A Predictor of Turbulent Kinetic Energy for Oscillatory Flows Through Submerged Aquatic Vegetation

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Abstract

Aquatic vegetation modifies hydrodynamics, turbulence structure, sediment transport, and ecological processes in marine ecosystems. Recent turbulence models for vegetated flows have focused on open channel unidirectional flows. However, the unsteadiness and turbulent structure of oscillatory flows often prevent the direct application of such models in wave-dominated environments. We investigate Turbulent Kinetic Energy (TKE) connected to the flow structure in oscillatory flows through aquatic vegetation. Using an oscillatory tunnel, we test vegetation densities up to $\rho_{\rho_i=0.10}$ with wave periods between 2.1-5.3 s and wave amplitudes between 2-10 cm. Our measurements show a nonlinear relation between the TKE inside the canopy and vegetation density due to the change from the stem- to canopy-scale dominated regime. We observe that ah geq0.8\$ marks a threshold for this transition: a reduction of wake TKE inside the canopy and an increase of shear TKE at the top of the canopy. This transition is characterized by increasing frequency and intensity of sweeps and ejections near the bed and at the canopy top. We developed a two-equation predictor for TKE at the top of the canopy using the "short-cut" TKE transfer first proposed by \citeA{finnigan2000turbulence} where canopy-scale eddies convert TKE into stem-scale eddies via the work against vegetation drag. For near-bed TKE, we adapt \citeA{tanino2008lateral}'s model to predict the maximum TKE values on oscillatory flows. These two predictors provide easy-to-use tools suitable for wave-dominated environments to accurately estimate TKE levels inside the canopy for estimating sediment transport rates and mass exchange across the canopy.

A Predictor of Turbulent Kinetic Energy for Oscillatory Flows Through Submerged Aquatic Vegetation

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Key Points:

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10	• Models for unidirectional vegetated flows can be adapted to estimate turbulence
11	metrics in oscillatory flows.
12	• Maximum turbulent kinetic energy at the top of the submerged canopies is a func-
13	tion of vegetation density and wave orbital velocity.
14	• Maximum near-bed turbulent kinetic energy is a function of depth-averaged ve-
15	locities and vegetation density.

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16 Abstract

Aquatic vegetation modifies hydrodynamics, turbulence structure, sediment transport, 17 and ecological processes in marine ecosystems. Recent turbulence models for vegetated 18 flows have focused on open channel unidirectional flows. However, the unsteadiness and 19 turbulent structure of oscillatory flows often prevent the direct application of such mod-20 els in wave-dominated environments. We investigate Turbulent Kinetic Energy (TKE) 21 connected to the flow structure in oscillatory flows through aquatic vegetation. Using 22 an oscillatory tunnel, we test vegetation densities up to $\phi = 0.10$ with wave periods be-23 tween 2.1-5.3 s and wave amplitudes between 2-10 cm. Our measurements show a non-24 linear relation between the TKE inside the canopy and vegetation density due to the change 25 from the stem- to canopy-scale dominated regime. We observe that $ah \ge 0.8$ marks a 26 threshold for this transition: a reduction of wake TKE inside the canopy and an increase 27 of shear TKE at the top of the canopy. This transition is characterized by increasing fre-28 quency and intensity of sweeps and ejections near the bed and at the canopy top. We 29 developed a two-equation predictor for TKE at the top of the canopy using the "short-30 cut" TKE transfer first proposed by Finnigan (2000) where canopy-scale eddies convert 31 TKE into stem-scale eddies via the work against vegetation drag. For near-bed TKE, 32 we adapt Tanino and Nepf (2008b)'s model to predict the maximum TKE values on os-33 cillatory flows. These two predictors provide easy-to-use tools suitable for wave-dominated 34 environments to accurately estimate TKE levels inside the canopy for estimating sed-35 iment transport rates and mass exchange across the canopy. 36

³⁷ Plain Language Summary

Plants change water movement, turbulence, sediment routing, and ecological pro-38 cesses in aquatic ecosystems. Recent turbulence models for vegetated flows have focused 39 on open-channel conditions. However, time-dependency and turbulent structure in waves 40 often prevent the direct application of such models in marine environments. Inaccurate 41 estimations of turbulence levels lead to erroneous calculations of sediment and mass trans-42 port in aquatic ecosystems. We investigate the turbulent kinetic energy (TKE) in waves 43 through submerged vegetation in a laboratory. We propose two predictors for the max-44 imum TKE at two critical locations: near the bed and at the vegetation top. Our mea-45 surements show a nonlinear relation between the TKE inside the plants and vegetation 46 density due to the transition between stem- to canopy-flow structures. We observe a re-47 duction of stem-TKE inside the meadow and an increase of shear-TKE at the top of the 48 plants at a certain vegetation density threshold. We developed a two-equation predic-49 tor for TKE at the top of the vegetation using the "short-cut" TKE transfer mechanism. 50 For near-bed TKE, we adapt an open-channel flow model to predict the maximum TKE 51 values. These two predictors provide easy-to-use tools suitable for wave-dominated en-52 vironments to estimate TKE levels inside the canopy accurately. 53

54 **1 Introduction**

Morphological changes in shallow marine ecosystems result from the long-term non-55 linear summation of small scale processes (Perillo & Piccolo, 2011). Such processes of-56 ten depend on aquatic vegetation, which interacts with the flow to alter hydrodynam-57 ics, turbulence structure, sediment transport, and ecological processes (Leonard & Luther, 58 1995; Bouma et al., 2007; Norris et al., 2017; Davis et al., 2020). Numerous studies have 59 focused on vegetation-generated turbulence on open channel flows (e.g., López & García, 60 2001; Ghisalberti & Nepf, 2002; Tanino & Nepf, 2008b), and predictors from those stud-61 ies have been used in wave-dominated environments (e.g., Y. Zhang et al., 2018; Chen 62 et al., 2020; Tseng & Tinoco, 2021). However, hydrodynamics and turbulence structure 63 between these two environments differ greatly. The unsteadiness and reversible nature 64 of oscillatory flows create out-of-phase internal structures between the inside- and above-65

vegetation regions (Pujol, Casamitjana, et al., 2013; Pujol, Serra, et al., 2013). These
 characteristics impact the turbulence generation at ecologically important regions of the
 flow (Abdolahpour et al., 2018), such as the canopy top, the in-canopy wake region, and
 near the bed, compromising the direct application of unidirectional flow models in os cillatory environments.

Inaccurate estimation of vegetation-induced turbulence may lead to poor predic-71 tions of sediment transport. Studies have found that Turbulent Kinetic Energy (TKE) 72 is the main driver for sediment motion in canopy flows (e.g., Tinoco & Coco, 2018; Yang 73 & Nepf, 2018; J. Zhang et al., 2020; Shan et al., 2020; Tseng & Tinoco, 2021). Lowe, Kos-74 eff, and Monismith (2005) observed that in-canopy velocity in oscillatory flows are not 75 damped as significantly as in unidirectional flows, which results in increased turbulence 76 intensities compared with similar array densities and undisturbed velocities. Chen et al. 77 (2020) found different contributions from shear-induced canopy-scale turbulence in the 78 TKE vertical distribution under pure waves and unidirectional currents. Their findings 79 suggest that the implementation of unidirectional turbulence predictors needs to be ad-80 justed for an appropriate application in wave-dominated environments. Underestimat-81 ing turbulence levels, which serve as a proxy for sediment transport in aquatic ecosys-82 tems, may impact conservation and restoration practices that seek to promote bed aggra-83 dation, alter residence time, and encourage biodiversity through vegetation. 84

The mechanisms that generate turbulence in vegetated flows (such as bed shear, 85 stem-wake, and top canopy shear) change spatially and temporally in oscillatory flows 86 (Nepf, 2012). Due to the unsteady nature of oscillatory flows, previous work studied the 87 nonlinear association between turbulence production and vegetation-flow interactions (e.g., 88 Hansen & Reidenbach, 2017; Abdolahpour et al., 2018; Norris et al., 2019; Chen et al., 89 2020). They pointed out the competing mechanisms of wave dissipation and stem-turbulence 90 production as the reason for turbulence variations inside the canopy as a function of veg-91 etation density. Y. Zhang et al. (2018) developed a model for TKE in the stem region 92 for oscillatory flows based on the model of Tanino and Nepf (2008b). Y. Zhang and Nepf 93 (2019) studied the connection with sediment resuspension and the near-bed turbulence 94 processes. Tang et al. (2019) validated Y. Zhang et al. (2018)'s TKE predictor and as-95 sessed the critical velocity for sediment resuspension. However, their models rely on mean 96 values of TKE, whereas sediment pick up mechanisms can be more related to high bursts 97 of turbulent events (Yang & Nepf, 2018). Chen et al. (2020) found a TKE predictor as 98 a function of canopy elevation observing a correlation between vegetation density and 99 turbulence. Similarly to previous studies, they assume that wake production equals dis-100 sipation and it takes place at a constant rate. Nevertheless, at the top of the canopy there 101 is TKE transfer from canopy-scale eddies into wake turbulence as a function of vegeta-102 tion drag (Finnigan, 2000; King et al., 2012; Zhao et al., 2020). Such ecologically impor-103 tant, shear-driven instabilities at the top of the canopy are responsible for the mass ex-104 change across the top of the canopy (Lowe, Koseff, Monismith, & Falter, 2005; Wong et 105 al., 2019). 106

We investigate the TKE at the top of the canopy and near the bed associated with 107 the flow structure in oscillatory flows through submerged rigid vegetation. We propose 108 two predictors for the maximum TKE at two critical locations for sediment transport 109 110 and mixing within vegetation: the canopy-top and the near-bed region, as a function of vegetation density and characteristic velocity. The top-of-the-canopy TKE predictor is 111 based on the TKE transfer from canopy- to stem-scale turbulence in the mixing layer. 112 The near-bed TKE predictor uses Tanino and Nepf (2008b) model's structure but al-113 lows for a vegetation density-dependence of the rate of turbulence dissipation. 114



Figure 1. Illustration of experimental setup showing an overview of the oscillatory tunnel, rectangular cross section, and details of the measuring region.

115 2 Methodology

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We use a U-shaped oscillatory tunnel with smooth transparent acrylic walls, 20 cmwide by 25 cm-height cross section, and 154 cm-long test section. Controlled through a National Instruments Card and LabView script, a piston-actuator system produces sinusoidal oscillatory flows with a maximum 10 cm stroke and minimum 2 s period. A 15 cmlong honeycomb structure seats at the piston-opposite end of the tunnel to straighten the incoming flow from the tunnel's chimney (see fig. 1).

Randomly distributed arrays of acrylic cylinders, 0.63 cm-diameter and 8 cm-height, 122 stand on a mobile bed composed of crushed walnut shells ($\rho = 1.2 \text{ g cm}^{-3}$ and $D_{50} =$ 123 1 mm) to simulate patches of submerged rigid vegetation. We designed the arrays to en-124 sure symmetry along the x (at the center of the array) and y axis (at the centerline of 125 the tunnel) to prevent the emergence of preferential flow patterns. Table 1 presents a 126 summary of the six vegetation conditions used in this study along with their vegetation 127 parameters. Equations 1 to 4 define the mean stem separation $\langle s_n \rangle_A$ (mean surface-to-128 surface separation between cylinders), number of stems per unit of area m, volumetric 129 frontal area a (total frontal area per unit of control volume), and volumetric solid frac-130 tion ϕ (volume of vegetation per unit of control volume). Here, N_{stem} is the total num-131 ber of stems in the patch, s_{\min} is the minimum surface-to-surface stem separation, L_x 132 is patch length, L_y is patch width, and d is stem diameter. 133

$$\langle s_n \rangle_A = \frac{1}{N} \sum_{n=1}^{N_{\text{stem}}} s_{\min,n} \tag{1}$$

(2)

$$m = \frac{N}{L_x L_y} \approx \frac{1}{\langle s_n \rangle_A^2}$$

$$a = \frac{Nd}{L_x L_y} \approx \frac{d}{\langle s_n \rangle_A^2} = dm \tag{3}$$

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$$\phi = \frac{N(\pi d^2/4)}{L_x L_y} \approx \frac{\pi d^2/4}{\langle s_n \rangle_A^2} = \frac{\pi d^2 m}{4}$$
(4)

Three flow conditions are imposed, with oscillation periods T between 2.1 to 5.3 138 s and piston strokes A between 2 to 10 cm. Table 1 summarizes all experimental cases. 139 Based on Keulegan-Carpenter number (KC= $U_{\infty}T/d$, with U_{∞} being maximum outer 140 flow velocity), flow conditions in combination with vegetation density lie within a regime 141 characterized by vortex shedding at the top of the canopy, and wake generation inside 142 the canopy, with weak inertia effect (Ghisalberti & Schlosser, 2013). Wave Reynolds num-143 ber (Re= $U_{\infty}A/\nu$, where ν is kinematic viscosity) between 1,031 – 18,716, and stem-144 diameter Reynolds number ($\operatorname{Re}_d = U_{\infty}A/\nu$) between 287–925, as presented in table 1. 145

Two-component two-dimensional (2C-2D) velocity fields are measured inside a 5 cm 146 gap within the vegetation patch using particle image velocimetry (PIV). A 5W, 532nm 147 continuous wave laser was used as light source. We used a 5-Megapixel CCD Camera 148 (JAI GO-5000M-USB3) to capture instantaneous velocity fields in a 5 cm long by 25 cm 149 high region that covers the whole tunnel height. The captured images yield a spatial res-150 olution of 74 px cm⁻¹. As function of maximum outer velocity U_{∞} , we acquire images 151 between 33 – 111 frames-per-second (fps), yielding Δt values between 9 – 30 ms. Im-152 ages were processed using PIVLab (Thielicke & Stamhuis, 2014) using two passes with 153 subwindow interrogation areas from 64×64 to 32×32 pixels, yielding velocity fields with 154 a 2 mm spatial resolution. 155

Instantaneous velocity components u and w correspond to the longitudinal, x and 156 vertical z directions, respectively. We decompose velocity measurements according to equa-157 tion 5; where \overline{u} is time-averaged velocity, \widetilde{u} is phase-averaged velocity (see eq. 6), and 158 u' is turbulent velocity fluctuation. $\omega = 2\pi/T$ is angular velocity; N_{osc} is the total num-159 ber of waves measured (Jensen et al., 1989). Spatial averaging is denoted by the oper-160 ator $\langle \rangle_{i,k}$ where subscripts indicate the axis along which averaging is conducted. Equa-161 tions 7 and 8 show the spatial averaging of a longitudinal velocity 2D field into a z-profile, 162 and single point, respectively. N_x , N_z are total number of velocity points along coordi-163 nates x, and z, respectively. We analyze temporal and spatial turbulence characteristics 164 through phase-averages of the turbulent kinetic energy k. TKE is defined in equation 9, 165 where u' and w' are turbulent velocity fluctuations from the wave decomposition (see 166 eq. 5). 167

$$u(x, z, \omega t) = \overline{u}(x, z) + \widetilde{u}(x, z, \text{mod}(t, T)\omega) + u'(x, z, \omega t)$$
(5)

$$\widetilde{u}(x, z, \text{mod}(t, T)\omega) = \frac{1}{N_{\text{osc}}} \sum_{n=1}^{N_{\text{osc}}} \left[u(x, z, (t+nT)\omega) - \overline{u} \right]$$
(6)

$$\langle u \rangle_x = \frac{1}{N_x} \sum_{i=1}^{N_x} u(x_i, z_k, \omega t) \tag{7}$$

$$\langle u \rangle_{xz} = \frac{1}{N_x N_z} \sum_{i=1}^{N_x} \sum_{k=1}^{N_z} u(x_i, z_k, \omega t);$$
(8)

$$\widetilde{k}(x, z, \omega t) = \frac{1}{2} \left(2\widetilde{u'^2} + \widetilde{w'^2} \right) \tag{9}$$

Table 1. Summary of all experimental cases. Wave Reynolds number $\text{Re} = U_{\infty}A/\nu$, where ν is kinematic viscosity. Stem-diameter Reynolds number $\text{Re}_d = U_{\infty}A/\nu$. Keulegan-Carpenter number $\text{KC}=U_{\infty}T/d$, with U_{∞} being maximum outer flow velocity.

N	$m \; [\mathrm{m}^{-2}]$	$a~[{\rm cm}^{-1}]$	$\phi~[1]$	$s \ [\mathrm{cm}]$	T [s]	$A \ [\mathrm{cm}]$	$U_{\infty} \ [\mathrm{cm} \ \mathrm{s}^{-1}]$	$U_{\rm in}~[{\rm cm~s^{-1}}]$	Re [1]	$\operatorname{Re}_{d}[1]$	KC [1]
928	3114	0.20	0.10	1.79	2.1	2	5.3	4.5	1052	287	15
					2.1	3	7.9	6.8	2359	434	23
					2.1	5	12.8	10.5	6419	667	35
					2.1	6	15.4	11.5	9225	732	39
					3.2	3	5.4	4.7	1634	298	24
					3.2	5	9.0	7.4	4506	468	37
					3.2	7	12.9	9.2	9031	585	46
					3.2	10	18.7	12.8	18716	810	64
					5.3	7	7.9	6.0	5508	381	50
					5.3	9	10.4	7.5	9323	479	63
					5.3	10	11.7	8.1	11675	515	68
696	2336	0.15	0.07	2.07	2.1	2	5.3	4.9	1055	310	16
					2.1	3	7.8	7.4	2335	472	25
					2.1	5	12.7	11.4	6348	723	38
					2.1	6	15.1	12.3	9067	781	41
					3.2	3	5.3	5.2	1596	332	26
					3.2	5	8.8	8.0	4392	505	40
					3.2	7	12.5	10.3	8726	653	52
					3.2	10	18.1	13.6	18095	865	69
					5.3	7	7.6	6.4	5355	409	54
					5.3	. 9	10.1	8.1	9046	513	68
					5.3	10	11.3	8.6	11336	547	72
464	1557	0.10	0.05	2.53	2.1	2	5.5	4.9	1094	311	16
101	1001	0.10	0.00	2.00	2.1	- 3	8.0	7.3	2407	461	24
					2.1	5	13.1	11.1	6570	705	37
					2.1	6	15.9	12.4	9511	789	42
					3.2	3	5.5	5.1	1652	321	25
					3.2	5	9.0	7.9	4519	501	40
					3.2	7	12.8	10.1	8961	638	51
					3.2	10	18.6	13.9	18608	883	70
					5.3	7	7.8	6.5	5484	415	55
					5.3	9	10.3	8.0	9227	505	67
					5.3	10	11.5	8.5	11467	542	72
348	1168	0.07	0.04	2 93	2.1	2	5.4	5.4	1086	343	18
010	1100	0.01	0.01	2.00	2.1	- 3	81	7.9	2437	503	27
					2.1	5	13.2	12.3	6589	780	41
					2.1	6	15.8	14.6	9502	925	49
					3.2	3	5.5	5.4	1657	341	27
					3.2	5	9.0	8.6	4500	546	43
					3.2	7	12.7	10.8	8865	689	55
					3.2	10	18.0	14.3	18005	911	72
					5.3	7	7.8	71	5426	450	59
					5.3	9	10.1	8.5	9061	541	72
					5.3	10	11.2	8.9	11225	568	75
232	779	0.05	0.02	3 58	2.1	2	5.2	5.1	1031	322	17
202	110	0.00	0.02	0.00	2.1	3	7.6	7.5	2286	475	25
					2.1	5	12.3	12.0	6167	760	40
					2.1 9.1	6	14.5	12.0	8840	878	0±• 16
					2.1 3.9	3	5.0	51	1551	295	01- 96
					3.2 3.2	5	9.2 & K	9.1 8.4	4250	525	20 49
					0.⊿ २.0	7	11.0	10.7	4200 8247	660	-12 5.4
					ე.∠ ვე	10	16.0	10.7	16864	002 859	04 60
					0.4 5.9	10	7.9	13.4 6 7	5066	000 497	00 5.0
					0.0 5.9	0	1.2	0.7	8476	447 500	
					ປ.ວ ສ່າ	10	9.4 10 F	0.0	10400	049 509	10
					0.3	10	10.5	8.2	10499	023	09



Figure 2. Phase-averaged longitudinal velocity and TKE profiles over the half positivevelocity cycle for flow conditions [T = 2.1 s and A = 6 cm], [T = 3.2 s and A = 7 cm], and [T = 5.3 s and A = 7 cm] across six vegetation conditions from $\phi_1 = 0.10$ to ϕ_0 (no-vegetation).

173 **3 Results**

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3.1 Velocity and Turbulent Kinetic Energy

Vegetation density, wave amplitude, and wave period drive changes in flow struc-175 tures in vegetated oscillatory flows. Figure 2 shows the phase-averaged longitudinal ve-176 locity and TKE profiles over the half positive-velocity cycle (0° to 180°). It presents three 177 flow conditions across six vegetation conditions. Two different regions are clearly noticed: 178 above-canopy and inside-canopy, with z/h > 1 and $z/h \leq 1$, respectively (where h is 179 vegetation height). Velocity inside the canopy is slower than the outer flow above the 180 canopy, while turbulence is higher in the canopy region than above the vegetation. In 181 consequence, the inertia difference between these two regions influences their response 182 time during acceleration and deceleration phases. 183

Maximum values of turbulent kinetic energy inside the canopy follow a non-monotonic 184 relation with vegetation density. For instance, take the variation of the TKE profile at 185 90° from the non-vegetated case to the highest vegetation density $\phi_1 = 0.1$ in figure 2. 186 Increasing vegetation density from $\phi_5 = 0.02$ to $\phi_4 = 0.04$ increases TKE. However, 187 the transition between $\phi_4 = 0.04$ to $\phi_3 = 0.05$ reduces the turbulence levels inside the 188 canopy. This decrease continues as the vegetation density increases from $\phi_3 = 0.05$ to 189 $\phi_2 = 0.07$. Nonetheless, as density increases to $\phi_1 = 0.1$, TKE recovers to magnitudes 190 comparable to the $\phi_3 = 0.05$ condition. While previous studies found turbulence in-191 creasing with increasing density, we notice that while the stem-wake contribution might 192 increase, the canopy-scale contribution in the presence of shear layer eddies can impact 193 the vertical distribution of TKE. Thus, canopy-scale eddies affect TKE profiles, depend-194 ing on whether they are arrested at the top of the canopy or can penetrate deep within 195 it. 196



Figure 3. (a) Time series of depth-averaged longitudinal velocity \tilde{u} above canopy, inside the canopy, and depth-averaged TKE \tilde{k} inside the canopy for T = 3.2 s, A = 7 cm, and $\phi_1 = 0.10$. Above the canopy is z/h > 1, and inside the canopy $z/h \le 1$, with z being the vertical coordinate and h vegetation height. (b) Vertical profiles of phase-averaged longitudinal velocity \tilde{u} and TKE \tilde{k} over the half positive-velocity cycle for T = 3.2 s, A = 7 cm, and $\phi_1 = 0.10$.

Flow above the canopy lags behind the flow inside the canopy, while longitudinal velocity is higher above the canopy than inside. For example, one can see the progression between 0° and 90° in any flow-vegetation combination in fig 2. We observe nonzero positive velocity inside the canopy at the beginning of the acceleration phase. Above canopy flow almost catches up with the inside canopy flow at 45° showing timing variations as a function of vegetation density. When the above canopy flow reaches its maximum longitudinal velocity at 90°, the inside flow velocity has already entered into deceleration. Notice for instance the difference in velocity change between the profiles 90° and 135° inside and above the canopy.

Turbulent kinetic energy is higher inside the canopy than above the vegetation, with 206 the maximum TKE values at 90° . However, we notice that inside-canopy flow is already 207 decelerating when TKE is at its highest (see fig. 2 and 3a). Figure 3a shows the synchronization between depth-averaged inside canopy and above-canopy velocity with the in-209 side canopy TKE. We observe that the highest TKE values also lag behind the maxi-210 mum values of longitudinal velocity inside the canopy. The inside canopy flow leads ahead 211 of the above canopy velocity and inside TKE by approximately 22.5° . Figure 3b presents 212 a close-up of longitudinal velocity and TKE profiles for case T = 3.2 s, A = 7 cm, 213 and $\phi_1 = 0.10$ at shorter phase-intervals. This TKE profile sequence shows the devel-214 opment of the TKE inside the canopy over half a cycle. It shows that inside-canopy TKE 215 increases as the flow accelerates from 0° to 68° , with the highest values near the top of 216 the canopy and near the bed. However, when the flow reaches 90° (beginning of decel-217 eration phases), TKE becomes more uniform inside the canopy. 218

3.2 Flow Structure

Between $\phi_4 = 0.04$ and $\phi_3 = 0.05$ there is a vegetation density threshold where 220 canopy-scale structures at the top of the canopy intensify, while stem-wake turbulence 221 decreases. Figure 4 shows normalized vertical profiles of longitudinal and vertical veloc-222 ities (\widetilde{u}^* and \widetilde{w}^* , respectively), turbulent kinetic energy k^* , and Reynolds stress -u'w'223 for all values of ϕ . We normalize velocity by the maximum outer velocity U_{∞} and ver-224 tical location by vegetation height h. Figure 4 shows the variation due to wave period 225 and wave amplitude for $\theta = 90^{\circ}$. Vegetation density $\phi_4 = 0.04$ shows high values of 226 TKE likely generated by wakes inside the canopy, since turbulence is fairly uniform ver-227 tically. At vegetation density $\phi_3 = 0.05$, we can observe lower values of TKE inside the 228 canopy but a TKE peak at the top of the canopy. Notice that this peak increases with 229 vegetation density, a sign of stronger shear-induced turbulence at the top of the canopy. 230 We can associate this TKE peaks to an increase in vortex shedding being able to deform 231 the phase-averaged vertical and longitudinal profiles. Take for instance the vertical pro-232 files of T = 3.2 s with A = 10 cm, and T = 5.3 s with A = 10 cm. The vertical-233 velocity component \widetilde{w} shows opposite positive/negative values above and below the top 234 of the canopy, respectively, and is accompanied by a longitudinal velocity \tilde{u} overshoot 235 at the top of the vegetation. This flow structure suggests the presence of overturn fluid 236 at the top of the canopy that strengthens with vegetation density. These structures are 237 associated with the vegetation density-dependent peaks of TKE and Reynolds stress u'w'238 observed at the top of the canopy. 239

Turbulence intensity at the canopy-top is associated with the excursion length (wave 240 amplitude), while turbulence in the near-bed region results from the contributions of the 241 stem-wake turbulence and shear at the bed (e.g., Tseng & Tinoco, 2021). Vortex shed-242 ding at the top of the canopy is more dependent on wave amplitude than on wave pe-243 riod. Compare, for instance, the top and bottom subplot pairs in fig. 4 (T = 3.2 s with 244 A = 10 cm, and T = 5.3 s with A = 10 cm, which share a constant wave amplitude) 245 against the top and middle subplot pairs (T = 3.2 s with A = 10 cm] and [T = 3.2 s 246 and A = 5 cm], constant wave period). Larger excursion length allows the development 247 of instabilities at the top of the canopy by shear, and hence increases the TKE at the 248 top of the canopy (Ghisalberti & Schlosser, 2013; Y. Zhang et al., 2018; Wong et al., 2019). 249

It could be argued that near-bed TKE and Reynolds stresses are dominated by stemwake TKE generation at $\phi_4 = 0.04$. However, for $\phi_3 = 0.05$ or higher, it shifts to a more bed-shear dominated process, since the overall wake turbulence inside the canopy decreases, while TKE and Reynolds stresses increase near the bed (for example, see top row, T = 3.2 s with A = 10 cm, and bottom row, T = 5.3 s with A = 10 cm of fig. 4).



Figure 4. Normalized phase-averaged longitudinal velocity \tilde{u}^* and vertical velocity \tilde{w}^* along with phase-averaged TKE \tilde{k}^* and Reynolds stress $\tilde{u'w'}^*$ at phase $\theta = 90^\circ$. Velocity is normalized by the maximum outer flow velocity U_{∞} and elevation z is normalized by vegetation height h.

Vegetation effects in the form of drag are able to reduce the inside canopy flow enough for the stem-wake turbulence to decrease. Near-bed turbulence is fundamental to sediment transport in oscillatory flows (Garcia, 2008; Tinoco & Coco, 2018). However, the study of individual processes (stem-wake and bed shear) that contribute to the turbulence statistics is hard to separate since they are non-linearly associated (e.g., Tseng & Tinoco, 2021).

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3.3 Quadrant analysis

The frequency and intensity of sweep and ejection events at the top of the canopy 262 and near the bed increase with vegetation density. The turbulent event distribution by 263 quadrants Qn (n = 1, 2, 3, 4) in fig. 5 indicates the transition between canopy-turbulence 264 to stem-turbulence across the mixing layer at the top of the canopy and stem- to bed-265 shear turbulence near the bed. Figure 5 shows the proportion of turbulent events in a 266 u'-w' space at four regions (illustrated later in fig. 8a) in a vegetated oscillatory flow: 267 outer flow (above the canopy, 1.9 < z/h < 2.5), mixing layer at the top of the canopy 268 $(1 - \Delta_{\text{mix}}/2h < z/h < 1 + \Delta_{\text{mix}}/2h$, see section 4.2 for mixing layer width Δ_{mix}), in-269 side the canopy in the stem-wake region (0.4 < z/h < 0.6), and near the bed (z/h < z/h < 0.6)270 $z_{\text{bedform}}/h + 0.05$, where z_{bedform} is the elevation of the bedforms in the mobile bed). 271 Here, we define ejections as the quadrant 2-events (Q2), and sweeps as the quadrant 4-272 events (Q4) in the u'-w' sample space (Pope, 2001). In the outer flow, where no veg-273 etation is present, the flow is dominated by ejections. In the presence of vegetation, ejec-274 tions begin to compete with sweeps. In the mixing layer, we observe that the flow moves 275 from sweeps- to sweeps-and-ejection-dominated flows with increasing vegetation density. 276 We also notice that inside the canopy there is a more even distribution of turbulent events 277 across the quadrant. This indicates that flow may be dominated by lateral fluctuations 278 due to being a wake dominated zone. Finally, near the bed the turbulent events are dom-279 inated again by sweeps and ejections with relatively high contributions from other quad-280 rants, which shows a significant contribution of the near-bed boundary layer flow inside 281 a stem-wake dominated region. 282



Figure 5. Distribution of turbulent events in a u' - w' sample space for flow condition T = 3.2 s and A = 10 cm at $\theta = 90^{\circ}$. Each subplot presents the proportion of events per quadrant. Vertically we present different zones in a vegetated flow and horizontally we show four vegetation conditions, $\phi_1 = 0.10$ to $\phi_1 = 0$ (no-vegetation).

4 Turbulent Kinetic Energy Predictors

4.1 Maximum Turbulent Kinetic Energy Near the Bed

We present a relation to estimate the maximum turbulent kinetic energy (TKE) near the bed using the maximum depth-averaged longitudinal velocity inside the canopy $U_{\rm in}$ and volumetric solid fraction ϕ of the canopy. It becomes a convenient tool to assess the potential of sediment routing due to TKE inside a vegetation patch in wave-dominated environments (e.g. Tinoco & Coco, 2018). Thus, it may improve simplified transport models for restoration and morphodynamic evolution of coastal and estuarine ecosystems.

Our formulation follows the model structure proposed by Tanino and Nepf (2008b. 291 eq. 4.1). Their model originally was developed for emergent, unidirectional, vegetated 292 flows where the TKE budget reduces to a balance between stem-wake turbulence pro-293 duction and TKE viscous dissipation (e.g. Raupach & Shaw, 1982; Burke & Stolzenbach, 294 1983). Tanino and Nepf (2008b)'s model has been modified and used in oscillatory flows 295 to estimate averaged-turbulence intensities through vegetation (e.g. Y. Zhang et al., 2018; 296 Chen et al., 2020). However, these adapted models do not capture the peak of turbu-297 lence intensities near the bed over a wave cycle. As a function of bed roughness, wake-298 contributions, and bedform-induced turbulence, bursts of high turbulence intensity are 299 the most important mechanism for potential entrainment and resuspension of sediment 300 in oscillatory flows (Yang & Nepf, 2018; Y. Zhang & Nepf, 2019). Equation 10 follows 301 the same form of Tanino and Nepf (2008b)'s model, where k is TKE, U_p is cross-section averaged flow velocity, C_D is drag coefficient, d is stem diameter, and $\langle s_n \rangle_A$ is the av-303 erage stem spacing. 304

$$\left\langle \frac{\sqrt{k}}{U_p} \right\rangle = \begin{cases} 1.1 \left[C_D \frac{\phi}{(1-\phi)\pi/2} \right]^{1/3} & d/\langle s_n \rangle_A < 0.56 \\ \\ 0.88 \left[C_D \frac{\langle s_n \rangle_A}{d} \frac{\phi}{(1-\phi)\pi/2} \right]^{1/3} & d/\langle s_n \rangle_A \ge 0.56 \end{cases}$$
(10)

We propose a relation for the maximum near-bed TKE since this variable is the main driver of sediment resuspension in oscillatory flows (Tinoco & Coco, 2018). Equation 11 presents a modified form of Tanino and Nepf (2008b)'s model for $d/\langle s_n \rangle_A < 0.56$ (within which our experimental cases fall). Where $k_{\text{bed}}^{1/2}$ is the maximum phase-averaged near-bed TKE over a wave cycle. Drag coefficient C_D is set to be a function of wave parameters (A and T) and stem diameter d, and the proportional constant δ_{ϕ} becomes a function of ϕ . We adjust linear regressions to series of maximum near-bed TKE and insidecanopy velocity for several vegetation densities and present them in figure 6.

$$\frac{k_{\text{bed}}^{1/2}}{U_{\text{in}}} = \delta_{\phi}(\phi) \left[C_D(A, T, d) \frac{\phi}{(1-\phi)\pi/2} \right]^{1/3} \qquad d/\langle s_n \rangle_A < 0.56 \tag{11}$$

According to the linear regressions presented in fig. 6, δ_{ϕ} varies between 0.81 and 315 1.27 as a function of vegetation density. The variation of δ_{ϕ} coefficient as a function of 316 ϕ may be attributed to inaccurate estimations of drag coefficient. Lacking a better model 317 to estimate C_D for oscillatory flow, Ghisalberti and Schlosser (2013, eq. 9) propose the 318 use of equation 12 (White and Corfield (2006), $\text{Re}_{eq} = [2\pi A/T]d/\nu$) for sparse enough 319 vegetation and weak dependence to KC. Studies on vegetation drag coincide that drag 320 coefficient depends on vegetation density ϕ (e.g., Tanino & Nepf, 2008a; Tinoco & Cowen, 321 2013). However, its behavior still remains a subject for further research given the un-322 steady and reversal nature of wave-dominated flows. 323

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Figure 6. (a) Maximum turbulent kinetic energy as a function of vegetation density and maximum depth-averaged velocity inside the canopy. (b) Empirical regression between values of $\delta_{\phi}(\phi)$ and ϕ .

$$C_D = 1.0 + 10.0 \mathrm{Re}_{\mathrm{eq}}^{-2/3} \tag{12}$$

We fit an empirical power-law regression to the values of $\delta_{\phi}(\phi)$ extracted from figure 6a as a function of ϕ (see figure 6b). From the empirical regression we found $\delta_{\phi}(\phi) = 0.37\phi^{-0.33}$. Attributing this vegetation-dependence variation to the drag coefficient, we modify the drag coefficient formulation into equation 13. Where C_D was estimated using equation 12 for the sparsest vegetation case $\phi_5 = 0.02$ as a reference.

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$$C_{D,\phi} = \left[1 + 10 \operatorname{Re}_{eq}^{-2/3}\right] (0.37\phi^{-0.33})$$
(13)

This modification produces drag coefficient within expected values (0.92 to 1.64). 331 332 It still could be argued that the total TKE produced near the bed is highly influenced by additional mechanisms, such as bed shear and bedform contributions. Authors have 333 proposed a function for near-bed TKE that results from the summation of a bed-shear 334 contribution and stem-wake where their mutual influence is neglected (e.g., Yang et al., 335 2016; Tinoco & Coco, 2018). This approach becomes appropriate to establish a refer-336 ence condition (bare bed) to study the onset of incipient motion in vegetated flows. Yet, 337 it cannot be extended to near-bed TKE estimations because of the reciprocal interac-338 tion between TKE and bed dynamics. Due to the difficulty to separate each individual 339 contribution near the bed, they are compound within the vegetation-dependence vari-340 ation of the coefficient $\delta_{\phi}(\phi)$ and $C_D(\text{Re}_{eq})$. In contrast to Yang et al. (2016)'s work in 341 unidirectional currents with emergent vegetation, our results show a stronger dependence 342 between vegetation density and turbulence production near the bed for oscillatory flows. 343 Incorporating $\delta_{\phi}(\phi)$ relation into equation 11 for maximum near-bed TKE, we obtain 344 equation 14. Figure 7a presents our model along with the experimental data. 345

$$\frac{k_{\rm bed}^{1/2}}{U_{\rm in}} = 0.37 \left[C_D({\rm Re}_{\rm eq}) \frac{\phi}{(1-\phi)\pi/2} \right]^{1/3} \phi^{-0.33} \qquad d/\langle s_n \rangle_A < 0.56 \tag{14}$$

Figure 7b contrasts our experimental results and model, against Tanino and Nepf (2008b)'s available data and model. They measure instantaneous flow velocity from z = 0.17H up to z = 0.85H (H flow depth). Turbulence intensity in emergent vegetated



Figure 7. (a) Predicted maximum turbulent kinetic energy near the bed as a function of vegetation density and maximum depth-averaged velocity inside the canopy. (b) Comparison between Tanino and Nepf (2008)'s model (TN08) and their available data with our proposed model (ST) and experimental data

flows is the highest near the bed and decreases towards the water surface. Therefore, we 350 selected the maximum values of TKE from the series presented in Tanino and Nepf (2008b) 351 for comparison. Our model predicts Tanino and Nepf (2008b)'s available data (maximum 352 TKE) well for $\phi = 0.02$. Even though their flow is unidirectional, our predictor has a 353 good agreement with their data. In the case of $\phi = 0.35$, our predictions underestimate 354 their data. This vegetation density is higher than the densities used in our study (ap-355 proximately three times our densest case). It indicates that our predictor may not be 356 applicable to denser canopy flows. 357

Tanino and Nepf (2008b) model's prediction of our experimental data follows the main trend of the data. However, their model underestimates values of TKE for cases of $\phi_5 = 0.02$ while it overestimate for $\phi_2 = 0.07$ and $\phi_1 = 0.10$. Their predictor was developed for emergent-canopy unidirectional flows, far from the effect of the bed. Therefore, sediment roughness and bedforms hinder the applicability of this models to our experimental results.

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4.2 Maximum Turbulent Kinetic Energy Across the Top of the Canopy

We propose two relations to predict the maximum TKE at the top of the canopy 365 $k_{\rm top}$, set by the vegetation density threshold found on section 3. The first predictor es-366 timates the phase-averaged variation of k_{top} when the turbulence inside the canopy is dominated by stem-wakes and there is a weak contribution from the canopy-scale tur-368 bulence at top of the canopy. The second predictor estimates $k_{\rm top}$ for a regime where veg-369 etation drag reduces flow velocity inside the canopy hindering stem-wake turbulence, but 370 the transfer of TKE from canopy-scale eddies into stem-wake inside the mixing layer strength-371 ens. Each expression is set as a function of the outer flow above the canopy (to allow for 372 predictions with easy-to-measure velocities) and vegetation density. 373

We define the TKE at the top of the canopy as the spatial averaged TKE within the width of the mixing layer Δ_{mix} (see figure 8a), where Δ_{mix} is characterized by the vorticity thickness $\Delta U/(\partial \tilde{u}/\partial z)$ and ΔU is the absolute difference between the depthaveraged velocity inside and above the canopy (Finnigan, 2000). Turbulence dynamics within the mixing layer thickness experience a rapid energy transfer where canopy-scale eddies lose their TKE directly into wake TKE in a so-called spectral short-cut (Finnigan,



Figure 8. (a) Illustration of Turbulent Kinetic Energy at the top of the canopy k_{top} within the mixing layer Δ_{mix} and outer velocity U_{∞} . (b) Scatter plot of the variation of βk_{top} as a function of outer flow velocity U_{∞} grouped by volumetric solid fraction ϕ .

Table 2. Fitting coefficients α_{ϕ} and power ψ from power-function regression for maximum canopy-top TKE with their respective R^2 coefficient.

ϕ	$lpha_{\phi}$	ψ	R^2
0.10	0.0018	2.59	0.99
0.07	0.0010	2.56	0.97
0.05	0.0004	2.59	0.94
0.04	0.0012	2.34	0.98
0.02	0.0011	2.36	0.98

2000; King et al., 2012). The rate of TKE transfer in this region is proportional to the work done by these eddies against the vegetation drag.

To incorporate the effect of vegetation, we employ the rate of work done by the canopyscale eddies against the vegetation drag as the transfer mechanism for TKE into wake turbulence inside the mixing layer. Finnigan (2000, eq. 6.7) and King et al. (2012, eq. 3.12) propose equation 15 to express the rate of work done by the velocity fluctuations in the mixing layer, where C_D is the drag coefficient, a is the volumetric frontal area, ϕ is volumetric solid fraction, |U| is mean characteristic velocity, and $1/2\langle \overline{u'_iu'_i}\rangle$ is the TKE within the mixing layer, k_{top} .

$$W \approx \frac{3}{4} \frac{C_D a}{1 - \phi} |U| \frac{1}{2} \langle \overline{u'_i u'_i} \rangle \tag{15}$$

Figure 8b presents the variation of $\beta_{\phi}k_{\text{top}}$ as a function of U_{∞} , where $\beta_{\phi} = C_D a/(1-\phi)$ for each vegetation density ($\phi_1 = 0.10$ to $\phi_5 = 0.02$). Each vegetation data set follows a power function tendency of the form seen in equation 16, where α_{ϕ} and ψ are coefficients that depend on vegetation density, as listed in Table 2.

$$\beta_{\phi} k_{\rm top} = \alpha_{\phi} U_{\infty}^{\psi} \tag{16}$$

³⁹⁵ Data from vegetation density $\phi_5 = 0.02$ and $\phi_4 = 0.04$ collapse into the same ³⁹⁶ curve. This is because turbulence under these vegetation conditions are dominated by



Figure 9. (a) Distribution of βk_{top} as a function of outer flow velocity U_{∞} for $\phi \geq 0.05(ah \geq 0.8)$, where $\beta_{\phi} = C_D a/(1-\phi)$. (b) Distribution of βk_{top} as a function of outer flow velocity U_{∞} for $\phi < 0.05(ah < 0.8)$.

stem wakes. Therefore, the energy transfer from shear at the top of the canopy into the 397 stem-wake is weak enough that it becomes invariant of vegetation density. As vegeta-398 tion density increases to $\phi_3 = 0.05$, values of $\beta_{\phi} k_{\rm top}$ decrease compared to the sparser 399 cases. This behavior marks a transition where aquatic vegetation hinders the turbulence 400 transfer from the mixing layer into the canopy. From this vegetation condition on to denser 401 cases, values of $\beta_{\phi} k_{\text{top}}$ increase with ϕ , with canopy-scale eddies growing strong enough 402 to interact with the vegetation drag at the top of the canopy increasing the rate of ki-403 netic energy transfer. Table 2 shows that $\beta_{\phi} k_{top}$ over vegetation density from $\phi_3 = 0.05$ 404 to $\phi_1 = 0.10$ increases to the power of 2.58. On the other hand, for vegetation den-405 sity $\phi_5 = 0.02$ to $\phi_4 = 0.04$, $\beta_{\phi} k_{top}$ increases as a function of outer velocity following 406 a power function to 2.35 power. We thus propose a two-regime empirical predictor for 407 TKE levels at the top of the canopy as a function of outer flow velocity and vegetation 408 density (see eq. 17, as shown in fig. 9a and 9b). Data in figs 9a and 9b also provide a 409 benchmark for numerical models on the rate of work done by the eddies against the veg-410 etation drag inside the mixing layer thickness. 411

$$\beta_{\phi} k_{\text{top}} = \begin{cases} 0.0011 U_{\infty}^{2.35} & \phi < 0.05 & (ah < 0.8) \\ 0.26 \phi^{2.15} U_{\infty}^{2.58} & \phi \ge 0.05 & (ah \ge 0.8) \end{cases}$$
(17)

413 5 Conclusions

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We studied flow and turbulence structure in oscillatory flows through submerged 414 vegetation associated with the turbulent kinetic energy generated at the top of the canopy 415 and near the bed. We used particle image velocimetry to measure velocity fields inside 416 and above the vegetation. We analyzed the temporal and spatial evolution of velocity 417 and turbulent profiles, such as Reynolds stresses and turbulent events (quadrant anal-418 ysis). We developed two empirical relations for maximum TKE at the top of the canopy 419 mixing layer and near the bed. We found that vegetation density and wave amplitude 420 (excursion length) determine the structure and timing of vegetated oscillatory flows. 421

We noticed that maximum values of TKE inside the canopy follow a non-monotonic relation with vegetation density. We found that for $\phi < 0.05$ (ah < 0.8) turbulence inside the canopy is dominated by stem-wake turbulence. However, stem-scale turbulence decreases while canopy-scale turbulence at the top of the canopy strengthens for $\phi \geq 0.05$ ($ah \geq 0.8$). Quadrant analysis also shows that the frequency and intensity of sweep and ejection events at the top of the canopy and near the bed increases with the vegetation density in the latter regime.

We propose two relations to predict the maximum TKE at the top of the canopy 429 (inside the mixing layer) as a function of the vegetation density and maximum outer ve-430 locity. To quantify the effect of vegetation density, we used the rate of work done by the 431 canopy-scale eddies against the vegetation drag as the transfer mechanism for the TKE 432 into wake turbulence inside the mixing layer. In stem-wake dominated flow, $\beta_{\phi} k_{\text{top}}$ is 433 invariant to vegetation density for $\phi < 0.05$ (ah < 0.8) following a power function with 434 respect to outer velocity. On the other hand, $\beta_{\phi} k_{top}$ increases as a function of vegeta-435 tion density for $\phi \ge 0.05$ ($ah \ge 0.8$) where canopy-scale turbulence strengthens by shear 436 at the top of the canopy following another power function. 437

⁴³⁸ We also present a relation to estimate the maximum TKE near the bed using the ⁴³⁹ maximum depth-averaged longitudinal velocity inside the canopy and volumetric solid ⁴⁴⁰ fraction of the canopy. Our formulation follows the model structure proposed by Tanino ⁴⁴¹ and Nepf (2008b), but adjusted to consider maximum values of TKE under oscillatory ⁴⁴² conditions instead of time averages. According to our linear regressions, δ_{ϕ} varies between ⁴⁴³ 0.81 and 1.27 as a function of vegetation density, yielding an empirical regression of $\delta_{\phi} =$ ⁴⁴⁴ 0.37 $\phi^{-0.33}$.

The set of TKE models presented here provide easy-to-use tools for the estimation of maximum turbulence intensities at the canopy top and near the bed. Both predictors require vegetation density information in the form of volumetric solid fraction ϕ and maximum outer flow velocity U_{∞} . They become practical formulations for environmental engineering applications, as maximum TKE at the top of the canopy controls mass exchange and mixing across the vegetation top, whereas maximum TKE near the bed becomes a key mechanism for sediment transport in vegetated oscillatory flows.

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