# Gradient winds and neutral flow asymmetry in the auroral oval during disturbed conditions

Miguel Larsen<sup>1</sup>, Robert F Pfaff<sup>2</sup>, Rafael Mesquita<sup>1</sup>, and Stephen R Kaeppler<sup>1</sup>

<sup>1</sup>Clemson University <sup>2</sup>NASA/Goddard Space Flight Center

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#### Abstract

The Pedersen component of the Lorentz force produces an acceleration that is generally in the zonal direction in much of the dawn and dusk sectors in the auroral oval. During disturbed conditions, as the neutral flow begins to accelerate and the flow speeds increase, a balance develops in the meridional direction between the Coriolis, curvature, and pressure gradient forces, which are dominant in the lower thermosphere. The gradient wind equation that describes this balance predicts that the cyclonic flow on the dawn side is limited to the so-called regular solution, which has a maximum value of twice the geostrophic wind speed. The anticyclonic flow on the dusk side, on the other hand, can satisfy either the regular or anomalous solution with a transition at twice the geostrophic wind speed. The anomalous flow solutions have wind speeds significantly greater than the transition value, but are limited by the inertial wind value, i.e., the value that corresponds to a balance between the curvature and Coriolis forces. The analysis is carried out to show this result, which indicates that a significant quantitative asymmetry is expected between the dawn- and dusk-side flow, as is observed and has been shown in both observations and a number of numerical modeling studies. Implications for the wind distribution of perturbed pressure gradients and inertial instability are discussed.

## Gradient winds and neutral flow asymmetry in the auroral oval during disturbed conditions

### M. F. Larsen<sup>1</sup>, R. F. Pfaff<sup>2</sup>, R. Mesquita<sup>1</sup>, and S. R. Kaeppler<sup>1</sup>

 $^1 \rm Department$  of Physics & Astronomy, Clemson University, Clemson, South Carolina, U.S.A.  $^2 \rm NASA/Goddard$  Space Flight Center, Greenbelt, Maryland, U. S. A.

#### Key Points:

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7	•	Gradient wind balance is dominant in the neutral flow in the auroral oval in dis-
8		turbed conditions
9	•	The cyclonic flow on the dawn side is severely limited in magnitude by gradient
10		wind constraints
11	•	The anticyclonic flow on the dusk side can show anomalous super-gradient behav-
12		ior that accommodates significantly larger flow speeds

Corresponding author: Miguel Larsen, mlarsen@clemson.edu

#### 13 Abstract

The Pedersen component of the Lorentz force produces an acceleration that is generally 14 in the zonal direction in much of the dawn and dusk sectors in the auroral oval. Dur-15 ing disturbed conditions, as the neutral flow begins to accelerate and the flow speeds in-16 crease, a balance develops in the meridional direction between the Coriolis, curvature, 17 and pressure gradient forces, which are dominant in the lower thermosphere. The gra-18 dient wind equation that describes this balance predicts that the cyclonic flow on the 19 dawn side is limited to the so-called regular solution, which has a maximum value of twice 20 the geostrophic wind speed. The anticyclonic flow on the dusk side, on the other hand, 21 can satisfy either the regular or anomalous solution with a transition at twice the geostrophic 22 wind speed. The anomalous flow solutions have wind speeds significantly greater than 23 the transition value, but are limited by the inertial wind value, i.e., the value that cor-24 responds to a balance between the curvature and Coriolis forces. The analysis is carried 25 out to show this result, which indicates that a significant quantitative asymmetry is ex-26 pected between the dawn- and dusk-side flow, as is observed and has been shown in both 27 observations and a number of numerical modeling studies. Implications for the wind dis-28 tribution of perturbed pressure gradients and inertial instability are discussed. 29

#### <sup>30</sup> Plain Language Summary

The ion drag "push" in the lower ionosphere in the auroral oval accelerates the winds 31 in the direction that is generally westward on the dusk side of the auroral oval and gen-32 erally eastward on the dawn side. Forces perpendicular to the ion push include the Cori-33 olis force, the curvature (centrifugal) force, and the pressure gradient, which set up a bal-34 ance in the north-south direction that ideally keeps the flow in the acceleration chan-35 nel. On the evening side the balance of forces is very effective in doing that, but it is shown 36 that on the morning side the balance is limited to a range of wind speeds between zero 37 and twice the geostrophic value. The balance of forces on the evening side can accom-38 modate much larger wind speeds, but even there, the maximum flow speed is limited by 39 the inertial value, which is the wind speed that corresponds to a balance between the 40 centrifugal and Coriolis forces with a value for typical parameters of approximately  $350 \text{ mS}^{-1}$ . 41 The maximum flow speeds on both the dusk and dawn side are ultimately limited by in-42 ertial instability in the flow, which develops if the limits are exceeded. 43

#### 44 1 Introduction

Observations dating back at least to the 1970's (e.g. Mikkelsen et al., 1981) have 45 shown that very large winds exceeding  $300 \text{ ms}^{-1}$  can develop on the dusk side of the au-46 roral oval in the lower E region in active conditions. Joule heating may contribute in part 47 to the acceleration of the winds, but the Pedersen component of the Lorentz force is clearly 48 the dominant force, with ion drifts driven by magnetospheric forcing leading to winds 49 that are closely aligned to the direction of the plasma drifts. The winds that develop on 50 the dusk side have much in common with the jets that occur near the tropopause in the 51 lower atmosphere in that they have large wind speeds over a limited horizontal extent 52 along the direction of the flow and divergence and convergence associated with the en-53 trance and exit regions of the jets, respectively. Furthermore, the observed wind max-54 ima generally have a peak near 120- to 125-km altitude, which supports the character-55 ization of the feature as being jet-like. 56

The primary driver for these auroral jets is clearly the Pedersen component of the Lorentz force, but a simple "push" from the ions is not sufficient to be effective in accelerating the winds. In addition to the driving force, a balance of forces is required in the cross-flow direction to keep the air in the acceleration channel and to maintain a stable configuration during the extended time period required for the forcing to have a significant effect. At low speeds, the Coriolis force, which is linearly proportional to the wind

speed, is dominant. In particular, an approximate balance generally develops between 63 the Coriolis force and the pressure gradient force. The ambient pressure gradient can con-64 tribute to or inhibit the balance, but in either case, the initial deflection of the air parcels 65 in the cross-flow direction increases as the wind speeds increase and lead to back-pressure 66 gradients at the edges of the acceleration channel until a balance is achieved. The ad-67 justment process was described in detail in the early papers by Larsen and Mikkelsen 68 (1983) and Walterscheid and Boucher (1984). The more recent paper by Kwak and Rich-69 mond (2007) also gives a clear summary of the dynamics responsible for the adjustment 70 that leads to a balance of forces. 71

As the wind speeds increase further due to the imposed Lorentz force, the centrifu-72 gal force becomes increasingly important for a flow that is zonal or very nearly zonal, 73 as is the case on the dusk side of the auroral oval. In that part of the oval, the balance 74 of forces is particularly favorable since the flow is westward, producing a Coriolis force 75 that is poleward and a centrifugal force that is equatorward. In that case, the re-distribution 76 of mass required for the pressure gradient to complete the balance is relatively minor, 77 and the neutral flow is more likely to stay in the acceleration channel where the Lorentz 78 force can have a great effect. 79

The situation on the dawn side is much less favorable for the balance of forces re-80 quired for the imposed Lorentz forcing to be effective. The plasma drifts on that side 81 are generally eastward, which should lead to the development of eastward or nearly east-82 ward neutral flow, but that flow direction leads to both a Coriolis deflection and a cen-83 trifugal force that are equatorward. A much greater re-arrangement of mass is therefore 84 required to complete the balance of forces, and the flow tends to be displaced out of the 85 acceleration channel before the Lorentz force has sufficient time to have a significant ef-86 fect. The general asymmetry between the neutral flow on the dusk and dawn sides of 87 the auroral oval has been noted in various earlier papers dealing with both observational 88 data and modeling studies. An early, excellent example of the asymmetry can be found 89 in the modeling study by Fuller-Rowell and Rees (1981). Gundlach et al. (1988) used 90 a simple shallow-water numerical model to illustrate the effects of the nonlinear centrifu-91 gal terms in accounting for the differences in the adjustment process on the dusk and 92 dawn side. The model results presented by Kwak and Richmond (2007) also clearly show 93 the asymmetry, and that paper discusses the associated dynamics. 94

A major motivation for the results presented here is the Auroral Jets rocket exper-95 iment that was carried out in Alaska on March 2, 2017 in the evening sector during a 96 period of enhanced geomagnetic activity. The specific objectives of the experiment were 97 to obtain measurements of the large winds associated with a lower thermosphere jet, as 98 well as in-situ and ground-based measurements of the forcing that contributes to the de-99 velopment of the jet structures. The primary wind measurements were obtained with 100 the trimethyl aluminum (TMA) tracer technique and showed maximum wind speeds ex-101 ceeding  $300 \text{ ms}^{-1}$  with a peak near 120-km altitude where the Pedersen component of 102 the Lorentz force is most effective. The analysis presented here focuses on aspects of the 103 wind balance in auroral jets, the dawn/dusk wind asymmetry, and acceleration effects 104 that can be inferred directly from the gradient wind balance conditions, i.e., from a flow 105 characterized by a balance between the Coriolis, centrifugal, and pressure gradient forces, 106 107 but with aspects that have not been considered in detail in earlier studies. In particular, we show that the development of the very large winds that occur on the dusk side 108 almost certainly require that the balance corresponds to what is referred to as the anoma-109 lous gradient wind solution for anticyclonic flow. Because there is no corresponding anoma-110 lous solution for cyclonic flow, i.e., the flow condition on the dawn side, the asymmetry 111 between the two regions is shown to be a natural consequence of the simple mathemat-112 ical solution of the gradient wind balance equation. A further consequence of the anoma-113 lous solution is that, once the maximum wind speed for the normal solution has been 114 reached, a further decrease in the magnitude of the cross-flow pressure gradient will lead 115

to an increase in the magnitude of the wind that can be accommodated in the balanced
state for the anomalous solution, which again makes the Lorentz force more effective and
is conducive to the development of large wind speeds.

Two representative examples of evening-side auroral jet observations are presented in the next section. The gradient wind equation analysis is presented after that, including the anomalous solutions and the wind value that represents the transition from the regular to the anomalous flow solution. An analysis of the implications for the effect of back-pressures on the flow follows based on a third set of observations, along with a consideration of possible instabilities associated with flow that would limit the maximum flow speed. Finally, the results are summarized and the implications are discussed.

#### 126 **2** Auroral Jets Winds

Jets are ubiquitous in planetary atmospheres, including the Earth's (e.g., Galperin 127 128 & Read, 2019). Jets that occur in the lower atmosphere near the tropopause are the result of strong horizontal temperature gradients within the larger-scale global tempera-129 ture gradient. Enhanced wind speeds that characterize the jet stream are the result of 130 synoptic scale instabilities in the flow that create enhanced temperature gradients and 131 strengthen the flow. While similar instabilities may occur in the lower thermosphere, the 132 jets that are the focus of this study are generated primarily by the Pedersen component 133 of the magnetospherically-induced Lorentz force at high latitudes. The increase in plasma 134 density and DC electric fields in active conditions leads to acceleration of the neutrals 135 in the lower E region via neutral-ion collisions in a direction that is close to or in the di-136 rection of the plasma flow. To the extent that the neutrals respond directly to the forc-137 ing, the neutral acceleration therefore would ideally produce a neutral circulation that 138 mimics the two-cell plasma convection pattern in the auroral oval and polar cap region, 139 although with a temporal lag characterized by the neutral-ion collision frequency. The 140 observations by Heppner and Miller (1982) of high-latitude F-region winds showed di-141 rect evidence of the time lag shift in the neutral flow pattern response at those heights. 142 The simulation by Crowley et al. (2006), for example, gives examples of the time lag for 143 the E-region flow. The horizontal north/south scale of the forcing within the dusk or dawn 144 sector in the oval is comparable to the width of the auroral oval. The zonal extent of the 145 acceleration region is limited, at a maximum, by the distance between the sharp turn-146 ing points in the forcing near the Harang discontinuity and the dayside cusp, although 147 typically the forcing varies longitudinally at shorter scales than that due to variations 148 in the forcing imposed by magnetospheric processes. 149

To the extent that the neutrals have time to respond to the Lorentz forcing, strong 150 winds will be created in a region with a narrow north/south extent of 100 to a few hun-151 dred kilometers and a much larger longitudinal extent, i.e., a flow with the character-152 istics typical of a jet. In order for the Lorentz force to be effective in accelerating the neu-153 trals significantly it is necessary for the neutrals to remain in the narrow channel where 154 the acceleration occurs for an extended period. Time constants for Pedersen accelera-155 tion are an hour or more, even in disturbed conditions (see, e.g., Larsen & Walterscheid, 156 1995). Any significant net cross-channel flow will tend to move the neutral flow out of 157 the acceleration channel, thus reducing the effectiveness of the forcing in producing en-158 hanced winds. 159

In this section we present the measurements from two cases that illustrate the jet structure, and the balance of forces that made the acceleration effective. A third case that illustrates the effect of cross-flow advection gradients is shown in a later section. All three sets of measurements were obtained with the sounding rocket vapor tracer technique. The latter provides high-resolution altitude profiles of the winds with relatively small error bars of  $\sim 5-10 \text{ ms}^{-1}$ . Furthermore, trails released on the up-leg and downleg portion of the sounding rocket flights typically result in wind profile measurements 100-200 km apart, which is comparable to the width of the forcing region. All three cases 168 shown here are from measurements on the evening side of the auroral oval in Alaska.

The first case is from the Auroral Jets launch that provided the motivation for the 169 overall analysis presented here. The launch was at 0550 UT on Mar. 2, 2017, correspond-170 ing to 1840 MLT on Mar. 1, i.e., on the dusk side of the auroral oval. The up-leg wind 171 profile in the upper panel of Figure 1 shows strong westward winds that peak near 125-172 km altitude. The winds gradually rotate toward northward at higher altitudes above that. 173 The down-leg wind profile is not presented here but shows a similar vertical structure 174 and comparable magnitudes in the wind components. The measurements were made dur-175 ing active conditions. Details about the magnetic activity and the Lorentz forcing can 176 be found in the dissertation by Mesquita (2021) and will not be presented here. The lower 177 panel in the figure shows the profiles of the meridional components of the Coriolis and 178 curvature terms. The height variation in the two profiles is very similar and the mag-179 nitudes are nearly equal and opposite, suggesting a balance in the forces that tends to 180 keep the air in the acceleration channel. The balance of forces for the down-leg profile 181 was very similar in the height variation and force magnitudes. It should be noted that 182 the curvature term calculated here is based on the radial distance from the Earth's ro-183 tation axis. The value therefore corresponds to the centrifugal acceleration for a flow along 184 the auroral oval at a constant latitude but is a lower limit since the value can be higher, 185 i.e., the effective radius smaller, for an actual circulation with a tighter radius of curva-186 ture. 187

The second case corresponds to a wind profile measured on Feb. 28, 1978, at Poker 188 Flat, Alaska, at 0417 UT in conditions that were more active than in the Auroral Jets 189 190 case presented above. The time of the launch corresponded to 1717 MLT on Feb. 27. Details of the forcing and more detailed information about the observations can be found 191 in the papers by Mikkelsen et al. (1981, 1981a). As described in those papers, winds were 192 measured on two separate nights four days apart close to the same local time. Both launches 193 were in similar activity levels. The profiles in both cases had similar vertical structure 194 and comparable maximum wind speeds. The calculated curvature and Coriolis force pro-195 files are shown in the lower panel of Figure 2. The paper by Mikkelsen et al. (1981a) in-196 cluded profiles of the various different forces in play, concluding that the Coriolis and 197 curvature terms were in near balance, as shown by the curves presented here. 198

Various numerical modeling studies have shown that winds in the auroral oval, especially on the dusk side, are in near gradient wind balance. A particularly clear discussion of this point is the paper by Kwak and Richmond (2007, and references therein). The earlier papers, however, have invoked the gradient wind balance qualitatively. More detailed conclusions can be drawn about both the nature of the balance of forces and the response of the atmosphere to the magnetospherically-induced forcing from the analysis of the gradient wind balance equation, as shown in the next section.

#### <sup>206</sup> **3** The Gradient Wind Equation

In response to an imposed Pedersen Lorentz force in the zonal direction the neu-207 trals will begin to accelerate in the direction of the plasma flow within the channel where 208 the electric fields and plasma densities are enhanced, leading to an adjustment process 209 that was discussed by Larsen and Mikkelsen (1983) and Walterscheid and Boucher (1984). 210 Initially, when the wind speeds are still small, the Coriolis force will be dominant, lead-211 ing to a displacement of the flow in the cross-channel, i.e., meridional, direction. Since 212 the flow outside the acceleration channel is stationary or slow moving, convergence oc-213 curs on one side of the channel and divergence on the other, producing a back-pressure. 214 A balance between the resulting meridional pressure gradient and the Coriolis force will 215 tend to keep the air parcels in the acceleration channel. The process is transient, how-216 ever, and as the winds continue to accelerate, the curvature term becomes important so 217



Figure 1. Top panel shows profile of the zonal and meridional winds obtained on the up-leg in the launch on Mar. 2, 2017 from Poker Flat, Alaska. The lower panel shows the calculated Coriolis and curvature accelerations calculated from the measured winds.



Figure 2. Wind profile and corresponding curvature and Coriolis force profiles from a rocket measurement on Feb. 28, 1978 at Poker Flat, Alaska. Format is the same as in Figure 1.

that the meridional balance includes the Coriolis, curvature, and pressure gradient force.

A balance between those three forces represents the standard gradient wind balance that is also common in flows in the lower atmosphere, including those associated with curv-

ing jet streams and tropical storms. Viscosity becomes increasingly important with al-

titude in the thermosphere but can be neglected in the lower part of the E region, i.e.,

in the height range of interest here, for the relatively short time scales of a few hours or

less required to accelerate the winds to the speeds shown in the two examples above. The

Pedersen component of the imposed Lorentz force is therefore a primary driver in the

<sup>226</sup> zonal direction, but the key to making that driver effective is the gradient wind balance <sup>227</sup> in the meridional direction that will either keep the air parcels in the acceleration chan-

nel if a balance is achieved or push them out of the channel if the forces are not balanced.

The standard solution of the gradient wind equation can be found in a number of texts on atmospheric dynamics or synoptic meteorology, including the one by Holton (1992). We repeat it here since the so-called anomalous solution, which is not commonly considered to be relevant in the lower atmosphere, is of particular interest for the case of the high-latitude thermosphere. The balance of forces can be written as

$$\frac{V^2}{R} + fV + \frac{1}{\rho}\frac{\partial p}{\partial n} = 0 \tag{1}$$

with V the zonal wind speed, R the radius of curvature for the flow,  $\rho$  the atmospheric density, p the pressure, and n the normal coordinate, i.e., the meridional component in

this case. The equation is quadratic in V. The gradient wind solution is

$$V_{gw} = -\frac{fR}{2} \pm \left(\frac{f^2R^2}{4} - \frac{R}{\rho}\frac{\partial p}{\partial n}\right)^{1/2} \tag{2}$$

<sup>237</sup> Special limiting cases for the flow are a geostrophic balance in which the Coriolis force

<sup>238</sup> balances the pressure gradient force so that

$$V_{geo} = -\frac{1}{f\rho} \frac{\partial p}{\partial n} \tag{3}$$

and an inertial balance in which the centrifugal force balances the Coriolis force so that

$$\frac{V^2}{R} + fV = 0 \tag{4}$$

<sup>240</sup> and the inertial wind speed is

$$V_{iner} = -fR \tag{5}$$

The latter is a special case corresponding to either  $R \to \infty$  or  $\partial p/\partial n \to 0$ . The for-

mer condition is not especially relevant to the flow solutions in the auroral oval, but the
latter is, as we will show below. We can write the solution in terms of the geostrophic
and inertial wind, which then takes the form

$$\frac{V_{gw}}{V_{iner}} = -\frac{1}{2} \pm \left(\frac{1}{4} - \frac{V_{geo}}{V_{iner}}\right)^{1/2} \tag{6}$$

The quadratic equation has only two solutions, corresponding to the +/- signs, but the usual approach is to give R a sign to account for the direction of  $V_{iner}$ , i.e., east (positive) or west (negative), and a sign for  $V_{geo}$ , corresponding to the sign of the pressure gradient, i.e., radially inward or outward. Including the two signs for R and the two signs for the pressure gradient, manifested as the sign of  $V_{geo}$  in this case, we get eight solutions, but only four are physical. The solutions are given by, e.g., Holton (1992, pp.67– 69) and are summarized in Table 1.

The gradient wind directions and balance of forces are shown schematically in Fig. 3 for the cases corresponding to the normal gradient wind solutions. The flow and force

 $\begin{array}{c|c} R>0 & R<0 \\ \hline \\ \partial p/\partial n>0 & \text{Pos root (unphysical)} & \text{Pos root (anomalous low)} \\ \text{Neg root (unphysical)} & \text{Neg root (unphysical)} \\ \hline \\ \partial p/\partial n<0 & \text{Pos root (normal low)} & \text{Pos root (anomalous high)} \\ \text{Neg root (unphysical)} & \text{Neg root (normal high)} \\ \end{array}$ 

 Table 1. Roots of the gradient wind equation



**Figure 3.** Schematic diagram showing the flow directions for the two physical roots of the gradient wind equation corresponding to normal cyclonic and anticyclonic flow presented in the context of the two-cell convection pattern. The blue arrows show the direction of the Coriolis force, the red arrows the curvature force direction, and the green arrows the pressure gradient force.

directions are shown in the context of the two-cell pattern. On the dusk side, the elec-254 tric fields are poleward, leading to a westward plasma drift and a circulation that is clock-255 wise, corresponding to normal anticyclonic flow in the northern hemisphere. The elec-256 tric fields are equatorward on the dawn side leading to an eastward plasma drift and a 257 circulation the is counterclockwise in the northern hemisphere, corresponding to normal 258 cyclonic flow. An indication of the pressure gradients expected in each cell can be found 259 in the figures in the article by Crowley et al. (2006), which show several examples of the 260 densities from numerical model simulations. The density maps are consistent with a pat-261 tern that has high pressure in the dusk-side cell and low pressure in the dawn-side cell, 262 as shown by the **H** and **L** symbols in Fig. 3. The resulting pressure gradient force is rep-263 resented by the green arrow. The black arrow shows the flow direction, and the blue and 264 red arrows show the Coriolis and curvature forces, respectively. On the dusk side the Cori-265 olis and curvature terms are in opposite directions, but are in the same direction on the 266 dawn side, making the balance inherently more difficult to achieve on the dawn side. 267

The heavy dark line in Fig. 4 shows the behavior of the magnitude of all four physical solutions. In the figure, the normal solution is the portion below the  $V_{gw} = 2V_{geo}$ extremum. The anomalous solution is the portion of the curve above the extremum and is only relevant for anticyclonic flow, as we will discuss further below. In the graph  $V_{geo}$ represents the pressure gradient in the form of an equivalent geostrophic wind. The curve therefore shows that the gradient wind increases as the pressure gradient increases up



**Figure 4.** Plot of the the gradient wind solution on the vertical axis versus the geostrophic wind on the horizontal axis. Note that the geostrophic wind represents the equivalent pressure gradient.

to the point where the gradient wind is twice the geostrophic value. Beyond that the gradient wind continues to increase as the geostrophic value, i.e., the pressure gradient decreases, with the gradient wind eventually reaching a maximum value when the gradient wind is equal to the inertial wind, i.e., when the curvature and Coriolis terms balance each other. The pressure gradient is zero at that point.

A clearer picture of the dynamics responsible for the limits discussed above can be 279 seen in the diagram of the forces for the anomalous gradient wind solutions in Figure 5. 280 As shown, the flow direction for both anomalous solutions is anticyclonic. There is no 281 cyclonic flow solution for the case that  $V_{gw} > 2V_{geo}$ . In terms of the actual high-latitude 282 flow, accelerating the neutrals beyond the  $2V_{geo}$  speed would require a reversal of the 283 neutral flow direction on the dawn side, i.e., a change in direction to motion opposite 284 the direction of the forcing due to the Pedersen Lorentz force. In the auroral oval, the 285 Lorentz forcing associated with the two-cell convection pattern creates an anticyclonic 286 circulation on the evening side and a cyclonic circulation on the morning side. Because 287 the cyclonic solution is limited to the normal gradient wind, the maximum wind on that 288 side is  $V_{aw} = 2V_{aeo}$ . By contrast, the solutions on the evening side extend to the full 289  $V_{iner}$  value, which is twice as large. The implication is that there is an inherent asym-290 metry in the magnitude of the winds that can be supported on the dusk and dawn side 291 with a difference of approximately a factor of two. 292

The scenario for the generation of winds on the evening side is the following. Initially when the forcing is imposed, the Coriolis force will be dominant. Since the strong



**Figure 5.** Schematic diagram showing the flow directions for the two physical roots of the gradient wind equation corresponding to the anomalous flow cases in the same format as Figure 3.

westward forcing occurs within a channel with a narrow north-south extent, the winds 295 within the channel are displaced to the right by the Coriolis force, i.e., poleward in this 296 case. Winds outside the channel are small, and the result is the build-up of back pres-297 sure near the poleward boundary. This tends to keep the flow in the acceleration chan-298 nel where the magnetospherically-imposed Lorentz force is large. As the wind speed in-299 creases, the centrifugal force becomes more important. The maximum pressure gradi-300 ent occurs when  $V_{qw} = 2V_{qeo}$ . Beyond that point, the pressure gradient decreases, and 301 the wind continues to increase, finally reaching its maximum value of  $V_{iner}$  if the forc-302 ing persists long enough. If the forcing continues to act, the winds could be expected to 303 increase further, but if there is no balance of forces beyond the critical value, any ad-304 ditional acceleration will result in inertial instability, leading to the generation of waves 305 and a reduction of the wind speed to the inertial wind value. We can calculate an ap-306 proximate value for the limiting wind speed as follows. The effective radius for the cen-307 trifugal force for a circulation centered at the pole is  $R_E \tan \theta$ , where  $R_E$  is the radius 308 of the Earth and  $\theta$  is the co-latitude. The radius can be smaller in a smaller-radius cir-309 culation, but the value estimated here should be reasonable for a circulation generated 310 by the two-cell convection pattern. For  $\theta = 25^{\circ}$ , the inertial wind speed is  $\sim 350 \text{ ms}^{-1}$ , which 311 is close to the value measured in the two cases presented above as examples. It is ques-312 tionable whether wind speeds much larger than this can be supported by the high-latitude 313 lower-thermospheric flow in the auroral oval. 314

#### 315 4 MIST Launches

The Mesospheric Inversion Stratified Turbulence (MIST) rockets included two va-316 por tracer rockets launched on Jan. 26, 2015, approximately 30 minutes apart. Magnetic 317 local time for the first launch was 2210. The primary goal of the experiment was to study 318 mesospheric turbulence, but conditions were active throughout the night. The launches 319 therefore provided information about the dynamics in the lower E region, as well as in-320 formation about turbulence lower down. In addition the two launches provided infor-321 mation about the temporal evolution of the flow. Figure 6 shows the up- and down-leg 322 wind profiles for the first launch and the up-leg profile for the second launch. The first 323 profile shows features similar to those of the two previous examples with large peak winds 324 between 125 and 130 km altitude. Of particular interest is the meridional gradient in the 325



Figure 6. The three panels show the up-leg (top) and down-leg (middle) wind profiles for the MIST launch at 0015 UT on Jan. 26, 2015 plus the upleg profile at 0048 UT, approximately half an hour later.

meridional wind shown by the winds in the top and center panel. Specifically, the merid-326 ional wind decreased with latitude at the time of the first launch, suggesting that there 327 was convergence along the direction toward north, increasing the southward directed pres-328 sure gradient force. At the time of the first launch, the up-leg zonal winds peaked with 329 maximum speeds close to  $300 \text{ ms}^{-1}$ , i.e., in the range corresponding to the anomalous 330 gradient wind solution. An increasing pressure gradient moves the solution to the right 331 along the curve in the top half of Figure 4, leading to a decrease in the gradient wind 332 speed. A half hour later, the wind speeds have decreased significantly in the altitude range 333 where the meridional advection was significant. The change in the winds is not likely to 334 be entirely due to a change in the pressure gradient, but it is reasonable to expect that 335 it would be a contributing factor. 336

#### **5** Discussion and Summary

The gradient wind balance applies to any curved flow and is common in the lower 338 atmosphere in connection with the meridional excursions associated with Rossby waves 339 and the associated jet streams. The wind speeds are generally small enough, however, 340 so that the balanced solutions are limited to the normal cyclonic and anticyclonic gra-341 dient wind solutions. The anomalous solutions, if they exist, are much less common, but 342 a series of papers for well over half a century have argued that they can occur in the tro-343 posphere in special circumstances (Godson, 1949; Gustafson, 1953; Alaka, 1961; Mogil 344 & Holle, 1972; Fultz, 1991; Krishnamurti et al., 2005; Knox & Ohmann, 2006; Willoughby, 345 2011; Brill, 2014; N. Li, 2015; Cohen et al., 2017; T. Li et al., 2017). The requirement 346 for making the anomalous solutions viable is a large sustained forcing that can push the 347 winds past the  $2V_{qeo}$  limit. Those conditions are difficult to obtain in the lower atmo-348 sphere, with the possible exception of certain mesoscale phenomena, including tropical 349 cyclone forcing. The situation is quite different, however, in the auroral oval where the 350 forcing is imposed externally from the magnetosphere. As long as the Lorentz forcing 351 is sustained, the acceleration can continue and the winds can be accelerated to the anoma-352 lous gradient wind balance regime and even to the limit imposed by the basic balance 353 of forces, i.e., the inertial wind limit. The numerical model results from the Kwak and 354 Richmond (2007) study represent integrations carried out to steady state and show wind 355 speeds on the dusk side that are close in magnitude to the inertial wind limit. The ob-356 servational examples presented here represent samples during the transient adjustment 357 phase since they occur after a few hours or less of forcing. Nonetheless, the examples from 358 1978 show maximum winds close to or at the inertial wind limit with relatively small merid-359 ional flow, indicating that the winds were close to the adjusted state. 360

Gradient wind balance has not been explored quantitatively in the context of the mesosphere and lower thermosphere flow with the exception of the study by Lieberman (1999) of mid- and low-latitude winds measured by satellite, which found a near gradient wind balance, but with winds limited to values that would be characteristic of the normal rather than the anomalous solution. The anomalous solution was not considered, as would be expected, since winds outside the auroral oval are generally not large enough to satisfy the anomalous solution balance condition.

The discussion and analysis in the previous sections have focused on the Pedersen 368 component of the Lorentz force as a driver for the neutrals, i.e., an ion push, but the merid-369 ional flow perpendicular to the plasma drifts also experiences a corresponding drag due 370 to the Pedersen component of Lorentz force. Larsen and Mikkelsen (1983) showed that 371 the effect of the meridional drag is to reduce the time required to reach the adjusted state 372 by damping the oscillatory motions that are a natural part of the adjustment process. 373 The same increases in the plasma density that increase the acceleration of the flow there-374 fore also make the adjustment required to keep the flow in the acceleration region more 375 efficient. 376

A related point is that the Hall component of the Lorentz force is significant in the 377 lowest part of the E region. As shown by Larsen and Walterscheid (1995), the Hall drag 378 term at high latitudes where the magnetic field lines are near vertical creates a deflec-379 tion perpendicular to the neutral flow direction but opposite to that of the Coriolis force. 380 The net effect can be treated as a reduction in the Coriolis parameter with an effective 381 f given by the Hall drag coefficient subtracted from the actual Coriolis parameter. As 382 the Hall drag coefficient increases with height up to a peak value near 120 km (see, e.g., 383 Larsen & Walterscheid, 1995, Figure 1), the inertial wind value, and therefore also the 384 maximum wind value that can be supported, will be less below that altitude than above. 385

A final point is that the meridional pressure gradient is an important part of the gradient wind balance but is difficult to measure. Nonetheless, we can infer the likely behavior of that parameter from the gradient wind relation. In particular, the actual ad-

justment process during the transient acceleration phase for the flow will be subject to 389 oscillations as the mass field is redistributed by meridional advection. Joule heating can 390 also affect the horizontal mass and pressure distribution. The pressure gradient there-391 fore cannot be expected to align exactly to the parabolic curve that is the solution of 392 the gradient wind equation (Figure 4). Nonetheless, the upper limit given by the iner-393 tial wind value depends only on the Coriolis parameter f and the radius of curvature R. 394 The lower limit is zero. In between there has to be an increase in the pressure gradient 395 leading to the maximum for the normal solution and a decrease in the gradient associ-396 ated with further acceleration of the winds. The implications of the results presented here 397 are therefore that on both the dusk and dawn side of the auroral oval, a horizontal pres-398 sure gradient increase created by the flow adjustment process must accompany the ac-399 celeration of the neutral flow. The acceleration on the dawn side is limited to twice the 400 geostrophic value. The flow on the dusk side can increase further, however, to an upper 401 limit given by the inertial wind value, which is  $\sim 350 \text{ ms}^{-1}$ . A further implication is that 402 any process that changes the cross-flow pressure gradient will also lead to changes in the 403 maximum wind speed. On the dawn side the relationship is straightforward since only 404 the normal solution applies. In that case a decrease in the gradient corresponds to a de-405 crease in the gradient wind speed. On the dusk side in the anomalous gradient wind case 406 an increase in the pressure gradient will actually lead to a decrease in the wind speed, 407 which is somewhat counterintuitive. 408

The real lower thermosphere flow at high latitudes has a complex mixture of forces, including tidal forcing, Joule heating, and the Lorentz forcing that has been the focus here. The gradient wind balance cannot explain all aspects of the observed winds, but it does appear to account for some of the main features, including a limit on the observed wind maxima in the E region and the asymmetry between dusk and dawn flows.

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