### An investigation of auroral E region energy exchange using Poker Flat Incoherent Scatter Radar observations during fall equinox conditions

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#### Abstract

We present new results using data collected by the Poker Flat Incoherent Scatter Radar (PFISR) of energy transfer rates which include the effects from neutral winds in the high latitude E-region ionosphere-thermosphere (IT) during Fall 2015. The purpose of our investigation is to understand the magnetic local time (MLT) dependence of the peak energy transfer, which occurs asymmetrically in the morning-evening (dawn-dusk) MLT sector. The statistical characteristics of both altitude-resolved and altitude-integrated energy transfer rates in the auroral E region local to PFISR during different geomagnetic conditions are quantified. Our analysis shows that the geomagnetic activity level has a large impact on the energy transfer rates. In contrast with previous investigations, we find both the altitude integrated electromagnetic (EM) energy transfer rate and Joule heating rate are larger in the evening sector than in the morning sector during all geomagnetic activity conditions. We also observe non-negligible negative EM energy transfer rates below 110 km in the morning sector during active conditions, which is associated with neutral winds during this MLT interval. The statistical results show that the neutral winds tend to increase the Joule heating rate in a narrow altitude range in the morning sector and impact a broader region with respect to altitude and time in the evening sector in the E region under moderate and active conditions. We find that during quiet conditions that the neutral winds have a significant contribution to the Joule heating and contribute up to 75% of the Joule heating. However, during active conditions, the enhanced fields are a dominant driver of Joule heating, while the neutral wind effects can reduce the Joule heating rates by 25% or more relative to the passive heating rates.

# An investigation of auroral E region energy exchange using Poker Flat Incoherent Scatter Radar observations during fall equinox conditions

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#### **Key Points:**

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9	•	E region energy transfer rates are estimated from PFISR measurements for the
10		first time.
11	•	The electromagnetic energy transfer rate and the Joule heating rate are larger in
12		the evening sector versus the morning sector.
13	•	Negative electromagnetic energy transfer rates occur below 110 km in the morn-

ing sector during disturbed geomagnetic conditions.

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#### 15 Abstract

We present new results using data collected by the Poker Flat Incoherent Scatter Radar 16 (PFISR) of energy transfer rates which include the effects from neutral winds in the high 17 latitude E-region ionosphere-thermosphere (IT) during Fall 2015. The purpose of our 18 investigation is to understand the magnetic local time (MLT) dependence of the peak 19 energy transfer, which occurs asymmetrically in the morning-evening (dawn-dusk) MLT 20 sector. The statistical characteristics of both altitude-resolved and altitude-integrated 21 energy transfer rates in the auroral E region local to PFISR during different geomagnetic 22 conditions are quantified. Our analysis shows that the geomagnetic activity level has large 23 impacts on the energy transfer rates. In contrast with previous investigations, we find 24 both the altitude integrated electromagnetic (EM) energy transfer rate and Joule heat-25 ing rate are larger in the evening sector than in the morning sector during all geomag-26 netic activity conditions. We also observe a non-negligible negative EM energy trans-27 fer rates below 110 km in the morning sector during active conditions, which is associ-28 ated with neutral winds during this MLT interval. The statistical results show that the 29 neutral winds tend to increase the Joule heating rate in a narrow altitude range in the 30 morning sector and impact a broader region with respect to altitude and time in the evening 31 sector in the E region under moderate and active conditions. We find that during quiet 32 conditions that the neutral winds have a significant contribution to the Joule heating and 33 contribute up to 75% of the Joule heating. However, during active conditions the enhanced 34 fields are a dominant driver of Joule heating, while the neutral wind effects can reduce 35 the Joule heating rates by 25% or more relative to the passive heating rates. 36

#### 37 1 Introduction

The ionosphere-thermosphere (IT) at high latitudes is an important sink for mag-38 netospheric energy input in the form of particle precipitation and Poynting flux (Thayer 39 & Semeter, 2004). Of paramount importance is understanding the spatial and tempo-40 ral distribution of energy input and dissipation through Joule heating within the IT sys-41 tem. The interaction of the electric fields, conductivities, and neutral winds that con-42 trol the energy dissipation are complex. Even though a climatological view of Joule heat-43 ing has emerged, many of the details as to how the electric fields, conductivities, and neu-44 tral winds generate the magnetic local time pattern of the Joule heating remains uncer-45 tain. This problem is further complicated by the relatively small sets of irregularly sam-46 pled data that have been collected. The purpose of this investigation is to understand 47 the magnetic local time dependence (MLT) of the energy dissipation maxima using a nearly 48 continuously sampled dataset of incoherent scatter radar observations. 49

Joule heating has been investigated globally and locally through observation and 50 modeling, i.e., coherent and incoherent scatter radar (Banks, 1977; Thayer, 1998a; Fu-51 jii et al., 1999; Kosch & Nielsen, 1995; Kamide & Baumjohann, 1985), ground magne-52 tometers (Kamide & Baumjohann, 1985), rockets and satellites (Cowley, 1991; Heelis 53 & Coley, 1988; Sangalli et al., 2009), and using models (Cowley, 1991; Weimer, 2005; Zhang 54 et al., 2005; Zhu et al., 2018). Joule heating has been shown to change the temperature 55 and density of neutrals in the IT system (Bates, 1973; Thayer & Semeter, 2004; Wilson 56 et al., 2006; Barth et al., 2009; Sutton et al., 2009; Barth, 2010) and to induce gravity 57 waves that can propagate to lower latitudes (Blumen & Hendl, 1969; Brekke, 1979; Hun-58 sucker, 1982; Sofko & Huang, 2000; Yuan et al., 2005). 59

Among the different observational methods used to study Joule heating, incoherent scatter radar can obtain simultaneously altitude-resolved measurements of ionospheric parameters and indirectly the neutral winds. Thus this technique is suitable for quantifying the Joule heating rates with proper accounting of the neutral wind effects, although ISRs are limited in their spatial coverage. ISR has been used to estimate the Joule heating rate in the high latitude ionosphere since the 1970s (Banks, 1977; Brekke & Rino,

<sup>66</sup> 1978); however, these early investigations had relatively poor range resolution.

More recent ISR investigations have quantified how neutral winds modulate Joule 67 heating (Thaver, 1998a, 2000; Fujii et al., 1998, 1999; Aikio et al., 2012; Cai et al., 2013). 68 Thayer (1998a) analyzed the effect of the neutral winds on the altitude-resolved Joule 69 heating using data collected from two events observed with the Sondrestrom ISR (GeoLat:66.98°N, 70 GMLAT: 74.2°N) during solar minimum daytime conditions. They showed that the neu-71 tral winds could lead to both enhancements and reductions of the Joule heating rate in 72 73 the E region, and they showed that the E-region neutral winds caused the altitude-resolved Joule heating observations to be highly structured which accounted for the enhancements 74 and reductions in the integrated Joule heating rates. Fujii et al. (1998) performed a case 75 study using EISCAT (GeoLat: 69.85°N, GMLAT: 66.58°N) data at four altitudes (101, 76 109, 119, 132 km) and showed that the neutral wind mechanical energy transfer rate could 77 be comparable to the Joule heating rate. 78

Statistical investigations of Joule heating that include the effects of the neutral winds 79 have also been performed using observations with the Sondrestrom ISR (Thayer, 2000) 80 and EISCAT (Fujii et al., 1999; Aikio et al., 2012; Cai et al., 2013). Thayer (2000) an-81 alyzed 95 hours of Sondrestrom ISR observations and calculated the net electrical en-82 ergy input between 90–135 km. They found 59 events of enhanced EM transfer and used 83 these events to statistically quantify the role the IT system has on modifying energy trans-84 fer. Fujii et al. (1999) examined 28 days of EISCAT CP-1 data between 1989 and 1991 85 to investigate the local time distribution of EM energy into Joule heating and mechan-86 ical energy at four discrete altitudes (101, 109, 119, 132 km) for different geomagnetic 87 activity levels. More recently, Aikio et al. (2012) analyzed EISCAT observations to in-88 vestigate the effects of the E region winds on the MLT variation of the altitude-integrated 89 EM energy transfer rate and Joule heating rate using data collected from a nearly one-90 month experiment, 6–30 September 2005, and during a geomagnetic active interval, 11–19 91 November 2003. Cai et al. (2013) used the same data as Aikio et al. (2012) to investi-92 gate the altitude-resolved energy transfer rates for three different magnetic activity lev-93 els based on the Kp index. 94

One of the most important results from these statistical investigations was the dis-95 covery of an asymmetric energy transfer pattern with maxima in the dawn and dusk (morn-96 ing and evening) MLT sectors and a minimum near magnetic midnight. However, these 97 investigations showed significant variations in the relative strength of the maximum mag-98 nitude of energy transfer rates between the dawn and dusk sectors at different radar sites (Thayer, 2000; Fujii et al., 1999; Aikio et al., 2012). For example, Thayer (2000) showed 100 that the dawn sector has a larger EM energy transfer rate relative to the dusk sector, 101 although the neutral winds cause a larger reduction of the EM energy transfer rate in 102 the dawn sector relative to the passive energy transfer rate, which is the energy depo-103 sition rate when the neutral winds are not included. Fujii et al. (1999) found that the 104 EM energy input is equally supplied in the morning and evening sectors, but a larger Joule 105 heating rate could either occur in the morning sector or in the evening sector depend-106 ing upon the specific configuration of the neutral winds with respect to altitude. Aikio 107 et al. (2012) showed that the integrated EM energy transfer rate is larger in the dusk 108 sector, while the integrated Joule heating rate is larger in the morning sector due to the 109 effects from neutral winds. Cai et al. (2013) further investigated these features using the 110 same dataset, but using the altitude dependent results. This morning-evening asymme-111 try of energy transfer in the IT system is a manifestation of the asymmetric solar wind-112 magnetosphere coupling but it could also in turn have an impact on the magnetosphere 113 (Walsh et al., 2014). Therefore, to have a comprehensive analysis with a large dataset 114 will not only provide useful information about the interplay between electric field and 115 neutral wind, but also reflect the asymmetry process in the magnetosphere-ionosphere 116 coupling. 117

There remains uncertainty regarding how energy is transferred into the magnetosphere from an ionosphere-thermosphere source. Thayer (2000) reported that the net electrical energy can be transferred out of the ionosphere. However, Aikio et al. (2012) only observed electrical energy transferred out from certain altitudes in the upper E region but not in the integrated results. The altitude range that contributes to energy transfer into the magnetosphere requires further observational investigation.

Understanding the interplay between the electric fields and the neutral winds is nec-124 essary to understand how energy is transferred through Joule heating and to explain the 125 MLT dependence of the maximum EM energy transfer rate and the Joule heating rate 126 with respect to geomagnetic activity level. The purpose of this investigation is to present 127 first results of E-region altitude-integrated and altitude-resolved energy transfer rates 128 in the high latitude IT system using observations obtained with the Poker Flat Incoher-129 ent Scatter Radar (PFISR). We seek to understand the MLT dependence of the max-130 imum EM energy transfer and the Joule heating rates, and compare our results with pre-131 vious ISR studies (Fujii et al., 1999; Thayer, 2000; Aikio & Selkälä, 2009; Aikio et al., 132 2012; Cai et al., 2013). For this investigation, we use nearly continuously sampled 75 days 133 of E-region measurements, that include an estimate of the E-region neutral wind, dur-134 ing Fall 2015 a period with geomagnetic conditions similar to previous investigations (Aikio 135 et al., 2012; Cai et al., 2013). As in the previous investigations we quantify the energy 136 transfer rates for different geomagnetic activity levels. 137

In the next section, we will introduce the radar measurements and the method used 138 to estimate the electromagnetic energy transfer rate, the Joule heating rate, the mechan-139 ical energy transfer rate, and the passive energy deposition rate. In the Results section, 140 141 we show a representative 48 hour interval of a typical measurement that was used in the statistical investigation. We then present the statistical results of the energy transfer rates 142 as a function of MLT and geomagnetic activity level. We first present the integrated re-143 sults to show the morning-evening asymmetry of the enhancements of Joule heating and 144 EM energy transfer and then present the height resolved results to show the local effects 145 on energy transfer rates from the neutral wind. We also present complementary obser-146 vations of the electric fields, conductivities, and neutral winds and discuss our observa-147 tions in the context of previous investigations. We summarize our main findings in con-148 clusion section. 149

#### <sup>150</sup> 2 Measurements and methodology

#### 2.1 PFISR measurements

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PFISR is an advanced modular incoherent scatter radar (AMISR) system located 152 at Poker Flat Research Range near Fairbanks, AK (65.13° N, 147.47°W, MLAT: 65.4° 153 N, 0 MLT  $\sim 11$  UT ). AMISR is a phased array radar capable of electronic beam steer-154 ing on a pulse-to-pulse basis (Kelly & Heinselman, 2009). PFISR has been operating since 155 late 2006 (Heinselman & Nicolls, 2008; Vadas & Nicolls, 2008) and a 4-beam low duty 156 cycle (1%) International Polar Year (IPY) mode has been developed to make continu-157 ous climatological observations when there are no other dedicated higher duty cycle ex-158 periment modes. 159

The E-region neutral wind vector and electric field vector are simultaneously es-160 timated using PFISR observations based on the algorithm described by Heinselman and 161 Nicolls (2008). The altitude-resolved E-region neutral wind vector is determined by solv-162 ing the ion momentum equation, which contains the neutral wind vector. Given the ion 163 line-of-sight (LOS) velocities in the E- and F-region, a linear Bayesian inversion method 164 is applied to optimally estimate the electric field vector and the altitude-resolved neu-165 tral winds. The 4-beam IPY mode has heritage with a 3-beam experiment run at the 166 Sondrestrom incoherent scatter radar that was used to estimate the neutral winds; this 167

<sup>168</sup> methodology is described in the review by Johnson (1990). For this investigation, we use <sup>169</sup> PFISR observations that are suitable for the estimation of E-region neutral winds, which

<sup>170</sup> include the IPY radar mode.

Alternating codes are used to resolve the E-region ionospheric state parameters (Lehtinen et al., 1997). The PFISR experiments use a 16-baud randomized strong alternating code (e.g., Lehtinen et al., 1997) with 30  $\mu$ s (4.5 km) bauds. The data are oversampled at 10  $\mu$ s and processed using fractional lag processing (e.g., Huuskonen et al., 1996). For the F-region, a long-pulse experiment using a 480 or 330  $\mu$ s uncoded pulse are gated to have a spacing of 36 and 24.5 km with a range resolution of 72 and 49 km, respectively.

#### 2.2 Energy Transfer Rate

In this study, we use the definitions provided in previous investigations (e.g., Thayer, 179 1998a, 1998b; Cai et al., 2013) that describe the EM energy transfer rate, Joule heating rate and the mechanical energy transfer rate. The altitude-resolved EM energy transfer rate,  $q_{EM}$ , corresponds to the rate by which EM energy is transferred between the EM field and the plasma, which is given by

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$$\mathbf{q}_{\mathbf{EM}} = \mathbf{j}_{\perp} \cdot \mathbf{E}_{\perp} = \mathbf{j}_{\perp} \cdot \mathbf{E}_{\perp}^{'} + \mathbf{u}_{\mathbf{n}} \cdot (\mathbf{j}_{\perp} \times \mathbf{B})$$
(1)

where  $\mathbf{j}_{\perp}$  is the perpendicular current density. The perpendicular electric field in the neutral wind reference frame is  $\mathbf{E}'_{\perp}$ , while  $\mathbf{E}_{\perp}$  is the electric field in the earth-fixed reference frame, where  $\mathbf{E}'_{\perp} = \mathbf{E}_{\perp} + \mathbf{u}_{n} \times \mathbf{B}$ . The neutral wind is  $\mathbf{u}_{n}$ , and  $\mathbf{B}$  is the Earth's magnetic field vector, which is approximately vertical downward near the pole in the northern hemisphere. In Equation 1, the first term on the right hand side,  $\mathbf{j}_{\perp} \cdot \mathbf{E}'_{\perp}$ , is the Joule heating rate  $q_{j}$ , and the second term,  $\mathbf{u}_{n} \cdot (\mathbf{j}_{\perp} \times \mathbf{B})$ , is the mechanical energy transfer rate  $q_{m}$ . We can expand the Joule heating rate in the following way,

$$q_j = \mathbf{j}_{\perp} \cdot \mathbf{E}'_{\perp} = \sigma_p E'^2_{\perp} = \sigma_p (\mathbf{E}_{\perp} + \mathbf{u}_{\mathbf{n}} \times \mathbf{B})^2$$
(2)

where  $\sigma_p$  is the Pedersen conductivity. For this investigation, we use the electron density from the vertical looking direction, which is ~ 13° off the field-aligned direction at the location of PFISR. The vertical beam at PFISR has better sensitivity relative to the field-aligned beam. If the IT system is treated as a passive medium, which is the case when the neutral wind is excluded, a proxy for the Joule heating rate can be defined as

$$q_j^E = \sigma_p \mathbf{E}_\perp^2 \tag{3}$$

<sup>198</sup> which we call the passive energy deposition rate.

We can integrate Equation 2 and 3 along the magnetic field line in the E region to obtain the integrated Joule heating rate  $Q_j$  and the passive energy deposition rate  $Q_{i}^{E}$  as

$$Q_j = \int_{90}^{130} \sigma_p(z) \left[ \mathbf{E}_\perp + \mathbf{u}_n(z) \times \mathbf{B} \right]^2 dz \tag{4}$$

203 and

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$$Q_i^E = \Sigma_p^E \mathbf{E}_\perp^2 \tag{5}$$

where  $\Sigma_p^E$  is the Pedersen conductance between 90–130 km in the E region and z is the

altitude. This integration range is chosen because the uncertainty is larger in the neu-

tral wind estimation at higher E region altitude (Thayer, 1998a). The integrated me-

<sup>208</sup> chanical energy transfer rate and EM energy transfer rate are,

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$$Q_m = \int_{90}^{130} \mathbf{u_n}(z) \cdot (\mathbf{j_\perp} \times \mathbf{B}) dz \tag{6}$$

210 and

$$Q_{EM} = Q_j + Q_m,\tag{7}$$

212 respectively.

All the vectors are in a local geomagnetic coordinate system with x, y, z as east, 213 north, and anti-parallel directions, respectively (Heinselman & Nicolls, 2008). From the 214 equations above,  $Q_j$  and  $Q_j^E$  are positive definite while  $Q_m$  and  $Q_{EM}$  could be signed 215 either positive or negative. Positive  $Q_m$  indicates that the neutral winds obtain energy 216 from the plasma, while negative  $Q_m$  indicates that the neutral wind is doing work on 217 the plasma. Similarly, positive  $Q_{EM}$  indicates that EM energy is dissipated in the ionosphere-218 thermosphere, i.e., the IT system is an energy sink, while negative  $Q_{EM}$  indicates that 219 the ionosphere is an energy source (Thayer & Vickrey, 1992; Thayer, 1998b; Aikio et al., 220 2012). 221

For this investigation,  $\sigma_p$  is calculated using Equation 2.40(a) in Kelley (2009) and the ion-neutral collision frequencies used are equation 4.88 and expressions in Table 4.5 of Schunk and Nagy (2009). These equations are not repeated here for brevity. The neutral densities used to calculate the collision frequency are obtained from the NRL-MSISE00 model (Picone et al., 2002). We use the International Geomagnetic Reference Field (IGRF) model to estimate **B** (Thébault et al., 2015).

The current density is calculated using ISR observations in the same way as equation 8 in (Thayer, 1998a) using the following formulas,

$$\mathbf{j}_{\perp} = en_e(\mathbf{V}_{\perp i} - \mathbf{V}_{\perp e}) = en_e(V_x + \frac{E_y}{B})\mathbf{\hat{x}} + en_e(V_y - \frac{E_x}{B})\mathbf{\hat{y}}$$
(8)

where e is the elementary electron charge and  $n_e$  is the E-region electron density, pro-231 vided by the alternating code observations. The ion drift perpendicular to the magnetic 232 field,  $\mathbf{V}_{\perp i} = V_x \hat{\mathbf{x}} + V_y \hat{\mathbf{y}}$ , is estimated from the LOS velocities using the methodology 233 described in Heinselman and Nicolls (2008). The electrons remain coupled to the mag-234 netic field down to 80 km and still drift in the  $\mathbf{E} \times \mathbf{B}$  direction (Brekke, 2013; Richmond 235 & Thayer, 2000). Therefore, for the perpendicular electron drifts,  $\mathbf{V}_{\perp e} = \mathbf{E} \times \mathbf{B}/\mathbf{B}^2$ , 236 is a good approximation in the E-region, and we use the electric field  $\mathbf{E}$  estimated from 237 the F-region long pulse observations. Then the electric field is used to calculate the pas-238 sive energy deposition rate  $q_j^E$  using equation 3. The Joule heating  $q_j$  can be obtained 239 through equation 2. The Mechanical energy transfer rate  $q_m$  is obtained by using  $\mathbf{u}_n \cdot (\mathbf{j}_\perp \times \mathbf{B})$ 240 and the EM energy transfer rate  $q_{EM}$  is obtained by adding up  $q_j$  and  $q_m$ . The approach 241 taken differs from Thayer (1998a). We have made a comparison of both approaches, shown 242 in the supporting information S1, and obtained similar results in an average sense. A 243 more detailed investigation will be pursued to explore the occasions when the two tech-244 niques differ to identify what properties of the ISR measurements produce such results. 245

#### 246 2.3 PFISR Observations

For this study, we use a subset of data from the larger database of PFISR observations spanning the period from 2010-2019. The dataset is mainly composed of IPY mode data, however other higher duty cycle radar modes are also used when the measurements are suitable for the calculation of Joule heating. We select high quality measurements using a threshold of SNR  $\geq$  -20 dB. The electric fields, neutral winds and energy transfer rates are derived using equations described in Section 2.2. We use a data analysis routine that combines data from multiple beam directions instead of using a single vertical beam under the assumption that the velocity vectors are homogeneous in the radar
field of view (fov) (Zou et al., 2009). Also, the small scale latitudinal variation of the electron density in the radar fov in the E region, if it exists, is not considered in this study.

The PFISR observations cover the E-region between 90 km-130 km with an altitude resolution of 5 km. A running median filter with a 1-hour window is applied at a time step of 15 minutes to all the derived parameters (i.e., conductivities, electric fields, neutral winds, energy transfer rates). For the statistical results, the median will decrease the impact of outliers (Press et al., 2007). Given our choice of resolution, there are 96 elements for the time array and 9 elements for the altitude array.

In this study, we use the regional SuperMAG Auroral Electrojet, SME, index (here-263 after referred to as SMEr) as a proxy for geomagnetic activity levels. There are a few 264 reasons why we use this geomagnetic index instead of AE or KP. First, the SMEr index 265 is derived using many more magnetometer stations with greater global coverage (Gjerloev, 266 2012; Newell & Gjerloev, 2011, 2014) than KP and AE. Second, Joule heating enhancements are mainly a local phenomenon (Thayer, 2000) and SMEr accounts for the local-268 time variation of the auroral electrojet. The dataset is then divided into three groups 269 according to the SMEr index: quiet (0,100), moderate (100,200), and high (200+), re-270 spectively. 271

To enable a similar comparison of our results with previous investigations (e.g., Aikio et al., 2012; Cai et al., 2013), we used measurements from Fall (September, October and November) 2015. The solar and geomagnetic activity levels in Fall 2015 were similar to Fall 2003 and 2005, when the measurements from the EISCAT investigations were obtained (Aikio et al., 2012; Cai et al., 2013). In both studies, the mean AP and mean F10.7 were 16 and 107, respectively.

- 278 **3 Results**
- 279

#### 3.1 September 17-18, 2015 Event

We first present a typical example of PFISR observations that covers both quiet and disturbed conditions during September 17-18, 2015. This two day interval shows an example of typical events that are used in the statistical investigation and the data quality associated with the PFISR observations.

Figure 1(a) shows the variation of SMEr during the observation interval. For com-284 parison, the variation of the AE index is plotted during the same interval. The green and 285 black markers on the upper region of Figure 1(a) indicate the intervals corresponding to the IPY mode and higher duty cycle modes of the radar, respectively. Figure 1(b) shows 287 the electron density. Figure 1(c) and 1(d) present the altitude-resolved zonal and merid-288 ional winds, respectively. The zonal and meridional electric fields are shown in Figure 289 1(e) as blue and red, respectively. Positive values of zonal and meridional wind and electric field components are geomagnetic eastward and northward, respectively. The altitude-291 resolved passive energy deposition rate  $(q_j^E)$ , Joule heating rate  $(q_j)$ , mechanical energy 292 transfer rate  $(q_m)$ , and EM energy transfer rate  $(q_{EM})$  are presented in Figure 1(f)-(i), 293 respectively. The black contour lines in Figure 1(h) and Figure 1(i) correspond to the 294 dividing line between positive and negative. The four integrated energy transfer rates 295 are presented in Figure 1(j). 296

In Figure 1(a), SMEr (blue) indicates two disturbed periods during the first and second evenings while AE (red) shows additional disturbed periods in the afternoon sector during the two days. From the typical daytime electron density shown in Figure 1(b), SMEr is a better proxy for localized auroral activity. In Figure 1(b), typical daytime Eregion electron density profiles are observed. Auroral precipitation is observed during the disturbed periods indicated by SMEr.



Figure 1. PFISR observation on September 17-18, 2015 (0000 MLT ~ 1100 UT). (a) AE index (red dots) and SMEr (blue dots), green and black markers on the top indicate low duty cycle (IPY) and higher duty modes of PFISR, respectively; (b) electron density  $N_e$ ; (c) and (d) zonal and meridional winds; (e) zonal (blue) and meridional (red) electric fields; (f) and (g)  $log_{10}(q_j^E)$  and  $log_{10}(q_j)$ ; (h) and (i)  $q_m$  and  $q_{EM}$ , the black contour lines represent 0; (j)  $Q_j^E$  (blue),  $Q_j$  (red),  $Q_m$  (green) and  $Q_{EM}$  (magenta).

In Figure 1(c) and 1(d), the wind data are noisy when the radar is operating in the 303 IPY mode, specifically between 0600-1800 MLT on September 17 and between 0600-2400 304 MLT on September 18. This relatively noisy data is attributed to the low duty cycle of 305 the IPY mode, along with modest electron densities, i.e., low backscatter. However, an 306 enhanced pattern of neutral winds is observed when the radar is operated in high duty 307 cycle modes between 0000-0600 MLT and 1800-0600 MLT. In Figure 1(e), the zonal elec-308 tric field is small with a magnitude near zero but becomes westward in the evening sector on both days. Enhanced northward electric fields are observed in the evening sec-310 tors on both days and enhanced southward electric fields are observed in the morning 311 sector on September 18. The strong meridional electric field is a signature of the two-312 cell plasma convection pattern. 313

In Figure 1(f), strong enhancements (~  $1\mu W/m^3$ ) of the passive energy deposi-314 tion rate  $q_j^E$  are observed when the electric field is large. A moderate enhancement (~ 315  $0.03\mu W/m^3$ ) of  $q_i^E$  is observed when the electric field is small between 0000-0100 MLT 316 on September 17 and between 0800-0900 MLT on September 18. In Figure 1(g), enhance-317 ments of the Joule heating rate,  $q_i$ , are observed in the MLT sectors when  $q_i^E$  is enhanced; 318 Joule heating rate enhancements (~  $0.1 \mu W/m^3$ ) are also observed during the daytime 319 between 0900-1600 MLT on September 17 and September 18 when the electric field is 320 small. These observations show that the neutral winds generate Joule heating during day-321 time when the electric field is small and that the electric field has a dominant role in gen-322 erating Joule heating during the nightside MLT sector. 323

Figure 1(h) shows enhancements ( $\sim 0.5 \mu W/m^3$ ) of the mechanical energy transfer rate  $q_m$  that are mainly positive throughout the night of September 17, which suggests that the neutral winds obtain mechanical energy from the plasma during this period. During the daytime, the mechanical energy transfer rate is mainly negative ( $\sim -0.3 \mu W/m^3$ ) above 110 km and modestly positive ( $\sim 0.2 \mu W/m^3$ ) below 110 km. In addition, negative values ( $\sim -0.3 \mu W/m^3$ ) of  $q_m$  also appear in the lower E region below 110 km in the morning sector between 0000-0300 MLT during the two-day interval.

In Figure 1(i), the EM energy transfer rate,  $q_{EM}$ , is largely enhanced (~  $1\mu W/m^3$ ) throughout the night on September 17, moderately enhanced (~  $0.5\mu W/m^3$ ) in the evening sector on September 18, and is mostly positive at all altitudes during daytime, although with modest magnitudes (~  $0.2\mu W/m^3$ ). These signatures suggest that EM energy is transferred into the plasma. Between 0000-0300 MLT below 110 km altitude, negative values (~  $-0.2\mu W/m^3$ ) of  $q_{EM}$  occur. This feature of negative EM energy transfer is commonly observed in the lower E region in the morning sector.

Figure 1(j) shows that enhancements ( $\sim 11 mW/m^2$ ,  $\sim 10 mW/m^2$ ,  $\sim 15 mW/m^2$ ) 338 of the integrated terms,  $Q_j^E$  (blue),  $Q_j$  (red) and  $Q_{EM}$  (magenta) appear mainly dur-339 ing the intervals when the electric field is enhanced.  $Q_m$  (green) is positive  $(2-4mW/m^2)$ 340 throughout the night of 17 September and in the second evening sector except in short 341 intervals (0400-0500 MLT and 2200-2300 MLT on September 18). As mentioned above, 342 these intervals of positive  $Q_m$  correspond to energy that is transferred to the neutral winds 343 from the plasma. This example indicates that the altitude resolved mechanical energy 344 transfer rate can be highly structured while the integrated results are small. 345

346 3.2 Statistical Results for Fall 2015

In this section, we present the statistical results of the altitude-integrated energy transfer rates and then present the results of altitude-resolved energy transfer rates in Fall 2015. All the results are shown in Figure 2, with additional supporting information found in Figure 3.



Figure 2. Energy transfer rates from top to bottom: (a) integrated energy transfer rates  $(Q_j^E)$  (blue),  $Q_j$  (red),  $Q_m$  (green) and  $Q_{EM}$  (magenta)), (b) altitude-resolved passive energy deposition rate  $q_j^E$ ; (c) altitude-resolved Joule heating rate  $q_j$ ; (d) mechanical energy transfer rate  $q_m$  and (e) EM energy transfer rate  $q_{EM}$  under quiet (1st column), moderate (2nd column) and active (3rd column) conditions in Fall 2015.  $q_j^E$  and  $q_j$  are plotted on a logarithmic scale while  $q_m$  and  $q_{EM}$  are plotted on a linear scale.

#### 351 3.2.1 Integrated Energy Transfer Rates

The first row of Figure 2 presents the variations of the median integrated energy 352 transfer rates  $Q_j^E$ ,  $Q_j$ ,  $Q_m$  and  $Q_{EM}$  as a function of magnetic local time (MLT) as blue, 353 red, green and magenta curves, respectively. The corresponding light blue, pink, light 354 green and orange shaded regions are the bounds of the first and third quartiles. The re-355 maining four rows show the altitude-resolved parameters,  $q_i^E$ ,  $q_j$ ,  $q_m$  and  $q_{EM}$ . The columns 356 from left to right correspond to quiet (SMEr < 100 nT), moderate (100 nT  $\leq$  SMEr < 357 200 nT), and active conditions (SMEr > 200 nT) in Fall 2015. The relative percentage 358 of measurements correspond to 60%, 20% and 20% for quiet, moderate, and active con-359 ditions, respectively. Note the different scales on the vertical axes in the first row. 360

During quiet conditions, Figure 2(1-a) shows that the integrated Joule heating, EM 361 energy transfer and passive energy deposition rates,  $Q_j$ ,  $Q_{EM}$  and  $Q_j^E$ , are small with 362 magnitudes less than 1  $mW/m^2$  in most of the MLT sectors, except between 1900-2300 363 MLT in the evening sector. With the exception of the evening MLT interval, the net Joule heating is mainly due to the mechanical energy which is driven by the neutral winds, while 365 the net EM energy transfer from the magnetosphere is very small. In the evening sec-366 tor, between 1900-2300 MLT, enhancements of  $Q_j$ ,  $Q_{EM}$  and  $Q_j^E$  are observed with a 367 maximum magnitude of ~ 3  $mW/m^2$ , while  $Q_m$  increases in magnitude between 2000-368 2100 MLT. These observations suggest that the EM energy transfer rate is the result of 369 the convection electric field in the evening sector during quiet conditions. 370

Under moderate conditions, Figure 2(2-a) shows enhanced  $Q_j$ ,  $Q_j^E$  and  $Q_{EM}$  in the morning and evening sectors. The peak values of  $Q_j$ ,  $Q_j^E$  and  $Q_{EM}$  in the morning and evening sectors are roughly a factor of two larger than quiet conditions. The larger peaks 371 372 373 in the evening sector indicate an asymmetry of the energy transfer rate relative to the 374 morning sector. The morning-evening asymmetry of the energy transfer rate under dis-375 turbed conditions has also been reported in previous ISR investigations but the MLT 376 of the peak magnitudes vary within these studies as mentioned in the Introduction (Thayer, 377 2000; Fujii et al., 1999; Aikio et al., 2012). This asymmetry will be discussed in detail 378 in the fourth section. Finally, the integrated mechanical energy transfer rate,  $Q_m$ , is neg-379 ative and increases to near zero for a short period in the morning and evening sectors. 380 The magnitudes are much smaller than that of  $Q_j$ ,  $Q_j^E$  and  $Q_{EM}$ , especially during the 381 morning and evening sectors. This indicates that the net effects of mechanical energy 382 transfer on Joule heating is modest during moderately disturbed condition. 383

During active conditions, in Figure 2(3-a), there are much stronger enhancements 384 of  $Q_j$ ,  $Q_j^E$  and  $Q_{EM}$  observed in the morning and evening sectors. The peak median mag-385 nitudes are more than three times larger during active conditions relative to moderate 386 conditions. Similar to moderate condition, a morning-evening asymmetry is observed with 387 larger magnitudes of the Joule heating and EM energy transfer rates in the evening sec-388 tor. However, in contrast to quiet and moderate conditions, positive values of the me-389 chanical energy transfer rate,  $Q_m$ , appear both in the morning and evening sectors and 390 the maximum median magnitude in the evening sector is around 5  $mW/m^2$ . This indi-391 cates that the neutral winds obtain mechanical energy in both the morning and evening 392 sectors with longer temporal extent in the evening sector. They (2000) found that the 393 neutral winds obtain mechanical energy both in the morning and evening sectors, but the effects of neutral winds are greater in the morning sector than in the evening sec-395 tor. In Aikio et al. (2012), the net effect of the neutral winds in the morning sector is 396 only to provide mechanical energy to the plasma. 397

Our investigation is limited to the E-region energy transfer rates between 90-130 km. We restricted our neutral wind estimation to altitudes below 130 km because the motion of the ions above 130 km are more strongly tied to the  $\mathbf{E} \times \mathbf{B}$  direction versus the neutral wind (Sangalli et al., 2009; Burchill et al., 2012). Therefore the estimation of the neutral winds using LOS ion velocities above this altitude is highly uncertain. The only energy transfer rate we can estimate above 130 km without reliable neutral wind
estimates is the passive energy dissipation rate, and section 4.4 discusses the extra passive Joule heating above 130 km. This restriction limits our ability to make direct comparisons to other studies that use different altitude extents, such as Aikio et al. (2012)
who study 80-180 km.

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#### 3.2.2 Altitude-Resolved Energy Transfer Rates

Figure 2 presents the median altitude-resolved passive energy deposition rate and the Joule heating rate,  $q_j^E$  and  $q_j$ , in the second (Figure 2-b) and third (Figure 2-c) rows on a logarithmic scale, respectively. The mechanical energy transfer rate and EM energy transfer rate,  $q_j^m$  and  $q_{EM}$ , as shown in the fourth (Figure 2-d) and fifth (Figure 2-e) rows on a linear scale, respectively. Contour lines of energy transfer rates are also included in Figure 2-b, Figure 2-c and Figure 2-e. Contour lines in row Figure 2-d represent the division between positive and negative values.

To aide in our analysis of the energy transfer rates, we also present the following quantities in Figure 3: the median Pedersen 3(A) and Hall 3(B) conductivities, the median zonal 3(C) and meridional 3(D) neutral winds, and the median zonal 3(E) and meridional 3(F) electric fields. The three columns correspond to quiet, moderate and active conditions, respectively.

The results for quiet condition are presented in the left column of Figure 2. In Fig-421 ure 2(1-b) and 2(1-c), there are small enhancements  $(0.058\mu W/m^3, 0.059\mu W/m^3)$  of  $q_i^E$ 422 and  $q_j$  mainly in the upper E region between 110 - 130 km in the evening MLT sector. 423 The enhancement of  $q_i^E$  occurs when the meridional electric field is large in the evening 424 sector, as shown in Figure 3(F). In addition, in Figure 3(A), the median Pedersen con-425 ductivity between 110 - 130 km from 1800 - 2100 MLT is smaller than in other MLT 426 sectors. Therefore, the enhancement of the passive energy deposition rate is primarily 427 driven by the large meridional electric field associated with the dusk plasma convection 428 cell. 429

Figure 2(1-d) shows that the mechanical energy transfer rate  $q_m$  is modest with a value near zero in the lower E region and negative  $(-0.015\mu W/m^3)$  in the upper E region. These observations of the mechanical energy rate are consistent with the statistical result during quiet conditions (Kp  $\leq = 2+$ ) found by Cai et al. (2013). They reported that  $q_m$  is near zero in the lower E region, but becomes negative in the higher E-region altitudes in most of the MLT sectors. The mechanical energy transfer rate is weakly positive around 2100 MLT in the evening sector mainly between 110 – 120 km.

The EM energy transfer rate,  $q_{EM}$  in Figure 2(1-e) shows a distribution in upper E-region in the morning and evening sectors similar to the Joule heating rate,  $q_j^E$ , but with minor differences. The main difference appears in the upper E region during the daytime, when  $q_{EM}$  is smaller relative to  $q_j^E$ . This indicates that the EM energy that originates from the magnetosphere is reduced due to the presence of the neutral winds by altering the current in such a way as to reduce the current component in the electric filed direction (Thayer, 1998a).

Figure 2(2-b) and Figure 2(2-c) show that  $q_j^E$  and  $q_j$  are enhanced  $(0.29\mu W/m^3, 0.24\mu W/m^3)$ 444 in the morning and evening sectors during moderate conditions. The enhancements in 445 both sectors extend to lower altitudes and have a longer duration in time relative to quiet 446 conditions. The peak in the evening sector shifts to earlier MLT between 1800–1900 MLT 447 compared to quiet condition. The morning-evening asymmetry of the energy transfer rates 448 is also evident; we find a stronger enhancement in the evening sector relative to the morn-449 ing sector. The large passive energy deposition rate is caused by the enhanced merid-450 ional electric field in the evening sector, while the Pedersen conductivity is small in this 451 MLT sector as shown in Figure 3A. In the morning sector, enhancements of the passive 452



**Figure 3.** From top to bottom, the median values are shown of the: (A) Pedersen conductivity; (B) Hall conductivity; (C) zonal neutral winds (positive: eastward); (D) meridional winds (positive: northward); (e) zonal electric field (positive: eastward) and (F) meridional electric field (positive: northward) under quiet (left), moderate (middle) and active (right) conditions in Fall 2015. In E and F, blue (red) curves correspond to median (mean) electric fields. Shaded areas indicate 1st and 3rd quartiles respect to medians.

energy deposition rate are caused by both the enhanced electric field and Pedersen con-453 ductivity as shown in Figure 3. 454

Figure 2(2-d) shows the behavior of the mechanical energy transfer,  $q_m$ . There are 455 three regions with positive values ( $< 0.04 \mu W/m^3$ ) of  $q_m$ : between 110 - 120 km from 456 0000-0600 MLT, below 110 km between 0900-2100 MLT, and between 110 - 130 km from 457 1500-2400 MLT. Positive  $q_m$  appears in a broader region with respect to time and al-458 titude in the evening sector. Negative values  $(> -0.065 \mu W/m^3)$  of  $q_m$  also appear in 459 three time intervals: between 90 - 110 km and above 120 km in the morning sector, above 460 110 km during daytime and between 95 - 110 km in the evening sector. These results 461 show that the integrated mechanical energy transfer rates are small in magnitude while 462 the altitudinal variation is highly structured. 463

Figure 2(2-e) shows that the total electromagnetic energy transfer rate,  $q_{EM}$ , again 464 has a similar distribution to the Joule heating rate  $q_j^E$  above 110 km. The main differ-465 ence appears in the lower E region, especially in the morning sector between 0000-0300 466 MLT when non-negligible negative EM energy transfer rate appears  $(> -0.013 \mu W/m^3)$ . 467 indicating that EM energy could be generated locally due to the presences of neutral winds. 468

During active conditions, Figure 2(3-b) and Figure 2(3-c) show much larger enhance-469 ments  $(1.36\mu W/m^3, 1.25\mu W/m^3)$  of  $q_j^E$  and  $q_j$  in broader regions with respect to time 470 and altitude in the morning (0000-0600 MLT) and evening (1500-2100 MLT) sectors com-471 pared to moderate conditions. The magnitudes of both rates in the evening sector are much larger than in the morning sector. In Figure 2 (3-d) and Figure 2(3-e), larger en-473 hancements  $(0.30\mu W/m^3, 1.50\mu W/m^3)$  in the morning and evening sectors are also ob-474 served in  $q_m$  and  $q_{EM}$ . In addition, the mechanical energy transfer rates during daytime 475 are also enhanced. This indicates that the differences of these parameter between mod-476 erate and active conditions exist mainly in the magnitude of the response. We also ob-477 serve that the peak MLT of the energy transfer rates moves nearer to noon, which is sim-478 ilar to results reported by Aikio et al. (2012) and Cai et al. (2013). 479

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We summarize the following key results from the measurements at Poker Flat:

- 1. Two maxima in the morning and evening sectors and a minimum around midnight 481 of the energy transfer are observed under moderate and active conditions. We ob-482 serve that the energy transfer rates in the evening sector are larger than in the morn-483 ing sector.
- 2. The geomagnetic activity shows large positive impact on the enhanced energy trans-485 fer. This impact not only appears in the integrated results but also in the altitude-486 resolved results. Enhanced positive (in the higher E region) and negative (in the lower E region) mechanical energy transfer rates are observed in the morning and 488 evening sectors under active condition (Figure 2(3-d)). The large negative mechanical energy transfer rates below 100 km in the morning sector even lead to neg-490 ative EM energy transfer rates (Figure 2(3-e)).
  - 3. Consistent with previous observations, we see in Figure 2 that as the geomagnetic activity level increases, the peak energy transfer rates move toward local noon.

#### 4 Discussion 494

In this section, we explain how the interplay between the conductivities, electric 495 fields, and neutral winds provide a first order explanation of the MLT dependence of the 496 electromagnetic energy transfer rate and Joule heating described above over Poker Flat. 497 We first examine the impact of the neutral winds through a simple theoretical analysis 498 to determine whether neutral winds are a source or sink at different altitudes and MLT 499 sectors in the E region. We will then discuss the differences we found in our observations 500 relative to previous ISR investigations (Aikio et al., 2012; Cai et al., 2013; Fujii et al., 501

<sup>502</sup> 1999; Thayer, 2000). In addition, to present the extent of the effects of neutral winds <sup>503</sup> on Joule heating, we quantify the difference between the passive energy deposition rate, <sup>504</sup>  $q_j^E$ , and the Joule heating rate,  $q_j$ , normalized to  $q_j$ . Finally, an estimation of the pas-<sup>505</sup> sive energy transfer rate above 130 km, where the uncertainty of neutral wind estima-<sup>506</sup> tion is large, is presented.

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#### 4.1 Theoretical Analysis of the Effects of Neutral Wind

To understand how the neutral winds affect the Joule heating rate during different MLT sectors, we performed a derivation invoking the following assumptions. First, the upper E region is dominated by the Pedersen conductivity and the lower E region is dominated by the Hall conductivity (Brekke, 2013); this result is also supported by Figure 3. Second, the zonal electric field is negligible compared to the meridional electric field according to our measurements (Figures 3E and 3F) and the results shown in Aikio et al. (2012).

We use a geomagnetic coordinate system with the x axis in the zonal direction, the y axis in the meridional direction and the z axis in the vertical direction. The unit vectors along x, y and z are  $\hat{\mathbf{x}}, \hat{\mathbf{y}}, \hat{\mathbf{z}}$ , respectively. As  $q_m = \mathbf{U}_{\mathbf{n}} \cdot (\mathbf{j}_{\perp} \times \mathbf{B})$  and  $\mathbf{j}_{\perp} = \sigma_{\mathbf{P}} \mathbf{E}_{\perp} + \sigma_{\mathbf{H}} \mathbf{b} \times \mathbf{E}_{\perp}$ (Aikio et al., 2012), we have  $\mathbf{U}_{\mathbf{n}} = U_x \hat{\mathbf{x}} + U_y \hat{\mathbf{y}}, \mathbf{E}_{\perp} = E_x \hat{\mathbf{x}} + E_y \hat{\mathbf{y}}$  and  $\mathbf{B} = -B\hat{\mathbf{z}}$  in the northern hemisphere assuming that the vertical components of neutral wind and electric field are negligible and that only the vertical component of geomagnetic field matters. Then,

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$$q_m = \mathbf{U}_{\mathbf{n}} \cdot \left( (\sigma_{\mathbf{P}} \mathbf{E}_\perp + \sigma_{\mathbf{H}} \mathbf{b} \times \mathbf{E}_\perp) \times \mathbf{B} \right) = B(E_x(\sigma_P U_y + \sigma_H U_x) + E_y(\sigma_H U_y - \sigma_P U_x))$$
(9)

From this expression,  $q_m$  is mainly modulated by the altitude dependent conductivities and neutral winds. As Figure 3 shows, the magnitudes of the zonal electric field are typically smaller than the meridional electric field, especially in the morning and evening sectors during disturbed conditions. If we assume that  $|E_x| << |E_y|$ , then equation 9 simplifies to

$$q_m \approx BE_y(-\sigma_P U_x + \sigma_H U_y) \tag{10}$$

As evident in Figures 3E and 3F,  $E_y$  is mainly positive in the evening sector and negative in the morning sector. Based on this fact and the assumptions above, we can determine the sign of  $q_m$  through Equation 10 in the following way: in the morning sector, the sign of  $q_m$  is determined by the sign of  $U_x$  in the upper E region and by the sign of  $-U_y$  in the lower E region; in the evening sector, the sign of  $q_m$  is determined by  $-U_x$ in the upper E region and by  $U_y$  in the lower E region.

Now, we can infer whether the neutral wind is a source or sink of energy in the E 535 region. In Figure 3 under active conditions in the morning sector, the eastward wind be-536 tween 110 and 125 km implies that  $q_m$  is positive and the neutral wind is a sink of en-537 ergy at these altitudes. The northward wind in the lower E region, < 110 km, implies 538 that  $q_m$  is negative in this region. In the evening sector, the westward wind above 110 539 km implies that  $q_m$  is positive and the southward wind between 90 and 110 km indicates 540 that  $q_m$  is negative. In addition, since in the afternoon sector, the electric field is pri-541 marily meridional, then for a northward wind in the lower E region implies  $q_m$  is pos-542 itive. These results are consistent with the behavior of the mechanical energy transfer 543 rate shown above in Figure 2. 544

#### 4.2 Comparison with Previous Studies

In the results section, we showed that the PFISR observations have different features relative to previous ISR investigations. We now discuss possible causes for these differences. The measurements used in this study are from one radar site (PFISR), and therefore the results and conclusions are most applicable to the region local to PFISR.

To make suitable comparisons of our results with other ISR studies we consider pos-550 sible differences caused by the different geomagnetic and geographic locations of the radar 551 sites. The relative locations of PFISR (GeoLat: 65.13°N, GMLAT: 65.4°N), ESICAT 552 (GeoLat: 69.85°N, GMLAT: 66.58°N) and Sondrestrom (GeoLat: 66.98°N, GMLAT: 74.2°N) 553 ISRs are presented in Figure 4 with the auroral boundaries at 4 different universal times 554 (UTs) during moderately disturbed conditions (Kp = 3) during a typical day in Fall 2015 555 obtained with the statistical Feldstein-Starkov auroral oval model (Sigernes et al., 2011; 556 Starkov, 1994). During this day, PFISR and EISCAT are located in the auroral oval in 557 the dark hemisphere, and are located in subauroral region during sunlit hours. The small 558 difference in geomagnetic latitudes ensure that the two radars sample the similar region 559 in the auroral oval. Therefore, it is likely that forcing from the magnetosphere is sim-560 ilar at this two radar sites. Aikio et al. (2012) determined through the variation of height 561 integrated conductances that the region illuminated by the EISCAT radars are in the 562 auroral oval mainly from the dusk to midnight sector and dawn sector and in the sub-563 auroral region during the daytime. We assume that PFISR observations are from a sim-564 ilar sampling region and we expect to see some consistent features between our obser-565 vations and those from EISCAT. In addition, Sondrestrom is located at much higher geomagnetic latitude but at a similar geographic latitude with respect to PFISR. Sondre-567 strom samples the polar cap or poleward auroral boundary during nighttime and the au-568 roral oval during daytime (Thayer, 1998a). This could enable us to find the potential ge-569 omagnetic latitudinal dependence of the energy transfer between PFISR and Sondrestrom 570 (Thayer, 2000). 571

There are two significant differences between our observations compared with the 572 other ISR investigations. The first difference is the MLT dependence of maximum Joule 573 heating rate. Previous statistical studies (Thayer, 2000; Fujii et al., 1999; Aikio et al., 574 2012; Cai et al., 2013) reported two local maxima in the morning and evening sectors 575 of the EM energy transfer rate and the Joule heating rate. However, the relative mag-576 nitudes of these two maxima are different among the earlier studies. Our results show 577 that the median EM energy transfer rate and the median Joule heating rate are both 578 larger in the evening sector. This larger enhancement of the EM energy transfer rate is 579 mainly due to the larger enhancement of the meridional electric field in the evening sec-580 tor. In addition, due to the small net mechanical energy transfer rate, the Joule heat-581 ing rate is still larger in the evening sector. The high latitude ionosphere in the morn-582 ing and evening sectors are more electric field driven (Thayer, 2000, and references therein), 583 so the maxima in these two regions are largely determined by the variation of the elec-584 tric field. In this study and in that of Aikio et al. (2012), the meridional electric field in 585 the evening sector is larger than in the morning sector, thus leading to a larger EM en-586 ergy transfer rate in the evening sector in both studies. However, the integrated energy 587 transfer rate results in Aikio et al. (2012) show larger enhancement of the Joule heat-588 589 ing rate in the morning sector than in the evening sector, which is the opposite of our results. One key difference is the mechanical energy transfer rate. The magnitude of  $Q_m$ 590 in Aikio et al. (2012) shows stronger enhancements in the morning and evening sectors 591 relative to our results. In Thayer (2000), the authors found the dawnside electric field 592 is larger than in the dusk sector during enhanced energy transfer events; this observa-593 tion could explain the larger EM energy transfer rate in the morning sector in his study. 594

The Joule heating rates show different characteristics due to the influence of the neutral wind. During active conditions, Thayer (2000) found a reduction of EM energy transfer by neutral winds both in the dawn and dusk sectors, which is similar to our re-



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Figure 4. Geographic locations of PFISR (red star), EISCAT Tromoso (yellow star) and Sondrestrom (green star) on November 6, 2015 at 4 different UT with Kp = 3. The auroral boundaries (purple) are obtained through the Feldstein-Starkov oval model (Sigernes et al., 2011; Starkov, 1994). The dark hemisphere is plotted in shaded gray region and the geomagnetic pole is labeled in blue.

<sup>598</sup> sults. However, (Aikio et al., 2012) showed that the neutral winds tend to decrease Joule
<sup>599</sup> heating rates in the evening sector, but increase the Joule heating rate in the morning
<sup>600</sup> sector. Although the larger Joule heating rates in the morning sector can be attributed
<sup>601</sup> to the contribution from the neutral wind above 145 km, which is outside of our inte<sup>602</sup> gration range (90-130 km) and the range used in the investigation by Thayer (2000) (90<sup>603</sup> 135 km).

The second difference is the altitudes of negative EM energy transfer rate under 604 active conditions. Our results show negative EM energy transfer rates mainly below 110 605 km in the morning sectors. Negative EM energy transfer rates are not reported in the 606 results by Cai et al. (2013) but are shown in the lower quartile profile above 140 km dur-607 ing active conditions in the evening sector. This difference comes from the stronger neg-608 ative mechanical energy transfer rate below 110 km in the morning sector in our data 609 set, which is caused by the larger neutral wind in the lower E region. In Figure 3C and 610 Figure 3D, the magnitude of the meridional wind below 110 km in the morning sector 611 is approximately 50 m/s, while Cai et al. (2013) found that the neutral wind at lower 612 altitudes are near zero. Thayer (2000) also showed negative integrated EM energy trans-613 fer rates but did not specify the time and altitudes. 614

The geographic and geomagnetic locations of the ISRs have different contributions 615 to the terms in the Joule heating equations. The geographic location of the ISRs is im-616 portant for understanding the conductivity structure due to solar illumination and neu-617 tral wind structure, particularly from lower atmospheric sources. The geomagnetic lo-618 cations of the ISRs can result in differences in auroral-activity driven conductivities and 619 the convection electric field, depending on how the ISRs sample the auroral oval. The 620 621 auroral produced electron density enhancements can strongly impact the conductivity and strong electric field during disturbed intervals can lead to significant enhancements 622 of Joule heating. Geomagnetic versus geographic differences in Joule heating rates could 623 be investigated during an interval when ISR observations were collected simultaneously 624 over at least a diurnal cycle; however, such an investigation is outside the scope of this 625 study. 626

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#### 4.3 Quantifying the Effects of Neutral Winds

While the previous section presented the statistical features of the mechanical en-628 ergy transfer rate and the Joule heating rate in the presence of the neutral winds, this 629 section will analyze to what extent the neutral winds impact the Joule heating rate in 630 a statistical sense. The difference between the passive energy deposition rate and the Joule 631 heating rate has been investigated in Thayer (1998a) to emphasize the change of the Joule 632 heating rate with and without the effects of the neutral wind; we note that this defini-633 tion is different than the ratio used in the investigation by Thayer (2000). We define the 634 percent difference between the median passive energy deposition rate,  $q_i^E$ , and the me-635 dian Joule heating rate,  $q_j$  normalized to the Joule heating rate. This ratio can be ex-636 pressed as 637

$$r = \frac{q_j^E - q_j}{q_j} \times 100\%$$

$$R = \frac{Q_j^E - Q_j}{Q_j} \times 100\%$$
(11)

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with r, R the height-resolved and integrated terms, respectively. When r and R are negative, it means the neutral wind tends to increase the Joule heating rate, and when r and
R are positive, the neutral wind decreases the Joule heating. A value of zero means that
the Joule heating rate is driven by the electric field, while a value of -100% corresponds



**Figure 5.** Percent difference between the passive energy dissipation rate and Joule heating rate, normalized to Joule heating rate.

to a contribution only from the neutral wind. Since the passive energy deposition rate is always positive, r and R are always greater than or equal to -100%.

The medians are presented in Figure 5. The first and second row show r and R, 645 respectively. From left to right, the columns show the results during quiet, moderate and 646 active conditions, respectively. From the first row, we find that the regions with posi-647 tive r in the morning and evening sectors that becomes broader in altitude extent as the 648 geomagnetic activity level increases. From the second row, we see that with the increase 649 of geomagnetic activity level in the morning sector (0000-0600 MLT), R increases from 650 -75%--50%, to -50%-0% and to around 0; during daytime (0600-1500 MLT), R increases 651 from around -75%, to -25% - -75% and to -75% - 0; during evening sector ( 1500 - 2400652 MLT), R increases from -50% - 25%, to -25% - 25% and to 0 - 50%. 653

The observations during moderate and active conditions indicate that in the morning the net effect of the neutral winds on the Joule heating is small. In the evening sector, neutral winds reduce the energy dissipation at most altitudes. However, the neutral winds increase the Joule heating rate below 100 km.

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#### 4.4 Additional Joule heating above 130 km

As mentioned above, the assumptions associated with the neutral wind estimation break down above  $\sim 130$  km altitude. However, the Pedersen conductivity peaks near 125 km (Richmond & Thayer, 2000; Brekke, 2013) and has considerably large magnitudes above 125 km. Therefore, the integration range limits could lead to an underestimation of the integrated Joule heating rate in this study. We quantify the magnitude that the Joule heating rate could be underestimated above 130 km in the following way.



**Figure 6.** The integrated passive energy deposition rate above 130 km (top) and the percentage difference normalized to integrated passive energy deposition rate between 90-150 km (bottom) during quiet (left), moderate (middle), and active (right) conditions. Thick lines indicate median values and the upper and lower quartiles are shown by a shaded color.

We estimate the integrated passive energy deposition rate  $Q_j^E$  above 130 which is shown in Figure 6(a). Figure 6(b) shows the percent difference between the integrated passive heating rate over 130-150 km and the total integrated passive heating rate between 90-150 km.

From Figure 6(a), the variation of the median value of the underestimated  $Q_i^E$  varies 669 along a similar pattern with the maxima in the morning and evening sectors as shown 670 in Figure 2(a) but with smaller magnitudes. The largest value varies from around 1.5 671  $mW/m^2$  during quiet condition, to 3  $mW/m^2$  during moderate condition, and to 10  $mW/m^2$ 672 during active condition. From Figure 6(b), the relative underestimation in percentage 673 varies between 30%-38% during quiet condition, to between 28%-38% during moderate 674 condition, and to between 27%-39% during active condition. This percentage difference 675 of the underestimated Joule heating rate is slightly larger during daytime relative to night-676 time and relatively consistent during different geomagnetic conditions. 677

#### 578 5 Conclusion

This paper presents a comprehensive study of the characteristics of energy trans-679 fer rates using nearly 1400 hours of Poker Flat Incoherent Scatter Radar observations 680 covering different geomagnetic conditions in Fall 2015. The purpose of this investigation 681 is to quantify the MLT dependence of the Joule heating and EM energy transfer rate above 682 Poker Flat. The nearly continuously sampled measurements from PFISR have confirmed 683 that there are two maxima of the enhanced energy transfer rates in the morning and evening 684 sectors and that the geomagnetic activity has a positive impact on these enhancements, 685 which is consistent with previous studies at other radar sites, such as EISCAT (Fujii et 686 al., 1999; Aikio et al., 2012) and Sondrestrom (Thayer, 2000). However, our observations 687 have shown two major differences that have not been previously reported. 688

The first difference is that our observations show larger enhancements of EM en-689 ergy transfer and Joule heating both in the evening sector, which is in contrast to pre-690 vious investigations (Thayer, 2000; Fujii et al., 1999; Aikio et al., 2012; Cai et al., 2013). 691 A detailed comparison of the MLT dependence of the maximum Joule heating rate and 692 EM energy transfer rate have shown that these enhancements are larger in the evening 693 sector relative to the morning sector due to the larger meridional electric field at the lat-694 itude of PFISR in the evening sector. This asymmetry of the meridional electric field can 695 be further associated with the asymmetry of the two-cell convection pattern and pro-696 cesses that drive the asymmetry of the two-cell convection pattern (Walsh et al., 2014). 697 Our comparison combined with the altitude resolved distribution of mechanical energy 698 transfer rates also suggest the local effects on energy transfer caused by neutral winds 699 (Thayer, 1998a; Cai et al., 2013). 700

The second difference is that we observed an interval with negative EM energy transfer rates below 110 km between 0000-0300 in the morning sector under disturbed condition, which is not shown in previous investigations. These negative EM energy transfer rates are associated with the neutral winds during this interval. The neutral wind in lower E region in the morning sector are similar to winds reported in earlier chemical release experiments (Larsen et al., 1989; Brinkman et al., 1995; Larsen et al., 1995). Our observations underline the local effects on energy transfer caused by the neutral wind.

In addition, we quantified the contribution of the neutral wind to Joule heating and found that as much as 75% of the Joule heating comes from the neutral wind associated mechanical energy transfer under quiet condition and that more than 25% reduction of the Joule heating is associated with the neutral wind during active conditions.

Finally, we also estimated the additional passive energy deposition rate above 130
km. The additional passive energy deposition rate could be between 30-40% of the total passive energy deposition rate between 90-150 km. The percent difference does not
vary significantly as a function of MLT for constant geomagnetic activity.

#### 716 Acknowledgments

The raw data of PFISR measurements used in this study can be obtained from the Madri-717 gal database (https://isr.sri.com/madrigal). The processed data of neutral winds, elec-718 tric fields, conductivities and energy transfer rates can be obtained from this repository 719 (https://doi.org/10.5281/zenodo.4627540). WZ and SRK were supported by National 720 Science Foundation AGS-1853408 and AGS-1552269. MFL was supported by the Na-721 tional Science Foundation AGS-2012994. This material is based upon work supported 722 by the Poker Flat Incoherent Scatter Radar which is a major facility funded by the Na-723 tional Science Foundation through cooperative agreement AGS-1840962 to SRI Inter-724 national. We gratefully acknowledge the SuperMAG collaborators (https://supermag 725 .jhuapl.edu/info/?page=acknowledgement). 726

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