# A Theoretical Study of the Tomographic Reconstruction of Magnetosheath X-ray Emissions

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November 26, 2022

#### Abstract

We present an initial assessment of using tomography on single-spacecraft images to reconstruct 3D X-ray emissions from the Earth's magnetosheath. 3D structures in the Earth's magnetosphere have been studied using superposed epoch techniques with single-point single-spacecraft observations. They have yielded great insights, but some studies are observation starved, particularly for infrequent solar wind conditions. Global imaging data have provided more insight about these structures, but are 2D projections of 3D structures. We explore the use of tomographic reconstruction techniques to understand what can be extracted from global images from a single spacecraft. The Solar wind Magnetosphere Ionosphere Link Explorer (SMILE) mission, due to launch in 2024 on a 3-year mission, will carry a soft X-ray imager which will capture emissions from portions of the magnetosheath and upstream solar wind. We already demonstrated that the 3D shape of the magnetopause and the bow shock can be extracted from such images with suitable assumptions. The next step is to examine whether full 3D reconstructions and introduce artifacts in some cases, and the low count-rates in the images which affect the accuracy of the reconstructions which must be filtered out. Despite these limitations we show that it is possible to reconstruct some aspects of the magnetosheath global morphology using single-spacecraft soft X-ray imaging. Plans for similar missions which overlap with SMILE, open the possibility of multi-spacecraft tomography, to be addressed in a separate paper.

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### Key Points:

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follographic reconstruction of the magnetosheath with Similar is possion	8	•	Tomographic	reconstruction (	of the	magnetosheath	with	SMILE	is po	ossibl
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- High noise/low-count images can be used with regularization constraints
- Superposed epoch over a year works better than a single orbit

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#### 11 Abstract

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#### 32 **1 Introduction**

Imaging has become an increasingly important tool for studying space plasma processes. 33 Some of the earliest imaging was the imaging of auroras from the ground by Carl Størmer 34 and associates for the purpose of triangulating their heights [e.g. Størmer, 1935]. Later 35 work by Syun-Ichi Akasofu used auroral imaging from multiple sites on the ground to 36 understand the development of the auroral substorm [e.g. Akasofu, 1964]. That was later 37 followed by high-resolution imaging of the auroras from space by numerous spacecraft, in-38 cluding the UVI and VIS instruments on the Polar spacecraft launched in 1996 [e.g. Brittnacher et al., 1997]. Imaging of emissions of large-scale plasma processes were done, 40 for example imaging with Energetic Neutral Atom (ENA) [e.g. Roelof et al., 1985; Hen-41 derson et al., 1997; C: son Brandt et al., 2002; Vallat et al., 2004] to understand the ring 42

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<sup>43</sup> current and substorms [e.g. *Henderson et al.*, 1999; *Jorgensen et al.*, 2000]. Extreme Ultra<sup>44</sup> violet (EUV) radiation from the Sun scattered off of He<sup>+</sup> ions has been used to image the
<sup>45</sup> Earth's plasmasphere [e.g *Sandel et al.*, 2001; *He et al.*, 2016].

We have previously published on techniques for extracting the boundary shape of the 46 magnetopause from soft X-ray images similar to those that will be produced by the Solar 47 wind Magnetosphere Ionosphere Link Explorer (SMILE) mission [Branduardi-Raymont 48 et al., 2018; Wang et al., 2017] Soft X-Ray Imager (SXI) instrument, by fitting a model for 49 the boundary and the emissions distribution [Jorgensen et al., 2019a,b]. Those methods 50 fit 3D X-ray emissions and boundary models to individual X-ray images. Other methods 51 include identification of the location of the boundaries directly in the images and using 52 that to reconstruct those boundaries alone, from one or more images [Collier and Connor, 53 2018; Sun et al.]. Yet another option is the complete reconstruction of the 3D emissions 54 based on multiple 2D images, analogous to the reconstruction techniques used in medical 55 imaging. These techniques are collectively referred to as tomographic techniques. Tomo-56 graphic techniques have previously been used in reconstructing the 3D shape of auroral 57 formations [e.g. Aso et al., 1998; Gustavsson, 1998]. In this paper we explore applying these tomographic techniques to the problem of imaging X-ray emissions under condition 59 of a limited range of viewing angles. 60

In the following section, section 2, we provide a brief general introduction to tomographic techniques, and then discuss how we apply them to imaging of X-ray emissions. While medical imaging is usually carried out with specialized equipment which ensures a wide range of viewing geometries, imaging based on spacecraft observations will be constrained by the orbit of the spacecraft. In this paper we will focus on what is possible using the SMILE orbit and SXI camera and the most basic tomographic reconstruction techniques. In section 3 we present results of tomographic reconstructions.

The SMILE mission is expected to launch in 2024 into a highly elliptical orbit with an apogee of approximately  $19 R_E$  above the Earth's northern hemisphere. Figure 1 shows the imaging geometry of the mission, including the SMILE orbit, nominal positions and shapes for the magnetopause and bow shock, and the nominal field of view of the Soft X-ray Imager (SXI) camera. The field-of-view of SXI is 27 degrees in the dawn-dusk direction and 16 degrees in the noon-midnight direction, which results in a covered area in the equatorial plane of approximately  $9 R_E$  in the dawn-dusk direction, and  $5.5 R_E$  in the

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Figure 1. Imaging geometry showing the Earth (in green), the magnetopause (in blue), the bow shock (in red), a nominal imaging position for SMILE of 10-20  $R_E$  North from Earth, and a nominal field-of-view, and its projection onto the XY-plane (hatched, in yellow). The vantage point of this perspective drawing is at +X, +Y, +Z, looking toward Earth.

noon-midnight direction when the spacecraft is at apogee. The SXI CCD detector has 751 79 pixels in the noon-midnight direction and 1288 pixels in the dawn-dusk direction. The 80 full-width-at-half-maximum (FWHM) of the point-spread function is 8 arcminutes, and 81 the energy range is 0.2-5 keV. At the center of the field of view the effective area of the 82 instrument is about 9.6 cm<sup>2</sup> at 0.5 keV. This is approximately flat over about half of the 83 field of view. It then drops (due to vignetting) to about 50% of this at the center of each 84 of the edges of the field of view and about 25% at the corners of the field of view (SXI 85 PI Team, private communication). The dominant spectral line is the soft X-ray emission 86 87 from the process

$$O^{7+} + H \to O^{6+} + H^+ + \gamma$$
 (1)

In this paper we will follow our earlier paper [Jorgensen et al., 2019a] which used a FWHM 88 of 12 arcminutes which results in images of 75 pixels in the noon-midnight direction and 89 129 pixels in the dawn-dusk direction. This results in an effective resolution of approxi-90 mately  $0.03 R_E$  to  $0.07 R_E$  in the equatorial plane for the range of satellite altitude from 91 which SXI is observing. 92

In addition to this paper exploring reconstruction using a single spacecraft it also 93 sets the stage for exploring reconstruction using multiple spacecraft. There is a possibility 94 that in the near future there will be multiple spacecraft available with imaging capability 95 similar to SMILE/SXI, and in separate papers we will explore that topic. 96

#### 2 Methodology 97

We begin by simulating soft X-ray images in the same way as we did in Jorgensen et al. [2019a], and which we briefly summarize here in section 2.1. Those images are used as 99 the basis for the tomographic reconstructions, described in section 2.2, with and without 100 total variation minimization regularization (section 2.3), and with or without symmetry 101 (section 2.5). 102

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#### 2.1 Simulating X-ray emissions and images

To simulate realistic X-ray emissions we begin with a simulation from the PPMLR-MHD 104 code, which simulates the solar wind-magnetosphere-ionosphere system. The code was

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developed by *Hu et al.* [2007], and uses an extended Lagrangian version of the piecewise 106 parabolic method (PPM) to solve the MHD equation in the spatial region  $-300 R_E \le x \le$ 107  $30 R_E$ ,  $-150 R_E \le y, z \le 150 R_E$ . The ionosphere is assumed to have a uniform Ped-108 ersen conductance and zero Hall conductance, and is coupled to the magnetosphere at 109  $r = 3 R_E$ . Dipole tilt is assumed to be zero in the computations for this paper. The so-110 lar wind conditions, number density, speed, and IMF  $B_z$  for the simulation are respectively 111  $n_{sw} = 35 \,\mathrm{cm}^{-3}$ , and  $v_{sw} = 400 \,\mathrm{km/s}$ , and IMF  $B_z = -5 \,\mathrm{nT}$ . From the MHD model the 112 volume emissions rate can be computed by [Cravens, 2000] 113

$$P = \alpha_{cx} n_H n_{sw} \langle g \rangle \quad \left( eV \, cm^{-3} \, s^{-1} \right) \tag{2}$$

where  $\alpha_{cx}$  is the efficiency factor integrated over all species and transitions, defined as

$$\alpha_{cx} = \sum_{s} f_s \sum_{q} f_{sq} \sigma_{sq} \sum_{j} f_{sqj} \Delta E_{sqj}$$
(3)

The sums are over solar wind heavy ion species s, charge state q, and the transition index 115 j.  $f_s$  is the fraction of the solar wind ions which is species s,  $f_{sq}$  is the fraction of those 116 which is in charge state q, and  $f_{sqj}$  is the probability of transition from charge state q to 117 (q-1) by charge-exchange.  $\Delta E_{sqj}$  is the transition energy  $\sigma_{sq}$  is the charge transfer cross 118 section. More details about  $\alpha_{cx}$  are provided by *Cravens* [1997] and *Sun et al.* [2015]. 119 Cravens [2000] estimated that  $\alpha_{cx}$  ranges between  $6 \times 10^{-16}$  and  $6 \times 10^{-15}$  eV/cm<sup>2</sup>. As 120 in our earlier paper we thus adopt  $\alpha_{cx} = 1.0 \times 10^{-15} \text{ eV/cm}^2$ . Returning to equation 2, 121  $n_H$  is the density of the Earth's exosphere,  $n_{sw}$  is the number density of the solar wind, 122 and  $\langle g \rangle = \sqrt{u_{sw}^2 + u_{th}^2}$  is the average collision speed which is the geometric average of the 123 solar wind bulk speed,  $u_{sw}$ , and thermal speed,  $u_{th}$ . 124

The resulting X-ray emissions are shown in Figure 2, with a GSM XY slice in panel 127 a and a GSM XZ slice in panel b. The X-ray emissions from inside the magnetopause 128 are negligible and thus set to zero (refer to Sun et al. [2019] for more details of the MHD 129 simulation data and how they are processed to produce the data set we use here). The 130 cusp emission close to the inner boundary ( $r < 5 R_E$ ) is not considered in the current 131 study to avoid the boundary effect. At the inner boundary the number density is high, 132 essentially plasmasphere densities, but the density of highly-charged heavy ions is much 133 lower. Thus the procedure used to simulate X-ray emissions will not produce a realistic 134



Figure 2. X-ray emissions based on the MHD model. (a) XY plane cross-section, (b) XZ plane crosssection.



Figure 3. SMILE spacecraft positions, in GSM coordinates, for a portion of a simulated orbit, for the simulation interval 2021/9/30 23:00:00 to 2021/10/2 12:00:00, corresponding to points which have  $R \ge 12 R_E$ . Panel (a) is the XZ plane, (b) the YZ plane, and (c) the XY plane. The dots and associated labels refer to images in Figure 4, and the circle in each plot is the Earth.

flux in this region. It is also not necessary to model this region because it is only rarely imaged by SMILE SXI [*Sun et al.*, 2021]. From the X-ray volume emissions X-ray images can be computed. The emissions are optically thin such that the intensity along a line of sight is given by

$$I = \frac{1}{4\pi} \int P \, dl \quad \left( eV \, cm^{-2} \, s^{-1} \, sr^{-1} \right) \tag{4}$$

which can be converted into an irradiance image by multiplication by the geometric factor G ( $cm^2 sr^1$ ) for each pixel, and converted into a counts expectation image by dividing by the energy, E, per photon, and multiplying by the integration time,  $\Delta t$ . Figure 3 shows part of one apogee pass of an orbit from one early SMILE orbit simulation, not long after launch, in GSM coordinates. Figure 4 shows examples of intensity images for that apogee pass. The magnetopause is clearly visible, and in many of the images both cusps are visible as well.



Figure 4. Simulated intensity images of the X-ray emissions in Figure 2 using Equation 4 for the apogee
pass in Figure 3.

#### 2.2 Tomographic reconstruction method

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The reconstruction method we will use resembles that used in medical imaging. An optically thin object (often referred to as a "phantom") emits radiation isotropically (our phantom is in Figure 2). A sensor collects images of the phantom from a diverse range of viewing angles, the images in Figure 4.

<sup>157</sup> In a discretized world the imaging process which we described above can also be ex-

<sup>158</sup> pressed in a single matrix equation,

$$\bar{\bar{A}}\bar{u}=\bar{p} \tag{5}$$

- where  $\bar{u}$ , of length *n*, represents the three-dimensional emission,  $\bar{p}$ , of length *m*, represents all of the pixels in all of the images, and  $\bar{A}$  is a geometry matrix, with *m* rows and *n* columns. In  $\bar{A}$  each element  $a_{ij}$  then represents how much volume element *j* contributes to pixel *i*. Equally, it can be described as being the length of the ray of pixel *i* which is inside volume element *j*. Tomographic reconstruction then solves the inverse problem of
- determining  $\bar{u}$  from  $\bar{p}$  given a known geometry matrix  $\bar{A}$ . In the most general case the

problem does not have a single unique solution so various constraints must be employed, and accurate tomographic reconstruction, especially for medical applications, is an active area of research. For this work we use one of the earliest and simplest reconstruction techniques, the Algebraic Reconstruction Technique (ART) [*Gordon et al.*, 1970]. ART is an iterative relaxation reconstruction approach. In our implementation, at each iteration, k, we cycle through the rays,  $i \in [1; m]$  and compute the next emissions distribution,  $\bar{u}^{k+1}$  as

$$\bar{u}^{k+1} = \bar{u}^k + \lambda_k \, \frac{p_i - \bar{A}_i \cdot \bar{u}^k}{||\bar{A}_i||^2} \bar{A}_i^T \tag{6}$$

Where  $\lambda_k$  is a relaxation parameter for each iteration, and  $\bar{A}_i$  is row *i* of  $\bar{A}$ . One iteration, 171 e.g. k to k + 1 consists of m computations of equation 6, one for each pixel in the images 172 or row in the geometry matrix  $\overline{A}$ . Even with this procedure there is still some choices to 173 be made, e.g. the values of  $\lambda_k$ , as well as some detailed choices of precisely how Equa-174 tion 6 is implemented. E.g. the order in which the pixels are visited and used in Equa-175 tion 6, and whether  $\bar{u}^k$  on the right-hand side of the equation is updated between pixels 176 or only after all pixels are visited. We made the choice of randomizing the order in which 177 the pixels are visited and preserving that random order for all iterations, k, and the choice 178 to update  $\bar{u}^k$  as each pixel is visited. The iterations in Equation 6 continue until either 100 179 iterations have been completed or until the total absolute change over the reconstruction 180 volume in one iteration is less than 0.1% of the total absolute value. In cases where 100 181 iterations are completed the change per step is still small, typically 0.3% or less. 182

The matrix  $\overline{A}$ , if fully evaluated, will be extremely large. However, it is not neces-183 sary to evaluate all elements of  $\overline{A}$ , because in an approximately cubic reconstruction vol-184 ume such as we are using here, the vast majority of the elements in  $\overline{A}$  have zero value. 185 This is because a given ray, corresponding to a given pixel, only pass through a small 186 fraction of the cells in the volume. For a reconstruction volume of dimension N on each 187 of 3 sides, the number of cells touched by a ray will be of the order N (multiplied by a 188 constant of the order 1). Thus while each row of  $\overline{A}$  has  $N^3$  elements, only of the order of 189 N of those are non-zero. In other words, only the fraction  $1/N^2$  of the elements of  $\bar{A}$  are 190 non-zero. 191

<sup>192</sup> Let's consider a numerical example: We begin with a 100 by 100 by 100 reconstruc-<sup>193</sup> tion volume, or 10<sup>6</sup> cells. Next let's assume 10 images of that volume, each of dimen-<sup>194</sup> sion 100 by 100. That totals 10<sup>5</sup> pixels. The dimensions of  $\overline{A}$  are then  $m = 10^5$  rows by

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 $n = 10^6$  columns or  $10^{11}$  elements, an extremely large matrix. However, the number of 195 non-zero elements in each row is of the order of the linear dimension of the reconstruction 196 space. So in each row of the order of 100 elements, perhaps up to a few hundred out of 197 10<sup>6</sup> will be non-zero. That means that in this case, approximately 99.99% of the elements 198 will be zeros. By storing only the non-zero elements of  $\overline{A}$  a tremendous amount of storage 199 can be saved. Furthermore, because multiplication by zero takes as much computation as 200 multiplication by any other number a tremendous amount of computation can be saved by 201 not carrying out those unnecessary operations. Practically we implement this sparse ma-202 trix by creating a list, for each pixel, of the volume elements that the ray intersects, and 203 the length of that intersection. 204

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#### 2.3 Total variation minimization regularization

In the above example there are  $10^6$  cell values to be determined based on  $10^5$  pixel val-206 ues. The problem is not well-constrained. For many practical cases the ART procedure is 207 nonetheless capable of producing an adequate reconstruction of the original volume dis-208 tribution. However, for more complex volume distributions, when there is noise present in 209 the images used for the reconstruction, or to improve the accuracy of the reconstruction, 210 additional constraints can be introduced. This is known as regularization of the inversion 211 problem. One of these regularization approaches, the one which we use in this paper, is 212 an image denoising technique called total variation (TV) minimization which works by re-213 ducing the total pixel-to-pixel variation in the image, subject to some constraints, [Rudin 214 et al., 1992]. 215

<sup>216</sup> *Chambolle* [2004] defines the total variation in the case of a 2D image and we ex-<sup>217</sup> tend it to a 3D volume, *v*, as follows

$$J(v) = \sum_{i,j,k} \left| \bar{\nabla}(v)_{ijk} \right|$$
(7)

Where  $1 \le i \le N_X$ ,  $1 \le j \le N_Y$ , and  $1 \le k \le N_Z$ ,  $\vec{\nabla}$  is the gradient, and  $|\cdot|$  signifies the geometric norm (square root of sum of squares of coordinates). The purpose of total variation minimization is then to determine a volume, u, which is similar to the volume v, but has smaller total variation. The extent to which u and v are similar is from the total mean-squared difference between them

$$E(u,v) = \sum_{1 \le i \le N_x, 1 \le j \le N_y, 1 \le k \le N_x} (v_{ijk} - u_{ijk})^2$$
(8)

Minimizing the total variation while keeping u similar to v can then be formulated as this weighted minimization problem,

$$\min\left[E(u,v) - \lambda J(u)\right] \tag{9}$$

where  $\lambda$  is a parameter, the regularization parameter, or the denoising parameter, which

determines the relative importance of minimizing the total variation versus making u simi-

lar to v. If  $\lambda = 0$  then u = v, while as  $\lambda \to \infty$ , u becomes a constant.

From the above equation it is not immediately obvious how to determine *u*. A number of approaches have been developed over the years, and new efficient minimizers continue to be developed. We use the method presented by *Chambolle* [2004] and  $\lambda = 10^{-3}$ .

#### 2.4 Combining ART and Total Variation Regularization

We combine ART and TV regularization by running one or more iterations of the TV algorithm after one or more iterations of the ART algorithm. One ART iteration consists of evaluating equation 6 successively for every pixel of every image in the random order established at the start of the reconstruction.

#### 2.5 Symmetry

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Under certain conditions the magnetosphere can be viewed as being approximately sym-237 metric around a plane or an axis. Symmetry cannot be assumed for all situations, but the 238 relatively small field of view of SMILE means that it is observing only a small portion 239 of the sub-solar magnetopause and bow-shock, which means that there are circumstances 240 where that portion will have a simple symmetric shape. The symmetry plane or axis must 241 be chosen according to the actual geometry. In the case of the model in Figure 2 North-242 South symmetry is an excellent assumption. We will show how assuming symmetry can 243 improve the reconstruction results. We incorporate symmetry by including a second set 244 of images which are recorded from a point symmetrically opposite through the X-axis, in 245 the opposite hemisphere from the simulated SMILE location, and looking at the same tar-246

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$Z(R_E)$	<i>R</i> <sub>mhd</sub>	$R_3$	$R_{10}$	<i>R</i> <sub>30</sub>	$R_{100}$	<i>R</i> <sub>200</sub>	$\sigma_3$	$\sigma_{10}$	$\sigma_{30}$	$\sigma_{100}$	$\sigma_{200}$
0	7.7	8.9	7.9	7.9	8.3	8.1	0.69	0.3	0.32	0.3	0.29
4	6.4	6.4	6.4	6.4	6.3	6.3	0.49	0.24	0.33	0.27	0.34
5	5.5	6.3	6.1	6.1	5.7	5.6	0.49	0.27	0.33	0.23	0.20

**Table 1.** Measurements from the reconstructions in Figure 5. Each row represents one of the three cuts shown in panel a, first row for Z = 0 (blue), second row for Z = 4 (green), and third row for Z = 5(red). The Radii, *R*. are where the emission is maximum, with  $R_{mhd}$  for the MHD model Figure 2, and  $R_i$ (*i* = 3, 10, 30, 100, 200) are measured on the reconstructions in panels a, b, c, d, e. The values of  $\sigma_i$  are RMS differences between MHD model and reconstruction, as described in the text.

get point. This means that we can use the same reconstruction algorithm and not have to create a reconstruction algorithm which imposes symmetry directly.

#### 249 **3 Results**

Next we evaluate the ability of the tomographic reconstruction algorithms outlined above 250 to reconstruct the X-ray emission distribution from the SMILE mission. We show three 251 different reconstructions. Firstly, we show single-orbit reconstruction illustrating the differ-252 ence between ART alone, ART with TV regularization, and ART with TV regularization 253 and symmetry, showing the difference between using different numbers of images, differ-254 ent numbers of TV iterations, and symmetry or not. Secondly, we show a noise-free re-255 construction using observations spread over a year, with approximately 100 images, using 256 TV regularization and symmetry. Thirdly, we show the same reconstruction with images 257 spread over a year, but using noisy images with low SNR for the reconstruction, and TV 258 regularization. 259

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#### 3.1 Noise-free reconstructions

We begin by reconstructing the emissions from a single orbit. The SMILE SXI only produces useful data when the spacecraft is in the magnetosheath or solar wind. We model that behavior by only recording images when the spacecraft GSM Z-coordinate is greater than  $10 R_E$ . Figure 3 shows the orbit plot. Notice that the spacecraft GSM X-coordinate only varies over a range of  $3 R_E$  during the orbit whereas the GSM Y-coordinate varies by



Figure 5. Reconstruction using ART with different numbers of images (3, 10, 30, 100, 200 from left to 261 right) spread evenly over the orbit segment in Figure 3. The top row, panels a to e, are cuts along the XZ 262 (meridional) plane at Y = 0. The center row, panels f to j, are cuts along the XY (equatorial) plane at Z = 0. 263 The first column, panels a and f, is a reconstruction using three images, the second column, panels b and g, ten 264 images, the third column, panels c and h, 30 images, the fourth column, panels d and i, 100 images, and the 265 fifth column, panels e and j, 200 images. In the bottom row are linear cuts through the reconstructions (thin 266 curves) parallel to the X-axis at Y = 0 and Z = 0 (blue), Z = 4 (green), and Z = 5 (red). The thick curves are 267 the corresponding cuts through the MHD model Figure 2. 268

more than  $10 R_E$ . Figure 5 shows the reconstruction using the simple ART algorithm. The 279 first column uses 3 images, separated by approximately 10 hours, the second column 10 280 images separated by approximately 3.3 hours, the third column 30 images separated by ap-281 proximately 1 hour, the fourth column 100 images separated by approximately 20 min, and 282 the fifth column 200 images separated by approximately 10 minutes. The top row shows a 283 meridional cut (The XZ-plane, Y = 0), the second row an equatorial cut (The XY-plane, 284 Z = 0), and the third row plots of emission along the lines of corresponding color in panel 285 a. In the panels in the top row it appears that the northern portion of the magnetosheath is 286 reconstructed somewhat, and that a portion of the cusp is reconstructed as well, except in 287 panel a, possibly panel b. In Table 1 are several measures of performance. The first row 288 is for the Z = 0 (blue) cut, the second row is for Z = 4 (green) cut, the third row is for 289 Z = 5 (red). The first column is the location of peak emission in the MHD model, the 290 following five are the locations of peak emission in the reconstruction, and the last five 291 are RMS differences between the MHD model and the reconstruction. Those measures are 292 computed as the 293

$$\sigma^{2} = \frac{1}{N} \sum_{i=1}^{N} \left( \log_{10} P_{\text{MHD}} - \log_{10} P_{\text{R}} \right)^{2}$$

where N is the number of points sampled,  $P_{\text{MHD}}$  is the emission in the MHD model and 294  $P_R$  is the emission in the reconstruction. The sum is over those points for which both the 295 MHD model and the reconstruction have values greater than  $10^{-5}$ . In other words, use 296 only points where the MHD model had non-zero flux, and only the points in the recon-297 struction which were imaged. What we see is that the reconstruction is better at Z = 4 and 298 Z = 5, and worse in the equatorial plane. These regions are closer to the imager and that 299 may be the reason for the better reconstruction. We also see that increasing the number of 300 images used in the reconstruction improves the reconstruction, possibly plateauing between 301 30 and 100 images. From that we conclude that 100 images is sufficient, and we use 100 302 images in the rest of the papers. As more images are added the location of the peak emis-303 sion also approaches that in the MHD model, but better in the two positive-Z traces. The 304 values  $R_{100} = 8.3$  for the Z = 0 trace is due to noise. Upon close examination we see 305 that there is also a peak close to X = 8.0, but is slightly lower in amplitude. Overall the 306 reconstructions produce smaller values of P than the MHD model. We believe this is a re-307 sult of the small range of viewing angles, giving insufficient constraints, resulting in a less 308



Figure 6. Reconstruction using 100 images and three different numbers of TV iterations. The figure elements are the same as in Figure 5. The first column is for one TV iteration every 30 ART iterations, second column is for one TV iteration every 10 ART iterations, and third column for 3 TV iterations every ART iteration.

than optimal reconstruction. When an ART reconstruction is not sufficiently constrained it will tend to distribute the emission along the ray paths, and that is what we see in the top row of the figure.

Figure 6 shows the reconstruction including TV regularization. As in Figure 5 the top row is for the XZ (Y = 0) slice, and the bottom row is for the XY (Z = 0) slice. 100 images are used in all reconstructions. The first column, panels a and d, is for one TV iteration every 30 ART iterations, the second column, panels b and e, for one TV iterations every 10 ART iterations, the third column, panels c and f, is for 3 TV iterations



Figure 7. Reconstruction with symmetry. The layout of this figure is similar to Figure 5. The first column, panels a, d, k is without TV, the second column is for one TV iteration every 10 ART iterations, and the third column is for one TV iteration every ART iteration.

every ART iterations. As expected, the resulting reconstructions show less pixel-to-pixel variation (some is still visible in the Z = 0 (blue) and Z = 4 (green) traces in panels k and l), because that is what the TV regularization is intended to do. Aside from this TV has little effect on the reconstruction, except that for three TV iterations there is some noticeable smoothing. This suggests that for noise-free reconstructions one TV iteration every few ART iterations is sufficient for smoothing.

Figure 7 is the reconstruction when symmetry is invoked. We assume that the reconstruction is symmetric about Z = 0. We can think of two fundamental ways of imposing symmetry on the problem. One is to modify the algorithm to impose symmetry. Another

$Z(R_E)$	$R_{\rm mhd}(R_E)$	$R_0(R_E)$	$R_{1/10}(R_E)$	$R_1(R_E)$	$\sigma_0$	$\sigma_{1/10}$	$\sigma_1$
0	7.7	8.2	8.2	8.2	0.26	0.27	0.28
4	6.4	6.3	6.3	6.3	0.23	0.19	0.21
5	5.5	5.8	5.6	5.7	0.21	0.20	0.24

Table 2. Measurements from the reconstructions in Figure 7. These are computed in the same way as the measurements in Table 1. The three columns  $R_0$  to  $R_1$  are the radii of peak reconstructed emission based on the three corresponding columns in Figure 7, and the three columns  $\sigma_0$  to  $\sigma_1$  are the RMS differences as described in the text.

is to double the number of images with the second half being duplicates of the original 337 images, appropriately mirrored, and with a vantage point which is the appropriate mirror 338 point of the original images. We chose the latter way of doing it as the exact same algo-339 rithm can be used for both problems, at the expense of some additional computation. The 340 second set of images have vantage points which are the mirror point around the X-axis 341 (so same X-coordinate, negative of the Y- and Z-coordinates). This will not result in exact 342 symmetric reconstruction because of the random order in which the pixels are visited, but 343 the asymmetry is much smaller than any other artifacts in the reconstruction. We find that 344 this small algorithmic difference is unimportant in gauging the effect of imposing symme-345 try. in Figure 7 the first column is without TV regularization, the second is for one TV 346 iteration every 10 ART iterations, and the third is for one TV iteration every ART itera-347 tion. 348

Both the northern and southern magnetosheath are reconstructed, as expected, but 349 there are a several artifacts in the images. The magnetosheath is not curved in the same 350 way as the original X-ray emissions in Figure 2. Instead the northern and southern por-351 tion each have a more linear shape, that shape being aligned closely with the direction to 352 apogee ( $X = 1.5 R_E$ ,  $Z = 20 R_E$ , see Figure 1). This is precisely the result one expects 353 from the ART algorithm when the rays point mostly in the same direction; in the absence 354 of other information, the brightness will be distributed along the ray. This suggests that 355 while using symmetry does improve the reconstruction near the equator, the range of ray 356 look-directions is the limiting factor in the accuracy of the reconstruction. Table 2 shows 357 the same type of statistics as in Table 1. Overall the quality of the reconstruction is sim-358



Figure 8. Location of SMILE at similar UT (4, 5, or 6 UT), approximately evenly spread through one year.
(a) XZ plane, (b) YZ plane, (c) XY plane.

ilar to the previous exampls. In the next section we will expand the range of ray look-directions.

#### **361 3.2 Superposed epoch**

The single-orbit reconstruction assumes that the magnetosheath looks unchanged for the 30+ hours of the apogee section of the orbit. That requires constant solar wind conditions which is rare for that length of time, but it also ignores the change in the dipole tilt. It is likely possible to correct for the dipole orientation however; since the portion of the sub-solar portion of the magnetosheath imaged is small it is likely sufficient to adjust the location and look direction of the camera to compensate for the dipole tilt. We plan to investigate this in the future.

Another approach is a true superposed-epoch reconstruction using images widely spaced in time, for similar UT (to have the same dipole orientation), and for similar solar wind conditions. In this section we simulate that scenario. We only address the question of whether the resulting superposed epoch geometry is sufficient for the reconstruction, not whether it is actually possible to find a sufficiently large number of images over an ex-

-19-

tended period of time with sufficiently similar conditions. The question of what constitutes 376 the necessary criteria for "sufficiently similar conditions" can be addressed in simulation 377 or with future observational data. A bootstrap analysis will reveal whether the selected im-378 ages produce a consistent reconstruction with small uncertainty. This is done by selecting 379 multiple random samples (of size N) from a collection of N candidate images, doing the 380 reconstruction and then doing a statistical analysis over the ensemble of reconstructions. 381 We do intend to address this in the future, but it is a significantly larger computational 382 task than all the other computations in this paper and beyond the scope of this paper. 383

For the geometrical analysis we simulate images over a period of a year which have nearly the same UT. In Figure 8 we show the locations of SMILE approximately every 72 hours for one year, when the GSM Z-coordinate is greater than  $10 R_E$ . The points in Figure 8 were derived as follows. For one year step through the orbit file by 72 hours, recording an image at 4, 5, and 6 UT, if the satellite position Z-coordinate is at least  $10 R_E$ . Then use every third of such images, for a total of 87 images. We have concluded from the previous simulations that 100 images are likely sufficient.

<sup>391</sup> Comparing Figure 8 to Figure 3 it appears that the former contains a larger range of <sup>392</sup> satellite positions, which means a larger range of look directions through the reconstruc-<sup>393</sup> tion volume, which will likely result in a better reconstruction.

Figure 9 shows the reconstruction for noise-free images using both TV regulariza-394 tion and symmetry. The reconstruction is, visually, much better than that in Figure 7. 395 Figure 9 has the same arrangement of panels as Figure 7 so the two can be compared di-396 rectly. Table 3 are the same measurements as in earlier tables, and there we can see a sig-397 nificant improvement. All emission peaks are within one resolution element of each other, 398 and the RMS difference between the MHD model and the the top row is smaller as well. 399 The magnetosheath in this reconstruction looks much more like that in Figure 2, with a 400 similar curvature (as opposed to the angular look in Figure 7), and fewer reconstruction 401 artifacts. One notable artifact is a ghost emission peak near the equatorial plane sunward 402 of the magnetosheath. From this simulation it appears that good reconstructions can be 403 made from a single orbit using superposed epoch combination of images over a period of 404 a year, a complete rotation of the Earth under the orbit. 405



Figure 9. Reconstruction using 87 noise-free images recorded at the points marked in Figure 8. The reconstructions use TV regularization, and symmetry. The layout of the figure is the same as Figure 7, and the three columns are for the same number of TV iterations as in Figure 7, no TV for the first column, one TV iteration every 10 ART iterations for the second column, and one TV iteration every ART iteration for the third column.

$Z(R_E)$	$R_{\rm mhd}(R_E)$	$R_0(R_E)$	$R_{1/10}(R_E)$	$R_1(R_E)$	$\sigma_0$	$\sigma_{1/10}$	$\sigma_1$
0	7.7	7.6	7.6	7.7	0.16	0.19	0.17
4	6.4	6.4	6.4	6.4	0.12	0.11	0.10
5	5.5	5.6	5.6	5.4	0.17	0.12	0.09

411

Table 3. Measurements from the reconstructions in Figure 9. The layout of this table is identical to Table 2.



Figure 10. Meridional cuts (XZ plane for Y = 0) through reconstructions with noisy images each with 1 count per pixel, with different number of TV iterations; (a) 1, (b) 3, (c) 10, (d) 30.

412

#### 3.3 The effect of Poisson noise

In the previous we have reconstructed using noiseless images, which is not realistic in 413 most situation. To explore the effect of Poisson noise on the reconstruction we repeat 414 the reconstructions from the previous section (which resulted in Figure 9) but using im-415 ages which contain Poisson noise. We do the reconstruction for images with integration 416 times which result in an average of one count per pixel, and using varying amounts of TV 417 regularization. The reconstruction results are shown in Figure 10, with the first column 418 showing one TV iteration per ART iteration, the second column showing 3 TV iterations 419 per ART iteration, the third column showing 10 TV iterations per ART iteration, and the 420 fourth column showing 30 TV iterations per ART iteration. 421

In Figure 10 we see that TV regularization has a significant effect on the accuracy of the reconstruction. The magnetosheath is reconstructed better with larger numbers of TV iterations, but there are also problems. A false peak appears in the equatorial region sunward of the magnetosheath. Additionally, the cusp region is not reproduced as well. Nevertheless, it is possible to reconstruct to an extent even with images which have noise

$Z(R_E)$	$R_{\rm mhd}(R_E)$	$R_1(R_E)$	$R_3(R_E)$	$R_{10}(R_E)$	$R_{30}(R_E)$	$\sigma_1$	$\sigma_3$	$\sigma_{10}$	$\sigma_{30}$
0	7.7	8.8	7.7	7.7	7.7	0.44	0.36	0.35	0.35
4	6.4	7.4	7.4	6.7	6.7	0.46	0.39	0.37	0.36
5	5.5	5.4	5.4	5.4	5.4	0.47	0.36	0.36	0.36

424

 Table 4.
 Measurements for Figure 10. This table is organized as Table 3.

<sup>430</sup> near 100% of the average counts. Table 4 shows the same kinds of measurements as the <sup>431</sup> previous tables. For smaller number of TV iterations the measurements of the locations of <sup>432</sup> peak intensity are dominated by noise. But for larger numbers of TV iterations the peaks <sup>433</sup> are close, within about  $0.2 R_E$ , of the peak location in the MHD model. The standard de-<sup>434</sup> viations are dominated by noise and not a particularly useful statistic in this case.

#### 435 **4 Discussion**

In this paper we have demonstrated that it is possible to tomographically reconstruct 436 large-scale structures in the magnetosphere using multiple images from a single spacecraft. 437 In this case we demonstrated it for X-ray emissions from the magnetosheath, but many of 438 the principles apply more generally. However it should be said that the accuracy of the 439 reconstruction is greatly affected by the range of viewing angles available for the recon-440 struction. We found that a reconstruction using images distributed over an entire year, with 441 orbit precession in the Earth-Sun coordinate system gives rise to a larger range of viewing 442 angle and substantially improves the reconstruction. 443

Using regularization, in this case an image-processing algorithm called total variation minimization, improves the reconstruction, as does the assumption of symmetry which was possible in this particular case. There are other possible regularization constraints that can be applied to this reconstruction problem, including physics-based constraints, which should be explored further in the future.

Spurious responses is a well-known feature of tomographic reconstructions, and much effort is made to minimize its effect in the medical field where a bad reconstruction could lead to an incorrect diagnosis. In the future we will consider whether there are techniques from the medical literature which can be used here. However it should be noted that there are some significant differences between medical imaging and the kind of

-23-

imaging we are doing. Often in medical imaging there is a very large range of viewing
angles, better signal-to-noise ratio, and better control of the geometry of the problem. On
the other hand a patient's life does not depend on the accuracy of our reconstructions.

The large improvement of the reconstruction with a relatively modest increase in the range of viewing angles naturally leads one to ask how well the reconstruction would work with a multi-spacecraft mission which provides multiple simultaneous vantage points, and potentially instantaneous reconstructions. In-fact there is the possibility that there will be multiple spacecraft with soft X-ray imaging instruments similar to SMILE SXI in the near future. We are working on preparing a separate paper which explores this topic.

### 463 **5** Conclusion

This paper is an initial exploration of using SMILE data with tomographic recon-464 struction techniques. We explored four different areas; the reconstruction using obser-465 vations from a single orbit, reconstruction using data from a year in a superposed epoch 466 fashion, the effect of counting noise on the reconstruction, and the effect of using an im-467 age denoising technique, called total variation minimization as a regularizer. We found 468 that reconstruction of the 3D X-ray emissions from the magnetosheath is possible with as 469 few as 10 images distributed over a single orbit of SMILE, using nominal regularization. 470 However, using superposed epoch reconstruction with images distributed over an entire 471 year, for similar solar wind conditions and dipole tilt result in a much better reconstruc-472 tion. Some artifacts appear in some of the reconstructions, which is a common feature of 473 tomographic reconstruction. In the future we will explore reconstruction more broadly us-474 ing ART-like methods and for a wider range of conditions and noise levels. We will also 475 explore the feasibility of reconstructions with multiple spacecraft. 476

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#### 565 Acknowledgments

- This study is supported by the National Natural Science Foundation of China grants
- <sup>567</sup> (41904148 and 41731070). The model-generated data used for this paper as well as a
- <sup>568</sup> IDL-language program for reading the data area available at osf.io/rm3uc/. For more
- information about the SMILE mission the reader is referred to https://sci.esa.int/
- web/smile/-/61194-smile-definition-study-report-red-book.

Figure 1.



Figure 2.





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10<sup>-4</sup>

10<sup>-3</sup>

10<sup>-6</sup>

Figure 3.



Figure 4.









Figure 5.



Figure 6.



Figure 7.



Figure 8.



Figure 9.



Figure 10.

