal resolution, were downloaded from the China meteorological data service center of China Meteorological Administration (http://data.cma.cn/).

126 2.2 Synoptic circulation classification

T-mode principal component analysis (T-PCA) combined with the K-means cluster was applied to classify the synoptic circulation types for the HPEs in the THB receptor region in regional PM_{2.5} transport over CEC. T-PCA combined with K-means clustering has been widely used in previous studies on environmental change with the reasonable performance in identifying synoptic circulations (Huth, 1996, 2008; He et al., 2017, 2018; Liu et al., 2020; Miao et al., 2017; Zhang et al., 2012).

133 Three processing steps were used to classify the types of synoptic circulation. First, threedimensional SLP, were reshaped to two-dimensional dataset (grid \times time) and standardized 134 thereafter. Second, the normalized data applying T-PCA with the major components were 135 acquired according to a cumulative variance contribution of 84.0%. Third, through K-means 136 137 clustering, the main components were selected based on the cluster results. The number of synoptic circulation type classifications relies on the criterion function (Liu and Gao, 2011). 138 139 Finally, three types of synoptic circulation for regional $PM_{2.5}$ transport for HPEs were ascertained. 140

The study domain over 20–50° N and 100–130° E in east and north Asian regions includes mainland China and most Mongolian areas. The daily mean SLP data from ERA5 was used to eliminate the biases caused by local small-scale atmospheric circulation, such as land and seabreezes (Hou et al., 2020).

145 **2.3 FLEXPART-WRF model and configuration**

The FLEXPART (Stohl et al., 2005; Fast and Easter, 2006), a Lagrangian transport and dispersion model, considering atmospheric physicochemical processes i.e., tracer regional transport, wet and dry depositions, turbulent diffusion (Brioude et al., 2013), was applied in this study to backwards trace the released particle trajectory arriving at the receptor region, further verifying the corresponding air pollutant transport patterns and the spatial distribution of air pollutant source areas that may affect the receptor site.

The WRF model output of meteorology was used to drive the FLEXPART (Skamarock et 152 al., 2008). The NCEP FNL reanalysis data in the horizontal resolution of $1 \times 1^{\circ}$ were applied to 153 provide the initial and boundary conditions for the WRF modeling. The physical process 154 parameterization schemes used in the WRF-simulations included the Lin for microphysics 155 scheme (Lin et al., 1983), RRTM (Mlawer et al., 1997) for long-wave radiation scheme, Goddard 156 (Chou et al., 1999) for short-wave radiation scheme, and YSU scheme (Hong et al., 2006) of the 157 158 planetary boundary layer (PBL) processes. More details of the WRF model configuration can be found in Table S1. 159

A 48-h backward trajectory was conducted by FLEXPART-WRF simulation, which released 50000 computational air particles with $0.1 \times 0.1^{\circ}$ horizontal resolution, centered at a representative THB-site (32.04° N, 112.14° E), namely Xiangyang, for the wintertime HPEs from 2015 to 2019.

164 **2.4 WRF-Modeling validation**

The validation of the WRF modeling results with observation of air temperature, wind speed, air pressure, and relative humidity, at the sites Xiangyang, Zhengzhou, Changsha, Hefei, and Nanchang in CEC is shown in Figure S1. The positive correlation coefficients passing the 0.002 significance level and the low normalized standardized deviations were proved to be reasonable in the WRF-modeling, indicating that the meteorology of fine WRF simulation could be used to drive the FLEXPART modeling on the routes of regional air pollutant transport with the contribution to PM_{2.5} concentrations for heavy air pollution in central China.

172 **2.5 Assessment on regional PM_{2.5} transport contribution**

Regional $PM_{2.5}$ transport to the receptor region was assessed with the contribution by multiplying the primary $PM_{2.5}$ emission flux by the residential time of air particles from the 48 h backward trajectory simulations of the FLEXPART-WRF, and regional $PM_{2.5}$ transport pathway over CEC could be identified with the spatial distribution of high contribution rate_{i,j} in the following Eq.(1):

Contribution
$$rate_{i,j} = \frac{E_{i,j} \times r_{i,j}}{\sum_{1,1}^{N,S} E_{i,j} \times r_{i,j}}$$
 (1)

where i and j stand for the grid location (i, j) from the first grid cell (i=1, j=1) to the last grid cell (j=N, j=S) over CEC on the 48-h backward trajectory, $r_{i,j}$ means PM_{2.5} residential time from the FLEXPART-WRF simulation, and $E_{i,j}$ manifests the PM_{2.5} emission intensity over the grid at

181 location (i, j) from the Multi-resolution Emission Inventory for China (MEIC; 182 http://www.meicmodel.org/).

$$R = \sum_{(N_1, S_1)}^{(N_2, S_2)} rate_{i,j}$$
(2)

183 R means the total contribution of regional transport of $PM_{2.5}$ from the external regions over the 184 first and last grid cells respectively at (N_1, S_1) and (N_2, S_2) over the non-local emission sources to 185 the HPEs in the THB receptor region over central China through the FLEXPART-WRF 186 simulation (Yu et al., 2020 ; Chen et al., 2017).

187 **3 Results and Discussion**

188 3.1 Regional transport of PM_{2.5} dominating heavy air pollution

Firstly, 46 days of regional HPEs over the THB from 2015 to 2019 were detected with the 189 daily averaged surface $PM_{2.5}$ concentrations greater or equal than 150 µg m⁻³ observed at three or 190 more sites, which all happened in the East Asian winter monsoon season from November to 191 following March (Table S2), reflecting a close linkage of the seasonal shift of East Asian 192 monsoons with regional change of atmospheric environment. Then, based on the climatology of 193 cold air invasion with southward advance of high air pressure system from northern China 194 195 triggered by East Asian winter monsoonal winds (Ding, 1993; Hou et al., 2020; Wang et al., 2020), we established the criterion for wintertime regional transport of air pollutants to the THB 196 with the sea level pressure gradient (> 3.0 hPa) between 33° N and 26° N averaged over 112° E-197

198 114° E and the regional average meridional component (< -1.0 m s⁻¹) of near-surface wind. 199 According to this criterion, 30 days of HPEs in central China connecting with regional transport 200 of PM_{2.5} were selected out among the 46 days of regional HPEs based on the statistics of 201 observed HPEs over 2015-2019. Therefore, it was estimated with the ratio of 30/46 days of 202 regional HPEs that 65.2% of the HPEs in the THB during the recent 5 years were influenced by 203 the regional PM_{2.5} transport with strong near-surface northerly (meridional) winds.

Generally, air pollution is formed by local accumulation and regional air pollutant transport 204 (Chen et al., 2017; Yu et al., 2020; Zhu et al., 2018). Figure 2 displays the proportion (%) of the 205 HPEs affected by regional PM_{2.5} transport during total HPEs for assessing the impacts of 206 regional transport and local accumulation on the change of HPEs over the THB from 2015 to 207 2019. The HPEs influenced with regional PM_{2.5} transport were accounted for 64.3%, 70.0%, 208 37.5%, 75.0%, and 100.0% over 2015-2019 (Fig. 2), presenting an increasing trend in the 209 dominant contribution of regional transport of $PM_{2.5}$ to the HPEs over the recent years, which is 210 distinguished from the local accumulation of air pollutants under the stagnant air conditions 211 causing the HPEs in most regions over CEC (Cai et al., 2017; Shu et al., 2021; Zhang et al., 2018; 212 213 Zhong et al., 2019).



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Figure 2. Annual occurrence days of regional transport (red column) and local accumulation (blue column) in the regional HPEs over the THB in central China from 2015 to 2019. The percentages mean the proportion of HPEs dominated with regional PM_{2.5} transport.

219 3.2 Identifying pathways and contribution of regional transport

In section 3.1, we found the dominance of regional transport of $PM_{2.5}$ during the HPEs based on the statistics of observation over the THB from 2015 to 2019. In this section, the pathways of regional $PM_{2.5}$ transport from upwind areas to the THB in central China were recognized with quantifying the corresponding contribution to the HPEs through the residence time of air particle tracer simulated by the FLEAXPART-WRF and the $PM_{2.5}$ emission flux over the air pollutant sources in CEC with Eqs. (1) and (2).

The major pathways of regional transport to the downwind receptor region could be 226 227 identified with high contribution rates of regional transport to PM_{2.5} pollution events (Yu et al., 2020). Figure 3 displays the average distribution of regional transport pathways with high PM_{2.5} 228 229 contribution rates of sources to the THB in central China for 30 days of regional HPEs from 2015 to 2019. It was recognized from Figure 3 that $PM_{2.5}$ from regional transport to the THB-230 231 region during the HPEs is climatologically centered on three typical transport routes in the northerly, northeasterly, and easterly transport directions, respectively. Furthermore, the 232 dominant PM_{2.5} contribution of regional transport emitted from non-local regions over CEC to 233 PM_{2.5} concentrations for HPEs in the THB in central China from 2015 to 2019 was averaged as 234 60.2% (Table S3), revealing a determining part of PM_{2.5} transported from the upwind source 235 areas in worsening air environment over central China from a long-term perspective. 236



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Figure 3. Distribution of averaged contribution rates (color contours) to surface $PM_{2.5}$ during 30 heavy pollution days in the THB from 2015 to 2019 with three dominant regional $PM_{2.5}$ transport pathways (red dashed arrows) in the 1 northerly, 2 northeasterly and 3 easterly directions over CEC.

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Since the synoptic circulation exert a strong influence on $PM_{2.5}$ concentrations over CEC (He et al., 2017a; He et al., 2017b; He et al., 2018; Zong et al., 2021), the regulation of synoptic circulation on building the three routes of regional transport from the upwind sources over CEC to central China for the downwind regional HPEs (Fig. 3), require an in-depth exploration to improve the understanding in air quality change.

248 **3.3 Synoptic circulation in regional PM_{2.5} transport to HPEs**

The T-PCA combined with K-means cluster classification was applied to the HPEs with regional PM_{2.5} transport, and three dominant synoptic circulation types were identified (Table S4). Figure 4 shows the anomalous patterns of sea level pressure (SLP) in three dominant synoptic circulation types. As the typical feature of East Asian winter monsoon, the cold air masses sweep across CEC with the southward advance of high-pressure system, whose shifting location and intensity alter the weather process and meteorological elements (Ding, 1993; Ding et al., 2017). According to the location and intensity of high-pressure systems over CEC with cold air southward invasion driving regional $PM_{2.5}$ transport to the THB for the HPEs, three dominant synoptic patterns were described as strong high pressure to the northeast (CT1), weak high pressure to the east (CT2), and weak high pressure to the north (CT3).

The synoptic circulation type CT1 was the most frequent, accounting for 46.7% of the total 259 synoptic circulation. For CT1, a strong high-pressure system originating in the Siberian region 260 extended from Mongolia to China (Fig. S3). In this pattern, northeasterly wind anomalies 261 prevailed in northern China (NC) and changed to northerly winds into the THB over central 262 China (Fig. 4a) accompanied by the negative anomalies of air temperature in the vertical layers 263 for the strong cold air invasion (Fig. S2a), with wind speed of 2.6 m s⁻¹ (Table S5), transporting 264 air pollutants from NC to the THB. The CT2 occurrence frequency was 40.0%. In CT2, a weak 265 surface air pressure with anticyclone was situated northeasterly to the THB over China (Fig. 4b), 266 which was nearly controlled by the warm air mass anomalies (Fig. S2b) with the 2-m air 267 temperature increasing up to 7.4 °C (Table S5). In this pattern, the prevailing easterly winds 268 cover east China with the average wind speed of 2.7 m s⁻¹ (Table S5) in the THB, which is 269 conducive to bringing air pollutants from eastern China, mainly the YRD to the THB. The CT3, 270 from Mongolia region to northern China (Fig. S4) was the relatively week high-pressure system 271 differing from the CT1 (Figs. 4c and 5c) with 3.1 m s⁻¹ averaged wind speed over the THB in the 272 north direction (Table S5), strengthening PM2.5 transport from non-local source areas to the THB 273 274 for the HPEs.



Figure 4. The average daily SLP (black lines; hPa) and 10-m wind vector anomalies (m s⁻¹) in the three synoptic circulation patterns (a) CT1, (b) CT2 and (c) CT3 for the wintertime HPEs dominated by regional $PM_{2.5}$ transport from 2015 to 2019 with the anomalies of daily SLP (color contours; hPa) over CEC. The wind (SLP) anomalies were relatively with the 5-year wintertime mean of winds (SLP). Blue thick lines with arrows mean the dominant southward routes of cold air invasions, and red circles roughly outline the THB areas in central China.

283 **3.4 Modulation of synoptic circulation on regional transport of PM**_{2.5}

Aiming to explore the modulation of synoptic circulation on $PM_{2.5}$ from regional transport to the HPEs over central China, we investigated the synoptic circulation evolution affected $PM_{2.5}$ transported from upwind areas over CEC to the THB. Figure 5 exhibits the evolution of three synoptic circulations and the changes in $PM_{2.5}$ concentrations during the regional transport of $PM_{2.5}$ over CEC. It shows that synoptic circulation evolution with different location and intensity of advancing high air pressure in anticyclone could build three routes of regional $PM_{2.5}$ transport from the upwind source regions to downwind regional HPEs in CEC (Fig. 5).

In CT1, the positive SLP anomalies existed over the northern CEC. In the beginning of the THB's heavy air pollution, weak winds engendered the air pollutant accumulations over the northern CEC with high surface $PM_{2.5}$ levels over the NCP (Fig. 5a). At the developing stage (Fig. 5b), as the relatively strong cold air mass from Mongolia moved southwards, weak winds gradually turned into strong northeasterly wind fields over the northern CEC. With the southward advance of cold air mass, high $PM_{2.5}$ concentrations from the upstream areas over 297 CEC were transported to the downwind regions, mainly the THB, and PM_{2.5} concentrations over 298 the NCP significantly decreased (Fig. 5c).

With CT2, the weak high-pressure anomalies moved southeastwards over CEC with prevailing northerly and northwesterly winds, leading to $PM_{2.5}$ transport to the downstream areas (Figs. 6d-6e). On the day of heavy air pollution in the THB, the high pressure was shifted in the southeast direction and finally covered northeastern China with obvious northeasterly winds over the YRD. PM_{2.5}, therefore, was transported finally to the THB in the easterly winds over central China (Fig. 5f).

During CT3, there was an apparent low-pressure system occupied over CEC 1-2 days before the HPEs over the THB (Figs. 6g and 6h). The air pollution levels over the NCP in the northern CEC were the most serious among three synoptic circulation types (Figs. 6h and 6g). A strong cold air mass moved southward from Mongolia to NCP, driving PM_{2.5} from the NCP to the downstream areas (Fig. 5h), PM_{2.5} parcels over the NCP were transported to the THB by the prevailing northerly winds in the CT3 (Fig. 5i).



Figure 5. Spatial distribution of 10-m wind vectors (m s⁻¹), SLP anomalies (hPa; color contours) and PM_{2.5} concentrations (μ g m⁻³; color dots) at (a, d, g) 2 days and (b, e, h) 1 day before the HPEs as well as (c, f, i) at the day of HPEs dominated with regional PM_{2.5} transport over CEC. Blue thick lines with arrows mean the dominant routes of cold air southward invasions, and a-c, d-f, and g-i represent the evolution of synoptic circulation types CT1, CT2, and CT3, respectively.

Overall, with the southward cold air movement during the season of East Asian winter 319 monsoon, PM_{2.5}-rich air mass from the NCP and YRD over CEC was along three main regional 320 321 transport routes to the THB for the regional HPEs with northerly northeasterly and easterly directions, respectively, basically governed by three patterns of synoptic circulation over CEC 322 with: 1) weak high pressure to the north (CT3), 2) strong high pressure to the northeast (CT1), 323 and 3) weak high pressure to the east (CT2) to the THB over central China, differing from the 324 unfavorable meteorological conditions with weak winds and thermal inversion layer for the 325 HPEs observed in the other air polluted areas of CEC (Ding et al., 2017; Huang et al., 2018). 326 This study revealed a significant modulation of synoptic circulation for regional transport of air 327 pollutants in air quality change. 328

329 3.5 Contributions of regional PM_{2.5} transport to HPEs under three types of synoptic 330 circulation

Given that three synoptic circulation types CT1, CT2, and CT3 could govern regional $PM_{2.5}$ transport along the northeasterly, easterly and northerly pathways over CEC, we further evaluated the contribution of three regional $PM_{2.5}$ transport under three types of synoptic circulation to $PM_{2.5}$ concentrations for the wintertime HPEs occurring over the THB over central China with the FLEXPART-WRF model.

By calculating the contribution rates with the Eq. (1), we evaluated the contribution rates of 336 regional PM_{2.5} transport from non-local regions to PM_{2.5} concentrations over central China under 337 three synoptic circulation types CT1, CT2 and CT3. Figure 6 displayed the three corresponding 338 spatial distributions of contribution to PM_{2.5} concentrations for HPEs in the THB. As displayed 339 in Figure 6a, regional transport of PM_{2.5} was obviously centered on the northeasterly route from 340 CEC to the THB over central China during CT1. As for CT2, the easterly pathway of regional 341 transport was clearly recognized with the high PM2.5 contribution rates from the YRD to the 342 THB over the CEC (Fig. 6b). Along the northerly regional transport pathway of PM_{2.5}, high 343 PM_{2.5} sourced from the NCP could enhance air pollution levels for HPEs over central China 344 during CT3 (Fig. 6c). 345



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Figure 6. Distribution of contribution rates (color contours) to surface $PM_{2.5}$ over the THB in three major pathways (red dash arrows) of regional $PM_{2.5}$ transport over CEC under three synoptic circulation types (a) CT1, (b) CT2, and (c) CT3 during the wintertime HPEs in the THB from 2015 to 2019 simulated by the FLEXPART-WRF model.

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Besides, the contributions of regional transport from non-local regions over CEC to $PM_{2.5}$ pollution over the THB in central China under CT1, CT2, and CT3 were estimated by using Eq. (2). As shown in Table 1, regional $PM_{2.5}$ transport contributed 56.7%, 53.9%, and 76.0% to surface $PM_{2.5}$ in the HPEs over central China during CT1, CT2, and CT3 respectively, indicating the dominance of regional $PM_{2.5}$ transport modulated by large-scale synoptic circulation in enhancing $PM_{2.5}$ levels for HPEs over central China, which could have an implication for regional joint control on air pollution over CEC (Bai et al., 2021; Shen et al., 2020a).

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Table 1. Averaged contribution rates of regional PM_{2.5} transport and local emissions over the THB in central
 China under three synoptic circulation types CT1, CT2 and CT3.

Contribution rates	CT1	CT2	CT3
Regional transport	56.7%	53.9%	76.0%
Local emissions	43.3%	46.1%	24.0%

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364 **4 Conclusions**

In the present study, we characterized heavy air pollution driven by regional transport of PM_{2.5} occurring in central China, explored the large-scale synoptic circulation influencing regional PM_{2.5} transport with corresponding contributions to PM_{2.5} concentrations during the HPEs from 2015 to 2019 through the analyses of meteorological and environmental observations, T-mode principal component method (T-PCA) combined with the K-means cluster, and FLEXPART–WRF model simulations.

Approximately 65.2% of the HPEs in the THB over central China were triggered by regional transport of $PM_{2.5}$ over CEC. Based on simulations of the FLEXPART–WRF model, regional transport of $PM_{2.5}$ was centered along three routes in the northerly, northeasterly and easterly directions respectively. In addition, regional $PM_{2.5}$ transport quantitatively contributed 60.2% to $PM_{2.5}$ concentrations to HPEs in the THB over central China from 2015 to 2019, presenting regional $PM_{2.5}$ transport in aggravating air pollution levels over central China from a long-term perspective.

The southward invasion of cold air was the vital driving factor for transporting PM_{2.5} from 378 upwind regions over the CEC to the HPEs in central China, which is closely related to the 379 evolution of synoptic circulation. By using T-mode principal component analysis (T-PCA) 380 combined with the K-means cluster method, we identified three synoptic circulation types: 1) 381 strong high pressure to the northeast, 2) weak high pressure to the east, and 3) weak high 382 pressure to the north that builded three regional PM2.5 transport routes to central China in the 383 northeasterly, easterly, and northerly directions with the PM_{2.5} contributions of 56.7%, 53.9%, 384 and 76.0% to the HPEs, respectively, revealing an important effect of large-scale atmospheric 385 circulations on regional transport of PM_{2.5} causing HPEs over central China. 386

Possible uncertainties could exist in this study without consideration the regional transport of gaseous precursors of PM_{2.5} during HPEs and with the classification of synoptic patterns with sea level pressure. Long-term observation data and comprehensive models of environment and meteorology could improve our understanding of regional air pollutant transport regulated by large-scale atmospheric circulation on atmospheric environment change.

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395 **Competing interests:** The authors declare that they have no conflicts of interest.

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398 Data availability statement: The meteorology inputs for WRF model and T-PCA with K-means cluster are available from NCAR (https://rda.ucar.edu/datasets/ds083.2/index.html#sfol-wl-399 /data/ds083.2?g=2) and ECMWF (https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-400 era5-single-levels?tab=form) respectively. The hourly meteorological data is sourced from 401 http://data.cma.cn/. The hourly PM_{2.5} data are acquired from National Air Quality Monitoring 402 403 Network operated by the Ministry of Ecology and Environment of China (http://106.37.208.233:20035/). The data of Multi-resolution Emission Inventory for China was 404 405 from http://www.meicmodel.org/.

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Supporting Information for

Regulation of synoptic circulation in regional PM_{2.5} transport for heavy air pollution: study of 5-year observation over central China

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Tables S1 to S5



Figure S1. Comparisons in Taylor plots (Taylor, 2001) manifesting the standard deviations and correlation coefficients between the simulated and observed meteorological elements including 10-m wind speed, 2-m air temperature, air pressure and relative humidity at 5 urban sites (Xiangyang, Zhengzhou, Changsha, Hefei, and Nanchang) over the THB.



Figure S2. Vertical cross sections of air temperature anomalies (color contours, °C) and wind vectors for three synoptic circulation types (a) CT1, (b) CT2, (c) CT3 over CEC. The vertical wind components were multiplied by 20 to better display vertical circulations. With the white frames marking atmospheric columns over the THB in central China.



Figure S3. Distribution of anomalies of sea level pressure (unit: hPa) and 10-m wind vectors (unit: m s⁻¹) in CT1 relatively to the wintertime averages over 2015–2019.



Figure S4. Distribution of anomalies of sea level pressure (unit: hPa) and 10-m wind vectors (unit: m s⁻¹) in CT3 relatively to the wintertime averages over 2015–2019.

Regions	CEC
Domain size	265×541 grid cells; 200×283 grid cells
Horizontal resolution	30 km; 10 km
Vertical resolution	33 sigma levels
Microphysics	Lin scheme
Longwave radiation	RRTM scheme
Shortwave radiation	Goddard shortwave scheme
Surface layer	MM5 similarity surface layer
Land ⁻ surface	the unified Noah land surface model
Urban canopy model	Single-layer UCM scheme
Boundary layer	YSU boundary layer scheme
Cumulus	Grell 3-D ensemble scheme

 Table S1 WRF model configurations.

Photolysis	Fast-J
Spin-up time	48 h
Simulation period	Wintertime over 2015 to 2019

Date (YYYYMMDD)	Number of cities with heavy air pollution	Average $PM_{2.5}$ concentrations (µg m ⁻³)	Maximum PM _{2.5} concentrations (µg m ⁻³)
20151211	9	156	277
20151212	11	179	264
20151223	5	133	190
20151224	3	115	178
20151225	9	164	281
20151230	4	128	169
20160102	4	131	206
20160104	8	163	342
20160105	4	129	229
20160111	6	133	215
20160118	8	154	235
20160130	3	99	208
20160208	12	196	312
20160306	3	99	183
20161115	4	114	261
20161209	3	122	176
20161220	4	125	417
20170102	7	138	185
20170103	8	154	213
20170104	13	179	229
20170128	14	221	449
20170217	4	130	165
20170306	6	139	216
20170307	6	137	203
20171204	4	124	278
20171205	6	141	185
20171229	3	119	279
20171230	3	118	170
20180102	3	104	207
20180119	10	171	307

Table S2 Statistics of heavy air pollution days over the THB during 2015–2019.

20180120	5	126	228
20180216	5	133	190
20181130	7	150	237
20181201	10	176	269
20181202	5	129	203
20190105	7	154	279
20190106	12	175	324
20190107	8	159	287
20190108	8	152	270
20190126	5	125	190
20190128	3	119	154
20190129	3	120	186
20190130	4	113	194
20190205	10	158	252
20191214	4	132	187
20191215	4	122	211

Table S3 Statistics of 30-day HPEs dominated by regional $PM_{2.5}$ transport with corresponding transport contribution rates to the THB's regional $PM_{2.5}$ concentrations.

ID	Date (YYYYMMDD)	Contribution rates (%)
1	20151211	66.90
2	20151212	70.90
3	20151223	70.60
4	20151224	70.30
5	20160104	75.00
6	20160105	80.30
7	20160111	63.20
8	20160118	73.70
9	20160130	78.90
10	20161115	75.00
11	20161209	40.70
12	20161220	60.90
13	20170103	44.70
14	20170217	62.20
15	20170306	61.50
16	20170307	53.40
17	20171204	69.70
18	20171205	56.40
19	20171229	30.10
20	20181130	50.00

21	20190105	76.80
22	20190106	45.60
23	20190107	36.60
24	20190108	77.60
25	20190126	84.90
26	20190128	50.00
27	20190129	59.80
28	20190130	28.90
29	20191214	38.30
30	20191215	52.40
average	-	60.20

Table S4 Statistics of three types of synoptic circulation with the date (YearMonthDay) during wintertime over 2015–2019, and CT indicated by the numbers 1, 2 or 3 respectively for three synoptic circulation types CT1, CT2 or CT3.

Date (YYYYMMDD)	СТ	Date (YYYYMMDD)	СТ
20151211	2	20170307	1
20151212	2	20171204	1
20151223	1	20171205	1
20151224	2	20171229	1
20160104	3	20181130	2
20160105	3	20190105	1
20160111	1	20190106	1
20160118	3	20190107	1
20160130	1	20190108	2
20161115	3	20190126	1
20161209	2	20190128	1
20161220	1	20190129	2
20170103	2	20190130	2
20170217	2	20191214	2
20170306	1	20191215	2

Table S5 Regional averages of meteorological variables 2-m air temperature (T), relative humidity (RH), air pressure (P) and 10-m wind speed (WS) as well as $PM_{2.5}$ concentrations over the THB under three synoptic circulation types CT1, CT2 and CT3.

Synoptic types	T (°C)	RH (%)	P (hPa)	WS (m s ⁻¹)	PM _{2.5} (µg m ⁻³)
CT1	5.2	77.5	1027.6	2.6	143.5

CT2	7.4	77.9	1025.5	2.7	154.9
CT3	5.6	83.3	1024.6	3.1	152.6

Reference

Taylor, K. E. (2001). Summarizing multiple aspects of model performance in a single diagram. Journal of Geophysical Research-Atmosphere, 106, 7183 – 7192. doi: 10.1029/2000JD900719